## MEMBRANE TRANSPORTERS AND CHANNELS AS TARGETS FOR DRUGS

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## MEMBRANE TRANSPORTERS AND CHANNELS AS TARGETS FOR DRUGS

**Topic Editors:** 

**Graça Soveral,** University of Lisbon, Portugal **Cesare Indiveri,** University of Calabria, Italy

Transporters and channels are membrane proteins that mediate the traffic of metabolites, water and ions across biological membranes. Membrane transport proteins are crucial to maintain homeostasis and assure cell survival upon intracellular or environmental stress. A failure of any of these transport systems may have dramatic consequences for cell function.

There is increasing evidence that membrane transport proteins play important functions in healthy conditions and that their absence or dysfunction may cause diseases. In recent years much attention has been paid to diseases resulting from defective transporters ("carrier diseases") and ion channels ("channelopathies"). Very interestingly, altered expression of transporters has been described in several human pathologies.

On this basis, many transport proteins are well acknowledged targets for drugs. Many others are involved in drug delivery and disposition and/or are considered potential targets. Others are off-targets for drugs and then, are responsible for side effects. Thus, membrane protein drug discovery is now an emerging field where the search for physiological mechanisms of regulation and for chemical compounds as modulators of transport activity, present new opportunities for drug development and for new therapies.

This Research Topic addresses the latest research advances in membrane transport proteins, stimulating future research on these important protein families.

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# Targeting Endoplasmic Reticulum and/or Mitochondrial Ca<sup>2+</sup> Fluxes as Therapeutic Strategy for HCV Infection

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Chronic hepatitis C is characterized by metabolic disorders and by a microenvironment in the liver dominated by oxidative stress, inflammation and regeneration processes that can in the long term lead to liver cirrhosis and hepatocellular carcinoma. Several lines of evidence suggest that mitochondrial dysfunctions play a central role in these processes. However, how these dysfunctions are induced by the virus and whether they play a role in disease progression and neoplastic transformation remains to be determined. Most in vitro studies performed so far have shown that several of the hepatitis C virus (HCV) proteins also localize to mitochondria, but the consequences of these interactions on mitochondrial functions remain contradictory and need to be confirmed in the context of productively replicating virus and physiologically relevant in vitro and in vivo model systems. In the past decade we have been proposing a temporal sequence of events in the HCV-infected cell whereby the primary alteration is localized at the mitochondria-associated ER membranes and causes release of Ca<sup>2+</sup> from the ER, followed by uptake into mitochondria. This ensues successive mitochondrial dysfunction leading to the generation of reactive oxygen and nitrogen species and a progressive metabolic adaptive response consisting in decreased oxidative phosphorylation and enhanced aerobic glycolysis and lipogenesis. Here we resume the major results provided by our group in the context of HCV-mediated alterations of the cellular inter-compartmental calcium flux homeostasis and present new evidence suggesting targeting of ER and/or mitochondrial calcium transporters as a novel therapeutic strategy.

Keywords: HCV, mitochondria associated membranes (MAM), calcium channels, viroporin, oxidative phosphorylation, redox signaling

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#### INTRODUCTION

Liver disease related to HCV infection represents a major health burden worldwide (Di Bisceglie, 1998). Recent estimates suggest around 71 million chronically infected individuals, i.e., 1% of the world population (Cf. WHO Global Hepatitis Report 2017 | http://www.who.int/hepatitis/publications/global-hepatitis-report2017/en/). Approximately 80% of acutely infected individuals develop chronic infection which may progress to cirrhosis in 2–20% after 20 years and in 15–30% after 30 years. Once cirrhosis is established, the risk of hepatocellular carcinoma (HCC)

development is 1–5% per year (Alter and Seeff, 2000). Great progress has been achieved in the treatment of chronic hepatitis C in recent years. Currently available directly acting antivirals yield sustained virologic response rates >90%, with very well-tolerated and relatively short treatment regimens (Pawlotsky et al., 2015).

HCV is a positive strand RNA virus belonging to the *Flaviviridae* family and *Hepacivirus* genus discovered in 1989 (Choo et al., 1989). It infects hepatocytes, with the main steps of its life cycle involving: binding to membrane receptors and entry into the cell host; uncoating of the genome from the viral capsid; translation of the viral genome at the ER; replication and assembly; as well as release of the virus particles (Moradpour et al., 2007). Notably, HCV replication and virion assembly takes place in a specialized lipid-enriched cellular compartment of the infected host called membranous web (Dubuisson et al., 2002). It is important to remind that HCV is not cytolytic.

## MITOCHONDRIAL OXIDATIVE METABOLISM IN HCV INFECTION

The 9.6-kb HCV genome harbors a long open reading frame which is translated into a polyprotein of about 3000 amino acids. This is processed at the level of the ER by cellular and viral proteases to generate 10 proteins. Three of them (structural—core, E1, and E2) contribute to the virus particle, the others (non-structural—p7, NS2, NS3, NS4A, NS4B, NS5A, NS5B) are functional proteins necessary for replication and assembly of the virion (Moradpour and Penin, 2013).

It is amazing how such a limited number of proteins is sufficient to reroute host cell physiology to promote establishment of the infection and viral propagation. An example is given by the capability of HCV to evade innate immunity. This relies in part on the activation of MAVS (mitochondrial antiviral signaling protein) which is anchored on the mitochondrial outer membrane and that after binding of RIG-1 provides a recruiting platform for a number of factors whose activation leads to expression of interferon-β (Seth et al., 2005; West et al., 2011). One of the two viral proteases, the NS3-4A protease, cleaves MAVS, thereby impairing interferon induction (Li et al., 2005; Meylan et al., 2005; Bellecave et al., 2010). The involvement of mitochondria in the viral life cycle is even more pervasive. Indeed, HCV proteins were found to localize at contact sites between the ER and the mitochondrial compartment and move by lateral trafficking to the mitochondrial outer membrane (Mottola et al., 2002; Schwer et al., 2004; Griffin et al., 2005; Kasprzak et al., 2005; Suzuki et al., 2005; Nomura-Takigawa et al., 2006; Rouillé et al., 2006; Ripoli et al., 2010; Horner et al., 2011). The ER-mitochondria contact sites, also known as mitochondria associated membranes (MAMs) (Mannella et al., 1998; Rizzuto et al., 1998), are a well-organized intracellular synapse-like interorganelle communicating systems whose structural tethering components have been elucidated (Raturi and Simmen, 2013; Giorgi et al., 2015; Giacomello and Pellegrini, 2016). The main proposed function of MAMs is to provide a tightly controlled, localized flux of calcium from the ER store into mitochondria without raising its concentration in the cytosol (Rizzuto and Pozzan, 2006; Krols et al., 2016). Calcium is a recognized physiological modulator of the mitochondrial metabolism, though above a threshold level it becomes cytotoxic (Duchen, 2000).

On this background, we have investigated functional properties of mitochondria in the context of HCV infection. To this aim we used two well-established *in vitro* cell models. One is a tetracycline-regulated system allowing the inducible expression of the entire HCV polyprotein or of defined parts thereof in stably transfected U-2 OS human osteosarcoma cells (Moradpour et al., 1998); in the inducible system only transcription and translation of the viral proteins occurs. The other is an infective system where the virus accomplishes its entire life cycle in the permissive HCC-derived cell line Huh-7.5; to track infected cells GFP was inserted into the HCV genome (Moradpour et al., 2004; Schaller et al., 2007).

The main results of systematic studies carried over the past decade by our group are schematically illustrated in **Figure 1** (Piccoli et al., 2007; Ripoli et al., 2010; Quarato et al., 2012, 2014). It is shown that expression of the HCV proteins both in the inducible system and in Huh 7.5 cells transfected with infectious full-length HCV leads to profound alterations of the mitochondrial functions. These comprise: (i) intra-mitochondrial calcium (mtCa<sup>2+</sup>) overload; (ii) dissipation of the mitochondrial membrane potential ( $\Delta\Psi_m$ ), which correlates with inhibition of cell respiration and complex I (NADH dehydrogenase) activity; (iii) overproduction of reactive oxygen and nitrogen species (RO/NS). Time-resolved analysis demonstrated that mtCa<sup>2+</sup> overload was the earliest mitochondria-related alteration following induction of HCV protein expression (Piccoli et al., 2007; Quarato et al., 2012).

## INHIBITORS OF THE INTRA-MITOCHONDRIAL CA<sup>2+</sup> FLUX DAMPEN HCV-MEDIATED MITOCHONDRIAL DYSFUNCTION

The major transporter of Ca<sup>2+</sup> into mitochondria is the mitochondrial calcium uniporter (MCU) (De Stefani et al., 2011). MCU is part of a complex, comprising also regulatory subunits, mediating a  $\Delta \Psi_{\rm m}$ -driven accumulation of calcium ions on the negative site of the inner mitochondrial membrane (Marchi and Pinton, 2014; Granatiero et al., 2017). From the kinetic point of view, MCU is a very low-affinity, high-capacity transporter, meaning that though possessing a relatively high Km for Ca<sup>2+</sup> its abundance in the inner mitochondrial membranes makes mitochondria an efficient buffering compartment preventing harmful Ca<sup>2+</sup> rising in the cytosol (Deryabina et al., 2004). The kinetic limitation of MCU is overcome by MAMs, which releasing ER-calcium in its intermembrane space provides a relatively high-concentration Ca2+ microdomain (Rizzuto and Pozzan, 2006). The ER possesses a number of calcium releasing channels comprising inositol trisphosphate (IP3) and ryanodine receptors (Patterson et al., 2004; Hamilton, 2005).

Importantly, when cells inducibly expressing the HCV polyprotein were treated with ruthenium red (RR) or Ru360,

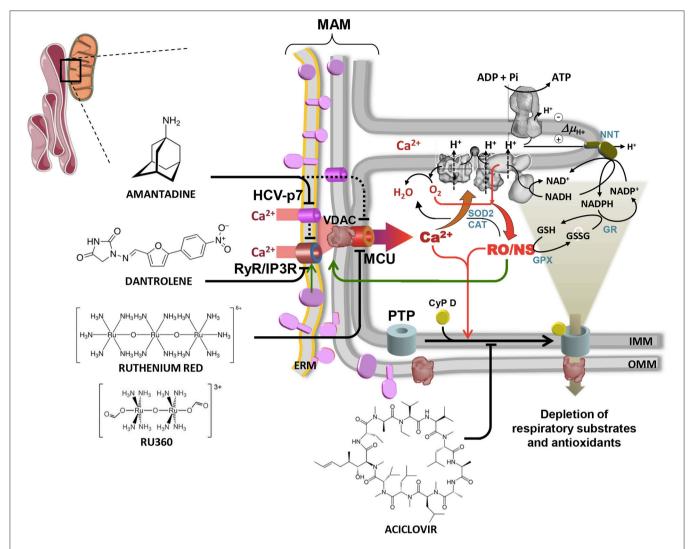


FIGURE 1 | Overview of the alterations in mitochondrial physiology linked to HCV protein expression and of drug-targetable ion channels and translocators. The contact site between endoplasmic reticulum and mitochondria is shown (mitochondrial associated membrane, MAM). HCV proteins are shown in purple on the ER membrane (ERM) and on the outer mitochondrial membrane (OMM) where they are likely transferred from ERM by lateral diffusion. Interaction of HCV proteins with the ERM-located Ca<sup>2+</sup> transporters (RyR/IP3R) is shown, causing localized increase of the [Ca<sup>2+</sup>], thereby promoting its uptake within the mitochondria via the voltage-dependent anion channel (VDAC) and the mitochondrial calcium uniporter (MCU) located in the OMM and IMM respectively. The viroporin HCV p7 is also shown to contribute to the mitochondrial Ca<sup>2+</sup> load. Activation of the ER unfolded protein response (UPR) linked to the stressing accumulation of HCV proteins might also be indirectly involved in the deregulation of the inter-organelle Ca<sup>2+</sup> fluxes (not shown). The increased intramitochondrial [Ca<sup>2+</sup>] affects components of the respiratory chain (RC) and activates mitochondrial nitric oxide synthase (not shown, but see text), thereby increasing generation of reactive oxygen and nitrogen species (RO/NS). RC is illustrated within the IMM cristae with complexes I, III, IV (from right to left). The F<sub>0</sub>F<sub>1</sub> H<sup>+</sup>-ATP synthase is also shown in the upper part of the crista. Electron transfer from NADH to  $O_2$  (forming  $H_2O$ ) and the chemiosmotic circuitry coupling RC proton pumping to the  $\Delta\Psi$ -driven ATP synthesis are shown by black arrowed lines. Electron leak from dysfunctional RC leads to ROS formation/accumulation (red arrowed lines) which is mitigated by the concerted action of Mn-superoxidase dismutase 2 (SOD2), catalase (CAT) and glutathione peroxidase (GPX) with glutathione reductase (GR) and nicotinamide nucleotide transhydrogenase (NNT) needed to regenerate reduced glutathione (GSH) at expense of NADPH. The enhanced intramitochondrial levels of Ca<sup>2+</sup> and RO/NS are shown to activate the permeability transition pore (PTP), illustrated schematically by the cyclophilin D (CyP D)-mediated assembly of OMM and IMM components. Opening of the PTP causes flush out of low molecular weight metabolites comprising NAD(P)+/NAD(P)H and GSH/GSSG. This leads to further impairment of the RC activity (and of the oxidative phosphorylation) and to reduced ROS scavenging with consequent worsening of the redox balance. RO/NS are finally shown to affect the activity of the Ca2+ transporting system (green arrow) with further entry of Ca2+ into the mitochondria, thereby fuelling a positive feedback mechanism. Blocking the above-illustrated cycle of alterations constitutes a rationale to develop therapeutic strategies. Accordingly, inhibitors of the ER Ca<sup>2+</sup> channel(s) (dantrolene), the viroporin p7 (amantadine), the MCU (ruthenium red/Ru360), the PTP (aciclovir) are shown. Reproduced with modifications from Quarato et al. (2013). Copyright (2013), with permission from Elsevier.

both inhibitors of the MCU (Broekemeier et al., 1994; Matlib et al., 1998), the above-reported mitochondrial alterations were fully prevented (Piccoli et al., 2007). A similar protection

was observed when these cells were treated with dantrolene, an inhibitor of the ER calcium channels (Piccoli et al., 2007).

Taken together, the results obtained led us to suggest a working model whereby the overload of mtCa<sup>2+</sup> is the seminal event in the successive alterations (Piccoli et al., 2006, 2009; Quarato et al., 2013). Possibly, overcrowding of HCV proteins at MAMs might affect the overall calcium retention capacity of the ER membranes as a consequence of a mild unfolded protein response (UPR) (Carreras-Sureda et al., 2017) or elicit a specific effect on the Ca2+ channels (Deniaud et al., 2008). The MCU-mediated load of Ca<sup>2+</sup> into the mitochondria drives alterations in the redox homeostasis. This might be achieved by activation of a Ca<sup>2+</sup>-dependent mitochondrial isoform of the nitric oxide synthase (Dedkova et al., 2004; Ghafourifar and Sen, 2007). NO is known to affect the mitochondrial respiratory chain by competitive inhibition of the cytochrome c oxidase and/or by covalent modification of complex I (Brown and Borutaite, 2004; Sarti et al., 2012). Impairment of the normal electron transfer in the respiratory chain results in enhanced electron leak to O2, with formation of the superoxide anion  $(O_2^{\bullet -})$  (Murphy, 2009), which is further converted to H<sub>2</sub>O<sub>2</sub> by the Mn-SOD. To support this model is the evidence provided by confocal microscopy analysis, using specific probes for NO, O2, and peroxides, showing a clear compartmentalization of the fluorescent signals resembling the mitochondrial network (Piccoli et al., 2007). Overproduction of ROS has been recurrently reported to enhance mtCa<sup>2+</sup> uptake likely by modification of redox sensitive cysteines of the ER calcium channels (Feissner et al., 2009; Görlach et al., 2015) and/or of the MCU (Dong et al., 2017). Accordingly, treatment of cells inducibly expressing the HCV polyprotein with the antioxidant N-acetylcysteine (NAC) prevented completely the mtCa<sup>2+</sup> overload as well as inhibition of the mitochondrial respiratory activity and of the  $\Delta\Psi_m$  generation (Piccoli et al.,

The enhanced levels of Ca<sup>2+</sup> and RO/NS into mitochondria proved to activate a long established feature of the organelle better known as mitochondria permeability transition (MPT) (Giorgio et al., 2017). MPT consists in increased non-specific conductance of the inner mitochondrial membrane to low molecular weight molecules (<1,500 Da). Activation of the MPT is attained by a number of factors promoting binding of cyclophilin D (Cyp D) to the MPT pore (Elrod and Molkentin, 2013). The molecular nature of the MPT pore has been elusive for a long time though recent evidence suggests the F<sub>0</sub>F<sub>1</sub>-ATP synthase as a plausible candidate (Bernardi et al., 2015; Jonas et al., 2015). Transient opening (i.e., flickering) of the MPT pore works as a relief valve-like system avoiding hyperpolarization of the inner mitochondrial membrane as well as recycling of Ca<sup>2+</sup> (Aon et al., 2008; Nivala et al., 2011). Conversely, permanent opening of the MPT pore causes exit of low molecular weight antioxidants (like glutathione) and redox coenzymes fostering oxidative stress as well as swelling of mitochondria because of its hyperosmolarity as compared with the cytosol (Di Lisa et al., 2001). This event can lead to autophagy of the organelle, apoptosis or necrosis depending on the prevailing cellular setting (Kroemer et al., 2007).

To verify the involvement of the MPT in the observed HCV-mediated mitochondrial dysfunctions we tested the effect

of alisporivir, a robust antiviral drug (Paeshuyse et al., 2006; Coelmont et al., 2009; Gallay and Lin, 2013). Alisporivir is a cyclosporin A analog but without immunosuppressive properties (Gallay and Lin, 2013). It binds to Cyp D, interfering with its opener function of the MPT (Elrod and Molkentin, 2013). When alisporivir was tested on the mitochondrial dysfunctions caused by HCV protein expression we found an impressive capability of the drug to fully prevent (and even reverse) the  $\Delta\Psi_m$  collapse, RO/NS production and mtCa<sup>2+</sup> overload (Quarato et al., 2012).

Combination of all the above reported observations supports a pathogenetic model for HCV infection whereby a self-nourishing mechanism is activated, consisting in positive feed-back loops initiated by the entry of Ca<sup>2+</sup> into mitochondria and fuelled by the ensuing RO/NS overproduction elicited by impaired activity of the respiratory chain (**Figure 1**). In such a cascade of events an essential role is seemingly played by mitochondrial and ER transporters (i.e., MCU, MPT pore, ER-Ca<sup>2+</sup> channels) since inhibition of either of them prevents and reverses the HCV protein-mediated mitochondrial alterations.

## EXPLORING THE HCV VIROPORIN p7 AS A POTENTIAL THERAPEUTIC TARGET

The impact of Ca<sup>2+</sup> flux homeostasis in the interplay between HCV and the host cell is also underlined by the presence in the HCV proteins of the viroporin p7 (Madan and Bartenschlager, 2015). p7 is a transmembrane protein constituted by two transmembrane helices which is thought to oligomerize in hexameric structures, forming a channel (Clarke et al., 2006). When inserted into artificial membranes, p7 proved to increase ionic conductance with selectivity toward cations (Griffin et al., 2003; Montserret et al., 2010; Wozniak et al., 2010). It accumulates in ER membranes and is particularly enriched at the MAMs sub-compartment (Griffin et al., 2004). Data obtained in vitro suggested a role of the antiviral drug amantadine in inhibiting HCV p7-mediated cation conductance (Griffin et al., 2003; Cook et al., 2013; see also Atoom et al., 2014). Given this premise and in keeping the observed mitochondrial alterations caused by HCV protein expression we tested on those the effects of amantadine, an adamantane-derived compound (Figure 1). We found that amantadine not only prevented but also rescued HCV protein-mediated mitochondrial dysfunction in cells inducibly expressing the HCV polyprotein (Quarato et al., 2014). Specifically, amantadine corrected: (i) overload of mitochondrial Ca<sup>2+</sup>; (ii) inhibition of respiratory chain activity and oxidative phosphorylation; (iii) reduction of membrane potential; (iv) overproduction of reactive oxygen species. The effects of amantadine were observed within 15 min following drug administration and confirmed in Huh-7.5 cells transfected with the infectious full-length HCV genome. However, these effects were also observed in cells expressing subgenomic HCV constructs, indicating that they are not mediated or only in part mediated by p7. Single organelle analyses carried out on isolated mouse liver mitochondria demonstrated that amantadine induces hyperpolarization of the membrane potential (Quarato et al., 2014). Moreover, amantadine treatment increased the

calcium threshold required to trigger mitochondrial permeability transition opening (Quarato et al., 2014). These results led to the conclusion that amantadine displays off-target effects likely relatable to Ca<sup>2+</sup> transporting systems of the host cell.

## METABOLIC REWIRING OF HOST CELL BY HCV

Surprisingly, in spite of the overt impairment of the mitochondrial respiratory chain activity and of the concurrent oxidative phosphorylation (OxPhos), HCV-infected cells did not show evident signs of sufferance or bioenergetic failure. Indeed, the difference in growth rate and viability of both HCV-induced U2-OS cells and HCV-infected Huh-7 cells was negligible as compared with control cells. Accordingly, the cellular ATP level was unaffected, if not increased, in HCV-induced U2-OS cells grown in glucose-containing media (Piccoli et al., 2007). Though this is consistent with the noncytopathic property of HCV, it implies the need to understand how the virus rewires the host cell metabolism (Diamond et al., 2010).

An important factor and regulator of metabolism in cells challenged by stressing conditions is constituted by hypoxia inducible factor 1α (HIF-1α) (Wang and Semenza, 1993). HIF-1α is rapidly degraded under normal oxygen tension following hydroxylation by O2- and 2-oxo-glutarate-dependent prolyl hydroxylases (PDHs) (Bruick and McKnight, 2001). The hydroxylated HIF-1α is then ubiquitinated and steered toward proteasomal degradation (Mole et al., 2001). Conversely, under hypoxic conditions the hydroxylation of HIF-1 $\alpha$  is dampened and it accumulates and moves to the nucleus where promotes transcription of a number of prosurvival genes, including those coding for glycolytic enzymes (Semenza et al., 1994; Wang et al., 1995). However, conditions different from hypoxia, which in turn inhibit PDH activity (i.e., RO/NS and/or competing 2-oxo-acids) result in stabilization of HIF-1α also under normoxia (Déry et al., 2005; Pugh, 2016; Figure 2A).

We demonstrated that HIF-1α is stabilized under normoxic conditions both in HCV-induced U2-OS and HCV-infected Huh-7 cells as well as in patients' liver biopsies (Ripoli et al., 2010; see also Nasimuzzaman et al., 2007; Wilson et al., 2012). Consistent with this finding, we showed that the HIF target genes coding for the glycolytic enzymes hexokinases I and II (HKI, HKII) were both upregulated at the transcriptional and protein levels. This would indicate a metabolic shift toward aerobic glycolysis that we supported by an observed higher release of lactate in HCV-induced U2-OS cells (Figure 2B). It is worth considering that HKII was shown to interact with the outer mitochondrial membrane at the level of the voltage-dependent anion channel (VDAC) and to prevent MPT activation (Pastorino et al., 2005; Chiara et al., 2008). Also, HKI was found to interact with the outer mitochondrial membrane, thereby blocking apoptotic signals (Abu-Hamad et al., 2008; Schindler and Foley, 2013).

On this basis, we proposed a model, consistent with other reported evidence, whereby the MPT pore oscillates between the closed and open state under the positive influence of HKII, which restrains the effects of RO/NS and mtCa<sup>2+</sup> (Quarato et al., 2012). Stabilization of HIF-1 $\alpha$ , up-regulating the expression of HKII, is likely to be linked to activation of the AKTmTOR pathway (Land and Tee, 2007; Agani and Jiang, 2013). Indeed it has been suggested that PI3K, the upstream activator of AKT, binds the HCV NS5A protein which activates it permanently (Street et al., 2005). Moreover, the active form of AKT deactivates GSK-3B, which by phosphorylation of VDAC displaces HKII, promoting permanent opening of the MPTP under stressing conditions (Pastorino et al., 2005; see also Chiara et al., 2008). In agreement with this proposal, we found that the phosphorylation state of AKT and GSK-3ß was enhanced both in HCV-induced U2-OS and in HCV-transfected Huh 7.5 cells (Figure 2D).

We have here a remarkable example of the strategy put in action by HCV which although impairing the most important energetic powerhouse of the cell (i.e., the mitochondrial OxPhos system) at the same time tunes the consequent effects evading premature cell-death signaling of the host cell.

## INHIBITORS OF THE ER AND MITOCHONDRIAL CA<sup>2+</sup> CHANNEL/PORTER DAMPER LIPOGENESIS IN HCV INFECTED CELLS

A recently emerged property of HCV is the enhanced mitophagy in the host cell (Kim et al., 2013, 2014; Ruggieri et al., 2014). Mitophagy is a selective autophagic degradation of mitochondria which is part of a quality control processing of the cell (Anding and Baehrecke, 2017). The main trigger for recognizing damaged mitochondria is a drop in the membrane potential which recruits and activates mitophagic factors like PINK1 and parkin to initiate first the fission of the mitochondrial network and then the engulfment of the isolated mitochondria into isolation membranes to become mitophagosomes (Zimmermann and Reichert, 2017). However, and remarkably in the context of HCV infection, this process appears to be somehow abortive since the mitophagosomes, instead of fusing with lysosomes, have been reported to accumulate, together with lipid droplets, in the membranous web which is the peculiar environment where HCV RNA replication takes place (Hara et al., 2014). Blocking this process results in suppression of the viral replication (Fang et al., 2017). This is possibly another strategy to hinder the MAVS-mediated immune response.

Accumulation of lipid droplets can be easily detected by staining cells with Oil Red O. **Figure 3** shows the impressive accumulation of lipid droplets in HCV-infected Huh 7.5 cells and the co-localization of them with GFP-labeled NS5A HCV protein. Similar results were obtained with HCV-induced U2-OS cells. This observation clearly implies an HCV-mediated deregulation of fatty acid metabolism, which may derive from an inhibition of fatty acid oxidation (FAO) or increased fatty acid synthesis

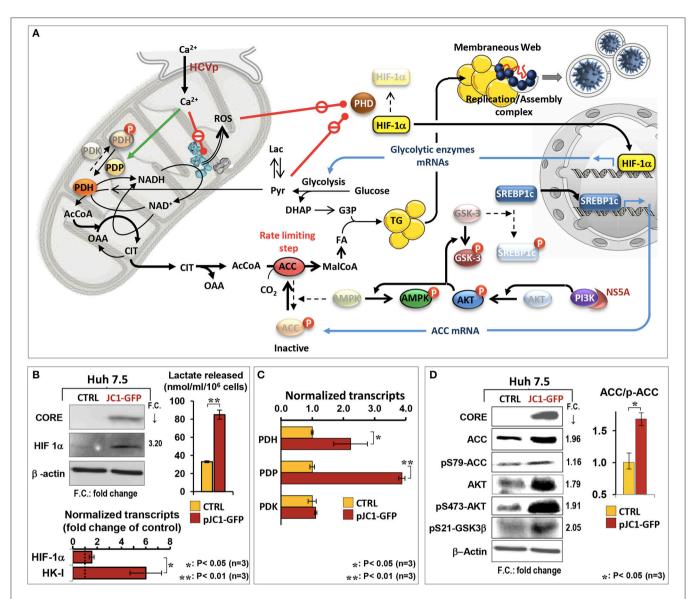


FIGURE 2 | HCV induces rewiring of cell metabolism in infected cells. (A) The scheme summarizes major changes in the metabolic pathways induced by HCV infection as supported by evidence reported in the literature and by the unpublished results showed in panels (B-D) obtained in HCV Jc1 RNA-transfected Huh-7.5 cells in vitro. HCV protein-induced enhanced entry of Ca<sup>2+</sup> into mitochondria is shown to dampen the respiratory chain activity and oxidative phosphorylation (OxPhos) and to elicit increased reactive oxygen species (ROS) production. These inhibit the prolyl-hydroxylase (PHD) leading to stabilization of the hypoxia induced transcription factor (HIF-1a) which controls the expression of the glycolytic enzymes, thereby shifting cell metabolism toward aerobic glycolysis. (B) shows the stabilization of HIF-1\alpha and the consequent metabolic shift evidenced by upregulation of the hexokinase I (HK-I) transcript and by increased lactate release in transfected Huh-7.5 cells. The enhanced glycolytic flux leads to accumulation of pyruvate which proved to further inhibit PHD. Pyruvate enters into mitochondria where it is converted in acetyl-CoA (AcCoA) by the pyruvate dehydrogenase (PDH). The HCV protein-mediated load of Ca2+ into mitochondria is shown to activate the pyruvate dehydrogenase phosphate (PDP), which controls the activity of the PDH. To note, at the transcriptional level both PDH and PDP (but not the pyruvate dehydrogenase kinase (PDK)] are significantly up-regulated in HCV RNA-transfected Huh-7.5 cells (C). The enhanced production of AcCoA leads to formation of citrate (CIT), which because of the limited availability of oxidized NAD+ (caused by impaired respiratory chain activity) is not further transformed via the tricarboxylic cycle and exits from mitochondria to shuttle AcCoA in the cytosol. The cytosolic AcCoA functions as precursor for the de novo synthesis of fatty acids (FA) that with intermediates of glycolysis forms triglycerides (TG) accumulating as lipid droplets. (D) shows that HCV RNA-transfected Huh-7.5 cells displays a two-fold increased expression of the acetyl CoA carboxylase (ACC), the controlling step in FA synthesis. ACC activity is controlled by its inactivating phosphorylation mediated by the AMP-activated protein kinase (AMPK) which is in turn controlled by the phosphorylated state of Akt/protein kinase B. Phosphorylation of AKT is mediated by activation of the phosphatidylinositol 3-kinase (PI3K), which has been reported to interact with HCV NS5A. Notably, the phosphorylated AKT is known to inactivate the glycogen synthase kinase 3β (GSK3β) which inhibits the activity of the transcription factor sterol regulatory element-binding protein 1c (SREBP 1c) controlling the expression of ACC. Consistently, the Western blots in panel (D) show enhanced phosphorylation of both AKT and GSK3ß. The inability of HCV RNA-transfected to properly oxidize FA by the mitochondrial β-oxidation (requiring efficient respiratory chain) may lead to cytosolic accumulation of acyl-CoA which flow into TG synthesis (not shown). Lipid droplets results in formation of a membraneous web, contributed also by the HCV protein induced extensive rearrangement of host cell membranes, which contains the sites of viral replication and possibly assembly.

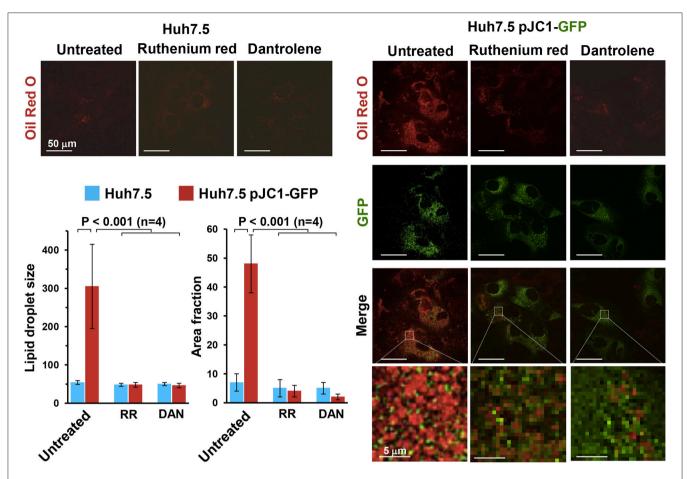


FIGURE 3 | Effect of ruthenium red and dantrolene on lipid droplet formation in transiently HCV RNA-transfected Huh-7.5 cells. Lipid droplets were stained with Oil Red O (3 mg/ml for 60 min) and imaged by laser scanning confocal microscopy. Representative images of control and Jc1-GFP RNA-transfected Huh-7.5 cells are shown illustrating the merge of Oil Red O (red) and GFP-related (green) fluorescence signals; the latter was used to track HCV-transfected cells (>90% of the cell population). Control Huh-7.5 cells were subjected to the transfection protocol but without HCV RNA. The time point after transfection was 72 h (see Ripoli et al., 2010 for further details). Where indicated 5 μM Ruthenium red (RR) or 10 μM dantrolene (DAN) were added soon after transfection. Enlargements of the merged pictures are also shown to better visualize lipid droplets in HCV RNA-transfected Huh-7.5 cells. The histograms of the Oil Red O-related fluorescence in GFP-positive transfected cells comparing untreated and drug-untreated cells are shown and refer to the averaged size and to the occupied cellular area fraction of the lipid droplets. The fluorescence intensity was assessed by averaging 10–20 cells from each of at least 10 optical fields under each condition using ImageJ 1.49v (http://imagej.nih. gov/ij). The average of four independent experiments plus the standard error of the mean (SEM), along with statistical analysis, is shown.

(FAS) or both. Deregulation of peroxisome proliferator-activated receptors (PPARs), the master transcription factors regulating lipid metabolism, is likely to be involved (Agriesti et al., 2012). Notably, treatment of infected cells with either dantrolene or RR prevented lipid droplet accumulation, pointing once again to alteration of the ER-mitochondria calcium flux as a germinal event in HCV infection.

Hampering of FAO can be envisioned as a consequence of the HCV-mediated impairment of respiratory chain function which limits the proper redox recycling of the coenzymes required in fatty acid  $\beta$ -oxidation. In addition, flickering of the MPT would result in progressive leakage from the mitochondrial compartment of factors needed for FAO (like carnitine) (Di Lisa et al., 2001).

However, also an enhanced fatty acid and triglyceride (TG) biosynthesis is likely to occur concurrently with FAO dampening. Consistently, we found up-regulation of the FAS rate-limiting

enzyme acetyl CoA carboxylase (Wakil et al., 1983; Kim, 1997) both in terms of enhanced expression and of posttranslational activating phosphorylation via the PI3K-AKT axis (Hardie, 1989; Figure 2D). Activation of the transcription factor SREBP1c, controlling the expression of ACC, is known to be linked to the AKT-mediated inactivation of GSK-3ß (Kim et al., 2004; Park et al., 2009; Yecies et al., 2011). Such a signaling pathway was recently found to upregulate HCV RNA translation (Shi et al., 2016). Precursors for FAS and TG are provided by glycolytic metabolites. Acetyl CoA is largely derived by pyruvate oxidative decarboxylation, catalyzed by the pyruvate dehydrogenase (PDH) complex, and via the citrate shuttle released in the cytoplasm. The PDH function is tightly controlled by its phosphorylation state, which in turn depends on the balanced activities of a PDH kinase (PDK) and a PDH phosphatase (PDP) (Roche et al., 2001). To note, we found that HCV full-lenght RNA-transfected

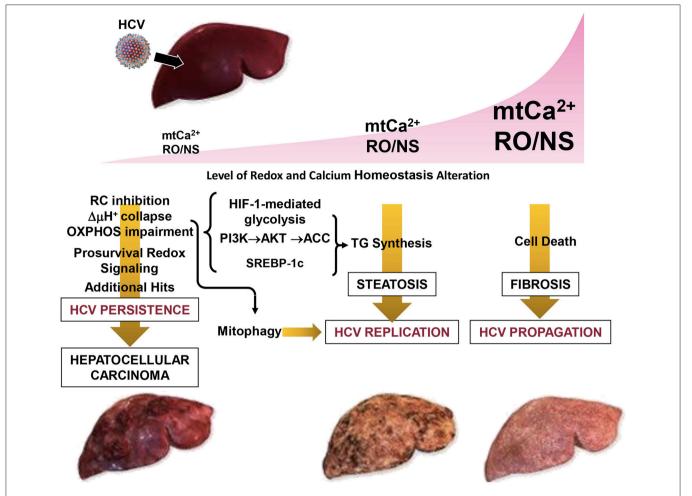


FIGURE 4 | Pathogenetic model of HCV-mediated alterations. The development of disease in patients with chronic hepatitis C is modeled as a function of the level of the oxidative alteration and of the mt-Ca<sup>2+</sup> load. Three pathogenic settings are presented. Low RO/NS- and mt-Ca<sup>2+</sup> -dependent stress level activates a pro-survival and proliferative adaptive response by redox signaling. The flickering balance between the mitochondrial PTP closed/open configuration is set to a level that causes collapse of the respiratory chain-mediated protonmotive force (i.e.,  $\Delta\mu H^+$ ), with consequent impairment of ATP synthesis by the FoF1-H<sup>+</sup> ATP synthase. This forces the infected cell to shift its energy-supplying metabolism toward glycolysis by activation of the transcription factor HIF- $1\alpha$ . Collapse of the  $\Delta\mu H^+$  is a trigger for selective removal of damaged mitochondria by the organelle-specific autophagic machinery (i.e., mitophagy), which is required for HCV replication. Such a prosurvival setting in the host cell facilitates HCV persistence. However, if additional (mutagenic) hits accumulate over the time this may result in clonal expansion, leading to hepatocellular carcinoma. Intermediate levels of RO/NS and mt-Ca<sup>2+</sup> enhance the closed to open transition of the PTP causing, among others, depletion of low-molecular weight metabolites (i.e., glutathione, NAD+, carnitine, coenzyme A) needed to guarantee antioxidant capacity and import of long chain acyl-CoA (AcCoA) for β-oxidation. Accumulation of acyl-CoA leads to conversion into triglycerides (TG). Other factors, described in Figure 2 (i.e., activation of HIF-1α, PI3K-Akt-ACC) and of the sterol regulatory element-binding protein (SREBP-1c) may contribute to enhanced de novo lipogenesis. All together this may account for the steatosis which can be observed in HCV-infected hepatocytes. Accumulation of lipid droplets in the cytoplasm is believed to provide an assembly platform for HCV. High intramitochondrial concentrations of Ca<sup>2+</sup> and ROS induces permanent opening of the PTP causing osmotic swelling and rupture of the outer mitochondria membrane. The consequent release of cytochrome c and other pro-apoptotic factors triggers the caspase cascade. Depending on the intracellular ATP level, this would lead to apoptosis or necrosis activating, in the last case, tissue fibrosis. Reproduced with modifications from Quarato et al. (2013), Copyright (2013), with permission from Elsevier.

Huh 7.5 cells displayed enhanced transcript levels of PDH and PDP (**Figure 2C**). Remarkably, mtCa<sup>2+</sup> is required to stimulate the PDH phosphatase and consequently the PDH, which is more active in its dephosphorylated state (Huang et al., 1998; Denton, 2009). This notion would explain the observed inhibition of lipid droplet accumulation in HCV-infected cells when treated with inhibitors of either the MCU or ER-calcium channel(s).

#### **CONCLUSIONS**

In conclusion, the emerging strategy put in action by HCV is consistent with a fine rewiring of host cell metabolism. This is achieved by depressing mitochondrial OxPhos while fostering glycolysis which provides, in addition to energy, precursors for biosynthetic processes. A major and perhaps germinal event appears to be a deregulation of Ca<sup>2+</sup> flux

homeostasis between the ER and mitochondria at specialized contact sites. This would selectively target mitochondria, avoiding large changes of the Ca<sup>2+</sup> concentration in the cytosol, thus preserving cell viability. The ensued increase of mtCa<sup>2+</sup> leads to changes in the mitochondrial redox tone. This results in progressive dysfunction of the respiratory chain activity and the resulting OxPhos failure is bioenergetically compensated by an enhanced glycolytic flux. In this context, concurrent or consequent activation of prosurvival transcription factors contributes to dampen cell death. Realization of a membranous web constituted by accumulation of lipid droplets, and possibly by incomplete mitophagy, provides a suitable platform for HCV replication and virus particles assembly. Depending on the prevailing conditions (i.e., the level of the oxidative alterations and of the mtCa<sup>2+</sup> load) in such a multistep process, HCV infection can progress to different clinical outcomes including steatosis, fibrosis, HCC (Figure 4).

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Importantly, the development of drugs selectively targeting ER and/or mitochondrial calcium channels might represent a potential strategy in support of standard HCV therapies.

#### **AUTHOR CONTRIBUTIONS**

RS: Carried out the experiments, analyzed the results; CP: Designed and supervised the study, carried out the experiments; DM: Provided expertize, samples and critical feedback, assisted in writing the paper; NC: Designed the study, supervised the project, wrote the paper.

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## **Targeting Channels and Transporters** in Protozoan Parasite Infections

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Infectious diseases caused by pathogenic protozoa are among the most significant causes of death in humans. Therapeutic options are scarce and massively challenged by the emergence of resistant parasite strains. Many of the current anti-parasite drugs target soluble enzymes, generate unspecific oxidative stress, or act by an unresolved mechanism within the parasite. In recent years, collections of drug-like compounds derived from large-scale phenotypic screenings, such as the *malaria* or *pathogen box*, have been made available to researchers free of charge boosting the identification of novel promising targets. Remarkably, several of the compound hits have been found to inhibit membrane proteins at the periphery of the parasites, i.e., channels and transporters for ions and metabolites. In this review, we will focus on the progress made on targeting channels and transporters at different levels and the potential for use against infections with apicomplexan parasites mainly *Plasmodium* spp. (malaria) and *Toxoplasma gondii* (toxoplasmosis), with kinetoplastids *Trypanosoma brucei* (sleeping sickness), *Trypanosoma cruzi* (Chagas disease), and *Leishmania* ssp. (leishmaniasis), and the amoeba *Entamoeba histolytica* (amoebiasis).

Keywords: drug target, transport, infection, resistance, parasite, malaria, protozoa

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## HUMAN-PATHOGENIC PROTOZOA, CURRENT TREATMENT, RESISTANCE

#### **Apicomplexa**

With more than 200 million new infections per year, malaria-causing *Plasmodium* spp. are the most prominent parasites. The death toll of malaria is still >400,000 per year. About 90% of the cases occur in the WHO African Region and are caused almost exclusively by the species *Plasmodium falciparum*. In the subtropical zones outside of Africa, *Plasmodium vivax* is responsible for up to 64% of the cases. Ongoing efforts to eradicate malaria are hampered by the lack of effective antisera and the spreading of resistant strains against antimalarial treatment (WHO, 2017b). Hence, current research aims at identifying suitable epitopes for future immunization programs, and discovery of new drug targets to establish novel modes of antimalarial drug action.

The current first-line treatment of uncomplicated *P. falciparum* malaria is an oral artemisinin-based combination therapy (WHO, 2015). The mechanisms of how artemisinin and its derivatives, such as artesunate and artemether, attain antimalarial activity are thought to reside in generating oxidative stress by liberating reactive oxygen species from an internal peroxo-moiety, and in affecting a calcium ATPase (SERCA or PfATP6) of the sarcoplasmic-endoplasmic reticulum (Moore et al., 2011). The half-life of the fast-acting artemisinins is very short, typically around 1 h. To maintain this highly efficient therapeutic option in view of an increasing number of mutant parasite strains with varying degrees of resistance to the artemisinins (Jambou et al., 2005), these

compounds are combined with drugs that exhibit longer halflives (WHO, 2001; Kavishe et al., 2017). Patients infected with P. vivax, Plasmodium ovale, Plasmodium malariae, or Plasmodium knowlesi are equally treated with an artemisinin combination therapy or with chloroquine depending on the sensitivity of the infecting strain. For a number of decades, chloroquine was used for monotherapy until massive resistance occurred. Chloroquine accumulates in the parasite's digestive vacuole and interferes with heme-detoxification during hydrolysis of hemoglobin from the host (Slater, 1993; Thomé et al., 2013; WHO, 2015). For preventing relapse from dormant liver stages after infections with P. vivax or P. ovale, the use of primaquine is recommended (Fernando et al., 2011; Mikolajczak et al., 2015; Lalève et al., 2016). Complicated infections require rapid administration via intravenous or intramuscular injections of artesunate for at least 24 h followed by artemisinin-based combination therapy (Abiodun et al., 2013; WHO, 2015).

A malaria-related, tick-borne disease, babesiosis, normally occurs in livestock and domestic animals, and only occasionally emerges in humans. Most cases of human babesiosis are caused by *Babesia microti*. First-line treatment is a combination of the ubiquinone analog atovaquone and the antibiotic macrolide azithromycin (Krause et al., 2000).

Another apicomplexan parasite, *Toxoplasma gondii*, causes the food-borne disease toxoplasmosis. It is estimated that 30–50% of the world's population are infected with this parasite. It persists, often life-long, in the host in a dormant, cystic bradyzoite form. Although the infection usually occurs asymptomatic, it can evolve to a life-threating illness in immune-compromised patients. Infections of pregnant women can be transmitted to the fetus giving rise to spontaneous abortion or stillbirth (Flegr et al., 2014). Toxoplasmosis is treated with the dihydrofolate reductase inhibitor pyrimethamine or the antibiotic sulfadiazine. Second-line drugs are azithromycin, clarithromycin, atovaquone, dapsone, and cotrimoxazole. Due to side effects and ineffectiveness against the dormant bradyzoite form novel therapeutics are urgently needed (Petersen and Schmidt, 2003).

#### **Kinetoplastids**

Parasites of the phylum euglenozoa, i.e., the kinetoplastids, are the causative agents of various infections that are classified as neglected tropical diseases. Overall, it is estimated that one billion people in tropical and subtropical countries are affected. Infections with Leishmania spp. lead to cutaneous (Leishmania major, Leishmania tropica) mucocutaneous (Leishmania braziliensis), or visceral leishmaniasis (Leishmania donovani, Leishmania infantum), which is spread by sandflies. About 250,000 new cases are registered per year in 87 countries (WHO, 2017a). For treatment, sodium stibogluconate, amphotericin B, miltefosine, paromomycin, and pentamidine are used; yet, the therapy needs major improvement as it is characterized by high levels of toxicity for the patient. Further, resistance against the drugs, in particular to the pentavalent antimonial stibogluconate, strongly limits their usability (Loiseau and Bories, 2006; Ponte-Sucre et al., 2017).

Parasites of a related kinetoplastid species, Trypanosoma, cause life-threatening infections, i.e., human African trypanosomiasis or sleeping sickness (Trypanosoma brucei) and Chagas disease (Trypanosoma cruzi). Human African trypanosomiasis is spread by the tsetse fly in tropical Africa. Approximately 3,000 cases were reported in 2016 (WHO, 2016). In the first hemolytic stage, T. brucei replicates extracellularly in the host blood causing fever and joint pain among other symptoms. In the second, severe neurological stage of the disease, the parasite reaches the central nervous system. Patients suffer from disruption of the sleep-wake cycle and irreparable neurological damage. Parasites in the peripheral blood stream can be attacked by the drugs suramin and pentamidine. For the central nervous system form, only the mercurial melarsoprol and eflornithine are available (Brun et al., 2010). As in the case of leishmaniasis, modern, i.e., less toxic and more effective drugs are needed. T. cruzi-derived Chagas disease is prevalent in Latin-America claiming 14.000 deaths per year. An estimated 6 million people are infected by T. cruzi spread by the bug Triatoma infestans (also kissing bug or winchuka). As a treatment, the chemical radical-producing drug benznidazole and nifurtimox are available. With only two compounds at hand, limited success rates and severe side-effects, new drugs are required against this parasite (Castro et al., 2006).

#### Amoebae

The free-living amoebozoan parasite Entamoeba histolytica causes amoebiasis. With an estimated death toll of 40,000-100,000 per year, it ranges second behind Plasmodium infections (Stanley, 2003). The disease is most prevalent in but not restricted to the tropics when sanitation is poor. Although the majority of infections progress asymptomatically, a life-threatening amoebic colitis can manifest. E. histolytica forms hardy, infectious cysts that are ingested by the host via contaminated food or water. After reaching the colon, the cysts transform into trophozoites that are capable of invading the intestinal mucosa. When breaching the mucosa, trophozoites can disseminate, among others, to the liver and the central nervous system causing serious complications, i.e., amoebic abscesses (Shirley and Moonah, 2016). First line treatment is a combination of the antibiotics metronidazole and paromomycin. Alternative, second line treatments for metronidazole are other nitroimidazoles, e.g., tinidazole or ornidazole, and the broad-spectrum antiparasitic nitazoxanide. Paromomycin can be substituted by diiodohydroxyquinoline and diloxanide, both drugs act by a sofar unresolved mechanism and may not be effective against all strains (McAuley and Juranek, 1992).

The severity of infections by protozoan parasites, the limited arsenal of drugs, often with hardly tolerable side effects, and the increasing resistance problem call for novel approaches. The scope of this review is, thus, to discuss the potential of channel and transport proteins as novel targets for anti-parasite chemotherapy in terms of druggability, selectivity, and proneness to resistance. There is considerably more data available from the malaria research field compared to the more neglected parasite-caused diseases. A major criterion for inclusion of a channel or transporter into this review was existing proof of

principle involving first small-molecule inhibitors that exhibit anti-parasitic potency.

## TARGETING TRANSPORT PROCESSES OF PARASITES AT DIFFERENT LEVELS

Transmembrane transporters and channels are usually classified based on their biophysical and biochemical properties, such as mechanism of transport and substrate selectivity. We decided to provide a pharmacological and pharmaceutical view on the topic and structured this manuscript based on the location of the transporter of interest and, accordingly, the site of action of a respective drug (Figure 1). We will approach the parasite from the outside, first hitting the host cell in the case of intracellular parasites. i. Indirect targeting. If it is possible to address infected host cells selectively by targeting transport proteins of the host cell plasma membrane this would leave the parasite little options for defending itself against the attack. Malaria parasites for instance are known to modify the functionality of red blood cell proteins and to integrate plasmodial membrane proteins into the erythrocyte membrane. ii. Peripheral targeting. Target proteins residing in a parasite's plasma membrane possibly can be inhibited from the outside. In this case and in indirect targeting, resistance mechanisms would be limited to changing the drug binding site of the target protein. iii. Internal targeting. For targeting transporters within a parasite, i.e., at organelles such as the digestive vacuole or mitochondria, respective drugs would need to enter the parasite's cytosol. In this case, the parasite has additional means to generate resistance, either by preventing uptake of the compound, by altering it chemically, or by pumping it out via efflux transporters. Hence, we will also address iv. Targeting drug efflux transporters.

## Indirect Targeting—Channels and Transporters of the Infected Host Cell Membrane

It was recognized early on in malaria research that the transport of ions, amino acids, and other nutrients across the plasma membrane of infected red blood cells increases compared to uninfected cells. This way, the parasite actively adapts the ionic environment inside the erythrocyte to its needs and ensures access to nutrients from the host blood. Over the years, it has become evident that such new permeability pathways (NPP) are not only due to infection-dependent alteration of the host membrane proteins but also to export of Plasmodium-derived proteins and integration into the host cell membrane (Overman, 1947; Ginsburg et al., 1985; Desai et al., 2000; Huber et al., 2002). Proteins at the erythrocyte plasma membrane pose attractive targeting sites as the respective inhibitor compounds would not be in direct contact with the parasite. Interference by the parasite with drug action would be restricted to alteration of the protein resulting from gene mutations, whereas other means, such as expedited drug export or metabolism would not be applicable. It remains to be shown, however, whether this indirect approach can reliably kill parasites (Cohn et al., 2003). The efficiency of compounds that indirectly target parasites is summarized in Table 1

#### Plasmodial Surface Anion Channel

One extensively studied type of conductivity of the red blood cell membrane that is brought about upon infection is derived from the plasmodial surface anion channel (PSAC; Figure 1). Despite a still elusive protein identity, permeability for various substrates, such as sugars, amino acids, nucleosides, and inorganic anions and cations, has been attributed to this voltage-dependent channel (Ginsburg et al., 1985; Kirk and Horner, 1995; Upston and Gero, 1995; Saliba et al., 1998; Hill et al., 2007). PSAC seems to transport the mentioned substrates via two different routes within the protein or protein complex. One path is said to be used primarily for alanine and sorbitol uptake and can be blocked by furosemide, whereas the other one conducts mainly proline and the unnatural substrate phenyltrimethylammonium (PhTMA). The latter path is sensitive to so-called PSAC residual transport inhibitors, abbreviated as PRT (Alkhalil et al., 2004; Pain et al., 2016). Based on this finding, full blockade of PSAC may require a combination of a PRT inhibitor plus a furosemide derivative. For example, PRT1-20 alone (Table 1) yielded an IC<sub>50</sub> on P. falciparum growth of 5 μM; in combination with furosemide it was 10 times lower (Pain et al., 2016). Toward identification of the PSAC protein or regulators thereof, the finding could become helpful that certain parasite strains are susceptible to one particular group of PSAC inhibitors. This pointed to two genes, CLAG3.1 and CLAG3.2 (cytoadherence linked asexual protein) of which only one appears to be active at a time. Mutations in the genes led to modified PSAC activity. Further, epigenetic regulation of the CLAG3 genes was suggested to modulate PSAC (Sharma et al., 2013; Nguitragool et al., 2014). To illustrate this, ISPA-28 (Table 1), an isolate-specific PSAC antagonist, exhibited an IC50 of 56 nM against the P. falciparum Dd2 strain and 43 µM against the HB3 strain, i.e., a value higher by three orders of magnitude. When the CLAG3 gene of the Dd2 strain was transferred to the HB3 strain, it showed the same nanomolar susceptibility (Nguitragool et al., 2011, 2014). Clearly, identification of the true nature of the PSAC protein and/or components as well as expression or reconstitution in a heterologous or artificial system would be highly appreciated for in-depth structure-function analyses, inhibitor screening and development.

#### **Host Ion Channels**

Besides the parasite-derived PSAC, host encoded transport proteins of the erythrocyte may be exploited as drug targets if their functionality changes with infection. Oxidative stress was shown to alter potassium and chloride conductance of infected red blood cells (Staines et al., 2001; Huber et al., 2004). However, specific inhibitors are yet to be found to determine their potential as anti-parasite drugs.

There is evidence that in the liver-stage, *Plasmodium berghei* infection leads to a sevenfold increase in chloride conductance of the host's volume-regulated anion channel, VRAC, as found using a human hepatoma cell line (**Figure 1**; Prudêncio et al., 2009). Conductivity was inhibited by tamoxifen, and

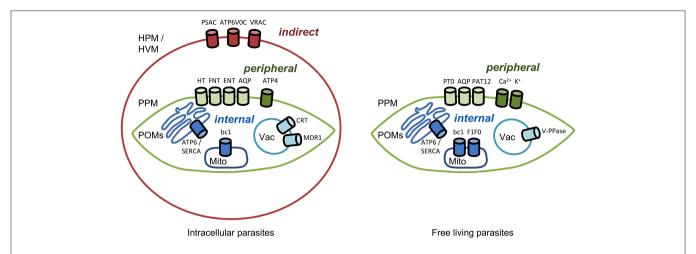


FIGURE 1 | Channels and transporters of parasites as targets for *indirect*, *peripheral*, and *internal* therapeutic attacks. HPM, host plasma membrane; HVM, host vacuolar membrane; PPM, parasite plasma membrane; POMs, parasite organelle membranes; Mito, mitochondrion; Vac, vacuole. Abbreviations of the channel and transporter proteins are explained in the text.

mefloquine at single-digit micromolar concentrations (**Table 1**). The underlying mechanism of channel activation by the parasite, the effect of estrogen receptor modulators on VRAC conductivity, and, ultimately, whether indirect targeting of VRAC would be suitable for malarial therapy of the liver stage is not clear at this time. With the system and compounds at hand further investigations will be possible that may shed some light on the phenomenon.

#### Host V-Type Proton ATPase

In the search of new drug targets against  $L.\ donovani$ , Muylder et al. chose a strategy of host-directed therapy. The amastigote form of the parasite develops primarily inside phagocytic cells and is inert to digesting enzymes. A screening assay using a human macrophage cell line infected with  $L.\ donovani$  yielded one hit compound, a  $\mu$ -opioid receptor antagonist naloxonazine (de Muylder et al., 2011; **Table 1**). It turned out that naloxonazine upregulates expression of the V-type proton ATPase subunit C, ATP6V0C. This upregulation was linked to an increase in the volume of intracellular acidic vacuoles suggesting an *indirect* effect on Leishmania amastigotes through host cell vacuolar remodeling (de Muylder et al., 2016). How such a therapy would be tolerated by the host and whether the parasites will find ways to adapt to the remodeled vacuoles is not known.

#### **Host Nutrient Channels and Transporters**

We describe two examples illustrating that nutrient transport of the host cell affects growth of *P. berghei* parasites, i.e., in the blood-stage depending on the glycerol permeability of an aquaporin (Liu et al., 2007), and in the liver-stage via arginine transport (Meireles et al., 2017). Aquaporin-9 knockout mice lack a functional glycerol channel in their erythrocytes. In this environment, *P. berghei*, grew considerably slower compared to wildtype erythrocytes, and infected AQP9-null mice survived longer. The authors attribute the effect to reduced glycerol levels in the parasite impeding glyceroplipid biosynthesis for the

build-up of membranes during growth. Similarly, knockdown of the arginine-transporting SLC7A2 of the solute carrier family decreased intra-hepatic growth and multiplication of *P. berghei* parasites *in vivo* and *ex vivo* (Meireles et al., 2017). A sufficient supply of arginine is required for the vital polyamine synthesis of the parasites. Today, glycerol or arginine transport-modulating small molecules have not been found and/or tested.

Together, although an *indirect* approach holds strong potential against parasite infections, several gaps in basic knowledge need to be filled with regard to the identity of the involved transport proteins, selectivity of inhibitors, and susceptibility/adaptability of the parasites.

## Peripheral Targeting—Channels and Transporters of the Parasite Plasma Membrane

The substrate spectrum of the parasite-induced new permeation pathways at the host cell membrane is broad. Transport proteins at the parasite's plasma membrane (Figure 1), in turn, appear much more specific. These membrane proteins facilitate the uptake of the main energy source, glucose, and precursors for biosynthesis, such as nucleosides for DNA/RNA, or glycerol for glycerolipids. Equally vital is the efficient release of waste molecules derived from energy metabolism, e.g., lactic acid, or from protein degradation, i.e., ammonia and urea. Nutrient and metabolite transport often depends on transmembrane ion gradients, e.g., of protons or sodium, generated by ATPases and is further modulated by ion channels. Efficiency data on compounds for peripheral parastite targeting are displayed in Table 2.

#### Targeting Peripheral Nutrient and Metabolite Transporters

Considering their significance for survival, it seems quite surprising that plasmodia rely on a single hexose transporter, HT,

TABLE 1 | Efficiency of compounds for indirect targeting.

Target	Parasite species	Compound name	Effect on protein	Effect on parasite	Cell stage in vitro	Effect in vivo	Host species	Reference
PSAC	P. falciparum	PRT1-20	-	IC <sub>50</sub> 5 μM	Trophozoites	-	Human	Pain et al., 2016
		H <sub>2</sub> C N NH NH NH NN NN NN NN NN NN NN NN NN N	-	IC <sub>50</sub> 0.06 μM	Trophozoites	-	Human	Nguitragool et al., 2011
VRAC (host)	P. berghei	Tamoxifen	IC <sub>50</sub> 4 μM	-	Liver-stage	-	Human	Prudêncio et al., 2009
		HO <sub>m</sub> H <sub>P</sub> F F F F F F F F F F F F F F F F F F F	IC <sub>50</sub> 2 μM	-	Liver-stage	-	Human	Prudêncio et al., 2009
ATP6V0C (host)	L. donovani	H <sub>2</sub> C OH OH OH Naloxonazine	-	IC <sub>50</sub> 3.5 μM	Amastigotes (Intracellular)	-	Human	de Muylder et al., 2011

and a single lactic acid transporter, the latter being a member of the microbial formate-nitrite-transporter family, FNT. Both transporters are present at the plasma membrane and both have been validated as novel antimalarial drug targets using cultured parasites.

#### Glucose transporters

Soon after the identification of HT, first weak glucose-analog inhibitors were described (Krishna and Woodrow, 1999; Woodrow et al., 1999; Joet et al., 2003). One of these compounds, C3361 (**Table 2**), yielded  $K_i$ -values in the  $\mu M$  range on glucose transport of *Plasmodium berghei*, *Plasmodium falciparum*, *Plasmodium yoelii*, *Plasmodium vivax*, *Plasmodium knowlesi*, *Babesia bovis*, and *T. gondii* (Joet et al., 2003; Blume et al., 2011). C3361 was not only active in the blood-stage but also inhibited parasite development in the liver-stage

of P. berghei with an IC50 of 11 µM (Slavic et al., 2011). The vector stages, however, were much less susceptible, and a transmission block required 1 mM. Interestingly, C3361 failed to inhibit growth of the related apicomplexan Babesia parasites suggesting an alternative glucose transport pathway. In fact, the B. bovis genome contains two putative hexose transporter genes, of which only one has been characterized so-far (Derbyshire et al., 2008). Knockout of the homologous Toxoplasma glucose transporter, GT, led to moderate growth inhibition. Apparently, it is dispensable for the survival of the parasite. A search for alternative transporters in Toxoplasma produced three more putative sugar transporters of which one was found to be located at the plasma membrane. Yet, a knockout failed to effect parasite growth. Contrary to plasmodia, Toxoplasma seems not to rely exclusively on glucose as an energy source. It is discussed that glutamine can

**TABLE 2** | Efficiency of compounds for *peripheral* targeting.

Target	Parasite species	Compound name	Effect on protein	Effect on parasite	Cell stage in vitro	Effect in vivo	Host species	Reference
НТ	Plasmodium spp.	CH <sub>3</sub> 0 - CH <sub>3</sub> 0 - CH <sub>3</sub> 0 - CH <sub>3</sub> CH <sub>3</sub> TCMDC-125163	IC <sub>50</sub> 39 nM	IC <sub>50</sub> 1.24 μM	Trophozoites	-	-	Ortiz et al., 2015
		OH Oh	K <sub>i</sub> 8.6–53 μM	IC <sub>50</sub> 15–16 μΜ	Trophozoites	_	_	Joet et al., 2003; Blume et al., 201
		HO OH CH <sub>2</sub>	-	IC <sub>50</sub> 11 μΜ	Liver-stage	-	Human	Slavic et al., 2011
HT1	B. bovis	C3361	Κ <sub>i</sub> 4.1 μΜ		Trophozoites	-	-	de Muylder et al., 2011
GT1	T. gondii		Κ <sub>i</sub> 82 μΜ	No inhibition at 200 μM	Tachyzoites	-	-	Blume et al., 201
FNT	P. falciparum	F F OH	IC <sub>50</sub> 0.02– <sub>ο σ</sub> σ.17 μΜ	IC <sub>50</sub> 0.14 μM	Trophozoites	-	-	Golldack et al., 2017; Hapuarachchi et al., 2017
		F F OH OM/OM/OM/OM/OM/OM/OM/OM/OM/OM/OM/OM/OM/O	IC <sub>50</sub> 0.05– 0.17 μM	IC <sub>50</sub> 1.70 μM	Trophozoites	-	-	
PT0	T. brucei	но н	Inhibition at 250 μM	-	-	-	-	Sanchez, 2013
PAT12	T.cruzi	CH <sub>3</sub> CH <sub>3</sub> CH <sub>3</sub> CH <sub>3</sub> CH <sub>3</sub> CH <sub>3</sub> Street in CH <sub>3</sub> CH	-	IC <sub>50</sub> 0.13 μM	Epimastigotes	s -	-	Reigada et al., 2017
				IC <sub>50</sub> 30.6 μΜ	Trypomastigo	tes -	-	
ENT1	Plasmodium spp.	ChemBridge 9001893	IC <sub>50</sub> 2.5–30 nM	IC <sub>50</sub> 3–55 μM	Trophozoites	-	-	Frame et al., 2015b
		H <sub>3</sub> C O O O O O O O O O O O O O O O O O O O						

(Continued)

#### TABLE 2 | Continued

Target	Parasite species	Compound name	Effect on protein	Effect on parasite	Cell stage in vitro	Effect in vivo	Host species	Reference
ATP4	P. falciparum	H <sub>2</sub> C NH NH CI	-	IC <sub>50</sub> 0.5–1.4 nM	Trophozoites	Clearance with 3-day dosing, 30 n per day	Human ng	Rottmann et al., 2010; Spillman and Kirk, 2015
		Cipargamin  F F O O O O O O O O O O O O O O O O O	-	IC <sub>50</sub> 30 μM	Trophozoites	-	-	Spillman and Kirk 2015
Calcium channels	Leishmania spp.	SJ733	-	IC <sub>50</sub> 2.6–181 μM	Promastigotes/ I Amastigotes	-	-	Tempone et al., 2009
	Trypanosoma spp.	1,4-dihydropyridines (e.g., Nifedipine)	_		Trypomastigotes	_	_	Reimão et al., 2010, 2011
	A. castellanii	H <sub>3</sub> C OH <sub>3</sub> OH <sub>3</sub> Amlodipine	-	Large inhibition at 1.2 µM	Trophozoites	-	-	Baig et al., 2013
	L. infantum	CH <sub>5</sub>	-	IC <sub>50</sub> 2–16 μM	Promastigotes	-	-	Reimão et al., 2016
	T. cruzi	Non-dihydropyridines (e.g., fendiline)	-		Epimastigotes	-	-	Reimão et al., 2016
K1/K2	T. brucei	HO CH <sub>3</sub> SOH  OH, SOH  Fluticasone	IC <sub>50</sub> 0.7 μM	-	-	-	-	Schmidt et al., 2017

be used as a potent alternative energy source (Blume et al., 2009).

More recent screenings for inhibitors of the plasmodial HT using the Tres Cantos antimalarial compound set (TCAMS) and the malaria box led to the discovery of nanomolar inhibitors, e.g., TCMDC-125163 (**Table 2**) has an IC<sub>50</sub> of 39 nM for heterologously expressed HT, 3.2  $\mu$ M for the human red blood cell glucose transporter GLUT1, and an EC<sub>50</sub> of 1.24  $\mu$ M for growth of cultured *P. falciparum* parasites. Binding of the identified compounds occurred mostly non-competitive with glucose and, hence, likely to a site different from the glucose binding pocket (Ortiz et al., 2015).

#### Lactate and pyruvate transporters

The end products of glucose-based energy metabolism are lactic acid in plasmodia, and pyruvic acid in trypanosomes. In order to prevent detrimental acidification of the cytosol and inhibition of the metabolic pathway by accumulating product, such molecules need to be swiftly released from the cells. Lactate transport in living P. falciparum parasites was experimentally shown in the early 1990s (Kanaani and Ginsburg, 1991). It took until 2015 that the responsible transporter was identified by our and Kiaran Kirk's group (Marchetti et al., 2015; Wu et al., 2015). The protein is structurally and in terms of transport mechanism unrelated to human lactate transporters from the monocarboxylate transporter family (MCT). Instead, the plasmodial lactic acid transporter is a member of the microbial formate-nitrite transporter family, FNT. Besides Llactate, it transports D-lactate, as well as formate, acetate and pyruvate by a proton cotransport mechanism (Wiechert and Beitz, 2017; Wiechert et al., 2017).

Screening of the malaria box yielded two compounds, MMV007839 and MMV000972 (Table 2), that efficiently block PfFNT at nanomolar concentrations (Spangenberg et al., 2013; Golldack et al., 2017). In vitro selection of a resistant P. falciparum strain helped to locate the binding site at the intracellular face of the transporter. The compounds, thus, need to enter the parasite where they assume a lactate substratelike form carrying a negative charge for efficient binding. Transport across consecutive membranes that shield the parasite is achieved by a cyclic hemiketal form that is neutral and lipophilic, see Table 2 (Golldack et al., 2017). FNTs are absent in humans, however, some other protozoan parasites carry single or multiple copies of FNT genes, e.g., Babesia spp., T. gondii, and E. histolytica, representing putative targets. Kinetoplastids, in turn, do not encode FNTs in their genomes, raising the question of how monocarboxylate transport is achieved in these organisms. In T. brucei, a high-affinity pyruvate transporter, TbPT0, was recently discovered that is more related to polytopic proteins from plants than to mammalian MCTs (Sanchez, 2013). This transporter at the plasma membrane plus two additional mitochondrial pyruvate transporters of T. brucei were found to be inhibitable by the pyruvate-reminiscent compound UK5099 (Štáfková et al., 2016; Table 2).

#### *Nucleobase and nucleoside transporters*

Apart from nutrients and metabolites of energy metabolism, precursors, and components of biosynthetic pathways are typical

substrates of parasite transporters. In this sense, a group of transporters found at the plasma membrane of plasmodia imports nucleobases and nucleosides. Four *P. falciparum* genes encode equilibrative nucleoside transporters, ENT1–4 of which ENT1 seems to provide the major uptake route (Downie et al., 2006, 2008, 2010; Frame et al., 2012, 2015a). Small molecule inhibitors were found by high throughput screening, e.g., ChemBridge no. 9001893 and 8946464 (**Table 2**), that inhibited the *P. falciparum* PfENT1 with IC<sub>50</sub> values in the low nanomolar range. Efficiency was similar with the *P. vivax* and *P. berghei* ENT1 proteins (Arora et al., 2016; Deniskin et al., 2016). The compounds were less potent, however, in parasite cultures with EC<sub>50</sub>-values from 0.8 to 6.5 μM (Frame et al., 2015b).

#### Aquaporin solute channels

Plasmodium and Toxoplasma parasites express a single aquaglyceroporin channel, AQP, at the plasma membrane (Hansen et al., 2002; Pavlovic-Djuranovic et al., 2006). These AQPs conduct water and small, uncharged solutes that are relevant in glycerolipid biosynthesis (glycerol), protein degradation (urea, ammonia), and oxidative stress (hydrogen peroxide) (Hansen et al., 2002; Beitz et al., 2004; Zeuthen et al., 2006; Wu et al., 2010; Wree et al., 2011; Almasalmeh et al., 2014). Small, drug-like inhibitors for apicomplexan AQPs are missing (Song et al., 2012), but their potential as drug targets is underscored by a P. berghei PbAQP knockout strain that exhibited strongly reduced growth, virulence, and progression through the liver stage (Promeneur et al., 2007, 2018). T. brucei expresses three AQPs of which TbAQP2 is a key factor for the uptake of the anti-trypanosomal drug pentamidine (Uzcategui et al., 2004; Song et al., 2016). The L. major AQP facilitates uptake of antimonite into the parasite released from the anti-leishmanial drug stibogluconate (Mukhopadhyay and Beitz, 2010; Mukhopadhyay et al., 2011).

#### Drug repurposing/polyamine transporters

An attempt to repurpose already used drugs revealed that retinoids, an established class for the pharmacotherapy of severe acne, target parasitic nutrient transporters. Initially, retinoic acid and retinol acetate were shown to inhibit the growth of *L. donovani* (Mukhopadhyay and Madhubala, 1994). More specifically, isotretinoin (**Table 2**) was found to block a polyamine transporter, PAT12, when adding to cultures of *T. cruzi* epimastigotes. *In vitro* growth of emerging trypomastigotes and epimastigotes was inhibited with IC50 values of 0.13 and 30.6  $\mu$ M, respectively. PAT12 is a member of the polyamine and amino acid transporter family, AAAP, for which isotretinoin displayed activity as a multi-target inhibitor (Reigada et al., 2017). This shows that repurposing is a valid tool and can promote research in the field of neglected diseases.

#### Targeting Peripheral Ion Transporters and Channels

The establishment and maintenance of ion gradients across the parasite plasma membrane is vital for the membrane potential, osmotic balance, as well as for driving transport processes. P-type ATPases are single protein units that convert energy

from ATP hydrolysis into cation transport (Weiner and Kooij, 2016). ATP4 of *P. falciparum* was recently shown to act as a sodium pump at the plasma membrane (Dyer et al., 1996; Spillman et al., 2013). There is evidence that sodium export by ATP4 is coupled to proton import. Whether cell death upon blockade of ATP4 occurs due to cytosol acidification, osmotic swelling, collapse of the electrochemical potential, or a combination thereof is unknown (Spillman et al., 2013; Spillman and Kirk, 2015). For whatever reason, blocking of ATP4 is lethal for malaria parasites rendering ATP4 a most attractive novel drug target. Analysis of the 400 malaria box compounds yielded the surprisingly high number of 28 hits, which further underscores the central role of ATP4 for parasite viability.

#### ATP4/P-type sodium ATPase

Two previously identified ATP4 inhibitors already entered the clinical trial stage. The clinical candidate cipargamin with a spiroindolone scaffold (Table 2) is thought to bind to the transport path of ATP4 from the intracellular entry site as deduced from *in vitro* selection of resistance mutations (Spillman and Kirk, 2015). Growth of sensitive P. falciparum strains was inhibited with IC<sub>50</sub>-values in the range of 0.5-1.4 nM. Application of a single 100 mg kg<sup>-1</sup> dose in an in vivo mouse model study killed all P. berghei parasites. In human trials, a 3-day dosing regime with 30 mg per day led to parasite clearance (Rottmann et al., 2010; White et al., 2014). Cipargamin exhibited low toxicity in humans, high oral bioavailability and suitable half-life. Other related ATP4-inhibiting spiroindolones have been found to be similarly potent (Spillman et al., 2013). The second promising candidate undergoing a clinical trial is the dihydroisoquinolone SJ733 (Jiménez-Díaz et al., 2014; Table 2). It shows more distant structure similarities to cipargamin with the 5-membered heterocycle of the indolone moiety replaced by a 6-membered ring (Jiménez-Díaz et al., 2014; Spillman and Kirk, 2015; Crawford et al., 2017). Although resistance mutations were selectable in vitro by sub-lethal concentrations, ATP4 inhibitors, when dosed properly, might prove advantageous against the rise of resistant strains in the clinic due to their fast acting property.

#### Drug repurposing/calcium channels

Drug repurposing approaches aim at ion channels at the plasma membrane of kinetoplastids. Several established 1,4-dihydropyridine calcium channel blockers used for the treatment of hypertension in humans were tested on various *Leishmania* and *Trypanosoma* species. Nifedipine, amlodipine, bepridil, nimodipine, and others showed weak effects *in vitro* with IC<sub>50</sub>-values in the micromolar range (Maya et al., 2000; Tempone et al., 2009; Reimão et al., 2010, 2011). Amlodipine and lacidipine administered in four weekly single doses of 10 mg kg<sup>-1</sup> reduced the parasite burden of *L. donovani*-infected BALB/c mice by 75–85% (Palit and Ali, 2008). Amlodipine was also tested for activity against cultured amoebae of *Acanthamoeba castellanii* and largely inhibited growth at 1.2 μM (Baig et al., 2013). The non-dihydropyridine calcium channel blockers fendiline, mibrefadil, and lidoflazine inhibited *in vitro* growth of *L*.

infantum promastigotes and T. cruzi epimastigotes with IC<sub>50</sub>-values from 2–16  $\mu$ M (Reimão et al., 2016). Verapamil, however, failed to inhibit growth of L. donovani promastigotes, but seemed to reverse the resistance against stibogluconate by an unknown mechanism (Neal et al., 1989; Valiathan et al., 2006). Although being calcium channel blockers in humans, the target in the tested parasites remains to be established.

#### Drug repurposing/potassium channels

Recently, a screening by the National Center for Advancing Translational Sciences Small Molecule Resource identified fluticasone, an established corticosteroid for the treatment of asthma, to inhibit the T. brucei potassium channels TbK1 and TbK2. The proteins were localized to the parasite's plasma membrane and electrophysiologically characterized in Xenopus oocytes (Steinmann et al., 2015). Fluticasone was found to inhibit the TbK1/TbK2-mediated currents at an IC<sub>50</sub> of 0.7  $\mu$ M (Schmidt et al., 2017).

## Internal Targeting—Channels and Transporters of Parasite Organelle Membranes

Drugs that need to enter the parasite's cytosol encounter several more challenges than compounds acting from the outside. Diffusional uptake across the plasma membrane requires high lipophilicity, small molecule size, and absence of charged moieties. Alternatively, compounds can be shuttled into the cell by a more active type of transport via endogenous channels and transporters. This route is taken for instance by antimonite released from stibogluconate in Leishmania therapy or by pentamidine against trypanosomes. In both cases, resistance mutations of a transporting aquaglyceroporin efficiently prevent the drugs from entering the parasites. Another factor is the metabolic stability of the drug within the parasite cell. This area is not well studied, yet it is conceivable that inactivation by chemical modification may well occur in a similar fashion as in the host cells, which detoxify xenobiotics e.g., by oxidation and conjugation reactions. Finally, drugs that actually made it to the site of action in a functional form may be pumped out of the parasite cell by drug resistance transporters. The prominent example is the chloroquine resistance transporter, CRT. Table 3 gives an overview on the new developments of compound targeting channels and transporters of internal organelle membranes.

Despite these challenges, all currently used drugs in antiparasite therapy act at internal sites. It remains to be seen how a shift to *peripheral* or even *indirect* attacks will affect resistance formation.

### Artemisinins/Sarcoplasmic P-type Calcium ATPase SERCA/ATP6

Artemisinin (**Table 3**) and derivatives are the most important antimalarials today. The molecules contain a peroxo moiety. It is discussed that iron<sup>II</sup> from heme when released from hemoglobin during degradation in the digestive vacuole chemically activates the artemisinins producing reactive oxygen species that damage proteins more or less specifically inside the mitochondria

(Asawamahasakda et al., 1994; Moore et al., 2011) or at other sites in the cell including DNA as a target. Evidence further points to a P-type calcium ATPase, SERCA, or ATP6, at the sarcoplasmic endoplasmic reticulum as one of the affected proteins (Eckstein-Ludwig et al., 2003; Naik et al., 2011; Abiodun et al., 2013; Pulcini et al., 2013; Krishna et al., 2014; Nunes et al., 2016). However, there is a mismatch of artemisinin efficiency on the parasites and on heterologously expressed ATP6. In P. falciparum cultures, IC<sub>50</sub>-values were 11 and 13 nM tested on a chloroquine resistant (K1) and a sensitive (NF54) strain, respectively (del Pilar Crespo et al., 2008). The artemisinins also showed some potency on several other protozoan parasites, i.e., T. gondii (Berens et al., 1998; Jones-Brando et al., 2006; Hencken et al., 2010), T. brucei, T. cruzi, L. donovani, L. major (Yang and Liew, 1993; Mishina et al., 2007), and Babesia gibsoni (Iguchi et al., 2015) yielding EC<sub>50</sub>-values from 0.36 to 120 μM. For *Leishmania* spp., artemisinin exhibited in vivo activity in infected hamster and BALB/c mice models (Ma et al., 2004; Sen et al., 2010; Ghaffarifar et al., 2015). Naegleria fowleri, a problematic, cystforming amoeba, has been shown to be sensitive to artemisinin in vitro (Cooke et al., 1987), whereas treatment failed in a mouse model (Gupta et al., 1995). Purified recombinant P. falciparum ATP6, however, could not be directly inhibited by artemisinin or derivatives (Cardi et al., 2010; Arnou et al., 2011), and full inhibition of yeast expressed SERCA of T. gondii required high concentrations of 10 µM (Nagamune et al., 2007). The small molecule arterolane (Table 3) has a different scaffold than the artemisinins but equally contains a peroxo group and, thus, should be capable of releasing reactive oxygen species. When tested on P. falciparum ATP6 expressed in Xenopus oocytes it was found to be clearly less potent than artemisinin with a Ki-value of 7.7 μM; yet, parasite growth was inhibited a very low IC<sub>50</sub> of 1.5 nM similar to artemisinin. These mixed results show that ATP6/SERCA is probably not the main target of the artemisinins.

Still, parasite SERCA/ATP6 seems to hold potential as a therapeutic target, because thapsigargin (**Table 3**), a plant sesquiterpene lactone and general SERCA inhibitor, killed cultured chloroquine resistant and sensitive *P. falciparum* parasites with IC<sub>50</sub> of 246 and 298 nM (del Pilar Crespo et al., 2008; Abiodun et al., 2013). Thapsigargin further inhibited growth of *T. gondii, Trypanosoma* spp., *L. donovani, E. invadens*, and *Neospora canium* with EC<sub>50</sub>-values in the range of 0.5–39  $\mu$ M (Kim et al., 2002; Mishina et al., 2007; Martínez-Higuera et al., 2015). Yeast expressed *T. gondii* SERCA was fully inhibited by thapsigargin at 1  $\mu$ M (Nagamune et al., 2007).

#### Atovaquone/Mitochondrial Cytochrome bc1 Complex

A key function of mitochondria in general is the build-up of a steep proton gradient across the inner mitochondrial membrane, which is used to drive ATP synthesis by the F-type ATPase, or ATP synthase. To this end, the inner membrane harbors a cytochrome bc1 complex. The bc1 proteins use ubiquinone, also called coenzyme Q, as a redox cofactor in electron transfer reactions (Q-cycle), which free four protons that are transported to the intermembrane space in the process (Crofts et al., 1999a,b; Crofts, 2004).

The drug atovaquone (Table 3), a ubiquinone analog and cytochrome bc1 complex inhibitor, is in use against malaria, toxoplasmosis and babesiosis for many years. It interferes with ubiquinone cofactor binding to the Q<sub>0</sub> site as shown by manifesting resistance mutations in this region of the protein (Fry and Pudney, 1992; Srivastava et al., 1999; McFadden et al., 2000; Kessl et al., 2007; Vallières et al., 2012; Siregar et al., 2015). Combination of atovaquone with proguanil lowers the IC<sub>50</sub> from 2 nM to 400 pM probably by a synergistic mechanism as proguanil destroys the mitochondrial membrane potential in the presence of an electron transport inhibitor (Srivastava and Vaidya, 1999). Several resistant strains have formed during the use of atovaquone (Hutchinson et al., 1996; Painter et al., 2007; da Cruz et al., 2012). Since the cytochrome bc1 complex is common to mitochondria of all species is was possible to inhibit the growth of other parasites as well. Potency against T. gondii was sub-micromolar in vitro, i.e., tachyzoites in human foreskin fibroplasts, and in vivo using a mouse model with IC50values of 0.14 and 0.85 µM, respectively (Doggett et al., 2012). Similarly, atovaquone acted on Babesia spp. in vitro and in vivo in hamsters (Hughes and Oz, 1995; Wittner et al., 1996; Matsuu et al., 2008). In dog and human studies, combination with the antibiotic azithromycin turned out to be positive (Krause et al., 2000; Birkenheuer et al., 2004; Di Cicco et al., 2012; Checa et al., 2017). Treatment of L. donovani infections in a mouse model were less successful resulting only in a 30% lower parasite burden (Croft et al., 1992).

A related hydroxynaphthoquinone compound, buparvaquone (Table 3), is used for the treatment of theileriosis in cattle (McHardy et al., 1985; Minami et al., 1985; Muraguri et al., 1999; Mhadhbi et al., 2010), and horses (Zaugg and Lane, 1989). Anti-leishmanial activity was evaluated *in vitro* for *L. donovani*, *Leishmania aethiopica*, *L. major*, *Leishmania amazonensis*, *Leishmania mexicana*, *Leishmania panamensis*, *L. infantum*, *Leishmania chagasi*, *L. braziliensis*, and *L. tropica* promastigotes and amastigotes resulting in IC<sub>50</sub>-values of 0.001–5.495 μM (Mäntylä et al., 2004a,b; Reimão et al., 2012; Jamal et al., 2015). Animal models showed that the *in vivo* efficiency was higher when prodrugs of buparvaquone were applied (Croft et al., 1992; Garnier et al., 2007) or when a nanoliposomal drug preparation was used (da Costa-Silva et al., 2017).

In the search for alternative chemical scaffolds, decoquinate (Table 3), a 4-oxo-quinoline, was tested. However, the overall structure is still similar to ubiquinone. The compound is in use in veterinary medicine against coccidia (Miner and Jensen, 1976; Ricketts and Pfefferkorn, 1993). When tested against blood-stage as well as liver-stage P. falciparum, in either case nanomolar IC50 values were found at low host cell toxicity (da Cruz et al., 2012). Another potent inhibitor of the cytochrome bc1 complex with good selectivity for P. falciparum is the dihydroacridinedione WR249685 (Table 3), which has an IC<sub>50</sub> of 3 nM on the in vitro growth of the parasites (Biagini et al., 2008). Screening of the TCAMS library for cytochrome bc1 complex inhibition yielded one efficient compound, TCMDC-135546 (Table 3), with an IC<sub>50</sub> of 22 nM on parasite growth (Raphemot et al., 2015). Naphthoquinone esters were derived from the anticancer drug rhinacanthin and showed low nanomolar IC<sub>50</sub>-values on the

Target	Parasite species	Compound name	Effect on protein	Effect on parasite	Cell stage in vitro	Effect in vivo	Host species	Reference
ATP6/SERCA	P. falciparum	Quino	1	IC <sub>S0</sub> 11 – 13 nM	Trophozoites	1	I	del Pilar Crespo et al., 2008
	T. gondii	Artemisinin	Inhibition at 10 µM	<sup>IC<sub>50</sub> 0.36-8µМ</sup>	Tachyzoites	I	ı	Berens et al., 1998; Jones-Brando et al., 2006; Nagamune et al., 2007; Hencken et al., 2010
	<i>Trypanosoma</i> spp.		I	IС <sub>50</sub> 13–20 µ.М	Trypomastigotes/ Epimastigotes	I	I	Yang and Liew, 1993; Mishina et al., 2007; Sen et al., 2010
	<i>Leishmania</i> spp.		I	IC <sub>50</sub> 0.75–120μΜ	Promastigotes/ Amastigotes	Reduction of parasite burden with oral dose of 10 mg kg <sup>-1</sup>	Mouse/Hamster	
	B. gibsoni		I	IC <sub>50</sub> 2.2μΜ	Trophozoites	I	I	Iguchi et al., 2015
	P. falciparum	H <sub>y</sub> , H <sub>y</sub> H <sub>y</sub> C C C C C C C C C C C C C C C C C C C	ξ.7.7.μM	IC <sub>50</sub> 1.5 nM	Trophozoites	1	ı	Abiodun et al., 2013
	P. falciparum	H <sub>o</sub> C O <sub>0</sub>	1	IC <sub>50</sub> 0.25- 0.30 µМ	Trophozoites	ı	1	del Pilar Crespo et al., 2008; Abiodun et al., 2013
	T. gondii		Inhibition at 1 μM	I	I	I	I	Nagamune et al., 2007
	<i>Trypanosoma</i> spp.	Hydronia OH CH3	I	IC <sub>50</sub> 30-34μΜ	Trypomastigotes/ Epimastigotes	I	I	Mishina et al., 2007
	L. donovani		I	28.1 µM	Promastigotes	I	I	Mishina et al., 2007
	E. invadens	<sub>Мэс</sub> Тhansidarain	I	Inhibition of encystation Trophozoites at 0.5 $\mu\text{M}$	n Trophozoites	I	I	Martínez-Higuera et al., 2015
	N. canium	)	I	Growth Inhibition at	Tachyzoites	I	I	Kim et al., 2002

Targeting Protozoal Transport

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Target	Parasite species	Compound name	Effect on protein	Effect on parasite	Cell stage in vitro	Effect in vivo	Host species	Reference
Oytochrome bc <sub>1</sub> complex	P. falciparum	Atovaquone	IC <sub>50</sub> 0.2 nM	ICso 2 nM	Trophozoites	ı	ı	da Oruz et al., 2012
	T. gondii		I	IC <sub>50</sub> 0.1–0.5 μM	Tachyzoites	IС <sub>50</sub> 0.14-0.85 µМ	Mouse	Doggett et al., 2012
	<i>Babesia</i> spp.		I	IC <sub>50</sub> 94 nM	Trophozoites	Effective at dose of Hamster 100 mg kg <sup>-1</sup> d <sup>-1</sup>	Hamster	Hughes and Oz, 1995; Wittner et al., 1996; Matsuu et al., 2008
			1	I	1	Effective at dose of 1500 mg d <sup>-1</sup> plus azithromycin 500 mg on day 1 and 250 mg per day thereafter	Human	Krause et al., 2000
	L. donovani		ı	1	ı	30 % reduction of parasite burden with 100 mg kg <sup>-1</sup> for 5 days	Mouse	Croft et al., 1992
	Theileria spp.	20 July 20 Jul	1	I	ı	Effective at 2.5-6 mg kg <sup>-1</sup>	Cattle, Horse	McHardy et al., 1985; Zaugg and Lane, 1989; Muraguri et al., 1999; Mhadhbi et al., 2010
	Leishmania spp.	Buparvaquone	I	IC <sub>50</sub> 0.001–5.495μΜ	Promastigotes/ Amastigotes	60% reduction of parasite burden with 100 mg kg <sup>-1</sup> for 5 days	Mouse	Croft et al., 1992; Mäntylä et al., 2004a; Reimão et al., 2012; Jamal et al., 2015
	P. falciparum	Oecoquinate	ICso 2 nM	IC <sub>50</sub> 2.6–36 nM	Trophozoites	1	I.	da Oruz et al., 2012

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Target	Parasite species	Compound name	Effect on protein	Effect on parasite	Cell stage in vitro	Effect in vivo	Host species	Reference
	P. falciparum	WR249885	1	IC <sub>50</sub> 3 nM	Trophozoites	1	1	Biagini et al., 2008
	P. falciparum	TCMDC-135546	1	IC <sub>50</sub> 22 nM	Trophozoites	1	1	Raphemot et al., 2015
Mitochondrial F1F0 ATPase	T. brucei	H <sub>2</sub> N NH <sub>2</sub> NH Furamidine (DB75)	Inhibition at 10 μM	IC <sub>50</sub> 4.5 nM	Trypomastigotes	Effective as pentamidine at 3 mg kg <sup>-1</sup> d <sup>-1</sup> , for 7 days	Gerbii	Steck et al., 1982; Ismail et al., 2003; Lanteri et al., 2008
		H <sub>3</sub> C <sub>0</sub> -N Pafuramidine (DB289)	1	IС <sub>50</sub> 14.6 μМ	Trypomastigotes	Effective at a dose Mouse of 400 mg kg <sup>-1</sup> p.o.	Mouse	Ansede et al., 2004
		H <sub>L</sub> M HN DB820	1	IC <sub>50</sub> 7.9–141 nM	Trypomastigotes	Effective at 10 mg Mouse kg <sup>-1</sup> i.p.	Mouse	Wenzler et al., 2009
		H <sub>2</sub> N NH <sub>2</sub> NH DB829	1	IC <sub>50</sub> 20-346 nM	Trypomastigotes	Effective at 10 mg Mouse kg <sup>-1</sup> i.p.	Mouse	Wenzler et al., 2009

TABLE 3 | Continued

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Target	Parasite species	Compound name	Effect on protein	Effect on parasite	Cell stage in vitro	Effect in vivo	Host species	Reference
Mitochondrial choline transporter	P. falciparum er	G25	1	1	1	1	1	Wengelnik et al., 2002; Biagini et al., 2004
	T. brucei		I	EC <sub>98</sub>	Trypomastigotes	I	I	de Macêdo et al., 2015
VPPase VP1	T. gondii	AMD PAGE 1	IC <sub>50</sub> 0.9µM	Inhibition at 5–10 $\mu$ M	ı	1	I	Drozdowicz et al., 2003
CRT	P. falciparum	H <sub>2</sub> C Coth	IC <sub>50</sub> 30 µM	1	1	1	1	Ye and van Dyke, 1994
		H <sub>2</sub> C Ournine	IC <sub>50</sub> 48μΜ	1	1	1	1	Martin et al., 2009
		Saquinavir	IC <sub>50</sub> 13 μM	1	1	1	1	Martin et al., 2012
								(Continued)

TABLE 3   Continued	intinued							
Target	Parasite species	Compound name	Effect on protein	Effect on parasite	Cell stage in vitro	Effect in vivo	Host species	Reference
		Dibemethin 6a	IC <sub>50</sub> 69 µ M	IC <sub>50</sub> 26 nM	Trophozoites	ı	1	Zishiri et al., 2011
MDR 1	P. falciparum	ACT-213615	1	IC50 4 nM	Trophozoites	ED <sub>90</sub> 8.4 mg kg <sup>-1</sup> Mouse as effective as chloroquine	Mouse 1	Brunner et al., 2012, 2013
		AOT-451840	1 N	IC <sub>50</sub>	Gametocytes	IC <sub>50</sub> 2.7 ng ml <sup>-1</sup> (3.6 nM)	Human	Krause et al., 2016; Le Bihan et al., 2016; Ng et al., 2016

growth of P. falciparum. Notably, the molecules seem to bind to the Q<sub>i</sub> ubiquinone site of cytochrome bc1 rather than Q<sub>0</sub> as the above-mentioned compounds (Kongkathip et al., 2010). In a diversity oriented synthesis approach, macrolactame derivatives were generated that yielded nanomolar EC50-values and are thought to target the Qi site as well (Comer et al., 2014; Lukens et al., 2015). Finally, more compounds were derived from endochin, an experimental antimalarial of the 1940s, with the aim to improve solubility and metabolic stability in the host. Such compounds were found not to inhibit the human cytochrome bc1 complex but to very efficiently target the Qi site of the cytochrome bc1 complex of falciparum and vivax plasmodia from various clinical field isolates as well as T. gondii and Babesia microti (Doggett et al., 2012; Nilsen et al., 2013; Lawres et al., 2016). Leishmania spp., however, were much less susceptible to this type of inhibitors with IC50-values in the micromolar range (Ortiz et al., 2016).

#### Diamidines/Mitochondrial ATP Synthase

Similar to the artemisinins in plasmodia, the mode of diamidine action, e.g., pentamidine, in trypanosomes is not fully resolved. Screening of an diamidine library yielded furamidine (Table 3) and related compounds that act comparably to pentamidine against T. brucei parasites in mice and rhesus monkeys (Rane et al., 1976; Steck et al., 1982). Further, a prodrug of furamidine, DB289 (Table 3), with better oral availability was developed (Ismail et al., 2003; Ansede et al., 2004). Regarding transporter targeting, it was found that the F1F0-ATPase, i.e., the mitochondrial proton gradient-driven ATP synthase, was inhibited at concentrations around  $10\,\mu\text{M}$  and caused a collapse of the mitochondrial membrane potential. The efficiency of diamidines on the growth of trypanosomes, however, is clearly lower, i.e., in the submicromolar range, indicating that ATP synthase is not the main target. It was also suggested that the compounds inhibit other ATPases (Lanteri et al., 2008). DB289 entered phase III clinical trials as the first orally available drug against blood stage human African trypanosomiasis. However, due to manifestation of delayed renal insufficiency in a number of recipients, further development was terminated (Harrill et al., 2012). Two related aza analogs of furamidine (DB820, CPD0801) are still followed up on as they have shown efficiency against T. brucei in a mouse model of second stage trypanosomiasis (Wenzler et al., 2009; Ward et al., 2011).

#### G25/Mitochondrial Choline Transport

The notion that a choline-related compound, named G25 (**Table 3**) carrying two quaternary ammonium moieties spaced by a 16-carbon linker, efficiently kills malaria parasites led to the idea that choline transport might be a valid antimalarial target (Wengelnik et al., 2002; Biagini et al., 2004). Besides *P. falciparum*, also *T. brucei* and *L. mexicana* turned out to be sensitive to G25 (Ibrahim et al., 2011). The mode of action remains unclear. Besides the notion that these compounds inhibit choline transport, it was reported that the mitochondrial structure and function of trypanosomes was affected (Ibrahim et al., 2011; Macêdo et al., 2013). An RNA interference approach in the blood stream form of *T. brucei* hinted at the involvement of

a member of a mitochondrial carrier protein family, TbMCP14, which is unrelated to mammalian carriers (Schumann Burkard et al., 2011; de Macêdo et al., 2015).

### Aminomethylenediphosphonate/Vacuolar-Type Inorganic Pyrophosphatase

Vacuolar-type pyrophosphatases, V-PPases, seem to be absent in vertebrates, yet have vital functions in protozoan energy conservation and membrane transport. Accordingly, they may represent suitable drug targets (Rodrigues et al., 2000; Drozdowicz et al., 2003). Yeast-expressed V-PPase from T. gondii, TgVP1, was inhibitable by aminomethylenediphosphonate, AMDP (**Table 3**), with an  $IC_{50}$  of  $0.9\,\mu\text{M}$ . The presence of V-PPases has also been shown in P. falciparum and T. cruzi (Urbina et al., 1999; McIntosh et al., 2001). Anti-parasitic, drug-like molecules targeting V-PPases are yet to be found.

#### **Targeting Drug Efflux Transporters**

Drug resistance due to expedited export of the compounds is a key factor in pharmacotherapy not only of anti-infectives but in general. The loss of drug action may be reversed by inhibition of the responsible efflux transporter.

### Verapamil, (Dimeric) Quinine, Saquinavir/Digestive Vacuole Chloroquine Resistance Transporter

The discovery of chloroquine was a breakthrough in malaria therapy. It acts by inhibiting heme detoxification in the form of polymerized hemozoin in the digestive vacuole (Foley and Tilley, 1997). Promoted by monotherapeutic use and widespread underdosing in eradication programs, however, resistant P. falciparum strains were selected over the years rendering chloroquine largely useless today (Wellems et al., 1991; Waller et al., 2003). Resistance mutations were found in one particular gene of unknown function at that time. It turned out that the mutations resulted in a gain-of-function transporter shuttling chloroquine out of the digestive vacuole at a strongly increased rate (Fidock et al., 2000; Lakshmanan et al., 2005). The physiological role of the chloroquine-resistancetransporter, CRT, is still elusive. However, a variety of amino acids, polyamines and peptides have been found to be CRT substrates (Juge et al., 2015). Several attempts have been undertaken to block CRT with the aim to reverse chloroquine resistance. The calcium channel blocker verapamil (Table 3) was shown to inhibit CRT expressed in Xenopus oocytes with an IC<sub>50</sub> of 30 µM (Ye and van Dyke, 1988, 1994; Tanabe et al., 1989). In the same system, quinine, a natural product and chloroquine analog, yielded an IC50 of 48 µM (Martin et al., 2009), and the antiretroviral drug saquinavir was effective at 13 µM (Martin et al., 2012). Chemical synthesis of dimeric quinines lowered the IC<sub>50</sub> to 1 μM on CRT-expressing oocytes and efficiently inhibited parasite growth in the nanomolar range (Hrycyna et al., 2014).

The resistance reversing effect of verapamil cannot be exploited in humans, because the required dose would be too high to be tolerable (Ye and van Dyke, 1988). One approach was to develop a drug-like compound with dual functionality, i.e., blockade of hemozoin formation plus inhibition of CRT (Burgess et al., 2006; Kelly et al., 2009). The obtained dibemethin

derivates (**Table 3**) showed  $IC_{50}$  values of 26 nM on parasite growth, yet potency on the isolated CRT protein was only 69  $\mu$ M. Bioavailability appeared sufficient after oral administration in mice (Zishiri et al., 2011).

### ACT-213615, ACT-451840/Digestive Vacuole Multidrug Resistance Transporter 1

Based on similarity to human multidrug resistance transporters in terms of sequence and function another transporter of the digestive vacuole of malaria parasites was termed multidrug resistance transporter 1, MDR1 (Foote et al., 1989; Cowman et al., 1991). MDR1 transport is directed into the digestive vacuole. This way, mefloquine, artemisinin, and artesunate are thought to be trapped by compartmentalization preventing the drugs from hitting their target in the sarcoplasmic endoplasmic reticulum (Reed et al., 2000; Pickard et al., 2003; Price et al., 2004; Rohrbach et al., 2006). Resistance was increased in strains with multiple copies of the pfmdr1 gene (Cowman et al., 1994; Pickard et al., 2003). For quinine in turn, it is required that MDR1 is functional to deliver the compound to its site of action inside the digestive vacuole. Therefore, a transport decreasing N1042D mutation of MDR1 was made responsible for quinine resistance (Rohrbach et al., 2006). Apart from transport of antimalarial drugs, MDR1 appears to be vital for the malaria parasite, because in cell viability screening and subsequent analyses, two smallmolecule compounds were found to target MDR1. ACT-213615 (Table 3) is proposed to inhibit either PfMDR1 directly or a regulating protein upstream of it yielding an  $EC_{50}$  value of  $4\,\mathrm{nM}$ on parasite growth (Brunner et al., 2012, 2013). The second, highly related compound, ACT-451840 (Table 3), was even more potent and a single nucleotide polymorphism in the MDR1 gene was identified that turned out to be responsible for resistance against the compound. The compound was well tolerated in first clinical trials, however, none of the eight tested subjects could be completely freed from parasites (Krause et al., 2016; Le Bihan et al., 2016; Ng et al., 2016). The physiological substrates and function of MDR1 remain to be established.

#### CONCLUSION

The identification of anti-parasitic targets at the parasite's plasma membrane or even at host membranes opens up options

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for *peripheral* or *indirect* therapeutic attacks (**Figure 1**). This approach holds the potential to limit resistance formation because the only remaining line of defense is mutational modification of the drug binding site at the target protein. It is thinkable that massive upregulation of target protein expression may also reduce efficiency, yet at high energetic cost for the parasite.

Still, most currently addressed targets are located inside the parasite. Here, specificity must be taken into account. Drugs affecting vital functions by hitting multiple targets, e.g., the artemisinins by eliciting oxidative stress, are hard to defend by the parasite at the various target sites. However, several ways exist to interfere with drug action by acting directly on the molecular entity. Drug uptake can be prevented if transporters are required to deliver the compound to the cytosol, e.g., pentamidine via TbAQP2. The drug can be chemically modified or compartmentalized, e.g., artesunate transport into the digestive vacuole by MDR1. Finally, the compound can be shuttled out to keep the concentration below a harmful level, e.g., chloroquine via CRT. Drug metabolism and transport issues cannot be fully appreciated beforehand. Hence, in the search for potent anti-infectives against parasites and bacteria alike, phenotypic screenings have proven more successful than specific approaches in designing inhibitors for a selected target protein.

The ideal anti-parasitic drug compound should i. reach the site of action independent of parasite transport proteins, ii. be specific for the parasite but not necessarily for a single target protein, iii. act fast, iv. be metabolically stable, and v. be safe for the patient. Meeting such demands and circumventing the influence by the parasite may be eased by addressing parasite-specific proteins at the periphery.

#### **AUTHOR CONTRIBUTIONS**

AM, HE, and EB jointly wrote the manuscript and prepared the figures.

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## Pancreatic Aquaporin-7: A Novel Target for Anti-diabetic Drugs?

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<sup>1</sup> Metabolic Research Laboratory, University of Navarra, Pamplona, Spain, <sup>2</sup> CIBER Fisiopatología de la Obesidad y Nutrición, Instituto de Salud Carlos III, Madrid, Spain, <sup>3</sup> Faculty of Pharmacy, Research Institute for Medicines (iMed.ULisboa), Universidade de Lisboa, Lisboa, Portugal, <sup>4</sup> Department of Endocrinology and Nutrition, Clínica Universidad de Navarra, Pamplona, Spain

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Méndez-Giménez L, Ezquerro S, da Silva IV, Soveral G, Frühbeck G and Rodríguez A (2018) Pancreatic Aquaporin-7: A Novel Target for Anti-diabetic Drugs? Front. Chem. 6:99. doi: 10.3389/fchem.2018.00099 Aquaporins comprise a family of 13 members of water channels (AQP0-12) that facilitate a rapid transport of water across cell membranes. In some cases, these pores are also permeated by small solutes, particularly glycerol, urea or nitric oxide, among other solutes. Several aquaporins have been identified in the pancreas, an exocrine and endocrine organ that plays an essential role in the onset of insulin resistance and type 2 diabetes. The exocrine pancreas, which accounts for 90% of the total pancreas, secretes daily large volumes of a near-isotonic fluid containing digestive enzymes into the duodenum. AQP1, AQP5, and AQP8 contribute to fluid secretion especially from ductal cells, whereas AQP12 allows the proper maturation and exocytosis of secretory granules in acinar cells of the exocrine pancreas. The endocrine pancreas (10% of the total pancreatic cells) is composed by the islets of Langerhans, which are distributed in  $\alpha$ ,  $\beta$ ,  $\delta$ ,  $\epsilon$ , and pancreatic polypeptide (PP) cells that secrete glucagon, insulin, somatostatin, ghrelin and PP, respectively. AQP7, an aquaglyceroporin permeated by water and glycerol, is expressed in pancreatic β-cells and murine studies have confirmed its participation in insulin secretion, triacylglycerol synthesis and proliferation of these endocrine cells. In this regard, transgenic AQP7-knockout mice develop adult-onset obesity, hyperinsulinemia, increased intracellular triacylglycerol content and reduced β-cell mass in Langerhans islets. Moreover, we have recently reported that AQP7 upregulation in β-cells after bariatric surgery, an effective weight loss surgical procedure, contributes, in part, to the improvement of pancreatic steatosis and insulin secretion through the increase of intracytoplasmic glycerol in obese rats. Human studies remain scarce and controversial, with some rare cases of loss-of function mutations of the AQP7 gene being associated with the onset of type 2 diabetes. The present Review is focused on the role of aquaporins in the physiology and pathophysiology of the pancreas, highlighting the role of pancreatic AQP7 as a novel player in the control of  $\beta$ -cell function and a potential anti-diabetic-drug.

Keywords: aquaporin, glycerol, pancreas, insulin signaling, obesity, type 2 diabetes, bariatric surgery

Pancreatic Aguaporin-7 and Diabetes

## INTRODUCTION

The movement of water through the lipid bilayers of cell membranes is essential for homeostasis. Aquaporins (AQPs) are channel-forming integral membrane proteins of the major intrinsic protein (MIP) family that allow the water transport across the cell membranes (King et al., 2004; Soveral et al., 2017; Yang, 2017). The secondary structure of AQPs consists of six transmembrane α-helices and two highly conserved, hydrophobic asparagine-proline-alanine (NPA) consensus motifs (Jung et al., 1994). The three-dimensional structure of AQPs resembles an hourglass with the NPA motifs forming the aperture of a very tight water channel pore of  $\sim 2$  Å in diameter. In the cellular membranes, AQPs exist as a tetrameric assembly of individually active subunits. Professor Peter Agre was awarded the 2003 Nobel Prize in Chemistry for the discovery and characterization of the first water channel protein AQP1 (Agre, 2009). To date, thirteen aquaporins have been discovered (AQP0-AQP12) in mammalian tissues, which are classified into three subgroups depending on their permeability and structure: orthodox aquaporins, aquaglyceroporins and superaquaporins (Verkman et al., 2014). Orthodox aquaporins (AQP0, 1, 2, 4, 5, 6, and 8) are considered pure water channels, whereas aquaglyceroporins (AQP3, 7, 9, and 10) are permeated by water and other small solutes, such as glycerol or urea (Oliva et al., 2010). Superaquaporins (AQP11 and 12) exhibit very low homology to the other groups of AQPs due to their unique asparagine-proline-cysteine (NPC) motifs (Soveral et al., 2017) and their subcellular localization on the membrane of intracellular organelles instead of the plasma membrane (Ishibashi, 2006; Calvanese et al., 2013).

The human AQP7 gene, mapped to chromosome 9p13.3, was cloned from the adipose tissue in 1997 (originally named AQPap) (Ishibashi et al., 1997, 1998). The glycerol channel AQP7 plays a crucial role in the control of triacylglycerols (TG) accumulation and glucose homeostasis with Aqp7-KO mice exhibiting adult-onset obesity, impaired insulin secretion and insulin resistance (Maeda et al., 2004; Hara-Chikuma et al., 2005; Hibuse et al., 2005; Matsumura et al., 2007). AQP7 is markedly increased during adipocyte differentiation, because the AQP7 gene promoter contains putative response elements for peroxisome proliferator-activated receptor  $\alpha$  and  $\gamma$  (PPAR $\alpha$ and PPARy), the master transcription factor of adipogenesis (Kishida et al., 2001; Walker et al., 2007; Méndez-Giménez et al., 2015). In this sense, the administration of the PPARy agonists rosiglitazone or pioglitazone, which are insulin-sensitizing drugs, to rodents has been shown to upregulate AQP7 expression in the adipose tissue (Kishida et al., 2001; Lee et al., 2005; Rodríguez et al., 2015b). Although AQP7 was considered the unique glycerol channel in human adipose tissue, AQP3, AQP5, AQP9, AQP10, and AQP11 also represent novel pathways for

Abbreviations: AQP, aquaporin; ATGL, adipose triglyceride lipase; DHAP, dihydroxyacetone phosphate; GK, glycerol kinase; GLP-1, glucagon-like peptide 1; GPD, glycerol-3-phosphate dehydrogenase; FFA, free fatty acids; HSL, hormonesensitive lipase; NAFLD, non-alcoholic fatty liver disease; PPAR, peroxisome proliferator-activated receptor; TG, triacylglycerols.

glycerol transport in human adipocytes (Frühbeck and Gómez-Ambrosi, 2001; Rodríguez et al., 2011b; Laforenza et al., 2013; Madeira et al., 2014b, 2015). In the basal state, perilipin-1 binds to AQP7 in the lipid droplets, thereby preventing localization of AQP7 to the plasma membrane where it can exert glycerol efflux activity (Hansen et al., 2016). In circumstances of negative energy balance, such as fasting or exercise, TG are hydrolyzed to glycerol and free fatty acids (FFA) by adipose triglyceride lipase (ATGL) as well as hormone-sensitive lipase (HSL) enzymes (Frühbeck et al., 2014; Méndez-Giménez, 2017). Both FFA and glycerol are released into the bloodstream and can be used as energy substrates in peripheral tissues. Several lipolytic stimuli, such as catecholamines, leptin, atrial natriuretic peptide, uroguanylin and guanylin, regulate the expression and translocation of aquaglyceroporins from the cytosolic fraction (AQP3) or the lipid droplets (AQP7) to the plasma membrane facilitating glycerol release from adipocytes (Kishida et al., 2000; Walker et al., 2007; Rodríguez et al., 2011b, 2015b, 2016). By contrast, lipogenic stimuli, such ghrelin and dexamethasone, downregulate the expression of AQP7 in adipocytes, which results in an increase in intracellular glycerol (Fasshauer et al., 2003; Rodríguez et al., 2009), a metabolite that induces changes in the conformation and enzymatic activity of glycerol kinase (GK), favoring the conversion of glycerol to glycerol-3-phosphate (Yeh et al., 2004). The consequent increase in glycerol-3-phosphate concentrations induces TG biosynthesis, leading to a progressive adipocyte hypertrophy (Hara-Chikuma et al., 2005). Noteworthy, the gene expression of the main lipogenic enzymes are downregulated in visceral adipose tissue of obese subjects (Ortega et al., 2010).

Circulating glycerol constitutes an important energy substrate during fasting with the liver being responsible for about 70-90% of whole-body glycerol metabolism (Reshef et al., 2003). AQP9 constitutes the main route for hepatocyte glycerol uptake (Jelen et al., 2011; Calamita et al., 2012), although the human liver also expresses the aquaglyceroporins AQP3, AQP7, and AQP10 (Rodríguez et al., 2014). AQP9 is mainly localized in the sinusoidal plasma membrane that faces the portal vein (Elkjaer et al., 2000; Nicchia et al., 2001; Gena et al., 2013; Rodríguez et al., 2014). In hepatocytes, glycerol is phosphorylated to glycerol-3-phosphate by GK, and glycerol-3-phosphate constitutes a precursor for hepatic gluconeogenesis as well as for the de novo TG synthesis (Rodríguez et al., 2014). The proportion of glycerol used for hepatic gluconeogenesis or lipogenesis mainly depends on the nutritional state (Kuriyama et al., 2002; Calamita et al., 2012), but a sexual dimorphism has been also observed in hepatocyte glycerol utilization (Nicchia et al., 2001; Lebeck et al., 2015; Rodríguez et al., 2015a). The close coordination between adipose and hepatic aquaglyceroporins is required for the control of whole-body glucose homeostasis as well as lipid accumulation in both rodents (Kuriyama et al., 2002; Rodríguez et al., 2015b) and humans (Catalán et al., 2008; Miranda et al., 2009; Rodríguez et al., 2011b).

The existence of several AQPs has been identified in the pancreas (**Table 1**), an exocrine and endocrine organ of the digestive system that plays an essential role in the onset of insulin resistance and type 2 diabetes (Delporte, 2014). Exocrine and endocrine cells account for 90 and 10%, respectively, of

TABLE 1 | Tissue distribution and biological function of pancreatic aquaporins.

Туре	Exocrine/endocrine pancreas	Cellular location	Biological function	References
AQP0	Not detected (mice, rats and humans)	-	-	Isokpehi et al., 2009
AQP1	Exocrine pancreas (rat and humans)	Acinar cells, intercalated ducts and capillaries	Pancreatic fluid secretion	Koyama et al., 1997; Hurley et al., 2001; Ko et al., 2002; Burghardt et al., 2003
AQP2	Not detected (rats and humans)	-	_	Hurley et al., 2001; Isokpehi et al., 2009
AQP3	Exocrine pancreas (humans)	Acinar and collecting duct cells	Marker of tumor aggressiveness in pancreatic ductal adenocarcinomas	Ishibashi et al., 1995; Burghardt et al., 2003; Direito et al., 2017
AQP4	Negligible expression (rat and humans)	-	-	Koyama et al., 1997; Hurley et al., 2001; Burghardt et al., 2003
AQP5	Exocrine pancreas (humans)	Intercalated and intralobular ductal cells	Pancreatic fluid secretion and marker of tumor differentiation in pancreatic ductal adenocarcinomas	Burghardt et al., 2003; Direito et al., 2017
AQP6	Not detected (mice, rats and humans)	-	-	Isokpehi et al., 2009
AQP7	Endocrine pancreas (mice and rats)	β- and δ-cells	Control of insulin synthesis and secretion, triacylglycerol accumulation and proliferation of β-cells	Matsumura et al., 2007; Best et al., 2009; Méndez-Giménez et al., 2017
AQP8	Exocrine pancreas (rat and humans)	Acinar cells	Pancreatic fluid secretion	Koyama et al., 1997; Calamita et al., 2001; Hurley et al., 2001; Burghardt et al., 2003
AQP9	Not detected (rats and humans)	-	_	Isokpehi et al., 2009
AQP10	Not detected (humans)	-	-	Hatakeyama et al., 2001; Méndez-Giménez et al., 2017
AQP11	Negligible expression (humans)	-	_	Isokpehi et al., 2009
AQP12	Exocrine and endocrine pancreas (rats)	Acinar cells and β-cells	Maturation and exocytosis of zymogen granules, marker of pancreatic damage in acute pancreatitis and pancreatic steatosis	Itoh et al., 2005; Ohta et al., 2009; Méndez-Giménez et al., 2017

AQP, aquaporin.

the total pancreatic cells. Exocrine cells comprise acinar cells, which synthesize and secrete digestive enzymes, and ductal cells that release most of the pancreatic juice. Endocrine cells are organized into small clusters of cells termed islets of Langerhans, which are composed by five cell subtypes,  $\alpha$ -cells (20% of the total cells producing glucagon),  $\beta$ -cells ( $\sim$ 70% producing insulin),  $\delta$ -cells (10% producing somatostatin), polypeptide cells (5% producing PP) and  $\epsilon$ -cells (<1% producing ghrelin). In the present review, we have focused on the role of AQPs in the physiology and pathophysiology of the pancreas, highlighting the role of pancreatic AQP7, which has emerged as a novel player in the control of  $\beta$ -cell function (Matsumura et al., 2007; Best et al., 2009; Louchami et al., 2012; Méndez-Giménez et al., 2017).

## AQUAPORINS IN THE EXOCRINE PANCREAS: REGULATION OF PANCREATIC FLUID SECRETION AND MARKERS OF PANCREATIC DAMAGE

The exocrine pancreas secretes daily a large volume of  $HCO_3^-$ rich fluid containing digestive enzymes to neutralize gastric acid that enters into the duodenum and to digest dietary nutrients (Hurley et al., 2001). The epithelial cells lining the ductal system and, to a lesser extent, acinar cells of the exocrine pancreas generate near-isotonic fluids, a mechanism that requires

a high transepithelial water permeability (Hurley et al., 2001; Ko et al., 2002; Burghardt et al., 2003). Several AQPs, including AQP1, AQP5, AQP8, and AQP12, contribute to the high water permeability of apical and basolateral membranes of both acinar and ductal cells of the exocrine pancreas (Table 1). However, it is tempting to speculate that the existence of other unidentified water channels in the exocrine pancreas, since HgCl2, a nonselective AQP blocker, reduces total water permeability by as much as 90% in isolated rat acinar cells (Hurley et al., 2001) and 78% in isolated rat interlobular ducts (Ko et al., 2002). In the human pancreas, AQP8 is exclusively expressed in the apical membrane of pancreatic acinar cells, whereas AQP1 and AQP5 are abundantly expressed in the apical and basolateral membranes of the epithelial cells of intercalated ducts, which is probably the main site of pancreatic fluid secretion (Burghardt et al., 2003; Figure 1). In this sense, pancreatic fluid secretion starts with the secretion of a small volume of isotonic-like fluid rich in NaCl from acinar cells with AQP8 allowing water efflux to the lumen. Subsequently, intercalated ducts, secrete Na+, HCO3-, and Cl- with AQP1 and AQP5 allowing water movement from ductal cells to the ductal lumen (Delporte, 2014). The digestive enzymes within the pancreatic juice are synthesized in acinar cells and are stored in secretory vesicles termed zymogen granules in the apical pole of the cell. Interestingly, AQP12 is expressed in the pancreatic acinar cells with an intracellular localization in the rough endoplasmic

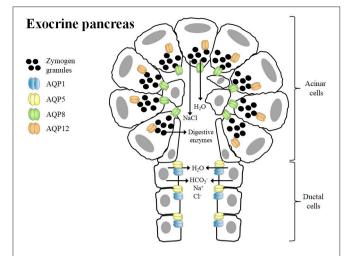


FIGURE 1 | Role of aquaporins in isotonic fluid secretion and zymogen granule exocytosis in the exocrine pancreas. The primary function of pancreatic acinar cells is to synthesize and secrete digestive enzymes, which are stored in zymogen granules in the apical poles. AQP12, which is located in the cytoplasm, contributes to the proper formation, maturation and exocytosis of zymogen granules, a process dependent on water transport across the membranes. Acinar cells also secrete a small volume of a NaCl-enriched isotonic fluid. The water efflux from acinar cells to the lumen is mainly mediated by AQP8. Ductal cells secrete Na<sup>+</sup>, Cl<sup>-</sup>, HCO3<sup>-</sup> as well as large amounts of water via AQP1 and AQP5 in order to form the final isotonic pancreatic fluid.

reticulum and the membranes of zymogen granules near the rough endoplasmic reticulum (Itoh et al., 2005; **Figure 1**). AQP12 not only participates in the secretion of pancreatic isotonic fluid, but it is also involved in the proper maturation and exocytosis of the zymogen granules in murine pancreatic acinar cells (Itoh et al., 2005; Ohta et al., 2009). There is evidence of expression of AQP12 in the human pancreas (Isokpehi et al., 2009), and its tissue distribution and function warrants further investigation.

The pancreatic exocrine function is severely impaired during pancreatitis, the inflammation of the pancreas, which is divided in acute and chronic types (Delporte, 2014; Ravi Kanth and Nageshwar Reddy, 2014). The clinical symptoms of acute pancreatitis include upper abdominal pain, nausea, vomiting and increased serum levels of the digestive enzymes amylase and lipase. Chronic pancreatitis is characterized by recurrent abdominal pain, damage of the pancreatic parenchyma with inflammation and fibrosis, ductal dilation, necrosis and finally a progressive loss of exocrine (maldigestion) and endocrine (diabetes mellitus) functions. Changes in both AQP1 and AQP12 have been observed during the onset of acute and chronic pancreatitis, reflecting their potential as markers of pancreatic damage. AQP1 is overexpressed in the pancreatic ducts of patients with autoimmune pancreatitis, which showed chronic pancreatitis characterized by a severely impaired secretion of digestive enzymes from acinar cells as well as pancreatic fluid and HCO<sub>3</sub> secretion from ducts (Ko et al., 2009; Koyama et al., 2010). The upregulation of AQP1 might constitute a compensatory mechanism to overcome the slowed fluid movement across the pancreatic endothelia and ducts, which alter the convective flow of pancreatic digestive enzymes through the pancreatic duct. On the other hand, AQP12 deficiency increases the susceptibility of caerulein, a cholecystokinin-8 analog inducing acute pancreatitis (Ohta et al., 2009). Accordingly, AQP12-KO mice show more numerous and larger exocytic vacuoles in acinar cells, an important cellular hallmark of early pancreatitis, than control mice.

Likewise, a deregulation of AQPs has been also detected in other pathophysiological conditions of the pancreas, such as obesity-associated pancreatic steatosis (Méndez-Giménez et al., 2017) or pancreatic ductal adenocarcinoma (Direito et al., 2017). AQP12 is upregulated in the pancreas of obese rats with the increased pancreatic *Aqp12* mRNA levels being positively associated with markers of insulin resistance and ectopic lipid overload (Méndez-Giménez et al., 2017). A strong immunoreactivity for AQP3 and AQP5 is observed in the ductal cells of patients with pancreatic ductal adenocarcinomas that is associated to tumor aggressiveness and tumor differentiation, respectively (Direito et al., 2017).

## AQUAPORIN-7 IN THE ENDOCRINE PANCREAS: CONTROL OF INSULIN RELEASE, TRIACYLGLYCEROL ACCUMULATION AND β-CELL PROLIFERATION

Circulating glucose is the most relevant regulator of proinsulin synthesis and insulin secretion in pancreatic β-cells (Muoio and Newgard, 2008). However, glycerol constitutes another metabolite involved in nutrient-induced insulin release through the activation of the glycerol-phosphate shuttle, a metabolic pathway that replenishes cytosolic NAD+ levels necessary to maintain glycolysis, which in turn provides pyruvate for anaplerosis (Skelly et al., 2001). AQP7 has been identified in pancreatic β-cells of murine and rat endocrine pancreas (Figure 2), but not in the acini or the ducts of the exocrine pancreas, as well as in the rat pancreatic BRIN-BD11 and RINm5F β-cell lines (Matsumura et al., 2007; Best et al., 2009; Delporte et al., 2009; Méndez-Giménez et al., 2017). AQP7 transports urea and glycerol in  $\beta$ -cells, resulting in a similar  $\beta$ -cell swelling, activation of the volume-regulated anion channel and insulin secretion (Best et al., 2009). Nonetheless, glycerol triggers a more marked and sustained effect on membrane potential (Best et al., 2009). Extracellular glycerol is transported into βcells through AQP7, transformed into glycerol-3-phosphate by the activation of the GK enzyme activity and entered into the glycerol-3-phosphate shuttle (Rodríguez et al., 2011a; Figure 3). In this metabolic process, glycerol-3-phosphate is converted into dihydroxyacetone phosphate (DHAP) in a reaction catalyzed by the inner membrane-bound mitochondrial glycerol-3-phosphate dehydrogenase (GPD) that reduces FAD+ to FADH2 that enters in the mitochondrial oxidative phosphorylation process to generate ATP (Skelly et al., 2001; Matsumura et al., 2007; Rodríguez et al., 2011a; Méndez-Giménez, 2017). The subsequent increase in the cytosolic ATP/ADP ratio induces the closure of ATP-sensitive K+ channels, depolarization of the plasma

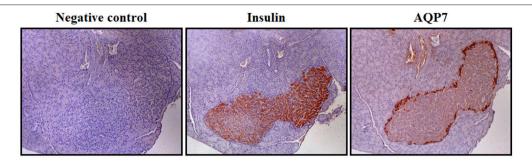


FIGURE 2 | AQP7 distribution in β-cells of Langerhans islets. Immunohistochemistry showing the location of insulin and AQP7 in Langerhans islets in serial sections of rat pancreas using specific primary antibodies (magnification 100x). Negative control was obtained in the absence of primary antibody. The detailed methodology is described in the following reference (Méndez-Giménez et al., 2017).

# Pancreas B-cell Glycerol Ghrelin GLP-1 Glucose AQP7 GHSR-34 Insulated Secret

 Cell type

 ω α-cell

 β-cell

 δ-cell

 ε-cell

 PP-cell

FIGURE 3 | Role of AQP7 in insulin secretion and triacylglycerol accumulation in β-cells of the endocrine pancreas. AQP7 facilitates glycerol influx to β-cells. The increase in intracellular glycerol and the consequent activation of glycerol kinase (GK) activity, in turn, stimulate the pro-insulin mRNA and insulin secretion, probably through their participation in the glycolysis and glycerol-phosphate shuttle activities in the β-cell. Glycerol can be also used as a substrate for *de novo* synthesis of TG. Both ghrelin and glucagon-like peptide 1 (GLP-1) down-regulate AQP7 expression in β-cells. The subsequent increase in intracellular glycerol might be used for the biosynthesis of triacylglycerols (TG) induced by ghrelin as well as for insulin synthesis and secretion triggered by GLP-1. GDP, glycerol-3-phosphate dehydrogenase; GLUT4, glucose transporter 4; PP, pancreatic polypeptide.

membrane, activation of voltage-dependent  $Ca^{2+}$  channels followed by a rapid influx of  $Ca^{2+}$  that triggers insulin exocytosis. Aqp7-KO mice show increased  $\beta$ -cell glycerol content and GK activity, which result in higher basal and glucose-induced insulin secretion (Matsumura et al., 2007; Louchami et al., 2012). Interestingly, AQP7 deficiency is associated with reduced  $\beta$ -cell mass caused by a decrease in  $\beta$ -cell proliferation, but it is also related to increased insulin-1 and insulin-2 transcript levels indicating a more efficient insulin biosynthesis and secretion (Matsumura et al., 2007).

**Endocrine pancreas** 

Short-term exposure of  $\beta$ -cells to FFA increases glucosestimulated insulin secretion, but chronic exposure to high FFA

levels promotes  $\beta$ -cell hypertrophy and insulin hypersecretion, ultimately causing  $\beta$ -cell dysfunction and death through lipoapoptosis (El-Assaad et al., 2003; Méndez-Giménez et al., 2017).  $\beta$ -cells require a continuous sense fuel load, particularly glucose, and they cannot protect themselves by blocking glucose uptake to avoid excess nutrient load and their capacity to store fuel excess in the form of TG is limited (Mugabo et al., 2017). Excess-fuel detoxification pathways comprise glycerol and FFA formation and release to the extracellular milieu and the diversion of glucose carbons to TG and cholesterol esters in  $\beta$ -cells. In this regard, AQP7 plays an important role in the modulation of intraislet glycerol concentration and TG

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synthesis (**Figure 3**; Matsumura et al., 2007; Louchami et al., 2012; Méndez-Giménez et al., 2017). AQP7 deficiency in mice causes an increased intracellular glycerol and the GK activity resulting in an increase in TG concentration in the Langerhans islets (Hibuse et al., 2005; Matsumura et al., 2007).

## OBESITY IS ASSOCIATED WITH DEREGULATION OF AQUAGLYCEROPORINS IN THE ADIPOSE TISSUE, LIVER AND PANCREAS

AQP7-KO mice display a clear phenotype of adult-onset obesity and hyperinsulinemia (Maeda et al., 2004; Hibuse et al., 2005; Matsumura et al., 2007), but the impact of AQP7 loss-of-function homozygous mutations in human obesity and diabetes remains controversial. In a study conducted in 160 adult Japanese subjects, those individuals carrying homozygous missense mutations (R12C, V59L, and G264V) and silent mutations (A103A and G250G) in the AQP7 gene neither exhibit obesity nor diabetes (Kondo et al., 2002). Nonetheless, Kondo and colleagues found that the unique case homozygous for G264V mutation in the AQP7 gene exhibited an impaired exercise-induced increase in plasma glycerol in spite of the increased plasma noradrenaline (Kondo et al., 2002), confirming the role of AQP7 in adipocyte lipolysis. Hyperglyceroluria and platelet secretion defect have been also attributed to the G264V variant of AQP7 gene in three children homozygous for this nonfunctional mutation (Goubau et al., 2013). In another cohort of 178 Caucasian subjects, one single case of a subject homozygous for the G264V mutation exhibited glycerol levels below the 10th percentile, overweight and type 2 diabetes (Ceperuelo-Mallafré et al., 2007). Moreover, a study performed in 977 Caucasian individuals detected a single-nucleotide polymorphism (A593G) in the human AQP7 gene promoter that was related to decreased AQP7 expression in the adipose tissue as well as with type 2 diabetes (Prudente et al., 2007). Further studies are required to analyze the real impact of AQP7 gene variants in the onset of obesity and type 2 diabetes. Nonetheless, growing evidence support the strong metabolic impact of the regulation of AQP7 expression in the onset of obesity and its associated comorbidities (Frühbeck, 2005; Frühbeck et al., 2006; Méndez-Giménez et al., 2014). Human obesity is associated with a deregulation in the expression of aquaglyceroporins in adipose tissue (Marrades et al., 2006; Ceperuelo-Mallafré et al., 2007; Prudente et al., 2007; Catalán et al., 2008; Rodríguez et al., 2011b) and liver (Catalán et al., 2008; Miranda et al., 2009; Rodríguez et al., 2014). Visceral adipose tissue of obese patients shows an upregulation of AQP3 and AQP7, which might be related to the increased lipolytic rate in this fat depot (Catalán et al., 2008; Rodríguez et al., 2011b). In contrast, AQP7 is downregulated in the subcutaneous adipose tissue leading to the promotion of an intracellular glycerol accumulation and a progressive adipocyte hypertrophy (Rodríguez et al., 2011b). Moreover, our group found a reduction of glycerol permeability and AQP9 expression in the liver of obese patients with non-alcoholic fatty liver disease (NAFLD) in parallel to the degree of hepatic steatosis, being further aggravated in insulin-resistant patients (Rodríguez et al., 2014). The downregulation of AQP9 seems to be a compensatory mechanism whereby the liver counteracts further TG accumulation within its parenchyma as well as reduces hepatic gluconeogenesis in obese patients with NAFLD.

Obesity is commonly associated with insulin resistance and type 2 diabetes. Under normal conditions, the pancreatic islet β-cells increase insulin secretion sufficiently to overcome the reduced efficiency of insulin action, thereby maintaining normal glucose tolerance. In order to maintain an appropriate longterm glycemic control in insulin-resistant states, the number of pancreatic islet β-cells or β-cell mass, is expanded (de Koning et al., 2008; Méndez-Giménez, 2017). The β-cell dysfunction is characterized by a decreased insulin gene expression, blunted glucose-stimulated insulin secretion as well as increased  $\beta$ -cell apoptosis rates (Wajchenberg, 2007). Obesity-associated insulin resistance has been attributed to ectopic lipid overload, with lipotoxicity being a major contributor of β-cell dysfunction (Lee et al., 2010; van Raalte et al., 2010; Ou et al., 2013). Since glycerol also constitutes an important metabolite for insulin exocytosis and TG synthesis in  $\beta$ -cells, we analyzed the impact of obesity and weight loss achieved by bariatric surgery on pancreatic AQP7 in a recent study (Méndez-Giménez et al., 2017). As expected hyperinsulinemic and insulin-resistant obese rats exhibited adaptive changes in β-cell mass as well as pancreatic steatosis. Bariatric surgery improved  $\beta$ -cell dysfunction in obese rats, as evidenced by reduced pancreatic β-cell apoptosis, steatosis and insulin secretion. Interestingly, both weight gain and weight loss achieved by bariatric surgery were associated with increased pancreatic AQP7 mRNA and protein levels (Méndez-Giménez et al., 2017). AQP7 upregulation in the pancreas might constitute an adaptive response of β-cells to increase glycerol uptake and the subsequent insulin synthesis and secretion, which seems nevertheless inefficient to reduce the hyperglycemia in the obese state, but not after bariatric surgery. Further studies are needed to validate the potential role of AQP7 in β-cell function in the human pancreas.

## ROLE OF AQP7 IN GHRELIN- AND GLP-1-INDUCED IMPROVEMENT OF PANCREATIC $\beta$ -CELL FUNCTION AFTER BARIATRIC SURGERY

Bariatric surgery significantly improves insulin sensitivity within days after this procedure, which implicates mechanisms independent of weight loss that involve the modulation of intrinsic gut hormones via the gastro-entero-insular axis (Frühbeck, 2015; Méndez-Giménez, 2017). The incretin hormone glucagon-like peptide-1 (GLP-1) is among the most widely studied modulators of  $\beta$ -cell function, with the incretin effect accounting for 70% of the insulin secretion after an oral glucose tolerance test (Hussain et al., 2016). At the endocrine pancreas, GLP-1 binds its receptor GLP-1R and suppresses glucagon secretion from  $\alpha$ -cells and potentiates insulin secretion from  $\beta$ -cells in a glucose-dependent manner. On the other hand, ghrelin represents a survival factor promoting cell survival

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in vitro in HIT-T15 pancreatic β-cells (Granata et al., 2006) and in vivo in streptozotocin-induced diabetic mice (Bando et al., 2013). Interestingly, we found that acylated and desacyl ghrelin induced intracellular lipid accumulation in RIN-m5F β-cells (Méndez-Giménez et al., 2017), which is in agreement with the lipogenic effect of ghrelin isoforms in other metabolic tissues, including adipose tissue and liver (Rodríguez et al., 2009; Porteiro et al., 2013; Ezquerro et al., 2016). We confirmed the water (Pf) and glycerol (Pgly) permeability of the rat RIN-m5F β-cells (Méndez-Giménez et al., 2017), which exhibited permeability values within the range of the Pf and Pgly measured in mature murine 3T3-L1 adipocytes with endogenous AQP7 expression (Madeira et al., 2013). To gain further insight into the molecular mechanisms triggering the improvement of β-cell function, the role of ghrelin and GLP-1 in the expression of pancreatic AQP7 was studied (Figure 3). Acylated and desacyl ghrelin constitute negative regulators of AQP7 in adipocytes and this downregulation contributes, in part, to the lipid accumulation in fat cells (Rodríguez et al., 2009). Accordingly, acylated and desacyl ghrelin diminished the AQP7 expression in parallel to an increased TG content in RIN-m5F β-cells (Méndez-Giménez et al., 2017). Interestingly, GLP-1 showed a tendency toward a downregulation of AQP7 in RIN-m5F β-cells with the AQP7 protein expression being negatively associated with insulin release (Méndez-Giménez et al., 2017). Thus, it seems plausible that the reduction of AOP7 induced by ghrelin and GLP-1 might result in intracellular glycerol accumulation, which can be used for the biosynthesis of TG as well as for insulin synthesis and secretion in  $\beta$ -cells (**Figure 3**).

## **CONCLUSIONS**

Although there is compelling evidence from murine and human studies that AQP7 might constitute an effective anti-diabetic drug target (Rodríguez et al., 2011a; Méndez-Giménez et al., 2014; da Silva and Soveral, 2017), the discovery and development of pharmacological AQP modulators has been slow, in part because current efforts to identify inhibitors are hampered by challenges in screening assays and in targeting the compact, pore-containing AQP molecule (Verkman et al., 2014).

Several heavy metals, such as mercury chloride (HgCl<sub>2</sub>), silver sulfide (AgS) or gold(III) compound [Au(phen)Cl<sub>2</sub>]Cl (phen=1,10-phenatroline) (Auphen) constitute AQP7 inhibitors (Preston et al., 1993; Delporte et al., 2009; Madeira et al., 2014a). Hg<sup>2+</sup> ions bind specifically the mercury-sensitive cysteine located just in front of the second NPA box of several AQPs (Preston et al., 1993). This covalent modification of cysteine residues either induces the blockage or conformational change of the AQP pore causing the inhibition of water permeability. Silver (AgNO<sub>3</sub> or AgS) or gold (HAuCl<sub>4</sub> or Auphen) compounds interact with sulfhydryl groups of proteins, such as the thiolates

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of cysteine residues in the vicinity of conserved NPA motifs and thus effectively inhibit water and glycerol permeability to a higher extent than HgCl<sub>2</sub> (Niemietz and Tyerman, 2002; Martins et al., 2012). However, the AQP inhibitors HgCl<sub>2</sub> or AgS cause major irreversible cytotoxic effects in β-cells (Best et al., 2009). In this context, the design of novel small-molecule modulators of AQP7 expression/function may have clinical applications in the therapy of type 2 diabetes. The use of well-designed experimental strategies is of utmost importance for aquaporin drug discovery. The most frequently used biophysical and biological approaches to detect AQP activity and/or function include: (i) cell models with AQP gene overexpression or silencing for functional analysis; (ii) water and/or glycerol permeability assays by using techniques based on volume-dependent optical properties, such as stopped-flow lightscattering spectrophotometry, or osmotic swelling assays; and (iii) computational methods for the analysis of the target of novel candidate molecules in the three-dimensional AQP structure models (for extensive review of these methods, please refer to; Madeira et al., 2016).

From a clinical point of view, the possibility of regulating the pancreatic AQP7 function, by the upregulation of AQP expression or possibly by gene transfer in rare cases of loss-of-function mutations of the AQP7 gene, may also be beneficial in obesity, insulin resistance and type 2 diabetes. Nonetheless, additional data related to novel mutations, single nucleotide polymorphisms, epigenetic and transcription changes and protein stability are needed to better establish a firm mechanistic basis for the contribution of AQP7 in the etiopathogenesis of these metabolic diseases.

#### **AUTHOR CONTRIBUTIONS**

AR: Conception and design of research; LM-G, GF, and AR: Prepared figures; LM-G, GF, and AR: Drafted the manuscript; LM-G, SE, IdS, GS, GF, and AR: Edited and revised manuscript; LM-G, SE, IdS, GS, GF, and AR: Approved final version of manuscript.

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## VDAC1 as Pharmacological Target in Cancer and Neurodegeneration: Focus on Its Role in Apoptosis

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Cancer and neurodegeneration are different classes of diseases that share the involvement of mitochondria in their pathogenesis. Whereas the high glycolytic rate (the so-called Warburg metabolism) and the suppression of apoptosis are key elements for the establishment and maintenance of cancer cells, mitochondrial dysfunction and increased cell death mark neurodegeneration. As a main actor in the regulation of cell metabolism and apoptosis, VDAC may represent the common point between these two broad families of pathologies. Located in the outer mitochondrial membrane, VDAC forms channels that control the flux of ions and metabolites across the mitochondrion thus mediating the organelle's cross-talk with the rest of the cell. Furthermore, the interaction with both pro-apoptotic and anti-apoptotic factors makes VDAC a gatekeeper for mitochondria-mediated cell death and survival signaling pathways. Unfortunately, the lack of an evident druggability of this protein, since it has no defined binding or active sites, makes the quest for VDAC interacting molecules a difficult tale. Pharmacologically active molecules of different classes have been proposed to hit cancer and neurodegeneration. In this work, we provide an exhaustive and detailed survey of all the molecules, peptides, and microRNAs that exploit VDAC in the treatment of the two examined classes of pathologies. The mechanism of action and the potential or effectiveness of each compound are discussed.

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## INTRODUCTION

Mitochondria are crucial organelles for eukaryotic cells since they support the huge demand of energy required to maintain cellular homeostasis. Metabolites and ions are, thus, continuously exchanged with the cytosol through the Mitochondrial Outer Membrane (MOM), which owes its selective permeability mainly to the presence of mitochondrial porins, known as Voltage-Dependent Anion Channel (VDAC) (Shoshan-Barmatz et al., 2010). VDACs are the most abundant pore-forming proteins of the MOM and, differently from other structurally similar proteins such as Tom40 or Sam50, they serve as unspecific channels allowing the exchanges of molecules up to a molecular weight of 1,500 Da. High conserved through evolution, in mammals three distinct genes encode for three different VDAC isoforms, namely VDAC1, VDAC2, and VDAC3. The three isoforms are characterized by similar molecular weight of 28–32 kDa and by about 70% of sequence similarity (Sampson et al., 1997; Messina et al., 2012), all features suggesting

a common tridimensional structure. Nevertheless, the three proteins display different roles in physiological and pathological conditions, as well as different expression level and tissuespecificity. Beyond the metabolic functions, the peculiar position of VDACs, at the interface between cytosol and mitochondria, makes porins the mitochondrial docking site for several cytosolic proteins, including molecules involved in the regulation of cell life and death. In this perspective, VDAC proteins appear as regulator of apoptosis, exerting both pro- and/or anti-apoptotic functions in physiological and pathological condition. Many pathologies, such as cancer and neurodegenerative disorders, indeed, show a deregulation of apoptosis pathways that correlates with alteration of VDAC activity, expression and functionality. For this reason, VDAC proteins have quickly become a new pharmacological target, and many molecules and peptides have been developed so far, aimed to modulate VDACs activity and ability to regulate apoptosis, with the final goal to find new therapeutic strategies for many disease treatments. In this review, we have grouped and described molecules and peptides with both pro-apoptotic and pro-survival properties. These molecules have been associated with different pathologies and while several of them are well known and already used in clinical trials, other new molecules, just assayed in vitro or at the cellular level, have been surveyed here.

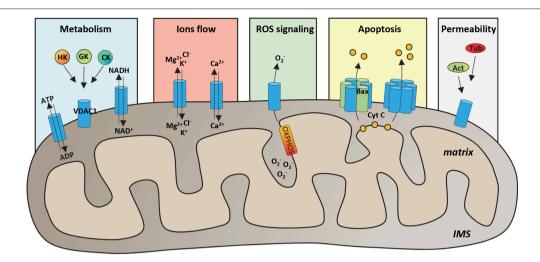
## STRUCTURE AND FUNCTION OF VDAC PROTEINS

VDAC proteins are crucial for the metabolic cross talk between cytosol and mitochondria. Through VDACs, the newly synthetized ATP is continuously exchanged with ADP, as well as NAD+/NADH and many Krebs's cycle intermediates (Benz, 1994; Hodge and Colombini, 1997; Rostovtseva and Colombini, 1997; Lee et al., 1998). VDAC proteins regulate the flux of small ions (Cl<sup>-</sup>, K<sup>+</sup>, Na<sup>+</sup>, and Ca<sup>2+</sup>), participate in fatty acid transport across the MOM and in cholesterol distribution in mitochondrial membranes (Campbell and Chan, 2008; Lee et al., 2011). Furthermore, VDACs participate in the regulation of calcium concentration, maintaining the physiological level of cytosolic calcium, and are the channels responsible of ROS (superoxide anion) release to the cytosol (Han et al., 2003; Simamura et al., 2008a; De Stefani et al., 2012). Through the interaction with many metabolic enzymes, such as hexokinases, glycerol kinase (Fiek et al., 1982), glucokinase, and creatine kinase (Brdiczka et al., 1994), VDACs take part in the control of glycolytic metabolism. The main VDAC cellular functions are summarized in **Figure 1**.

Among VDAC isoforms, VDAC1 is the most abundant and best characterized one. In 2008, the crystallographic structure of mouse VDAC1 was solved by means of X-ray diffraction and confirmed by NMR in the human protein. As reported in **Figure 2A**, VDAC1 is organized as a transmembrane  $\beta$ -barrel, made by 19 anti-parallel  $\beta$ -strands, while the N-terminal domain, including the first 25 amino acids, is structured in  $\alpha$ -helix short stretch, and is localized inside the pore's lumen (Bayrhuber et al.,

2008; Hiller et al., 2008; Ujwal et al., 2008). The N-terminal domain is suspected to participate in the stabilization of the pore's structure by its interaction with the channel's wall (Villinger et al., 2010) but, at the same time, it is considered the mobile part of the protein, being exposed to the cytosol under certain conditions (Geula et al., 2012; Tomasello et al., 2013). The 3D structures of the others human VDAC isoforms remain unsolved so far. Recently, VDAC2 from zebrafish was crystalized, showing to have a structure very similar to that of VDAC1 (Schredelseker et al., 2014). Due to the high sequence similarity between the three isoforms, homology modeling studies predict similar 3D structure for VDAC2 and VDAC3. An exception is the Nterminal domain of VDAC2, which is longer than the other two isoforms and cannot be modeled (De Pinto et al., 2010a). Furthermore, homology modeling revealed high similarity also with porins extracted from Saccharomyces cerevisiae (Guardiani et al., 2018): the ability of human and mouse VDAC isoforms to complement the lack of the endogenous porin1 in yeast (Reina et al., 2010; Magri et al., 2016a) confirmed that VDACs are made with a common motif.

Electrophysiological techniques are widely used to characterize the electrophysiological features of VDAC channels from many organisms (De Pinto et al., 1989; Palmieri and De Pinto, 1989; Aiello et al., 2004; Reina et al., 2013; Guardiani et al., 2018). Mammalian VDAC1 and VDAC2 easily open pores into an artificial membrane formed in a Planar Lipid Bilayer (PLB) apparatus: the pore-forming activity is studied in terms of conductance increase through the otherwise not conducting phospholipidic membrane (Figure 2B). Different VDAC proteins may show differences in their electrophysiological features, in dependence of the sequence of the protein, the phospholipids in the membrane (Brenner and Lemoine, 2014) and the applied voltage. E.g., high cholesterol can impair the activity of many membranes' proteins and, specifically, inhibit VDAC function (Campbell and Chan, 2008). In general, however, they have a typical behavior that can be easily recognized: the formation of the pores is discrete, with a stepwise appearance, and the known VDAC proteins are more or less uniform in this appearance (Benz et al., 1989; Menzel et al., 2009; Guardiani et al., 2018). VDACs are characterized by different conductance values accordingly to the applied voltage. This phenomenon is called voltage-dependence, and it is well known for the channels. *In vitro*, in reconstitution experiments where VDACs are inserted in an artificial membrane, when a low voltage between  $\pm$  20 mV is applied, both VDAC1 and VDAC2 display a high-conductive state (known also as "open" state), with conductance value of about 3.5-4.0 nS in 1 M KCl or NaCl (Colombini, 1980; Xu et al., 1999; Gattin et al., 2015). However, as the voltage increases (in positive or negative sign), VDAC1 and VDAC2 undergo several low-conducting ("closed") states (see Figures 2C,D). These features are well conserved in the evolution for many VDAC isoforms: e.g., similar electrophysiological features were found for VDAC1 and, more recently, for VDAC2 from bovine spermatozoa (Menzel et al., 2009) and for VDAC2 extracted from yeast S. cerevisiae (Guardiani et al., 2018). On the contrary, human VDAC3 shows a very low propensity to form pores into PLB. VDAC3 channels are characterized by a very low



**FIGURE 1** Functional roles of VDAC1 in physiological conditions. Schematic representation of VDAC1 functions in the cell. VDAC1 serves as the main gate in the MOM for metabolites, such as ATP/ADP and NAD+/NADH, but also Krebs cycle's intermediates, cholesterol and glutamate. Furthermore, by interaction with many cytosolic enzymes, such as Hexokinases (HK), Glucokinase (GK), and Creatine Kinase (CK), VDAC1 provides the ATP source essential for enzyme's activity. VDAC1 controls the flux of magnesium, chloride and potassium ions across the MOM, as well as of calcium, participating in the maintenance of cytosolic Ca<sup>2+</sup> level in the physiological range. Evidence highlighted that VDAC1 acts as a preferential release channel for the hydrophilic ROS superoxide anion, produced during respiration by OXPHOS. Moreover, VDAC1 is considered a regulator of apoptosis; indeed, under apoptotic stimuli, VDAC1 undergoes oligomerization, by interacting with the pro-apoptotic protein Bax or with other VDAC1 molecules and constituting a channel big enough to promote cytochrome c (CYT C) releases to the cytosol and activation of apoptosis. It has been showed that many cytoskeleton proteins, such as Actin (Act) or Tubulin (Tub) bind VDAC1 participating in the regulation of channel permeability.

conductance (about 100 pS in 1 M KCl) without showing any voltage-dependence (Checchetto et al., 2014; Okazaki et al., 2015). This peculiar behavior of VDAC3 possibly depends from the high oxidation level of cysteines (Reina et al., 2016a), a specific feature which suggests a putative role of VDAC3 in the redox signaling and mitochondrial quality control (De Pinto et al., 2016; Reina et al., 2016b). Accordingly, the analysis of VDAC3 interactome has highlighted the propensity of this isoform to bind redox enzyme and stress-sensor proteins (Messina et al., 2014), supporting this hypothesis.

### **VDAC IN APOPTOSIS REGULATION**

Mitochondria play a key role both in intrinsic and extrinsic pathways of apoptosis. Mitochondria contain a set of apoptogenic factors, including cytochrome c (cyt c), AIF and Smac/Diablo. In physiological conditions, apoptogenic factors are normally located in the intermembrane space of mitochondria (IMS). However, under apoptotic stimuli, they are released into the cytosol, leading to cyt c interaction with APAF-1 and the formation of apoptosome, which in turn activates the caspases cascade (Wang and Youle, 2009; Vaux, 2011). The release of cyt c to the cytosol occurs by the alteration of MOM permeability, a mechanism finely regulated by Bcl-2 proteins. Bcl-2 represents a heterogeneous family of both pro- and antiapoptotic proteins, characterized by the presence of the common domain BH3. Bcl-2 proteins are mainly cytosolic; however, after certain stimuli, they translocate to the mitochondria, promoting the MOM permeabilization (Martinou and Youle, 2011). Many

intrinsic stimuli, such as increased cytoplasmic level of Ca<sup>2+</sup>, severe oxidative stress, DNA damages and hypoxia (Le Bras et al., 2005; Keeble and Gilmore, 2007; Kroemer and Zitvogel, 2007), promote the mitochondrial translocation of the proapoptotic protein Bax and its interaction with the mitochondriallocated Bak, through conformational changes that leads to the formation of hetero-oligomers big enough to allow the passage of apoptogenic factors (Gross et al., 1998; Kroemer et al., 2007). Similarly, an extrinsic signal, e.g., the binding of an extracellular molecule to a specific receptor on the plasma membrane, results in the activation of caspase-8 that, in turn, promotes the cleavage of the pro-apoptotic protein Bid. The truncated Bid form, tBid, translocates into MOM, and interacts with Bak, participating in hetero-oligomers formation (Korsmeyer et al., 2000). In this contest, VDAC proteins participate in the regulation of mitochondrial-mediated apoptosis in different ways. In particular, while VDAC1 is widely considered a proapoptotic protein (see below), VDAC2 exerts an anti-apoptotic function. On the contrary, no information about the involvement of VDAC3 in apoptosis regulation is available so far.

Much evidence indeed indicates a specific function as prosurvival protein for VDAC2. This suggests a co-evolution of this mammalian-specific VDAC isoform with Bcl-2 proteins to regulate cell death (Cheng et al., 2003). In fact, VDAC2 specifically binds Bak, sequestrating it into the MOM in an inactive conformer and, thus, inhibiting Bak-dependent mitochondrial apoptosis (Cheng et al., 2003). Only recently, the specific domain of VDAC2 necessary for BAK interaction was identified (Naghdi et al., 2015). A similar mechanism of

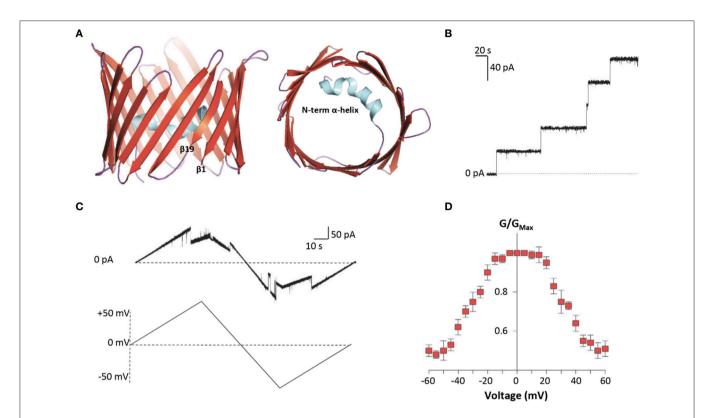


FIGURE 2 | Structure and electrophysiological features of human VDAC1. (A) Three-dimensional structure of human VDAC1 from the side or top view. VDAC1 is a  $\beta$ -barrel (in red) formed by 19 anti-parallel  $\beta$ -strands, with the exclusion of  $\beta$ 1 and  $\beta$ 19 which are parallel. The strands are connected by loops (in purple). The N-terminal domain (in light blue) is arranged in  $\alpha$ -helix and it is located inside the pore's lumen. This structure was drawn by PyMol software and is based on the hVDAC1 (PDB 5XDN). (B) Representative trace of recombinant hVDAC1 insertion in artificial membrane measured at the PLB. The trace indicates that hVDAC1 can easily form channels of about 4 nS in 1 M KCl. The experiment was performed at the constant voltage of + 10 mV. (C) Representative triangular curve of recombinant hVDAC1 showing changes in channel conductance upon application of a voltage ramp between ± 50 mV. As shown, hVDAC1 remains in a stable high-conductive state at low voltages, between ± 30 mV; conversely, at higher voltages, hVDAC1 switches into low-conductive states. The experiment was performed in 1 M KCl. (D) Bell-shaped curve of hVDAC1 voltage dependence, showing the channel's open probability (G/G<sub>Max</sub>) in relation to the voltage applied. Data are expressed as mean of G/G<sub>Max</sub> ± SEM of n = 3 independent experiments, performed in 1 M KCl in a voltage range of ± 60 mV.

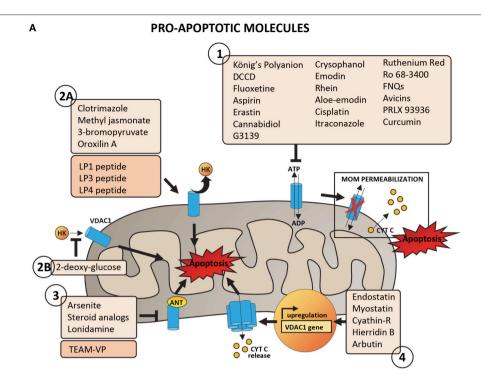
VDAC2-mediated inhibition was found also for the cytosolic protein Bax, which was partially found in the MOM and associated to VDAC2 (Ma et al., 2014).

Conversely, VDAC1 is able to bind Bax exerting a proapoptotic activity. In particular, the interaction of Bax with VDAC1 not only blocks the ATP/ADP exchange, affecting the channel functioning (Vander Heiden et al., 1999), but leads to the formation of hetero-oligomers VDAC1-Bax involved in cyt c release and caspase cascade activation (Shimizu et al., 1999; Shimizu and Tsujimoto, 2000). Alternatively, apoptotic stimuli are able to induce VDAC1 oligomerization, leading to the formation of channels big enough to allow the passage of cyt c to the cytosol (Keinan et al., 2010).

An early theory indicated that opening of the mitochondrial Permeability Transition Pore (mPTP), led to loss of the mitochondrial membrane potential, mitochondrial swelling, and the rupture of the MOM (Zoratti and Szabò, 1995; Halestrap et al., 1997). In an old model, mPTP was proposed to be formed by VDAC1 in the MOM, adenine nucleotide translocator (ANT) in the IMM, and cyclophilin-D (CyP-D) in the matrix (Marzo

et al., 1998; Bernardi, 1999; Green and Evan, 2002; Tsujimoto and Shimizu, 2007). However, mPTP opening in VDAC1- or ANT-null cells (Baines et al., 2007), have challenged the mPTP model, which remains a not completely answered question.

Pro-apoptotic properties of VDAC1 are prevented by its interaction with the metabolic enzymes hexokinases (HKs). The two main HK isoforms, namely HK1 and HK2, are both involved in the first rate-limiting step of glycolysis. Both isoforms bind to VDAC1, obtaining a direct access to mitochondrial ATP, despite HK2 shows a higher affinity for mitochondrial binding (Wilson, 2003). The biological significance of VDAC1-HKs complexes is more profound. Indeed, HKs compete with Bax for binding to VDAC1, reducing the formation of VDAC1-Bax complexes (Vyssokikh et al., 2002); conversely, HKs detachment from VDAC1 induces apoptosis, increasing VDAC1 propensity to bind Bax or to participate in heteroor homo-oligomeric structure formation (Abu-Hamad et al., 2008). Not coincidentally, VDAC1-HKs complexes are exploited in tumors, since mitochondrial HK2 increases the glycolysis rate, participating to the "Warburg effect," and protects cancer



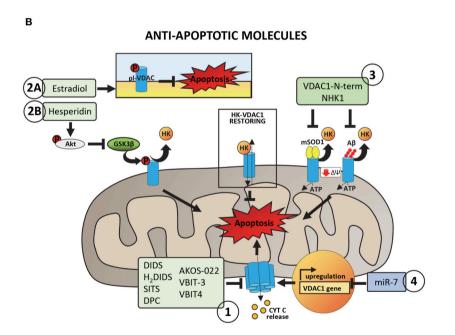


FIGURE 3 | Pro- and anti-apoptotic molecules acting on VDAC1 and putatively involved in pharmacological treatment of cancer and neurodegeneration.

(A) Pro-apoptotic molecules and peptides acting on VDAC1 with proven or potential role in the pharmacological treatment of cancer phenotype. Group 1 includes molecules acting on VDAC1 channel activity by promoting the impairment of metabolic exchanges between mitochondria and cytosol, leading to MOM permeabilization and activation of apoptosis. Group 2 includes molecules and peptides acting on VDAC1-HKs complexes by promoting HKs detachment from VDAC1 (2A) or preventing HKs binding to VDAC1 (2B). Group 3 includes molecules and peptides acting on ANT-VDAC1 complexes (the precise mechanism is still unclear). Group 4 includes molecules inducing VDAC1 overexpression and the consequent propensity of VDAC1 to form oligomers. (B) Anti-apoptotic molecules and peptides acting on VDAC1 and potentially able to reduce mitochondrial dysfunction in neurodegenerative diseases. Group 1 includes peptides with proven ability to bind VDAC1 and to impair the aggregation of misfolded SOD1 mutants or Aβ peptide with VDAC1, restoring VDAC1-HKs complexes, and VDAC1 functionality. Group 2 includes molecules acting on VDAC1 phosphorylation at both plasma membrane (2A) and mitochondrial (2B) level with consequences on VDAC1 channel activity or the ability to bind HKs. Group 3 includes channel blockers, molecules with proven ability to bind specifically VDAC1 and to counteract the VDAC1 oligomerization. Group 4 includes siRNA able to downregulate VDAC1 expression, decreasing in turn the VDAC1 propensity to form oligomers.

cells from apoptosis (Gatenby and Gillies, 2004; Pedersen, 2008).

## **VDAC-Mediated Apoptosis as a Target for Many Disease's Treatment**

VDAC proteins play a crucial role in controlling mitochondrial metabolism and apoptosis. In this perspective, VDACs become interesting from a pharmacological point of view and many molecules targeted to VDAC proteins have been developed so far. Indeed, both alterations of apoptosis and of mitochondrial bioenergetics represent basal molecular mechanisms whose modulation is present in many pathologies.

A cancer hallmark is the apoptosis inhibition. A combination of factors leads to raising cell resistance to death stimuli. In many tumors, several anti-apoptotic proteins are overexpressed (Strasser et al., 1990; Adams and Cory, 2007) and the rapid growth of malignant cells is strongly supported by VDAC1-HKs complexes, which increase glucose metabolism and inhibit apoptosis (Mathupala et al., 2006). Conversely, the detachment of HKs from VDAC1 promotes the channel propensity to interact with the pro-apoptotic Bax and Bak proteins (Majewski et al., 2004) or to form VDAC1 oligomers (Keinan et al., 2010). Therefore, therapeutic approaches aimed to counteract malignant cells proliferation have taken into account strategies directed to induce HKs detachment from VDAC1 and/or to act on VDAC1 expression and channel activity.

In cancer, cell death is significantly inhibited, but in neurodegenerative disease, on the opposite, the early onset of neuron's death is among the causes of the pathologies. Neurodegenerative disorders represent a large group of agerelated pathologies, which affect different nervous system's regions. Among disorders affecting brain, the most studied are undoubtedly Alzheimer's disease (AD) and Parkinson's disease (PD), while the most known neuromuscular disorder is Amyotrophic Lateral Sclerosis (ALS), which specifically affects spinal cord. Pathologies such as AD, PD, and ALS are characterized by different etiologies and symptoms. Nevertheless, at the molecular level, they are characterized by accumulation within the cells of misfolded proteins and/or peptide which can directly interact with VDAC1 (Magrì and Messina, 2017). The interaction of misfolded protein with VDAC1 has dramatic consequences for mitochondrial functionality. At the same time, in AD and in PD, a significative alteration of caspase-mediated apoptotic pathways was found (Li et al., 2000; Rohn et al., 2009), which correlated with a reduction of mitochondrial rate of HKs (Israelson et al., 2010; Smilansky et al., 2015; Magrì et al., 2016b). Furthermore, the analysis of post-mortem brain from AD patients and transgenic mice have shown that VDAC1 is over-expressed and that the level of VDAC1 phosphorylation is significantly increased (Cuadrado-Tejedor et al., 2011). In this perspective, molecules able to interfere with misfolded proteins interaction with VDAC1 and/or decrease the proapoptotic features due to the overexpression of the channel have been proposed as therapeutic tool. In this review, we grouped molecules that, by acting on VDAC1, exert proand anti-apoptotic features, thus putatively able to counteract mitochondrial dysfunction in cancer and neurodegenerative diseases, respectively. However, the targeted delivery of drugs to specific intracellular locations is one of the most challenging obstacle to be overcome. Several chemotherapeutic drug cannot easily cross the protective, physiological barriers in tumor tissues. For this reason, we paid specific attention onto biological molecules like peptides and oligos, which definitely represent a most promising alternative to conventional molecules used nowadays against cancer and neurodegeneration.

## VDAC TARGETED MOLECULES THAT AFFECT APOPTOSIS

## Pro-apototic Molecules Acting on VDAC1 Channel Activity

Over the years, various anti-cancer molecules able to directly target to VDAC1 were proposed (Reina and De Pinto, 2017). Most of described molecules directly interact with VDAC1, reducing the channel conductance, eventually leading to apoptosis (Figure 3A, Group 1). The König's Polyanion (KPa), a 1:2:3 copolymer of methacrylate, maleate, and styrene, is surely one of the first listed compound able to lower VDAC's gating voltage and induce irreversible channel closure in vitro (König et al., 1982; Colombini et al., 1987; Tedeschi et al., 1987; Benz et al., 1988; Mannella and Guo, 1990). Nevertheless, the existence of contrasting results that prove both apoptotic and anti-apoptotic effects together with the lack of specificity for VDAC, prevent KPa utilization as an anticancer drug. Likewise, dicyclohexylcarbodiimide (DCCD) has been reported to inhibit hexokinase binding by covalently labeling VDAC (Nakashima et al., 1986; Nakashima, 1989; De Pinto et al., 1993; Shafir et al., 1998) and blocking its channel activity (Shafir et al., 1998). The high specificity of this interaction is strengthened by the identification of VDAC-Glu72 amino residue as the binding site of both DCCD and hexokinase (De Pinto et al., 1993; Zaid et al., 2005). In spite of this, the ability of DCCD to inhibit various ATPases prohibits its use in humans.

Several molecules have been intensively tested in cellulo. For instance, fluoxetine, a drug prescribed for the treatment of major depressive disorders, has been reported to inhibit proliferation of several cancer cells lines (Serafeim et al., 2003; Krishnan et al., 2008; Stepulak et al., 2008; Lee et al., 2010; Mun et al., 2013), although previous studies have associated the administration of this compound to an increased risk of developing tumor (Brandes et al., 1992; Lee et al., 2001). Once penetrated inside the cell, fluoxetine binds mainly to mitochondria (Mukherjee et al., 1998), most likely using VDAC, as demonstrated by PLB assays. In this conditions indeed, fluoxetine interacts with VDAC, altering its channel properties (Nahon et al., 2005; Thinnes, 2005). A recent report, however, questions the specificity of fluoxetine for VDAC, proposing its interaction with the Glutamate receptor 1 (GluR1) to trigger apoptosis in glioma cells (Liu et al., 2015). Aspirin, the famous nonsteroidal anti-inflammatory drug used as an antipyretic and analgesic agent, has been lately associated with a proapoptotic activity against different cancer cell types, such as colon

cancer, chronic lymphocytic leukemia and myeloid leukemia. Tewari et al. demonstrated a direct modulation of membranereconstituted VDAC1 by aspirin, suggesting this interaction as responsible for the anticancer effects of the drug (Tewari et al., 2017). Following VDAC1 binding, indeed, aspirin would dissipate mitochondrial membrane potential ( $\Delta \psi m$ ), dissociate HK2 from mitochondria and promote cell death. Accordingly, silencing of VDAC1 protects HeLa cells from aspirin-induced cell death. Erastin is another anti-tumor agent that use VDAC as a docking site in mitochondria and induces oxidative, nonapoptotic death in human tumor cells with mutations in the oncogenes HRAS, KRAS, or BRAF. Erastin specifically binds VDAC isoforms 2 and 3 (Yagoda et al., 2007), as confirmed by knockdown experiments, and it also modulates VDAC-tubulin interaction in proliferating cells (Maldonado et al., 2013). VDAC has been also proposed as a target for cannabidiol (CBD), a phytocannabinoid derived from Cannabis species and devoid of psychoactive activity. Reconstitution experiments in artificial membranes demonstrated indeed the ability of CBD to directly bind VDAC, markedly decreasing its channel conductance. This interaction, further confirmed by microscale thermophoresis analysis (Rimmerman et al., 2013), may be responsible for the strong anti-tumor effects of cannabidiol observed in numerous cancer cells types (Ligresti et al., 2006; Massi et al., 2013). Avicins represent a class of natural compounds reported to target and close VDAC in lipid bilayers, causing the OMM permeabilization and the release of cytochrome c (Lemeshko et al., 2006; Haridas et al., 2007). Once again, such a mechanism would explain the pro-apoptotic effect of these triterpenoid saponines in tumor cells (Haridas et al., 2001; Mujoo et al., 2001; Gaikwad et al., 2005). Interestingly, avicins can still trigger cell death via autophagy even when Bax or Bak genes are deleted or caspases are inhibited, suggesting potential therapeutic activity in apoptosis-resistant cancers. Recently, chrysophanol, emodin, rhein, aloe-emodin, and catechin, the bioactive anticancer components of the herb Rheum officinale Baill, have been reported to bind VDAC through Thr207 and the Nterminal region of the protein (Li et al., 2017). A survey of literatures shows that these derivatives induce apoptosis in many human cancer cell lines, including lung adenocarcinoma A549, cervical carcinoma HeLa, and hepatoma HepG2 cells (Chu et al., 2012). Given the importance of VDAC as an anti-cancer target, the authors proposed a key role for this interaction in the cytotoxic activity of the compounds. Electrophysiological experiments have demonstrated the ability of ruthenium Red (RuR), a water-soluble hexavalent polycation whose effects on apoptosis are debated (Anghileri, 1975; Zaid et al., 2005), to induce channel closure of membrane-reconstituted VDAC1 as well (Israelson et al., 2008). Interestingly, RuR would interact with the same VDAC1 loops responsible for HK1 binding (Israelson et al., 2008). Although with a slightly different mechanism, it is worth mentioning also furanonaphtoquinones (FNQs), a class of highly reactive molecules that induce caspase-dependent apoptosis via ROS production. VDAC was proposed as the pharmacological target of FNQs, since their anticancer activity was increased upon VDAC1 overexpression and decreased upon VDAC1 silencing by siRNA (Simamura et al., 2006, 2008b). In 2003, Cesura et al. identified VDAC1 as the major molecular target of the PTP inhibitor **Ro 68-3400** in mitochondria prepared from a human neuroblastoma cell line. Later, however, this statement underwent several confutations (Cesura et al., 2003). Initially, single channel analysis revealed that Ro 68-3400 failed to alter the electrophysiological properties of VDAC1 incorporated into lipid membranes and afterwards, Bernardi and coworkers proposed the Mitochondrial Phosphate Carrier (PiC) as the proper interactor (Krauskopf et al., 2006).

Compounds that are already part of clinical trials conclude this list. Among them, cisplatin is one of the best known chemotherapeutic drug for the treatment of numerous human cancers (Khan et al., 1982; Scher and Norton, 1992; Abrams et al., 2003; Koch et al., 2013). Several clues about the enhanced sensitivity to cisplatin of cells with increased expression of VDAC1, suggested that this protein may serve as a cisplatin receptor in the apoptotic pathway (Thinnes, 2009). According to Keinan et al. cisplatin would induce cell death through VDAC1 oligomerization (Keinan et al., 2010), albeit discordant data proposed a significantly increased cytotoxicity of this drug in cancer cells silenced for VDAC1 (Wu et al., 2016). Clinical studies performed with itraconazole, a common antifungal drug, have demonstrated its potent antiangiogenic and anticancer activity. Again, VDAC would be part of the mechanism of action of this compound. Liu and coworkers, indeed, reported that the binding of itraconazole to VDAC1 causes an increase in the AMP:ATP ratio, which in turn activates AMPK that down-regulates mTOR pathway and thus inhibits cell proliferation (Head et al., 2015). VDAC has been recently proposed as the therapeutic target of curcumin as well. Curcumin (diferuloylmethane) is a component of the golden spice turmeric (Curcuma longa) with anti-inflammatory and antitumor activity (Aggarwal et al., 2003). Along with reports that claim curcumin capable of binding to Bcl2 proteins (Carroll et al., 2011; Rao et al., 2011; Yang et al., 2015), Tewari et al. firstly demonstrated its interaction with membrane-reconstituted VDAC1 (Tewari et al., 2015). Noteworthy, curcumin has already entered phase II clinical trial for the treatment of advanced pancreatic cancer (Dhillon et al., 2008) and the prevention of colorectal neoplasia (Carroll et al., 2011). Finally, a structural analog of the above mentioned erastin, called PRLX 93936, is currently in Phase I/II clinical trial for the treatment of patients with multiple myeloma (ClinicalTrials.gov database, NCI). As for erastin, it inhibits VDAC2 and VDAC3 in cells harboring mutations in the oncogenes HRAS, KRAS, and BRAF.

## Pro-apoptotic Molecules Counteracting the Interaction of VDAC1 With Hexokinases or the Adenine Nucleotide Transporter

VDAC-hexokinase interaction certainly represents a crucial point in the establishment and maintenance of the cancerous metabolism. For this reason, one of the main classes of anticancer drugs targeted to VDAC specifically aims at destroying this bond (**Figure 3A**, Group 2). **Clotrimazole**, an antimycotic

drug used in the treatment of fungal infections, is one of the best known molecule able to inhibit glycolysis by inducing the detachment of mitochondrial-bound hexokinase and therefore triggering apoptosis in various mouse models of cancer (Penso and Beitner, 1998; Snajdrova et al., 1998; Palchaudhuri et al., 2008; Kadavakollu et al., 2014). Unfortunately, despite many attempts to increase its bioavailability (Abdel-Moety et al., 2002; Prabagar et al., 2007; Yong et al., 2007), clotrimazole still has limited success in clinical use because of its poor solubility in water. Numerous reports also describe 3-bromopyruvate (3BrPA) and methyl jasmonate as drugs involved in tumor suppression through detachment of hexokinase from VDAC (Galluzzi et al., 2008, 2013; Goldin et al., 2008; Cohen and Flescher, 2009; Cardaci et al., 2012; Ko et al., 2012; Pedersen, 2012; Shoshan, 2012). The first one is a pyruvate analog whose binding probably expose sites previously occupied by HK2 making them available to pro-apoptotic molecules, thus promoting the release of cytochrome c from mitochondria (Chen et al., 2009; Nakano et al., 2012). Several in vitro studies confirmed the extremely high selectivity of 3BrPA for malignant cells (Pedersen, 2007; Nakano et al., 2011). For many reasons, that we have not the space to discuss here, it has not yet entered in formal clinical trials, albeit human administration of 3BrPA has been reported (Ko et al., 2012; El Sayed et al., 2014). Methyl jasmonate is instead a plant stress hormone of the jasmonate family that showed to be highly selective toward cancer cells and ineffective toward normal cells (Fingrut and Flescher, 2002) and to have the ability to act against drug resistant cells (Fingrut et al., 2005). Although with a somewhat different mechanism, it is also worth mentioning in this context the 2-deoxy glucose (2DG) that, inhibiting the activity of HK2, indirectly prevents its binding to VDAC. According to Ben Sahra et al., treatment with 2DG would promote cancer cell apoptosis when used in combination with the anti-diabetic drug metformin (Ben Sahra et al., 2010). Currently, 2-deoxy glucose is in phase I/II trial for the treatment of advanced cancer and hormone refractory prostate cancer (ClinicalTrials.gov database, NIH). A potent anti-tumor activity has been as well described for **oroxilin** A, an O-methylated flavone found in Scutellaria baicalensis and Oroxylum indicum. Besides several studies demonstrating its ability to induce apoptosis (Hu et al., 2006; Li et al., 2009; Zhao et al., 2010), to arrest cell cycle (Yang et al., 2008) and suppress metastasis in many cancer cell types, it was reported that oroxylin A induces dissociation of HK2 from mitochoia in human breast carcinoma cell lines (Wei et al., 2013). Despite conflicting opinions about the essential role for VDAC and adenine nucleotide translocase (ANT) in permeability transition pore (PTP), a channel whose opening leads to mitochondrial depolarization, VDAC-ANT complex is still recognized as an anti-cancer target (Beutner et al., 1998; Neuzil et al., 2013; Figure 3A, Group 3). Compounds that act at that level are lonidamine, arsenites, and steroid analogs (Belzacq et al., 2001). Interestingly, the arsenite analog 4-(N-(S-glutathionylacetyl)amino) phenylarsenoxide (GSAO) was shown to inhibit ANT and to selectively kill proliferating angiogenic endothelial cells, while being non-toxic to growtharrested endothelial cells (Don et al., 2003).

## Pro-apoptotic Molecules Controlling VDAC1 Expression Level

This third class of drugs includes few molecules. They act through less clear mechanisms than those described above, but all culminate in the modulation of VDAC expression levels (Figure 3A, Group 4). Endostatin is an example. The C-terminal globular domain of collagen XVIII is indeed a potent inhibitor of angiogenesis that promotes apoptosis by up-regulating VDAC1 expression. More specifically, this molecule seems to reduce HK2 expression, which, in turn, would lead to VDAC phosphorylation and accumulation (Yuan et al., 2008). Myostatin, a myokine of the transforming growth factor-β (TGF-β) superfamily, influences the expression levels of both HK2 and VDAC as well. Liu et al. proposed impaired VDAC and HK2 expression levels as responsible for HK2 dissociation from VDAC (Liu et al., 2013) and, consequently, for apoptosis induction in cancer cells. As reported in (Huang et al., 2015), Cyathin-R attenuates tumor growth and triggers apoptosis in Bax/Bak-deficient cells by modulating VDAC1 expression. This fungal-derived diterpenoid increases VDAC1 protein levels, thus supporting oligomerization that results in cell death. The involvement of VDAC is confirmed by the evidence that its silencing or inhibition of channel conductance and oligomerization completely abrogate Cyathin-R effects. Very recently, the marine metabolite hierridin B from Cyanobium sp. was proved to induce cytotoxicity selectively in HT-29 adenocarcinoma cells (Leão et al., 2013) through significant changes in VDAC1 mRNA expression and protein content (Freitas et al., 2016). From scarce information available, arbutin, a glycosylated hydroquinone extracted from the bearberry plant in the genus Arctostaphylos and widely used in cosmetics for its depigmenting effects would induce apoptosis in A375 human malignant melanoma cells by up-regulating VDAC1 (Nawarak et al., 2009).

## Anti-apoptotic Molecules Impairing VDAC1 Oligomerization

Alzheimer's disease is characterized by an enanched expression levels of VDAC1 (Yoo et al., 2001; Cuadrado-Tejedor et al., 2011) and by reduced interaction of VDAC1 with the glycolityc enzymes HKs (Smilansky et al., 2015). These conditions increase VDAC1 propensity to form oligomers (Smilansky et al., 2015), promoting in turn the enhancement of apoptosis, with dramatic consequences for the early neuron's death (Mattson, 2000). Therefore, feasible therapeutic strategies to prevent apoptosis in AD include the downregulation of VDAC1 expression and/or the inhibition of VDAC1 oligomerization (**Figure 3B**, Group 1).

The 4,4'-diisothiocyanostilbene-2,2'-disulfonic acid, known as **DIDS**, is a calcium and chloride channel blocker (Cabantchik et al., 1978) which, when added to PLB-reconstituted VDAC1, is able to decrease the channel conductance (Thinnes et al., 1994). It has been demonstrated that DIDS exerts a pro-survival activity in HeLa cells treated with apoptotic inducers by preventing the activation of caspase-3, nuclear DNA fragmentation and cell volume decrease, common hallmarks of apoptosis activation (Benítez-Rangel et al., 2015). Although a direct inhibition of caspase's activity was recently proposed (Benítez-Rangel et al.,

2015), DIDS is able to counteract apoptosis at the early stage, by diminishing VDAC1 oligomerization and thus preventing cyt c release to the cytosol (Keinan et al., 2010). In fact, treatment of HeLa cells with DIDS was able to counteract the toxicity of staurosporine, an established apoptotic inducer, via VDAC1 oligomerization (Keinan et al., 2010). Very similar results were achieved by using DIDS analogs (H2DIDS, SITS, DPC) which successfully counteracted cisplatin- or seleniteinduced apoptosis in SH-SY5Y cells (a cell line commonly used as model of neurodegenerative diseases), again by diminishing the oligomerization of VDAC1 (Ben-Hail and Shoshan-Barmatz, 2016). The molecular mechanism correlating the channel block with VDAC1 oligomerization is not completely understood, even though several hypothesis have been proposed. For instance, DIDS binding to VDAC1 could interfere with Bax interaction with VDAC1 and, thus, formation of oligomers (Liu et al., 2008; Tajeddine et al., 2008).

A high-throughput compound screening approach led recently to the identification of other blockers exerting high specificity for VDAC1. One of them, called AKOS-022, was able to reduce significantly VDAC1 conductance at the PLB and decrease VDAC1 oligomerization already at micromolar concentration (Ben-Hail et al., 2016). Molecules based on the chemical structure of AKOS-022 were then synthetized, in order to maximize the anti-apoptotic effect. Among them, molecules named VBIT-3 and VIBT-4 have shown a pro-survival activity counteracting VDAC1 oligomerization in a pharmacological range of concentration and only in presence of VDAC1 overexpression, suggesting the pharmacological employment in AD (Ben-Hail et al., 2016).

## Anti-apoptotic Molecules Modulating Post-translational Modification of VDAC1

VDAC1 is subject of post-translational modifications, such as phosphorylation, oxidation, and acetylation (Kerner et al., 2012; Figure 3B, Group 2). In particular, phosphorylation occurs in specific serine, tyrosine or threonine residues and leads to the modulation of the channel activity and the regulation of apoptosis (Bera et al., 1995; Banerjee and Gosh, 2006). Many kinases have been found involved in VDAC1 modification, e.g., the glycogen synthase kinase 3 beta (GSK3β) phosphorylates VDAC1 on threonine 51 (Martel et al., 2013). The phosphorylation of VDAC1 Thr 51 exerts a strong effect on the channel ability to bind HKs: as the phosphorylation extent increases, indeed, the affinity of the glycolytic enzyme for VDAC1 diminishes (Martel et al., 2013). Therefore, activation of GSK3β favors apoptosis by promoting HKs detachment from VDAC1. In AD, the activity of GSK3β is significantly enhanced, resulting in a cascade events which include VDAC1 phosphorylation, detachment of mitochondrial HK1 and activation of apoptosis (Martel et al., 2013). At the same time, the enhanced activity of GSK3ß correlated with the accumulation of Aß peptide and the phosphorylation of Tau (Jope and Johnson, 2004; Jope et al., 2007). Hesperidin is a flavonoid found in citrus with known anti-inflammatory and anti-oxidant properties. The employment of hesperidin in AD has shown to be protective in different pathological models: e.g., in rat, the flavonoid was able to significantly improve the cognitive impairments typical of the pathology, by decreasing both oxidative stress and apoptosis rate (Justin Thenmozhi et al., 2017). The protective effect of hesperidin in AD is due to the modulation of Akt/GSK-3β pathway, a cascade mechanism that involves VDAC1. Hesperidin, indeed, promotes the phosphorylation of Akt, which once activated, reduces GSK-3β activity (Wang et al., 2013). As consequence, the phosphorylation rate of VDAC1 is significantly reduced while HK1 binding to VDAC1 is improved, supporting cell metabolism and cell growth (Wang et al., 2013).

An analog mechanism was found also for the VDAC1 portion localizing on the plasma membrane (pl-VDAC) (Thinnes et al., 1989). pl-VDAC is particularly abundant in hippocampus and frontal cortex and localizes in specialized membrane regions called lipid rafts (Bàthori et al., 1999; De Pinto et al., 2010b), where together with caveolin-1 and the estrogen receptor αlike (mER), pl-VDAC forms a large protein complex (Ramirez et al., 2009; Herrera et al., 2011). As for the mitochondrial counterpart, pl-VDAC takes part in the control of the extrinsic pathway of apoptosis, by controlling ions transport across the membrane (Akanda et al., 2008; Thinnes, 2010). It has been proposed a key role of pl-VDAC in mediating Aβ-toxicity (Marin et al., 2007; Ramirez et al., 2009). Not coincidentally, pl-VDAC is expressed in brain regions with cognitive functions and thus more susceptible to AD. Experimental evidences have highlighted a protective effect of the estrogen estradiol against Aβ-toxicity in different AD models (Sherwin and Henry, 2008; Correia et al., 2010). Estradiol, indeed, modulates the phosphorylation level of pl-VDAC and its channel activity: when phosphorylated by estradiol, pl-VDAC is maintained in a closed and inactive form, which protects cells from apoptosis activation (Herrera et al., 2011). Conversely, the de-phosphorylation of pl-VDAC, operated by the antiestrogen tamoxifen, promotes channel opening, a mechanism which correlates directly with apoptosis (Herrera et al., 2011).

## BIOLOGICAL MOLECULES AFFECTING VDAC FUNCTIONS

## Pro-apoptotic Peptides Interfering With VDAC1-HKs Interaction

Anti-cancer peptides have primarily been designed to interfere with VDAC binding to some of its major interactors, such as hexokinase. These "interfering" peptides simply mimic VDAC or HK sequences strongly suspected to be involved in the protein-protein interaction: the rationale is to restrict the side effects generally associated to the utilization of chemical drugs. The mechanistic hypothesis behind the pro-apoptotic effects of peptides implies the establishment of a competition between them and the two interacting proteins (Figure 3A, Group 2). The first work to lead the way of using anti-tumor peptides was the paper by Arzoine and colleagues. In this report, synthetic peptides mimicking the N-terminal region and two cytoplasmic loops of VDAC, respectively LP1, LP3, and LP4, were found able to detach and even prevent hexokinase binding

to VDAC (Arzoine et al., 2009). Subsequently, LP1 and LP4 peptides were further engineered by adding the Antennapedia homeodomain (HDAntp) from Drosophila, in order to increase their intracellular delivery. Interestingly, modified VDAC1-based peptides were proved to reduce the anti-apoptotic effects of Bcl-2 or Bcl-xL (Arbel and Shoshan-Barmatz, 2010; Arbel et al., 2012) and selectively kill Chronic Lymphocytic Leukemia cells (Prezma et al., 2013). Peptides designed on the first 15 amino acid residues of HK1 have been shown to induce apoptosis in different cancer cell types as well (Gelb et al., 1992). Beside those based on VDAC and HK1 sequences, also a peptide from Lactobacillus casei peptidoglycan has been reported to exert antitumor activity by detaching mitochondrial-bound hexokinase (Fichera et al., 2016). There are only few information regarding the existence of anticancer peptides able to directly inhibit VDAC activity. An example is Mastoparan, a peptide contained in wasp venom and initially considered capable of triggering apoptosis via VDAC binding (Shol'-ts et al., 1984; Pfeiffer et al., 1995). Recently, however, the pro-apoptotic effect of mastoparan has been associated to its ability to interact with the phospholipid phase of the membrane (Yamamoto et al., 2014). The structural analog, the highly cytotoxic Mitoparan (MitP) targets mitochondria and induces apoptosis in human cancer cells, with a mechanism in which the involvement of VDAC was not completely demonstrated (Jones et al., 2008). A single report makes the chimeric TEAM-VP peptide, composed of a short sequence from HIV-1 Vpr (Viral protein R) fused with a cyclic RGD motif, a member of this class. According to Borgne-Sanchez et al. (2007) indeed, TEAM-VP would induce apoptosis in endothelial cells by binding both ANT and VDAC.

## Pro-survival Peptides Contrasting Interaction of Misfolded Protein With VDAC1

A common feature of neurodegenerative diseases is represented by the accumulation of misfolded protein and peptides upon the cytosolic surface of mitochondria or to the VDAC1. Both AB peptide and hyper-phosphorylated Tau co-immuno-precipitated with VDAC1 in AD patients and in 3xTg-AD mice (Manczak and Reddy, 2012). Similarly, αSyn was found co-precipitated with VDAC1 in substantia nigra of a rat model of PD (Lu et al., 2013). In the neuromuscular disorder ALS, several mutants, but not wild-type, SOD1 were found co-precipitated with VDAC1 exclusively in spinal cord's mitochondria (Israelson et al., 2010). The addition of misfolded proteins to the PLB-reconstituted VDAC1 resulted in a strong inhibition of channel conductance (Israelson et al., 2010; Magrì et al., 2016b), suggesting an impairment of metabolite exchanges through VDAC1. At the same time, as the misfolded proteins interact with VDAC1, the amount of HKs on the mitochondrial surface decreases (Smilansky et al., 2015; Magrì et al., 2016b), possibly altering apoptosis. In this contest, synthetic peptides mimicking specific protein domains can represent a promising therapeutic strategy: they are aimed to bind the bait proteins (for example VDAC1 itself), clogging the docking site normally available for the interaction with other protein(s) (**Figure 3B**, Group 3).

A first strategy consisted in the development of VDAC1-based peptides aimed to bind misfolded proteins. The N-terminal domain of VDAC1, including the first 26 amino acid residues, is commonly considered the mobile part and an exposed moiety of the protein, putatively involved in the binding of cytosolic proteins in physiological conditions (Shi et al., 2003; Geula et al., 2012), as well as of Aβ peptide in AD (Thinnes, 2011). Therefore, a peptide based on the first 26 amino-terminal residues of VDAC1, named VDAC1-N-Term peptide, was recently developed (Smilansky et al., 2015). By exploiting several techniques, such as Surface Plasmon Resonance, the ability of VDAC1-N-Term to bind Aß peptide was confirmed (Smilansky et al., 2015). Moreover, treatment of PC12 cells with VDAC1-N-Term peptide, in presence of external Aβ, significantly reduces Aβ uptake within the cells as well as the Aβ-induced apoptosis (Smilansky et al., 2015), suggesting a protective role exerted by the peptide against Aβ. As for the mitochondrial VDAC1, the pl-VDAC is suspected to mediate the extracellular Aβ toxicity, possibly promoting the peptide internalization (Thinnes, 2011): in fact, SHSY5Y cells overexpressing pl-VDAC are much sensitive to Aβ peptide toxicity (Smilansky et al., 2015). Therefore, VDAC1-N-Term peptide decreases Aβ internalization and toxicity by binding Aβ, preventing thus its intracellular accumulation (Smilansky et al., 2015).

A second strategy consisted in the development of peptides able to bind VDAC1 and based onto the most known VDAC1 interacting proteins, such as HK1. This strategy was successfully applied in an ALS model, which is characterized by lower HKs expression in affected tissue (Magrì et al., 2016b; Magrì and Messina, 2017). In particular, a small peptide, corresponding to the sequence 2-12 of N-terminal domain of HK1, was developed, since this region is commonly recognized as important for the interaction with VDAC1. Again, in vitro techniques were used to prove the interaction of this peptide, named NHK1, with VDAC1 (Magrì et al., 2016b). Furthermore, by binding VDAC1, NHK1 impairs the interaction of SOD1 G93A with the channel as well as accumulation of SOD1 mutant on the cytosolic surface of MOM (Magrì et al., 2016b). Moreover, if expressed in NSC34 cells (a commonly recognized ALS cell line model), NHK1 is able to impair toxicity mediated by SOD1 G93A overexpression (Magrì et al., 2016b). Overall, the results suggest that NHK1 binds VDAC1 and counteracts the binding of SOD1 G93A with a very simple mechanism (Magrì et al., 2016b). Although no experimental evidence are available so far, it is however possible to hypothesize a similar application also in other pathological model, such as PD or AD, in which NHK1 could reduce the affinity of αSyn or Aβ for VDAC1.

## Oligonucleotides and microRNAs With Proor Anti-apoptotic Features

A pharmacological molecule that appears to exploit VDAC as a mitochondrial target is **G3139**, an 18-mer phosphorothioate anti-sense oligonucleotide complementary to the first six codons of Bcl-2 mRNA. This drug has entered phase III

clinical trials in different human cancers (O'Brien et al., 2007; Moulder et al., 2008; Rai et al., 2008) because of its selective and specific down-regulation of Bcl-2 expression. Beside this effect, *in vitro* experiments with PLB indicated the attitude of G3139 to directly bind VDAC1 and to reduce channel conductance (Lai et al., 2006; Tan et al., 2007). Hence, exposure of isolated mitochondria to G3139 results in VDAC closure, accumulation of mitochondrial ROS and onset of cell death (Aggarwal et al., 2003; Tikunov et al., 2010).

Today, microRNAs (miRNAs) definitely represent an emerging tool in the treatment of cancer and neurodegenerative diseases. These molecules are short single-stranded RNAs of 21-24 nucleotides complementary to the 3'-end or, more rarely, to the 5'-end of mRNAs transcribed from target genes. Physiologically, microRNAs regulate gene expression at both transcriptional and post-translational level. Hence, because of their indispensable role in the control of numerous biological processes including cell cycle, cell growth, and apoptosis (Siomi and Siomi, 2010), considerable changes in their expression profiles have been associated with various diseases. In cancer, for instance, the simultaneous expression increase of miRNAs that act as oncogenes and decrease of others functioning like tumor-suppressors has been described (Di Leva et al., 2012). As the main actor in the Warburg metabolism, together with hexokinase, VDAC has been proposed as a target of miRNA modulation (Bargaje et al., 2012; Chaudhuri et al., 2016; Wang et al., 2016). Although there are still very few available data, the small non-coding RNA miR-7 would downregulate the oncogene VDAC1 in hepatocarcinoma tissues, affecting cell proliferation and metastasis (Wang et al., 2016). Interestingly, Chaudhuri et al. described also a protective effect of miR-7 in cellular models of Parkinson disease, where it prevents depolarization of mitochondria by directly down-regulating VDAC (Chaudhuri et al., 2016) (Figure 3B, Group 4).

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## **CONCLUSIONS**

This work focused on the significant contribution of VDAC in cancer and neurodegeneration, two types of diseases apparently different from each other. The detailed list reported above shows that all the pharmacological molecules, peptides and mRNAs described in the literature as targeted to VDAC are potentially effective in the therapeutic treatment of these pathologies. It is noticeable that numerous compounds able to induce apoptosis in cancer cells by modulating VDAC are already part of promising clinical trials. Many others, however, have been tested only in vitro or in cellulo and probably never will be applied in humans because of high toxicity or delivery difficulties. With regard to neurodegenerative diseases, the molecules that proved to suppress apoptosis and thus promote cell survival are still very few. This happens most likely because the molecular mechanisms underlying these disorders are still less characterized than those that define cancer. Although much remains to be to cover and uncover on the physiological role of VDAC in mitochondrial function and dysfunction, the overall data emphasize that targeted drugs and genetic approaches acting on VDAC represent encouraging strategies to treat a wide range of human diseases.

## **AUTHOR CONTRIBUTIONS**

AM and SR: collected the information and reference list for the manuscript. They also draw the figures. VDP: wrote the main part of the text and edited it.

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# Drug Interactions With the Ca<sup>2+</sup>-ATPase From Sarco(Endo)Plasmic Reticulum (SERCA)

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The sarco(endo)plasmic reticulum Ca<sup>2+</sup>-ATPase (SERCA) is an intracellular membrane transporter that utilizes the free energy provided by ATP hydrolysis for active transport of Ca<sup>2+</sup> ions from the cytoplasm to the lumen of sarco(endo)plasmic reticulum. SERCA plays a fundamental role for cell calcium homeostasis and signaling in muscle cells and also in cells of other tissues. Because of its prominent role in many physiological processes, SERCA dysfunction is associated to diseases displaying various degrees of severity. SERCA transport activity can be inhibited by a variety of compounds with different chemical structures. Specific SERCA inhibitors were identified which have been instrumental in studies of the SERCA catalytic and transport mechanism. It has been proposed that SERCA inhibition may represent a novel therapeutic strategy to cure certain diseases by targeting SERCA activity in pathogens, parasites and cancer cells. Recently, novel small molecules have been developed that are able to stimulate SERCA activity. Such SERCA activators may also offer an innovative and promising therapeutic approach to treat diseases, such as heart failure, diabetes and metabolic disorders. In the present review the effects of pharmacologically relevant compounds on SERCA transport activity are presented. In particular, we will discuss the interaction of SERCA with specific inhibitors and activators that are potential therapeutic agents for different diseases.

Keywords: anticancer drug, antimalarial agent, drug-protein interaction, sarco(endo)plasmic reticulum Ca<sup>2+</sup>-

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### INTRODUCTION

P-type ATPases are membrane transporters that couple the energy provided by ATP hydrolysis to the active transport of various ions or phospholipids. These enzymes generate and maintain crucial electrochemical potential gradients across biological membranes (Kühlbrandt, 2004; Bublitz et al., 2011). During their enzymatic cycle P-type ATPases form a phosphorylated intermediate by interaction of ATP with a conserved aspartate residue at the catalytic domain.

The  $Ca^{2+}$ -ATPase from sarco(endo)plasmic reticulum (SERCA), belonging to the  $P_{IIA}$ -ATPase subfamily, is an intracellular membrane-associated protein of approximately 110 KDa which is involved in cell calcium signaling and homeostasis (Brini and Carafoli, 2009). In muscle cells this enzyme hydrolyzes one ATP molecule to transport two  $Ca^{2+}$  ions against their electrochemical

ATPase, SERCA activator, SERCA inhibitor, solid supported membrane

Tadini-Buoninsegni et al. SERCA as a Drug Target

potential gradient from the cytoplasm to the lumen of sarcoplasmic reticulum (SR) (Inesi and Tadini-Buoninsegni, 2014). SERCA therefore induces muscle relaxation by pumping back cytosolic calcium into the SR lumen. SERCA isoforms are involved in calcium signaling mechanisms for many biological functions, e.g., excitation-contraction coupling, excitation-secretion coupling, gene transcription, and apoptotic mechanisms. Because of its pivotal role, alterations in SERCA expression and impaired pump function have been related to several diseases, such as Brody's disease, Darier's disease, heart failure, cancer, and diabetes (Brini and Carafoli, 2009).

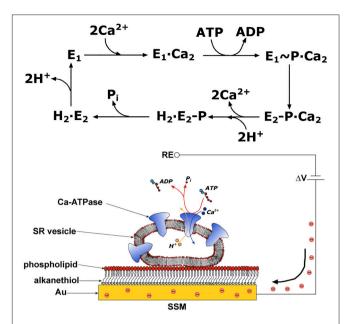
The SERCA enzyme is one of the best investigated membrane transporter. Its structure comprises three distinct cytoplasmic domains, i.e., the A (actuator), N (nucleotide binding), and P (phosphorylation) domains, and a transmembrane region of 10 helical segments (TM1-TM10) including the two Ca<sup>2+</sup> binding sites. SERCA transport cycle is described by the E<sub>1</sub>-E<sub>2</sub> scheme (de Meis and Vianna, 1979). If one starts at the E1 state, the ATPase cycle (Figure 1) begins with high affinity binding of two Ca<sup>2+</sup> ions derived from the cytosol, followed by phosphorylation of the enzyme by ATP and formation of a high energy  $E_1 \sim P$ state. During relaxation from the  $E_1 \sim P$  state to the lower energy E<sub>2</sub>P state, Ca<sup>2+</sup> ions are translocated across the membrane and released into the SR in exchange for luminal protons. Hydrolytic cleavage of the phosphoenzyme (dephosphorylation) is the final reaction step, which allows the enzyme to undergo a new transport cycle. High resolution crystal structures of various conformational states in the SERCA transport cycle were obtained, as described in detailed reviews (Toyoshima, 2008; Møller et al., 2010; Bublitz et al., 2013; Toyoshima and Cornelius,

In this short review we will focus our attention on the interaction of SERCA with specific inhibitors and activators that may represent potential therapeutic agents for different diseases. To investigate the effects of pharmacologically relevant compounds on SERCA transport activity, we employ an electrophysiological technique, which is discussed in the next section.

## DRUG INTERACTIONS INVESTIGATED BY ELECTROPHYSIOLOGY BASED ON SOLID SUPPORTED MEMBRANES

The ion transport mechanism of P-type ATPases, e.g., Na<sup>+</sup>,K<sup>+</sup>-ATPase, SERCA, and Cu<sup>+</sup>-ATPases (ATP7A and ATP7B) (Pintschovius et al., 1999; Tadini-Buoninsegni et al., 2008a; Lewis et al., 2012; Inesi et al., 2014; Tadini-Buoninsegni and Smeazzetto, 2017), was investigated by an electrophysiological technique based on a solid supported membrane (SSM). In particular, SSM-based electrophysiology was useful to identify electrogenic steps and to assign rate constants to partial reactions

 $\label{eq:abbreviations: Br2-TITU, 1,3-dibromo-2,4,6-tris(methyl-isothio-uronium)} \\ \text{benzene; DBHQ, 2,5-di(tert-butyl)hydroquinone; CPA, cyclopiazonic acid;} \\ \text{ER, endoplasmic reticulum; PLN, phospholamban; PSMA, prostate-specific membrane antigen; SR, sarcoplasmic reticulum; SERCA, sarco(endo)plasmic reticulum Ca^{2+}-ATPase; SSM, solid supported membrane; TG, thapsigargin.} \\ \\$ 



**FIGURE 1 | Upper Panel:** Schematic diagram of sequential reactions in the transport cycle of SERCA. **Lower Panel:** SR vesicle adsorbed on a SSM and subjected to an ATP concentration jump (not drawn to scale). If the ATP jump induces net charge displacement, a compensating current flows along the external circuit (the red spheres represent electrons) to keep constant the potential difference  $\Delta V$  applied across the whole system. RE is the reference electrode. Reprinted from Tadini-Buoninsegni et al. (2008a) with permission from Elsevier.

in the transport cycle of P-type ATPases. In the case of Na<sup>+</sup>,K<sup>+</sup>-ATPase SSM-based electrophysiology provided a direct proof for the electrogenicity of Na<sup>+</sup> binding to the cytoplasmic side of the protein (Pintschovius et al., 1999). Also in the case of SERCA SSM-based electrophysiology was employed for a detailed characterization of the enzyme's transport cycle, especially as concerns Ca<sup>2+</sup> binding and Ca<sup>2+</sup>/H<sup>+</sup> exchange (Tadini-Buoninsegni et al., 2006; Liu et al., 2009).

This technique makes use hybrid of alkanethiol/phospholipid bilayer supported by a gold electrode (SSM, Figure 1; Pintschovius and Fendler, 1999). The SSM is formed in two sequential self-assembly steps. First, an octadecanethiol monolayer is obtained which is covalently bound to the gold electrode via the sulfur atom. Then, a second phosphatidylcholine monolayer is formed on top of the thiol layer. Proteoliposomes, membrane fragments, or vesicles containing the ATPase are adsorbed on the SSM surface (**Figure 1**). Once adsorbed, the ATPase molecules are activated by a concentration jump of a specific substrate through fast solution exchange. By rapidly changing from a solution containing no substrate for the protein to one that contains a substrate, the protein is activated and a current transient is detected, which is related to charge displacement across the ATPase. The transient nature of the current signal is a consequence of the capacitively coupled system formed by the SSM and the membrane entities adsorbed on it (Schulz et al., 2008; Tadini-Buoninsegni and Bartolommei, 2016). In the case of SERCA, Tadini-Buoninsegni et al. SERCA as a Drug Target

an ATP concentration jump on SERCA-containing vesicles adsorbed on the SSM generates a current signal, that is related to an electrogenic event corresponding to translocation and release of bound Ca<sup>2+</sup> upon phosphorylation by ATP within the first enzyme cycle (Tadini-Buoninsegni et al., 2006). Therefore, the SSM technique allows pre-steady state measurements of charge displacements within the first transport cycle of the ATPase, while steady-state currents are not measured.

SSM-based electrophysiology was successfully employed to investigate drug interactions with P-type ATPases. In this respect, the effects of various compounds of pharmacological interest on SERCA pumping activity were characterized by SSM-based current measurements (Tadini-Buoninsegni et al., 2008b, 2017; Bartolommei et al., 2011; Ferrandi et al., 2013; Sadafi et al., 2014). A molecular mechanism was proposed to explain the effect of each compound, and the reaction step and/or intermediate of the pump cycle affected by the drug was identified.

We point out that the SSM electrode combined with robotized instrumentation is an attractive tool for drug screening and development (Kelety et al., 2006). In this respect, high-throughput devices capable of performing automated measurements have been developed. For example, the SURFE<sup>2</sup>R 96SE device (Nanion Technologies, Munich, Germany) is able to analyze 96 SSM sensors in a fully parallel mode allowing determination of the dose dependence of 100 compounds in <30 min (Bazzone et al., 2017).

## PHARMACOLOGICAL INHIBITORS OF SERCA ACTIVITY

Various SERCA inhibitors with a variety of chemical structures are known (Michelangeli and East, 2011). These compounds represent a very useful tool in studies of the SERCA catalytic and transport mechanism. Using X-ray crystallography of SERCA-inhibitor complexes (Toyoshima and Nomura, 2002; Olesen et al., 2004; Obara et al., 2005; Moncoq et al., 2007; Laursen et al., 2009), distinct SERCA conformational states were determined at atomic resolution.

A very potent and highly selective inhibitor is thapsigargin (TG), a sesquiterpene lactone derived from the plant Thapsia garganica (Rasmussen et al., 1978). TG is the most widely employed SERCA inhibitor (Michelangeli and East, 2011) and can inhibit SERCA activity with an IC50 in the sub-nanomolar range (Sagara and Inesi, 1991). Other specific SERCA inhibitors are cyclopiazonic acid (CPA) (Seidler et al., 1989), a secondary metabolite from certain fungi, and the synthetic compound 2,5-di(tert-butyl)hydroquinone (DBHQ) (Moore et al., 1987). Mutational analysis and crystallographic data have shown that CPA and DBHQ occupy the same binding pocket at the cytoplasmic ends of the transmembrane helices TM1-TM4, while TG binds in a groove delimited by TM3, TM5, and TM7 (for a review see Yatime et al., 2009), where the residue Phe256 plays a fundamental role for both binding and inhibitory effect of TG (Xu et al., 2004). TG, CPA, and DBHQ (Table 1) affect SERCA transport activity in a similar way. These inhibitors bind to SERCA in a calcium-free E2 conformation

and stabilize a compact ATPase conformational state (deadend state), preventing cytoplasmic calcium binding and catalytic activation (Inesi et al., 2005; Yatime et al., 2009; Michelangeli and East, 2011).

The inhibitory effects of TG, CPA, DBHQ, and1,3-dibromo-2,4,6-tris (methyl-isothio-uronium) benzene (Br<sub>2</sub>-TITU), another SERCA inhibitor (Berman and Karlish, 2003), on  $Ca^{2+}$ -ATPase transport activity were also characterized by electrophysiological measurements on a SSM (Tadini-Buoninsegni et al., 2008b). In this study it was shown that Br<sub>2</sub>-TITU displays an inhibitory mechanism different from that of TG, CPA, and DBHQ. In particular, it was demonstrated that the inhibitory effect of Br<sub>2</sub>-TITU is related to kinetic interference with a conformational transition of the phosphorylated intermediate (E<sub>1</sub>P-Ca<sub>2</sub> to E<sub>2</sub>P transition).

It is noteworthy that TG-related prodrugs are being evaluated as anticancer drugs. Since TG will inhibit SERCA proteins regardless of the cell type thereby damaging intracellular calcium homeostasis not only in cancer cells but also in normal cells, its high cytotoxicity prevents direct use of TG as a general antitumor agent. However, a TG-based prodrug strategy was developed to overcome the above-mentioned limitation (Denmeade et al., 2012; Andersen et al., 2015; Doan et al., 2015; Cui et al., 2017). In particular, a prodrug, named mipsagargin, was obtained by conjugating a TG analog to a peptide that is targeted by prostate-specific membrane antigen (PSMA) (Denmeade et al., 2012; Andersen et al., 2015; Doan et al., 2015), which is overexpressed in prostate cancer cells and most tumor endothelial cells. This inactive and non-toxic prodrug becomes activated once it reaches tumor cells and the specific peptide sequence is cleaved by PSMA, thereby releasing the active cytotoxic TG analog. The prodrug mipsagargin can therefore be considered as a potential therapeutic agent for the treatment of various types of cancer, including prostate, breast and bladder cancers.

In the context of antitumor agents, SSM-based electrophysiology was employed to analyze the interaction of metal-based anticancer drugs with P-type ATPases, i.e., SERCA, Na+,K+-ATPase and Cu+-ATPases (Sadafi et al., 2014; Tadini-Buoninsegni et al., 2014, 2017). In particular, the inhibitory effect of cisplatin (Table 1) on the transport activity of SERCA and Na<sup>+</sup>,K<sup>+</sup>-ATPase was very recently investigated (Figure 2) (Tadini-Buoninsegni et al., 2017). Cisplatin is a platinum-containing anticancer drug, which is widely employed as a chemotherapeutic agent against several tumors (Wang and Lippard, 2005). However, cisplatin administration causes inevitable adverse effects, which include nephrotoxicity, ototoxicity and neurotoxicity. We have shown that cisplatin is able to inhibit ATP-dependent cation translocation by SERCA and Na<sup>+</sup>,K<sup>+</sup>-ATPase with different degrees of potency (Figure 2). In particular, cisplatin was found to be a much stronger inhibitor of SERCA (IC<sub>50</sub> of 1.3 μM) than of Na<sup>+</sup>,K<sup>+</sup>-ATPase (IC<sub>50</sub> of 11.1  $\mu$ M). We propose that cisplatin inhibition of SERCA and Na+,K+-ATPase activities may be relevant to the molecular mechanisms that underlie the various adverse effects of cisplatin and other platinum-containing anticancer drugs.

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TABLE 1 | SERCA inhibitors and activators cited in the text.

	Compounds	Main properties and therapeutic applications	References
Inhibitors	Thapsigargin (TG)	Very potent and highly selective inhibitor. TG-related prodrugs as anticancer drugs.	Sagara and Inesi, 1991; Denmeade et al., 2012; Doan et al., 2015
	Cyclopiazonic acid (CPA)	Potent and specific inhibitor. Cardioprotective effect. CPA derivatives as antimalarial agents.	Seidler et al., 1989; Avellanal et al., 1998; Moncoq et al., 2007; Cardi et al., 2010
	2,5-di(tert-butyl)hydroquinone (DBHQ)	Specific inhibitor.	Moore et al., 1987; Obara et al., 2005
	1,3-dibromo-2,4,6-tris (methyl-isothio-uronium) benzene (Br <sub>2</sub> -TITU)	SERCA and Na <sup>+</sup> ,K <sup>+</sup> -ATPase inhibitor.	Berman and Karlish, 2003
	Cisplatin	Widely employed platinum-containing anticancer drug. SERCA and Na $^+$ ,K $^+$ -ATPase inhibitor.	Wang and Lippard, 2005; Tadini-Buoninsegni et al., 2017
	Curcumin	Antioxidant, anti-inflammatory and anticancer effects. Antimalarial activity.	Bilmen et al., 2001; Reddy et al., 2005; Schaffer et al., 2015
Activators	Istaroxime	Cardiac drug with inotropic and lusotropic properties. Stimulatory effect on cardiac SERCA2a isoform. Therapeutic applications in acute and chronic heart failure.	Rocchetti et al., 2005; Micheletti et al., 2007; Gheorghiade et al., 2011; Ferrandi et al., 2013
	Pyridone derivative	Stimulatory effect on cardiac SERCA2a isoform. Potential therapeutic applications in heart failure.	Kaneko et al., 2017
	CDN1163	Allosteric SERCA activator. Potential pharmacological agent for diabetes and metabolic dysfunction.	Cornea et al., 2013; Gruber et al., 2014; Kang et al., 2016

As concerns other specific inhibitors of SERCA activity, CPA has been proposed to have therapeutic properties. In a study of the isolated rabbit heart CPA was found to have a cardioprotective effect on myocardial ischemia (Avellanal et al., 1998). The mechanism involved in CPA cardioprotection is not fully understood and could be partly attributed to a decreased SR calcium contribution to the calcium overload induced by ischemia.

It is noteworthy that CPA has been found to be a potent inhibitor of the SERCA ortholog PfATP6 of Plasmodium falciparum (Cardi et al., 2010; Arnou et al., 2011), the protozoan parasite causing malaria which is responsible for most malaria-related deaths globally. Considering that the parasite P. falciparum is becoming increasingly resistant to some of the most commonly used antimalarial drugs, PfATP6 has been validated as a potential and promising target for the development of new and effective antimalarials. PfATP6 and mammalian SERCA are characterized by a different pharmacological profile: compared to rabbit SERCA1a isoform from the skeletal muscle, PfATP6 is less sensitive to TG and DBHQ and exhibits a much higher affinity for CPA (Cardi et al., 2010; Arnou et al., 2011). Moreover, it was shown that PfATP6 is not inhibited by the widely employed antimalarial drug artemisinin (Cardi et al., 2010; Arnou et al., 2011), which was proposed to target PfATP6 (Eckstein-Ludwig et al., 2003). In a recent study, the interaction of CPA with PfATP6 and SERCA was characterized by molecular dynamics simulations (Di Marino et al., 2015). This study points to significant differences in the mode of CPA binding to the plasmodial and mammalian SERCA. These are useful information that can assist in the design and development of CPA derivatives, which are selective toward PfATP6 and with a reduced activity against mammalian SERCA. Besides PfATP6, the P. falciparum protein PfATP4, a P-type Na<sup>+</sup>-ATPase in the plasma membrane of the parasite, has emerged as a potential antimalarial drug target and inhibition of this pump is also being considered as a possible treatment against malaria (Turner, 2016).

Among SERCA inhibitors of pharmacological interest, it is worth mentioning the polyphenolic compound curcumin (Table 1). Curcumin, which is obtained from the spice turmeric, is known for its antioxidant, anti-inflammatory and anticancer effects (Schaffer et al., 2015). It was reported that curcumin inhibits SERCA activity with an IC<sub>50</sub> in the micromolar range (Bilmen et al., 2001; Dao et al., 2016) by stabilizing the E1 conformational state of SERCA and preventing ATP binding and ATP-dependent phosphoenzyme formation (Bilmen et al., 2001). In the search for new antimalarial agents, curcumin was shown to possess a remarkable antiplasmodial activity, as demonstrated by the inhibitory effects of curcumin on a chloroquine-resistant P. falciparum strain (Reddy et al., 2005). It was proposed that the PfATP6 protein could be a possible target for curcumin antimalarial action (Reddy et al., 2005). In a very recent study, selected curcumin analogs were synthesized and their antimalarial activity against different P. falciparum strains was evaluated (Dohutia et al., 2017). In particular, molecular docking was performed to investigate the interaction of these curcumin analogs with PfATP6. The results of this study may provide useful information for the development of curcumin derivatives which could serve as promising drug candidates against malaria.

## PHARMACOLOGICAL STIMULATION OF SERCA ACTIVITY

Molecules that are able to stimulate SERCA activity have been recently identified (Table 1). A remarkable example

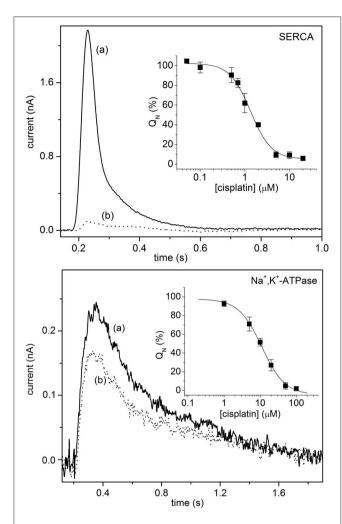


FIGURE 2 | Upper Panel: SERCA current signals induced by 100  $\mu$ M ATP concentration jumps in the presence of  $10\,\mu\text{M}$  free  $\text{Ca}^{2+}$  and in the absence (control measurement, solid line, a) or in the presence of  $5 \,\mu\text{M}$  cisplatin (dotted line, b). (Inset) Normalized charges (QN) related to ATP concentration jumps in the presence of Ca<sup>2+</sup> ions as a function of cisplatin concentration. The charges are normalized with reference to the maximum charge attained in the absence of cisplatin (control measurement). The solid line represents the fitting curve to the ATP-induced charges (IC  $_{50} = 1.3 \pm 0.1 \, \mu \text{M}$ ). The error bars represent S.E. of three independent measurements. Lower Panel: Na<sup>+</sup>,K<sup>+</sup>-ATPase current signals induced by 100 μM ATP concentration jumps in the presence of 80 mM NaCl and 50 mM KCl, and in the absence (control measurement, solid line, a) or in the presence of 5  $\mu$ M cisplatin (dotted line, b). (Inset) Normalized charges ( $Q_{\mbox{\scriptsize N}}$ ) related to ATP concentration jumps in the presence of Na<sup>+</sup> and K<sup>+</sup> ions as a function of cisplatin concentration. The charges are normalized with respect to the maximum charge measured in the absence of cisplatin (control measurement). The solid line represents the fitting curve to the ATP-induced charges (IC  $_{50}=11.1\pm0.8\,\mu\text{M}$  ). The error bars represent S.E. of three independent measurements. Tadini-Buoninsegni et al. (2017)—Reproduced by permission of The Royal Society of Chemistry.

of SERCA activators is the drug istaroxime. Istaroxime is an innovative cardiac drug which combines inotropic (cardiomyocyte contraction) and lusotropic (cardiomyocyte relaxation) properties (Hasenfuss and Teerlink, 2011). Istaroxime has a double mechanism of action, i.e., it inhibits Na<sup>+</sup>,K<sup>+</sup>-ATPase activity and exerts a stimulatory effect on SERCA2a

(Micheletti et al., 2002, 2007; Rocchetti et al., 2005, 2008), the SERCA isoform in the heart which is central to cardiac electrophysiological and mechanical function. Preclinical studies and clinical trials indicate that combining SERCA2a stimulation and Na+,K+-ATPase inhibition may increase contractility and facilitate active relaxation, improving both systolic and diastolic heart function (Gheorghiade et al., 2011). The stimulatory effect of istaroxime on SERCA activity was investigated by combining different experimental methods, including electrophysiological measurements on dog cardiac SR vesicles adsorbed to a SSM (Ferrandi et al., 2013). This study shows that istaroxime enhances SERCA2a activity, Ca<sup>2+</sup> uptake, and Ca<sup>2+</sup>-dependent charge movements into SR vesicles from healthy or failing dog hearts. It was proposed that istaroxime acts by displacing the regulatory protein phospholamban (PLN) from the SERCA2a/PLN complex, thereby removing the inhibitory effect of PLN on this complex. Displacement of PLN from SERCA2a may favor the SERCA2a conformational transition E<sub>2</sub> to  $E_1$ , thus accelerating  $Ca^{2+}$  cycling. Istaroxime with its unique mode of action may provide a new small-molecule therapeutics for the treatment of both acute and chronic heart failure.

Very recently, a pyridone derivative was reported to activate the SERCA2a isoform by attenuating the inhibitory effect of PLN (Kaneko et al., 2017). *In vitro* and *in vivo* experiments have demonstrated that the pyridone derivative stimulates the Ca<sup>2+</sup>-dependent ATPase activity of cardiac SR vesicles, increases Ca<sup>2+</sup> transients of isolated adult rat cardiomyocytes and accelerates contraction and relaxation of isolated perfused rat hearts. It was concluded that the pyridone derivative behaves like a SERCA2a activator, which binds and inhibits PLN, and enhances systolic and diastolic functions of the heart. The pyridone derivative is thus proposed as a novel lead compound for therapeutic applications in heart failure.

Impaired SERCA function leads to elevation of intracellular calcium concentration and alterations in calcium homeostasis, which trigger endoplasmic reticulum (ER) stress. ER stress is associated with a variety of common diseases (Oyadomari and Mori, 2004), including the metabolic syndrome and type 2 diabetes (Back and Kaufman, 2012). Pharmacological activation of SERCA can reduce ER stress and may therefore represent a novel strategy for the treatment of diabetes and metabolic disorders. A recent study (Kang et al., 2016) evaluated the metabolic effects of the quinoline-amide compound CDN1163, which is a novel SERCA activator (Cornea et al., 2013; Gruber et al., 2014). CDN1163 directly binds to the SERCA enzyme to activate Ca<sup>2+</sup>-ATPase activity, probably via an allosteric mechanism (Cornea et al., 2013; Gruber et al., 2014). Kang et al. (2016) demonstrated that CDN1163 activation of the SERCA2b isoform from mouse liver reduces ER stress and improves mitochondrial efficiency and metabolic parameters in an animal model of insulin resistance and type 2 diabetes (ob/ob mice), suggesting that SERCA activators may represent promising pharmacological agents to treat diabetes and metabolic dysfunction. Finally, since defective SERCA is a significant cause of ER stress and neuron loss in Parkinson's disease, direct activation of SERCA by small-molecule drugs

is currently explored as a viable strategy to develop a novel therapeutic approach to cure Parkinson's disease (Dahl, 2017).

#### CONCLUSIONS

SERCA plays an essential role in the maintenance of cellular calcium homeostasis and calcium pump malfunction is associated to severe disorders and several pathophysiological conditions. Therefore, the SERCA enzyme represents an important target for the development of new drugs. SERCA inhibitors have been identified as potential drug candidates against various diseases. As discussed above, inhibition of SERCA activity in cancer cells provides an alternative therapeutic approach to cure different types of cancer. Moreover, specific inhibitors targeting the SERCA ortholog PfATP6 in the parasite *P. falciparum* may serve as innovative and effective antimalarial agents. In addition, studies on novel SERCA activators indicate that pharmacological activation of SERCA may constitute a promising strategy for the treatment of heart failure and diabetes. Research effort is devoted to the synthesis of highly

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selective and potent drugs, that can target SERCA in a tissuespecific manner. As most tissue/cell types can express more than

one SERCA isoform, it would be highly desirable, although very challenging, to develop isoform-specific drugs that can interact selectively with one SERCA isoform, leaving the other protein

molecules intact (Yatime et al., 2009; Michelangeli and East,

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content; SS: Helped FT-B in preparing the figures. All authors

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# The Physiopathological Role of the Exchangers Belonging to the SLC37 Family

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The human SLC37 gene family includes four proteins SLC37A1-4, localized in the endoplasmic reticulum (ER) membrane. They have been grouped into the SLC37 family due to their sequence homology to the bacterial organophosphate/phosphate (Pi) antiporter. SLC37A1-3 are the less characterized isoforms. SLC37A1 and SLC37A2 are Pi-linked glucose-6-phosphate (G6P) antiporters, catalyzing both homologous (Pi/Pi) and heterologous (G6P/Pi) exchanges, whereas SLC37A3 transport properties remain to be clarified. Furthermore, SLC37A1 is highly homologous to the bacterial glycerol 3-phosphate permeases, so it is supposed to transport also glycerol-3-phosphate. The physiological role of SLC37A1-3 is yet to be further investigated. SLC37A1 seems to be required for lipid biosynthesis in cancer cell lines, SLC37A2 has been proposed as a vitamin D and a phospho-progesterone receptor target gene, while mutations in the SLC37A3 gene appear to be associated with congenital hyperinsulinism of infancy. SLC37A4, also known as glucose-6-phosphate translocase (G6PT), transports G6P from the cytoplasm into the ER lumen, working in complex with either glucose-6-phosphatase- $\alpha$  (G6Pase- $\alpha$ ) or G6Pase- $\beta$  to hydrolyze intraluminal G6P to Pi and glucose. G6PT and G6Pase-β are ubiquitously expressed, whereas G6Pase-α is specifically expressed in the liver, kidney and intestine. G6PT/G6Pase-α complex activity regulates fasting blood glucose levels, whereas G6PT/G6Pase-β is required for neutrophil functions. G6PT deficiency is responsible for glycogen storage disease type lb (GSD-lb), an autosomal recessive disorder associated with both defective metabolic and myeloid phenotypes. Several kinds of mutations have been identified in the SLC37A4 gene, affecting G6PT function. An increased autoimmunity risk for GSD-lb patients has also been reported, moreover, SLC37A4 seems to be involved in autophagy.

Keywords: SLC37A1-4, endoplasmic reticulum, glucose-6-phosphate translocase, G6PT deficiency, glycogen storage disease type lb

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#### INTRODUCTION

The SLC37 family belongs to the largest human solute-carrier (SLC) superfamily, comprising more than 52 gene families, and over 400 membrane-bound proteins catalyzing the transport of metabolites across biological membranes (He et al., 2009; Perland and Fredriksson, 2017).

So far, four isoforms have been identified, named SLC37A1-4 (Bartoloni and Antonarakis, 2004; Chou and Mansfield, 2014). They are transmembrane proteins located in the endoplasmic

reticulum (ER) membrane (Pan et al., 2011), and have been grouped into the SLC37 family due to their sequence homology to the bacterial organophosphate/phosphate (Pi) antiporter (Pao et al., 1998). Moreover, in the membrane transporter classification system included in the transport classification database, SLC37 carriers are reported to belong to the OPA family, classified as 2.A.1.4 (http://www.tcdb.org/). SLC37A1-4 translocases are also called sugar-phosphate exchangers SPX1-4 (Bartoloni et al., 2000; Takahashi et al., 2000; Bartoloni and Antonarakis, 2004), and are predicted to consist of 10–12 transmembrane domains (Chou and Mansfield, 2014).

SLC37A1, SLC37A2, and SLC37A4 are Pi-linked glucose-6-phosphate (G6P) antiporters, catalyzing both homologous (Pi/Pi) and heterologous (G6P/Pi) exchanges, and are inhibited to a very different extend by cholorogenic acid, while SLC37A3 transport activity is yet to be determinated (Chen et al., 2008; Pan et al., 2011).

SLC37A1, SLC37A2, and SLC37A3 are the less characterized SLC37 family members (Chou and Mansfield, 2014). SLC37A1 gene appears to be involved in breast (Iacopetta et al., 2010) and colorectal (Kikuchi et al., 2018) cancers. SLC37A2 has been recently proposed as a vitamin D (Wilfinger et al., 2014; Saksa et al., 2015) and a phospho-progesterone receptor (Knutson et al., 2017) target gene. Moreover, in obese murine models its expression seems to be related to chronic inflammation that supports metabolic syndrome (Kim et al., 2007). In dairy cattle, a SLC37A2 mutation appears to be responsible for increased female infertility due to embryonic death (Fritz et al., 2013; Reinartz and Dist, 2016). The SLC37A3 gene has been possibly related to congenital hyperinsulinemia (Proverbio et al., 2013). Furthermore, this gene seems to be involved in epigenetic modifications, because its methylation level depends on fasting glucose blood levels, at least after a significant weight loss (Benton et al., 2015). SLC37A4, also known as glucose-6-phosphate translocase (G6PT), is the more extensively studied isoform, and is a member of the multicomponent glucose-6-phosphatase system (G6Pase-system). In the liver and kidney, the activity of this complex is required to maintain blood glucose homeostasis (Bartoloni and Antonarakis, 2004). Additionally, it supports neutrophil and macrophage functions (Chou and Mansfield, 2014).

In the past, G6Pase-system was believed to consist of a glucose-6-phosphatase, with its active site facing the ER lumen,

Abbreviations: AMPK, 5' AMP-activated protein kinase; ATG, autophagy-related gene; CBA, chicken β-actin; CRC, colorectal cancer; WAT, white adipose tissue; CHI, congenital hyperinsulinism of infancy; Tconvs, conventional T cells; FOXP3, forkhead box P3; G6Pase-α, promoter/enhancer GPE; G6Pase-system, glucose-6-phosphatase system; G6PT, glucose-6-phosphate translocase; GSD-I, glycogen storage disease type I; G-CSF, granulocyte colony-stimulating factor; HIF-1α, hypoxia-inducible transcriptional factor-1α; IBD, inflammatory bowel disease; mTORC1, mammalian target of rapamycin complex 1; miGT, minimal G6PT promoter/enhancer; OPA, organophosphate/phosphate antiporter; PPAR-γ, peroxisome proliferator-activated receptor-γ; phospho-Ser294 PR, phospho-Ser294 progesterone receptor; CMV, cytomegalovirus; rAAV, recombinant adeno-associated virus; Tregs, regulatory T cells; RUNX2, runt-related transcription factor 2; SLC, solute-carrier; SP, stabilizing protein; SPX, sugar-phosphate exchangers; TCR, T cell receptor; ULK1, unc-51 like autophagy activating kinase 1; VDR, vitamin D receptor.

and three translocases (known as T1-3). In detail, T1 mediated G6P import through the ER membrane, whereas T2 and T3 catalyzed Pi and glucose efflux from the ER cavity, respectively (Gerin et al., 2001). Moreover, the presence of a regulatory 21 kDa hepatic microsomal glucose-6-phosphatase stabilizing protein (SP) was also hypothesized (Burchell et al., 1985). The existence of T2, T3, and SP has never been proven.

Based on recent scientific literature, T1 corresponds to G6PT, and it works in complex with either glucose-6-phosphatase- $\alpha$  (G6Pase- $\alpha$ , also called G6PC1) or glucose-6-phosphatase- $\beta$  (G6Pase- $\beta$ , known as G6PC3; Chou et al., 2002).

G6Pase- $\alpha$  is specifically expressed in the liver, kidney, and intestine, and it hydrolyzes intraluminal G6P to Pi and glucose, then this sugar exits the cell and enters the bloodstream to maintain interprandial blood glucose homeostasis (Chou and Mansfield, 2014).

G6PT deficiency is responsible for glycogen storage disease type Ib (GSD-Ib, OMIM232220), whereas G6Pase- $\alpha$  impairment causes GSD type Ia (GSD-Ia, OMIM232200) (Chou et al., 2010a,b). Both disorders prevent the final steps of gluconeogenesis and glycogenolysis; as a result, endogenous glucose production is severely compromised creating metabolic impairment, consisting of fasting hypoglycemia, hyperlipidemia, hyperuricemia, lactic acidemia, growth retardation, and amassing of glycogen and fat in the liver and kidneys, causing hepatomegaly and nephromegaly, respectively (Chou et al., 2002, 2010b).

In neutrophils, G6PT is functionally coupled to the ubiquitous G6Pase-β, in order to support neutrophil and macrophage functions (Chou et al., 2010a,b; Jun et al., 2010). G6Pase-β deficiency results in severe congenital neutropenia (Boztug et al., 2009). This condition has been considered as a glycogen storage disease I related syndrome (GSD-Irs, OMIM 612541).

Unlike GSD-Ia, both GSD-Irs (Cheung et al., 2007; Jun et al., 2010; McDermott et al., 2010) and GSD-Ib (Kim et al., 2008; Jun et al., 2014) can cause neutropenia and myeloid dysfunction.

In this review, we focus on the physiopathological role of the SLC37A family members, in particular on the best characterized G6PT, highlighting its role in autophagy, an increased autoimmunity risk for GSD-Ib patients, as well as new promising therapeutic strategies for GSD-Ib.

#### **SLC37A1 FAMILY MEMBER**

The human SLC37A1 protein, also knows as SPX1, is encoded by the *SLC37A1* gene (NM\_018964), mapped to chromosome 21q22.3, and containing 19 coding exons and 7 untranslated exons. Alternative splicing origins different transcripts, although the predicted protein sequence is identical, consisting of 533 amino acids, with a calculated molecular weight of 58 kDa (Bartoloni et al., 2000). This latter contains a mitochondrial cleavage site, as well as both N- and C-terminal ER signals for the ER retention (Bartoloni et al., 2000). This protein displays 59, 35, and 22% sequence identity with the human SLC37A2, SLC37A3 and SLC37A4 proteins, respectively (Chou et al., 2013), and it is 86% identical to its mouse homolog (Bartoloni and Antonarakis,

2004). SLC37A1 and SLC37A2 isoforms are the most related, while all the remaining pairwise sequence comparisons between the other SLC37 family members show lower sequence identity; hence, it is feasible that they might have had an independent evolution.

The human SLC37A1 protein shares 30 and 71% sequence identity to bacterial GlpT and *Mus musculus* SLC37A2, respectively (Takahashi et al., 2000); suggesting that mammalian SLC37A1 could be able to transport glycerol-3-phosphate (G3P), probably catalyzing an heterologous G3P/Pi exchange; therefore its gene was also called G3PP (Bartoloni et al., 2000).

A G3P transport activity has never been demonstrated, althought SLC37A1 association with glycolipid metabolism has been suggested (Bartoloni and Antonarakis, 2004; Dolce et al., 2011). The hypothetical role of SLC37A1 as a G3P exchanger has been postulated in cancer cells (Iacopetta et al., 2010). In details, in estrogen receptor (ESR) negative SkBr3 breast cancer cells (Lappano et al., 2017), as well as in ESR positive endometrial Ishikawa tumor cells, the expression of the SLC37A1 transcript was proven to be upregulated by the epidermal growth factor (EGF), through the EGF receptor/mitogen-activated protein kinase/Fos transduction pathway. Notably, in the same work the SLC37A1 protein localization in the ER was also demonstrated, supporting the hypothesis that this protein could import G3P into the ER lumen to sustain phospholipid biosynthesis, required to promote cancer progression (Iacopetta et al., 2010).

The functional role of SLC37A1 was also investigated in other human diseases. Analyses led in patients affected by glycerol kinase deficiency-like syndrome, with glyceroluria but lacking mutations in the human glycerol kinase gene, found only non-pathogenetic sequence variants in the human *SLC37A1* gene, excluding its implication in this defect (Bartoloni et al., 2000).

Furthermore, this gene critically maps to autosomal recessive nonsyndromic deafness locus (DFNB10), on chromosome 21q22.3, but its involvement in the pathogenesis of this disease was also excluded by mutational analysis (Bartoloni et al., 2000).

More recently, *SLC37A1* upregulation (at the mRNA and protein levels) was found in patients with colorectal cancer (CRC), and it was associated with positive venous invasion, liver metastasis, and poor patient outcomes (Kikuchi et al., 2018). Moreover, in a colon cancer cell line, LS180, *SLC37A1* upregulation was positively correlated to Sialyl Lewis A and Sialyl Lewis X levels. These carbohydrate antigens are ligands for the adhesion molecule E selectin, and are responsible for the adhesion of cancer cells to the endothelium during metastasis, which is a typical process of cancer progression (Bartella et al., 2016; Iacopetta et al., 2017). Their positive modulation suggests that *SLC37A1* might play a key role in the hematogenous metastasis of CRC, even if the underlying mechanisms remain unclear (Kikuchi et al., 2018).

Currently, it is proven that SLC37A1 can catalyze both heterologous G6P/Pi and homologous Pi/Pi exchanges, but it is poorly sensitive to chlorogenic acid and is not functionally coupled to G6Pases. On this basis, it is unlikely that it is involved in blood glucose homeostasis (Pan et al., 2011), so its physiological role remains to be clarified.

SLC37A1 mRNA is ubiquitously expressed, although mainly in adult kidney, spleen, liver, small intestine, bone marrow, as well as in fetal liver, brain, and spleen (Bartoloni et al., 2000). In the main gluconeogenetic organs, liver, and kidney, the relative SLC37A1 transcript levels are rather low with respect to the SLC37A4 levels, since they represent <2%, whereas in the intestine and pancreas they constitute 60 and 69%, respectively, of those observed for SLC37A4 (Pan et al., 2011). In macrophages, the SLC37A1 transcript level is 43% of that found for SLC37A4, while in neutrophils, it is markedly (about 280 %) higher, suggesting that SLC37A1 might have a key role in such cells (Chou and Mansfield, 2014).

#### **SLC37A2 FAMILY MEMBER**

*SLC37A2*, also knows as SPX2, was firstly identified in a work, conducted on mice and aimed to detect cAMP inducible genes playing a role in promoting cholesterol efflux from the macrophage cell line RAW264 via apoE and apoA1 (Takahashi et al., 2000). Two murine transcripts were identified, originated by the use of alternative polyadenylation sites. Both transcripts are highly expressed in bone marrow derived macrophages, and encode a 510 amino-acid protein with a predicted molecular weight of 55 kDa (Takahashi et al., 2000).

A further study showed that *SLC37A2* is abundantly expressed in murine macrophages, spleen and thymus, as well as in white adipose tissue (WAT) of genetically obese mouse models, since WAT is subject to considerable macrophage infiltrations, and this promotes obesity-associated chronic inflammation underlying metabolic syndrome and other comorbidities of obesity (Kim et al., 2007). The murine SLC37A2 protein undergoes post-translational modifications by N-linked glycosylation, and it migrates as a heterogeneous species of 50–75 kDa (Kim et al., 2007).

The human SLC37A2 protein is encoded by the *SLC37A2* gene (NM\_198277), mapped to chromosome 11q24.2 and consisting of 18 coding exons. Alternative splicing originates four different transcripts. Only the longest isoform has been characterized (Pan et al., 2011). The corresponding human SLC37A2 transcript is expressed in murine liver, kidney, intestine, and pancreas, however the related expression levels are <4.5% of those found for SLC37A4 (Pan et al., 2011). Noticeably, the SLC37A2 transcript levels increase 46-fold during differentiation of human monocytic leukemia cells (THP-1) to macrophages (Kim et al., 2007).

The human SLC37A2 protein consists of 505 amino acids and displays 59, 36, and 23% sequence identity with the human SLC37A1, SLC37A3, and SLC37A4 proteins, respectively (Chou et al., 2013). Moreover, it is 90% identical to its mouse homolog (Bartoloni and Antonarakis, 2004). Like the murine protein, also the human protein is post-translationally modified by N-linked glycosylation.

The SLC37A2 protein is able to catalyze both G6P/Pi and Pi/Pi exchanges (Pan et al., 2011). Similarly to SLC37A1, the SLC37A2 transport activity is poorly sensitive to chlorogenic acid and the protein is not functionally coupled to G6Pases, as well

as it seems not to be involved in blood glucose homeostasis (Pan et al., 2011). Hence, the functional role of SLC37A2 is yet to be understood. Recently, SLC37A2 has been found as a vitamin D target gene (Wilfinger et al., 2014; Saksa et al., 2015). Vitamin D<sub>3</sub> may affect gene regulation via the binding of its metabolite,  $1\alpha,25$ -dihydroxyvitamin D<sub>3</sub> (1,25(OH)<sub>2</sub>D<sub>3</sub>), to the transcription factor vitamin D receptor (VDR). In monocytic and macrophage-like cells, the human SLC37A2 gene contains a conserved VDR-binding site allowing such modulation, although only in monocytic cells SLC37A2 is an early responding target gene, potentially useful as a biomarker of vitamin D<sub>3</sub> status in the hematopoietic system (Wilfinger et al., 2014). In addition, in human peripheral blood mononuclear cells, changes in the expression of the SLC37A2 gene, together with those of other primary vitamin D target genes, are systematically associated with the alteration in the circulating form of vitamin D<sub>3</sub>. Remarkably, during vitamin D<sub>3</sub> supplementation in pre-diabetic subjects those features allow a distinction into high and low responder patients (Saksa et al., 2015).

Remarkably, in dairy cattle a deleterious homozygous mutation (g.28879810C>T) was detected in an aborted fetus. This mutation was predicted to introduce a premature stop codon, strongly impairing protein structure, and it was believed to be responsible for embryonic lethality (Reinartz and Dist, 2016). The same mutation, leading to embryonic lethal defects with increased female infertility was also detected in another study (Fritz et al., 2013).

Considering that the SLC37A2 gene carries a VDR binding site, and that vitamin  $D_3$  may be involved in many biological pathways, such as calcium and phosphate homeostasis, cell growth, intracellular metabolism, as well as innate and adaptive immunity, embryonic death could depend on a deficit in such processes (Reinartz and Dist, 2016).

Recently, human *SLC37A2* has also been proposed as a phospho-Ser294 progesterone receptor (phospho-Ser294 PR) target gene (Knutson et al., 2017). PR Ser294 phosphorylation is a common event in breast cancer progression, and its activity is significantly associated with invasive lobular carcinoma. The runt-related transcription factor 2 (RUNX2) is an osteoblast differentiation transcription factor expressed in developing breast epithelial cells; it appears to be required in the regulation of phospho-Ser294 PR target genes. In this regard, human *SLC37A2* represents a good candidate as target gene, because it is expressed in monocytes, as well as in breast and cervical tissues, and it was found to contain multiple RUNX2 binding motifs immediately upstream and within the gene; moreover, its expression is proven to be upregulated by progestin in multiple cell line models (Knutson et al., 2017).

#### **SLC37A3 FAMILY MEMBER**

The human SLC37A3 protein, also knows as SPX3, is the less characterized SLC37 family member. It is encoded by the *SLC37A3* gene (NM\_207113), mapped to chromosome 7q34 and containing 17 coding exons. Alternative splicing originates three different transcripts (Bartoloni and Antonarakis, 2004).

One isoform, consisting of 494 amino acids, displays 35, 36, and 22% sequence identity with the human SLC37A1, SLC37A2, and SLC37A4 proteins, respectively (Chou et al., 2013), and it is 90% identical to its mouse and rat homologs (Bartoloni and Antonarakis, 2004). Even though SLC37A3 is an ERassociated protein, it fails to show an uptake activity (Pan et al., 2011), hence its functional properties remain to be clarified. Remarkably, the SLC37A3 transcript is extremely expressed in murine neutrophils, pancreas, and, to a lesser extent, in the liver, kidney, intestine, and macrophages (Pan et al., 2011; Chou et al., 2013), suggesting a possible functional role in the immune system and pancreas (Chou and Mansfield, 2014). In this latter regard, the human SLC37A3 gene could contribute to the pathogenesis of congenital hyperinsulinism of infancy (CHI). In detail, a mutation in this gene was found in one patient with CHI in which the molecular basis of the disease remained unknown, highligting that it could be responsible for the dysregulation of insulin secretion (Proverbio et al., 2013), even if the biological role of SLC37A3 in pancreatic insulin secretion has never been clarified.

More recently, epigenetic mechanisms were demonstrated to modify the human *SLC37A3* gene, since a robust correlation between change in fasting glucose and DNA methylation level within the human *SLC37A3* gene was found in subcutaneous adipose, after gastric bypass followed by a significant weight loss (Benton et al., 2015). This could suggest a possible involvement of SLC37A3 in obesity-related metabolic dysfunction.

#### **SLC37A4 FAMILY MEMBER**

SLC37A4 is the best functionally characterized SLC37 family member (Chen et al., 2000, 2002, 2008). The human protein is encoded by a single copy gene, *SLC37A4* (NM\_001467, OMIM 602671), mapped to chromosome 11q23 (Annabi et al., 1998), containing nine coding exons (Marcolongo et al., 1998; Gerin et al., 1999; Hiraiwa et al., 1999), and firstly isolated from a human bladder tumor cDNA library (Gerin et al., 1997).

This protein displays 20, 25, and 26% sequence identity with bacterial protein UhpT, GlpT, and UhpC, respectively (Gerin et al., 1997). UhpT and GlpT are OPAs (Maloney and Wilson, 1996), while UhpC is a putative G6P receptor controlling UhpT expression (Island et al., 1992).

SLC37A4 protein is scarcely related to the other SLC37 family members, as it shares 22% amino acid sequence homology with both SLC37A1 and SLC37A3, and it is 23% homologous to SLC37A2 (Chou et al., 2013). The human SLC37A4 protein is highly conserved in other species. Murine and rat homologous proteins share 98% sequence homology, as well as 95 and 93% sequence homology to the human protein, respectively (Lin et al., 1998). Two human tissue-specific splicing isoforms have been identified, because alternative splicing of exon 7 leads to the expression of two transcripts, G6PT and variant G6PT (vG6PT), differing by the inclusion of a 66-bp exon 7 sequence in vG6PT, and encoding proteins of 429 and 451 amino acids, respectively (Gerin et al., 1997; Hiraiwa et al., 1999; Lin et al., 2000). Human vG6PT contains 22 additional amino acids, and

it is active in microsomal G6P transport; it has been detected in the brain, heart and skeletal muscle (Lin et al., 2000). G6PT mRNA is ubiquitously expressed, although at the highest levels in the liver, kidney, intestine (Lin et al., 1998; Pan et al., 2011), and in haematopoietic progenitor cells (Ihara et al., 2000). The physiological implications of those different expression patterns remain unclear. In this regard, inclusion of exon 7 sequence might increase vG6PT sensitivity for degradation, since in mouse models the turnover rate of vG6PT seems to be increased during myogenesis of muscle cells (Shieh et al., 2007). Both G6PT and vG6PT appear to be similarly active in G6P transport (Lin et al., 2000), although the majority of studies used G6PT.

Human G6PT is a hydrophobic protein whose transmembrane topology has been long debated. Hydropathy profile analysis predicted either 10 (Hoffman and Stoffel, 1993) or 12 transmembrane domains (Gerin et al., 1997). Protease protection and glycosylation scanning assays suggested a 10-transmembrane domains model, with both N- and C-termini protruding on the cytoplasmic side of the ER membrane (Pan et al., 1999). Conversely, homology modeling proposed a model containing 12 transmembrane  $\alpha$ -helices (Almqvist et al., 2004). More recently, glycosylation scanning and protease sensitivity studies have indicated that the 10-domains model is more probable (Pan et al., 2009).

G6PT biological function is to translocate G6P from the cytoplasm into the ER lumen, where it is hydrolyzed to glucose and Pi either by G6Pase- $\alpha$  (Lei et al., 1996; Chou et al., 2010a,b) or by G6Pase- $\beta$  (Shieh et al., 2003; Chou et al., 2010a,b).

In the past, only one G6Pase isoform was known, expressed exclusively in the liver, kidney and intestine (Lin et al., 1998). In 2003, a second isoform, ubiquitously expressed, was discovered and called G6Pase-β (Shieh et al., 2003). Consequently, the original isoform was renamed G6Pase-α. Both proteins are transmembrane phosphohydrolases essential for the last step of gluconeogenesis. They have similar topology (Pan et al., 1998; Shieh et al., 2003) and mechanism of action as regards G6P hydrolysis (Ghosh et al., 2002, 2004); their active sites are located inside the ER lumen, hence both enzymes require to be coupled with a functional G6PT to hydrolyze intraluminal G6P. On the other hand, G6Pase activity is required in turn for an efficient G6P transport (Lei et al., 1996).

Since G6PT is ubiquitous, tissue expression profiles of G6Pase- $\alpha$  or G6Pase- $\beta$ , and the resulting G6PT/G6Pase complexes, reflect the different GSD-Ia, -Ib or -Irs phenotypes.

On this basis, when the G6PT/G6Pase- $\alpha$  complex is present in the main gluconeogenic organs (liver, kidney, and intestine), G6Pase- $\alpha$  mutations cause a defective glucose production with impaired blood glucose homeostasis between meals, that is the first biochemical hallmark of GSD-Ia (Chou et al., 2010a,b).

In neutrophils and macrophages, the G6PT/G6Pase- $\beta$  complex preserves energy homeostasis and functionality, hence G6Pase- $\beta$  mutations are responsible for GSD-Irs, an autosomal recessive disorder characterized by neutropenia and neutrophil dysfunction (Chou et al., 2010a,b), often associated with congenital cardiac and uro-genital anomalies (Boztug et al., 2009).

On the other hand, G6PT mutations underlie GSD-Ib, which implies either impaired metabolism as in GSD-Ia, or neutropenia and neutrophil dysfunction as in GSD-Irs (Chou et al., 2010a,b), even if in neutrophils and macrophages G6PT expression levels are rather low (Chou et al., 2013).

### PHYSIOPATHOLOGICAL ROLE OF SLC37A4

SLC37A4, known as G6PT or SPX4, is able to catalize both homologous Pi/Pi and heterologous G6P/Pi exchanges between the ER lumen and the cytoplasm (Chen et al., 2008). Early studies led on intact liver microsomes showed that the high specificity of G6PT for G6P is responsible for substrate specificity of the G6PT/G6Pase- $\alpha$  complex, since G6Pase- $\alpha$  is less specific for G6P (Arion et al., 1972, 1975).

G6PT transport activity is specifically and strongly inhibited by chlorogenic acid (Arion et al., 1997, 1998; Hemmerle et al., 1997; Hiraiwa et al., 1999; Chen et al., 2008), acting as a reversible, competitive inhibitor. Also some chlorogenic acid derivatives, S3483 (Arion et al., 1998; Leuzzi et al., 2003), and S4048 (Herling et al., 1999), competitively inhibit G6PT, even more potently than chlorogenic acid. They have been used in studies concerning metabolic impairment in GSD-1 animal models (Bandsma et al., 2001; Grefhorst et al., 2010).

Several studies have established that G6P uptake activity needs not only an active G6PT, but also a functional G6Pase (Lei et al., 1996; Shieh et al., 2003; Chen et al., 2008; Pan et al., 2011). In this regard, hepatic microsomes isolated from G6Pase-αdeficient (GSD-Ia) mice, maintaining a functional G6PT, showed decreased G6P uptake activity, when compared to wild type hepatic microsomes (Lei et al., 1996). Accordingly, in a GSD-Ia mouse model, G6P transport activity could be restored by gene therapy supporting G6Pase-α function (Zingone et al., 2000). On this basis, functional coupling between G6PT and G6Pase-α became evident. A first explanation was suggested considering that G6PT provided the enzyme's substrate by importing cytoplasmic G6P into the ER lumen. Secondly, the physical interaction between G6Pase-α and G6PT, probably mediated by allosteric mechanisms, could support transport activity. This functional coupling was verified achieving functional cell-based activity assays for recombinant G6PT proteins, in order to measure G6P transport activity (Hiraiwa et al., 1999; Chen et al., 2000, 2002, 2008; Pan et al., 2011). According to these studies, it was demonstrated that microsomes expressing a functional G6Pase-α, but lacking an active G6PT (G6Pase-α+/+/G6PT -/-) showed little or no G6P uptake activity. In the same way, microsomes expressing an active G6PT but having a defective G6Pase- $\alpha$  (G6Pase- $\alpha$  –/– /G6PT+/+) exhibited poor G6P uptake rates, and microsomes expressing functional G6Pase-α and G6PT  $(G6Pase-\alpha+/+/G6PT+/+)$  had strikingly increased G6P uptake rates (Chou and Mansfield, 2014). Furthermore, using a reconstitution procedure into proteoliposomes (Della Rocca et al., 2015; Curcio et al., 2016) preloaded with Pi, G6PT was proven to be an antiporter able to efficiently exchange G6P/Pi, without needing for a G6Pase-α coexpression (Chen et al.,

2008). Those evidences suggested that G6Pase- $\alpha$  coexpression might increase intraluminal Pi concentration, in order to create a driving Pi gradient, useful for supporting G6PT antiporter activity. Cell-based assays and functional reconstitution into proteoliposomes were also successfully employed to characterize 23 SLC37A4 mutations identified in GSD-Ib patients (Chen et al., 2008).

#### **SLC37A4 AND AUTOPHAGY**

Recently, SLC37A4 was identified as a key activator of autophagy pathway, able to negatively regulate mammalian target of rapamycin complex 1 (mTORC1) activity (Ahn et al., 2015). Autophagy is activated under nutrient deficiency to preserve cell homeostasis, and its deficit is associated with several human diseases (Jiang and Mizushima, 2014). Amino acids deprivation induces autophagy by inhibiting mTORC1 (Sancak et al., 2010), conversely, mTORC1 inhibits autophagy by phosphorylating unc-51 like autophagy activating kinase 1 (ULK1) (Jung et al., 2009) and autophagy/beclin-1 regulator 1, in order to ubiquitinate ULK1 for degradation (Nazio et al., 2013). Autophagy can also be triggered by ER stresses (Kouroku et al., 2007) or hypoxia (Bellot et al., 2009). On the other hand, prolonged ER stress leads to the inhibition of autophagy flux (Lee H. et al., 2012). Among the several autophagyrelated genes (ATGs), ULK1 plays a key role, since it encodes a serine/threonine kinase essential for the initiation step of autophagy, because it forms complexes that are mainly controlled by mTORC 1 (Ganley et al., 2009) and 5' AMP-activated protein kinase (AMPK) (Kim et al., 2011).

Among the ATGs encoded proteins, ATG9 is a membrane polypeptide depending on ULK1 activity, that regulates autophagosomes biogenesis by delivering them membrane source derived from the *trans* Golgi network (Young et al., 2006). SLC37A4 seems to promote the initiation step of autophagy acting upstream of mTORC1. In detail, SLC37A4 overexpression increases the interaction between N-terminal Venus-tagged ULK1 (ULK1-VN) and C-terminal Venus-tagged ATG9 (ATG9-VC), improving autophagic flux independent of G6PT transport activity (Ahn et al., 2015).

Previous studies demonstrated that G6PT-mTORC1 signaling is essential in promoting autophagy in hepatic cell lines, in addition, mTORC1 failure is often related to metabolic diseases, including type 2 diabetes and cancer (Zoncu et al., 2011). In this regard, it was proposed that this translocase could affect mTORC1 function through calcium mobilization (Chen et al., 2003). Furthermore, it was also suggested that G6PT could modulate mTORC1 through AMPK, which in turn is an energy sensor activated in response to augmented cellular AMP, ADP or calcium levels (Mihaylova and Shaw, 2011). Since AMPK can regulate autophagy through either direct ULK1 phosphorylation or mTORC1 inhibition (Hawley et al., 2005), disruption of calcium mobilization due to SLC37A4 dysfunction might influence both AMPK and mTORC1, leading to autophagy inhibition (Ahn et al., 2015).

#### SLC37A4 DEFECT LEADS TO GSD-Ib

SLC37A4 is the G6PT shared by the G6PT/G6Pase- $\alpha$  or - $\beta$  complexes and responsible for GSD-Ib (Chou et al., 2002, 2010b; Chou and Mansfield, 2014).

Early studies based on the activity of the G6PT/G6Pase- $\alpha$  complex suggested the existence of five GSD-I subtypes, referred to as Ia (affecting the G6Pase catalytic subunit), Ib (affecting G6PT), IaSP, Ic, and Id, believed to arise from T2, T3, and SP deficiency, respectively (Lei et al., 1995; Matern et al., 2002). Furthermore, G6Pase- $\beta$  deficit was responsible for the onset of GSD-Irs (Boztug et al., 2009).

In the past, partial kinetic analysis demonstrated a deficit of Pi export from the microsomal lumen, suggesting the existence of a third form of GSD-I, called GSD-Ic (OMIM 232240), caused by the involvement of a third gene postulated in the pathogenesis of the disease (Nordlie et al., 1983). Subsequently, genotyping studies found out detrimental mutations in the human SLC37A4 gene (Veiga-da-Cunha et al., 1998; Galli et al., 1999; Janecke et al., 2000), therefore it was confirmed that either GSD-Ib or -Ic were caused by mutations occurring in the same gene (Veigada-Cunha et al., 1999). Additional defects, reported in patients, affected either microsomal glucose translocation (Lei et al., 1995), or SP, a hypothetical 21-kD protein, able to stabilize the G6Pase catalytic unit in vitro (Burchell et al., 1985). These conditions were initially classified as GSD-Id and GSD-IaSP (Burchell and Waddell, 1990), respectively. A patient diagnosed with GSD-IaSP was found to be homozygous for a G6Pase mutation, so GSD-IaSP was reclassified as GSD-Ia (Lei et al., 1995). In the same way, the diagnosis of GSD-Id was withdrawn, because this disorder was caused by a single mutation found in the human SLC37A4 gene (Veiga-da-Cunha et al., 1999, 2000). As a result, GSD-Ib was implicated in all the reported cases of non-GSD-Ia (Chou et al., 2010b).

G6PT deficiency causes GSD-Ib, an autosomal recessively inherited disease, involving  $\sim$ 20% of all GSD-I patients (Chou et al., 2002, 2010b). This disorder is not limited to any racial or ethnic group, although the prevalence of some mutations is higher in whites and Japanese ethnicity (Chou et al., 2010b).

Up to date, 110 separate mutations have been identified in the SLC37A4 gene of GSD-Ib and non-GSD-Ia studied patients, including 61 missense/nonsense 1 regulatory and 17 splicing mutations, 29 small insertion/deletions, and 2 gross deletions (http://www.hgmd.cf.ac.uk/ac/gene.php?gene=SLC37A4). They were distributed throughout the gene. The missense mutation c.443C>T (A148V) seems to be restricted to Korean population, since it has not been reported in other ethnic groups (Rihwa et al., 2017). Several residues critical for G6PT function reside in the consensus sequence shared by the SLC37 family members (Figure 1), as well as by other OPA family members (Chou and Mansfield, 2014). In the topological model proposed for G6PT according to glycosylation scanning and protease sensitivity studies (Pan et al., 2009), many mutations are located in the first ER luminal loop (Figure 2). Here, a conserved arginine (R28), corresponding to R46 in UhpT and essential for activity (Lloyd and Kadner, 1990), is believed to constitute part of the substrate binding site and is required for G6P transport

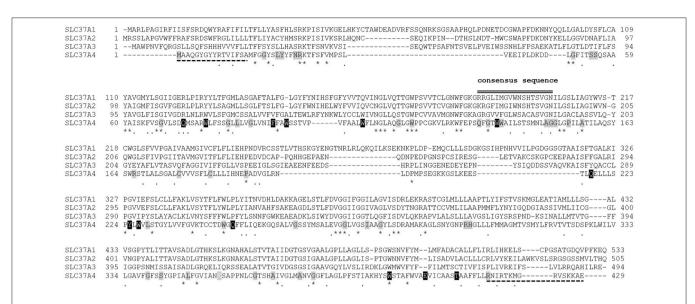


FIGURE 1 | Alignment of the amino acid sequences of human SLC37A1, SLC37A2, SLC37A3, and SLC37A4 showing the location of nonsense and missense mutations identified in GSD-lb patients. The aligned amino acid sequences are GENBANK accession numbers NP\_061837.3 (SLC37A1), NP\_938018.1 (SLC37A2), AAH46567.1 (SLC37A3), and CAG33014.1 (SLC37A4). Sequence conservation is indicated by an asterisk for identical residues, a dot for conserved substitutions, and a gap for non-conserved residues. The organo-phosphate/Pi antiporter family consensus sequence, ProSite PDOC00726, shared by the SLC37 family members is indicated by black double lines. Dashed black lines show lacking residues at the N- or C- terminal end in mutants MIV and R415X, respectively. Nonsense and missense mutations are highlighted in black or gray, respectively. Alignment has been performed by ClustalW.

(Pan et al., 2009). Furthermore, N-terminal residues and helix 1 play a key role in transport activity, because the N-terminal mutation called MIV, lacking the N-terminal domain (residues1-7) and the first part of helix 1 (residues 8-16), abolishes transport function (Chen et al., 2002), but it does not interfere with G6PT stability (Chou and Mansfield, 2014). Conversely, the C-terminal domain deeply affects protein stability, since the nonsense mutation R415X, eliminating the whole cytoplasmic tail, causes a more rapid G6PT degradation with respect to the wild-type (Chen et al., 2000). Moreover, integrity of helix 10 is structurally important, because nonsense mutations E401X and T408X reduce G6PT expression and affect its folding (Chou and Mansfield, 2014).

## SLC37A4 DEFECT: BIOCHEMICAL FEATURES AND CLINICAL PHENOTYPES

GSD-Ib-related symptoms are associated with both defective metabolic and myeloid phenotypes (Chou et al., 2010a,b). GSD-Ib metabolic phenotype is shared with GSD-Ia. Interprandial blood glucose homeostasis is controlled by the liver, the principal gluconeogenic organ, and to a lesser extent, by the kidney and intestine. Between meals, G6P produced in these organs during gluconeogenesis and glycogenolysis is imported into the ER lumen by G6PT, where it is hydrolyzed by G6Pase- $\alpha$  to produce glucose, then exported back into the bloodstream (**Figure 3A**; Chen, 2001; Chou et al., 2002). Since G6PT, as well as G6Pase- $\alpha$ , are abundantly expressed in gluconeogenic organs, when G6PT is defective the G6PT/G6Pase- $\alpha$  complex activity is defective. As a result of an inadequate glucose

production, patients suffer from fasting hypoglycemia. At the same time, G6P cytoplasmic elevation leads to an abnormal storage of glycogen, which causes progressive nephromegaly and hepatomegaly (favoring a protruding abdomen), along with hyperlipidaemia, hyperuricemia, and lactic acidemia, besides, hepatomegaly is worsened by liver fat accumulation (Chen, 2001; Chou et al., 2002; Figure 3B). In GSD-Ib patients, short stature, xanthomas, and diarrhea have also been reported; additionally, fasting hypoglycemia may cause seizure. Signs and symptoms of the disorder generally develop during the childhood, around the age of 3 or 4 months, when babies start to sleep through the night, not eating as frequently as newborns. Affected children have a typical aspect with puffy cheeks and doll-like facies (Bartram et al., 1981). Untreated GSD-Ib is childhood lethal (Chou and Mansfield, 2011). Long-term complications include growth retardation, delayed puberty, osteoporosis, pancreatitis, gout, pulmonary hypertension, polycystic ovaries, and increased risk of hepatocellula adenoma (Chou et al., 2002, 2010b; Rake et al., 2002).

GSD-Ib myeloid phenotype is shared with GSD-Irs. A faulty G6PT/G6Pase-β complex activity causes neutrophil dysfunction and congenital neutropenia, therefore either GSD-Ib or GSD-Irs patients suffered from recurrent infections.

In neutrophils, glucose imported into the cytoplasm via GLUT1 is metabolized by hexokinase to G6P, which in turn enters the ER lumen through G6PT, where it can accumulate until it is hydrolyzed to glucose by G6Pase- $\beta$  and transported back into the cytoplasm. Intracytoplasmic G6P/glucose ratio is affected by several pathways, such as glycolysis, pentose phosphate pathway, and recycling of G6P/glucose between the ER lumen and the cytoplasm (Jun et al., 2010; **Figure 4A**).

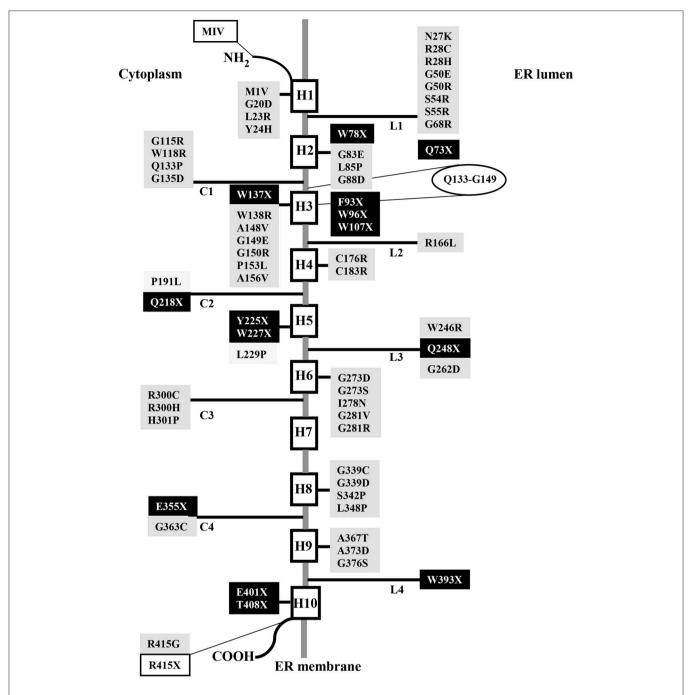
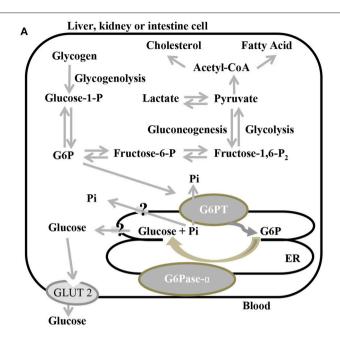


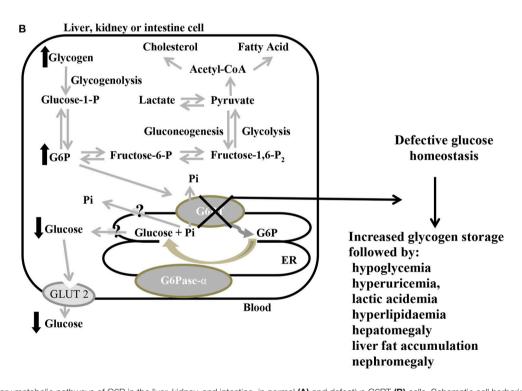
FIGURE 2 | Schematic topological model of human G6PT displaying nonsense and missense mutations identified in GSD-lb patients. Nonsense and missense mutations are highlighted in black or gray, respectively. The extension of the consensus sequence is reported in an ellipse. White boxes represent mutations that eliminate the N- or C- terminal domain.

The G6PT/G6Pase-β complex plays a key role in the third pathway, because glucose recycling decreases cytoplasmic G6P/glucose ratio, so regulating the previously mentioned cytoplasmic pathways for G6P metabolism. As a consequence, G6PT impairment arises a lack of glucose recycling that can cause impaired neutrophil, macrophage, and monocytes functionality, as well as energy homeostasis, leading to reduced intracellular levels of G6P, lactate, ATP and NADPH (McCawley

et al., 1993; Jun et al., 2010). A defective G6PT can also cause reduced neutrophil respiratory burst, chemotaxis, calcium mobilization and phagocytic activities (**Figure 4B**; Kilpatrick et al., 1990; Chou et al., 2010a; Jun et al., 2014).

Furthermore, in G6PT-deficient neutrophils, reduced respiratory burst was associated with an impaired activation of NADPH oxidase, a multicomponent enzyme promoting





**FIGURE 3** | Primary metabolic pathways of G6P in the liver, kidney, and intestine, in normal **(A)** and defective G6PT **(B)** cells. Schematic cell harboring an extended endoplasmic reticulum (ER). G6Pase- $\alpha$  and G6PT are embedded in the ER membrane; the glucose transporter GLUT2 is embedded in the plasma membrane. Black arrows indicate metabolic changes due to defective SLC37A4. G6P, glucose-6-phosphate; G6Pase- $\alpha$ , glucose-6-phosphatase- $\alpha$ ; G6PT, glucose-6-phosphate translocase; GLUT2, glucose transporter 2; P, phosphate; Pi, inorganic phosphate.

the production of reactive oxygen species (Jun et al., 2014). Neutrophil metabolism is dependent on anaerobic glycolysis for ATP production. Under hypoxia the protein levels of the

hypoxia-inducible transcriptional factor- $1\alpha$  (HIF- $1\alpha$ ) increase (Bárdos and Ashcroft, 2005), and neutrophils display defective respiratory burst activity (McGovern et al., 2011). HIF- $1\alpha$  is

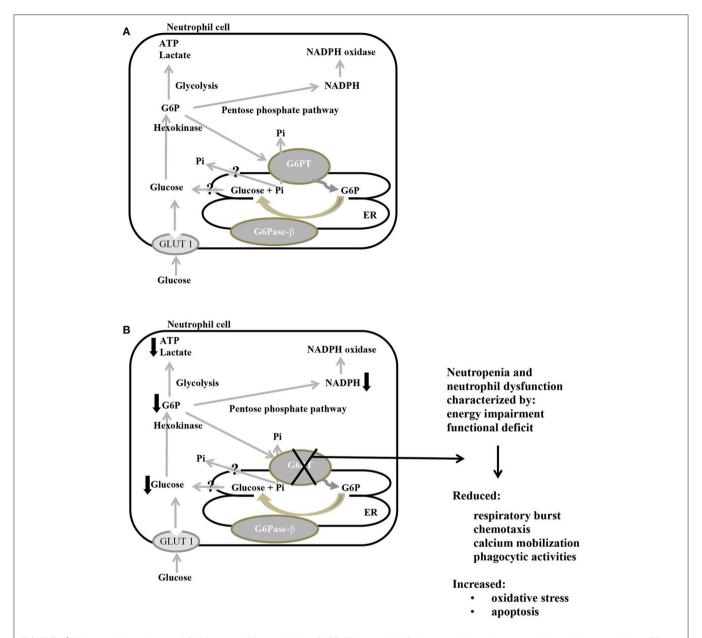


FIGURE 4 | Main metabolic pathways of G6P in normal (A) and defective G6PT (B) neutrophils. Schematic cell showing an extended endoplasmic reticulum (ER) and the three major pathways (glycolysis, pentose phosphate pathway, and ER cycling) in which G6P is involved. G6Pase-β and G6PT are embedded in the ER membrane; GLUT 1 is embedded in the plasma membrane. Black arrows indicate metabolic changes due to defective SLC37A4.: G6P, glucose-6-phosphate; G6Pase-β, glucose-6-phosphatase-β; G6PT, glucose-6-phosphate translocase; GLUT 1, glucose transporter 1; P, phosphate; Pi, inorganic phosphate; ATP, adenosine triphosphate; NADPH, nicotinamide adenine dinucleotide phosphate.

also an upstream activator of peroxisome proliferator-activated receptor- $\gamma$  (PPAR- $\gamma$ ) (Krishnan et al., 2009), a nuclear receptor involved in the regulation of lipid and glucose metabolism, influencing inflammation and many other diseases (Kvandova et al., 2016). It was observed that in neutrophils PPAR- $\gamma$  is constitutively expressed, and its activation leads to chemotaxis inhibition (Reddy et al., 2008). On this basis, it was supposed that the activation of the HIF-1 $\alpha$ /PPAR- $\gamma$  pathway in neutrophils of GSD-Ib patients could trigger neutrophil dysfunction,

impairing chemotaxis and calcium mobilization activities (Jun et al., 2014).

GSD-Ib patients may also experience oral symptoms, consisting of dental caries, periodontal diseases, gingivitis, delayed dental maturation and eruption, oral bleeding diathesis and ulcers (Mortellaro et al., 2005). Remarkably, not all GSD-Ib patients manifest neutropenia or frequent infections (Kure et al., 2000; Melis et al., 2005; Angaroni et al., 2006; Martens et al., 2006). In this regard, in a multicentre study investigating

the genotype/phenotype correlation on a cohort of 25 GSD-Ib patients, no correlation was found between individual mutations and the presence of neutropenia, bacterial infections or systemic complications. This evidence might suggest the existence of unknown factors able to influence immune phenotype, such as polymorphisms, proteins or genes, capable of modulating neutrophil differentiation, maturation, and apoptosis (Melis et al., 2005). Considering that neutrophils of GSD-Ib patients exhibited enhanced apoptosis, a causal relationship between apoptosis and neutropenia was hypothesized (Kuijpers et al., 2003; Jun et al., 2014). This theory was supported by further studies conducted on animal models, demonstrating that either neutrophils from G6Pase- $\beta$   $^{-/-}$  mice or those from G6PT  $^{-/-}$ mice exhibited enhanced ER stress and apoptosis (Cheung et al., 2007; Kim et al., 2008). So, neutrophil ER stress, higher oxidative stress and apoptosis might be underlying causes of neutropenia in GSD-Ib (Jun et al., 2010). In addition, neutrophil apoptosis in both G6Pase- $\beta$  <sup>-/-</sup> (Jun et al., 2011) and  $G6PT^{-/-}$  (Kim et al., 2008) mice was mediated by the intrinsic apoptosis pathway. In GSD-Ib, a maturation arrest seems not to be responsible for neutrophil dysfunction (Jun et al., 2014). Since neutrophils, as well as macrophages, have to import glucose from the blood by the glucose transporters (Pessin and Bell, 1992), these cells critically depend on the G6PT/G6Pase-β complex activity, especially when the need for glucose rises (Jun et al., 2014). This might provide a rationale for neutropenia caused by enhanced neutrophil ER stress, oxidative stress and apoptosis, when G6PT and/or G6Pase-β are defective (Kuijpers et al., 2003; Kim et al., 2008; Jun et al., 2010, 2014).

## AUTOIMMUNITY RISK OF SLC37A4 DEFECT

A strong association was found between GSD-Ib and inflammatory bowel disease (IBD), because many GSD-Ib patients with chronic gastrointestinal inflammation were diagnosed with IBD, which was clinically indistinguishable from idiopathic Crohn disease, assuming the involvement of an impaired mucosal innate immunity in the pathogenesis of IBD (Dieckgraefe et al., 2002). The association between neutropenia and IBD was supported by Visser et al., in a retrospective European study, showing that up to 77% of patients with GSD-Ib presented also neutropenia, as well as many GSD-Ib patients suffering from perioral or perianal infections (Visser et al., 2000).

In patients with GSD-Ib an increased risk for Crohn-like disease was reported (Melis et al., 2003), along with a severe deficit of hypothalamus-pituitary-thyroid axis, causing an increased prevalence of thyroid autoimmunity and hypothyroidism (Melis et al., 2007). In one patient, the occurrence of myasthenia gravis was also described (Melis et al., 2008). These observations paved the way to the hypothesis that GSD-Ib patients, but not those affected by GSD-Ia, were at increased risk for autoimmune disorders. The different risk degree between GSD-Ia and GSD-Ib patients might be related to the presence of neutropenia and neutrophil

dysfunction only in GSD-Ib (Melis et al., 2007). Some studies have also suggested an association between lymphopenia and autoimmunity (Merayo-Chalico et al., 2016). Chronic lymphopenia might promote autoimmunity inducing the homeostatic expansion of T cell, which represents a normal compensatory reaction during lymphopenic conditions (King et al., 2004).

Recent studies have highlighted the molecular mechanisms underlying the increased frequency of autoimmunity disorders shown in GSD-Ib patients (Melis et al., 2017).

Regulatory T cells (Tregs) are a subset of CD4+ T cells involved in maintaining tolerance to self-antigens, and they play a critical role in human autoimmune diseases, because their imbalance seems to be responsible for the failure of local regulatory mechanisms (Dejaco et al., 2006). Those cells mediate their suppressive function by acting directly on conventional T cells (Tconvs) and antigen-presenting cells, such as dendritic cells (Rueda et al., 2016). Tregs express the transcription factor forkhead box P3 (FOXP3), which is very important for Tregs development and function, since defects in the FOXP3 gene cause a human lethal autoimmune disease (Bennett et al., 2001).

Treg responses can be modulated by several metabolic pathways (Buck et al., 2015), particularly by glycolysis, able to affect human Treg induction and function (De Rosa et al., 2015). In this regard, a defective engagement of glycolysis upon suboptimal T cell receptor (TCR) stimulation of conventional T cells (Tconvs) has been observed in several human autoimmune diseases, and it has been associated with a decreased suppressive function of Tregs (De Rosa et al., 2015).

In GSD-Ib, a defective G6PT causes a decreased capacity to mobilize glucose, leading to diminished glucose utilization. Consequently, a reduced engagement of glycolysis in T cells occurs upon suboptimal TCR stimulation; additionally, qualitative and quantitative alterations of peripheral regulatory T cells (pTregs) have been observed, along with impaired FOXP3 expression by Tconvs during low TCR activation (Melis et al., 2017).

## THERAPEUTIC APPROACHES FOR SLC37A4 DEFECT

Clinical therapies consist of dietary treatment, granulocyte colony-stimulating factor (G-CSF) therapy and transplantations.

Since recurrent hypoglycemia is responsible for lactic acidosis, hyperuricemia and hypertriglyceridemia, the primary goal of nutritional therapy is to achieve a stable normoglycemia. In addition, dietary treatment aims to reduce metabolic impairment, delaying some of the long-term GSD-Ib consequences. Unfortunately, elevated triglycerides and cholesterol blood levels may persist regardless of diet, along with their consequences (Cappello et al., 2016); moreover, even if nutritional therapy can improve long-term outcomes for many patients, several long-term complications remain. Recommended diet composition is 60–70% calories from carbohydrates, 10–15% from proteins and the remaining from fats (Goldberg and Slonim, 1993). Small frequent feedings

rich in complex carbohydrates (particularly those higher in fiber) have to be regularly ingested over 24 h to achieve a good metabolic control (Wolfsdorf and Weinstein, 2003; Kishnani et al., 2014). GSD-Ib, as well as GSD-Ia patients, can use the same nutritional therapy to avoid hypoglycemia, especially nighttime (Chou et al., 2010b).

Cornstarch therapy in GSD-I is used since the early 1980s (Chen et al., 1984). Raw uncooked cornstarch is a slowly digested carbohydrate, which allows a slow release of glucose able to prolong the length of euglycemia between meals (Sidbury et al., 1986).

Glucose requirement normally decreases with age, so adults have a longer fasting tolerance with respect to children. Traditionally, in children older than 3 years, as well as in adults, normoglycemia during nighttime is maintained by the ingestion at bedtime of uncooked corn starch (Wolfsdorf and Crigler, 1997; Weinstein and Wolfsdorf, 2002; Shah and O'Dell, 2013) or of modified forms of corn starch (Bhattacharya et al., 2007; Correia et al., 2008). In children <3 years continuous tube feeding is usually needed (Greene et al., 1976), because the level of pancreatic amylase able to hydrolyze raw starch is low before 3 years of age (Chou et al., 2010b). A recent controlled crossover study enrolling adult GSD-Ia and GSD-Ib patients, highlighted that nighttime glucose control can be achieved also by the ingestion of a cooked pasta meal at bedtime, allowing a more palatable alternative to corn starch use (Hochuli et al., 2015).

G-CSF administration promotes the production of granulocytes (Mehta et al., 2015), increasing neutrophile numbers and reducing the frequency and severity of infections in GSD-Ib patients, but it can not improve neutrophile dysfunction (Visser et al., 2002). G-CSF also appears to increase neutrophil survival (Jun et al., 2011). In this regard, studies led *in vivo*, on murine  $G6Pase-\beta$ -deficient models, highlighted that G-CSF therapy was able to normalize neutrophil energy homeostasis and to improve functionality, as supported by improved neutrophil glucose uptake and increased G6P, ATP, and lactate intracellular concentrations (Jun et al., 2011).

A recent study has evidenced a partial improvement of neutrophil respiratory burst activity in a GSD-Ib patient by oral administration of galactose, even though the role of galactose in sugar metabolism of GSD-Ib neutrophils remains to be clarified (Letkemann et al., 2017).

Neutropenia associated with IBD can be treated by using a combination of G-CSF and 5-aminosalicylic acid (Visser et al., 2002; Koeberl et al., 2009; Chou et al., 2010b; Shah and O'Dell, 2013).

In some GSD-Ib patients, metabolic abnormalities can be corrected by liver or combined liver/kidney transplantation, since such operations have improved metabolic control, restoring normal fasting tolerance (Boers et al., 2014). Patients with impending renal failure are suggested to consider combined liver/kidney transplantation (Labrune, 2002). In GSD-Ib patients, myeloid dysfunctions can be treated by bone marrow transplantation, which appears to be a promising approach, even if it requires further validation (Pierre et al., 2008). According to the guidelines from the European study on

GSD-I, liver transplantation is suggested in GSD-I patients with unresectable hepatocellular adenomas unresponsive to nutritional therapy, mainly if adenomas are associated with serious compression or hemorrhage, or in the case of enhanced risk of transformation into hepatocellular carcinomas (Rake et al., 2002). Although liver transplantation improves metabolic impairment (Reddy et al., 2009), its usefulness on renal disease and neutropenia or neutrophil dysfunction is yet to be settled.

#### **GENE THERAPY FOR SLC37A4 DEFECT**

Gene therapy represents a promising strategy to correct metabolic abnormalities in all GSD-I patients (Chou and Mansfield, 2011), although currently it seems not to compensate for myeloid and renal dysfunction in GSD-Ib patients (Kwon et al., 2017).

Several gene transfer vectors, including recombinant adenoassociated virus (rAAV) vectors, have been developed and tested, either on GSD-Ia or on GSD-Ib animal models (Chou et al., 2015; Kwon et al., 2017).

G6PT knockout ( $G6PT^{-/-}$ ) mice are excellent G6PT-deficient murine models, since they exhibit all the metabolic and myeloid dysfunctions typical of human GSD-Ib (Chen et al., 2003). Some studies led on those mice showed that recombinant adenovirus-mediated G6PT gene transfer was able to deliver the transgene to the liver and bone marrow, improving metabolic and myeloid defects; however, this therapy was short-lived, owing to the fast loss of vector-mediated gene expression (Yiu et al., 2007).

Further studies led on such mice showed that a human *G6PT* expressing recombinant AAV serotypes 2/8 vector (rAAV8) directed by a hybrid chicken β-actin (CBA) promoter and a cytomegalovirus (CMV) enhancer, was able to deliver the transgene primarily to the liver, thus normalizing metabolic abnormalities. Nevertheless, two of the five treated mice survived for 51–72 weeks, developed multiple hepatocellular adenomas, and one experienced cancer transformation (Yiu et al., 2009). So, this therapeutic approach, while allowing normoglicemia, could not prevent long term complications of hepatocellular adenoma.

A further work, on  $G6Pase-\alpha$ -deficient murine models (manifesting all the symptoms of human GSD-Ia), was led in order to compare two different gene transfer methods. In one case, murine  $G6Pase-\alpha$  gene transfer *in vivo* was mediated by recombinant AAV serotypes 2/1 (rAAV1) or rAAV8 vectors, and expression was directed by hybrid CBA promoter/CMV enhancer. In the other case, a rAAV8 vector expressing human G6Pase- $\alpha$  directed by its promoter/enhancer (GPE) was employed (Yiu et al., 2010).

This work highlighted that the gluconeogenic tissue-specific human GPE was more effective in directing persistent *in vivo* hepatic transgene expression with respect to the CBA promoter/CMV enhancer, because human GPE utilization triggered a lesser humoral immune response, allowing complete normalization of hepatic G6Pase-α deficiency

(Yiu et al., 2010). In addition, in murine GSD-Ia models, the use of a G6Pase- $\alpha$ -expressing rAAV vector under the GPE control was able to correct metabolic defects with no hepatocellular adenoma development (Lee Y. M. et al., 2012).

On this basis, recently two different liver directed gene therapy approaches were tested on *G6PT*<sup>-/-</sup> mice, using rAAV-GPE-*G6PT* and rAAV-miGT-*G6PT*, this latter consisted of a human *G6PT* expressing rAAV directed by human minimal *G6PT* promoter/enhancer (miGT) (Hiraiwa and Chou, 2001; Kwon et al., 2017).

Both vectors could deliver the hG6PT transgene to the liver, correcting metabolic anomalies, but rAAV-GPE-G6PT vector directed by the  $hG6Pase-\alpha$  promoter/enhancer had greater efficacy. A full restoration of normal G6PT activity was not

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necessary to obtain significant therapeutic benefits, since a restoration of 3-62% seemed to confer protection against agerelated obesity and insulin resistance, while restoration <6% exposed to hepatic cancer risk (Kwon et al., 2017).

#### **AUTHOR CONTRIBUTIONS**

AC, RC and RL conducted literary review, wrote the article and prepared publication-ready figures, MM and VD designed and edited the article.

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## **Aquaporins as Targets of Dietary Bioactive Phytocompounds**

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Plant-derived bioactive compounds have protective role for plants but may also modulate several physiological processes of plant consumers. In the last years, a wide spectrum of phytochemicals have been found to be beneficial to health interacting with molecular signaling pathways underlying critical functions such as cell growth and differentiation, apoptosis, autophagy, inflammation, redox balance, cell volume regulation, metabolic homeostasis, and energy balance. Hence, a large number of biologically active phytocompounds of foods have been isolated, characterized, and eventually modified representing a natural source of novel molecules to prevent, delay or cure several human diseases. Aquaporins (AQPs), a family of membrane channel proteins involved in many body functions, are emerging among the targets of bioactive phytochemicals in imparting their beneficial actions. Here, we provide a comprehensive review of this fast growing topic focusing especially on what it is known on the modulatory effects played by several edible plant and herbal compounds on AQPs, both in health and disease. Phytochemical modulation of AQP expression may provide new medical treatment options to improve the prognosis of several diseases.

Keywords: aquaporin membrane channels, functional foods, nutraceutics, epigenetics, gut microbiota, antioxidants, anti-inflammatory, chronic diseases

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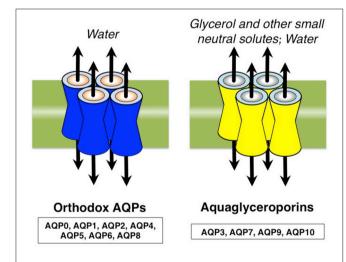
#### **INTRODUCTION**

Growing evidence from epidemiological, *in vivo*, *in vitro*, and clinical trial results indicate that the plant-based food can reduce or prevent the risk of chronic diseases such as cardiovascular disease, arterial hypertension, diabetes mellitus, and cancer due to presence of biologically active plant compounds or phytochemicals. Several classes of phytochemicals from edible plants and herbs exist (Steinmetz and Potter, 1991) and exert beneficial effects in disease prevention and in reducing the incidence of certain chronic diseases. The mechanisms modulate the cell signaling pathways underlying inflammation, oxidative stress, metabolic disorder, apoptosis, and so forth (Maraldi et al., 2014).

This review provides an update on the involvement of Aquaporins (AQPs), a family of membrane channel proteins with important role in many body functions, in the beneficial effects imparted by food polyphenols and herbal phytocompounds, both in health and disease.

#### AQUAPORINS, A FAMILY OF MEMBRANE CHANNELS WIDELY DISTRIBUTED IN HUMAN TISSUES

Aquaporins (AQPs) are channel proteins largely expressed in living organisms mediating the transport of water and some anaelectrolytes across biological membranes (Agre, 2004). The 13 AQPs (AQP0-12) expressed in mammals are summarily grouped into orthodox aquaporins (AQP0, AQP1, AQP2, AQP4, AQP5, AQP6, and AQP8) and aquaglyceroporins (AQP3, AQP7, AQP9, AQP10), depending on their ability to conduct only water or water and neutral solutes, particularly glycerol, respectively (Figure 1). AQP11 and AQP12 are often grouped as unorthodox aquaporins due to their distinct evolutionary pathway and transport properties (Ishibashi et al., 2009). Some AQPs are also able to conduct ammonia (AQP3, AQP4, AQP6, AQP8, and AQP9) and/or hydrogen peroxide (AQP1, AQP3, AQP5, AQP8, and AQP9) and, for these biophysical properties, are also denoted as ammoniaporins (or aquaammoniaporins) (Jahn et al., 2004) and/or peroxiporins (Geyer et al., 2013; Almasalmeh et al., 2014; Rodrigues et al., 2016; Watanabe et al., 2016) (Figure 2). Moreover, some AQPs also allow permeation of gases of physiological importance such as CO2, NO or O2 (Nakhoul et al., 1998; Herrera et al., 2006; Wang et al., 2007). Expression, transport properties (Agre, 2004), and pharmacological gating (Soveral and Casini, 2017) of AOPs are object of strong interest and intense investigation in all body districts and a number of important roles have been already described, both in health and clinical disorders.



**FIGURE 1** | Mammalian aquaporins are grossly subdivided in *orthodox* aquaporins (AQP0, AQP1, AQP2, AQP4, AQP5, AQP6, and AQP8) and aquaglyceroporins (AQP3, AQP7, AQP9, and AQP10) depending on their ability to conduct only water or glycerol and some other small neutral solutes, in addition to water, respectively. Two of the 13 AQPs found in mammals, AQP11 and AQP12, are called *unorthodox* aquaporins as they show marked distinctions in terms of evolutionary pathway. Some AQPs also express conductance to gases of physiological relevance.

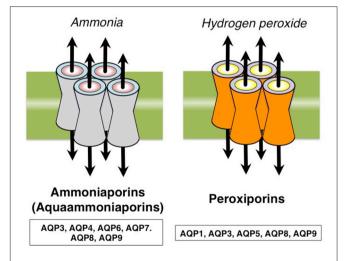
## DIETARY POLYPHENOLS AND AQUAPORINS

The class of polyphenols is characterized by the presence of phenol units in their chemical structure. Polyphenols are the largest group of phytochemicals, and many of them exist in edible plants (Maraldi et al., 2014). Foods enriched in polyphenols were found to exert a wide spectrum of protective effects (i.e., hypolipidemic, anti-oxidative, anti-proliferative, antiapoptotic, and anti-inflammatory) with the benefit of reducing the prognosis and onset of disease progression (for review see Upadhyay and Dixit, 2015). So far, more than 8,000 phenolic structures have been identified in vegetables, fruits, olive oil, and wine. Due to their diversity and food distribution the latest classification subdivides polyphenols in phenolic acids, curcuminoids, flavonoids, chalcones, stilbenes, lignans, and isoflavonoids (González-Castejón and Rodriguez-Casado, 2011; Upadhyay and Dixit, 2015). Bioactive polyphenols also influence the expression and biophysical properties of mammalian AQPs (Zhang et al., 2014; Fiorentini et al., 2015; Cataldo et al., 2017). The AQPs modulated by polyphenols and related health benefits are summarized in Table 1.

#### **Curcuminoids**

Curcuminoids (or curcumins) are characterized by a pronounced yellow color composed of linear diarylheptanoids. They are represented by curcumin and its derivatives (i.e., demethoxycurcumin and bisdemethoxycurcumin). Curcuminoids have been tested in particular for their anti-oxidant activity.

*Curcumin* is a non-flavonoid polyphenol isolated from spice turmeric, and known for playing anti-inflammatory, antioxidant, anti-proliferative, and anti-angiogenic activities (Tsao, 2010). The beneficial effects of curcumin on human health, however, are



**FIGURE 2** | Some AQPs also allow transport of ammonia (AQP3, AQP4, AQP6, AQP7, AQP8, and AQP9), particularly AQP8, and/or hydrogen peroxide (AQP1, AQP3, AQP5, AQP8, and AQP9) and are called *ammoniaporins* (or *aquaammoniaporins*) and *peroxiporins*, respectively.

TABLE 1 | Polyphenolic modulation of AQPs and related beneficial effects.

Polyphenol	Functional derivative	Modulated AQP	Beneficial effect	References
Curcuminoids	Curcumin	Choroid plexus AQP1 ↓ (brain lateral ventricle)	Reduction of intracranial pressure in brain injury (rat model)	Nabiuni et al., 2013
		CaOV3 AQP3 ↓ (ovarian cancer cell line)	Inhibition of ovarian cancer cell migration (in vitro)	Ji et al., 2008; Terlikowska et al., 2014
		Brain AQP4 and AQP9 ↓	Reduction of brain edema (rodent model)	Yu et al., 2012; Zhang et al., 2014; Zu et al., 2014; Wang et al., 2015
		HeLa cells AQP (ND)	Hydrogen peroxide elimination (in vitro)	Pellavio et al., 2017
Flavonoids	Pinocembrin	Brain AQP4 ↓	Reduction of cerebral edema due to ischemia (rat model)	Gao et al., 2010
	Chrysin	Skin AQP3 ↑	Protection against UV-induced skin damages (in vitro)	Wu et al., 2011
	Quercetin	Microglial AQP4 ↓	Amelioration of diabetic retinal edema (rat model)	Kumar et al., 2014
		Salivary gland, lung AQP5 ↑	Amelioration of impaired salivation after irradiation and IAV-induced lung injury (mouse models)	Takahashi et al., 2015; Yu et al., 2016a
		HeLa cells AQP1, AQP3, AQP8, AQP11	Hydrogen peroxide elimination (in vitro)	Pellavio et al., 2017
	Hesperetin	Microglial AQP4 ↓	Amelioration of diabetic retinal edema (rat model)	Kumar et al., 2014
	Alpinetin	Lung endothelium AQP1 ↑	Amelioration of SAP-induced acute lung injury (in vitro)	Liang et al., 2016
	Naringenin	Mucosal epithelial cells of colon AQP3 ↑	Amelioration of intestinal water absorption	Yin et al., 2018
		HeLa cells AQP(ND)	Hydrogen peroxide elimination (in vitro)	Pellavio et al., 2017
	Liquiritigenin	Kidney AQP4 ↓	Reduction of kidney inflammation (rat model)	Hongyan et al., 2016
	Epigallocathechin Gallate	Salivary gland AQP5 ↑	Amelioration of xerostomia in Sjögren syndrome (mouse model)	Saito et al., 2015
		SKOV3 AQP5 ↓ (cancer cell line)	Inhibition of ovarian tumor growth (in vitro)	Yan et al., 2012
		Spinal cord AQP4 ↓	Reduced edema in acute SCI (rat model)	Ge et al., 2013
Chalcones	Phloretin	AQP9 (inhibition)	Anti-inflammatory and anti-oxidative action (in vitro)	Matsushima et al., 2014
Stilbenes	Resveratrol	Human keratinocyte AQP3 ↓	Inhibition of keratinocyte proliferation (in vitro)	Wu et al., 2014
		Brain AQP4 ↓	Amelioration of cerebral I/R injury (rat model)	Li et al., 2015
Isoflavonoids	Genistein and Daidzein	Uterine AQP1 ↑	Uterine responsiveness to estrogens (rat model)	Möller et al., 2010
	Puerarin	Brain AQP4 ↓	Reduction of brain damage and inflammation	Wang et al., 2018

 $\textit{Arrows:} \uparrow, \textit{upregulation;} \downarrow, \textit{downregulation;} \textit{SCI, spinal cord injury;} \textit{ND, specific AQP homolog to be defined.}$ 

downplayed by its poor absorption and bioavailability (Anand et al., 2007). Liposomal curcumin or curcumin nanoparticles have increased bioavailability, while structural analogs of curcumin such as EF-24 feature higher stability and faster absorption (Santiago-Vázquez et al., 2016). EF-24 has been demonstrated

effective against cancer (Yang et al., 2014; Santiago-Vázquez et al., 2016), Parkinson's (PD), and Alzheimer's (AD) diseases (Pal et al., 2011).

The central nervous system expresses various AQPs (Badaut et al., 2014) and studies using rodent brain have suggested

important roles for AQP1, AQP4, and AQP9 in controlling water transport and volume homeostasis (Badaut et al., 2014). AQP1 is highly expressed in choroid plexus, a secretory epithelium which plays a role in cerebrospinal fluid (CSF) formation and secretion (Nabiuni et al., 2013). After brain injury, mice deficient for AQP1 displayed a decreased intracranial pressure and improved survival compared to wild type mice (Oshio et al., 2005). This strongly suggests that AQP1 downregulation might be protective against several neurological disorders characterized by increased intracranial pressure. Notably, curcumin decreases, in a dosedependent manner, the AQP1 level in choroidal epithelial cells isolated from the lateral ventricle of Wistar rats (Nabiuni et al., 2013). Curcumin could also act inhibiting choroid plexus AQP1 since in a study using Hela cells this phytocompound has been recently suggested to have a direct gating action on some AQPs (Pellavio et al., 2017). AQP4, the most characterized brain water channel, is located mainly on astrocytic endfeets that are in strict contact with blood vessels (Badaut et al., 2014). Curcumin counteracts the brain edema and the effect might include the modulation of the expression of various AQPs, especially AQP4. Indeed, current medical and surgical therapies available for the treatment of intracerebral hemorrhage (ICH) do not adequately control brain edema. Interestingly, curcumin dose-dependently reduced both the gene expression and protein abundance of AQP4 and AQP9 but not those of AQP1 in a mouse model of ICH (Wang et al., 2015). Likely, the protective effects of curcumin may also involve the downregulation of specific water channels.

In a rat model of hypoxic-ischemic brain injury, curcumin significantly reduced the brain edema, and the effect was associated with a relevant morphological amelioration of the damage at the blood-brain barrier, increase of NOS activity and AQP4 expression (Yu et al., 2012). Similarly, in a rat model of hypoxia-hypercapnia, curcumin injection attenuated brain edema and restored the levels of AQP4 expression (Yu et al., 2016b). In the mice model of traumatic brain injury, pre- or post-treatment with curcumin reduced the cerebral edema, the pericontusional expression of IL-1β, and reversed the induction of AQP4 (Zhang et al., 2014). The beneficial effect of curcumin was also observed in an animal model of SCI (impaired motor function and spinal cord edema); curcumin counteracted the abnormal activation of JAK/STAT signaling pathways and reduced the glial fibrillary acidic protein (GFAP) and AQP4 overexpression (Zu et al., 2014). While most available data highlight the beneficial effects of curcumin in neurologic diseases, curcumin could aggravate some CNS manifestations in experimental lupus erythematous. Indeed, curcumin treatment was associated with increased cerebral water content and AQP4 expression in mice with systemic lupus erythematous, likely depending on worsened cerebral atrophy and astrocytosis (Foxley et al., 2013). All together the above-mentioned results suggest that AQP4 is the main target through which curcumin would exert its action on brain edema and SCI.

In cultured human ovarian CaOV3 cells, stimulation with the endothelial growth factor (EGF) promoted AQP3 expression and CaOV3 cell migration. AQP3 knocking down by siRNA was associated with significant impairment of CaOV3 cell migration. Also in this context, curcumin (or a stable analog) proposed for therapeutic treatment of ovarian cancer (Terlikowska et al., 2014), downregulated AQP3 and reduced cell migration in CaOV3, an effect mediated by inhibition of EGFR signaling (Ji et al., 2008).

As shown for quercetin and naringenin (see below), in HeLa cells curcumin elicited antioxidant effects by reducing the hydrogen peroxide cellular content, probably by decreasing its entry into the cell through AQPs-mediated mechanisms (Pellavio et al., 2017). Modulation of AQPs by curcumin in cancer cells resulting in elimination of hydrogen peroxide may not always result beneficial to health when considering that accumulation of hydrogen peroxide (among other ROS) is a mechanism by which a number of conventional anti-cancer treatments govern cancer cells to death.

Curcumin could also influence various physiological functions through interactions with different ion channels and transporters involving several signaling pathways, from the well-known CFTR to voltage-gated potassium channels, volume-regulated anion channel (VRAC), Ca<sup>2+</sup> release-activated Ca<sup>2+</sup> channel (CRAC), and glucose transporters (Zhang et al., 2014). More research is needed in this respect, to better highlight the role of curcumin as modulator of various channel functions and pointing to its protective effects in various disease. Moreover, the use of curcumin could be useful also for research purposes since it could help the understanding of the interplay between AQPs system and other membrane transporters (Zhang et al., 2014).

#### **Flavonoids**

Flavonoids are the biggest representative subgroup of polyphenols, with more than 4,000 molecules described (Harborne and Williams, 2000; Cheynier, 2005).

Pinocembrin is a natural flavonoid compound, which has been isolated from several plants, such as ginger roots and wild marjoram, honey, and propolis (Massaro et al., 2014; Lan et al., 2016). Pinocembrin exerts pleiotropic effects: reduces reactive oxygen species (ROS) production, apoptosis, and controls mitochondrial functions (Massaro et al., 2014). In vitro evidence revealed that pinocembrin can cross the blood-brain-barrier passively indicating possible therapeutic use in nervous system diseases (Yang et al., 2012). In an animal model of focal cerebral ischemia induced by middle cerebral artery occlusion (MCAO), several inflammatory cytokines, tumor necrosis factor-α (TNF- $\alpha$ ), and interleukin-1 $\beta$  (IL-1 $\beta$ ), inducible nitric synthase (iNOS) and AQP4 were significantly upregulated in the ischemic brain (Gao et al., 2010). Administration of pinocembrin via tail vein injection ameliorated the neuronal apoptosis and the edema as well as the typical alterations of endothelial cells and capillaries characterizing ischemia. Pinocembrin treatment decreased the production of cytokines and the expression iNOS and AQP4. These findings suggest that the protective response triggered by the flavonoid might be due to reduced inflammatory signals and decreased level of AQP4, which has been associated with edema subsequent to cerebral ischemia (Gao et al., 2010).

*Chrysin* is a natural flavonoid occurring in honey and propolis, various fruits, vegetables and mushrooms (Nabavi et al., 2015). Chrysin displays fundamental biological anticancer actions reducing cell proliferation and promoting apoptosis,

especially in leukemia cells (Monasterio et al., 2004). In animal studies, UVB and UVA radiation induced skin dehydration and this step was associated with decrease of the expression level of AQP3, one of the main skin AQPs. Other changes included ROS release and apoptosis (Wu et al., 2011). Topical application of chrysin improved the UV-induced skin damage, and significantly increased keratinocyte AQP3, suggesting a chrysin-mediated protection of the deleterious effects exerted by UVs on human skin.

Quercetin is one of the most abundant bioflavonoids in the human diet. It is largely present in different vegetables including onions and broccoli, fruits such as apples, berry crops, and grapes. Quercetin is also found in some herbs, tea, and wine. Similarly to other polyphenols, quercetin displays pleiotropic properties as antioxidant and anti-inflammatory compound. Plant extract of quercetin is the principal ingredient of many potential anti-allergic drugs, supplements, and enriched products, being highly competent in inhibiting IL-8 action, suppressing IL-6 and intracellular calcium level increase (Mlcek et al., 2016). Retinas from streptozotocin-induced diabetic rats showed a remarkable increase of pro-inflammatory cytokines such as TNF- $\alpha$  and IL-1 $\beta$  and a considerable augmentation of AQP4 level, which could mediate the movement of water underlying the retinal edema (Kumar et al., 2014). Changes were accompanied with a significant reduction of retinal glutathione (GSH) and antioxidant enzymes [superoxide dismutase (SOD) and catalase (CAT)]. Oral administration of quercetin leads to an important neuroprotective effect which was characterized by impaired inflammatory cytokines production and release, restoration of GSH, SOD, and CAT levels and significant reduction of AQP4 in Müller cell endfeet and perivascular space with consequent decrease of the edema affecting the retina of diabetic rats. The observed amelioration of retinal edema was suggested as due to quercetin-dependent AQP4 downregulation on Müller cell endfeet and perivascular space. Another orthodox AQP water channel, AQP5, was found to be involved in the beneficial action of quercetin in attenuating the damaged salivary secretion induced in a murine model of impaired salivation by radiation exposure (Takahashi et al., 2015). Quercetin upregulated AQP5 expression and calcium uptake and suppressed the oxidative stress and inflammatory responses induced by the radiation exposure. Increased AQP5 levels were also observed in a mouse model of influenza A virus (IAV)-induced lung injury where AQP5 lung increased after treatment with flavonoid extracts from the Lamiaceae plant Mosla scabra (Yu et al., 2016a). The lung AQP5 modulation by the flavonoid was interpreted as a way to restore the normal water permeability alleviating the IAV-induced pulmonary inflammation and apoptosis.

AQP3, 5, 8, and 9 have been reported to facilitate the transmembrane diffusion of hydrogen peroxide in mammalian cells (Almasalmeh et al., 2014; Rodrigues et al., 2016; Watanabe et al., 2016). This is an important aspect since cellular oxidative stress can interfere with water permeability. In HeLa cells, the role of AQPs as target of antioxidant compounds acting on the osmotic water diffusion in the presence of oxidative stress condition has recently been studied (Pellavio et al.,

2017). Quercetin appeared to modulate water transport and acted as antioxidant by increasing the expression of AQP3 and AQP8 (together with that of AQP1 and AQP11) at both mRNA and protein level. Particularly, with quercetin, the water permeability decrease caused by oxidative stress was prevented or restored. Furthermore, quercetin significantly reduced the hydrogen peroxide content to levels even lower than those of control cells. Thus, regulation of AQPs gating by antioxidant compounds like quercetin could represent a novel mechanism to modulate exogenously cell signaling and survival during stress, acting on key signaling pathways in cancer and degenerative diseases (see Tamma et al., 2018 for a review).

Hesperetin is a flavanone isolated from several fruits and highly expressed in the Citrus species. This flavonoid has different biological properties, including antioxidant, anti-inflammatory, and anticancer effects (Ahmadi et al., 2015; Bodduluru et al., 2015). Its beneficial actions have been showed in different organs including liver, heart, and kidney (Roohbakhsh et al., 2015; Miler et al., 2016). Neuroprotective effects have also been reported, in particular in the treatment of diabetic retinopathy where inflammation, oxidative stress, and neurovascular disorders are involved. Streptozotocin-induced diabetic rats receiving hesperetin for 24 weeks showed restoration of retinal levels of GSH associated to a positive modulation of antioxidant enzyme activities. An inhibition of caspase-3 activity and expression of GFAP was also seen along with reduced inflammatory cytokines (Kumar et al., 2013). Treatment with hesperetin was accompanied by downregulation of AQP4 at Müller cell endfeet and consequent reduction of the edematous state indicating modulation of AQP-associated water permeability.

Alpinetin is a flavanone isolated from the seed of Alpinia katsumadai (Zingiberaceae). The compound is widely used in Korean traditional medicine (Lee et al., 2012). Alpinetin has been found to control cell signaling pathways at the base of cell growth, proliferation, and apoptosis (Wang et al., 2013). Alpinetin also causes vasorelaxation (Wang et al., 2001) and counteracts the hydrogen peroxide-induced vascular smooth muscle cell proliferation and migration (Li and Du, 2004). In lipopolysaccharide (LPS)-induced lung injury, alpinetin prevented the LPS-induced TNF-α, IL-6, and IL-1B release and alleviated the inflammatory associated lung hystopathological alterations (Hou et al., 2009). Considerable AQP1 downregulation, a condition negatively correlated with pulmonary edema, has been described in acute lung injury (ALI) and, associated with severe acute pancreatitis (SAP). Interestingly, alpinetin has been found to inhibit TNF-α expression, promote human pulmonary microvascular endothelial cell proliferation and increase the expression level of AQP1 thereby improving the SAP-induced ALI symptoms (Liang et al., 2016). Overall, these findings propose alpinetin as possible therapeutical tool against lung inflammation diseases.

Naringenin is a natural flavonoid widely found in citrus fruits and tomatoes, that has been reported to act as anti-inflammatory, anti-atherogenic, anti-mutagenic, hepatoprotective, and anticancer agent (Yin et al., 2018). Naringenin relieved the loperamide-induced constipation by targeting AQP3. It is known that AQPs are primarily expressed in the mucosal epithelial

cells in the colon, in which AQP3 plays a central role in water reabsorption across colonic surface cells. Yin et al. found that naringenin increased the mRNA and protein expression levels of AQP3 in the colon, both in apical and lateral mucosal epithelial cells. Furthermore, a positive correlation was observed between this increase in the AQP3 level and the increase in fecal water content (Yin et al., 2018). As also shown for quercetin, the antioxidant effects elicited by naringenin in HeLa cells have been recently related to its capacity to facilitate hydrogen peroxide elimination through AQPs. These observations would strengthen the role of AQPs as physiologic modulators of hydrogen peroxide diffusion in mammalian cells (Pellavio et al., 2017).

Liquiritigenin belongs to the chiral flavanone family and is an important compound extracted from Glycyrrhiza uralensis and found in a variety of plants, including Glycyrrhiza glabra (licorice). Liquiritigenin possesses kinds of healthy properties antidiabetes, antioxidation, anti-inflammation, cardioprotection, and neuroprotection (Hosseinzadeh and Nassiri-Asl, 2015). As regarding the mechanisms, the inhibition of NF-κB and MAPK signaling pathways would be at basis of its antioxidant effects. Liquiritigenin has been shown to exhibit renal protective effects in the animal model of potassium oxonate-induced hyperuricemia, as well, by targeting the AQPs (Hongyan et al., 2016). AQP4 would play an important role in inflammatory responses involving the kidney, also by the regulation of endoplasmic reticulum stress. In the hyperuricemic rat, liquiritigenin has been able to suppress the activation of renal AQP4/NF-κΒ/ΙκΒα signaling and nod-like receptor protein 3 (NLRP3) inflammasome, resulting in renal inflammation reduction. These findings would suggest that liquiritigenin could act as a potential drug for the treatment of hyperuricemia and renal injury by targeting the AQPs system.

Epigallocatechin gallate (EGCG) is a flavonol esterified with gallic acid mainly found in the green tea Camellia sinensis L. EGCG accounts for more than 50% of total green tea polyphenols. This phenolic compound and/or its metabolites exert cardioprotection, neuroprotection, renal protection, osteoprotection, and anticancer actions. Beneficial effects have also been shown in diseases with metabolic disorders such as obesity, metabolic syndrome and type 2 diabetes (Afzal et al., 2015). At a molecular level, EGCG promotes the expression and the activity of several anti-oxidant and anti-inflammatory enzymes. EGCG is also proved to counteract the activation of Toll-like receptor 4 (TLR4) (Marinovic et al., 2015) and nuclear factor-κB (NF-κB) (Albuquerque et al., 2016), pathways associated with the production of inflammatory cytokines. In a mouse model of Sjögren syndrome, treatment with EGCG increased the abundance of AQP5 at the apical plasma membrane of the acinar cells. AQP5 expression resulted upregulated by mechanisms leading to protein kinase A (PKA) activation and NF-κB inhibition (Saito et al., 2015). Conversely, by means of other pathways, EGCG was found to downregulate the expression of AQP5 in the ovarian cancer cell line SKOV3. The lower abundance of AQP5 was suggested to counteract the tumor growth through NF-κB activation (Yan et al., 2012). Considerable decrease of the spinal cord water content was seen in a work employing a rat model of acute SCI where EGCG was administered immediately following the injury (Ge et al., 2013). The anti-edema action exerted by EGCG was ascribed to the marked reduction of spinal cord AQP4 induced by the EGCG administration.

#### Chalcones

Chalcones are a variety of aromatic ketones, precursors of flavonoids, and isoflavonoids. They are abundant in edible plants and their derivatives have been reported to have an extremely wide variety of biological activities (i.e., anti-bacterial, anti-fungal, anti-neoplastic, anti-inflammatory, anti-diabetic, anti-obesity, immunosuppressant actions) depending on the substitution made on them (Mahapatra et al., 2015).

Phloridzin, one of the most characterized bioactive chalcones, is also a competitive inhibitor of the isoforms 1 and 2 of the sodium glucose cotransporter (SGLT1 and SGLT2, respectively) as it competes with D-glucose in binding the carrier. This action leads to a decrease in glucose absorption and reabsorption by the small intestine and renal proximal tubules, respectively, lowering the glucose level in the blood. However, phloridzin is not an effective drug because when orally consumed, it is nearly entirely converted into phloretin and glucose by hydrolytic enzymes in the small intestine.

Phloretin, an abundant chalcone in apples, is a protective agent with anti-oxidative stress and anti-inflammatory actions (Aliomrani et al., 2016). Phloretin is also known for gating the aquaglyceroporins by inhibiting the AQP-mediated transport of glycerol and urea (Tsukaguchi et al., 1999; Calamita et al., 2008, 2012; Rodriguez et al., 2014), a metabolic intermediate substrate of gluconeogenesis and triacylglycerols (TAG) synthesis (Calamita et al., 2012), and a variety of urea transporters and urea-conducting AQPs such as AQP3, AQP7, and AQP9 (Shayakul et al., 2001; Fenton et al., 2004). AQP9-facilitated urea extrusion out of liver was evoked to explain the loss of urea and diuresis that characterizes mice submitted to high protein diet. This function played by liver AQP9 can be blocked by phloretin (Jelen et al., 2012). It is tempting to think that the antiinflammatory action exerted by phloretin may also involve the inhibition of AQP9, an AQP that has been suggested to play a role in inflammation (Matsushima et al., 2014).

#### **Stilbenes**

Stilbenes belong to the family of phenylpropanoids and are better known as stilbenoids, their hydroxylated derivatives. The most studied stilbenoid is resveratrol, a compound having numerous health benefits.

Trans-resveratrol is a natural hydroxystilbene found in a variety of edible plants including grapes, blueberry, raspberry, and senna leaves. Research on the biological actions of resveratrol on human health has focused on cancer, neurodegenerative, and cardiovascular diseases, and metabolic disorders. At molecular level, resveratrol plays pleiotropic effects including inhibition of kinases, anti-inflammatory, analgesic and anticancer activities, and detoxification, by inhibiting the aryl hydrocarbon and dioxin receptor (AhR), (de Medina et al., 2005). AhR stimulation causes oxidative stress, inflammation, apoptosis and immunosuppression, and is associated with an increased

risk of osteoporosis, cancers and metabolic disorders such as diabetes (Zollner et al., 2010). As a polyphenol, resveratrol can also act as tyrosine kinases inhibitor and as a modulator of the mitogen activated protein kinase/extracellular signal-regulated kinase 1/2 (MEK-ERK1/2), mitogen-activated protein kinases (MAPK), activator protein 1 (AP-1), and NF-kB pathways in different tissues (Yu et al., 2001; Gao et al., 2004; Kundu et al., 2004). Resveratrol has been reported to influence the gene expression of various AQPs (see Cataldo et al., 2017 for a review). In human keratinocytes, AQP3 has been found to play a pivotal role in skin hydration, although overexpression of AQP3 is also linked with hyperplastic epidermal disorders (Nakahigashi et al., 2011). In normal human epidermal keratinocytes (NHEKs), treatment with resveratrol reduced cell proliferation and the expression of AQP3, the major skin AQP. Particularly, AQP3 downregulation appeared to be secondary to ERK signaling inhibition via upregulation of both Sirtuin 1 (SIRT1) and aryl hydrocarbon receptor AhR (Wu et al., 2014). These novel findings may be important to drug design and development against hyperproliferative skin disorders.

Cerebral ischemia-reperfusion (I/R) is associated with a strong increase of ROS production and brain edema. Resveratrol exerts a beneficial action by modulating the activity of SOD and reducing the iNOS and AQP4 expression levels (Li et al., 2015). More recently, functionalized AQP4 antibody nanoparticles were synthesized to deliver resveratrol in rat optic nerve transection. These engineering nanoparticles displayed high efficacy in reducing oxidative damage and AQP4 immunoreactivity thus preserving the visual function (Lozić et al., 2016).

#### Isoflavonoids

The isoflavonoids genistein and daidzein are important components of *Leguminosae*. Based on their chemical structure they function as phytoestrogens displaying anti-tumor features. These phytocompounds play an important role in modulating the genes involved in controlling cell-cycle progression.

Genistein and daidzein are considered the "sharp edge of balance" between cell survival and cell progression because they control the activation or the inhibition of pivotal signal molecules such as NF-κB. Importantly, they also play a role in reversion of epigenetic events associated with prostate cancer (Adjakly et al., 2013). The bioavailability of these compounds is strongly influenced by gut microbiota, antibiotic administration and individual's age and health status (Franke et al., 2014). A work addressing the effects of lifelong dietary isoflavone on estrogen sensitive tissues was carried out studying the effects of genistein and daidzein on rat uterus (Möller et al., 2010). The effect of genistein either alone or in association with daidzein was compared to that of isoflavone-free diet in rats throughout their whole lifetime. The dietary isoflavone pre-exposure resulted in a much stronger uterine weight increase following external ERαmediated estrogenic stimuli than that seen in the phytoestrogenfree group. Gene expression analysis showed that the uterine levels of AQP1, and, at a lesser extent, those of AQP3, AQP5, and AQP9, were increased by ovary estrogens. This modulation was considerably influenced by the isoflavone-containing diets, likely by an epigenetic mechanism. Lifelong dietary isoflavone ingestion was suggested to increase the uterine responsiveness to  $ER\alpha$ -mediated estrogenic stimuli in female rats where the water homeostasis was highly affected whereas the proliferation rate remained unchanged.

Puerarin is a flavonoid glycoside that is extracted from the root of the leguminous plants Pueraria lobata and Thomson Kudzuvine Root. Puerarin displays a series of beneficial activities on hangover, cardiovascular disease, osteoporosis, neurological dysfunction (ischemic stroke, cerebrovascular disease) fever, and liver injury both in clinical treatment and experimental research (Wang et al., 2018). In addition to inhibit inflammation, protective effects elicited by puerarin against cerebral damage would be related to modulation of AQP4 function. Hence, AQP4, which can be mainly found in the primate and rodent perivascular astrocyte end feet, would play a role not only in water movement, but also, as previously reported, in neuroinflammation and brain edema. AQP4 expression would be increased by pro-inflammatory factors like TNF-α. Furthermore, increased phosphorylation of MAPK (p38, ERK1/2, c-Jun Nterminal kinase 1/2) would participate in AQP4 regulation in astrocytes exposed to the inflammatory cytokines released by microglia under the condition of hypoxia, too. Various interventions have been performed to prevent or to treat people rapidly ascending to high altitude. Currently, traditional Chinese medicine has been used to prevent or treat symptoms although the specific mechanisms are still a matter of debate. In rats undergone hypobaric hypoxia, puerarin was able to elicit protective effects against cerebral edema by inhibiting the increase of AQP4 through inhibition of TNF-α release and by counteracting the activation of NF-κB and MAPK pathway (Wang et al., 2018).

#### **CAPSAICINOIDS**

Capsaicin is the most representative compound of a group of phytochemicals called capsaicinoids also including dihydrocapsaicin, nordihydrocapsaicin, and some other compounds such capsinoids. In chili peppers, capsaicin, a phenolic amide, gives the sensation of spiciness by acting through the transient receptor potential vanilloid-1 (TRPV1). TRPV1 is a non-selective permeable cation channel expressed in brain, bladder, kidneys, intestines, keratinocytes of epidermis, glial cells, liver, and polymorphonuclear granulocytes, mast cells, and macrophages (Reyes-Escogido Mde et al., 2011). In tumor cells, capsaicin inhibits cell growth and promotes apoptosis by increasing the intracellular calcium concentration and ROS levels, disrupting mitochondrial membrane transition potential and activating NF-κβ transcription factor (Chapa-Oliver and Mejia-Teniente, 2016). In addition, capsaicin stimulates the phosphorylation of p53 at serine-15 and its acetylation through downregulation of SIRT1. Altogether, these posttranslational modifications lead to apoptosis (Ito et al., 2004). Similarly to anti-obesity, anti-diabetic, and anti-inflammatory compounds, capsaicin plays multiple roles in nociceptive heat sensation. Capsaicin can activate sympathetic system to induce brown adipose tissue thermogenesis (Saito, 2015).

Capsaicin upregulated TRPV1 and AQP5 in rabbit salivary glands (**Table 2**). Specifically, in transplanted rabbit submandibular gland cells, capsaicin upregulated and stimulated the translocation of AQP5 from an intracellular pool to the plasma membrane via TRPV1 signaling and ERK phosphorylation (Ding et al., 2010; Zhang et al., 2010). This finding may provide a new therapeutic tool to stimulate submandibular gland hypofunction.

#### **TERPENES**

Terpenes are a large and diverse class of organic compounds derived biosynthetically from units of isoprene. They are produced by a variety of plants and even some insects. Terpenoids are compounds related to terpenes characterized by an isoprenoid chemical structure as they may include some oxygen functionality or some rearrangement. Terpenoids are present in a great variety of fruits, vegetables and medicinal plants, representing the largest and most diverse class of chemicals among the myriad of compounds produced by plants. Terpenoids are used extensively for their aromatic qualities and play a role in traditional herbal remedies. The terms terpenes and terpenoids are often used interchangeably. As many other bioactive phytocompounds, terpenes, and terpenoids have been reported to influence the expression of AQPs (Table 2).

#### **Monoterpenoids**

Carvacrol (or cymophenol) is a monoterpenoid extracted from many plants of the Lamiaceae family. Carvacrol has been reported to exert neuroprotective effects in central nervous system diseases such as AD and cerebral ischemia (Zhong et al., 2013). Modulation of brain AQP4 was reported in a preclinical study employing a bacterial collagenase-induced ICH murine model to address the effect of the monoterpenoid on cerebral edema after ICH. Administration of carvacrol improved the neurological deficits following ICH by significantly reducing cerebral edema and AQP4 in perihematomal area (Zhong et al., 2013). It was suggested that carvacrol exerts its protective effect on ICH injury by ameliorating AQP4-mediated cerebral edema

Marrubiin (MARR) is a terpenoid abundantly found in many Lamiaceae species (i.e., Marrubium vulgare, Plomis bracteosa, Leonotis nepetifolia). MARR is a compound featuring high stability and limited catabolism, two properties favoring its bioavailability: MARR is reported to exert cardioprotective, vasorelaxant, gastroprotective, and antidiabetic effects (Popoola et al., 2013). Antioxidant action involving AQPs has been reported in a recent work where pre- or post-treatment of heat-stressed HeLa cells with MARR prevented or reversed, respectively, the intracellular  $H_2O_2$  accumulation induced by the heat (Pellavio et al., 2017).

#### **Triterpenes**

Bacopasides are triterpene saponins isolated from the medicinal plant Bacopa monnieri. Recently, two structurally related bacopaside compounds, bacopaside I and bacopaside II, have been shown to block differentially the transport activity

of AQP1, a finding that fitted with predictions made by *in silico* molecular modeling (Pei et al., 2016). When tested in migration assays using HT29 and SW480 cells, two colon cancer cell lines characterized by high and low expression levels of AQP1, respectively, both bacopasides impaired migration of HT29 cells showing minimal effect on migration of SW480 cells. Based on these results bacopasides were suggested as possible novel lead compounds for pharmaceutical development of selective AQPs blockers in cancer treatment.

The triterpenoid  $18\beta$ -glycyrrhetinic acid ( $\beta$ -GA) is a natural compound derived from Glycyrrhiza glabra (licorice) root shown to exert antiviral, antitumor and immunosuppressive effects. Studies using rat models of nasal mucosa of allergic rhinitis (AR) showed that intranasal administration of  $\beta$ -GA downregulates AQP1 together with that of eotaxin 1 (CCL11) and eosinophil (EOS) in nasal mucosa of allergic rhinitis rats and cast effects on inhibiting the progress of AR (Li et al., 2015).

Glycyrrhizic acid, a triterpenoid also known as glycyrrhizin, is the main sweet-tasting constituent of licorice root. Based on its inhibiting effect on liver cell injury glycyrrhizin is used for the treatment of chronic viral hepatitis and cirrhosis (van Rossum et al., 1998). Glycyrrhizin is also employed to prevent disease progression in subjects with acute onset autoimmune hepatitis (Yasui et al., 2011). Enoxolone, the aglycone of the glycyrrhizic acid, is used to prevent liver carcinogenesis in patients with chronic hepatitis C. Glycyrrhizin was reported to influence the renal functions by modulating AQP2 and AQP3, two AQPs expressed in the principal cells of the renal distal tubules and collecting ducts where they mediate the antidiuretic hormone (ADH)-induced water reabsorption (Kang et al., 2003). Using a rat model of gentamicin-induced acute renal failure the protective effects of glycyrrhizin downregulated AQP2 in the inner and outer renal medulla, and cortex (Sohn et al., 2003) suggesting that this triterpenoid involves renal AQPs in its beneficial action on renal function.

Ginsenoside Rg1 (Rg1) is a triterpenoid saponin known as one of the main compounds harvested from ginseng with pharmaceutical action and potential neuroprotective properties, empirically used in traditional Chinese medicine to treat stroke (Xie et al., 2015). A study using a rat model of cerebral ischemia/reperfusion showed that the neuroprotective effect of Rg1 against blood-brain-barrier disruption involves downregulation of brain AQP4 expression (Zhou et al., 2014).

Ginsenoside Rg3 (Rg3), a triterpene saponin, is one of the bioactive extracts contained in ginseng root. Rg3 has been shown to have anticancer activity in various cancer models. Thus, in the highly metastatic prostate cancer cell line PC-3M, treatment with Rg3 was found to lead to a remarkable inhibition of cell migration. In particular, exposure of PC-3M cells to Rg3 suppressed the expression of AQP1, an AQP with a role in cell migration. The anti-metastatic effect of Rg3 was found to occur through the p38 MAPK pathway and some transcription factors acting on the Aqp1 gene promoter (Pan et al., 2012).

TABLE 2 | Modulation of AQPs by non-polyphenolic phytocompounds and related beneficial effects.

Phytochemical	Functional derivative	Modulated AQP	Beneficial effect	References
Capsaicinoids	Capsaicin	Submandibular salivary gland AQP5 ↑	Amelioration of submandibular salivary gland hypofunction (rabbit model)	Ding et al., 2010; Zhang et al., 2010
Monoterpenoids	Carvacrol	Brain AQP4 ↓	Reduction of ICH-induced brain edema (mouse model)	Zhong et al., 2013
	Marrubin	HeLa cells AQP(ND)	Hydrogen peroxide elimination (in vitro)	Pellavio et al., 2017
Triterpenes	Bacopasides I and II	AQP1 (inhibition)	Reduction of cancer cell migration (in vitro)	Pei et al., 2016
	18β-glycyrrhetinic acid (β-GA)	Nasal mucosa AQP1 ↓	Treatment against allergic rhinitis (rat model)	Li et al., 2015
	Glycyrrhizic acid	Renal AQP2 ↓	Protection against renal failure (rat model)	Sohn et al., 2003
	Ginsenoside Rg1	Brain AQP4 ↓	Protection against brain ischemia (rat model)	Zhou et al., 2014
	Ginsenodise Rg3	AQP1↓	Anti-metastatic effect (in vitro)	Pan et al., 2012
Isothiocyanates	Sulphoraphane	AQP4 ↑	Reduction of brain edema (rat model)	Zhao et al., 2005
Tetrahydroanthracene	(R)-Aloesaponol III 8 methyl ether	HeLa cells AQP(ND)	Hydrogen peroxide elimination (in vitro)	Pellavio et al., 2017

Arrows: ↑, upregulation; ↓, downregulation; ND, specific AQP homolog to be defined.

#### SULPHORAPHANE

Sulphoraphane (SUL) is a chemical belonging to isothiocyanates, a group of organosulfur compounds. SUL was identified in broccoli sprouts, which, of the cruciferous vegetables have the highest concentration of this compound (Zhang et al., 1992). Among the reported beneficial effects sulforaphane appears to be a promising phytochemical with neuroprotective properties (Tarozzi et al., 2013).

Using a rodent controlled cortical impact injury model of traumatic brain edema it was observed that post-injury administration of SUL counteracted AQP4 loss in the contusion core and further increased the protein levels of this water channel in the penumbra region compared with injured animals receiving vehicle. This increase in AQP4 expression was accompanied by a significant amelioration of cerebral edema (Zhao et al., 2005). It was suggested that the reduction of the edema in response to SUL administration could be ascribed to water clearance through AQP4 from the injured brain.

#### **TETRAHYDROANTHRACENES**

Tetrahydroanthracenes are polycyclic aromatic phytocompounds deriving from the phytochemical hydrogenation of anthracene in *Liliaceae*. This reaction is influenced by light exposition in roots of *Aloe* plants and tetrahydroanthracenes are markers of subterranean anthranoid metabolism. These molecules are used mainly to treat parasitic

infections with potential anti-oxidant properties because of their chemical structure.

(R)-Aloesaponol III 8-methyl ether. The (R)-Aloesaponol III 8-methyl ether (ASME) was extracted for the first time from Eremurus persicus root but it is contained in other plants such as Aloe saponaria, Kniphonia foliosa, Eremurus chinensis, and others. ASME is a tetrahydroanthracene known for having biological activity against Leishmania infection. Like the terpenoid MARR, ASME improved the HeLa cells membrane permeability by restoring the AQP-mediated cellular extrusion of H<sub>2</sub>O<sub>2</sub> contributing to the reduction in oxidative stress (Pellavio et al., 2017). However, further work is needed to better investigate this preliminary observation since the specific AQP channel responsible for the ASME-induced efflux of H<sub>2</sub>O<sub>2</sub> out of the cells is still elusive.

#### **BIOACTIVE EXTRACTS**

The beneficial effects of a number of plants are likely due to their original composition and the synergistic action played by the different bioactive phytochemicals they contain rather than to a unique active compound. Here, we review bioactive extracts from plants whose healthy effects have been reported to involve modulation of AQPs (**Table 3**).

Heliotropium indicum is a plant belonging to family of Boraginaceae used in folk medicine in many countries. In Ghana, extracts of H. indicum are employed as a remedy against cataract formation without any scientific evidence. The

**TABLE 3** | Modulation of AQPs by bioactive extracts and related beneficial effects.

Bioactive extract	Modulated AQP	Beneficial effect	References
Extracts of Heliotropium indicum	Eye AQP0 ↑	Alleviation of cataract (rat model)	Kyei et al., 2015
Extracts of aged garlic (S-allylmercapto-l-cysteine)	SPC-A1 cell line AQP5 ↑	Anti-inflammatory properties in COPD	Yang et al., 2016
Extracts of Chinese herbs (Isotetrandrine)	Eye AQP4	Amelioration of auto-immune disorders in NMO	Sun et al., 2016

Arrow: \(\phi\), upregulation.

extract of this plant contains an original composition showing numerous bioactive compounds with antibacterial, antitumor, anti-inflammatory and diuretic activities. In a rat model of selenite-induced cataract development, the aqueous total extract of *H. indicum* significantly alleviated cataract at all dose levels tested (0.1–3.0 mg/ml) preserving AQP0, an AQP highly expressed in fiber cells of lenses, and other markers of lens transparency proteins (Kyei et al., 2015).

Garlic has shown versatile therapeutic action in the prevention and treatment of pathologies such as chronic obstructive pulmonary disease (COPD). However, the specific garlic bioactive component underlying this medicinal activity remains elusive. Viscous COPD mucus secretions have been explained also due to a down-regulation to which AQP5, an AQP water channel highly expressed in lungs, undergoes. Interestingly, *S-allylmercapto-l-cysteine* (SAMC), one of the bioactive phytochemicals found in aged garlic, improved the LPS-induced mucus secretion of a COPD cell model, the human airway submucosal gland cell line (SPC-A1), up-regulating AQP5 and mucin 5AC (MUC5AC) via NF- $\kappa$ B signaling pathway (Yang et al., 2016).

Isotetrandrine is a biscoclaurine alkaloid, a bioactive compound isolated from traditional Chinese herbs known for reducing the astrocyte cytotoxicity in the neurological autoimmune disorder such as neuromyelitis optica (NMO).

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This phytochemical was reported to be a small molecule inhibitor of NMO-IgG binding to AQP4 without impairing the expression and water channel activity of AQP4 (Sun et al., 2016).

## CONCLUSIONS AND FUTURE PERSPECTIVES

Foods are enriched with myriads of bioactive phytocompounds, and research on their effects on human health is a quickly growing field. The recent recognition that AQPs are among the targets of food phytochemicals paves the way to potentially novel therapeutic options dealing with prognosis and cure of highly prevalent diseases (i.e., metabolic disorders, cancer, neurological diseases, and inflammatory chronic diseases). While the molecular mechanisms by which phytocompounds modulate the expression or gating (i.e., by phloretin, curcumin, and bacopaside II) of AQPs remains to be fully ascertained, there are no doubts that the list of functional food ingredients and herbal phytochemicals influencing AQPs is far from complete. Studies are also warranted to confirm in humans the results that have been obtained in vitro or with the use of rodent models also taking into account the gender dimorphism that may exist in terms of AQP expression and localization (Rodríguez et al., 2015). It should also be considered that bioactive phytocompounds are often not active per se, while their metabolites are. Thus, new and potentially important translational acquisitions are anticipated in the near future, in this exciting field.

#### **AUTHOR CONTRIBUTIONS**

AT and EG contributed to article design, bibliographic search, writing, illustrations, and critical discussion. GT contributed to writing and bibliographic enrichment. CB provided critical discussion; PP contributed to writing and provided critical discussion; RM contributed to article design and writing, and provided critical discussion; GC designed and wrote the first draft of the article and contributed to article refinement, illustrations, bibliographic enrichment, and critical discussion. All authors approved the final version for submission.

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## Mechanisms of Aquaporin-Facilitated Cancer Invasion and Metastasis

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Cancer is a leading cause of death worldwide, and its incidence is rising with numbers expected to increase 70% in the next two decades. The fact that current mainline treatments for cancer patients are accompanied by debilitating side effects prompts a growing demand for new therapies that not only inhibit growth and proliferation of cancer cells, but also control invasion and metastasis. One class of targets gaining international attention is the aquaporins, a family of membrane-spanning water channels with diverse physiological functions and extensive tissue-specific distributions in humans. Aquaporins-1,-2,-3,-4,-5,-8, and-9 have been linked to roles in cancer invasion, and metastasis, but their mechanisms of action remain to be fully defined. Aquaporins are implicated in the metastatic cascade in processes of angiogenesis, cellular dissociation, migration, and invasion. Cancer invasion and metastasis are proposed to be potentiated by aquaporins in boosting tumor angiogenesis, enhancing cell volume regulation, regulating cell-cell and cell-matrix adhesions, interacting with actin cytoskeleton, regulating proteases and extracellular-matrix degrading molecules, contributing to the regulation of epithelial-mesenchymal transitions, and interacting with signaling pathways enabling motility and invasion. Pharmacological modulators of aquaporin channels are being identified and tested for therapeutic potential, including compounds derived from loop diuretics, metal-containing organic compounds, plant natural products, and other small molecules. Further studies on aquaporin-dependent functions in cancer metastasis are needed to define the differential contributions of different classes of aquaporin channels to regulation of fluid balance, cell volume, small solute transport, signal transduction, their possible relevance as rate limiting steps, and potential values as therapeutic targets for invasion and metastasis.

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#### INTRODUCTION

#### Aquaporins

Aquaporins (AQPs) are a family of water channels that also include a subset of classes shown to mediate transport of glycerol, ions, and other molecules (Li and Wang, 2017). The first aquaporin to be cloned, aquaporin-1 (AQP1), was identified in red blood cells and renal proximal tubules (Denker et al., 1988; Preston and Agre, 1991). In the *Xenopus laevis* expression system, introduced AQP1 channels enabled high osmotic water flux across the plasma membrane as compared to non-AQP control oocytes (Preston et al., 1992), explaining the mechanism enabling rapid transmembrane passage of water in certain

types of cells. To date, 15 classes of aquaporin genes have been identified in mammals (AQP0-AQP14), with AQPs 13 and 14 found in older lineages of mammals (Metatheria and Prototheria) (Ishibashi et al., 2009; Finn et al., 2014; Finn and Cerda, 2015). The first 13 aquaporins (AQP0-AQP12) have been divided into categories based on functional properties (Li and Wang, 2017). One comprises the classical aquaporins (AQP0,-1,-2,-4,-5,-6,-8), which were thought initially to transport only water, though some also transport gases, urea, hydrogen peroxide, ammonia, and charged particles (Ehring and Hall, 1988; Preston et al., 1992; Fushimi et al., 1993; Hasegawa et al., 1994; Raina et al., 1995; Ma et al., 1996, 1997a; Chandy et al., 1997; Ishibashi et al., 1997b; Yasui et al., 1999; Anthony et al., 2000; Nakhoul et al., 2001; Bienert et al., 2007; Herrera and Garvin, 2011; Almasalmeh et al., 2014; Rodrigues et al., 2016). A second category consists of the aquaglyceroporins (AQP3,-7,-9, and-10), which are permeable to water and glycerol, with some also exhibiting urea, arsenite, and hydrogen peroxide permeability (Ishibashi et al., 1997a, 1998, 2002; Yang and Verkman, 1997; Liu et al., 2002; Lee et al., 2006; Rojek et al., 2008; Miller et al., 2010; Watanabe et al., 2016). A possible third category consists of AQP11 and AQP12, distantly related paralogs with only 20% homology with other mammalian AQPs (Ishibashi, 2009), which appear to carry both water and glycerol (Yakata et al., 2011; Bjørkskov et al., 2017). The permeability of AQP11 to glycerol could be important for its function in human adipocytes, in which it is natively expressed (Madeira et al., 2014). Aquaporins assemble as homo-tetramers, with monomers ranging 26-34 kDa (Verkman and Mitra, 2000). In most AQPs, each monomer is composed of six transmembrane domains and intracellular amino and carboxyl termini, with highly conserved asparagine-proline-alanine (NPA) motifs in cytoplasmic loop B and in extracellular loop E (Jung et al., 1994). The NPA motifs in loops B and E contribute to a monomeric pore structure that mediates selective, bidirectional, single-file transport of water in the classical aquaporins (Sui et al., 2001), and water and glycerol in aquaglyceroporins (Jensen et al., 2001).

Intracellular signaling processes regulate AQP channels by altering functional activity, intracellular localization, and levels of expression in different cells and tissues. For example, the peptide hormone vasopressin regulates excretion of water in the kidney by augmenting water permeability of collecting duct cells. Vasopressin induces phosphorylation of AQP2 (Hoffert et al., 2006), stimulating the reversible translocation of AQP2 from intracellular vesicles to the apical plasma membrane (Nielsen et al., 1995). Guanosine triphosphate (GTP) stimulates AQP1-induced swelling of secretory vesicles in the exocrine pancreas (Cho et al., 2002), with functional implications in pancreatic exocrine secretions. Additionally, AQP1 ion channel activity is activated by intracellular cGMP (Anthony et al., 2000), and phosphorylation of Y253 in the carboxyl terminal domain regulates responsiveness of AQP1 ion channels to cGMP (Campbell et al., 2012). Given the diverse array of functional properties, mechanisms of regulation, and tissuespecific distributions being discovered for aquaporins, it is not surprising that different classes of aquaporins (AQP-1,-2,-3,-4,-5,-8, and -9) have been implicated specifically in the complex steps associated with cancer invasion and metastasis (**Table 1**), suggesting specialized roles for these channels have been arrogated into the pathological processes.

#### **Cancer Invasion and Metastasis**

Cancer is a leading cause of death worldwide, accounting for 8.2 million deaths in 2012 (Ferlay et al., 2015). The incidence of cancer is rising steadily in an aging population, with numbers expected to increase 70% in the next two decades (Ferlay et al., 2015). Current treatments involve chemotherapy, radiation therapy, and surgery (Miller et al., 2016), associated with an array of side effects including nausea (Koeller et al., 2002), impaired fertility and premature menopause (Howard-Anderson et al., 2012; Wasilewski-Masker et al., 2014), painful neuropathy (Gamelin et al., 2002; Rivera and Cianfrocca, 2015), increased risk of cardiovascular disease (Monsuez et al., 2010; Willemse et al., 2013), and loss of bone density (Gralow et al., 2013). Inhibiting proliferation remains the primary focus of cancer treatments, although the predominant cause of death is cancer metastasis (Yamaguchi et al., 2005; Spano et al., 2012). Less devastating cancer therapies might be achievable via a combination of strategies that not only inhibit proliferation, but also control metastasis of tumor cells from their primary site to distant organs (Friedl and Wolf, 2003). Cancer cell migration through the body exploits pathways including blood stream, lymphatic system, and transcoelomic movement across body cavities (Wyckoff et al., 2000; Pepper et al., 2003; Tan et al., 2006). The hierarchical nature of the metastatic cascade suggests it should be vulnerable to intervention at multiple levels including angiogenesis, detachment of cells from the primary tumor, and infiltration of dissociated tumor cells into and out of circulatory pathways via intravasation and extravasation, respectively (Figure 1). AQPs that serve as rate-limiting steps in the metastatic cascade should have substantial value as prognostic markers and pharmacological targets for treatments.

#### **ANGIOGENESIS**

Both cancer invasion and metastasis are enhanced by angiogenesis. Angiogenesis, activated in response to inadequate oxygen perfusion, triggers extracellular matrix breakdown; endothelial cell proliferation, differentiation, and migration; and recruitment of periendothelial cells (Clapp and de la Escalera, 2006) which form discontinuous layers around vessels and exert developmental and homeostatic control (Njauw et al., 2008). Under physiological conditions, angiogenesis is seen in the proliferative phase of the menstrual cycle (Demir et al., 2010), development of fetal and placental vasculature (Demir et al., 2007), and skeletal muscle following physical activity (Egginton, 2009). In pathological scenarios such as tumorigenesis, tissue hypoxia stimulates the formation of new vasculature, enabling tumors to better obtain nutrients, exchange gases, and excrete waste (Nishida et al., 2006). Folkman et al. (1966) showed that tumors up to 2 mm in diameter could survive via passive diffusion from surrounding tissue; but angiogenesis was essential for support of larger tumors.

**TABLE 1** | Key roles of AQPs involved in cancer invasion and metastasis.

AQP	Permeable to:	Key physiological role(s)	Cancer(s) up-regulated	Key role(s) in cancer invasion and metastasis
AQP1	Water (Preston et al., 1992), monovalent cations (Anthony et al., 2000), CO <sub>2</sub> (Nakhoul et al., 1998), H <sub>2</sub> O <sub>2</sub> (Almasalmeh et al., 2014), NO (Herrera et al., 2006), and NH <sub>3</sub> (Nakhoul et al., 2001)	Water reabsorption in proximal tubule of the kidney for concentrating urine (Ma et al., 1998; Schnermann et al., 1998) Secretion of aqueous fluid from ciliary epithelium in the eye, and cerebrospinal fluid from the choroid plexus (Zhang et al., 2002; Oshio et al., 2005) Perception of thermal inflammatory pain and cold-induced pain (Zhang and Verkman, 2010)	Glioma (Saadoun et al., 2002a; El Hindy et al., 2013), mammary carcinoma (Endo et al., 1999), lung adenocarcinoma (Hoque et al., 2006), colorectal carcinoma (Moon et al., 2003), hemangioblastoma (Chen et al., 2006), and multiple myeloma (microvessels) (Vacca et al., 2001)	Upregulated in response to tumor tissue hypoxia. Enables recruitment of new tumor vasculature by enhancing endothelial cell migration Polarizes to leading and trailing edge of migrating cell, and enhances tumor cell migration and invasion by enabling rapid membrane protrusion formation via cell volume regulation and interaction with cytoskeletal dynamics Enhances mesenchymal stem cell migration via FAK and β-catenin pathways Might contribute to EMT Possible interaction with ECM-degrading proteases
AQP2	• Water (Fushimi et al., 1993)	Water reabsorption in collecting duct of the kidney to concentrate urine (Rojek et al., 2006)	Endometrial carcinoma (Zou et al., 2011)	Enables "traction" for migrating cell by contributing to the regulation and recycling of focal adhesion proteins (e.g., integrin)     Necessary in estradiol-induced invasion and adhesion of endometrial carcinoma cells, through reorganization of F-actin
AQP3	Water (Echevarria et al., 1994), glycerol, urea (Ishibashi et al., 1994), H <sub>2</sub> O <sub>2</sub> (Miller et al., 2010), arsenite (Lee et al., 2006), and NH <sub>3</sub> (Holm et al., 2005)	Water reabsorption in collecting duct of the kidney to concentrate urine (Ma et al., 2000) Skin hydration (Ma et al., 2002) Skin wound healing (Hara-Chikuma and Verkman, 2008a)	Lung cancer (Liu et al., 2007), hepatocellular carcinoma (Guo et al., 2013), gastric cancer (Shen et al., 2010), prostate cancer (Hwang et al., 2012), oesophageal and oral squamous cell carcinoma (Kusayama et al., 2011), colorectal carcinoma (Moon et al., 2003), skin squamous cell carcinoma (Hara-Chikuma and Verkman, 2008b), ovarian cancer (Ji et al., 2008), pancreatic cancer (Direito et al., 2017), and breast cancer (Mobasheri and Barrett-Jolley, 2014)	<ul> <li>Upregulated by EGF, and contributes to EGF-induced EMT and cancer migration</li> <li>Contributes to chemokine-dependent cancer migration via enabling H<sub>2</sub>O<sub>2</sub> influx and its downstream cell signaling</li> <li>Interacts with ECM-degrading proteases</li> <li>Might enhance tumor cell migration and invasion via regulation of cell protrusion formation</li> </ul>
AQP4	Water (Hasegawa et al., 1994)	Water reabsorption in collecting duct of the kidney to concentrate urine (Ma et al., 1997b) Transport of water into and out of the brain and spinal cord via blood-brain barrier (Manley et al., 2000) Neuroexcitation (Binder et al., 2006) Enables astrocyte cell migration following injury (Saadoun et al., 2005b)	Glioma (Saadoun et al., 2002b) and meningioma (Ng et al., 2009)	Co-localizes with ion channels at leading and trailing edges of migrating cancer cells Enhances tumor cell migration and invasion by enabling rapid membrane protrusion formation via cell volume regulation and interaction with cytoskeletal dynamics  Might interact with ECM-degrading proteases
AQP5	Water (Raina et al., 1995) and H <sub>2</sub> O <sub>2</sub> (Rodrigues et al., 2016)	Secretion of saliva (Ma et al., 1999) and airway mucus (Song and Verkman, 2001)	Prostate cancer (Li et al., 2014), chronic myelogenous leukemia (Chae et al., 2008a), colorectal carcinoma (Wang et al., 2012), hepatocellular carcinoma (Guo et al., 2013), lung cancer (Chae et al., 2008b), cervical cancer (Zhang et al., 2012), pancreatic cancer (Direito et al., 2017), and breast cancer (Jung et al., 2011)	Promotes EMT Co-localizes with ion channels at leading and trailing edges of migrating cancer cells Enhances tumor cell migration and invasion by enabling rapid membrane protrusion formation via cell volume regulation  Might interact with EGFR/ERK1/2 signaling pathway
AQP8	• Water, urea (Ma et al., 1997a), H <sub>2</sub> O <sub>2</sub> (Bienert et al., 2007), and NH <sub>3</sub> (Holm et al., 2005; Saparov et al., 2007)	Canalicular bile water secretion (Calamita et al., 2005)     Colonic water reabsorption (Yamamoto et al., 2007)	Cervical cancer (Shi et al., 2012, 2014)	Not yet known

(Continued)

#### TABLE 1 | Continued AQP Permeable to: Key physiological role(s) Cancer(s) up-regulated Key role(s) in cancer invasion and metastasis AQP9 Overexpression might correspond with Water urea (Ishihashi et al. 1998) Hepatic glycerol uptake and Glioblastoma (Fossdal et al. glycerol (Tsukaguchi et al., 1998), metabolism for glucose 2012), astrocytoma (Tan et al., reduced EMT and growth in hepatocellular arsenite (Liu et al., 2002), and H<sub>2</sub>O<sub>2</sub> production (Kuriyama et al., 2008), prostate cancer (Chen (Watanabe et al., 2016) 2002; Rojek et al., 2007; et al., 2016) • Might interact with ERK1/2 and MMP9 to Maeda et al., 2009) enhance prostate cancer invasion and · Route for excretion of arsenic migration by the liver (Carbrev et al. 2009) and modulates arsenic sensitivity in leukemia (Bhattacharjee et al., 2004; Leung et al., 2007)

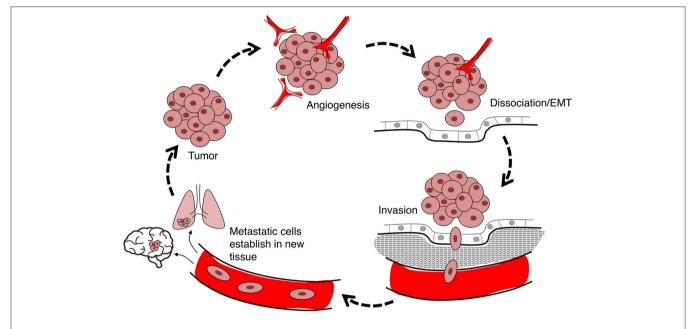


FIGURE 1 | Flow diagram summarizing the steps in cancer metastasis. Metastasis involves the migration of cells from the primary tumor to distant organs. Large tumors with tissue hypoxia rely on angiogenesis for vascular exchange of nutrients and waste. Primary tumor cells undergo phenotypic changes including loss of cell-cell adhesions which enables cells to dissociate from primary tumor, invade the adjacent extracellular matrix (ECM), and intravasate into the blood or lymph systems. Circulating tumor cells extravasate to seed secondary sites at which the process can reoccur.

AQP1, expressed in peripheral vascular endothelial cells, is involved in tumor angiogenesis (Nielsen et al., 1993; Endo et al., 1999; Saadoun et al., 2002a; El Hindy et al., 2013; Verkman et al., 2014). AQP1 knock-down in chick embryo chorioallantoic membrane resulted in a dramatic inhibition of angiogenesis (Camerino et al., 2006). Saadoun et al. (2005a) found AQP1-deficient mice exhibited reduced tumor growth and angiogenesis as compared to wild type, following subcutaneous or intracranial B16F10 melanoma cell implantation. Their work showed AQP1-null endothelial cells from mouse aorta had reduced motility as compared to wild-type, suggesting AQP1 was needed to facilitate cell migration for angiogenesis. Monzani et al. (2009) confirmed a reduced migration capacity in human microvascular endothelial cells (HMEC-1) after AQP1 knockdown by siRNA. AQP1 mRNA and protein levels are increased in response to tissue hypoxia (Kaneko et al., 2008; Abreu-Rodríguez et al., 2011). AQP1 facilitates hypoxia-induced angiogenesis by enhancing endothelial cell migration.

Angiogenesis is regulated by growth factors such as vascular endothelial growth factor (VEGF), which stimulates endothelial cell proliferation and angiogenesis in response to hypoxia (Suzuki et al., 2006), through processes that could augment AQP1 activity indirectly. Pan et al. (2008) found a positive correlation between levels of AQP1 expression, intratumoral microvascular density, and VEGF in endometrial adenocarcinoma. Similarly, AQP1 gene deletion correlated with reduced VEGF receptor expression in mouse primary breast tumor cells (Esteva-Font et al., 2014), and knockdown of AQP1 in human retinal vascular endothelial cells with concurrent inhibition of VEGF caused an additive inhibition of hypoxia-induced angiogenesis (Kaneko et al., 2008). However, application of VEGF-neutralizing antibodies did not alter AQP1 expression (Kaneko et al., 2008), and levels of VEGF in primary breast tumors were not different between AQP1-null and wild-type mice (Esteva-Font et al., 2014), supporting the idea that VEGF is regulated independently of AQP1 expression or activity.

Other angiogenic factors, such as hypoxia-inducible factor 1-alpha (HIF-1α), induce AQP1 expression in low oxygen conditions (Abreu-Rodríguez et al., 2011). The AQP1 gene promoter carries a HIF-1α binding site which drives AQP1 expression in response to hypoxia in cultured human retinal vascular endothelial cells (HRVECs) (Tanaka et al., 2011), and involves phosphorylation of p38 mitogen-activated protein kinase (MAPK) (Tie et al., 2012). Estrogen signaling also targets the promoter region of the AQP1 gene to increase transcription, inducing enhanced tubulogenesis of vascular endothelial cells as a model for angiogenesis (Zou et al., 2013). In summary, AQP1 is upregulated by angiogenic factors in response to hypoxia, and necessary for endothelial cell migration and angiogenesis. Therapies aimed at blocking transcriptional activation of AQP1 could impede cancer angiogenesis, if the treatment could be spatially limited to the tumor site without impacting normal cell functions.

# CELLULAR DISSOCIATION AND EPITHELIAL-MESENCHYMAL TRANSITION

Epithelial-mesenchymal transition (EMT) occurs in normal physiological conditions such as implantation, embryogenesis, and organ development, as well as pathological processes such as cancer invasion and metastasis (Vićovac and Aplin, 1996; Thiery, 2002). During EMT, polarized epithelial cells undergo biochemical changes to adopt a mesenchymal phenotype, characterized by a loss of cell polarity, reduced cell-cell adhesiveness, and enhanced invasive capacity (Thiery, 2002, 2003; Cavallaro and Christofori, 2004; Kalluri and Weinberg, 2009; van Zijl et al., 2011). Epithelial cadherin (E-cadherin), a transmembrane glycoprotein, enables calciumdependent tight adhesions between epithelial cells and links to cytoskeletal elements (Angst et al., 2001; Alizadeh et al., 2014). Downregulation of E-cadherin is a hallmark feature of EMT (Cano et al., 2000; Chua et al., 2007; Korpal et al., 2008). EMT in cancer is induced by signals from the tumorassociated stroma, including epidermal growth factor (EGF), platelet-derived growth factor (PDGF), hepatocyte-derived growth factor (HGF), and transforming growth factor beta (TGF-β) (Miettinen et al., 1994; Pagan et al., 1999; Lo et al., 2007; Kong et al., 2009; Xu et al., 2009). These signals stimulate transcription factors such as SNAI1 (SNAIL), SNAI2 (SLUG), zinc finger E-box binding homeobox 1 (ZEB1), Mothers against decapentaplegic homolog 2 (SMAD-2) and Twist, which are all E-cadherin transcription repressors (Yang et al., 2004; Medici et al., 2008).

Classes of aquaporins such as AQP3 have been implicated in the EMT process. AQP3 up-regulation in response to EGF in colorectal, gastric, and pancreatic cancers, is associated with augmented cell migration, invasion, and metastasis (Huang et al., 2010; Liu et al., 2012; Li et al., 2013). In gastric cancer, EGF-induced AQP3 upregulation enhances

the mesenchymal transformation (Chen et al., 2014). Chen et al. (2014) determined that mRNA and protein levels of vimentin and fibronectin (proteins associated with mesenchymal phenotype) were significantly increased in cells with high levels of AQP3 expression but decreased in AQP3-deficient cells. Conversely, E-cadherin expression was significantly lower in cells with high AQP3 and increased in AQP3-knockdown cells. The mechanisms for AQP3-facilitated pancreatic and colorectal cancer cell migration have not yet been determined. It will be interesting to investigate whether AQP3 promotes EMT in these cancers.

In addition to AQP3, AQPs 1, 4, 5, and 9 also have been linked to EMT in different types of cancer cells. In lung adenocarcinoma cells, AQP1 overexpression correlated with the down-regulation of E-cadherin, and up-regulation of vimentin (Yun et al., 2016). AQP4 knockdown in human breast cancer was associated with increased levels of E-cadherin, and in glioma cells with increased β-catenin (involved in actin reorganization and cell-cell adhesion) and connexin-43 (a gap junction protein that contributes to cell-cell signaling and adhesion) (Ding et al., 2011; Li Y. et al., 2016), suggesting AQP4 might enhance cell detachment from primary tumors. However, opposing evidence showed knockdown of AQP4 in primary human astrocytes correlated with down-regulation of connexin-43 (Nicchia et al., 2005); and transfection of wild type AQP4 into glioma cell lines caused enhanced adhesion (McCoy and Sontheimer, 2007). In primary glial cells, AQP4 expression levels had no appreciable effect on cell-cell adhesion under the conditions tested (Zhang and Verkman, 2008). In human non-small cell lung cancer cells (NSCLCs), AQP5 increased invasiveness; conversely, expression of AQP5 mutant channels lacking membrane targeting signals or the S156 phosphorylation site did not augment invasiveness (Chae et al., 2008b). Overexpression of AQP5 in NSCLCs was associated with a reduction in epithelial cell markers such as E-cadherin, αcatenin, and y-catenin, and an increase in mesenchymal cell markers such as fibronectin and vimentin, concomitant with a mesenchymal change in morphology. Similarly, AQP3 and AQP5 overexpression in pancreatic ductal adenocarcinoma is accompanied by downregulation of E-cadherin and upregulation of vimentin (Direito et al., 2017). The invasion-promoting properties of AQP5 expression appear to depend on the c-Src signaling pathway, a potent trigger of EMT (Guarino et al., 2007; Chae et al., 2008b). High AQP5 expression correlated with an increase in phosphorylated SMAD2, promoting EMT in colorectal cancer, whereas AQP5 silencing was associated with a down-regulation of phosphorylated SMAD2, and a repressed EMT response (Chen et al., 2017). AQP9 is downregulated in hepatocellular carcinoma; overexpression corresponds to reduced growth and EMT, thus reducing cancer invasion and metastasis (Li C. F et al., 2016; Zhang et al., 2016). Evidence suggests that AQPs have different effects depending on the type of cancer. Moreover, the state of cancer progression, environmental factors, and the types of assays used will be complicating factors; nevertheless, AQPs have clear potential as diagnostic and prognostic biomarkers, and as therapeutic targets for modulation of EMT, cell-cell adhesion, and dissociation phases of cancer progression.

#### INVASION AND CELL MIGRATION

Cell migration involves the translocation of individual and collective groups of cells through fluid or tissues, relevant for survival in multicellular and single-celled organisms (Klausen et al., 2003; Friedl et al., 2004). Migration enables physiological morphogenesis, immunity, and tissue repair (Friedl et al., 2004; Friedl and Weigelin, 2008). In most mammalian cells, migration is highest during development and morphogenesis and decreases after terminal differentiation. In pathological circumstances such as cancer, migration machinery can be reactivated. AQPs-1,-3,-4, and-5,-8, and-9 are known to contribute to cancer cell migration and invasion. Translocation of cancer cells can be initiated by chemokines released from host tissues, and growth factors such as EGF secreted by stromal cells (Dittmar et al., 2008; Roussos et al., 2011).

AQP3 has been suggested to increase EGF-induced cancer growth and migration by mediating H<sub>2</sub>O<sub>2</sub> flux (Miller et al., 2010; Hara-Chikuma et al., 2016). H<sub>2</sub>O<sub>2</sub> is known as an oxidative stressor, but is also a second messenger in cell proliferation, differentiation and migration (Thannickal and Fanburg, 2000; Rhee, 2006). AQP3 knockdown in skin and lung cancer cell lines reduced EGF-induced H2O2 influx, and attenuated EGF signaling cascades (Hara-Chikuma et al., 2016), reducing migration and growth. H<sub>2</sub>O<sub>2</sub> also influenced chemokine-dependent migration of T-cells and breast cancer cells (Hara-Chikuma et al., 2012; Satooka and Hara-Chikuma, 2016). AQP1,-3,-5,-8, and-9 have all been suggested to transport H<sub>2</sub>O<sub>2</sub> (Bienert et al., 2007; Miller et al., 2010; Almasalmeh et al., 2014; Rodrigues et al., 2016; Watanabe et al., 2016). All of these classes also have been linked with cancer cell migration (Hu and Verkman, 2006; Shi et al., 2013; Li et al., 2014; Chen et al., 2015; Zhang et al., 2016); however, H<sub>2</sub>O<sub>2</sub> transport has thus far been linked only to AQP3 as a control mechanism in cancer cell migration. Further work might show H<sub>2</sub>O<sub>2</sub> transport in other classes of AQPs regulates cell motility and invasion.

#### **Polarization**

Key molecular and cellular events involved in cell migration can be classified into five inter-dependent stages, which are polarization, protrusion, cell-matrix adhesion, extracellular matrix (ECM) degradation and retraction (Figure 2). Cell polarization provides functionally specialized domains in the membrane and cytoplasm (Drubin and Nelson, 1996), typified by asymmetric distributions of organelles, signaling mechanisms, and membrane channels, transporters and receptors (Swaney et al., 2010). In movement, changes in cell polarization generate leading and trailing edges, predominantly regulated by small GTPases such as CDC42 (Johnson and Pringle, 1990; Allen et al., 1998), which controls the recruitment of partitioning-defective (PAR) proteins, atypical protein kinase C (aPKC), and actin polymerization machinery (Etienne-Manneville and Hall, 2003; Goldstein and Macara, 2007). AQPs-1,-4,-5,

and–9 have been shown to show polarized localization at the leading edges of migrating cells. Specific co-distributions with ion transporters such as the  $\mathrm{Na^+/H^+}$  exchanger, the  $\mathrm{Cl^-/HCO_3^-}$  exchanger, and the  $\mathrm{Na^+/-HCO_3}$  co-transporter, suggest sophisticated mechanisms for regulation of fluid influx and efflux (Loitto et al., 2002; Verkman, 2005; Hara-Chikuma and Verkman, 2006; Papadopoulos et al., 2008; Stroka et al., 2014), potentially driving membrane protrusions for cell locomotion (Schwab et al., 2007).

#### **Protrusion**

A migrating cell extends its leading edge into the ECM by assembling a branched network of intracellular actin filaments, predicted to yield a physical force that dynamically pushes the membrane out, alternating with relaxation and actin depolymerization (Wang, 1985; Theriot and Mitchison, 1991; Pollard and Borisy, 2003). Membrane expansion requires the vesicle fusion to support the increase in surface area (Bretscher and Aguado-Velasco, 1998; Pierini et al., 2000; Fletcher and Rappoport, 2010). Three types of protrusions found in motile cells are lamellipodia, filopodia, and invadopodia. Lamellipodia are broad, flat, actin-rich protrusions that extend in the direction of locomotion and provide a foundation on which the cell moves forward (Cramer et al., 1997). Filopodia are long, thin protrusions of the membrane thought to be exploratory, "sensing" the local environment (Mattila and Lappalainen, 2008). Lamellipodial and filopodial formations are modulated by small GTPases in the Rho family, such as Rac1 and CDC42 (Ridley et al., 1992; Allen et al., 1997; Hall, 1998; Machesky, 2008), which stimulate actin polymerization in response to growth factor (Hall, 1998) and integrin receptor activations (Price et al., 1998). Interestingly, AQP9-facilitated water flux appears to critical for filopodial protrusion formation in fibroblasts, via the CDC42 pathway (Loitto et al., 2007). The Arp2/3 (actin-related protein 2/3) complex regulates the formation of new actin filaments in migrating cancer cells, and is regulated by Scar/WAVE complex (otherwise known as WANP), which interacts with the small GTPase Rac1 for lamellipodial assembly (Ibarra et al., 2005). Invadopodia are actin-rich, matrix-degrading protrusions that appear when ECM degradation and cell adhesion are needed to create space for movement, involving proteases such as MMP2, MMP9, and MT1-MMP and src tyrosine kinase (Weaver, 2006). Changes in cell volume during protrusion are assumed to require rapid water flow (Condeelis, 1993), and could occur in part in response to osmotic gradients governed by ion transport and actin polymerization state (Diez et al., 2005; Disanza et al., 2005; Schwab et al., 2007).

AQPs at the leading edges of migrating cells are well positioned to facilitate cell volume changes and cytoskeletal modifications during protrusion formation (Monzani et al., 2009; Jiang and Jiang, 2010; Klebe et al., 2015; Wei and Dong, 2015; Pelagalli et al., 2016). AQP1 overexpression in B16F10 melanoma cells and 4T1 mammary gland tumor cells enhanced cell migration and lamellipodial width *in vitro*, and augmented metastasis in a mouse model (Hu and Verkman, 2006). AQP1 is proposed to enhance lamellipodial formation by increasing membrane osmotic water permeability (Verkman, 2005; Hu

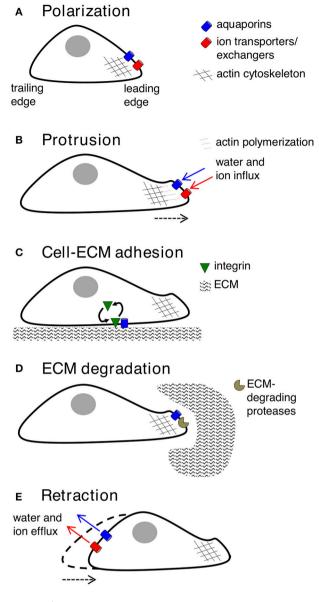


FIGURE 2 | Key contributions of aquaporins in cell migration. (A) Forward movement is preceded by establishing specialized loci within the cell, with redistribution of aquaporins, ion transporters/exchangers, and actin polymerization machinery to the leading edge. AQP-1,-4,-5, or-9 can be found on leading edges of migrating cancer cells. (B) Protrusions of the membrane might use water influx (down an osmotic gradient established by ion transporters/exchangers) and actin polymerization beneath the plasma membrane to dynamically push the membrane forward. AQP-1,-4, and-5 are implicated in water influx for protrusion extension in cancer cells: AQPs-1 and-4 also appear to interact with actin cytoskeleton. (C) Protrusions adhere to the ECM using integrin to generate "traction" for cellular movement. AQP2 might modulate turnover of integrin at adhesion sites, enabling forward cellular movement. (D) ECM degradation by enzymes can widen gaps through which the cell body can penetrate. AQP-1,-3,-4 and-9 are suggested to interact with ECM-degrading enzymes. (E) The final step is retraction of the cell trailing edge, thought to use aquaporins for water efflux following by K+ export.

and Verkman, 2006; Jiang, 2009), allowing water entry at the leading edge to impose hydrostatic pressure, drive membrane extension, and create space for actin polymerization. In addition

to water channel activity, AQP1 is also thought to be an ion channel, proposed to allow gated conduction of monovalent cations through the central tetrameric pore (Anthony et al., 2000; Yu et al., 2006). The dual water and ion conductance of AQP1 is essential for colon cancer cell migration *in vitro* (Kourghi et al., 2015). Conversely, in clinical cases of cholangiocarcinoma, high AQP1 expression has been correlated with low metastasis (Aishima et al., 2007; Sekine et al., 2016), suggesting that AQP1 might play different roles in different types of cancers.

Other classes of AQP water channels are not necessarily interchangeable with AQP1 in facilitating cell migration (McCov and Sontheimer, 2007), suggesting features of AQP1 other than simple osmotic water permeability are involved. AQP1-enhanced cell migration might also be due to interactions with cytoskeletal proteins. For example, Monzani et al. (2009) demonstrated that AQP1 knockdown dramatically impeded actin cytoskeletal organization in migrating human melanoma and endothelial cell lines via interaction with Lin-7/ $\beta$ -catenin. The Lin-7/ $\beta$ -catenin complex enables asymmetrical organization of filamentous actin (F-actin). AQP1 might act as a scaffolding protein at the leading edges. Jiang (2009) found that knocking down AQP1 was associated with re-localization of actin in migrating HT20 colon cancer cells, and a reduction in the activity of actin regulatory factors RhoA and Rac. A PDZ domain in Lin-7 could mediate interaction with rhotekin protein, which inhibits Rho GTPase signaling that is involved in cell migration, invasion, and cytoskeletal reorganization (Sudo et al., 2006). Rhotekin merits further evaluation in models of AQP1-dependent cytoskeletal organization.

A role for AQP4 in glioma cell migration has similarly been proposed to occur through regulation of cell volume and cytoskeletal interactions. Protein kinase C (PKC)-mediated phosphorylation of AQP4 at serine 180 correlated with a decreased glioma cell invasion (McCoy et al., 2010). AQP4facilitated glioma invasion is dependent on co-expression of chloride channels (ClC2) and the potassium-chloride cotransporter 1 (KCC1) in invadopodia, which could provide the ionic driving force for water efflux leading to cell shrinkage that could augment invasiveness through ECM (Mcferrin and Sontheimer, 2006; McCoy et al., 2010). AQP4 effects on actin cytoskeleton suggest a role for  $\alpha$ -syntrophin, interacting with the C-terminal domain of AQP4 at a PDZ-binding site (Neely et al., 2001). In human glioma and primary astrocytes, reduced AQP4 expression correlated with dramatic morphological elongation, reduced invasiveness, and impaired F-actin polymerization (Nicchia et al., 2005; Ding et al., 2011).

AQP5 facilitates protrusion formation, volume regulation, cell migration, and metastasis. AQP5 expression is correlated with cell invasiveness and metastasis of human prostate cancer (Li et al., 2014), lymph node metastasis in patients with colon cancer (Kang et al., 2015), and metastatic potential of lung cancer cells (Zhang et al., 2010). Moreover, Jung et al. (2011) showed that a shRNA-induced reduction in AQP5 expression in MCF7 breast cancer cells was associated with significantly reduced cell proliferation and migration. The mechanism of AQP5-facilitated cancer cell invasion and metastasis might be due to its direct or indirect interaction with the epidermal growth factor receptor/extracellular signal-regulated kinase (ERK1/2)

pathway (Kang et al., 2008; Zhang et al., 2010), known to be important in cancer metastasis and aggressiveness (Vicent et al., 2004). Additionally, AQP5 mediates lung cancer cell membrane osmotic water permeability, and has been suggested to contribute to cancer cell migration and invasion by enabling rapid cell volume regulation and subsequent protrusion formation (Chen et al., 2011). The complementary role of ion transport for migration in AQP5-expressing cells was supported by Stroka et al. (2014), who found that cell migration through physically confined spaces occurred despite block of actin polymerization and myosin contraction, but relied on co-expression of the Na<sup>+</sup>/H<sup>+</sup> exchanger with AQP5, supporting AQP5-induced cell volume regulation and its importance in cell motility.

AQP8 expression influences migration and invasion of cervical cancer cells, and AQP3 expression enhances pancreatic and colorectal cancer cell invasion and metastasis (Liu et al., 2012; Li et al., 2013; Shi et al., 2013). Further work is needed to investigate whether mechanisms of AQP3- and AQP8-facilitated cancer cell migration and invasion involve cell volume regulation, protrusion formation, cytoskeletal interaction, or other functional properties of the AQP channels that remain to be defined.

#### **Cell-Matrix Adhesion**

Cell-matrix adhesions, first observed in cultured fibroblasts, connect the extracellular matrix to the actin cytoskeleton (Curtis, 1964). During migration, contacts with substratum must form to facilitate extension, and must detach to allow forward displacement of the cell. Insufficient anchoring causes protrusions to collapse, leading to a "membrane ruffling" phenomenon (Vicente-Manzanares and Horwitz, 2011). Protrusions adhere to ECM via integrin receptors, in turn linked to intracellular actin filaments (Ridley et al., 2003). The extracellular binding of integrin receptors to ECM ligands initiates integrin clustering, and activates protein tyrosine kinases and small GTPases. The organization of actin cytoskeleton and cell polarity controls the positions of focal adhesions for cell locomotion (Geiger et al., 2001; Martin et al., 2002). Cell-matrix adhesions create the focal points for generation of traction to pull the cell forward over the substratum.

Classes of aquaporins (AQP1-4) have been shown to interact with adhesion molecules and to influence adhesive properties of migrating cells. Increased AQP1 in mesenchymal stem cells enhances migration by a mechanism involving  $\beta$ -catenin and the focal adhesion kinase (FAK) (Meng et al., 2014), which regulates integrin signaling at focal adhesion sites (Schaller et al., 1992; McLean et al., 2005; Zhao and Guan, 2011). Whether AQP1 and FAK also interact in cancer cell migration remains to be tested. AQP2 appears to promote cell migration by modulating integrin β1 at focal adhesion sites, by a mechanism thought to involve an arginine-glycine-aspartate (RGD) motif in the second extracellular loop of AQP2 (Chen et al., 2012). When AQP2 is absent, integrin β1 is retained at focal adhesion sites, delaying recycling of focal adhesions, thus reducing migration rate. AQP2 also enables estradiol-induced migration and adhesion of endometrial carcinoma cells by mechanisms involving annexin-2 and reorganization of F-actin (Zou et al., 2011). Knockdown of AQP3 in human esophageal and oral squamous cell carcinoma with siRNA correlated with reduced phosphorylation of FAK, impaired cell adhesion and cell death (Kusayama et al., 2011); these effects would be predicted to impair cancer cell migration. AQP4 expression has been suggested to enhance cell-matrix adhesion in cancer cells (McCoy and Sontheimer, 2007). More research is needed to identify the intracellular signaling mechanisms and to determine whether other AQP classes alter cell migration via modulation of cell adhesion.

#### **ECM Degradation**

Extracellular matrix degradation widens pathways through which cells can penetrate tissues, and reduces the distortion of the rounded cell body needed for physical progress (Brinckerhoff and Matrisian, 2002; Mott and Werb, 2004). Invadopodia sprout from leading edge filopodia, extending through tiny channels in the ECM, and adhere to ECM collagen fibers (Weaver, 2006; Friedl and Wolf, 2009). To accommodate displacement of the cell body, constraining ECM fibers are cleared by local proteolysis, using surface proteases such as zinc-dependent matrix metalloproteinases (MMP) and serine proteases (Nagase and Woessner, 1999; Netzel-Arnett et al., 2003; Wolf et al., 2007). AQPs-1,-3,-4, and-9 have been shown to interact with specific MMPs to facilitate ECM degradation and invasion.

In lung cancer cells, migration was facilitated by AQP1 expression, linked to expression of MMP2 and MMP9 (Wei and Dong, 2015). In gastric cancer cells (SGC7901), AQP3 levels were correlated with MMP2, MMP9, and MT1-MMP levels, and enhanced invasiveness via phosphoinositide 3-kinase signaling (Xu et al., 2011). Positive correlations between AQP3, MMP2, and MMP9 and cancer invasiveness also occur in lung cancer (Xia et al., 2014; Xiong et al., 2017). In prostate cancer, AQP3 expression is correlated with up-regulation of MMP3 via ERK1/2 signaling, with increased cell motility and invasion (Chen et al., 2015). In glioma, AQP4 levels correlated with migration and invasiveness in vitro and in vivo through a mechanism involving MMP2 (Ding et al., 2011). AQP9 upregulation in prostate cancer could enhance growth, migration, and invasion involving ERK1/2 signaling; reduced levels of phosphorylated ERK1/2 and MMP9 were observed in AQP9-deficient cell lines (Chen et al., 2016). These studies suggest one of the key components of AQPmediated facilitation of cancer cell invasion is the regulation of MMP proteases needed for degradation of ECM.

#### Retraction

Following integrin-ligand binding, cross-linking proteins such as myosin II contract the actin filament strands (Vicente-Manzanares et al., 2009), developing tension against the intact adhesion points (Chrzanowska-Wodnicka and Burridge, 1996). The final step in the cycle of cell movement is retraction of the trailing edge. A working model is that membrane tension opens stretch-activated  $\mathrm{Ca^{2+}}$  channels, activating calpain and triggering disassembly of focal adhesion proteins on the trailing edge, while concurrent  $\mathrm{K^{+}}$  efflux drives volume loss at the cell rear, resulting in detachment and net translocation along the substrate. In this model, the role of AQP channels is to facilitate osmotic water efflux in response to  $\mathrm{K^{+}}$  efflux (Huttenlocher et al., 1997; Palecek

et al., 1998; Schwab et al., 2007) presumably in parallel with electroneutral efflux of chloride ions.

#### AQP PHARMACOLOGY AND THERAPEUTIC IMPLICATIONS IN CANCER INVASION AND METASTASIS

Aquaporin pharmacological agents have attracted keen interest for their potential therapeutic uses in diseases involving impaired fluid homeostasis. Aquaporins in cancer metastasis are new translational targets for AQP modulators. Known and proposed inhibitors of AQPs include cysteine-reactive metals such as mercury (II) chloride (HgCl<sub>2</sub>) (Preston et al., 1993), goldbased compounds (Martins et al., 2013), carbonic anhydrase inhibitor acetazolamide (Ma et al., 2004a; Gao et al., 2006), and small molecule inhibitors such as tetraethylammonium (TEA<sup>+</sup>) (Brooks et al., 2000), although the small molecule blockers vary in efficacy between preparations. The pharmacological panel for AQPs has been expanding steadily, with new compounds being discovered around the world, including for example the University of Niigata, Japan (Huber et al., 2009), Radboud University, Netherlands (Detmers et al., 2006), the Faculty of Pharmacy, University of Lisbon, Portugal (Martins et al., 2012), the Institute of Food and Agricultural Research and Technology, Barcelona, Spain (Seeliger et al., 2012), the University of Adelaide, Australia (Niemietz and Tyerman, 2002; Yool, 2007), the University of Groningen, Netherlands (Martins et al., 2013), the University of Kiel, Germany (Wu et al., 2008), and others. This review focuses specifically on selected AQP pharmacological agents that to date have been tested in models of cancer cell migration and metastasis (Table 2).

#### **Acetazolamide and Topiramate**

Acetazolamide and topiramate are FDA-approved drugs that inhibit carbonic anhydrase. Acetazolamide at 100 µM was reported to inhibit water channel activity by 39% for AQP1 expressed in human embryonic kidney (HEK293) cells (Gao et al., 2006), and by 81% at 10 µM in the Xenopus oocyte expression system (Ma et al., 2004a). AQP4 activity was inhibited by 47% at 1,250 μM in proteoliposomes (Tanimura et al., 2009). However, acetazolamide (at doses up to 10,000 μM) did not block water flux in erythrocytes with native AQP1 expression, or epithelial cells transfected with AQP1 (Yang et al., 2006; Søgaard and Zeuthen, 2008). Acetazolamide inhibited angiogenesis in a chick chorioallantoic membrane assay, and tumor growth and metastasis in mice with Lewis lung carcinoma (Xiang et al., 2002, 2004), perhaps as a result of reduced AQP1 expression (Bin and Shi-Peng, 2011). Topiramate reduces Lewis lung carcinoma growth and metastasis, with effects similarly attributed to suppression of AQP1 expression (Ma et al., 2004b). It will be of interest to compare the effects of acetazolamide and topiramate on angiogenesis, tumor growth, and metastasis with those of AQP1 channel inhibitors.

#### **Tetraethylammonium**

TEA+ is an inhibitor of voltage-gated potassium channels, calcium-dependent potassium channels, the acetylcholine receptor, and it has also been shown to block AQP-1,-2, and-4 water permeability in *Xenopus laevis* oocytes and kidney derived cell lines (Brooks et al., 2000; Yool et al., 2002; Detmers et al., 2006). However, inhibition of AQP1 water permeability by TEA+ is variable, having been confirmed by some groups (Detmers et al., 2006), and challenged by others (Søgaard and Zeuthen, 2008). Yang et al. (2006) reported no block of water flux by TEA<sup>+</sup> in erythrocytes with native AQP1, or in epithelial cells transfected with AQP1, and suggested previous positive results might have been due to inhibition of K<sup>+</sup> channels and altered baseline cell volume; however, the observation that site-directed mutation of AQP1 altered TEA sensitivity (Brooks et al., 2000) ruled out this alternative explanation. TEA<sup>+</sup> block of AQP1 water permeability reduced cell migration and invasion in in vitro models of osteosarcoma and hepatocellular carcinoma (Pelagalli et al., 2016), with outcomes interpreted as consistent with action of TEA+ as a possible AQP1 inhibitor. However, given the variability in efficacy and cross-talk with other channels, TEA+ is not an ideal candidate for clinical development, although the targets causing the observed block of cancer cell migration and invasion might merit further investigation.

#### **Bumetanide Derivatives**

Bumetanide is a sulfamoylanthranilic acid derivative used clinically to increase diuresis by blocking sodium cotransporter activity at the loop of Henle in the nephron. Molecular derivatives of bumetanide have been synthesized and found to exhibit inhibitory effects on classes of AQP channels. For example, the bumetanide derivative AqB013 blocks osmotic water fluxes mediated by mammalian AQP1 and AQP4 channels expressed in Xenopus laevis oocytes (Migliati et al., 2009). The water channel blocker AqB013 was shown to inhibit endothelial tube formation and colon cancer cell migration and invasion in vitro (Dorward et al., 2016). Other bumetanide derivatives, AqB011 and AqB007, block the AQP1 ion conductance, but not water flux (Kourghi et al., 2015). In AQP1, the central tetrameric pore is thought to be permeable to monovalent cations, CO2, and NO (Nakhoul et al., 1998; Herrera et al., 2006; Yu et al., 2006; Musa-Aziz et al., 2009), although some work questioned AQP1-mediated CO<sub>2</sub> and cation transport properties (Yang et al., 2000; Fang et al., 2002; Tsunoda et al., 2004). An ionic conductance in AQP1-expressing Xenopus oocytes stimulated with forskolin was first reported in 1996 (Yool et al., 1996); however, the forskolin response proved to be inconsistent when repeated by other groups (Agre et al., 1997). Further work showed the forskolin effect was indirect; the direct regulation of the AQP1 cation conductance depended on cGMP binding (Anthony et al., 2000). The reason that AQP1 cation channels have low opening probability (Saparov et al., 2001) or are not detectable (Tsunoda et al., 2004) reflects the availability of AQP1 to be gated by cGMP, which depends on tyrosine phosphorylation status of the carboxyl terminal domain, suggesting the AQP1 ion channel function is highly regulated (Campbell et al., 2012). With the discovery of AQP1

TABLE 2 | Summary of AQP pharmacology used in cancer invasion and metastasis.

Molecule name	Molecular structure	AQP activity	Effect
TEA <sup>+</sup>	N <sub>+</sub>	• Inhibits AQP1, AQP2, and AQP4 water flux (Brooks et al., 2000; Yool et al., 2002; Detmers et al., 2006)	Inhibits osteosarcoma and hepatocellular carcinoma cell migration and invasion ( <i>in vitro</i> ) (Pelagalli et al., 2016)
Acetazolamide	H S %=0 N-N NH <sub>2</sub>	<ul> <li>Inhibits AQP1 and AQP4 water flux (Ma et al., 2004a; Tanimura et al., 2009)</li> <li>Suppresses AQP1 expression (Xiang et al., 2004)</li> </ul>	Inhibits angiogenesis and metastasis in Lewis lung carcinoma ( <i>in vivo</i> ) (Xiang et al., 2002, 2004)     Suppresses tumor growth in colon cancer ( <i>in vivo</i> ) (Bin and Shi-Peng, 2011)
Topiramate	O,, O S NH <sub>2</sub>	Suppresses AQP1 expression (Ma et al., 2004b)	Suppresses Lewis lung carcinoma growth and metastasis (in vivo) (Ma et al., 2004b)
AqB007	NH O NH <sub>2</sub>	Inhibits AQP1 ion flux (Kourghi et al., 2015)	Inhibits colon cancer cell migration (in vitro) (Kourghi et al., 2015)
AqB011	NH O O NH <sub>2</sub>	Inhibits AQP1 ion flux (Kourghi et al., 2015)	Inhibits colon cancer cell migration (in vitro) (Kourghi et al., 2015)
AqB013	NH O O NH <sub>2</sub>	Inhibits AQP1 and AQP4 water flux (Migliati et al., 2009)	Inhibits endothelial tube formation and colon cancer cell migration (in vitro) (Dorward et al., 2016)

(Continued)

TABLE 1 | Continued

### Molecule name Molecular structure **AQP** activity **Effect** Bacopaside I • Inhibits AQP1 water flux (Pei et al., • Inhibits colon cancer cell migration (in vitro) (Pei et al., 2016) • Inhibits EGF-induced AQP3 Curcumin Inhibits ovarian cancer upregulation (Ji et al., 2008) cell migration (in vitro) (Ji HO. H<sub>3</sub>CO et al., 2008) OCH<sub>3</sub> Bacopaside II • Inhibits AQP1 water flux (Pei et al., • Inhibits colon cancer cell 2016) migration (in vitro) (Pei et al., 2016) • Suppresses AQP1 expression (Pan Ginsenoside Rg3 Inhibits prostate cancer et al., 2012) cell migration (in vitro) (Pan et al., 2012)

ion blocking agents, AqB011 and AqB007, the physiological function of the ion channel activity could finally be addressed. When applied to AQP1-expressing HT29 colon cancer cells, these inhibitory compounds significantly reduced cancer cell motility (Kourghi et al., 2015), suggesting a physiological role of AQP1 ion conductance in cell migration. Mutation of the candidate binding site in the AQP1 intracellular loop D domain removed sensitivity to AqB011, showing that the inhibitory mechanism directly involved the AQP1 channel and could not readily be attributed to off-target actions on other channels or transporters (Kourghi et al., 2018). Another bumetanide derivative AqB050 was shown to inhibit mesothelioma cell motility and metastatic potential *in vitro*, but not *in vivo* (Klebe et al., 2015). The mechanism of action of AqB050 in blocking mesothelioma cell motility *in vitro* remains to be determined.

#### **Plant-Based Derivatives**

Plant-based derivatives that reduce cancer cell migration and invasion include agents that have also have been found to inhibit AQPs. Bacopa monnieri is a perennial herb native to the wetlands of India that is used in alternative medicinal therapies. Chemical constituents bacopaside-I and bacopaside-II, were shown to block AQP1 but not AQP4 water channels (Pei et al., 2016). Pei and colleagues also found that bacopaside-I and bacopaside-II attenuated migration of colon cancer cell lines expressing high levels of AQP1, but had no effect on lines with low AQP1, suggesting the inhibitory effects were AQP1specific. Ginsenoside Rg3 from a traditional Asian medicinal plant Panax ginseng is an intriguing candidate for possible antimetastatic therapies. Ginsenoside Rg3 inhibited prostate cancer cell migration and was associated with downregulation of AQP1 expression via the p38 MAPK pathway and transcription factors (Pan et al., 2012). Effects of Ginsenoside Rg3 directly on water channel activity, or on expression levels of other aquaporins, remain unknown. Curcumin is a naturally occurring ingredient in turmeric, used as therapeutic tool for pathologies including cancer (Gupta et al., 2013). Curcumin was found to inhibit EGF-induced upregulation of AQP3 and migration in human ovarian cancer cells, via inhibition of AKT/ERK and PI3K pathways (Ji et al., 2008); however, curcumin affects a number of biochemical pathways and might not be suited when AQPspecific modulation is required (Aggarwal et al., 2003). Research on the effects of curcumin in other cancers such as gastric cancer, in which EGF-induced AQP3 up-regulation occurs, might further understanding of the role of AQP3 in cell migration and invasion (Huang et al., 2010).

#### **Metal-Based Inhibitors**

Mercury has classically been used as an AQP1 inhibitor. In the human AQP1 monomer, the NPA motif in loop E is near cysteine 189, which is the site at which mercury inhibits osmotic water permeability (Preston et al., 1993). Lack of a cysteine in the corresponding position is consistent with mercury insensitivity in mammalian AQP4 (Preston et al., 1993). However, mercury is not a promising candidate for AQP-specific modulation or

therapeutic application due to its toxicity and non-specific side-effects. Metal-based inhibitors that have been tested in models of cancer include AQP3 inhibitors such as NiCl<sub>2</sub> (Zelenina et al., 2003) and CuSO<sub>4</sub> (Zelenina et al., 2004), which inhibited EGF-induced cell migration in human ovarian cancer cells. Auphen is a gold-based compound which, when administered at concentrations of 100  $\mu$ M, blocks AQP3 glycerol transport by 90%, and water transport by 20% in human red blood cells (Martins et al., 2012). Auphen also blocks proliferation in various mammalian cell lines, including human epidermoid carcinoma, by inhibiting AQP3 glycerol transport (Serna et al., 2014). This merits more research into the importance of AQP3-facilitated glycerol transport in cancer invasiveness, and whether gold-based compounds such as auphen can also be used to suppress cancer invasion and metastasis.

#### CONCLUSION

Aquaporin-dependent mechanisms serve as key steps throughout the process of metastasis, in angiogenesis, cellular dissociation, cell migration and invasion. AQPs-1,-2,-3,-4,-5,-8, and-9 contribute to one or more processes, generally potentiating cancer invasion and metastasis by boosting tumor angiogenesis, enhancing cell volume regulation, regulating cell-cell and cellmatrix adhesions, interacting with the actin cytoskeleton, regulating proteases and ECM degrading molecules, contributing to the regulation of epithelial-mesenchymal transition in cancer cells, and interacting with specific signaling pathways important in cancer cell motility and invasions. Pharmacological agents for aquaporin channels have therapeutic promise for improving cancer treatment, and include derivatives of bumetanide, organic metal compounds, plant medicinal agents, and other small molecule compounds. Although conflicting evidence has been raised for some compounds, there is nevertheless a compelling need to continue identifying novel candidates for AQP-specific modulators relevant not only for the treatment of cancer, but other pathological conditions. In conclusion, although much remains to be defined for molecular mechanisms in cancer invasion and metastasis, the roles of AQP channel function in cancer progression will inspire new therapeutic targets for improving treatment of malignant and invasive carcinomas.

#### **AUTHOR CONTRIBUTIONS**

MD: wrote the manuscript; AY: reviewed and edited the manuscript.

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# Identification of Loop D Domain Amino Acids in the Human Aquaporin-1 Channel Involved in Activation of the Ionic Conductance and Inhibition by AqB011

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Aquaporins are integral proteins that facilitate the transmembrane transport of water and small solutes. In addition to enabling water flux, mammalian Aguaporin-1 (AQP1) channels activated by cyclic GMP can carry non-selective monovalent cation currents, selectively blocked by arylsulfonamide compounds AqB007 (IC<sub>50</sub> 170 µM) and AqB011 (IC<sub>50</sub> 14 μM). In silico models suggested that ligand docking might involve the cytoplasmic loop D (between AQP1 transmembrane domains 4 and 5), but the predicted site of interaction remained to be tested. Work here shows that mutagenesis of two conserved arginine residues in loop D slowed the activation of the AQP1 ion conductance and impaired the sensitivity of the channel to block by AqB011. Substitution of residues in loop D with proline showed effects on ion conductance amplitude that varied with position, suggesting that the structural conformation of loop D is important for AQP1 channel gating. Human AQP1 wild type, AQP1 mutant channels with alanines substituted for two arginines (R159A+R160A), and mutants with proline substituted for single residues threonine (T157P), aspartate (D158P), arginine (R159P, R160P), or glycine (G165P) were expressed in Xenopus laevis oocytes. Conductance responses were analyzed by two-electrode voltage clamp. Optical osmotic swelling assays and confocal microscopy were used to confirm mutant and wild type AQP1-expressing oocytes were expressed in the plasma membrane. After application of membrane-permeable cGMP, R159A+R160A channels had a significantly slower rate of activation as compared with wild type, consistent with impaired gating. AQP1 R159A+R160A channels showed no significant block by AqB011 at 50 μM, in contrast to the wild type channel which was blocked effectively. T157P, D158P, and R160P mutations had impaired activation compared to wild type; R159P showed no significant effect; and G165P appeared to augment the conductance amplitude. These findings provide evidence for the role of the loop D as a gating domain for AQP1 ion channels, and identify the likely site of interaction of AqB011 in the proximal loop D sequence.

Keywords: major intrinsic protein, MIP, AQP1, water channel, non-selective cation channel, cyclic GMP, arylsulfonamide

#### INTRODUCTION

Aquaporins (AQPs) are a diverse family of channels for water and solutes, classified as major intrinsic proteins (MIPs) (Benga et al., 1986; Agre et al., 1993; Reizer et al., 1993). In mammals, classes of AQPs are differentially expressed in endothelial, epithelial and other cell types, and comprise key components of mechanisms for fluid homeostasis in single cells, barrier tissues, and organs (Nielsen et al., 1993; Boassa and Yool, 2005; Hachez and Chaumont, 2010). Some classes of aquaporin channels have been found shown to transport molecules other than water across the cell membrane, including glycerol, ammonia, urea, protons, as well as CO<sub>2</sub> and O<sub>2</sub> gases (Madeira et al., 2014; Kitchen et al., 2015), and ions (Yool, 2007; Yool and Campbell, 2012).

Aquaporin ion channel functions have been described for multiple members of the MIP family. Recent work has shown that a plant aquaporin channel (AtPIP2;1) serves as a nonselective cation channel that is sensitive to Ca<sup>2+</sup> and pH (Byrt et al., 2016), addressing a mystery regarding the molecular basis of a Ca<sup>2+</sup>-inhibited leak current known to be involved in environmental stress responses of roots (Demidchik and Tester, 2002). The insect aquaporin Big Brain (BIB) channel in Drosophila (Yanochko and Yool, 2002) and mammalian lens MIP (AQP0) have been characterized as ion channels (Zampighi et al., 1985; Ehring et al., 1990); their importance of these channels is evident from the consequences of genetic knockouts resulting in impaired nervous system development (Rao et al., 1992) and cataract formation (Berry et al., 2000), respectively. However the precise roles of their ion channel activities in cell signaling and development remain to be determined.

Controversy on the role of AQP1 as an ion channel, first proposed in 1996 (Yool et al., 1996), stemmed from a paradigm which stated AQP1 was nothing but a water channel (Tsunoda et al., 2004). An extensive body of work published since has shown: (i) AQP1 is a dual water and cation channel with a unitary conductance of 150 pS under physiological conditions, permeable to Na<sup>+</sup>, K<sup>+</sup>, and Cs<sup>+</sup>, and gated by the binding of cGMP at the intracellular loop D domain (Anthony et al., 2000; Yu et al., 2006). (ii) AQP1 carries water through the individual intra-subunit pores, whereas cations pass through the central pore of the tetramer (Yu et al., 2006; Campbell et al., 2012). (iii) Single channel activity of natively expressed AQP1 is selectively lost after small interfering knockdown of AQP1 expression (Boassa et al., 2006). (iv) The availability of AQP1 to be activated as an ion channel is regulated by tyrosine kinase phosphorylation of the carboxyl terminal domain (Campbell et al., 2012). (v) AQP1 ion channel properties are altered by site-directed mutagenesis of the central pore domain, which changes the cationic selectivity of the current, and creates a gain-of-function blocking site by Hg2+ via introduction of a cysteine residue at the extracellular side (Campbell et al., 2012). (vi) Mutations of the carboxyl terminal domain of hAQP1 alter the efficacy of cGMP in activating the ionic conductance (Boassa and Yool, 2003). (vii) Molecular dynamic simulations confirmed it was theoretically feasible to move Na<sup>+</sup> ions through the AQP1 central pore and identified the cytoplasmic loop D domain as involved in gating of the ion channel; mutation of key loop D residues impaired ion channel activation without preventing water channel activity (Yu et al., 2006).

The ability to change specific ion channel properties of activation, ion selectivity, and block using site-directed mutations of the AQP1 amino acid sequence have provided convincing evidence that AQP1 directly mediates the observed ionic current (Anthony et al., 2000; Boassa and Yool, 2003; Yu et al., 2006; Campbell et al., 2012). The alternative suggestion that responses were due to unidentified native ion channels translocated into the membrane along with AQP1 was ruled out by these studies, which showed that the altered ion channel functions associated with mutations of AQP1 did not prevent normal assembly and plasma membrane expression of AQP1 channels as evidenced by immunolabeling, western blot, and measures of osmotic water permeability.

While the ion channel function of AQP1 was confirmed independently by other groups (Saparov et al., 2001; Zhang et al., 2007), the physiological relevance of AQP1 ion channel function remained uncertain, given the low proportion of ion conducting channels observed in reconstituted membrane assays. Mathematical modeling tested the premise, assuming only a tiny fraction of AQP1 acted as ion channels, and showed the predicted effects were sufficient for a meaningful impact on net transport in epithelial cells (Yool and Weinstein, 2002). Interestingly the relative amplitudes of ion currents and water fluxes for mammalian AQP6, also thought to be a dual water and ion channel (Yasui et al., 1999), were similar to those of AQP1, suggesting AQP6 similarly has a low proportion of functioning ion channels within the total population. Although high densities of water channels might be needed to move substantial fluid volumes, the apparently low ratios for aquaporins reinforce a basic concept in the ion channel field; relatively few chargeselective ion channels are needed to alter transmembrane voltage gradients (Hille, 2001).

With development of the first selective AQP1 ion channel inhibitor AqB011 (Kourghi et al., 2016), the question of the physiological function of the AQP1 ion channel could be directly addressed. Kourghi and colleagues showed AqB011 selectively inhibited migration in AQP1-expressing cancer cell lines, but not in those without AQP1, demonstrating that the AQP1 ion conductance can serve an essential role in cellular functions such as migration. Of the pharmacological inhibitors of AQP1 ion channel identified thus far, AqB011 is the most potent (IC50  $14\,\mu\text{M}$ ). Osmotic water fluxes in hAQP1-expressing oocytes were not altered by 200  $\mu$ M AqB011, indicating the block is selective for AQP1 ion channel activity. Molecular docking models suggested loop D domain as a candidate binding site for the AqB011 (Kourghi et al., 2016), but the prediction remained to be tested.

The role of AQP1 loop D residues in ion conductance activation and in mediating block by AqB011 was tested here using site-directed mutations of amino acids. Conserved arginine residues at positions 159 and 160 in human AQP1 were mutated to alanines. As compared with wild type, the cGMP-mediated activation of the AQP1 ionic conductance

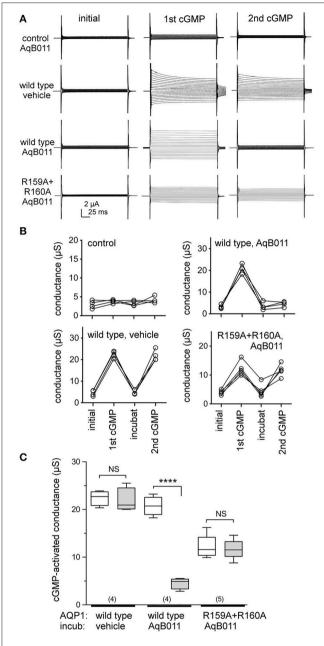
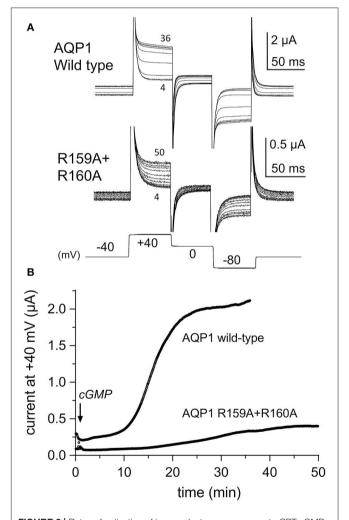


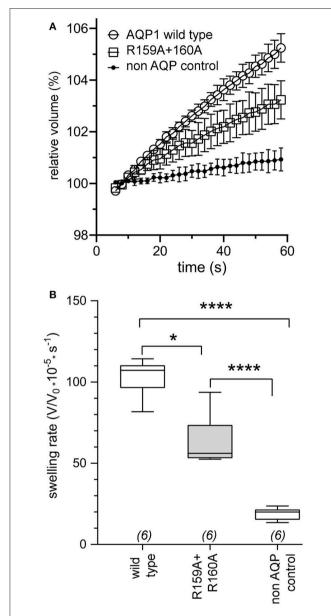
FIGURE 1 | Human AQP1 ionic conductances activated by cGMP differ in sensitivity to the inhibitor AqB011 in wild type and R159A+R160A expressing oocytes. (A) Electrophysiology traces showing currents recorded in control non-AQP oocytes, and in hAQP1 wild type and R159A+R160A expressing oocytes. The current traces are shown prior to stimulation (initial), after the first maximal response to CPT-cGMP (1st cGMP), and after the second maximal response (2nd cGMP) following a 2 h incubation with 50  $\mu$ M AqB011 or vehicle (DMSO). (B) Trend plots show the ionic conductance amplitudes for individual oocytes through each series of treatments for AQP1 wild type, mutant, and non AQP-expressing control oocytes, measured before stimulation (initial), after the first CPT-cGMP (1st cGMP), after 2-h recovery in cGMP-free saline containing vehicle or  $50\,\mu\text{M}$  AqB011 ("incubat"), and after the second CPT-cGMP (2nd cGMP). (C) Compiled box plot data illustrate statistically significant block of AQP1 wild type but not R159A+R160 ion conductances following incubation in 50 μM AqB011. n values are above the x-axis. Boxes show 50% of data points; error bars show the full range; horizontal bars show median values. \*\*\*\*p < 0.0001.

response was significantly slower in R159A+R160A channels, the maximal amplitude of the activated current in the mutant construct was reduced as compared to wild type, and the mutant was insensitive to the inhibitor AqB011. Human AQP1 mutant constructs in which proline was substituted for conserved single residues threonine (T157P), aspartate (D158P), arginine (R159P, R160P), and glycine (G165P) showed differential effects on conductance activation depending on position, which suggested the conformation of loop D is important for AQP1 ion channel gating. Proline enables tight bends in peptide structures (Vanhoof et al., 1995). These results support the role of conserved loop D residues in AQP1 ion channel activation and inhibition



**FIGURE 2** | Rates of activation of ion conductance responses to CPT-cGMP in oocytes expressing AQP1 wild type or R159A+R160A channels. **(A)** Ion current responses were monitored after application of CPT-cGMP using repeated series of brief steps to +40, 0, and -80 mV from a holding potential of -40 mV (10 per minute; 150 ms each). Traces are shown at 4 min intervals for clarity. Numbers indicate time in minutes post-application of CPT-cGMP. **(B)** The plot of steady state current amplitudes at +40 mV as a function of time after application of CPT-cGMP at time zero illustrates the difference in latency to activation in representative examples of AQP1 wild type and R159A+R160A expressing oocytes.

by AqB011, and provide further support for the concept that loop D is a gating domain for the AQP1 central ion pore.



**FIGURE 3** | Confirmation of expression of AQP1 wild type and AQP1 R159A+R160 mutant channels in oocyte plasma membranes by significantly increased osmotic water permeabilities as compared to non-AQP1 expressing controls. **(A)** Osmotic water permeabilities (mean  $\pm$  SEM) assessed by quantitative swelling assays for AQP1 wild type (open circles) and AQP1 R159A+R160 mutant (squares) compared with non-AQP1 expressing control oocytes (filled circles). Relative volumes as a function of time after introduction into 50% hypotonic saline at time zero were measured from video-imaged cross-sectional areas. (n=6 per group). **(B)** Box plot data showing osmotic swelling rates were higher in oocytes expressing AQP1 wild type and AQP1 R159A+R160 mutants than non-AQP1 expressing controls (one way ANOVA; post-hoc Bonferroni tests). \*p<0.05; \*\*\*\*\*p<0.0001; n=6 per group. Boxes show 50% of data points; error bars show the full range; horizontal bars show median values.

#### **MATERIALS AND METHODS**

#### **Oocyte Preparation and Injection**

Unfertilized oocytes were harvested by partial ovariectomy from anesthetized Xenopus laevis frogs following national guidelines (Australian Code of Practice for the Care and Use of Animals for Scientific Purposes), and approved by the University of Adelaide Animal Ethics Committee (approval # M2013-167). Oocytes were defolliculated with collagenase (type 1A, 1 mg/ml; Sigma-Aldrich, St. Louis, MO) in the presence of trypsin inhibitor (0.05 mg/ml; Sigma-Aldrich, St. Louis, MO) for 1 to 1.5 h in OR-2 saline (96 mM NaCl, 2 mM KCl, 5 mM MgCl<sub>2</sub>, penicillin 100 units/ml, streptomycin 0.1 mg/ml, and 5 mM HEPES; pH 7.6). Oocytes were then washed 4 times with OR-2 saline at  $\sim$ 10 min intervals, and kept at 16-18°C in isotonic Frog Ringers saline [96 mM NaCl, 2 mM KCl, 5 mM MgCl<sub>2</sub>, 0.6 mM CaCl<sub>2</sub>, 5 mM HEPES buffer, horse serum (5%; Sigma-Aldrich, St. Louis, MO), penicillin 100 units/ml streptomycin 0.1 mg/ml, and tetracycline 0.5 mg/ml, pH 7.6]. Oocytes were injected with 50 nl of water (control oocytes), or 50 nl of water containing 1 ng of AQP1 wild type cRNA, or 2 ng of AQP1 mutant cRNAs. Oocytes were then transferred to sterile dishes containing Frog Ringers saline and incubated at 16–18°C for 48 h or more to allow time for protein expression. Isotonic Na+ saline used for electrophysiology and osmotic swelling assays contained (in mM): NaCl 96 mM, KCl 2 mM, MgCl<sub>2</sub> 5 mM, CaCl<sub>2</sub> 0.6 mM, and HEPES 5 mM, pH 7.3, without antibiotics or serum.

#### Site Directed Mutagenesis of AQP1

Site-directed mutations were generated in human AQP1 cDNA in the *Xenopus* expression vector (pxBGev), using the QuikChange site-directed mutagenesis kit (Agilent Technologies, Forest Hills, VIC, Australia) with custom-synthesized primers as described previously (Yu et al., 2006). The correct sequences of the constructs were confirmed by replicate DNA sequencing of the full-length cDNA constructs. Wild-type AQP1 and mutant cDNAs were linearized using BamHI and transcribed with T3 RNA polymerase using the mMessage mMachine kit (Ambion, Austin, TX).

# Osmotic Swelling Assays and Confocal Microscopy

Swelling assays or confocal microscopy were used to confirm AQP1 wild type and mutant channels were expressed in oocyte plasma membranes. Swelling assays were performed in 50% hypotonic saline (isotonic Na<sup>+</sup> saline diluted with equal volume of water). Prior to swelling assays the control (non-AQP expressing), AQP1 wild type and AQP1 mutant expressing oocytes were rinsed in isotonic saline (without horse serum or antibiotics) for 10 min. Rates of swelling were imaged using a grayscale camera (Cohu, San Diego, CA) fixed on a dissecting microscope (Olympus SZ-PT; Olympus, Macquarie Park, Australia), and images were captured at 0.5 Hz using Image J software from National Institutes of Health (http://rsbweb.nih.gov/ij/). Swelling rates were determined from slope values of linear regression fits of relative volume as a function of time using Prism (GraphPad Software Inc., San

Diego, CA). For confocal microscopy, oocytes were fixed in 4% paraformaldehyde, permeabilized with 0.1% Triton X-100, and incubated with rabbit polyclonal anti-AQP1 antibody (provided by WD Stamer; Duke University, USA) diluted in buffered solution with 300 mM NaCl, 30 mM Na citrate, 1% bovine serum albumin, 0.05% TritonX-100, and 0.02% sodium azide. After secondary labeling with FITC-conjugated goat anti-rat antibody, preparations were imaged with a Leica (Nussloch, Germany) TCS-4D laser scanning confocal microscope.

#### **Electrophysiological Recordings**

Two-electrode voltage clamp recordings were performed at room temperature in standard isotonic Na<sup>+</sup> saline using a GeneClamp amplifier and Clampex 9.0 software (pClamp 9.0 Molecular Devices, Sunnyvale, CA, USA). Data were filtered at 2 kHz and stored to hard disk for analysis. Capillary glass pipettes ( $\sim$ 1 M $\Omega$ ) were filled with 1 M KCl. Initial conductance values were determined from current-voltage relationships measured prior to cGMP stimulation, by application of the nitric oxide donor sodium nitroprusside (SNP) at a final concentration of 7.5 mM, or by application of membrane permeable CPT-cGMP(8-(4chlorophenylthio)-guanosine-3',5'-cyclic monophosphate) at a final concentration of 10 µM, as per published methods (Boassa and Yool, 2003; Campbell et al., 2012). From a holding potential of -40, voltage steps from +60 to -110 mV were applied to measure conductance. Repeated steps to +40 mV at 6 s intervals were used to monitor changes in ion current responses as a function of time after application of an activator or inhibitor.

For the studies of pharmacological inhibition by AqB011, after recording the conductance for the first response to CPT-cGMP, oocytes were transferred into isotonic Na+ saline with either AgB011 or vehicle for 2h. Incubation allowed recovery to initial conductance levels as well as time for AqB011 to cross the membrane to reach its intracellular site of action, as described previously (Kourghi et al., 2016). Recovery from block was very slow, taking hours after removal of the agent from the extracellular medium. Oocytes were then re-evaluated for responsiveness to a second application of CPT-cGMP to test for inhibition post-incubation without AqB011 present. AqB011 was synthesized by G Flynn (SpaceFill Enterprises LLC, Bozeman Montana USA) with preparation methods and chemical structure as previously published (Kourghi et al., 2016). AqB011 was prepared as a 1000x stock solution in the vehicle dimethylsulfoxide (DMSO) and diluted in recording saline to the final concentration; vehicle control saline was made with the equivalent amount of DMSO (0.1% V/V). Box plot histograms show 50% of data (boxes), the full range of data (error bars), and the median value (horizontal bar).

#### **RESULTS**

# Reduced Sensitivity to Block by AqB011 in AQP1 R159A+R160A Channels

Voltage clamp recordings showed that application of extracellular CPT-cGMP activated ionic conductance responses in human AQP1 wild type and R159A+R160A mutant

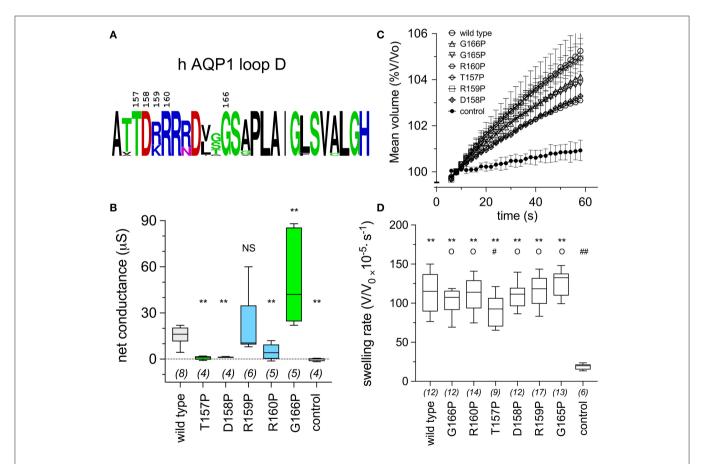
GenBank ID		amino acid sequence		Genus; Common name
AAH22486.1	154	ATTDRRRRDLGGSAPLAIGLSVALGH	180	Homo; Human
AAH07125.1	154	ATTDRRRRDLGGSAPLAIGLSVALGH	180	Mus; Mouse
AAB46624.1	154	ATTDRRRRDLGGSAPLAIGLSVALGH	180	Rattus; Rat
XP_014989258.1	154	ATTDRRRRDLGGSAPLAIGLSVALGH	180	Macaca; Rhesus macaque
XP_012496173.1	154	ATTDRRRRDLGGSAPLAIGLSVALGH	180	Propithecus; Crowned sifaka
XP_012330551.1	154	ATTDRRRRDLGGSAPLAIGLSVALGH	180	Aotus; Night monkey
XP_008583141.1	154	ATTDRRRRDLGGSAPLAIGLSVALGH	180	Galeopterus; Malayan flying lemur
XP_005319226.1	154	ATTDRRRRDLGGSAPLAIGLSVALGH	180	Ictidomys; Thirteen lined ground squirrel
XP_022439712.1	156	ATTDRRRRDLGGSAPLAIGLSVALGH	182	Delphinapteru; Beluga whale
XP_010969922.1	156	ATTDRRRRDLSGSGPLAIGLSVALGH	182	Camelus; Bactrian camel
XP_005981267.1	156	ATTDRRRRDLGGSGPLAIGFSVALGH	182	Pantholops; Tibetan antelope
NP_777127.1	156	ATTDRRRRDLGGSGPLAIGFSVALGH	182	Bos; Cow
CAD92027.1	148	ATTDKRRRDVTGSAPLAIGLSVALGH	173	Anguilla; European eel
BAC82110.1	148	ATTDKRRRDVTGSAPLAIGLSVALGH	173	Anguilla; Japanese eel
ASW16810.1	144	ATTDKRRRDVAGSAPLAIGLSVALGH	169	Coilia; Grenadier anchovy
AIL02123.1	144	ATTDKRRRDVTGSAPLAIGLSVALGH	169	Alosa; Alewife (herring)
NP_996942.1	145	ATTDKRRRDVSGSAPLAIGLSVCLGH	171	Danio; Zebrafish
XP_020322871.1	147	AVTDKRRRDITGSAPLAIGLSVALGH	172	Oncorhynchus; Coho salmon
XP_013996040.1	147	AVTDKRRRDVTGSAPLAIGLSVALGH	172	Salmo; Atlantic salmon
XP_007242700.2	148	AATDKRRRDVMGSVPLAIGLSVALGH	174	Astyanax; Blind cavefish
XP_016109136.1	146	ATTDKRRRDVTGSAPLAIGLSVCLGH	171	Sinocyclocheilus; Golden line fish
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XP_015474877.1	156	ATTDRRRNDVSGSAPLAIGLSVALGH	182	Parus; Great tit
KQK77783.1	156	ATTDRRRNDVSGSAPLAIGLSVALGH	182	Amazona; Blue-fronted parrot
XP 013808479.1	156	ATTDRRRNDVSGSAPLAIGLSVALGH	182	Apteryx; Brown kiwi
XP_009878414.1	156	ATTDRRRNDVSGSAPLAIGLSVALGH	182	Charadrius; Killdeer (plover)
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FIGURE 4 | Amino acid sequence alignment for the loop D and flanking domains of AQP1 channels from diverse classes of vertebrates (mammals, fish, and birds). Amino acid sequences downloaded from the National Center for Biotechnology Information (NCBI) Protein database (www.ncbi.nlm.nih.gov/protein) were aligned using the NCBI BlastP online application (blast.ncbi.nlm.nih.gov) for multiple sequences. Residues in black are identical with the query sequence Homo sapiens AQP1. Variations in sequence are highlighted in red.

expressing oocytes (Figure 1A). Initial recordings measured before the application of CPT-cGMP showed uniformly low currents, comparable to those of non-AQP control oocytes. The ionic conductance increased after application of CPT-cGMP in AQP1 wild type and R159A+R160A expressing oocytes, but in not non-AQP control oocytes. After recording the first response, oocytes were transferred into isotonic Na+ saline with 50 µM AqB011 or vehicle. Figure 1B shows trend plots of the conductance responses of individual oocytes through each series of treatments. After 2 h incubation, the ionic conductance responses recovered to initial levels, and a second application of CPT-cGMP was used to assess the level of reactivation of current (Figures 1A,B). CPT-cGMP activated currents were not observed in non-AQP expressing control oocytes. Figure 1C shows compiled box plot data for the ionic conductance values for human AQP1 wild type and R159A+R160A mutants. AQP1

wild type currents were strongly blocked after incubation in AqB011 but not after incubation with vehicle. The amplitude of maximal activation was lower in R159A+R160A mutant-expressing oocytes than wild type, and the R159A+R160A conductance was not sensitive to block by AqB011.

The recovery of the AQP1 wild type and mutant currents to baseline levels during the incubation period demonstrated that the responses were reversible, thus not due to oocyte damage or leak. Complete reactivation of wild type ionic conductance response to the second application of CPT-cGMP (after incubation in saline with vehicle) demonstrated that prior activation did not impair responsiveness of the AQP1-expressing oocytes to subsequent stimulation. AQP1 wild type-expressing oocytes incubated in saline with AqB011 were not re-activated by a second application of CPT-cGMP, confirming inhibition of the ion current as described previously

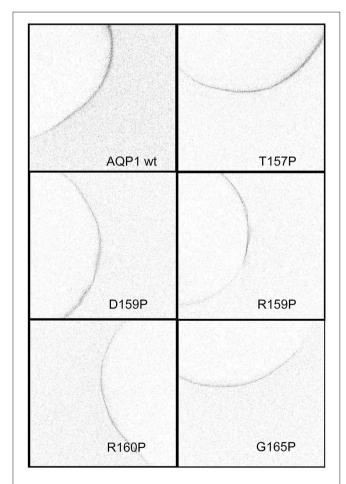


**FIGURE 5** | Conserved amino acids in the AQP1 loop D domain influence the ion conductance response, as assessed by proline mutagenesis. **(A)** Schematic summary of the level of conservation of loop D amino acids in AQP1 sequences (data shown in **Figure 4**) created with the online WebLogo tool (http://weblogo. berkeley.edu/logo.cgi). Letter sizes represent corresponding relative frequencies of occurrence at that position in the sequence set. **(B)** Box plot data showing the net conductance values (maximal—initial) for hAQP1 wild type and proline substituted mutant channels. Position-specific effects of proline-scanning mutagenesis on ion conductance responses in human AQP1 suggested less conserved positions are more tolerant of proline substitution. Statistical significance was evaluated with ANOVA and *post-hoc* two-tailed Mann Whitney. \*\*p < 0.01; NS not significant, as compared with wild type; n values are above the x-axis. **(C)** Graph of mean volumes measured during optical swelling assays and standardized as a percentage of initial volume, for control oocytes and oocytes expressing wild type hAQP1 and proline-substituted mutants as a function of time after introduction of the oocyte into 50% hypotonic saline at time zero. (n values given in **D**). **(D)** Box plot histogram of swelling rates for hAQP1 wild type, proline mutant and control oocytes. Significant differences were determined by ANOVA (p < 0.0001) and post-hoc unpaired T-tests. \*\* indicates a significant difference from control, p < 0.0001. O indicates no significant difference from wild type, p > 0.05. A significant difference from wild type is indicated as # at p < 0.05, or ## for p < 0.0001.

(Kourghi et al., 2016). In contrast, the AQP1 R159A+R160A mutant channels showed no change in the second response to CPT-cGMP after incubation with or without AqB011, showing that sensitivity to the inhibitor was eliminated by the altered loop D sequence. The insensitivity of the R159A+R160A current furthermore demonstrated that the observed pharmacological block of wild type current by AqB011 cannot readily be ascribed to off-target effects on native oocyte channels or transporters, confirming the specificity of action of the antagonist compound.

# Increased Latency to Activation for AQP1 R159A+R160A Channels

The conductance responses of wild type and R159A+R160A mutant channels differed in rates of activation after application of CPT-cGMP. Oocytes expressing AQP1 wild type activated more rapidly and reached a higher maximal current amplitude that did those expressing AQP1 R159A+R160A channels (**Figure 2A**). In wild type, the maximal response was reached by  $\sim$ 20–30 min after application of CPT-cGMP, whereas 50–60 min was needed



**FIGURE 6** | Confocal images of anti-AQP1 immunolabeled oocytes expressing wild type and proline substituted mutant channels confirmed protein expression in the oocyte plasma membrane. See Methods for details.

for R159A+R160A expressing oocytes (**Figure 2B**). The latency to the onset of activation was considerably slower for the mutant construct. The long latency for R159A+R160A was consistent with prior work which reported no appreciable activation of the R159A+R160A mutant channels when assessed over a short time frame (within 8 min after application of the nitric oxide donor, sodium nitroprusside, which was used to stimulate endogenous oocyte cGMP production, and successfully activated AQP1 wild type ion currents) (Yu et al., 2006).

#### Osmotic Water Permeability of AQP1 Wild Type and R159A+R160A Expressing Oocytes

Osmotic water permeability data (Figure 3A) confirmed successful expression of wild type and R159A+R160A mutant AQP1 channels in oocyte plasma membranes. The water channel activities of AQP1 wild type and R159A+R160A expressing oocytes were both were significantly greater than those of non-AQP1 expressing control oocytes (Figure 3B), confirming that both AQP1 channel types were expressed, assembled, and trafficked to the plasma membrane of oocytes. Expression levels for the R159A+R160A mutant channels estimated by osmotic water permeability were ~10% lower than wild type; however the mean conductance response in the arginine double mutant (Figure 1C) was half that of wild type, consistent with impairment of channel activation.

# Effects of Proline Mutagenesis of the Loop D Amino Acid Sequence

Proline substituted mutant channels showed significant differences in response amplitudes that correlated with the degree of conservation of the amino acid residue in the loop D sequence. Sequence alignments for loop D and flanking domains illustrate the high level of identity for amino acids in AQP1 gene coding sequences from a diverse array of vertebrates, including mammals, fish, and birds (Figure 4). Net conductances, measured from amplitudes of the ionic conductance response, were calculated as the difference between the initial level and the final amplitude after SNP-mediated cGMP stimulation (Figure 5). Wild type AQP1 channels showed activation in response to SNP stimulation (Figure 5B) that was comparable in amplitude to that seen after application of CPT-cGMP (Figure 1). Control non-AQP-expressing oocytes showed no appreciable response. However, significantly impaired responses were seen for oocytes expressing AQP1 T157P, D158P, and R160P mutant channels (Figure 5B). T157, D158, and R160 residues exhibit complete identity across AQP1 sequences from diverse animals (Figure 5A). In contrast, AQP1 R159P expressing oocytes showed no significant difference from AQP1 wild type, which could fit with the observation that slightly more variation in amino acid sequence appears to be tolerated at that position. Interestingly, a significant difference also was observed for mutation to proline at the highly conserved G166, but the result was to promote rather than inhibit the activation of the conductance response as compared to wild type. The expression of functional channels in the oocyte membrane was confirmed

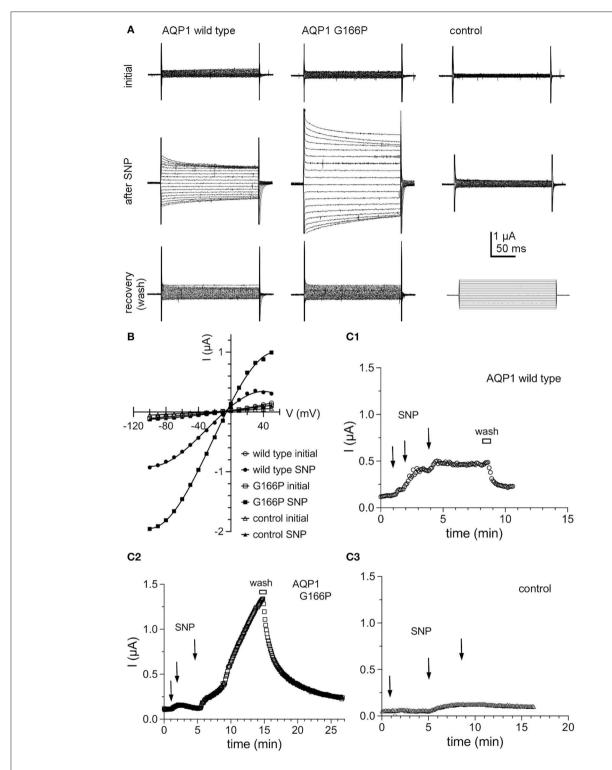


FIGURE 7 | Ion conductance responses of oocytes expressing human AQP1 wild type and G166P channels. (A) Currents recorded from wild type (left), G166P (middle), and non-AQP expressing control oocytes (right) by two-electrode voltage clamp before (initial) and after stimulation of intracellular cyclic GMP by application of the nitric oxide donor, sodium nitroprusside at a final concentration of 7.5 mM (after SNP). Perfusion of fresh bath saline without SNP (wash) promoted rapid recovery. (B) Current voltage relationships for the traces shown in (A). (C) Steady state current amplitudes at +40 mV monitored as a function of time after three sequential applications of SNP (2.5 mM each) at times indicated by arrows, and after perfusion of bath saline without SNP (wash) shown by the horizontal bar, for wild type (C1), G166P (C2), and control (C3) oocytes. Data are from the same oocytes as shown in (A).

by the demonstration of high osmotic water permeabilities for all the proline mutant constructs that were significantly greater that that of the non-AQP-expressing controls (Figures 5C,D). Confocal images of oocytes expressing AQP1 wild type and proline mutant channels confirmed that the constructs were expressed in the oocyte plasma membrane (Figure 6).

The conductance properties of AQP1 G166P-expressing oocytes, as compared with wild type and non-AQP control oocytes, are summarized in **Figure** 7. The ion conductance responses of AQP1 wild type and G166P-expressing oocytes showed an increase in amplitude but not in apparent kinetics (**Figure** 7**A**), reversal potential (**Figure** 7**B**), latency to activation, or reversibility of the responses after bath washout with fresh saline to remove SNP (**Figure** 7**C**). Control oocytes showed negligible responses to SNP (**Figures** 7**A**,**B**).

#### DISCUSSION

The aim of this study was to evaluate a candidate binding site for the AQP1 ion channel antagonist AqB011 suggested from prior *in silico* modeling, and to test the role of the intracellular loop D domain in AQP1 ion channel activation. Discovery of pharmacological tools for AQPs has been an area of keen interest for many years (Huber et al., 2012). As illustrated by the

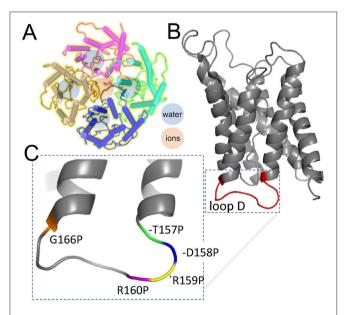


FIGURE 8 | Schematic diagrams illustrating the separate pathways proposed to mediate water and ion transport in the AQP1 tetrameric channel, and the position of the mutations tested in the loop D domain by proline mutagenesis. (A) AQP1 channels assemble as homomeric tetramers in the membrane bilayer. Water pores (blue) are located in each subunit; cations are thought to permeate via the central pore at the four-fold axis of symmetry in the channel (rose). (B) Loop D is a cytoplasmic loop between the 4 and 5th transmembrane domains in each subunit; loops D in the tetramer surround the central pore. (C) Amino acid residues in loop D tested by mutation to proline. Structural data used to create the diagrams were downloaded from the NCBI Structure database (www.ncbi.nlm.nih.gov/structure/), for PDB ID 1IH5 human AQP1 (Ren et al., 2001); and PDB 1JN4 bovine AQP1 (Sui et al., 2001).

diagram in Figure 8, AQP1 ion channels are proposed to conduct solutes and water through pharmacologically distinct pathways (Saparov et al., 2001; Yool et al., 2002), with water transport mediated through the individual pores of the subunits (Jung et al., 1994), and ion transport proposed to be mediated by the central pore of the tetramer following activation by intracellular cGMP (Anthony et al., 2000; Yool and Weinstein, 2002; Campbell et al., 2012). The water channel function of hAQP1 is modulated by antagonists such as mercurial compounds (Preston et al., 1993); gold and silver compounds (Niemietz and Tyerman, 2002); the arylsulfonamide AqB013 (Migliati et al., 2009); medicinal herb compounds bacopasides I and II (Pei et al., 2016); aromatic carboxylic acid blockers referred to as CPD 1, 2, and 3 (Seeliger et al., 2013); and by agonist compounds such as AqF026 (Yool et al., 2013). Other inhibitors include TGN-020 for AQP4 (Igarashi et al., 2011), and gold-bipyridyl compounds for AQP3 (Martins et al., 2013; Graziani et al., 2017). The human AQP1 ion channel is pharmacologically distinct from the water pore, supporting the involvement of a separate pathway for ions through the central pore of the tetrameter (Figure 8). The AQP1 ion pore is blocked by Cd<sup>2+</sup> (Boassa et al., 2006), other divalent cations (Kourghi et al., 2017b), and arylsulfonamide compounds AqB007 and AqB011 (Kourghi et al., 2016).

AqB011 inhibits the human AQP1 ion current but not the water flux, and slows the migration of AQP1-expressing human colon cancer cells (Kourghi et al., 2016). Molecular docking studies suggested that AqB011 might interact with a conserved arginine residues located on loop D domain of AQP1, a region that has been suggested to be involved in gating of the central pore of the AQP1 channel (Yu et al., 2006). The role of the conserved loop D domain was tested using a mutant construct of the AQP1 channel in which the positively charged arginine residues in positions 159 and 160 of the human AQP1 amino acid sequence were replaced with alanine. The mutation R159A+R160A did not prevent the channel from being expressed on the membrane of oocytes, as demonstrated by measured osmotic water permeability. The hAQP1 R159A+R160A channel had previously been thought to be non-functional as an ion channel (Yu et al., 2006). However, work here showed the R159A+R160A ion conductance was activated by CPT-cGMP albeit at a significantly slower rate, to a lower maximal amplitude, and with a longer latency than for AQP wild type channels, which would have made it difficult to detect in protocols used previously. Nonetheless the residual ion channel function in the R159A+R160A mutant was significantly greater than in non-AQP controls and was sufficient to allow evaluation of a possible difference in sensitivity to block by AqB011.

The ion conductance in wild type AQP1 expressing oocytes was significantly inhibited by AqB011, confirming prior work (Kourghi et al., 2016). In contrast, AqB011 had no effect on the ion conductance response in R159A+R160A expressing oocytes. These results provide evidence that AqB011 is acting directly on the AQP1 channel, and not indirectly through hypothetical native oocyte channels or transporters associated with AQP1 proteins. The plant AQP AtPIP2;1 is a dual ion and water channel which also is insensitive to AqB011 (Kourghi et al., 2017b).

AtPIP2;1 has many amino acid sequence differences as compared to AQP1, but these include the absence of the poly-arginine series in loop D. Together these data suggest that selective pharmacological targeting of different classes of aquaporin ion channels will be possible, as structure-activity data for active agents continues to accrue, and discover of new agents expands the tools available for evaluating physiological roles of dual water and ion channels in the MIP family.

Proline scanning mutagenesis was used here to assess the role of the loop D domain in activation of the AQP1 ion conductance. Scanning mutagenesis is a method for analyzing the functional roles of amino acid residues in proteins by systematic replacement with another amino acid, such as alanine, cysteine, or proline (Cunningham and Wells, 1989; Kürz et al., 1995; Patel et al., 2013). Alanine is compact, lacking a bulky side group, and preserves 3D structure without influencing electrostatic characteristics (Cunningham and Wells, 1989). Alternatively, conformational structure can be deliberately altered by substituting residues with proline, which is distinctive in having the nitrogen atom covalently bound in a 5-membered ring, which impairs formation of intermolecular hydrogen bonds (Williams and Deber, 1991), and introduces "kinks" in secondary structure (Barlow and Thornton, 1988; Woolfson and Williams, 1990; Sankararamakrishnan and Vishveshwara, 1992). Proline scanning mutagenesis has been used to investigate gating mechanisms of ion channels such as the inward rectifier and transient receptor potential (TRP) channels (Sadja et al., 2001; Jin et al., 2002). Sadja et al. (2001) showed proline substitution in the second transmembrane domain of G-proteincoupled inwardly rectifying potassium channels shifted the channels into an active conformation, suggesting the site for Gβγ mediated gating. Dong et al. (2009) showed proline substitutions in the fifth transmembrane domain of TRPML1

ion channels locked the channels in an active state, which similarly allowed definition of the site of cation conductance gating. Proline scanning mutagenesis used here showed that the AQP1 cation channel is sensitive to mutations capable of altering the structure of the loop D domain, with both downand upregulation of channel activity observed depending on the location of the mutation in the conserved amino acid sequence (Figure 8).

In sum, results here support the hypothesis that interaction of the inhibitor AqB011 depends on the structure of the loop D domain of the AQP1 channel, and that this domain is important for AQP1 ion channel gating. Aquaporin channels are more than simple pathways for the passive flux of water and glycerol. As a group they are increasingly being found to include highly specialized, regulated, multifunctional channels with diverse roles across the kingdoms of life (Gomes et al., 2009; Kourghi et al., 2017a). Results here contribute to understanding the structural basis for gating and pharmacological block of the human AQP1 ion channel, and add further evidence supporting the role of the central pore as the pathway for ion flux in human AQP1.

#### **AUTHOR CONTRIBUTIONS**

MK, JP, and AY: Participated in the research design. MK, SN, JP, and AY: Conducted experiments and performed data analysis. MK, MD, and AY: Wrote the manuscript. MK, MD, SN, JP, and AY: Reviewed and edited the manuscript.

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Moving the Cellular Peptidome by Transporters

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Living matter is defined by metastability, implying a tightly balanced synthesis and turnover of cellular components. The first step of eukaryotic protein degradation via the ubiquitin-proteasome system (UPS) leads to peptides, which are subsequently degraded to single amino acids by an armada of proteases. A small fraction of peptides, however, escapes further cytosolic destruction and is transported by ATP-binding cassette (ABC) transporters into the endoplasmic reticulum (ER) and lysosomes. The ER-resident heterodimeric transporter associated with antigen processing (TAP) is a crucial component in adaptive immunity for the transport and loading of peptides onto major histocompatibility complex class I (MHC I) molecules. Although the function of the lysosomal resident homodimeric TAPL-like (TAPL) remains, until today, only loosely defined, an involvement in immune defense is anticipated since it is highly expressed in dendritic cells and macrophages. Here, we compare the gene organization and the function of single domains of both peptide transporters. We highlight the structural organization, the modes of substrate binding and translocation as well as physiological functions of both organellar transporters.

Keywords: ABC transporter, antigen processing, antigen presentation, membrane proteins, viral immune escape, lysosome, endoplasmic reticulum

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#### **INTRODUCTION**

In the life cycle of a cell, the proteome is metastable and dynamically shaped by synthesis, folding, modification, and degradation. Proteins are degraded when either being damaged, matched for a demanded life-time, and no longer used, or delivered as defective ribosomal products. The clearance of these proteins starts in the cytosol with hydrolysis to peptides of three to twenty residues in length predominately through the ubiquitin-proteasome system (UPS). Malfunction of this macromolecular degradation machinery is associated with neurodegenerative, autoimmune, and rheumatoid diseases, viral infections, and cancer (Schmidt and Finley, 2014). Most of the peptides generated by the UPS are processed within seconds to amino acids via cytosolic oligo- and aminopeptidases (Reits et al., 2003). Some of these peptides escape degradation to fulfill important functions (Figure 1). A well-studied example is the mating a-factor functioning as pheromone in yeast. The precursor of 36 amino acid length encoded by MFA1 undergoes six consecutive steps of post-translational modification, yielding a mature 12-residue long a-factor, which is expelled to the external medium by the ATP-binding cassette (ABC) transporter Ste6 (Michaelis and Barrowman, 2012). In Caenorhabditis elegans mitochondrial unfolded stress response is signaled to the nucleus via peptides. In this process, mitochondrial proteins are degraded by ATP-dependent degradation machine ClpXP in the matrix and peptides are released to the cytosol most likely Abele and Tampé Organellar Peptide Transporters

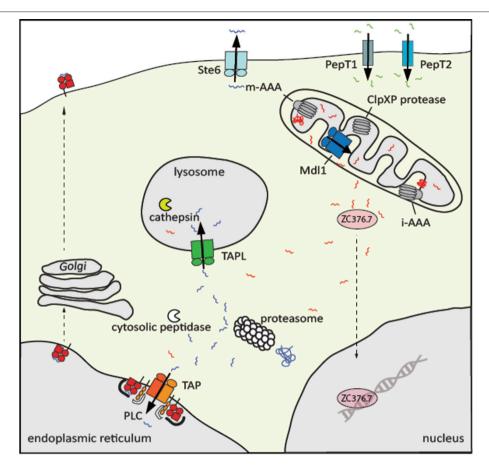


FIGURE 1 | Physiological role of eukaryotic peptide transporters. Peptide transporters are localized at different cellular membranes. At the plasma membrane of intestine and kidney cells, the secondary active transporters PepT1 and PepT2 import di- to tetramer peptides along a proton gradient. All peptide transporters belonging to the ABC superfamily are exporters. The peptide pheromone exporter Ste6 from Saccharomyces cerevisiae shuttles the mating a-factor into the extracellular space. Proteins in the matrix and inner membrane of mitochondria are degraded by the AAA+ proteases m-AAA and ClpXP and the resulting peptides are transported by Mdl1 in yeast, HAF-1 in C. elegans, and probably ABCB10 in human into the intermembrane space. Subsequently, the transcription factorZC376.7 (Atfs1) in C. elegans, the homolog of Atf5 in human, is activated and localized in the nucleus, where genes of the mitochondrial unfolded protein response are induced. Cytosolic proteins are degraded mainly by the proteasome. Peptides that escape further trimming by cytosolic peptidases are translocated by the heterodimeric ABC transporter associated with the antigen processing TAP into the ER, where these peptides are loaded onto MHC class I molecules to present the antigenic peptides on the cell surface to cytotoxic T-cells. The peptide transfer, editing, and proofreading is catalyzed by the peptide loading complex (PLC). In addition, cytosolic peptides are transported by TAPL to lysosomes for further processing by cathepsins.

by the ABC transporter HAF-1. Here, the peptides directly or indirectly bind to a transcription factor, which induces the expression of mitochondrial chaperones to cope with mitochondrial proteostasis (Haynes et al., 2010). The analysis of the mouse brain peptidome by mass spectrometry demonstrated that some intracellular peptides are enriched. It was therefore speculated that peptides can take over regulatory functions (Fricker, 2010).

The most well-studied and medically important function of cytosolic protein fragments is their role in building an adaptive immune response (Figure 1). Proteasomal degradation products are shuttled by the ABC transporter associated with antigen processing (TAP1/2, ABCB2/ABCB3) into the lumen of the endoplasmic reticulum (ER) where these peptides are loaded onto major histocompatibility complex class I (MHC I) molecules (Abele and Tampé, 2004; Parcej and Tampé, 2010; Blum et al., 2013). Peptide-MHC I complexes are subsequently

transported to the cell surface in order to present the bits of the cellular proteome as metabolic snapshots to cytotoxic CD8<sup>+</sup> T-lymphocytes. If the T-cell receptor recognizes antigenic "nonself" peptides in complex with MHC I as "self" component, virally or malignantly transformed cells will be destroyed (Gromme and Neefjes, 2002). Importantly, cytosolic peptides are also frequently found on MHC II molecules, which is an essential step in the negative selection during T-cell development to impede autoimmune response (Crotzer and Blum, 2010).

Different pathways seem to contribute to the delivery of cytosolic peptides to the lysosomes (**Figure 1**). Cytosolic proteins are typically delivered by macro-autophagy or by chaperone-mediated autophagy to lysosomes, where they are degraded by cathepsins (Crotzer and Blum, 2008). However, cytosolic peptides can also be transported directly into lysosomes and bound to MHC II without further processing. It was speculated that the transporter associated with antigen processing-like

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(TAPL, ABCB9) is involved in this process (Dani et al., 2004). Here, we summarized some structural and mechanistic similarities but also a number of remarkable differences of these TAP-related peptide transporters.

# THE DIVERSITY OF ORGANELLAR PEPTIDE TRANSPORTERS

Different classes of peptide transporters have evolved (Figure 1). All in common is a high substrate promiscuity. Peptides containing two to eight residues are transported by members belonging to the oligopeptide transporter and peptide transporter family (Gomolplitinant and Saier, 2011; Newstead, 2015). Both families belong to the Major Facilitator Superfamily of secondary active transporters, which are proton-dependent transport systems. Oligopeptide transporters, translocating peptides of three to eight amino acids in length, are found in bacteria, plants, and fungi. Di- and tripeptide transporters are also present in animals. Human PepT1 and PepT2 are found in the brush border membrane of the small intestine and at the renal epithelium in the kidney, respectively. Both transporters absorb or retain protein fragments in the body. Interestingly, PepT1 is the fast, low-affinity transporter while PepT2 shows slower transport rates paired with higher affinity (Brandsch, 2013).

Longer peptides are typically handled by ABC transporters, the largest family of primary active transporters. In bacteria, oligopeptides are complexed in the periplasm by a specific binding protein, which hands over the substrate to an ABC import system (Doeven et al., 2005). In eukaryotes, only oligopeptide ABC exporters are described. A vacuolar ATP dependent transporter was identified in plants, translocating peptides into the lumen of vacuoles (Ramos et al., 2011). Furthermore, peptide transporters with different intracellular localization exist in yeast, nematodes, and vertebrates (Herget and Tampé, 2007). In yeast, Mdl1 is located in the inner mitochondrial membrane and is proposed to transport degradation products of matrix or inner mitochondrial membrane proteins into the intermembrane space (Young et al., 2001).

The TAP family is composed of three half-transporters, TAP1 (ABCB2), TAP2 (ABCB3), and TAPL (ABCB9). Members of the TAP family are also found in *C. elegans* and in chordata but not in insects and crustaceans (**Figure 2**). TAP1 and TAP2 must pair to constitute a transport-competent complex, whereas TAPL forms homodimers (Powis et al., 1991; Leveson-Gower et al., 2004). Interestingly, HAF-4 and HAF-9 of *C. elegans* are orthologs of TAPL with a sequence identity of 38%. Both half-transporters are localized in large granules of intestinal cells. Loss-of-function mutants of either of these genes result in loss of these large non-acidic granules, accompanied by decreased brood size, extended defecation cycle, and slow growth (Kawai et al., 2009). HAF-4 and HAF-9 appear to form heterodimers and active heterodimers are essential for granule formation (Tanji et al., 2013).

In contrast to jawed vertebrates, which express TAP1, TAP2, and TAPL, only one member belonging to the TAP family

was detected in agnatha (jawless vertebrates) and even in tunicates (Uinuk-ool et al., 2003; Ren et al., 2015). The half-transporter from the jawless vertebrate sea lamprey shows a higher sequence identity to human TAPL (52.4%) than to TAP1 (38.4%) and TAP2 (40.7%). Therefore, ABCB9 from agnatha can be regarded as the progenitor of the TAP family. Interestingly, TAP1 and TAP2 have evolved much faster than TAPL. Comparing the amino acid sequences from rat and mouse, the replacement rates in TAP1 and TAP2 are more than 10 times faster as in TAPL (Kobayashi et al., 2000).

In mammals, TAP and TAPL show a broad tissue distribution. TAP was found in nearly every nucleated cell. TAPL is also detected in all tissues examined (Bgee database: Bastian et al., 2008; The Human Protein Atlas: Uhlén et al., 2015) with high expression in the central nervous system and testis (Yamaguchi et al., 1999; Zhang et al., 2000; Mutch et al., 2004). Interestingly, TAPL is not found in monocytes but highly expressed in dendritic cells and macrophages implying a role in the immune response (Demirel et al., 2007).

#### PHYSIOLOGICAL FUNCTION

Although TAP and TAPL transport a similar range of peptides, their physiological functions are largely different. In the past, the paradigm was that MHC I presents peptides from endogenous antigens while MHC II displays peptides from exogenous antigens. Through analyzing the peptidome of MHC molecules it became evident that this sharp border is not correct. A quantitative amount of MHC II molecules is loaded with peptides from cytosolic origin (Stern and Santambrogio, 2016). Moreover, in professional antigen presenting cells a process called cross-presentation takes place, in which peptides of exogenous antigens are loaded on MHC I molecules (van Endert, 2016; Grotzke et al., 2017b). This pathway ensures the induction of an adaptive immune response to tumor antigens and antigens derived from pathogens, which does not infect dendritic cells. TAP is an essential machinery in delivering cytosolic peptides, mainly produced by the UPS, into the lumen of the ER for loading onto MHC I. Importantly, TAP is not only crucial for the classical MHC I presentation but also actively involved in the cross-presentation pathway. In the TAP-dependent crosspresentation, exogenous antigens are taken up by phagocytosis or receptor-mediated endocytosis. Subsequently, the antigen is transported from the phagosome or endosome into the cytosol putatively by Sec61 (Koopmann et al., 2000; Zehner et al., 2015; Grotzke et al., 2017a). In the cytosol, the antigen is proteasomally degraded and peptides are transported by TAP into the ER or phagosome/endosome for loading onto MHC I.

The importance of TAP in antigen presentation is highlighted in the Bare Lymphocyte Syndrome type I (BLS-I), a rare disease caused by TAP deficiency (Zimmer et al., 1998). Cells of BLS-I patients show a strong decreased cell surface expression of MHC I accompanied by a reduced number of CD8<sup>+</sup> T-cells.

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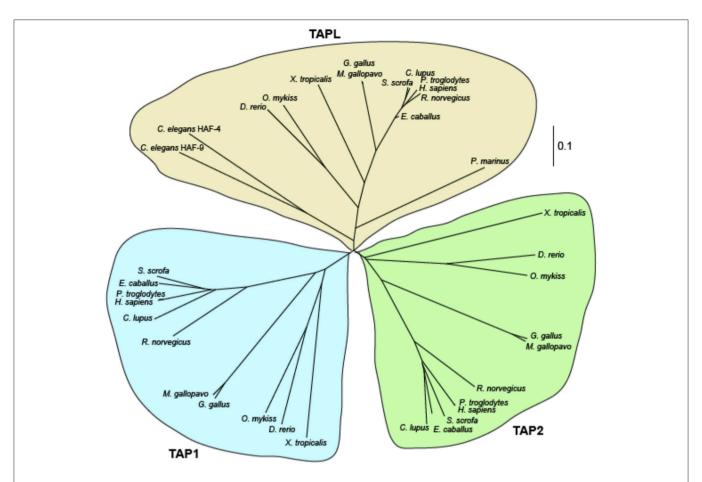


FIGURE 2 | Phylogenetic relationship of TAP and TAPL. Multiple sequence alignment of TAPL, TAP1, and TAP2 variants of human (Homo sapiens), chimpanzee (Pan troglodytes), horse (Equus cabalus), pig (Sus scrofa), dog (Canis lupus), rat (Rattus norvegicus), turkey (Meleagris gallopavo), chicken (Gallus gallus), trout (Oncorhynchus mykiss), zebrafisch (Danio rerio), western clawed frog (Xenopus tropicalis), sea lamprey (Petromyzon marinus), and roundworm (Caenorhabditis elegans) were performed with Clustal Omega (Sievers et al., 2011). An unrooted cladogram was drawn by Phylodendron (http://iubio.bio.indiana.edu/treeapp/). The bar indicates the evolutionary change rate. In the lower vertebrate lamprey and in the nematode C. elegans only homologs of TAPL are found. Longer branches of mammal and avian homologs reflect the higher evolutionary rate of TAP1 and TAP2 in comparison to TAPL.

BLS-I patients do not suffer from a prevalence of viral infections but instead show chronic necrotizing lesions in the lung and skin escorted by recurrent bacterial infections. Moreover, the key function of TAP is emphasized by the armada of viral factors inhibiting TAP (Mayerhofer and Tampé, 2015; van de Weijer et al., 2015). These viral immune evasins all derive from large DNA viruses belonging to the family of Herpesviridae or Poxviridae. Each viral factor has its own inhibition mechanism dealing with peptide binding, ATP binding, conformational changes, or proteasomal degradation of TAP (Mayerhofer and Tampé, 2015).

In addition to the effects on the formation of gut granules in *C. elegans*, the physiological function of TAPL remains loosely defined. Since TAPL is found in nearly all tissues, a housekeeping function can be assumed to protect the cytosol from accumulation of otherwise harmful peptides. However, TAPL may have a more specialized function in professional antigen-presenting cells, in which its expression is strongly upregulated (Demirel et al., 2007). There are alternative pathways

of endogenous antigens for processing and loading on MHC II (Veerappan Ganesan and Eisenlohr, 2017). One of these pathways is proteasome dependent but TAP-independent (Dani et al., 2004; Miller et al., 2015; Thiele et al., 2015). Furthermore, the loading of MHC II occurs in the lysosomal compartment (Dani et al., 2004). Therefore, it can be speculated that TAPL is the translocation machinery in this process, which is supported by the interaction of TAPL with MHC II (Demirel et al., 2012).

There are several cross-presentation pathways for presenting exogenous antigens on MHC I. The main difference consists in the subcellular compartment of antigen degradation. In the vacuolar pathway, the endocytosed or phagocytosed antigen is transported to the endolysosomal system where the antigen is digested and loaded onto MHC I molecules. In the cytosolic pathway, the antigen is translocated to the cytosol for proteasomal degradation. Subsequently, antigenic peptides are transported into the ER or into phagosomes for MHC I loading. Although TAP is the main transport complex in this pathway, it was recently shown in mouse dendritic cells

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that a TAP-independent but ATP-consuming machinery exists for peptide loading of phagosomes (Merzougui et al., 2011; Lawand et al., 2016). Although the phagosomal localization and the peptide specificity of mouse TAPL still has to be evaluated, TAPL could possibly be this uncharacterized peptide transporter.

#### **GENE ORGANIZATION**

The genes coding for human TAP1 and TAP2 are localized in the MHC II locus of chromosome 6 and their expression is induced by IFN-y (Deverson et al., 1990; Monaco et al., 1990; Spies et al., 1990; Trowsdale et al., 1990, 1991). In contrast, the tapl gene is found on chromosome 12, lacking any link to factors of the adaptive immune system. In addition, tapl expression is not affected by IFN-γ (Kobayashi et al., 2000). All three genes are composed of 11 coding exons whereas tapl and tap2 possess an additional 5'-non-coding exon. Besides the flanking coding exons, the exon length of all three genes is identical with the exception of coding exon 9 with identical length in tap2 and tapl but 3 bp elongated in tap1. Strikingly, the length of the introns is much longer for tapl than for tapl and tap2 (Uinuk-ool et al., 2003). For TAP1 only one splice isoform has been reported. However, a short (748 amino acids, aa) and a long (808 aa) variant of human TAP1 is found in the database, probably caused by alternative translation start sites. TAP2 has two splice isoforms of 653 and 703 aa with different 3'-terminal exons and varying peptide specificity (Yan et al., 1999). In addition to several allelic variants with single amino acid substitutions, a short and a long allelic variant of TAP2 with 686 and 703 aa has been described (Colonna et al., 1992). However, the expression of these different TAP1 and TAP2 variants and the impact on antigen presentation is not studied in detail.

For TAPL, six splice isoforms have been assigned in the UniProt database. The splice variants 12A, 12B, and 12C differ in the 3'-terminal exon (Kobayashi et al., 2003). Isoform 12B and 12C are likely inactive in peptide transport since both variants lack the conserved H-loop being essential for ATP hydrolysis. Two additional isoforms are probably non-functional as they lack the coding exon 6 or 7, which comprises transmembrane helices (TM) 9 and 10, and the sequence connecting the transmembrane domain (TMD) with the nucleotide-binding domain (NBD) (Zhang et al., 2000). The sixth isoform of TAPL is characterized by the absence of almost the entire NBD. Biochemical and cell biological data are available only for isoform 12A, the longest reported version with 766 aa. The activity and physiological function of the other TAPL variants is not resolved and questionable.

## DOMAIN ORGANIZATION OF TAP-RELATED TRANSPORTERS

All ABC transporters are modularly organized and consist of two NBDs and two TMDs. These domains are arranged either in the form of four polypeptides, one polypeptide, or as a fusion of two domains. In eukaryotes, only full transporters with all four domains in one polypeptide chain, or half-transporters composed of one TMD and one NBD, exist (Locher, 2016; Thomas and Tampé, 2018). TAP1, TAP2, and TAPL are half-transporters. TAPL forms a symmetric homodimer whereas TAP is composed of TAP1 and TAP2 and thus asymmetric (Powis et al., 1991; Leveson-Gower et al., 2004) (Figure 3). In addition to their conserved four-domain-architecture, termed coreTAP

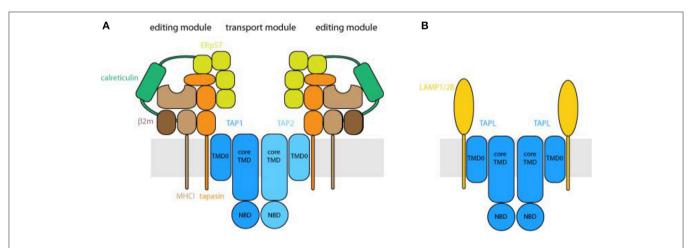


FIGURE 3 | Organization of the peptide transport machineries. (A) Architecture of the peptide loading complex. TAP composed of the half-transporter TAP1 and TAP2 forms the central translocation unit of the peptide loading complex. Each half-transporter consists of an N-terminal transmembrane domain (TMD) followed by a cytosolic nucleotide-binding domain (NBD). The core transporter, formed by the core TMDs, composed of 2 × 6 transmembrane helices and two NBDs, is fully active in peptide transport. The N-terminal TMD0s of TAP1 and TAP2, harboring four transmembrane helices each, interact with the transmembrane helix of tapasin. As central piece of the editing module, tapasin binds to ERp57, calreticulin and MHC I and destabilizes peptide MHC I interaction that only MHC I molecules loaded with high affinity peptides can shuttle to the cell surface. Depicted are two fully assembled editing modules, which can be found only transiently in cells. (B) TAPL forms a homodimeric transporter whereas the core transporter is composed of the 2 × 6 transmembrane helices and two NBDs. The four transmembrane helices comprising N-terminal TMD0 mediates lysosomal targeting as well as the interaction with LAMP1/2B. The stoichiometry between TAPL and LAMP1/2B is not solved.

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and coreTAPL, each transporter subunit carries an extra N-terminal four-transmembrane helix domain named TMD0. For both complexes, the TMD0 is not required for peptide transport (Koch et al., 2004; Demirel et al., 2010). However, the TMD0s mediate the direct interaction with type I membrane proteins in different cellular compartments.

The TMD0s of TAP1 and TAP2 are essential for the assembly of the MHC I peptide-loading complex (PLC), composed of TAP1, TAP2, tapasin, MHC I, calreticulin, and ERp57, and consequently for efficient loading of MHC I molecules with antigenic peptides (Hulpke et al., 2012b) (Figure 3A). As illuminated by the cryo-EM structure of the human PLC, TAP as transport module is encircled by two editing modules (Blees et al., 2017). Tapasin as central factor of the editing module binds to MHC I, ERp57, and calreticulin, which spans as a clamp around the editing module and therefore seems to stabilize the subcomplex. Interestingly, the two editing modules are scaffolded by two tapasin molecules, which are stacked to each other via salt bridges. Tapasin comprises the editing function guaranteeing that only MHC I molecules loaded with stably bound, high-affinity peptides can leave the ER and are shuttled to the cell surface (Blees et al., 2017). The TMD0s of TAP1 and TAP2 interact independently from each other with the transmembrane helix of the MHC I specific chaperone tapasin (Hulpke et al., 2012a). For human TAP, the interaction between TAP and tapasin is mainly mediated by a salt bridge in the hydrophobic core of the ER membrane between a conserved aspartate in TM1 of each TAP subunit and a lysine of tapasin (Blees et al., 2015). Notably, an exchange of the conserved aspartate to lysine in TM1 of TAP1 or TAP2 abolished the PLC assembly, which was rescued by a double lysine-to-aspartate exchange. For rat TAP, however, this conserved aspartate in TAP2 can be exchanged to alanine without an effect on tapasin binding. In this case, leucine-rich areas in TM1 and TM2 of TAP2 seem to be important for tapasin binding (Rufer et al., 2015). Interestingly, tapasin interacts also with core TM9 of unassembled TAP1 which facilitates transporter stability and heterodimerization (Leonhardt et al., 2014). Hence tapasin may act as a dummy protein as found in the pre-B-cell receptor to guarantee correct folding of TAP1 which is a prerequisite for TAP2 assembly (Keusekotten et al., 2006).

In the case of TAPL, the TMD0 interacts with the lysosomal associated membrane proteins LAMP-1 and LAMP-2B but not with the splice variant LAMP-2A, which is the receptor for chaperone-mediated autophagy (Figure 3B). Remarkably, the interaction with LAMP-1 protects TAPL from lysosomal degradation and significantly increases its half-life (Demirel et al., 2012). Furthermore, the TMD0 of TAPL is essential and sufficient for lysosomal targeting of the complex. Solely expressed TMD0 is transported to lysosomes while coreTAPL traffics to the plasma membrane. Coexpression of both modules leads to their stable association and lysosomal localization (Demirel et al., 2010). The interaction with LAMP-1/2 has an impact neither on the lysosomal localization nor on the transport activity of TAPL (Demirel et al., 2012). Since TMD0 does not contain one of the conventional di-leucine or acidic-based lysosomal targeting motifs (Bonifacino and Traub, 2003), the targeting mechanism is currently unknown. In contrast, TAP retention in the ER is independent of its TMD0s. Unlike TAPL, coreTAP does not interact with the TMD0s (unpublished results).

# CRYO-EM AND X-RAY STRUCTURES OF TAP-RELATED TRANSPORT SYSTEMS

In recent years, the ABC transporter field has experienced a revolution after reporting on the first high-resolution cryo-EM structure of an ABC transporter (Figure 4A) (Kim et al., 2015). The heterodimeric transporter TmrAB from *Thermus thermophilus* was selected from a structural genomic screen of TAP-related transporters. TmrAB was identified as a functional homolog of human TAP, complementing antigen processing and presentation in TAP-deficient cells isolated from BLS-I patients (Nöll et al., 2017). Despite the small complex size of 135 kDa and its pseudo-symmetric organization, cryo-EM revealed new insights into the asymmetric organization of TAP-related ABC transporters. Simultaneously, the X-ray structure of TmrAB in an apo, inward-facing conformation was determined at 2.7 Å resolution, providing atomistic details of peptide translocation complexes (Nöll et al., 2017) (Figure 4C).

The cryo-EM studies on TAP-related translocation complex TmrAB paved the way for numerous subsequent cryo-EM studies on ABC transporters, including human TAP (Oldham et al., 2016a,b), bovine MRP1 (Johnson and Chen, 2017, 2018), zebrafish and human CFTR (Liu et al., 2017; Zhang et al., 2017), ABCA1 (Qian et al., 2017), ABCG2 (Taylor et al., 2017), and SUR1 (Li et al., 2017; Martin et al., 2017a,b). To improve the resolution by cryo-EM, human TAP was arrested in a single conformation by the viral inhibitor ICP47, which has previously been shown to bind with high affinity to TAP (Ahn et al., 1996; Tomazin et al., 1996). By optimizing cryo-EM imaging and data processing, an average resolution of 4.0 Å was achieved in the core transport complex (Figure 4B), with higher resolution in TMDs, sufficient for registration of side chains, but at lower resolution in the NBDs, allowing the assignment of polypeptide backbone only (Oldham et al., 2016a). Due to their high flexibility, the TMD0s and the C-terminal part of the viral inhibitor comprising one-third of the complex could not be resolved.

The core translocation unit of TAP and also TmrAB resemble the structure of an inward-facing type I ABC exporter with 12 TMs where TM1-3 and 6 of one subunit and TM4/5 of the other subunit constitute one wing of the TMDs (Kim et al., 2015; Oldham et al., 2016a,b; Nöll et al., 2017). The NBDs are separated from each other but connected to the TMDs by cytosolic loops. In this ICP47-inhibited state, the interaction of the cytosolic loops is restricted to one NBD (ICL1 intra- and ICL2 intermolecular) and not both NBDs, as observed by disulfide crosslinking of TAP in ER membranes, when several conformations can be sampled (Oancea et al., 2009). The resolution of the NBDs, however, is not sufficient to allow detailed insights in the asymmetry of both TAP subunits. The first 55 residues of ICP47 form an  $\alpha$ -helical hairpin structure and contact mainly TMs from TAP2 with an interface twice the average size of a binding

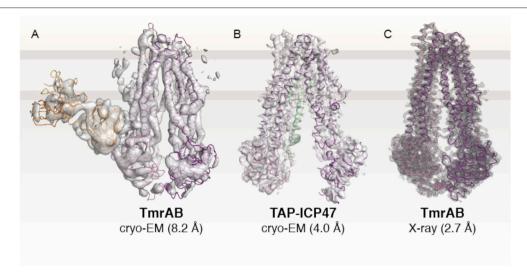


FIGURE 4 | Structures of TAP-related peptide transporters. (A) First high-resolution cryo-EM structure of an ABC transporter (Kim et al., 2015). The heterodimer TmrAB, a functional homolog of the TAP complex, was determined in an apo, inward-facing conformation in complex with a specific antibody fragment (EMD 6085. (B) Cryo-EM structure of human TAP in complex with ICP47 (EMD 8482; PDB 5U1D) at 4.0 Å (Oldham et al., 2016a). The N-terminal TMD0 of TAP1 and TAP2 and the C-terminal part of ICP47 are not visible due to their high flexibility. (C) X-ray structure of TmrAB at 2.7 Å resolution (PDB 5MKK) (Nöll et al., 2017). TmrAB and TAP1/2 are illustrated in light and dark magenta. The Fab fragment and ICP47 as fiducial marker or stabilizing factor are colored in yellow or green, respectively. The membrane border is indicated by thick gray lines.

interface, explaining the strong interaction and thermostability of the TAP complex (Herbring et al., 2016). The borders of the helical elements show a small deviation from the NMR structure of the active domain of ICP47 (residue 2-34) (Pfänder et al., 1999; Aisenbrey et al., 2006). The active domain has the same affinity as full-length ICP47 to inhibit peptide binding and transport, although the additional 21 residues resolved in the cryo-EM structure form close interactions with TM3 and cytosolic helix 1 of TAP2 (Galocha et al., 1997; Neumann et al., 1997). Although ICP47 interacts with TAP differently than antigenic peptides, the binding sites for the competitive inhibitor ICP47 and the peptides overlap at least partially, derived from a comparison of the ICP47-bound structure with biochemical data (Lehnert and Tampé, 2017). Remarkably, the inward-facing conformation of the ICP47-arrested TAP shows some distortion in the transmembrane region if compared to the X-ray structure of the TAP ortholog TmrAB. The lumenal gate is not closed, most likely induced by ICP47 binding, which leads to a strong bending of TM4/5 of TAP1 reflecting an altered cross-linking behavior (Lacaille and Androlewicz, 1998).

#### PEPTIDE BINDING

As for most ABC transporters, high-resolution structures with a bound substrate are still missing. Presently, the peptide-binding site of TAPL is not well-characterized because of the apparent micromolar peptide affinity (**Table 1**) (Wolters et al., 2005; Zhao et al., 2008). For TAP, molecular docking of peptides to homology models of TAP was performed to elucidate the peptide-binding site (Corradi et al., 2012; Geng et al., 2015;

Lehnert et al., 2016). In all three studies, the binding site is localized in the transmembrane region. Depending on the template, and therefore on the opening of the TMD used to build the homology model of TAP, on the procedure to restrain the conformation of the peptide and on the method to dock the peptide, the conformation of the bound peptide as well as the exact localization within the TMD differ greatly. TAP inhibited by ICP47 is not the appropriate structure for docking experiments, since it shows obvious deviations in the TMD from other ABC transporters (see above). The conformation of the peptide has the strongest impact on the docking. Since, up to now, it has not been possible to dock a 9-mer peptide to the large cavity of TAP because of the high degree of freedom, all three studies had to cope with constraints concerning the peptide conformation. One study performed replica exchange simulations, in which the  $C_{\alpha}$  of the N-terminal and C-terminal residue of the peptide were restrained to positively and negatively charged pockets assigned as binding sites for the N-terminal amino and the Cterminal carboxy group (Corradi et al., 2012). The peptide adopts an extended conformation. A second approach restrained the peptide in a  $\beta$ -hairpin conformation, which allowed docking in a position matching their crosslinking data (Geng et al., 2015).

Recently, the backbone structure of TAP-bound peptides was determined by dynamic nuclear polarization enhanced magic angle spinning solid-state NMR and subsequently used for molecular docking (Lehnert et al., 2016). This experimentally determined peptide structure adopts an extended conformation and perfectly agrees with pulsed EPR data, which showed for a similar peptide a distance of 2.5 nm of both termini (Herget et al., 2011). In the models by Corradi et al. and Lehnert et al. the binding pockets for the N- and C-terminus of the peptide

TABLE 1 | Characteristics of TAP-related transporters.

	TAP1/2	TAPL
Localization	ER (phagosomes)# (endosomes)#	Lysosomes (non-acidic granules)
Core transport unit	Heterodimer (6 + 6 TMs)	Homodimer (6 + 6 TMs)
Interaction module	TMD0 (4 TMs)	TMD0 (4 TMs)
Interaction partner	TAPBR (tapasin)	LAMP-1, LAMP-2B
ATP-binding sites	Asymmetric	Symmetric
ATPase activity	Strictly coupled	Uncoupled
Substrate specificity	Peptides (8–16 aa) position 1-3 and $\Omega$	Peptides (6–59 aa) position 1 and $\Omega$
Peptide affinity	High-affinity (50 nM)	Low-affinity* (10 $\mu$ M)
Trans-inhibition	Yes (16 μM)	Yes (1 mM)
Viral inhibitors	ICP47, US6, UL49.5, BNLF2a, CPXV012	

<sup>\*</sup>Antigen cross-presentation; \*derived from K<sub>m</sub>-value.

are separated by 2.5 nm (Herget et al., 2011; Corradi et al., 2012; Lehnert et al., 2016; Lehnert and Tampé, 2017). The N-terminus of the peptide binds in both models to the same negatively charged pocket. However, in the model presented by Corradi et al. the peptide is aligned parallel to the membrane plane, whereas in the model of Lehnert et al. the peptide is more perpendicular to the membrane plane. Therefore, the C-termini of the extended peptides bind to different positively charged pockets. In the bent peptide structure modeled by Geng et al. there are no charged pockets within TAP involved in the binding of the N- and C-terminal group since they form a salt bridge by themselves (Geng et al., 2015). While the distance of the charged binding pockets perfectly fits with the minimal peptide length recognized by TAP, the binding site in the model of Geng et al. cannot explain the size restriction.

## SPECIFICITY OF PEPTIDE TRANSPORTERS

TAP and TAPL are polypeptide ABC exporters, which move peptides out of the cytosol into the ER or into lysosomes, respectively. TAP prefers 8-16-mer peptides whereas TAPL displays a broader length window from 6 to 59-mer peptides with an optimum for 23-mer peptides (van Endert et al., 1994; Uebel et al., 1997; Wolters et al., 2005). The N-terminal amino group as well as the C-terminal carboxy group are involved in peptide binding since modifications of the termini interrupt peptide binding and transport. In initial experiments, using peptides composed of D-amino acids, the importance of side chains for substrate specificity was recognized. With combinatorial peptide libraries, the sequence specificity of both transporters was evaluated (Uebel et al., 1997; Zhao et al., 2008). For TAP, the first three N-terminal and the last C-terminal residues are relevant for peptide selection, whereas TAPL senses only the terminal residue on both ends. The selectivity pattern for TAP and TAPL is related: both favor basic and bulky residues and disfavor negatively charged side chains at their termini (Uebel et al., 1997; Zhao et al., 2008). Furthermore, for TAP a proline

at position two and acidic residues at position three strongly interfere with peptide binding. Since peptides with a proline are found at position two on the peptide-recipient MHC I molecule, N-terminal trimming of the translocated peptides by ER-luminal peptidase ERAP-1 and-2 must occur (for review see van Endert, 2011). The sequence between both ends can be highly promiscuous for both transporters, and even large fluorophores or ε-amino linked polylysine chains with a mass equivalent to the unmodified peptide are tolerated at these positions (Grommé et al., 1997; Neumann and Tampé, 1999; Gorbulev et al., 2001). Although the peptide binding site seems to be large and flexible, TAP binds only one peptide as determined by fluorescence correlation spectroscopy (Herget et al., 2009). Whether TAPL as a homodimeric and therefore symmetric transporter binds more than one peptide is an open issue, however from existing transport studies any cooperativity in peptide binding can be excluded (Wolters et al., 2005; Zhao et al., 2008). The epitope RRYQKSTEL derived from histone 3.3 and fluorescently labeled derivatives were intensively used to study peptide binding and transport of TAP and TAPL. Based on its sequence, this peptide represents a good binder for both transporters. Interestingly, TAP binds this peptide with a 100-fold higher affinity as TAPL (Table 1). Peptide binding to TAP is a two-step process with a fast high-affinity association followed by a slow structural rearrangement of the transporter. Bound to TAP, the termini of the peptide feature a distance of 2.5 nm as revealed by pulsed EPR spectroscopy (Herget et al., 2011). This distance limits the size of the peptide to 8-mer peptides, which bind in an extended conformation discovered by solid-state NMR (Lehnert et al., 2016). Since longer peptides are restricted to the same distance, they have to adopt an extended kinked conformation in the binding pocket (Herget et al., 2011). Remarkably, the distance of the termini of MHC I bound peptides fits to that of TAP. It seems that not only in respect to sequence but also concerning the N- to C-terminal distance, MHC I and TAP has co-evolved and therefore TAP functions as a filter for MHC I. Taken together, TAPL recognizes a broader spectrum of peptides than TAP in respect to peptide length and sequence implying a more general function of the lysosomal transporter, respectively.

#### PEPTIDE TRANSLOCATION

TAP and TAPL export similar peptides out of the cytosol. Peptide transport is coupled with ATP hydrolysis since the non-hydrolysable ATP analog AMPPNP or mutations in the conserved sequences of the ATP binding site interfere with peptide translocation (Lapinski et al., 2001; Chen et al., 2004; Wolters et al., 2005; Perria et al., 2006; Demirel et al., 2007). Interestingly, mutation of the highly conserved aspartate of the D-loop of TAP1 to alanine turns TAP into a ligand-gated passive facilitator (Grossmann et al., 2014). The D-loop is localized in the interface between both NBDs and seems to be involved in communication between both ATP-binding sites. The ATP-binding site I of TAP formed by the Walker A/B motif of TAP1 and the C-loop of TAP2 shows strong deviation from the consensus sequences. This "degenerate" site has a strongly

reduced ATP hydrolysis activity compared to the consensus site and is assumed to have a regulatory function not being directly involved in the energetics of peptide translocation (Lapinski et al., 2001; Chen et al., 2003). Interestingly, substituting the degenerate sequences by the consensus sequences creates a hyperactive transporter (Chen et al., 2004). TAP notably shows a direct coupling between peptide transport and ATP hydrolysis with no significant basal ATPase activity (Gorbulev et al., 2001; Grossmann et al., 2014). Moreover, there is a quality sensor since peptides, which bind to TAP but are not transported, do not stimulate ATPase activity (Gorbulev et al., 2001). Since TAPL has a high basal ATPase activity, a strong coupling between peptide binding, transport, and ATP hydrolysis is not expected (Zollmann et al., 2015).

Both transporters pump peptides against their concentration gradient as demonstrated by different techniques. Remarkably, TAPL accumulates peptides to an approximately 50-fold higher concentration than TAP. Both transporters do not reach the thermodynamic limit since they are inhibited in trans. This trans inhibition is comparable with product inhibition in classical enzyme kinetics. In the trans-inhibited state the transported peptide forces the transporter in the outwardfacing conformation and therefore inhibits the conformational change to the inward-facing ground state. Subsequently, peptide transport and ATP hydrolysis are suppressed (Grossmann et al., 2014; Zollmann et al., 2015). The physiological meaning of this inhibition is an interesting point of discussion. In lysosomes, the peptides will be immediately degraded by the lysosomal proteases while in ER, the peptides will be bound to MHC class I and therefore removed from the pool of free peptides, or exported into the cytosol by an unresolved mechanism (Koopmann et al., 2000). The turnover rates of TAP and TAPL for peptide transport  $(k_{cat})$  determined in proteoliposomes by classical ensemble experiments, averaging over all molecules, indicate a low transport rate with a  $k_{cat}$  of approximately 0.1 peptide/min (Zhao et al., 2008; Schölz et al., 2011; Grossmann et al., 2014). The amount of functional purified and reconstituted transporters can vary immensely and cannot be determined correctly by these ensemble experiments. Therefore, the singlemolecule based method dual color fluorescence burst analysis was applied on TAPL transport (Zollmann et al., 2015), by which the accumulation of fluorescently labeled peptides in single liposomes is monitored in the confocal volume of a microscope. Thereby, active transporters can be separated from inactive ones. Remarkably, only approximately 10% of TAPL was active in transport after reconstitution. To the end, a transport rate of eight peptides per min was determined, which fits to ATP hydrolysis kinetics of other well-characterized ABC exporters such as MsbA and ABCC3 (Zehnpfennig et al., 2009; Kawai et al., 2011). It is worth mentioning that data on solute transport kinetics are limited since most of the eukaryotic ABC exporters translocate hydrophobic substances, which are intrinsically problematic to analyze. Furthermore, TAP as well as TAPL operate strictly unidirectional and the process is not reversible as for the F<sub>0</sub>F<sub>1</sub> ATP-synthase, which functions as ATP hydrolase or synthase depending on the proton gradient (Grossmann et al., 2014; Zollmann et al., 2015).

## PURIFICATION AND FUNCTIONAL RECONSTITUTION

A prerequisite for the detailed analysis of these transporters is their synthesis, purification, and functional reconstitution into liposomes. While there is no natural source with high expression found for TAPL, the B-lymphoma cell line Raji shows high endogenous expression suitable not only for cell biological but also for biochemical studies (Uebel et al., 1997; Gorbulev et al., 2001; Chen et al., 2003). Both transporters are stably and transiently expressed in mammalian cell lines (Demirel et al., 2012; Hinz et al., 2014). Moreover, good expression in Spodoptera frugiperda cells with the baculovirus expression system and even stably integrated in the genome in Drosophila melanogaster cells is reported (Meyer et al., 1994; van Endert et al., 1994; Schoenhals et al., 1999; Wolters et al., 2005). In baker's yeast only very small amounts of TAP were produced (Urlinger et al., 1997), whereas both transporters are expressed to a decent level in Pichia pastoris, high enough for biochemical and structural studies (Schölz et al., 2011; Parcej et al., 2013; Zollmann et al., 2015; Oldham et al., 2016a). For TAPL, a single step purification via His-tag was applied (Zhao et al., 2008), whereas the heterodimeric TAP complex is isolated in a twostep, orthogonal process using a His-tag and a streptavidinbinding-peptide tag to capture only heterodimeric complexes (Parcej et al., 2013). More recently, TAP was isolated by the viral inhibitor ICP47 ending with a thermostable, inhibited complex well-suited for single particle cryo-EM analyses of the native MHC I peptide loading complex (Herbring et al., 2016; Blees et al., 2017). Functional reconstitution is a prerequisite for mechanistic studies. A critical step is the detergent used for solubilization. Interestingly, TAPL is less dependent on the detergent since digitonin as well as n-dodecyl-β-D-maltoside (DDM) restores its activity (Zhao et al., 2008), whereas TAP is only functionally solubilized in digitonin and the steroid based glycol-diosgenin in the range of 80 different detergents tested (Herget et al., 2009; Lehnert et al., 2016). Digitonin solubilized TAP is enriched in phosphatidylethanolamine and phosphatidylinositol. Remarkably, TAP solubilized in DDM and therefore inactive could regain its activity if reconstituted in membranes rich in these phospholipids (Schölz et al., 2011).

#### **AUTHOR CONTRIBUTIONS**

All authors listed have made a substantial, direct and intellectual contribution to the work, and approved it for publication.

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# The Expression of AQP1 IS Modified in Lung of Patients With Idiopathic Pulmonary Fibrosis: Addressing a Possible New Target

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Galán-Cobo A, Arellano-Orden E, Sánchez Silva R, López-Campos JL, Gutiérrez Rivera C, Gómez Izquierdo L, Suárez-Luna N, Molina-Molina M, Rodríguez Portal JA and Echevarría M (2018) The Expression of AQP1 IS Modified in Lung of Patients With Idiopathic Pulmonary Fibrosis: Addressing a Possible New Target. Front. Mol. Biosci. 5:43. doi: 10.3389/fmolb.2018.00043 Activation of the epithelial-mesenchymal transition process (EMT) by which alveolar cells in human lung tissue undergo differentiation giving rise to a mesenchymal phenotype (fibroblast/miofibroblasts) has been well recognized as a key element in the origin of idiopathic pulmonary fibrosis (IPF). Here we analyzed expression of AQP1 in lung biopsies of patients diagnosed with IPF, and compared it to biopsies derived from patients with diverse lung pneumonies, such as hypersensitivity pneumonitis, sarcoidosis or normal lungs. Immunostaining for AQP1 showed a clear increment of AQP1 localized in the alveolar epithelium in biopsies from IPF patients alone. Moreover, to examine the possible participation of AQP1 in the pathophysiology of IPF, we evaluated its role in the pro-fibrotic transformation induced by transforming growth factor (TGF-β) in vitro. Human alveolar epithelial cells (A549), and fibroblasts derived from an IPF patient (LL29), or fibroblasts from healthy normal lung tissue (MRC-5), were treated with TGF-β, and levels of expression of AQP1, as well as those of E-cadherin, vimentin, α-SMA and collagen were analyzed by RT-qPCR, western blot and immunohistochemistry. An increase of AQP1 mRNA and protein after TGF-β treatment (4-72h) was observed either in A549 or IPF fibroblast-LL29 but not in MRC-5 fibroblasts. A gradual reduction of E-cadherin, and increased expression of vimentin, with no changes in α-SMA levels were observed in A549. Whereas in LL29 and MRC-5, TGF-β1 elicited a large production of collagen and α-SMA that was significantly greater in IPF fibroblast-LL29. Changes observed are consistent with activation of EMT by TGF-β, but whether modifications in AQP1 expression are responsible or independent events occurring at the same time is still unknown. Our results suggest that AQP1 plays a role in the pro-fibrotic TGF-β action and contributes to the etiology and pathophysiology of IPF. Understanding AQP1's role will help us comprehend the fate of this disease.

Keywords: interstitial lung disease (ILD), fibrosis, sarcoidosis, aquaporins (AQPs), inflamation, type II pneumocytes, IPF, AQP1

#### INTRODUCTION

Idiopathic pulmonary fibrosis (IPF) is the most widely found interstitial lung disease. IPF is a progressive disease usually fatal, characterized by inability of the alveolar epithelium to repair a lesion. The re-epithelialization fails and transformation to fibroblast/myofibroblast persist, with increase in extracellular matrix deposition and alteration of functional lung architecture, which will finally produce a respiratory failure (Lynch et al., 2006; Raghu et al., 2011). Clinical IPF is normally associated in surgical lung biopsy with a histopathological pattern of usual interstitial pneumonia (UIP). Though the origin of this pathology remains not fully understood, (Willis and Borok, 2007; Spagnolo et al., 2015) it is postulated that following alveolar epithelial injury, a process of repair and scar formation is initiated that implicates important changes and cell activation. However, in some cases, an alteration of the healing process occurs and lung fibrosis develops (Willis et al., 2006). In IPF, activated epithelial cells and recruited inflammatory cells release potent fibrogenic growth factors and cytokines that favor fibroblasts proliferation and its transformation to myofibroblasts (Khalil et al., 2005; Takai et al., 2013). The activation of an epithelial-mesenchymal transition (EMT) process by which alveolar epithelial cells (AEC) undergo a transition or trans-differentiation process to mesenchymal like cells, such as fibroblasts or myofibroblasts, is gaining acceptance as a key element in the origin of this pathology. Both pathological alternative pathways do not exclude each other and may likely coexist in the origin of IPF.

Different fibrogenic growth factors have been clearly implicated as inducers of lung fibrosis, among them the transforming growth factor (TGF- $\beta$ ) is perhaps the most relevant factor associated to lung fibrosis. Furthermore, a crucial role for TGF- $\beta$  has been indicated in the induction of EMT in many physiological scenarios, including for instances in tissue fibrosis (Chapman, 2011; Tirino et al., 2013). It has been demonstrated that TGF- $\beta$  induces *in vitro* EMT in AEC, and that epithelial and mesenchymal markers co-localize in hyperplastic alveolar type II cells in interstitial pulmonary fibrosis (IPF) tissue, indicating that AEC could show great plasticity and function as a source for new fibro- and myofibroblasts cells in lung fibrosis (Chapman, 2011; Tirino et al., 2013).

Several aquaporins (AQP1, AQP3, AQP4, and AQP5) have been recognized in the respiratory system. Each one presents in a particular location, probably indicating different physiological roles in the pulmonary tissue (Guarino et al., 2009; Camelo et al., 2014). AQP1 is expressed in both membranes, apical and basolateral, of the microvascular endothelium, besides of being expressed in the visceral pleura. AQP3 and AQP4 are expressed in the airway epithelium. AQP5 is expressed in the apical membrane of type-I pneumocytes, and also in the apical side of acinar cells in the submucosal glands of nasopharynx and rest of airways.

In previous studies, we demonstrated that Aquaporin-1 (AQP1) up-regulates its expression in the lung of animals exposed to hypoxia for 24–48 h (Echevarría et al., 2007). We also demonstrated that the transcription factor inducible by hypoxia HIF-1a, partially participates in this process along with other factors in the inflammatory pathway (Abreu-Rodríguez et al.,

2011). We then became interested if the regulation by hypoxia would occur in patients suffering hypoxemic diseases and whether the remodeling of AQP1 expression may play a role in developing pathologies, such as chronic obstructive pulmonary disease (EPOC) (Calero et al., 2014) and IPF (Gutiérrez et al., 2013).

A clear association between expression of AQP1 and increment of cell proliferation in different cellular models has been recently demonstrated (Galán-Cobo et al., 2015, 2016). Moreover, in a murine model of acute lung injury a relationship between ANGII and AQP1 expression was indicated (Cao et al., 2010) and up-regulation of pulmonary AQP1 expression was observed in mice with pulmonary fibrosis induced by bleomycin (Gao et al., 2013), leading authors to propose a possible role for AQP1 in the pathogenesis of lung fibrosis.

Thus, with all these precedents in mind we decided to look for alternative pathways that may explain connections between changes in the AQP1 expression pattern in lung cellular types of patients with IPF and the pathophysiology of the disease. Lung biopsies of patients with different interstitial lung disorders were analyzed by immunohistochemistry for AQP1 expression and experiments in cell lines treated with the pro-fibrotic agent TGF- $\beta$ 1 were performed *in vitro*. The human alveolar epithelial cell line A549, and two different cell lines of human fibroblast, one derived from an IPF patient (LL-29) and the other from healthy fibroblast (MRC5), were treated with TGF- $\beta$ 1, and the correlation between expression of AQP1 and other proteins were analyzed to determine their role in the induced EMT or fibroblast-myofibroblast transition process.

#### MATERIALS AND METHODS

#### **Human Tissue Samples**

All paraffin-embedded samples were acquired from the Department of Pathology, HUVR of Seville, Spain. Originally, samples were obtained by pulmonary biopsy corresponding to IPF and other fibrotic and non-fibrotic interstitial lung diseases (ILD). For the control group we took samples of patients undergoing surgery for spontaneous pneumothorax otherwise healthy. The number of groups, as well as the number of samples included per group in the analysis are indicated in Table 1, and are as follow: (20) idiopatic pulmonary fibrosis-usual interstitial pneumonia (IPF-UIP), (2) non-specific interstitial pneumonia (NSIP), (1) cryptogenic organizing pneumonia (COP), (5) IPF-not otherwise specified, (6) hypersensitivity pneumonitis, (4) sarcoidosis and (7) control lungs. Written informed consent was obtained from all participants. The study followed the tenets of the WMA Declaration of Helsinki for research involving human subjects and was approved by the ethics committee of the University Hospital Virgen del Rocío (HUVR).

#### Immunohistochemistry of Biopsies

All samples examined were obtained from formalinfixed, paraffin-embedded pieces. Slices of 5 µm were cut with a microtome and mounted on microscope slides. Immunohistochemical samples were obtained from paraffin

sections that were immersed in xylene and rehydrated through a series of decreasing dilutions of ethanol. Blocking of endogenous peroxidase, epitope retrieval and immunostaining procedure were done as previously reported (López-Campos et al., 2011). Primary antibody, rabbit polyclonal anti-AQP1, (1:500 dilution, Abcam, Cambridge, UK) was used overnight, for the developing of brown precipitates we then used the two steps system EnVision + Dual Link System-HRP (DakoCytomation, Dako Denmark). Qualitative analysis was made by two independent observers. We assigned zero for no staining on the sample, low positive (<25% of the sample stained), medium positive (50% of the sample stained) and high positive (>75% of the sample stained) values (Tables 1, 2). Immunoreactivity was analyzed in terms of surface and type of cells showing AQP1 on their surface. Samples were photographed using an AX70-Olympus microscope equipped with an Olympus DP10 camera (Denmark).

#### **Cell Culture**

A549 cells (Human lung alveolar epithelial) and LL29 (Human idiopathic pulmonary fibrosis) were purchased

**TABLE 1** | Summary of interstitial lung diseases (pneumonias) included in the study.

	N	(%)
Idiopathic Pulmonary Fibrosis (IPF)/Usual interstitial pneumonia (IPF-UIP)	20	44.4
Non-specific interstitial pneumonia (NSIP)	2	4.4
Cryptogenic organizing pneumonia (COP)	1	2.2
Idiopathic interstitial pneumonia not otherwise specified	5	11.1
Hypersensitivity pneumonia	6	13.3
Sarcoidosis	4	8.9
Controls	7	15.6
Total	45	100

from the American Type Culture Collection (ATCC, Rockville, USA); MRC-5 (Human lung fibroblast cell line) cells were obtained from the European Collection of Cell Culture (ECACC, Salisbury, UK). A549 cells were cultured as monolayers in DMEM:F12 (F12K Nut Mix) supplemented with 10% heat-inactivated fetal bovine serum, 100 U/mL penicillin-streptomycin (Invitrogen, Carlsbad, CA, USA). MRC-5 cells were cultured as monolayers in MEM supplemented with 10% heat-inactivated fetal bovine serum, 100 U/mL penicillin-streptomycin, and 2 mM Lglutamine (Invitrogen, Carlsbad, CA, USA). LL-29 cells were cultured in Ham's F12K medium supplemented with 15% heat-inactive fetal bovine serum, 100 U/mL penicillin-streptomycin, and 2 mM L-glutamine (Invitrogen, Carlsbad, CA, USA). Cells were cultured at 5% CO2 at 37°C.

#### TGF-β1 Assays

A549 (2.4 × 10<sup>5</sup>), MRC-5 (3 × 10<sup>5</sup>), and LL-29 (2.5 × 10<sup>5</sup>) cells were plated on 60 mm dishes (Nunc, Roskilde, Denmark), when the cells reached 80% of confluence we added fresh medium 1% FBS for 24 h and then we added 10 ng/ml of TGF-β1 (R&D System, Minneapolis, MN, USA) for 4, 16, 24, 48, or 72 h. We used untreated cells as control.

Morphological changes in A549, MRC-5 and LL-29 cells were examined by inverted fluorescence microscopy (Zeiss Axiovert 25, Carl Zeiss Co. Oberkochen, Germany) and photographed using a CCD Canon camera.

### Indirect Immunocytochemistry Staining of Cultures Cell

Cells were seeded into 24-well plates at a concentration of  $2 \times 10^4$  cells/well. After treatment with  $10\,\text{ng/ml}$  TGF- $\beta1$  for 24 h the culture medium was discarded and cells were washed with Ca<sup>++</sup>-Mg<sup>++</sup> PBS 1x and fixed with 3% paraformaldehyde for 10 min at room temperature. Cells were

TABLE 2 | Association between AQP1 staining and interstitial pneumonias.

		Type II pneumocy	tes AQP1 immunoreactivity		P-value*
	Negative	Low positive	Medium positive	High positive	
Usual interstitial pneumonia (n = 20)	2 (10%)	1 (5%)	8 (40%)	9 (45%)	0.001
Non-specific interstitial pneumonia ( $n = 2$ )	-	-	1 (50%)	1 (50%)	0.087
Cryptogenic organizing pneumonia $(n = 1)$	-	-	1 (100%)	-	0.249
Idiopathic interstitial pneumonia not otherwise specified ( $n = 5$ )	4 (80%)	-	1 (20%)	-	0.685
Hypersensivity pneumonia ( $n = 6$ )	3 (50%)	3 (50%)	-	-	0.266
Sarcoidosis ( $n = 4$ )	4 (100%)	-	_	-	1
Control $(n = 7)$	6 (85.7%)	1 (14.3%)	_	_	_

Two independent observers gave negative value for no stain, low positive for <25% of the sample stained, medium positive for 50% of the sample stained and high positive for >75% of the sample stained.  $^*$ P-value was calculated by  $\chi$ 2 with Monte Carlo method for association between AQP1 staining and interstitial pneumonias comparing each interstitial pneumonia against the control group and using the Bonferroni correction for multiple comparisons (p < 0.008) only the group of usual interstitial pneumonia resulted different from control (p = 0.001).

washed and permeabilized/blocked with 0.1% Triton X-100 in 10% Fetal Calf Serum (FCS) solution for 10 min at room temperature and incubated with primary antibodies; 1:200 of E-cadherin (BD Biosciences, San Jose, CA, USA) and 1:100 of AQP1 (Abcam, Cambridge, UK) overnight at 4°C. After washing with PBS cells were then incubated with Alexa 488 donkey anti-mouse (Invitrogen, Carlsbad, CA, USA) 1:200 in blocking solution for AQP1 and E-cadherin staining for 1 h at room temperature. F-actin was labeled with red-fluorescent Alexa Fluor 594 Phalloidin (Thermo Fisher Scientific, Waltham, MA, USA) 1:1000 for 10 min at room temperature. Samples were washed with PBS and then mounted with DAKO (Invitrogen, Carlsbad, CA, USA) and preserved at 4°C. Immunocytochemestries were photographed using a fluorescence microscope Olympus BX 71 with a refrigerated camera DP70.

#### **Western Blotting**

Plated cells were washed with cold PBS, collected by scraping in 1 ml of cold PBS and centrifuged at 300 g for 5 min at 4°C. For whole-cell protein extract, the pellet was lysed in a variable volume of homogenization buffer containing: 50 mM Hepes (pH 7.3); 5 mM EDTA; 250 mM NaCl; 5 mM DTT; 0.2% (v/v) NP40 (Sigma-Aldrich); and 1% (v/v) Complete Protease Inhibitors Cocktail (Sigma-Aldrich). The resuspended pellet was left on ice for 5-15 min, vortexed, and then centrifuged at 16,000 g for 15 min at 4°C, and proteins contained in the supernatant were stored. Protein concentration was analyzed using the Bradford method (BioRad Protein Assay, BioRad, Berkeley, CA) and kept at −20°C until Western blot analysis (Galán-Cobo et al., 2016). For all proteins analyzed, 30 µg of whole-cell extracts were resolved by SDS-PAGE on 10-12% gels. After electrophoresis, proteins were transferred onto PVDF membranes (Hybond-P, Amersham Biosciences, Pittsburgh, PA) using a Novex apparatus (Novel Experimental Technology, San Diego, CA). Membranes were probed with 1:700 anti-AQP1 (Abcam, Cambridge, UK), 1:2500 anti-E-Cadherin (BD Biosciences, San Jose, CA, USA), 1:1500 anti-Vimentin (Abcam, Cambridge, UK), 1:1000 anti-α-SMA (Abcam, Cambridge, UK) and 1:10000 anti-cyclophilin A (Abcam, Cambridge, UK) antibodies. Immunoreactive bands were developed with the ECL Prime system (Amersham Biosciences) and visualized using a digital imaging system (ImageQuant LAS 4000 Mini, GE Healthcare, Buckinghamshire, UK).

#### **RNA Isolation and Quantitative PCR**

RNA was extracted from cells using TRIzol reagent (Invitrogen, Carlsbad, CA, USA) according with manufacturer protocol. After mRNA isolation, reverse transcription (RT) was performed for 5 µg of RNA using SuperScript II RNase-H reverse transcriptase (Invitrogen, Carlsbad, CA, USA). Real-time quantitative PCR (qPCR) analysis was carried out in an ABI Prism 7500 Sequence Detection System using SYBR Green PCR Master Mix (Applied Biosystems, Warrington, UK) and the thermocycler conditions recommended by the manufacturer. The expression of HPRT1 was used to normalize for differences in amounts of input DNA between samples. Primers were designed using the Primer Express software (version 2.0, Applied Biosystems, Warrington, UK) and their sequences are indicated in Table 3. Melting curve analysis showed a single sharp peak with the expected melting temperature for all samples.

#### Statistical Analysis

Data analysis was performed using SPSS Advanced Statistics (SPSS Inc., Chicago, Illinois), version 19.0. For the statistical analysis of data derived from analysis by immunohistochemistry of patient biopsies, the "p" value for comparative analysis of association between AQP1 staining and interstitial pneumonias was calculated by  $\chi^2$  with Monte Carlo method. The Bonferroni correction was used for multiple comparisons and the Fisher exact test when comparing each interstitial pneumonia against the control group. For all experiments performed in cells in culture the data are expressed as mean  $\pm$  standard error of the mean from at least four different experiments. The statistical significance for normal distribution data was estimated using one-way ANOVA followed by Bonferroni's post-hoc test to compare more than two groups. Data with non-normal distribution were analyzed using Mann-Whitney U or Kruskal-Wallis H-test for two or more than two groups, respectively. Values of  $p \le 0.05$  were considered significant.

TABLE 3 | Primer sequences used for the amplification of cDNAs by qPCR.

Genes	Forward sequence	Reverse sequence
Aquoporin 1 (AQP1)	GGACACCTCCTGGCTATTGACTAC	GTTGCTGAAGTTGTGTGATCAC
E-Cadherin (CDH1)	TCGACACCCGATTCAAAGTG	GTCCCAGGCGTAGACCAAGA
Vimentin (VIM)	TGCCCTTAAAGGAACCAATGAG	AGGCGGCCAATAGTGTCTTG
α-SMA (ACTA2)	CTGTTCCAGCCATCCTTCAT	CCGTGATCTCCTTCTGCATT
Collagen Type I Alpha 1 (COL1A1)	TGACCGAGACGTGTGGAAAC	CAGATCACGTCATCGCACAAC
Hypoxanthine Phosphoribosyltransferase 1 ( <i>HPRT1</i> )	ACTGAACGTCTTGCTCGAGATG	AGCAGGTCAGCAAAGAATTTATAGC

Primers were designed using the Primer Express software (Applied-Biosystems). qPCR: quantitative PCR.

#### **RESULTS**

#### AQP1 Is Specifically Expressed in Hyperplasic Cuboidal Type II Pneumocytes in the Alveolar Epithelium in Patients With Idiopathic Pulmonary Fibrosis

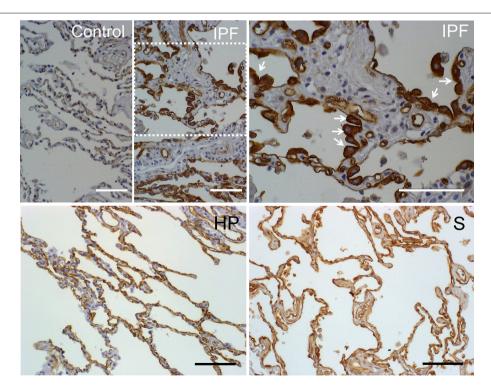
In the present study we analyzed expression of AQP1 in lung biopsies of patients diagnosed with IPF, and compare it to biopsies derived from diverse interstitial lung diseases such as others pneumonias, hypersensitivity pneumonitis, sarcoidosis, or normal lungs (Table 1). Conditions for AQP1 antibody immunohistochemistry in human lung biopsies were determined in a previous study (López-Campos et al., 2011). A summary of results from the analysis of biopsies is presented in Table 2. Normal lung tissues is consistent with previous reports (López-Campos et al., 2011; Calero et al., 2014) in that AQP1 immunostaining was detected predominantly in endothelial cells of alveoli capillaries and the rest of blood vessels; faint labeling was also detected on neumocytes of the alveolar wall (Figure 1). No labeling was detected in absence of the primary antibody (data not shown).

Compared to the rest of pathologies analyzed, the immunohistochemistry assays revealed that only biopsies from IPF show a clear increment of AQP1 staining that localizes remarkably over hyperplasic cuboidal type II pneumocytes in the alveolar epithelium, and to a much lesser grade over few planar, hyperplasic or metaplasic type I cells (**Figure 1**). A more detailed

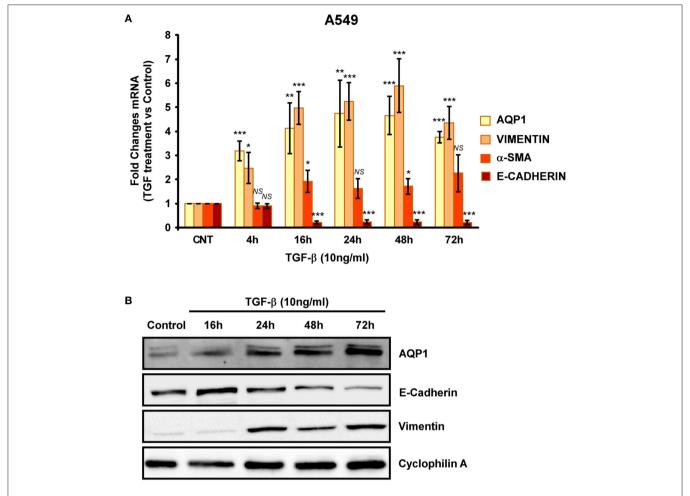
observation over the fibrosis focus of IPF patients, where the transition from fibroblasts to miofibroblasts occurred, showed that type I epithelial cells lining the fibrotic focus are totally deprived of AQP1 expression. In the rest of the samples basal AQP1 expression was limited to erythrocytes and endothelial cells. Absence of AQP1 labeling was observed over the interstitial lung or in myoepithelial cells. Changes in the expression pattern of  $\beta$ -catenin and E-cadherin were also studied but need further analysis of the immunoassays. In conclusion, the analysis demonstrates a possible role for AQP1 expression in the reactive process occurring over fibrotic focus of the alveoli epithelium of IPF patients.

## AQP1 Is Overexpressed Along Epithelial Mesenchymal Transition (EMT) Induced by TGF-β

To further investigate the implication of AQP1 in the initiation and progression of IPF we examined epithelial cells as central to the pathogenesis of IPF. Experiments performed in the human lung epithelial cell line A549 has shown that treatment with the pro-fibrotic agent TGF- $\beta$ 1 (10 ng/ml) produces a time course (24, 48, 72, and 96 h) differentiation of the epithelial cells toward a fibroblastic-like phenotype, together with an increase of mRNA levels of the mesenchymal markers vimentin (5-fold) and  $\alpha$ -SMA (2.5-fold). Consequently, a decrease in the levels of the epithelial marker E-cadherin was found after TGF- $\beta$ 1 treatment. Interestingly, levels of AQP1-mRNA were also measured and a



**FIGURE 1** Immunostaining of AQP1 in lung biopsies of patients. Pulmonary parenchyma of a healthy patient is shown as control. In the pulmonary parenchyma of patients with usual intersticial pneumonia (IPF) reactive type II pneumocytes of alveolus showed intense expression of AQP1 (see the arrows in the IPF at larger magnification). In biopsies of patients with hypersensitivity pneumonia (IPP) or sarcoidosis (S), the staining of AQP1 was mainly located in capillaries endothelia. Scale bar represents 100 µm in each case. Note the different size of bar in each case.



**FIGURE 2** | Analysis of EMT induction in A549 cell lines. Cells were treated with TGF- $\beta$ 1 (10 ng/ml) for 4, 16, 24, 48, and 72 hours and the mRNA levels of AQP1, E-cadherin, Vimentin and  $\alpha$ -SMA where quantified by real time RT-PCR analysis **(A)** and the protein levels by Western blot **(B)**.

substantial increase of AQP1 expression (5-fold) was observed in parallel to the changes described before for vimentin and  $\alpha\text{-SMA}$  (Figure 2A). Similar results to those described for the mRNA, were found for the protein levels of E-cadherin, vimentin and AQP1 (Figure 2B). Immunostaining for AQP1 again showed higher expression levels of AQP1 in the lung epithelial cells A549 after TGF- $\beta$ 1 treatment (Figure 3), supporting previous data and indicating a possible role of AQP1 in the EMT process in lung tissue.

We then repeated similar experiments with TGF- $\beta1$  using two different fibroblast cell lines. A cell line of fibroblasts derived from patients with IPF (LL29) and a cell line of healthy fibroblasts (MRC-5). An increment of the capacity for extracellular matrix production was found in LL29 cells after TGF- $\beta1$  treatment indicated by higher levels of type I collagen (10-fold) and of  $\alpha$ -SMA (5-fold). In parallel a strong and stable induction of AQP1 mRNA and protein levels were observed in this cell line obtained from an IPF patient (**Figure 4**) unlike, in the healthy fibroblast cell line MRC-5. Although similar induction of  $\alpha$ -SMA and type I collagen were found, AQP1 levels remained unchanged after TGF- $\beta1$  treatment (**Figure 5**).

Altogether, these results support the hypothesis that increments of AQP1 expression induced by TGF- $\beta$ 1 occur in the lung tissue of patients with IPF. As a result of rising AQP1 in the alveolar epithelia, the cells undergo EMT or fibroblast-myofibroblast transition, thereby contributing to formation of fibroblastic foci and subsequent fibroblast and extracellular matrix accumulation.

#### DISCUSSION

Immunohistochemical studies in rats and mice have shown that AQP1 is present in the capillaries and in the sub-epithelial connective tissue of the animal's respiratory tract. Alveolar cells do not express AQP1 on its surface and expression of AQP1 is restricted to the capillary endothelium (Nielsen et al., 1997). At present, the idea remains that AQP1 provides the principal pathway for osmotic transport of water between airspace and lung capillary compartments. Nevertheless, neither alveolar fluid clearance in neonatal and adult lung nor lung fluid accumulation in experimental models of lung injury have reported being affected by AQP1 deletion.

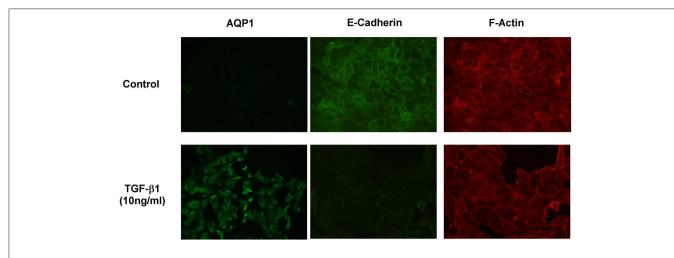
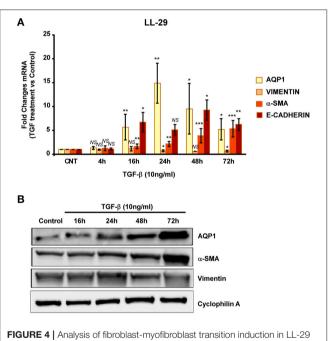
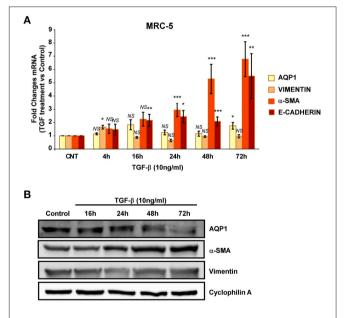


FIGURE 3 | Immunostaining showing AQP1, E-cadherin and F-actin expression in A549 cells without TGF-β1 treatment (Control) and after TGF-β1 (10 ng/ml) treatment.



**FIGURE 4** | Analysis of fibroblast-myofibroblast transition induction in LL-29 cell line. Cells were treated with TGF- $\beta$ 1 (10 ng/ml) for 4, 16, 24, 48, and 72 hours and the mRNA levels of AQP1, Vimentin, α-SMA and Collagen type I where quantified by real time RT-PCR analysis **(A)** and the protein levels of AQP1, Vimentin and α-SMA were quantified by Western blot **(B)**.

In the present work, we have shown for the first time a large expression of AQP1 in human lung tissue from IPF patients, not only in endothelial cells but also in the alveolar epithelial surface. Alveolar surface is covered by two types of epithelial cells, alveolar cells called type I (AT I) and type II (AT II), also called pneumocytes type I and type II. AT I cells represent 90% of the alveolar border and are responsible for making gas exchange. AT II cells are characterized by synthesizing and secreting pulmonary surfactant which actives alveolar surface and prevent



**FIGURE 5 |** Analysis of fibroblast-myofibroblast transition induction in MRC-5 cell line. Cells were treated with TGF- $\beta$ 1 (10 ng/ml) for 4, 16, 24, 48, and 72 hours and the mRNA levels of AQP1, Vimentin, α-SMA and Collagen type I where quantified by real time RT-PCR analysis **(A)** and the protein levels of AQP1, Vimentin and α-SMA were quantified by Western blot **(B)**.

its collapse among other important functions such as immune defense (Chroneos et al., 2010). AT II cells are progenitors of AT I cells and therefore are responsible for repairing the alveolar epithelium after damage. Under normal conditions, the alveolar epithelial repair occurs through the proliferation of AT II cells and their differentiation into AT I cells. However, IPF is characterized by the fact that both alveolar type II cells and the type I undergo apoptosis and are replaced by fibroblasts (Barbas-Filho et al., 2001) contributing to the disappearance and transformation of the alveolar epithelium. As previously

described, ATI cells do not express AQP1 (Nielsen et al., 1997). Interestingly, our data shows that expression of AQP1 in the alveolar epithelium was exclusively found in ATII cells.

Analysis performed in different diseases other than IPF show that AQP1 expression is not uniform. This water channel is not expressed in normal lung alveolar cells and is expressed to a much lesser extent in AT II cells from samples obtained from various interstitial lung diseases other than IPF. Even in cases of intense inflammatory activity like hypersensitivity pneumonitis or sarcoidosis, there is not expression of AQP1 in alveolar epithelial cells. The highest expression of AQP1 in type II alveolar epithelial cells occurs significantly in IPF samples. IPF is a progressive disease of unknown etiology (Crystal et al., 2002) that classically presents a histopathological pattern of UIP. The primary characteristics are areas of conventional fibrosis with variable regions of fibroblastic foci, scattered with zones of normal or almost normal lung. Additionally, IPF is also typified by ATII cell hyperplasia (Selman and Pardo, 2003). Although initial studies gave special attention and prevalent role to inflammation on fibrogenesis, the present hypothesis proposes a principal role of the epithelium in the pathogenesis and progression of this disease. Thus, IPF is likely the result of an initial epithelial injury to which the natural repair process fail to repair setting an initial focus of cell activation and damage (Chilosi et al., 2003). Identification of cells in which markers of epithelial and mesenchymal phenotypes are co-expressed, seen both in human lung and in cultures in vitro, sustain the paradigm by which alveolar epithelial cells may serve as a supply of myofibroblasts in lung fibrosis (Willis et al., 2005). Currently, activation and apoptosis of epithelial cells is considered one of the initial events in the development of IPF (Sisson et al., 2010).

In IPF, proliferation and migration are two important events in the alveolar epithelium transformation. Interestingly, AQP1 has been implicated in cell proliferation and migration promotion in lung among other proliferative tissue models (Saadoun et al., 2005; Wei and Dong, 2015; Wu et al., 2015; Galán-Cobo et al., 2016; Tomita et al., 2017; Wang et al., 2017; Yun et al., 2017). On the other hand, EMT is a key event in the IPF progression, which promotes accumulation of fibroblasts and deposition of extracellular matrix in response to epithelial injury. TGF-β signaling is considered a key activator of EMT and fibroblast activation in this disease (Chapman, 2011; Tirino et al., 2013). Migration is an essential process during EMT, and although AQP1 has not been directly related with EMT, it is well know that this protein helps the cell migration process by facilitating water influx at the tip of the lamellipodium, resulting in a membrane protusion (Saadoun et al., 2005), and by interacting with β-catenin (Monzani et al., 2009; Yun et al., 2017). Other AQPs, such as AQP3 and AQP5, activate this process as well (Chen et al., 2014, 2017). Therefore, the large increase in expression of AQP1 we observed on AT II cells in IPF patient

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The initial injury which causes lesions in epithelial cells that lead to pulmonary fibrosis are unknown. For the very first time, we have demonstrated increased expression of AQP1 in type II alveolar epithelial cells in IPF patient samples. The increased expression of AQP1, its possible relationship with hyperplasia, and the subsequent insult of ATII remains unclear. Although deeper analysis are required to elucidate the role of AQP1 in the origin of IPF, our findings suggest AQP1 plays an important role in disease progression of IPF patients and should be further explored.

#### CONCLUSIONS

The alveolar epithelium of a normal human lung does not express AQP1. However, our studies indicate that IPF patient's hyperplasic type II pneumocytes show an increase in AQP1 expression on their surface. We investigated the role of TGF- $\beta$ 1, in the epithelial to mesenchymal transition of alveolar epithelial cells and lung fibroblast in IPF patient samples and also confirmed the induction of AQP1 expression. Therefore, the appearance of hyperplastic cells and regulation of AQP1 are implicated in the physiopathology of IPF.

#### **AUTHOR CONTRIBUTIONS**

AG-C and EA-O: Made all molecular biology experiments with culture cells; RS-S and NS-L: Carried out the immunoassays over patient's biopsies; JL-C, CG, MM-M, and JR: Selected, treated and diagnosed the patients included in the analysis; LG: Revised and interpreted immune results in patient's biopsies; ME and AG-C: Conceived and designed the experiments and wrote the manuscript; ME and JR: Contributed reagents, materials, and analysis tools. All authors read and approved the final manuscript.

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### Nutritional Stress Induced by Amino Acid Starvation Results in Changes for Slc38 Transporters in Immortalized Hypothalamic Neuronal Cells and Primary Cortex Cells

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Amino acid sensing and signaling is vital for cells, and both gene expression and protein levels of amino acid transporters are regulated in response to amino acid availability. Here, the aim was to study the regulation of all members of the SLC38 amino acid transporter family, Slc38a1-11, in mouse brain cells following amino acid starvation. We reanalyzed microarray data for the immortalized hypothalamic cell line N25/2 subjected to complete amino acid starvation for 1, 2, 3, 5, or 16h, focusing specifically on the SLC38 family. All 11 Slc38 genes were expressed in the cell line, and Slc38a1, Slc38a2, and Slc38a7 were significantly upregulated at 5 h and most strongly at 16 h. Here, protein level changes were measured for SLC38A7 and the orphan family member SLC38A11 which has not been studied under different amino acid starvation condition at protein level. At 5 h. no significant alteration on protein level for either SLC38A7 or SLC38A11 could be detected. In addition, primary embryonic cortex cells were deprived of nine amino acids, the most common amino acids transported by the SLC38 family members, for 3h, 7h or 12h, and the gene expression was measured using qPCR. Slc38a1, Slc38a2, Slc38a5, Slc38a6, Slc38a9, and Slc38a10 were upregulated, while Slc38a3 and Slc38a7 were downregulated. Slc38a8 was upregulated at 5 h and downregulated at 12 h. In conclusion, several members from the SLC38 family are regulated depending on amino acid levels and are likely to be involved in amino acid sensing and signaling in brain.

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#### INTRODUCTION

Amino acid sensing and signaling is important for cells to control metabolism and protein synthesis, as well as catabolism and autophagy (Hyde et al., 2003; Kilberg et al., 2005). The amino acid sensing machinery is mainly mediated via the mechanistic target of rapamycin complex 1 (mTORC1) and the amino acid response (AAR) pathway (Gallinetti et al., 2013; Efeyan et al., 2015). These pathways are activated depending on amino acid availability and when the cells have plenty of amino acids, the mTORC1 pathway is activated to maintain protein synthesis and cellular growth (Laplante and Sabatini, 2012). The RAG kinase complex (Rag A/B and Rag C/D) are activated

and translocate mTORC1 to the lysosomes where it binds the Ragulator complex. The pathway is fully activated when the lysosomal GDP bound protein Rheb becomes GTP bound in response to growth factors and encounters the mTORC1 complex (Jewell et al., 2013). Contrary, when the cells have scarce levels of amino acids the AAR pathway is activated, leading to reduced protein synthesis (Efeyan et al., 2015). The GCN2 kinases senses the limitation by binding to uncharged tRNAs (Deval et al., 2009) and phosphorylates the eukaryotic initiation factor  $2\alpha$  (eiF2 $\alpha$ ) which strongly inhibits the cap dependent translation (Harding et al., 2000; Zhang et al., 2002). The activating transcription factor 4 (ATF4) is upregulated by repressed translation (Averous et al., 2004) and bind elements termed amino acid response elements (AAREs) or nutrient sensing response elements (NSREs) in other genes. These elements are short nucleotide sequences and genes holding these are hence transcriptionally upregulated (Barbosa-Tessmann et al., 2000; Fafournoux et al., 2000; Bruhat et al.,

Amino acids are important regulators of gene expression (Fafournoux et al., 2000) and numerous amino acid transporters are transcriptionally regulated in response to amino acid levels. These transporters are suggested to function as tranceptors, as they can both function as transporters as well as amino acid sensors (Hundal and Taylor, 2009). Several amino acid transporters from the Solute carrier (SLC) superfamily are transcriptionally altered depending on amino acid levels (Taylor, 2014). The SLCs are the largest group of transporters in human and comprises over 400 members divided into 65 families (Hediger et al., 2013)<sup>1</sup>. The members in each SLC family share at least 20 % protein sequence identity with another family member, and therefore also functional characteristics (Hediger et al., 2004). The SLCs are membrane-bound uniporters, symporters, or antiporters, with a diverse substrate profile and transport, among others, amino acids, sugars, fatty acids, vitamins, hormones, ions, and drugs (Hediger et al., 2013). One of the amino acid transporter families is the SLC38 family; the sodium coupled neutral amino acid transporter (SNAT) family, which holds 11 members, encoded by the genes Slc38a1-11 (Sundberg et al., 2008). All members are functionally characterized (Bröer, 2014; Rebsamen et al., 2015; Hellsten et al., 2017b) except for SLC38A6 and SLC38A11, but SLC38A6 is histologically characterized in mouse brain (Bagchi et al., 2014). These transporters translocate small neutral amino acids, mostly glutamine, alanine, and asparagine (Bröer, 2014). Members from this family are shown to be involved in amino acid sensing and signaling, and SLC38A2 was upregulated on both gene and protein levels after amino acid starvation in BeWo cells (Novak et al., 2006). In the Slc38a2 gene an AARE is identified in the first intron (Palii et al., 2006). Moreover, SLC38A9 is located to lysosomes and it is a component of the Ragulator-Rag complex responsible for amino acid sensing and activation of the mTORC1 (Jung et al., 2015; Rebsamen et al., 2015; Wang et al., 2015). SLC38A1 was recently found to be regulated in an amino acid responsive way (Bröer et al., 2016). In brain, several of the family members are proposed to participate in the glutamate/GABA-glutamine cycle which occurs between neurons and astrocytes (Bröer, 2014; Scalise et al., 2016).

In this study the aim was to study the transcriptional regulation of the SLC38 family members in a mouse neuronal cell-line following amino acid starvation, to identify which transporters could be involved in amino acid sensing and signaling in brain. Data from expression microarrays of the N25/2 cell-line exposed to complete amino acid starvation from a previous study (Hellsten et al., 2017c)was reanalyzed specifically for the SLC38 family. We also investigate regulatory changes at the protein level of SLC38A7 using western blot, since this member was transcriptionally upregulated in the hypothalamic cell line and has not previously been studied. In addition, changes at the protein level were also studied for SLC38A11using western blot which was chosen because this is the only family member that is still orphan. In addition, primary embryonic cortex cells were deprived of nine amino acids, glycine, L-alanine, Lasparagine, L-glutamine, L-histidine, L-isoleucine, L-leucine, Lserine, and L-valine, the most common amino acids transported by the SLC38 members and the gene expression changes were measured for the entire family using qPCR.

#### MATERIALS AND METHODS

#### **Heat Map Analysis**

Genesis version 1.7.6 was used to generate both heat maps. The microarray and analysis of data was performed in Hellsten et al. (2017c) and the array data can be accessed from the NCBI-GEO database with accession number GSE61402. The heat map displaying the gene expression changes measured with microarray for the *Slc38* genes in the immortalized hypothalamic cell line N25/2 was obtained by using the difference in log2 gene expression scores between the starved and control cells. *Slc38a1*, *Slc38a9*, and *Slc3810* had two probes each on the DNA chip and the expression score from both probes were used in the heat map analysis. The heat map presenting the gene expression changes measured with qPCR after partial amino acid starvation in primary cortex cells was generated by using the difference between the normalized mean values of expression in starved and control cells.

#### Culturing and Complete Amino Acid Starvation of the Immortalized Hypothalamic Cell Line N25/2

The starvation experiment was performed in Hellsten et al. (2017c). Briefly, the mouse immortalized embryonic hypothalamic cell line N25/2, (mHypoE-N25/2, CEDARLANE, Canada) was cultured in Dulbecco's modified Eagles medium (DMEM) supplemented with 10% Fetal Bovine Serum (FBS), 1% Penicillin-Streptomycin and 1% Fungizone<sup>®</sup> Antimycotic at 37°C in 5% CO<sub>2</sub>, 95% air. Cells were grown in Nunclon surface dishes 150 × 20 mm (Thermo Scientific, USA) to 70–90% confluency before starvation experiment. Medium for the experiment was prepared using Earle's balanced salt solution (EBSS) supplemented with 1 mM Sodium Pyruvate 100 mM, 4X MEM Vitamin Solution. The control medium was as well

<sup>&</sup>lt;sup>1</sup>http://slc.bioparadigms.org/

supplemented with 0.4 mM glycine, 0.4 mM L-arginine, 0.2 mM L-cystine, 4.0 mM L-glutamine, 0.2 mM L-histidine, 0.8 mM L-isoleucine, 0.8 mM L-leucine, 0.8 mM L-lysine, 0.2 mM Lmethionine, 0.4 mM L-phenylalanine, 0.4 mM L-serine, 0.8 mM L-threonine, 0.08 mM L-tryptophan, 0.4 mM L-tyrosine and 0.8 mM L-valine (Sigma-Aldrich, USA). The complete DMEM medium was replaced with starvation EBSS medium or control EBSS medium. The cells were incubated in the different media for 1, 2, 3, 5, or 16h before RNA was extracted using RNeasy Midi Kit (Qiagen, Germany), following the manufacturers protocol. The samples from 1, 2, 3, and 16 h were run in singlets in each treatment group (starved and control), while the samples from 5h were run in quadruplicates. Protein was extracted from three replicates in each treatment group from 5 h using the Allprep® DNA/RNA/Protein Mini kit (Qiagen, Germany) following manufacturers protocol. The protein concentration was measured using the Protein Quantification kit-Rapid (Sigma-Aldrich, USA) in FALCON® 96 well Clear Microtest Plate (Corning, USA) in FLUOstar Omega (BMG LABTECH, Germany) with the Omega MARS software.

#### Western Blot Analysis of Protein Expression in the Hypothalamic Cell Line N25/2

Ten microliters (~9-11 μg) of protein samples were diluted in 15  $\mu l$  of sample buffer [95% 2  $\times$  Lammeli's sample buffer (Bio-Rad, USA), 5% 2-mercaptoethanol (Sigma-Aldrich, USA)] was added and the samples were incubated at 95°C for 5 min. Twenty-five microliters of samples were loaded in wells together with 15 μl Page ruler<sup>TM</sup> Prestained Protein ladder, 10–180 kDa (Thermo Fisher Scientific, USA). Electrophoresis was performed at 250 V for approximately 25 min with gel Mini-protean TGX Precast Gels 4–15%, 10 well comb, 50 μl/well (Bio-Rad, USA) with running buffer (0.025 M Trizma base, 0.192 M Glycine, 0.1% SDS). Proteins were transferred to a 0.2 µm PVDF membrane using the Trans-Blot® Turbo™ Mini PVDF Transfer Packs (Bio-Rad, USA) in the Trans-Blot® Turbo<sup>TM</sup> Transfer System for 7 min (Bio-Rad, USA). The membrane was incubated in blocking buffer [5% Blotting grade blocker Non-fat dry Milk (Bio-Rad, USA) in TTBS (0.15 M NaCl, 0.01 M Trizma base, 0.05% Tween-20, pH = 8.0)] for 1 h, before incubation in the custom made polyclonal anti-SLC38A7 (produced in rabbit) (Innovagen, Sweden) (NH2-CVMSKEPDGASGSPW-CONH2), which was used in Hägglund et al. (2011) diluted 1:200 or the custom made polyclonal anti-SLC38A11 (produced in rabbit) (Innovagen, Sweden) (MSYQQPQLSGPLQRC) diluted 1:100 with β-actin (produced in mouse) (Sigma-Aldrich, USA) diluted 1:1000 in blocking buffer overnight at 4°C. The membrane was washed 3 × 10 min in TTBS before 1 h incubation in goat-anti-rabbit horseradish peroxidase antibody (Invitrogen, USA) diluted 1:5000 in blocking buffer. The membrane was washed 4  $\times$  10 min in TTBS and the blot was developed using Clarity Western ECL Substrate and visualized using a CCD camera (Bio-Rad, USA). For SLC38A7 the membrane was washed 6 × 10min in TTBS after development and the membrane was incubated in β-actin diluted 1:1000 in blocking buffer overnight and the membrane was treated as stated above. With the exception that the membrane was incubated in goatanti-mouse horseradish peroxidase antibody (Invitrogen, USA) diluted 1:10000 for 1 h. For SLC38A11 the membrane was washed in TTBS 6  $\times$  10 min after development and incubated in goatanti-mouse horseradish peroxidase antibody (Invitrogen, USA) diluted 1:10000 in blocking buffer followed by development as stated above.

## Calculations of Normalized Protein Expression

The western blots were quantified using ImageJ software and the protein expression was normalized against  $\beta$ -actin. GraphPad Prism 5 (Graph Pad software, USA) was used to generate graphs and for statistical calculations. Unpaired t-test were performed with significance levels (\* $\leq$ 0.05, \*\* $\leq$ 0.01, \*\*\*<0.001).

#### **Ethical Statement**

Experiments including mice were approved by local ethical committee in Uppsala (Uppsala Djurförsöksetiska Nämnd, Uppsala district court) (Permit Number C67/13), following the guidelines of European Communities Council Directive (2010/63). C57Bl6/J mice (Taconic M&B, Denmark) were used and the mice had free access to water and standard R3 chow (Lantmännen, Sweden). The mice were housed in a temperature, light/dark, and humidity controlled room. Mice were mated in the animal facility and pregnancies were confirmed by the mucus plug.

#### Preparation and Partial Amino Acid Starvation of Primary Embryonic Cortex Cells

The preparation and starvation of primary cells were performed as described in Perland et al. (2017). Briefly, a pregnant female mouse was euthanized by cervical dislocation at embryonic day e14.5. Cortices were dissected from the embryos and placed in ice cold PBS (137.0 mM NaCl, 2.7 mM KCl, 8.1 mM Na<sub>2</sub>HPO<sub>4</sub>) supplemented with 10.0 mM glucose (all from Sigma-Aldrich, USA). The tissues were chemically dissociated using a DNase/Papain solution prior mechanical dissociation. Single cells were filtered through a 70 µm nylon cell strainer (BD Stockholm, Sweden) before plated at a density of  $7.5 \times 10^4$  $1.5 \times 10^5$  cells per well in PLL coated 6 well plates (Invitrogen, USA) using plating media. Following cell adhering, the plating media was replaced with growth media supplemented with B27 (Gibco<sup>®</sup>, Life technologies, USA). 75% of the medium was changed every third day and the cells grew for 10 days before starvation experiment. The control medium was prepared using EBSS (Gibco®, Life technologies, USA) supplemented with 2.0 mM GlutaMAX<sup>TM</sup> and the amino acids glycine, L-alanine, L-arginine, L-asparagine, L-cysteine, L-histidine, L-isoleucine, L-leucine, L-lysine, L-methionine, L-phenylalanine, L-proline, L-serine, L-threonine, L-tryptophan, L-tyrosine, and L-valine (Sigma-Aldrich, USA) was added in the same concentrations as in the Neurobasal® A medium. The starved medium was prepared using EBSS supplemented with L-arginine, L-cysteine,

L-lysine, L-methionine, L-phenylalanine, L-proline, L-threonine, L-tryptophan, and L-tyrosine (Sigma-Aldrich, USA) in the same concentrations as in the Neurobasal® A medium. Both the control and starvation medium was supplemented with 1.0 mM Sodium-Pyruvate, 1% Penicillin-Streptomycin, 2% B-27<sup>®</sup> (50X), 4X MEM Vitamin Solution (100X) (Gibco®, Life technologies, USA) and 10.9 mM HEPES (1 M) buffer solution (Gibco<sup>®</sup>, Life technologies, USA). Hence, the starved cells were deprived of glycine, L-alanine, L-asparagine, L-glutamine, L-histidine, Lisoleucine, L-leucine, L-serine and L-valine, which are among the most common amino acids transported by the SLC38 family and their precursors. The experiment was run in triplicates in each treatment group (starved vs. control cells) and the cells were treated in the limited amino acid medium or the complete amino acid medium for 3, 7, or 12 h before RNA was extracted using RNeasy Midi Kit (Qiagen, Germany), following the manufacturers protocol. cDNA synthesis was performed using the High-Capacity RNA-to-cDNA kit (Invitrogen, USA) according to the manufacturers protocol and the cDNA from the triplicates in each treatment group were pooled. The cDNA concentrations were measured using a ND-spectrophotometer (NanoDrop Technologies, USA).

## qPCR Analysis of Gene Expression in Primary Embryonic Cortex Cells

The cDNA samples were analyzed using qPCR on MyiQ thermal cycler (Bio-Rad Laboratories, Sweden). Primers were designed using Beacon Designer v.8 (Premier Biosoft, USA) and the primers used are listed in Table 1. Housekeeping genes used for normalization were mouse  $m\beta$ -Actin, mGlycerylaldehyde 3phosphate dehydrogenase and mHistone 3a. Sixty nanograms cDNA per qPCR reaction was combined with 0.05  $\mu l$  of each primer (100 pmol/µl), 3.6 µl 10X DreamTag buffer (Thermo Fischer Scientific), 0.2 µl of 25 mM dNTP mix (Thermo Fischer Scientific), 1µl DMSO, 0.5 µl SYBR Green (Invitrogen) and 0.08 µl of Dream Taq (5U/µl, Thermo Fisher scientific). The volume was adjusted to 20 µl with water. The amplification was performed as follow; initial denaturation, 95.0°C for 30s, 45 cycles of: 95.0°C for 10s, 55.8-60.0°C for 30s and 72.0°C for 30s. Cycling was followed by melt curve performance for 81 cycles, starting at 55.0°C, with steps of 0.5°C and 10s intervals. All qPCRs were run in triplicates and water was used as a negative control.

## **Data Analysis and Normalized Expression Calculations**

The MyIQ software (Bio-Rad Laboratories, Sweden) was used to obtain the qPCR cycle threshold (Ct) -values and melt curve data. The melting curves were compared to the negative control to verify that only one product was amplified. The triplicates for the raw Ct-values were compared and excluded if the difference was greater than 0.5. For *Slc38a4* and *Slc38a11*, no Ct outliers could be removed due to high Ct values (Ct > 35). The efficiency for each primer pair was determined using LinRegPCR v7.5 and the average qPCR primer efficiency and standard deviation were calculated after significant outliers were removed using Grubbs

TABLE 1 | Primers used for qPCR.

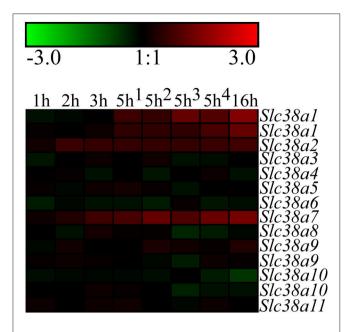
•	
Primer	Forward/Reverse
Slc38a1	F:tga cga gag tca cgc aga gat g, R:gag cag tat gag aac aag cga agc
Slc38a2	F:tct act cgc tgg ttc ttc, R:aat aaa ctt gtc act tcc ctt
Slc38a3	F:act ctt gtc ttc ttc cct ctc ctc, R:gcc tcc ctt ctc cca gca g
Slc38a4	F:tot cac tot aca cca aca cta agg, R:act cta tac tgg caa ccg tca ttc
Slc38a5	F:tgg agg tgt ctg gtc tct aat aa, R:ggc agt gag gca act cta agg
Slc38a6	F:gga aga aca cca cag acc aga atc, R:tgc tct ctt gcc tct tgc tct c
Slc38a7	F:att gtt gtt ctc cca tcc atc cc, R:act gtg aaa ggc agc act tgg
Slc38a8	F:cgt ggt gac tcg gga cag, R:ta caa gcc agg gac act aag g
Slc38a9	F:ttg aaa gcg agg gaa atg atg gtc, R:atg gga atg agg gtc act gag aag
Slc38a10	F:tgg tga agg ctc cga aga aag g, R:act tgg ctt ggg tct gaa ctg g
Slc38a11	F:act ttc aat tcg gaa cct, R:cat cag tgc taa tct tgt g
mβ-Actin (mActb)	F:cct tct tgg gta tgg aat cct gtg, R:cag cac tgt gtt ggc ata gag g
mGlycerylaldehyde 3-phosphate dehydrogenase (mGAPDH)	Figor tto ogt gtt cot acc, Rigor tgc tto acc acc tto
mHistone3a (mH3a)	F:cct tgt ggg tct gtt tga, R:cag ttg gat gtc ctt ggg

outlier test (GraphPad Software, USA). The delta Ct-method was used to transform the Ct-values into relative quantities with standard deviations for each treatment time. Geometric means of all housekeeping genes were calculated and the normalized mRNA levels were calculated by dividing the relative Ct-values of the sample by the geometric mean of the housekeeping genes relative Ct-values. Unpaired t-tests (\* $\leq$ 0.05, \*\* $\leq$ 0.01, \*\*\* $\leq$ 0.001) were performed using GraphPad Prism 5 (GraphPad Software, USA) between the control cells and the starved cells.

#### **RESULTS**

#### Microarray Analysis of Gene Expression in the Immortalized Hypothalamic Cell Line N25/2

The immortalized hypothalamic cell line N25/2 was completely starved of amino acids and the gene expression was analyzed using microarray in Hellsten et al. (2017c). All 11 genes encoding members of the SLC38 family were expressed in the cell line (expression score > 5.0). Slc38a1, Slc38a9, and Slc38a10 had two probes each on the microarray and the results were comparable between the probes. The regulation of the Slc38 genes is presented in the heat map (**Figure 1**). The red color represents upregulation and green color represents downregulation of gene expression. In



**FIGURE 1** Heat map analysis of the gene expression changes for *Slc38a1-11* after complete amino acid starvation performed on the immortalized hypothalamic cell line N25/2. A heat map of the gene expression changes measured with microarray between starved cells and controls at 1, 2, 3, 5, or 16 h. The color scale represents the log2 difference between starved and control cells. Green color represents downregulation and red color represent upregulation of gene expression. Note that *Slc38a1*, *Slc38a9*, and *Slc3810* had two probes each on the gene chip and the expression scores from both probes are presented in the heat map.

**Table 2**, all differences in log2 expression scores and the adjusted *P*-value at 5 h of starvation, and in addition the system, substrates and location in brain for all family members are presented. *Slc38a1*, *Slc38a2*, and *Slc38a7* were significantly upregulated at 5 h (i.e., adj. *P*-value < 0.01) of amino acid starvation, and strongly upregulated at 16 h of starvation. *Slc38a3*, *Slc38a4*, *Slc38a5*, *Slc38a6*, *Slc38a6*, *Slc38a8*, *Slc38a9*, *Slc38a10*, and *Slc38a11* were not significantly changed at 5 h in the array.

## Protein Expression of SLC38A7 And SLC38A11 in the Immortalized Hypothalamic Cell Line N25/2

We chose to study SLC38A7 and SLC38A11 on protein level. This, because SLC38A7 was transcriptionally upregulated in the hypothalamic cell line and this transporter has not previously been studied on protein level. While the other two SLC38 family members (Slc38a1 and Slc38a2) found to be transcriptionally upregulated in the N25/2 hypothalamic cells have previously been studied in several studies and therefore we found it most interesting to investigate the regulation of SLC38A7. In addition, SLC38A11 is currently the only family member that has not been either studied histologically or functionally so therefore we found it interested to study this transporter on protein level in the hypothalamic cells. The protein expression of SLC38A7 was analyzed using western blot and a band was detected with approximate size of 62 kDa in all samples except

first replicate of starved cells (Figure 2A). β-actin was used for normalization and the blot is displayed in Figure 2B. SLC38A7 was upregulated in the second sample while downregulated in the third sample of starved cells compared with the controls. In the first starved sample, SLC38A7 was not detected, however βactin was detected. The normalized protein levels for SLC38A7 were calculated in each replicate (1, 2 and 3) in each treatment group (Figure 2C). The expression of SLC38A7 was combined in the starved cells and control cells (mean value normalized protein expression  $\pm$  SD) and overall the protein expression of SLC38A7 was not significantly changed (p = 0.6886; Figure 2D). The protein expression of the orphan family member SLC38A11 was analyzed using western blot and in the blot a band with approximate size of 46 kDa was detected (Figure 3A). The predicted size of SLC38A11 in mouse is 49.6 kDA (453 amino acids, NP\_796048) and hence the blot indicates specific binding of the anti-SLC38A11 antibody. β-actin was used to normalize the protein expression and was detected in all replicates in each treatment group (Figure 3B). SLC38A11 was downregulated after starvation in the first and second sample, while upregulated in the third sample (Figure 3C). The expression of SLC38A11 was combined in the starved cells and control cells (mean value normalized protein expression  $\pm$  SD) and these results indicate that the protein expression of SLC38A11 was unchanged (p = 0.4108; Figure 3D).

## qPCR Analysis of Gene Expression in the Primary Embryonic Cortex Cells

Primary cortex cells were partly deprived of the most common amino acids transported by the SLC38 family, as well as their precursors, for 3, 7, and 12 h. Subsequently, the gene expression was measured with qPCR for Slc38a1-11 (Figure 4A). All genes were detected in the primary cells, however Slc38a4 and Slc38a11 had low mRNA expression (i.e., CT>35) and these results should hence be considered with caution. Slc38a1 was upregulated at 12 h (p = 0.0495). Slc38a2 expression was increased at all three deprivation timepoints 3 h (p = 0.0012), 7 h (p = 0.0026), and 12 h (p = 0.0026). Slc38a3 was downregulated at 12 h (p = 0.0158), Slc38a4 expression was unchanged at all three timepoints and Slc38a5 expression was increased at 7h (p = 0.0159) and 12 h (p = 0.0304). Slc38a6 expression was upregulated at 3 h (p = 0.0079) and 7 h (p = 0.0057). Slc38a7 expression was decreased at 7 h (p = 0.0054) and Slc38a8 was upregulated at 3 h (p = 0.0059) of deprivation but downregulated at 12 h (p = 0.0323). Slc38a9 was upregulated early at 3 h (p = 0.0165)while Slc38a10 was upregulated late at 12 h (p = 0.0201). Slc38a11expression was increased at 3 h (p = 0.0295) and decreased at 12 h (p = 0.0455). The heat map (**Figure 4B**) summarizes the gene expression changes measured with qPCR for each Slc38 gene.

#### DISCUSSION

Amino acid sensing and signaling are crucial for cells to control basic functions and transporters involved in these processes often act as amino acid sensors (Efeyan et al., 2015). In many cancer cells, amino acid transporters are upregulated to enable

TABLE 2 | Summary of the microarray data and the SLC38 transporters.

Gene	<del>-</del>	2 h	3 h	5 h1	5 h <sup>2</sup>	5 h <sup>3</sup>	5 h <sup>4</sup>	Adj. P-value (5h)	16 h	System A/N	Substrates	Main location in brain
Slc38a1	-0.25	-0.08	-0.02	0.72	0.64	1.17	1.00	0.00009461	1.52	A	Gin, Ala, Asn, Cys, His, Ser	GABAergic neurons (Solbu et al., 2010)
	0.07	0.05	0.14	0.55	0.59	0.56	0.83	0.00010526	1.22			
Slc38a2	0.23	0.68	0.63	0.64	0.64	0.63	0.68	0.00001176	0.78	⋖	Ala, Asn, Cys, Gln, Gly, His, Met, Pro, Ser	Glutamatergic neurons (González-González et al., 2005)
Slc38a3	-0.28	-0.02	0.014	90.0	0.20	-0.20	-0.13	0.93754260	-0.01	z	GIn, His, Ala, Asn	Astrocytes (Boulland et al., 2003)
Slc38a4	-0.02	0.07	-0.20	-0.07	-0.29	-0.05	0.11	0.73438555	-0.21	A	Ala, Asn, Cys, Gly, Ser, Thr	¢.
Slc38a5	0.07	-0.13	0.15	0.26	90.0	-0.23	-0.04	0.96736096	-0.04	z	Gln, Asn, His, Ser	Astrocytes (Cubelos et al., 2005)
Slc38a6	-0.36	-0.10	-0.24	-0.21	-0.32	90.0	-0.29	0.12927331	-0.15	¿.	¢.	Glutamatergic neurons (Bagchi et al., 2014)
Slc38a7	0.17	0.34	0.72	0.86	1.17	0.91	1.25	0.00002241	1.38	N (Hägglund et al., 2011)	Gln, His, Ser, Ala, Asn	GABAergic and glutamatergic neurons (Hägglund et al., 2011)
Slc38a8	0.00	-0.21	0.26	0.10	0.08	-0.45	-0.31	0.49605581	-0.10	A (Hägglund et al., 2015)	Gln, Arg, Ala, Asp, Leu, His, Asn, Pro, Glu	GABAergic and glutamatergic neurons (Hägglund et al., 2015)
Slc38a9	-0.13	0.18	0.01	-0.01	0.33	0.22	0.09	0.26471418	0.37		Arg, Gln, His, Pro, Lys, Glu, Leu	GABAergic and glutamatergic neurons (Hellsten et al., 2017a)
	0.07	0.17	0.09	0.03	-0.09	-0.36	0.17	0.68362400	0.10	ċ		
Slc38a10	-0.15	-0.09	-0.08	-0.08	-0.17	90.00	-0.36	0.16057397	-0.62	A (Hellsten et al., 2017b)	Gin, Glu, Ala, D-Asp, Ser (Hellsten et al., 2017b)	Neurons and astrocytes (Hellsten et al., 2017b)
	0.02	0.02	0.12	0.09	-0.04	-0.38	-0.22	0.30033341	-0.21			
Slc38a11	0.21	0.04	0.18	0.18	0.04	-0.10	0.17	0.65258319	-0.07	5	3	5

Difference in log2 expression scores between the staved and control cells from the microarray analysis is presented (1–16 h), as well as the system, substrates and astrocytic/GABAergic/glutamatergic-neuronal location in brain for the transporters. Information about system classification and substrate profile were obtained from SLC tables (http://www.bioparadigms.org/slc/intro.htm) otherwise stated, (? Not known).

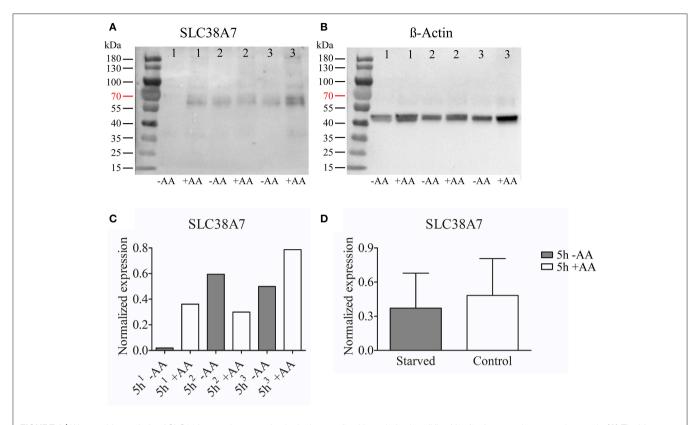


FIGURE 2 | Western blot analysis of SLC38A7 protein expression in the immortalized hypothalamic cell line N25/2 after complete starvation at 5 h. (A) The blot (developed for 18.4 s) displays the protein expression of SLC38A7 in three replicates (1, 2, 3) from each treatment group. The predicted size of the mouse SLC38A7 protein is 49.9 kDA (463 amino acids, NP\_766346) and a band with approximately size of 62 kDa was detected. (B) The blot (developed for 3.1 s) displays the protein expression of β-actin in each sample which was used for normalization of protein expression in each sample. (C) The graph illustrates the normalized protein expression of SLC38A7 in the starved cells compared with the amino acid treated controls for each replicate. (D) The graph represents the normalized protein expression of all replicates in each group (mean value of protein expression ± SD). An unpaired *t*-test was performed between the protein expression in starved cells and controls. No difference (p = 0.6886) was detected on protein level for SLC38A7.

rapid cell growth and are therefore potential drug targets e.g. as SLC1A5, SLC6A15, SLC7A5, and SLC7A11 (Bhutia et al., 2015). Here, complete and partial amino acid starvation of cells was performed to study the regulation of the amino acid transporters in the SLC38 family in mouse brain cells. The hypothalamic cell line N25/2 was used to measure changes in expression levels on gene and protein levels during complete amino acid starvation, and primary cortex cells, comprising both neurons and astrocytes, were partly deprived of amino acids to study gene expression changes. A murine hypothalamic cell line and primary cells from different brain regions were used in this study to obtain a more comprehensive understanding of the regulation. Slc38a2 was upregulated in the hypothalamic cell line and at all three timepoints in the primary cortex cells. Slc38a2 is known to be transcriptionally induced by amino acid starvation (Gaccioli et al., 2006) and this gene holds an AARE (Palii et al., 2006). SLC38A2 follows adaptive regulation in response to amino acid stress by GCN pathway (Taylor, 2014). Along with this Slc38a9 is also known as an arginine sensor and regulate the mTORC1 pathway. Moreover, Slc38a1 was also transcriptionally induced in both cell types, however only late in the primary cortex cells, and SLC38A1 expression was recently found to be regulated by amino

acid starvation (Bröer et al., 2016). SLC38A1 and SLC38A2 are mostly expressed on neurons in brain and the main function of these proteins are suggested to be uptake of glutamine in GABAergic respectively glutamatergic neurons (Bak et al., 2006). In cancer cells, SLC38A1 and SLC38A2 are involved in providing glutamine for glutaminolysis (Bröer et al., 2016). Both Slc38a1 (Mackenzie et al., 2003) and Slc38a2 (Yao et al., 2000) encode system A transporters, which system Slc38a8 (Hägglund et al., 2015) also is classified to. Slc38a8 was also initially upregulated in the primary cortex cells. Among transporters classified to system N, Slc38a7 (Hägglund et al., 2011) showed increased expression in the hypothalamic cell line while reduced in the cortex cells. The dissimilarity in regulation pattern could be due to different starvation times and the fact that the hypothalamic cells are completely starved while the cortex cells are partly deprived of amino acids, also the cultures are inherently different, because the primary cultures are embryonic and mixed cultures of neurons and glia cells, while the N25/2 cultures are only neurons. The gene expression of the functionally orphan protein SLC38A6 was upregulated in the primary cortex cells. In a previous study, this transporter was shown to be expressed specifically on glutamatergic neurons (Bagchi et al., 2014). Assuming SLC38A6

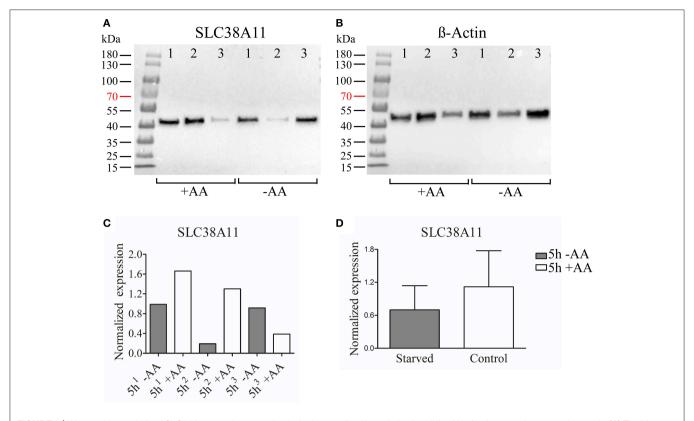


FIGURE 3 | Western blot analysis of SLC38A11 protein expression in the immortalized hypothalamic cell line N25/2 after complete starvation at 5 h. (A) The blot (developed for 2.0 s) show the protein expression of SLC38A11 in three replicates (1, 2, 3) in each treatment group. The predicted size of the mouse SLC38A11 protein is 49.6 kDA (453 amino acids, NP\_796048) and a band with approximate size of 46 kDA was detected. (B) The blot (developed for 2.0 s) visualizes the protein expression of β-actin which was used to normalize the protein expression in each sample. (C) The graph displays the normalized protein expression of SLC38A11 in the starved cells compared with the amino acid treated controls for each sample. (D) The graph represents the normalized protein expression of all replicates in each treatment group (mean value of protein expression ± SD). An unpaired *t*-test was performed between the protein expression in starved cells and controls. No difference (p = 0.4108) of protein expression for SLC38A11 was measured.

is a transporter for glutamine as speculated in Bagchi et al. (2014), its role could be in importing glutamine into the presynapes of glutamatergic neurons, where it will be used as substrate for glutamine synthesis. If so, it makes sense that SLC38A6 is upregulated in response to lack of glutamine in the starved state, so neurons can maintain glutamine levels in the pre-synapse. SLC38A7 (Hägglund et al., 2011) and SLC38A8 (Hägglund et al., 2015) are suggested to facilitate uptake of glutamine in neurons and the expression levels of these transcripts were altered in the cortex cells. An interesting point of view is that it is possible that Slc38 genes encodes proteins with similar localization and function, are regulated differently; as one protein could have housekeeping functions while the other protein is responding to stress. For example, SLC38A3 and SLC38A5 have similar expression and function in brain, and these genes had altered gene expression in opposite direction. These proteins are also closely phylogenetically related (Hägglund et al., 2015). This theory can also be applied to SLC38A7 and SLC38A8 which also are phylogenetically closely related and co-expressed on most neurons (Hägglund et al., 2015) and initially regulated in the opposite direction after partial amino acid starvation, and in the hypothalamic cell line Slc38a7 was transcriptionally

induced while Slc38a8 was not. In a previous study, SLC38A7 co-localized with lysosomes in HeLa cells and was found to mediate flux of glutamine and asparagine and hence crucial for growth of cancer cells (Verdon et al., 2017). SLC38A9 is located on lysosomes and is a component of the amino acid sensing Ragulator-Rag complex responsible for activation of mTORC1 (Jung et al., 2015; Rebsamen et al., 2015; Wang et al., 2015) and in mouse brain SLC38A9 immunostaining is detected in both GABAergic and glutamatergic neurons (Hellsten et al., 2017a). Expression of *Slc38a9* was not altered by complete amino acid starvation in hypothalamic cells but initially upregulated in the primary cortex cells. In a previous study where mice were food deprived for 24h before euthanasia, Slc38a9 was upregulated in cortex while unaffected in hypothalamus in brain (Hellsten et al., 2017a). A hallmark of the SLC38 family is adaptive regulation with modulator of nutrient stress, and these transporters are translocated within the cell, e.g., to and from the plasma membrane depending on different stimulus such as amino acid levels (Bröer and Gether, 2012; Bröer, 2014). Studying gene expression levels is a good approach to pinpoint which genes are responding to alterations in amino acid levels, but to understand how the regulation of gene expression affects

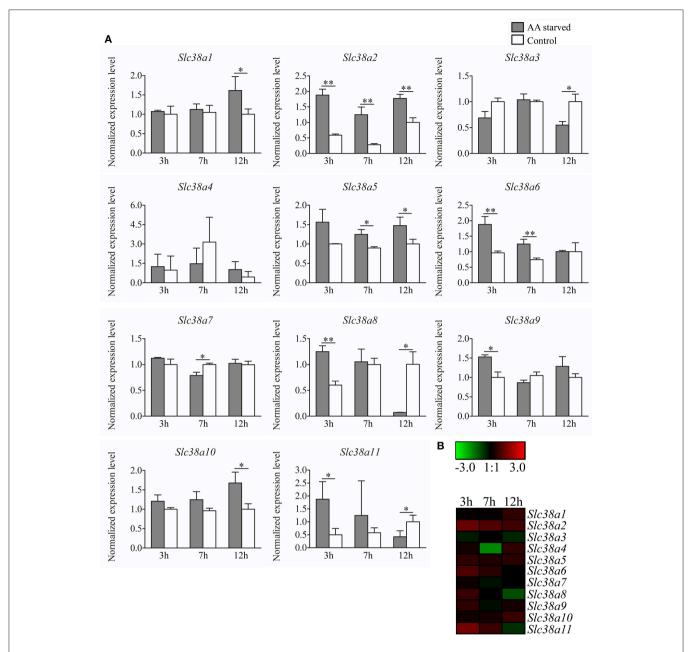


FIGURE 4 | Gene expression analysis of Slc38a1-11 in primary cortex cells at 3h, 7h and 12h treatment. (A) Gene expression data from the primary cortex cells using qPCR for Slc38a1-11. The gene expression levels were normalized against  $m\beta$ -Actin, mGlycerylaldehyde 3-phosphate dehydrogenase, and mHistone 3a and the normalized expression level  $\pm$  SD (n=3) is presented for each gene. Dark gray bars represent amino acid starved cells and white bars represent amino acid treated controls. Unpaired t-tests were used between starved cells and controls (\* $\leq$ 0.05, \*\* $\leq$ 0.01) to analyze alteration in gene expression. The x-axis represents time of treatment in hours and the y-axis represents the normalized mRNA expression levels. (B) Heat map analysis summarizing the gene expression alterations measured with qPCR for Slc38a1-11. The color scale represents the difference between the mean value of normalized expression in starved and control cells. Green color represents downregulation and red color represent upregulation of gene expression.

the cellular function, alterations on protein levels are crucial to study. The system A transporters SLC38A1 (Bröer et al., 2016) and SLC38A2 (Novak et al., 2006) are known to be upregulated on protein level in response to amino acid starvation. Apart from SLC38A1 and SLC38A2 the only other transporter that had significantly changed expression at 5 h was *Slc38a7*. We

investigated if these changes could also be detected on protein level and found that the protein expression of SLC38A7 was unchanged at 5 h in the hypothalamic cell line. We have however verified that *Slc38a7* was upregulated in the hypothalamic cell line at 5 h at the mRNA level using qPCR in Hellsten et al. (2017c). This is likely a result of the fact that changes in protein

levels occurs later than on mRNA level and has not yet manifested itself at the timepoint we are measuring changes at the protein level. We also investigated changes in protein levels of SLC38A11, the last orphan member of the SLC38 family. The gene expression of Slc38a11 was measured in a previous study and was overall low in the rat brain (Sundberg et al., 2008), and both in the hypothalamic cell line and the cortex cells the mRNA levels were low. However, SLC38A11 protein levels could easily be detected in the hypothalamic cell line, but no effect on mRNA and protein levels were detected.

Neurons have low ability for de-novo synthesis of glutamine and other amino acids compared to other cells and is therefore dependent on import of these compounds provided either by astrocytes or glia cells or from import into the brain via the blood brain barrier (Bixel et al., 2001; Lieth et al., 2001; Schousboe, 2018). Therefore, plasma membrane expressed transporters on neuronal cells are crucial for maintaining homeostasis of amino acids and this transport needs to be tightly controlled. The main way, as far as currently understood, of regulating transport mediated by SLCs is for the cells to change number of transporters by, in the short term, alter surface expression and over longer timespans regulate number of transporters at the transcription and protein synthesis levels. Nerve cells have five or possibly six transporters from the SLC38 family which could possibly contribute in importing glutamine into neurons (Schiöth et al., 2013; Bröer, 2014). The data presented in this paper shows that in a neuronal cell-line, SLC38A1, SLC38A2, and SLC38A7 are regulated in response to amino acid starvation. In the nervous system, SLC38A1 and SLC38A2 are found on certain neuronal populations while SLC38A7, together with SLC38A8, are ubiquitously expressed on most neurons (Hägglund et al., 2011). This suggests that glutamine import into neurons by the SLC38 family could be dependent on SLC38A8, which is ubiquitously expressed on neurons, as housekeeping transporter and SLC38A7 together with one of or both SLC38A1 and SLC38A2 for the regulated transport. Expression of SLC38A6 is not regulated in the N25/2 cells and this transporter is found only on excitatory neurons (Bagchi et al., 2014) suggesting a role specifically for importing glutamine for the glutamine/glutamate/GABA cycle. However, our data from primary cultures, which are mixed cultures of different neuronal subtypes and non-neuronal cells such as astrocytes and glia cells, which is closer to a true representation of the in vivo situation, suggests that the situation is much more complex in vivo because here we show that all SLC38 members except for Slc38a4 can be regulated in response to amino acid starvation. Interestingly, some are being upregulated and others downregulated in response to amino acid starvation. In the *in vivo* situation, the SLC38 family of transporters is most likely regulated differently on different cell-types to maintain glutamine homeostasis as close as possible for the brain in total

#### CONCLUSION

In conclusion, three (*Slc38a1*, *Slc38a2*, and *Slc38a7*) of eleven members from the SLC38 family, have increased transcription following 5 h of complete amino acid starvation in the hypothalamic cell line N25/2. However, no regulation of SLC38A7 could be measured on protein level at 5 h. Following partial amino acid starvation of primary embryonic mouse cortex cells genes encoding all 11 SLC38 family members except *Slc38a4* were altered. Genes encoding both system A and N transporters were transcriptionally regulated upon changes in amino acid levels. Several of the SLC38 family members are possibly involved in amino acid sensing and signaling in brain.

#### **AUTHOR CONTRIBUTIONS**

SH wrote and drafted manuscript, planned and performed starvation experiments, microarray data analysis, generation of heat maps, qPCR and qPCR analysis, statistics, protein sample preparations and western blot; RT protein sample preparations, western blot, analysis of western blots and revised the draft paper; MC cDNA synthesis, qPCR and qPCR analysis; RF drafted manuscript, planned experiments. All authors have read and approved of the manuscript and helped with interpretation of results.

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# Interaction of the New Monofunctional Anticancer Agent Phenanthriplatin With Transporters for Organic Cations

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Cancer treatment with platinum compounds is an important achievement of modern chemotherapy. However, despite the beneficial effects, the clinical impact of these agents is hampered by the development of drug resistance as well as dose-limiting side effects. The efficacy but also side effects of platinum complexes can be mediated by uptake through plasma membrane transporters. In the kidneys, plasma membrane transporters are involved in their secretion into the urine. Renal secretion is accomplished by uptake from the blood into the proximal tubules cells, followed by excretion into the urine. The uptake process is mediated mainly by organic cation transporters (OCT), which are expressed in the basolateral domain of the plasma membrane facing the blood. The excretion of platinum into the urine is mediated by exchange with protons via multidrug and toxin extrusion proteins (MATE) expressed in the apical domain of plasma membrane. Recently, the monofunctional, cationic platinum agent phenanthriplatin, which is able to escape common cellular resistance mechanisms, has been synthesized and investigated. In the present study, the interaction of phenanthriplatin with transporters for organic cations has been evaluated. Phenanthriplatin is a high affinity substrate for OCT2, but has a lower apparent affinity for MATEs. The presence of these transporters increased cytotoxicity of phenanthriplatin. Therefore, phenanthriplatin may be especially effective in the treatment of cancers that express OCTs, such as colon cancer cells. However, the interaction of phenanthriplatin with OCTs suggests that its use as chemotherapeutic agent may be complicated by OCT-mediated toxicity. Unlike cisplatin, phenanthriplatin interacts with high specificity with hMATE1 and hMATE2K in addition to hOCT2. This interaction may facilitate its efflux from the cells and thereby decrease overall efficacy and/or toxicity.

Keywords: organic cation transporters, platinum compounds, interaction, toxicity, efficacy, side effects

#### INTRODUCTION

Cancer is an important cause of death worldwide (Chakraborty et al., 2018). At present, three major therapeutic strategies to treat cancer are used, namely, surgery, radiotherapy, and chemotherapy (Chakraborty et al., 2018). One of the great advances in the treatment of cancer was the introduction of cisplatin as a chemotherapeutic agent (Rosenberg, 1973). Today, platinum derivatives form part of the chemotherapy of almost every second cancer patient (Galanski et al., 2005; Fennell et al., 2016). The currently approved FDA platinum anticancer agents cisplatin, oxaliplatin, and carboplatin have proved efficacious against a wide variety of tumors, with cisplatin treatment considered to be curative for testicular cancer (Einhorn, 2002; Chovanec et al., 2016). Despite their beneficial effects, treatment with these agents is hampered by the development of drug resistance as well as dose-limiting side effects (Rabik and Dolan, 2007; Kuok et al., 2017). To overcome these problems, alternative metal-based anticancer agents are being developed. Current research efforts focus on a variety of non-classical metal anticancer drug candidates (G Quiroga, 2011; Romero-Canelón and Sadler, 2013; Wang and Gao, 2014; Johnstone et al., 2016; Shahsavani et al., 2016), including non-platinum complexes, Pt(IV) constructs, multinuclear constructs, and monofunctional Pt(II) complexes. Monofunctional agents disobey the classical structure-activity relationships (SARs), which stipulate that active platinum complexes should have two labile leaving groups to form bifunctional adducts with DNA targets in the nucleus (Sherman and Lippard, 1987).

Phenanthriplatin, cis-[Pt(NH<sub>3</sub>)<sub>2</sub>Cl(phenanthridine)]<sup>+</sup>, is a monofunctional anticancer agent having greater efficacy and a complimentary spectrum of cancer cell activity compared to that of the clinically approved platinum agents (Park et al., 2012). These differentiating features suggest the possibility for avoiding drug resistance and dose limiting toxicities. Phenanthriplatin forms highly potent monofunctional adducts on DNA (Kellinger et al., 2013) that are capable of inhibiting both RNA and DNA polymerases. Previous research indicated that phenanthriplatin effectively inhibits the  $\nu$ ,  $\zeta$ , and  $\kappa$  DNA polymerases, as well as the Klenow fragment (Gregory et al., 2014). Upregulation of Pol  $\eta$ , which performs inefficient but high fidelity translesion synthesis (Gregory et al., 2014), has been demonstrated to play a role in the development of resistance to cisplatin (Hicks et al., 2010). The observation that phenanthriplatin is toxic to both Pol  $\eta^+$  and Pol η- cells and impervious to other bypass polymerases indicates that phenanthriplatin may escape common cellular resistance mechanisms (Gregory et al., 2014).

In recent years, it has become evident that membrane transporters play an important role not only for resistance to chemotherapeutics, but also for selective uptake of anticancer agents into cancerous cells (Ciarimboli, 2011). Some transporters such as the polyspecific Organic Cation Transporters OCTs (OCT1-3), the Multidrug and Extrusion proteins MATEs (MATE1-2K) and the Copper Transporters 1 and 2 (Ctr1-2) mediate the transport of platinum derivatives through the plasma membrane (Zhang et al., 2006; Lovejoy et al., 2008). Interestingly, OCTs and MATEs share many substrates. Therefore, because

of their expression on the basolateral and apical membrane domain, respectively, of secretory epithelial cells, such as those of renal proximal tubules, their concerted action (basolateral uptake by OCTs and apical efflux into the urine by MATEs) mediates the vectorial transport of substrates, resulting in their renal urine excretion (Ciarimboli, 2016). Because transport by MATEs functions as an exchange of substrates with H<sup>+</sup>, the slightly acidic pH in the tubular fluid stimulates secretion processes. Indeed, OCTs and MATEs have been implicated in the development of platinum derivatives side effects such as cisplatin nephrotoxicity (Harrach and Ciarimboli, 2015). It is not yet known whether cellular phenanthriplatin uptake is mediated by membrane transporters. We therefore studied its interaction with OCTs and with the efflux transporters hMATEs.

#### MATERIALS AND METHODS

#### **Cell Culture**

Experiments were performed with human embryonic kidney (HEK) 293 cells (CRL-1573; American Type Culture Collection, Rochville, MD), which stably express mOCT1, mOCT2, mOCT3 (Schlatter et al., 2014), hOCT1, hOCT2, hOCT3, (kind gift of Prof. H. Koepsell, University Würzburg), hMATE1 or hMATE2K (Schmidt-Lauber et al., 2012). Some experiments were carried out with mouse embryonic fibroblasts (MEFs) from animals with genetic deletion of Ctr1 (Ctr1 $^{-/-}$ ) or not (Ctr1 $^{+/+}$ ) (Nose et al., 2010), provided by Dr. Yasuhiro Nose, Duke University Medical Center, Durham, USA. HEK 293 cells were grown at 37  $^{\circ}\text{C}$  in 50 mL cell culture flasks (Greiner, Frickenhausen, Germany) in DMEM (Biochrom, Berlin, Germany) containing 3.7 g/L NaHCO<sub>3</sub>, 1.0 g/L D-glucose, and 2.0 mM L-glutamine (Biochrom), and gassed with 8 % CO<sub>2</sub>. Penicillin (100 U/mL), 100 mg/L streptomycin (Biochrom), 10 % fetal calf serum, and, only for OCT transfected cells, 0.8 mg/mL geneticin (PAA Laboratories, Coelbe, Germany) were added to the medium. MATE transfected cells were selected with 0.4-0.5 mg/mL hygromycin B (Invitrogen, San Diego, USA). MEFs were cultured in DMEM supplemented with 20% (v/v) heat-inactivated fetal calf serum, 1 × MEM non-essential amino acids (Biochrom), 100 U/mL penicillin/streptomycin, and 55 µM 2-mercaptoethanol (Öhrvik et al., 2013). The medulloblastoma cell line DAOY was obtained from ATCC-LGC (Promochem, Wesel, Germany). The medulloblastoma cell line UW228 cells was obtained from M. Frühwald (University Children's Hospital Münster, Department of Pediatric Hematology and Oncology, Münster, Germany) with kind permission of J. Silber (Department of Neurological Surgery, University of Washington, Seattle, WA). These cells were cultivated in RPMI 1640 medium (Biochrom, Berlin, Germany) supplemented with 1 mM L-glutamine, 100 U/mL penicillin G, 100 µg/mL streptomycin, and 10% fetal calf serum in 25 cm<sup>2</sup> tissue culture flasks (Greiner Bio One) in a humidified atmosphere of 8% CO<sub>2</sub> at 37°C.

Experiments were performed with cells grown to confluence for 3–8 days from passages 12–80, depending on the cell type used. Culture and functional analyses of these cells were approved by the state government Landesumweltamt Nordrhein-Westfalen, Essen, Germany (no. 521.-M-1.14/00).

#### Fluorescence Measurements

The interaction of phenanthriplatin with transporters for organic cations (OCT1-3, MATE1, and MATE2K) was investigated by measuring its effects on the uptake of the fluorescent organic cation 4-(4-dimethylamino)styryl-N-methylpyridinium (ASP<sup>+</sup>). Microfluorometric measurements of ASP<sup>+</sup> uptake were performed with a fluorescence plate reader (Infinity 200, Tecan, Crailsheim, Germany; excitation at 465 nm, emission at 590 nm) as described previously for cultured HEK 293 cells (Wilde et al., 2009). Before measurements, the culture medium in the wells of the 96 well microtiter plate containing HEK 293 cells was replaced by a HCO3-free Ringer-like solution containing (in mM) NaCl 145, K<sub>2</sub>HPO<sub>4</sub> 1.6, KH<sub>2</sub>PO<sub>4</sub> 0.4, D-glucose 5, MgCl<sub>2</sub> 1, calcium gluconate 1.3, and pH adjusted to 7.4. Fluorescence was measured dynamically at 37°C in each well before and after ASP<sup>+</sup> addition to HCO<sub>3</sub><sup>-</sup>-free Ringer-like solution, alone or together with increasing phenanthriplatin concentrations, and plotted versus time. ASP<sup>+</sup> (final concentration 1 or 10 µM for experiments with OCTs or MATEs, respectively) was added after the third sampling interval. Emission from the complete area of the well bottom was analyzed four times and averaged for each well. The initial linear fluorescence increase, measured by linear regression within the first 100 s after addition of ASP+ alone or together with phenanthriplatin, represents specific cellular uptake of ASP<sup>+</sup> across plasma membranes and does not reflect possible toxic effects of phenanthriplatin (Ciarimboli et al., 2005a; Wilde et al., 2009). Background fluorescence was measured for each plate in wells with identical solutions containing no cells and subtracted from each well containing cells. Experiments were performed with cells from one passage of the same day with controls and test replicates in the same plate.

#### Treatment of Cells and Preparation of Lysates for Inductively Coupled Plasma-Mass Spectrometry (ICP-MS)

The ICP-MS determinations of cellular platinum content were performed via external calibration using an ICP-MS instrument (iCAP Qc, Thermo Fisher Scientific). Briefly, the culture medium of cells grown to confluence was removed and replaced by fresh complete cell culture medium containing 10 or 100 µM phenanthriplatin. In studies with the hOCT2 transporters, 100 µM cimetidine (Cim) was added to the incubation solution for selected wells. In experiments with hMATE1 and hMATE2K cells, 1 mM 1-methyl-4-phenylpyridinium (MPP+) was added to the incubation solution for selected wells as competitor for the transporter-mediated phenanthriplatin cellular accumulation, since it has a similar affinity for hMATE1 and hMATE2K (4.7 and 3.3 µM, respectively, Astorga et al., 2012). After addition of the platinum containing solutions, the cells were incubated for 10 min. Immediately thereafter, the medium was removed and the cells were washed three times with 2 mL of ice cold phosphate-buffered saline (PBS, Biochrom) to remove all extracellular phenanthriplatin. After the PBS was removed, the cells were lysed with 1 mL distilled water. The plates were then incubated for 7.5 min under a microscope at room temperature to observe swelling and bursting of the cells. To ensure complete lysis, this procedure was followed by additional mechanical stress on the cells for 7.5 min on the plate shaker at 400 rpm. The cell lysates were collected with a cell scraper and transferred to 1.5 mL micro-reaction vessels, which were placed in an ultrasonic bath in ice water to destroy residual cell structures. After vortexing and cooled centrifugation (10 min,  $4^{\circ}\text{C}$ , 16,000 g), the supernatant was transferred to polymethylpentene (PMP) vials for storage at  $-20^{\circ}\text{C}$  until platinum and protein analyses were performed. An external calibration using a platinum ICP standard (concentration range 10 ng/L to 2  $\mu\text{g/L}$ ) was used for quantification. The acquired platinum concentrations were then normalized to the respective protein concentrations obtained via a Bradford assay.

#### **Bradford Assay for Protein Quantitation**

In order to relate Pt quantitation to protein concentration, thereby normalizing for differences in the number of cells, the amount of protein in each sample was analyzed by using the Bradford assay (Bradford, 1976), as previously described (Wehe et al., 2014).

#### **Cytotoxicity Testing**

A modified 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay (Mosmann, 1983) was used to test the chemosensitivity of cells to phenanthriplatin and, in some experiments, to cisplatin by determining the glycolysis rate via the reduction of the yellow tetrazolium salt MTT to a purple formazan dye, as previously described (Wehe et al., 2014). Briefly, after growing for 24 h in the incubator, cells expressing or not hOCT2, hMATE1, hMATE2 or Ctr1 were treated for 10 min with platinum derivatives. Control cells were incubated with drug-free complete cell culture medium. Afterwards, the medium

**TABLE 1**  $\mid$  EC $_{50}$  ( $\mu$ M) values determined for inhibition of ASP $^+$  uptake by human and murine OCTs in the presence of phenanthriplatin.

	Spec	cies
OCT subtype	Murine	Human
IC <sub>50</sub> (logEC <sub>50</sub> ± S	EM) in μM and number of obse	ervations (n)
OCT1	$17 (-4.77 \pm 0.22)$	$19(-4.72 \pm 0.21)$
	n = 6-11	n = 6-12
OCT2	$2(-5.64 \pm 0.05)$	$2^*$ (-5.67 $\pm$ 0.10)
	n = 6-12	n = 6-12
OCT3	$3,146^* (-2.50 \pm 1.07)$	$21^{\#} (-4.67 \pm 0.07)$
	n = 6-10	n = 6-12
MATE1		$101^{\S} (-3.99 \pm 0.21)$
		n = 6-12
MATE2K		$57^{\&} (-4.24 \pm 0.07)$
		n = 15-16

The  $logIC_{50}$  values  $\pm$  SEM and the number of observations are also indicated in parenthesis.

\*Statistically significant different from the other paralogs (ANOVA); § Statistically significant different from the other paralogs except MATE2K (ANOVA). & Statistically significant different from the other paralogs except OCT1 and MATE1 (ANOVA). The hashtag (#) indicates a statistically significant difference from the murine ortholog (t-test).

was carefully removed and replaced by 200 µL of fresh complete cell culture medium. After incubation for another 24 h, 10 µL of MTT solution containing 5 mg/mL of the dye were added to each well, and the cells were again incubated for 3 h. The medium was then removed and 100 µL of lysis buffer containing 10% (w/v) sodium dodecyl sulfate and 40% (v/v) dimethylformamide was added to each well. The plates were shaken for 10 min to destroy the cell structure and dissolve the blue formazan dve (Furchert et al., 2007; Wehe et al., 2014). Finally, the absorbance was measured at 590 nm using an automated microtiter plate reader (Infinite M200; Tecan, Männedorf, Switzerland). The percentage of viable cells in the untreated controls was compared to that for the various treatments. Experiments carried out with DAOY and UW228 cells followed a similar protocol except that these cells were incubated for 24h with phenanthriplatin.

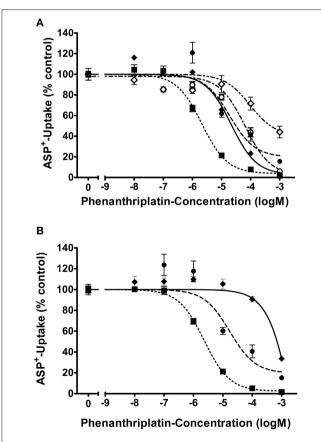


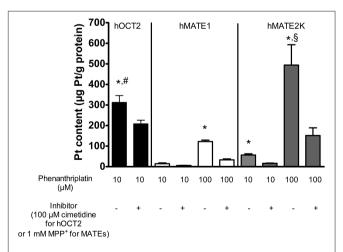
FIGURE 1 | Apparent affinities (IC<sub>50</sub>) of (A) hOCT1 (●; n=6–12), hOCT2 (■; n=6–12), hOCT3 (♦; n=6–12), hMATE2K (O; n=15–16), and hMATE1 (♦; n=7–24) and (B) mOCT1 (♦; n=6–11), mOCT2 (♦; n=6–12), mOCT3 (■; n=6–10) for phenanthriplatin. Values are means  $\pm$  SEM expressed as % of ASP<sup>+</sup>-uptake in the absence of phenanthriplatin, which was set to 100% (control). The IC<sub>50</sub> values for the inhibition of initial ASP<sup>+</sup> uptake by phenanthriplatin were 19, 2, 21, 101, and 57  $\mu$ M for hOCT1, hOCT2, hOCT3, hMATE1, and hMATE2K, respectively. Those for mOCT1, mOCT2, and mOCT3, were 17, 2, and 3,146  $\mu$ M, respectively.

#### **Western Blotting and SDS-PAGE**

For Western blot analysis, DAOY and UW228 cells grown to confluence were solubilized by incubation with PBS containing 1% (w/v) Triton X-100. After 45 min on ice, cell lysates were centrifuged for 1 min at 2,400 g at 4°C. Following this step, the supernatant was diluted in SDS buffer (Roti-Load 1, Roth) with 100 mM dithiothreitol (DTT) and 8% v/v ß-mercaptoethanol and incubated for 5 min at 95°C. The proteins were then separated by SDS-PAGE and transferred to a polyvinylidene fluoride (PVDF) membrane for Western blot analysis. The membranes were blocked with 3% gelatin from cold-water fish skin (Sigma, Munich, Germany). After incubation with the primary antibodies (anti-OCT2 from Alpha Diagnostics, San Antonio, TX, USA at a 1:1,000 dilution and anti-GAPDH from Cell Signaling, Danvers, MA, USA at a 1:2,000 dilution), membranes were incubated with peroxidase-conjugated antimouse (DAKO Deutschland, Hamburg, Germany) at a 1:10,000 dilution. Signals were visualized using a Lumi Light detection system (Roche, Mannheim, Germany).

#### **Statistical Analyses**

Data are presented as mean values  $\pm$  SEM, with (n) referring to the number of wells. IC<sub>50</sub> values defined as the concentration of platinum agent required to inhibit uptake of ASP<sup>+</sup> by 50% and EC<sub>50</sub> values used to assess cell viability were obtained by sigmoidal concentration-response curve fitting using GraphPad Prism, Version 5.3 (GraphPad Software, San Diego, USA). Unpaired two-sided Student's *t*-test was employed to prove statistical significance of the effects. For multiple comparisons,



**FIGURE 2** | Platinum concentration in Iysates from HEK293 cells stably transfected with hOCT2 (black columns), hMATE1 (white columns), or hMATE2K (gray columns) after incubation with phenanthriplatin measured by ICP-MS (n=3–8). The cells were incubated 10 min with 10 μM (hOCT2) or 100 μM (hMATE1 and hMATE2K) phenanthriplatin (Phen) alone or together with 100 μM cimetidine (hOCT2) or 1 mM MPP+ (hMATE1 and hMATE2K). 'indicates a significant difference from all the respective experiments with inhibitor (unpaired t-test); # indicates a significant difference from the other experiments with 10 μM phenanthriplatin alone (ANOVA); § indicates a significant difference from all other experiments in the presence of 100 μM phenanthriplatin (ANOVA).

ANOVA with Bonferroni post-test was used. A P-value < 0.05 was considered statistically significant.

#### **Solution and Chemicals**

ASP<sup>+</sup> was obtained from Molecular Probes (Leiden, The Netherlands). All standard substances were obtained from Sigma (Munich, Germany) or Merck (Darmstadt, Germany) at highest purity available.

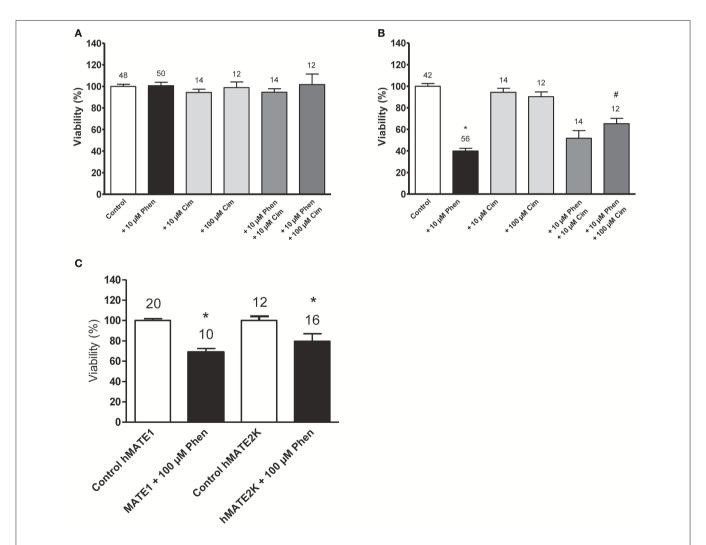
#### **RESULTS**

First, the uptake of ASP<sup>+</sup>, a known substrate of transporters for organic cations, was investigated in competition with phenanthriplatin. As evident from inspection of **Table 1** and **Figure 1**, similar phenanthriplatin concentrations inhibit ASP<sup>+</sup> uptake to 50% (IC<sub>50</sub>) for cells overexpressing OCT1 and OCT2 transporters of murine and human origin. The minimum

concentration of phenanthriplatin required to inhibit ASP<sup>+</sup> transport by 50% was  $2 \mu M$ . This value was determined for cells overexpressing both human or mouse OCT2. In contrast, the source of the OCT3 transporter significantly affects the extent of ASP<sup>+</sup> inhibition with phenanthriplatin (3,146  $\mu M$  for mOCT3 vs.  $21 \mu M$  for hOCT3).

For what concerns phenanthriplatin interaction with the MATE efflux transporters, a concentration of 101 or  $57\,\mu\text{M}$  phenanthriplatin was required to inhibit ASP<sup>+</sup> transport by 50% in cells overexpressing hMATE1 or hMATE2-K, respectively (**Figure 1**).

The cellular accumulation of platinum in HEK 293 cells expressing hOCT2, hMATE1, or hMATE2K was also investigated (**Figure 2**). Platinum concentrations were determined by ICP-MS following 10 min incubation with 10 or  $100 \,\mu\text{M}$  phenanthriplatin. When incubated with  $10 \,\mu\text{M}$  phenanthriplatin, HEK293 cells overexpressing hOCT2 showed



**FIGURE 3** | Cell viability (EC $_{50}$ ) determined by MTT assay for cells incubated with buffer (control); 10  $\mu$ M phenanthriplatin (Phen); 10  $\mu$ M cimetidine (Cim); 100  $\mu$ M cimetidine; 10  $\mu$ M phenanthriplatin + 10  $\mu$ M cimetidine; and 10  $\mu$ M phenanthriplatin + 100  $\mu$ M cimetidine, for 10 min. **(A)** HEK 293 WT cells **(B)** HEK 293 hOCT2 cells **(C)** HEK293 hMATE1 and hMATE2K cells. Above the columns is the number of experiments. The asterisk (\*) indicates a statistically significant difference compared to the other columns, #a statistically difference compared to all the other columns except than to 10  $\mu$ M phenanthriplatin + 10  $\mu$ M cimetidine (ANOVA).

significantly higher phenanthriplatin uptake than HEK293 cells expressing hMATE1 or hMATE2K [340  $\pm$  36 (n=8), 15  $\pm$  4 (n=3), and 57  $\pm$  11 (n=3)  $\mu g$  Pt/g protein, respectively, **Figure 2**]. When phenanthriplatin was co-incubated with 100  $\mu$ M cimetidine a significant decreased cellular accumulation of platinum in hOCT2 cells was observed (227  $\pm$  19  $\mu g$  Pt/g protein, n=8). Incubation of hMATE1 and hMATE2K cells with 100  $\mu$ M phenanthriplatin resulted in a higher cellular phenanthriplatin accumulation than in experiments using 10  $\mu$ M phenanthriplatin (122  $\pm$  7 and 494  $\pm$  99  $\mu$ g Pt/g protein, for hMATE1 and hMATE2K, respectively, both n=3, **Figure 2**), which was significantly inhibited under co-incubation with 1 mM MPP<sup>+</sup> (33  $\pm$  4 and 151  $\pm$  38  $\mu$ g Pt/g protein, respectively, both n=3, **Figure 2**).

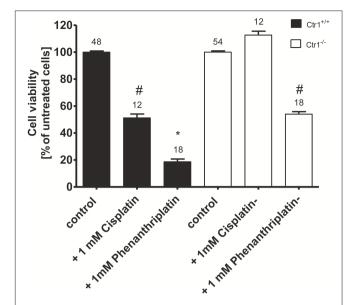
These experiments show that both hOCT2 and hMATEs are able to translocate phenanthriplatin across the plasma membrane.

As shown in **Figure 3**, the expression of transporters for organic cations is critical for the cellular toxicity of phenanthriplatin. Cell viability studies show that hOCT2 expression in HEK 293 cells is linked to significant phenanthriplatin toxicity which is reduced upon co-incubation of phenanthriplatin with cimetidine (100  $\mu$ M), a known substrate for hOCT2 (**Figure 3B**). Cimetidine alone had no significant effect on cell viability. Incubation of cells overexpressing hMATE1 or hMATE2K with 100  $\mu$ M phenanthriplatin induces significant cell toxicity (**Figure 3C**).

Because the copper transporter 1 (Ctr1) has also been implicated in the cellular uptake of platinum drugs (Holzer et al., 2006), we investigated its possible involvement in phenanthriplatin cellular uptake using MEFs derived from WT (Ctr1 $^{+/+}$ ) and Ctr1 $^{-/-}$  mice. We first evaluated whether phenanthriplatin has a toxic effect on MEFs and whether such an effect depends on the expression of Ctr1. **Figure 4** shows that phenanthriplatin is toxic both to Ctr1 $^{+/+}$  and Ctr1 $^{-/-}$  MEFs. Conversely, cisplatin, a known substrate for Ctr1, (Ishida et al., 2002; Holzer et al., 2004), used as a control, was toxic to Ctr1 $^{+/+}$  but not to Ctr1 $^{-/-}$  MEFs. Lower phenanthriplatin concentrations (<1 mM) did not change the cell viability in Ctr1 $^{+/+}$  and Ctr1 $^{-/-}$  MEFs (not shown).

Screening a panel of several tumor cell lines resulted in the identification of two medulloblastoma cell lines, DAOY and UW228, which differed with respect to the expression of mRNA for hOCT2 (Supplementary Figure 2). The differential protein expression of hOCT2 in these two cell lines was determined by Western blot, with DAOY expressing more hOCT2 than UW228 (**Figure 5**). Also other transporters, such as hMATE1, seem to be expressed at lower level in UW228 than DAOY cells (Supplementary Figure 2).

The determination of  $EC_{50}$  for phenanthriplatin toxicity measured by MTT assay after 24 h incubation with  $10^{-8}$ –  $10^{-3}$  M phenanthriplatin showed that DAOY cells are more sensitive to phenanthriplatin than UW228 cells,  $EC_{50} = 0.9$  vs.  $2.8\,\mu\text{M}$ , respectively (**Table 2**). Using these  $EC_{50}$  concentrations, we tested whether competition for uptake by hOCT2 by a 10-fold higher cimetidine concentration can protect these cells from phenanthriplatin toxicity. Only DAOY cells could



**FIGURE 4** Cell viability of Ctr1<sup>+</sup>/<sup>+</sup> and Ctr1<sup>-</sup>/<sup>-</sup> MEFs incubated with buffer (control); 1 mM phenanthriplatin or 1 mM cisplatin for 10 min. The number of experiments is listed above each column. The asterisk (\*) indicates a statistically significant difference compared to the other columns, #a statistically difference compared to all the other columns except than to 1 mM cisplatin in Ctr1<sup>+</sup>/<sup>+</sup> MEFs or to 1 mM phenanthriplatin in Ctr1<sup>-</sup>/<sup>-</sup> MEFs (ANOVA).

be efficaciously protected against phenanthriplatin toxicity by cimetidine (Figure 6).

#### DISCUSSION

Transporters for organic cations, when expressed in polarized cells such as hepatocytes and renal proximal tubules cells, mediate the vectorial movement of substances through cells, which under physiological conditions results in the secretion of organic cations into the bile and urine, respectively. hOCT1 and hOCT2 are expressed in the basolateral membrane of hepatocytes and renal proximal tubule cells, respectively, whereas hMATEs are localized on the apical domain of these cells (hMATE2K is only expressed in the kidneys; Harrach and Ciarimboli, 2015). For this reason, hOCT1 and hOCT2 mediate the first step of secretion, that is, the uptake of substrates into the cell, and hMATE1 with hMATE2K are important for the final secretion step that delivers the substrates to the bile and urine. These transporters play an important role in the development of side effects caused by platinum drugs, including cisplatin and oxaliplatin. For example, cisplatin causes significant nephrotoxicity, ototoxicity, and peripheral neurotoxicity, whereas oxaliplatin exerts mainly a toxic effect on peripheral nerves (Rabik and Dolan, 2007; Hucke and Ciarimboli, 2016). Cisplatin is a known substrate of hOCT2 (Ciarimboli et al., 2005b) and hOCT3 (Guttmann et al., 2018), and hOCT2-mediated cisplatin uptake has been implicated in the development of side effects (Ciarimboli et al., 2010; Sprowl

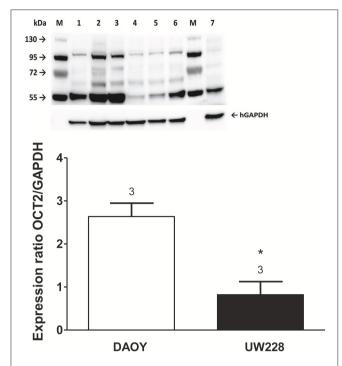


FIGURE 5 | (Upper) Western blot analysis of hOCT2 expression in DAOY and UW228 cells. M is the marker lane. 1, 2, 3 are lysates from three different DAOY passages; 4, 5, and 6 are lysates from three different UW228 passages. Lane 7 contains lysate from hOCT2-HEK cells as a control. The respective bands corresponding to the signal of GAPDH are also shown. (Lower) Densitometric analysis of the Western blot, showing the hOCT2 expression in relation to the GAPDH expression. Above the columns is the number of experiments. The asterisk (\*) indicates a statistically significant difference compared to the other column (unpaired t-test).

**TABLE 2**  $\mid$  EC  $_{50}$  (logEC  $_{50}$   $\pm$  SEM) in  $\mu M$  for viability of DAOY and UW228 cells after 24 h incubation with phenanthriplatin.

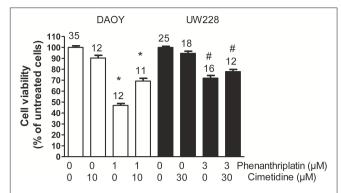
DAOY	UW228
IC <sub>50</sub> (logEC <sub>50</sub> $\pm$ SEM) in $\mu$ M	
$0.9 (-6.05 \pm 0.02)$	$2.8* (-5.55 \pm 0.03)$
n = 23-24	n = 24

n, number of replicates for tested concentration.

The asterisk (\*) denotes a statistical difference from DAOY, unpaired t-test.

et al., 2014; Lanvers-Kaminsky et al., 2015, 2017; Lanvers-Kaminsky and Ciarimboli, 2017). Oxaliplatin is also a known substrate for hOCT2 (Zhang et al., 2006; Yokoo et al., 2007; Yonezawa and Inui, 2011), and uptake by this transporter has been linked to the development of peripheral neurotoxicity (Sprowl et al., 2013). Both cisplatin (see Supplementary Figure 1) and oxaliplatin interact with very low affinity with hMATE1, but only oxaliplatin is a substrate of the kidney-specific hMATE2K and is excreted by this transporter in the urine, explaining the lower nephrotoxicity of oxaliplatin (Yokoo et al., 2007; Estrela et al., 2017).

In the present work, we find that phenanthriplatin is a substrate of hOCT2, hMATE1, and hMATE2K. Co-incubation



**FIGURE 6** | Effects of 24 h incubation of DAOY (empty columns) or UW228 (filled columns) cells with buffer (control) alone and in the presence of 1 or 3  $\mu$ M phenanthriplatin alone and together with a 10-fold higher concentration of cimeticline on cell viability measured with an MTT-assay. As a further control, experiments performed only in the presence of cimeticline are also shown. Above the columns is the number of experiments. The asterisk (\*) indicates a statistically significant difference compared to the other columns, #a statistically difference compared to the control experiments (ANOVA).

with specific inhibitors significantly decreased phenanthriplatin accumulation by the transporters. Moreover, expression of these transporters correlated well with phenanthriplatin toxicity.

Phenanthriplatin altered the transport of ASP<sup>+</sup> mediated by hMATE1 and hMATE2K. Compared with the cisplatin inhibition of hMATE1 and hMATE2K (see Supplementary Figure 1), phenanthriplatin is much more able than cisplatin to robustly inhibit the transport of ASP<sup>+</sup> mediated by hMATE1 and hMATE2K (IC50 = 101 and 57  $\mu$ M, respectively). This observation suggests a potential protective action of hMATEs against eventual cellular toxicity.

Comparison of the  $IC_{50}$  values for inhibition of ASP<sup>+</sup> transport by human and murine OCTs reveals that OCT1 and OCT2 behave the same in the two species. Conversely, large differences in apparent affinities of hOCT3 and mOCT3 were found. Indeed, different properties for rodent and human orthologues have previously been reported (Schlatter et al., 2014). These results are of great importance for the proper interpretation of translational studies (Schlatter et al., 2014).

The presence of Ctr1 sensitizes MEFs to the toxic effects of cisplatin, as confirmed by experiments with Ctr1<sup>-/-</sup> MEFs, where no decrease in cell viability after treatment with cisplatin was observed (Figure 4). The toxicity of phenanthriplatin was still present in Ctr1<sup>-/-</sup> MEFs even though at lower level than in Ctr1<sup>+/+</sup> MEFs. These results suggest that Ctr1 does not have the same importance for phenanthriplatin uptake as it does for cisplatin. Because tumor cells are more sensitive to phenanthriplatin than to cisplatin (Park et al., 2012), we screened the expression of transporters in several tumor cell lines and found that the medulloblastoma cells DAOY and UW228 have different hOCT2 expression, both at the mRNA (not shown) and protein (Figure 5) levels. These cells also display a differential sensitivity to phenanthriplatin. The DAOY cells, which express more hOCT2 and hMATE1, show the greatest increase in sensitivity (Table 2). Inhibition of phenanthriplatin transport

through hOCT2 by cimetidine significantly decreased the toxicity of this compound in DAOY but not in UW228 cells, further supporting a role of hOCT2 in amplifying phenanthriplatin toxicity against tumor cells.

#### **CONCLUSIONS**

Here we show that phenanthriplatin interacts with transporters for organic cations and that it is even more effective against tumor cells expressing hOCT2. This observation indicates that phenanthriplatin may be especially effective in the treatment of cancers that express OCTs, such as colon cancer cells. However, the interaction of phenanthriplatin with OCTs suggests that its use as chemotherapeutic agent may be complicated by greater OCT-mediated toxicity. Unlike cisplatin, phenanthriplatin interacts with high specificity with hMATE1 and hMATE2K in addition to hOCT2, which may facilitate its efflux from the cells and thereby decrease overall toxicity.

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#### **AUTHOR CONTRIBUTIONS**

AH, GYP, OBB, GB, CK, DZ, CAW, MS, RS, MK, YH, and GC performed experiments. AH, GYP, OBB, MS, UK, SJL, and GC planned the study and wrote the manuscript.

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#### SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fchem. 2018.00180/full#supplementary-material

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### Ligand Screening Systems for Human Glucose Transporters as Tools in Drug Discovery

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Hexoses are the major source of energy and carbon skeletons for biosynthetic processes in all kingdoms of life. Their cellular uptake is mediated by specialized transporters, including glucose transporters (GLUT, SLC2 gene family). Malfunction or altered expression pattern of GLUTs in humans is associated with several widespread diseases including cancer, diabetes and severe metabolic disorders. Their high relevance in the medical area makes these transporters valuable drug targets and potential biomarkers. Nevertheless, the lack of a suitable high-throughput screening system has impeded the determination of compounds that would enable specific manipulation of GLUTs so far. Availability of structural data on several GLUTs enabled in silico ligand screening, though limited by the fact that only two major conformations of the transporters can be tested. Recently, convenient high-throughput microbial and cell-free screening systems have been developed. These remarkable achievements set the foundation for further and detailed elucidation of the molecular mechanisms of glucose transport and will also lead to great progress in the discovery of GLUT effectors as therapeutic agents. In this mini-review, we focus on recent efforts to identify potential GLUT-targeting drugs, based on a combination of structural biology and different assay systems.

Keywords: glucose transport, sugar transport inhibitors, screening system, sugar transport assays, drug discovery, hxt<sup>0</sup> strain

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#### INTRODUCTION

In human cell membranes, glucose transporter family members (GLUT, gene family SLC2) facilitate the diffusion of glucose and related monosaccharides along the concentration gradient. The 14 GLUTs are grouped according to phylogenetic homology into 3 classes: class I with GLUT1-4 (all transport glucose, GLUT2 also transports fructose), class II with GLUT5, 7, 9, and 11 (all transport fructose and glucose except for GLUT5—a fructose-only transporter; GLUT9 also transports uric acid), and class III with GLUT6, 8, 10, 12, and 13 (all transport glucose, except for GLUT13, which is a myo-inositol/proton symporter) (Thorens and Mueckler, 2010; Mueckler and Thorens, 2013). Other GLUT substrates are galactose, mannose, glucosamine, and dehydroascorbic acid. GLUTs differ in transport capacity, substrate affinity and specificity, and tissue distribution; the latter reflects local physiological needs. Alterations in the function, localization or expression of GLUTs are associated with Mendelian disorders (Santer et al., 1997; Seidner et al., 1998), cancer (Thorens and Mueckler, 2010; Barron et al., 2016), diabetes (Elsas and Longo, 1992), obesity (Song and Wolfe, 2007), gout (George and Keenan, 2013), non-alcoholic

fatty liver disease (Douard and Ferraris, 2013), and renal disease (Kawamura et al., 2011). Thus GLUTs are important subjects for medical research and show great potential as drug targets for the treatment of a number of these diseases for instance in cancer therapy. It is known that cancer cells show an increased expression of glucose transporters to meet their need for higher energy demand due to uncontrolled proliferation (Warburg, 1956; Cairns et al., 2011). A higher expression rate of several GLUTs has already been identified in various kinds of tumors (Szablewski, 2013). Most prominently, higher expression rates of GLUT1 have been found in most cancer tissues (Godov et al., 2006) and studies indicate that this overexpression is an early event in the course of the disease (Rudlowski et al., 2003; Macheda et al., 2005). Various studies also related abnormal expression of other transporters, including GLUT4, GLUT6, GLUT7, GLUT8, GLUT11, and GLUT12, with the fast proliferation of cancer cells (Rogers et al., 2002; Godoy et al., 2006; McBrayer et al., 2012); GLUT5 was found in breast cancer tissue but was absent in normal breast tissue (Zamora-León et al., 1996). Metabolites which specifically modify the activity of certain GLUT isoforms would therefore be very valuable for cancer therapy which is furthermore encouraged by studies showing that cancer cells die faster than normal cells under glucose-limiting conditions (Liu et al., 2010).

Diabetes mellitus type 2 is another prominent example of a GLUT-related disease whereas GLUT4 is considered a key player in the pathogenesis of this disease. This transporter is predominantly expressed in adipose tissue, heart, and skeletal muscle and is stored in small vesicles in the cytoplasm until insulin triggers its translocation to the plasma membrane, where it mediates glucose uptake (Hajiaghaalipour et al., 2015). Diabetic type 2 cells show diminished expression of GLUT4 as well as impaired trafficking to the plasma membrane (Patel et al., 2006). Furthermore, a proper anchoring of GLUT2 at the surface of β-cells seems to be crucial for the physiological glucose-uptake in these cells which is in turn required for normal glucosestimulated insulin secretion (Ohtsubo et al., 2005). Reduced stability of GLUT2 in the plasma membrane disrupts insulin secretion and therefore favors the development of type 2 diabetes (Ohtsubo et al., 2005). Substrates with the ability to modulate altered functions of GLUTs involved in the pathogenesis of diabetes might contribute to the therapy and diminish symptoms of diabetes type 2 patients.

Given the complex role that GLUTs play in different diseases the discovery of GLUT-selective is highly desirable. Recent advances in three-dimensional structure determination of GLUTs and their homologs (Sun et al., 2012; Iancu et al., 2013; Deng et al., 2014; Nomura et al., 2015) finally make structure-based drug design possible, as exemplified by two HIV integrase inhibitors Raltegravir and Elvitegravir (Williamson et al., 2012). In particular, *in silico* ligand screening studies have uncovered GLUT-specific inhibitors for the first time. In this mini-review article, we will summarize the current efforts to identify potential GLUT-targeting drugs, based on a combination of structural biology and different assay systems.

### STRUCTURE-BASED DISCOVERY OF COMPOUNDS TARGETING GLUTS

GLUTs belong to the sugar porter family of the Major Facilitator Superfamily (MFS) proteins (Saier et al., 1999; www.tcdb.org), one of the largest and most ubiquitous protein families. As other MFS proteins, GLUTs have 12 transmembrane helices organized into two 6-helices domains (the N- and C-halves); a central polar cavity formed between the N- and C-domains contains the substrate binding site. GLUTs have an alternating access transport mechanism whereby the substrate cavity presents in turn to either the lumen (outward-facing conformation) or cytoplasm (inward-facing conformation). Crystal structures of GLUTs and their homologs have captured outward- and inwardfacing conformations, in different ligation states (apo, with substrate or inhibitors), with the substrate cavity open (open conformation) to or partially shielded (occluded conformation) from solvent (Sun et al., 2012; Iancu et al., 2013; Deng et al., 2014; Nomura et al., 2015; Kapoor et al., 2016; see Table 1). Comparison of the crystal structures of GLUT1 inwardopen conformation and GLUT3 outward-facing conformations (outward-occluded and -open), suggest that the alternating access mechanism involves a rigid-body rotation of the Nterminal half relative to the C-terminal half and rearrangements in the substrate interactions with residues mostly from the Cterminal domain (Deng et al., 2015). Ligand docking studies of substrate and inhibitors to different conformations of GLUT1, based on crystal structures of GLUT1, GLUT3 and the bacterial homolog XylE, show conformation-dependent variation in the number and location of the ligand binding sites: several potential glucose binding sites (three for the outward-open conformation, two for the outward-occluded conformation and one each for the inward-occluded and inward-open conformations) and, in the case of GLUT1 inhibitors, two maltose binding sites in the outward-facing conformation, and two sites for cytochalasin B in the outward-facing conformation (Lloyd et al., 2017). Obviously, structure-based ligand screening for GLUTs will need to employ all available conformations of a transporter.

Structure-based drug discovery relies on reliable 3D structures of a target protein, *in silico* ligand screening with libraries of small compounds, and assay systems to validate and characterize the ligand candidates. Subsequent rounds of chemical optimization, informed by structure-based design, may further increase the potency and specificity of the identified ligands (Sliwoski et al., 2014; Schreiber et al., 2015).

So far, *in silico* ligand screening has been reported for GLUT1, GLUT4, and GLUT5 (Mishra et al., 2015; George Thompson et al., 2016; Ung et al., 2016). This is a high-throughput ligand screening method in which millions of small compounds are assessed computationally for their ability to bind to a target structure (Colas et al., 2016). **Table 2** lists GLUT inhibitors with IC50 under 20  $\mu$ M uncovered through *in silico* ligand screening studies. Human GLUT crystal structures were unavailable at the time of the initial virtual screening, so structural models were based on the crystal structures of bacterial GLUT homologs or

TABLE 1 | Crystal structures of GLUTs and their homologs.

Protein	Source	Conformation	PDB ID	References
Xylose/H <sup>+</sup> symporter	Escherichia coli	Outward-occluded	4GBY	Sun et al., 2012
			4GBZ	
			4GC0	
		Inward-open	4JA4	Quistgaard et al., 2013
			4JA3	
		Inward-open	4QIQ	Wisedchaisri et al., 2014
Glucose/H <sup>+</sup> symporter	Staphylococcus epidermidis	Inward-open	4LDS	lancu et al., 2013
GLUT1	Homo sapiens	Inward-open	4PYP	Deng et al., 2014
		Inward-open	5EQI	Kapoor et al., 2016
			5EQG	
			5EGH	
GLUT3	Homo sapiens	Outward-occluded	4ZW9	Deng et al., 2015
		Outward-occluded	4ZWB	
		Outward-open	4ZWC	
		Outward-occluded	5C65	Pike et al., 2015
GLUT5	Rattus	Outward-facing	4YBQ	Nomura et al., 2015
	Bos taurus	Inward-open	4YB9	

other MFS proteins (Table 2) and represented either the inwardfacing conformation (GLUT4 and GLUT5) or the outward-facing conformation (GLUT1). The number of molecules in the screen library varied: ~550,000 (Fragment Now and NCI-2007) for GLUT1,  $\sim$ 6 million (Chemnavigator) for GLUT5, and  $\sim$  10 million (ZINC) for GLUT4. The number of resulting ligand candidates purchased and checked for activity against GLUTs was 17, 19, and 175, respectively, for GLUT4, GLUT1, and GLUT5. The transport assay systems were: GLUT1-expressing CHO cells, GLUT4-expressing HEK293 cells or multiple myeloma cell lines, and GLUT5 proteoliposomes. The studies identified eight GLUT1 inhibitors (including compounds A and B in Table 2), two GLUT4 inhibitors (compound E is a structural derivative of compound C, Table 2) and one GLUT5 inhibitor. Inhibitor selectivity was not established for GLUT1, but was determined at different extents for GLUT4 and GLUT5 inhibitors. Thus, compounds C and D (Table 2), seemed selective for GLUT4, compared to GLUT1, which is impressive given the extensive amino acid sequence conservation in the substrate binding cavity between these class I GLUTs. Compound F, did not affect the glucose transport of GLUT1, 2, 3 or 4, or the fructose transport of GLUT2, in proteoliposomes, proving to be a GLUT5-specific inhibitor. Mutagenesis studies on GLUT1, 5 and the bacterial GLUT homolog GlcP<sub>Se</sub> confirmed the predicted binding site of compound F in GLUT5 and identified His 387 of GLUT5 as a residue important in inhibitor selectivity. Nevertheless, whether compound F remains GLUT5-selective, compared to other class II GLUTs, in particular GLUT7, which has the equivalent of His 387, remains to be established. Subsequent chemical optimization has been done only for GLUT4 inhibitors so far. Based on compound E, Wei et al. performed SAR (structure-activity relationship) analysis and antagonist synthesis and found that

compound E analogs decreased proliferation of the plasma cell malignancy multiple myeloma (Wei et al., 2017).

All the described *in silico* studies are a promising start for drug discovery efforts targeting GLUTs. Now that crystal structures for several human GLUTs are available, *in silico* studies for all GLUTs are possible. Furthermore, for the same GLUTs, inhibitors for the outward-facing and inward-facing conformations can be identified. To further establish the selectivity of the new inhibitors, GLUT-specific assay systems are required.

### ASSAYS AND SCREENING SYSTEMS FOR GLUT ACTIVITY

In silico approaches usually yield a large number of compounds that need to be evaluated for their effect on hexose transport by GLUTs to select the best candidates for possible (pre)clinical trials. Thereby, an ideal assay system should be quick and inexpensive, but at the same time it must preserve the transporter's properties, e.g., in terms of transport kinetics.

In vitro (cell-free) systems offer the advantage of a strictly defined composition, which minimizes the risk of non-controllable interferences as often encountered in a complex cellular context. Thereby, studies of membrane proteins require the simulation of their native lipid environment. Different approaches have been tested with purified GLUTs to fulfill this task. Kraft et al. (2015) succeeded in producing milligram amounts of rat GLUT4 in mammalian HEK293 cells and were able to reconstitute correctly folded protein into detergent micelles, amphipols, nanodiscs and proteoliposomes. The latter are suitable for transport assays by constituting a two-compartment (outside/inside) system (Saier et al., 1999;

TABLE 2 | Leading probes for GLUTs from in silico ligand screening.

	Target protein	Template PDB ID	Screen library	Chemical IDs	Smiles	Synomyms	IC50 (μM)	References
A	GLUT1 Outward	4CGO (XyIE)	Fragment Now, NCI-2007	PubChem CID: 417049	C1=CC=C(C=C1)C (C2=NC3C(=NC= NC3=O)N2)O	8-[hydroxy(phenyl) methyl]-5,9-dihydropurin-6-one	0.45	1
В	GLUT1 Outward	4CGO (XyIE)	Fragment Now, NCI-2007	ZINC 17003013, PubChem CID: 250016, CAS: 13617-04-4	c1c2c(c(=O)[nH] n1)Sc3cn[nH]c(= O)c3S2	[1,4]dithiino[2,3-d:5,6-d']dipyridazine-1,6-diol	11.8	1
С	GLUT4 Inward	1PW4 (GlpT), 1PV6 (LacY), 2GFP (EmrD)	ZINC	ZINC 14974263, ChemBridge: 59900452	COc1cccc1CCC( =O)N(Cc2ccncc2) Cc3cccc(c3)OCCc 4ccc(cc4)F	N-{3-[2-(4-fluorophenyl)ethoxy]benzyl}-3-(2-methoxyphenyl) -N-(4-pyridinylmethyl)propanamide	18.9	2
D	GLUT4 Inward	1PW4 (GlpT), 1PV6 (LacY), 2GFP (EmrD)	ZINC	ZINC 11785066, ChemBridge: 27190707	C[NH+](Cc1cccc( c1)OC[C@@H]2C CCN(C2)C(=O)c3 c4c([nH]n3)CCC4 )Cc5ccc6c(c5)ccc n6	N-methyl-1-(6-quino linyl)-N-(3-{[1-(1,4,5,6-tetrahydrocyclopenta[c]pyrazol-3-ylcarbonyl)-3-piperidinyl]methoxy}benzyl)methanamine	10.8	2
E	GLUT4 Inward	1PW4 1PV6, 2GFP	ZINC	ZINC 12152508, ChemBridge: 55751832	COc1ccc(cc1)C(= O)N(Cc2ccncc2)C c3cccc(c3)OCCc4 ccc(cc4)F	N-[[3-[2-(4- fluorophenyl)ethoxy]phenyl]methyl]-4- methoxy-N-(4-pyridyl methyl)benzamide	6.67	2
F	GLUT5 Inward	4LDS (GlcP <sub>Se</sub> )	Chem Navigator	Structure_ID: 32283234, Enamine: Z31191163	[S](=O)(=O)(C)c1 cc(cc(cc1)Nc2cc3c (cc2)OCO3)[N+]( =O)[O-]	N-[4-(methylsulfonyl)-2-nitrophenyl]-1,3- benzodioxol-5-amine, MSNBA	5.8	3
A B	NH OF	C EXE		D -	F	E OOH	C	F

References: 1. Ung et al. (2016); 2. Mishra et al. (2015); 3. George Thompson et al. (2016).

Geertsma et al., 2008). By allowing lateral diffusion and the generation of a membrane curvature, this system best mimics the native surroundings of GLUTs compared to other *in vitro* systems (Kraft et al., 2015). A noteworthy advantage of proteoliposomes is the fact that parameters like the lipid composition or the degree of membrane curvature can be varied systematically. For instance, Hresko et al. (2016) could show that presence of anionic phospholipids in the proteoliposomes stabilized reconstituted GLUT3 and GLUT4 while conical

lipids enhanced the transport rate. Besides considerable advantages, the liposome reconstitution approach also bears some drawbacks. First, for membrane reconstitution, a sufficient amount of purified protein is necessary. A general instability of membrane proteins outside of their native lipid environment and the shortcomings of most purification methods, concerning the purity or the yield of the target protein, makes the heterologous expression and purification of structurally and functionally stable protein time-, labor- and cost-intensive

(Geertsma et al., 2008; Kraft et al., 2015). Furthermore, many factors have to be taken into account and optimized for a successful membrane reconstitution, such as the application of a suitable (mild or harsh) detergent, its concentration as well as the protein-to-lipid ratio, protein-orientation and the choice for either synthetic lipids or lipid extracts (Geertsma et al., 2008) resulting in a complex handling. Nevertheless, progress has been made in the various fields of protein purification and stability (Kraft et al., 2015), improving the utility of proteoliposomes for transport assays. For instance, proteoliposomes were successfully used to assess the effect of inhibitors of GLUT1 (George Thompson et al., 2015) and GLUT5 (George Thompson et al., 2015, 2016).

As a complementary approach that avoids laborious protein purification and reconstitution procedures, different cell-based systems for assaying GLUTs have been employed.

Functional expression of membrane proteins in Xenopus laevis oocytes opened the gate for closer molecular characterization (Hediger et al., 1987). Early experiments on GLUT1-5 in this expression system already yielded valuable information about the kinetic properties, substrate selectivity and effective inhibitors of the transporters (Birnbaum, 1989; Gould and Lienhard, 1989; Keller et al., 1989; Kayano et al., 1990; Gould et al., 1991). The system was proven to be suitable for investigating GLUT functions, due to a low endogenous GLUT expression in frog oocytes (Gould and Lienhard, 1989). Additionally, the large size of these cells facilitates handling and allows their application for electrophysiological experiments (Long et al., 2018). However, not all GLUT isoforms integrate properly into the oocyte plasma membrane and calculating their abundancy in membrane is not trivial (Gould and Lienhard, 1989; Keller et al., 1989). Furthermore, Xenopus laevis oocytes might be too instable for the application in high-throughput screening assays (César-Razquin et al., 2015).

Investigations on GLUTs can also be performed by expression in human cell lines such as MCF-7 or Caco-2 cells as it has been shown for GLUT2 and GLUT5 (Mahraoui et al., 1994; Zamora-León et al., 1996; Lee et al., 2015). In these systems, parameters such as lipid composition, posttranslational modifications and protein trafficking are most likely identical to the native conditions, although some alterations in cultured cells are, at least principally, possible. However, mammalian cell lines endogenously express several GLUT isoforms with overlapping activity, making it difficult to establish unambiguously the GLUT member(s) targeted by a compound (Lee et al., 2015; Tripp et al., 2017).

More recently, efforts have concentrated on establishing a microbial system amenable to high-throughput screening of GLUT inhibitors. Due to the easy manipulation and short generation time, the yeast *Saccharomyces cerevisiae* provides a time-efficient, low-cost and versatile platform for this purpose (Tripp et al., 2017; Boles and Oreb, 2018). For exclusive uptake of hexoses via heterologously expressed GLUTs, all genes encoding endogenous transporters capable of hexose transport (*HXT1-17*, *GAL2* as well as the maltose transporter genes *AGT1*, *MPH2*, and *MPH3*) were deleted in the yeast strain background CEN.PK2-1C using the loxP-Cre recombinase system (Wieczorke et al., 1999). The resulting strain was named EBY.VW4000 and is unable to

take up and grow on glucose or related hexoses as sole carbon source. The functional expression of human GLUTs in this hexose transporter deficient (hxt<sup>0</sup>) yeast strain restores its ability to grow on glucose or fructose enabling compound screening for the particular human GLUT via simple cell growth assays (Wieczorke et al., 2002). Even though cell growth is the simplest parameter to determine the functionality of the transporters or potency of the inhibitors, compound screening is not limited to this method. For instance, yeast cells can be conveniently used for uptake assays of radiolabeled sugars, which allows for the determination of kinetic parameters of the transporters, including inhibitor constants (Maier et al., 2002; Tripp et al., 2017; Boles and Oreb, 2018).

However, the functional expression of human GLUTs in yeast cells require additional modifications either within the transporter or in the genome of the yeast strain. Whereas wildtype GLUT1, GLUT4 and GLUT5 (Kasahara and Kasahara, 1996, 1997; Wieczorke et al., 2002; Tripp et al., 2017) were not active in the hxt<sup>0</sup> strain, single point mutations in the transmembrane region 2 of GLUT1 and GLUT5 mediated their functional expression (Wieczorke et al., 2002; Tripp et al., 2017). Wild-type GLUT1 was active only in the hxt<sup>0</sup> strain that additionally acquired the fgy1 (for functional expression of GLUT1 in yeast) mutation (Wieczorke et al., 2002). The affected gene encodes the Efr3 protein (Wieczorke and Boles, personal communication) that was later described as a scaffold for recruiting the Stt4 phosphatidylinositol-4-kinase to the plasma membrane and therefore necessary for normal phosphatidylinositol-4-phosphate levels in this compartment (Wu et al., 2014). The functional expression of GLUT4 required, in addition to fgy1, the fgy4 mutation, that was later found to affect the ERG4 gene (Boles et al., 2004), which encodes an enzyme involved in the last step of ergosterol biosynthesis. These observations suggest that the lipid composition of yeast membranes interferes with the functionality of GLUTs. Nevertheless, GLUT1, GLUT4 (Wieczorke et al., 2002), and GLUT5 (Tripp et al., 2017) expressed in yeast exhibited transport kinetic parameters comparable to those determined in liposomes or human cell lines and were responsive to established inhibitors of these transporters. Therefore, hxt<sup>0</sup> strains represent a convenient platform for screening approaches and characterization of human GLUTs in a high throughput manner. The discovery of specific effectors for one certain GLUT, which do not influence homologs of the same protein family, is challenging due to the high protein sequence similarity shared by the members of this family (George Thompson et al., 2015). Among existing screening systems, the microbial, highthroughput screening system is the most effective method to face this challenge. Its usage and expansion to other disease-relevant GLUTs will likely reveal new GLUT-specific effectors which might be of fundamental importance for clinical applications in the battle against widespread diseases like cancer or diabetes.

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All authors listed have made a substantial, direct and intellectual contribution to the work, and approved it for publication.

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# The Emerging Role of microRNAs in Aquaporin Regulation

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Aquaporins (AQPs) are membrane channels widely distributed in human tissues. AQPs are essential for water and energy homeostasis being involved in a broad range of pathophysiological processes such as edema, brain injury, glaucoma, nephrogenic diabetes insipidus, salivary and lacrimal gland dysfunction, cancer, obesity and related metabolic complications. Compelling evidence indicates that AQPs are targets for therapeutic intervention with potential broad application. Nevertheless, efficient AQP modulators have been difficult to find due to either lack of selectivity and stability, or associated toxicity that hamper *in vivo* studies. MicroRNAs (miRNAs) are naturally occurring small non-coding RNAs that regulate post-transcriptional gene expression and are involved in several diseases. Recent identification of miRNAs as endogenous modulators of AQP expression provides an alternative approach to target these proteins and opens new perspectives for therapeutic applications. This mini-review compiles the current knowledge of miRNA interaction with AQPs highlighting miRNA potential for regulation of AQP-based disorders.

Keywords: aquaporin, miRNA, gene expression regulation, post-transcriptional modulation, membrane proteins, permeability, disease

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#### INTRODUCTION

Aquaporins (AQPs) are membrane channels that facilitate diffusion of water and small molecules (e.g., glycerol) through cell membranes driven by osmotic or solute gradients. The 13 isoforms (AQP0-12) expressed in mammals are crucial for water homeostasis and energy balance, which in turn influence survival and adaptation of living organisms. AQPs participate in many physiological processes such as renal water absorption, brain water homeostasis, skin hydration, intestinal permeability, cell proliferation, migration and angiogenesis, and oxidative stress response (Verkman, 2012; Pelagalli et al., 2016; Rodrigues et al., 2016). This suggests that their role may go far beyond the simple facilitation of membrane permeability. Indeed, over the years the importance of AQPs in health and disease has gained the attention of several research groups around the world; there is now compelling evidence that aquaporins are drug targets with potential broad application (Soveral et al., 2016). Modulators of AQPs expression or function with high selectivity and low side-toxicity are anticipated to have high value for the treatment of AQP-related disorders such as edema, brain injury, glaucoma, nephrogenic diabetes insipidus, salivary and lacrimal gland dysfunction, cancer and obesity, among others (Verkman et al., 2014; Soveral et al., 2016).

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Although several potential AQP modulators have been reported and patented for use in diagnostic and therapeutics (Beitz et al., 2015; Soveral and Casini, 2017), their lack of selectivity and toxic side effects has hampered application in clinical trials. In addition, the protein structural conformation with channel pore access restrictions renders the molecule difficult to target and has slowed the progress of AQP drug discovery (Verkman et al., 2014; Madeira et al., 2016).

The recent recognition of AQP targeting by microRNAs (miRNAs) has opened new avenues for drug development. Here, we summarize updated information on the role of miRNAs in AQP-selective regulation and discuss their usefulness to tailor specific AQP-based therapeutics.

### **OVERVIEW OF mIRNA BIOGENESIS AND FUNCTION**

miRNAs are small, single-stranded non-coding RNAs with important functions in the post-transcriptional control of gene expression (Ha and Kim, 2014; Christopher et al., 2016; Vishnoi and Rani, 2017). In humans, miRNA biogenesis follows a multi-step process depicted in Figure 1. miRNAs are firstly transcribed in the nucleus by RNA polymerase II (Pol II) as long primary transcripts (pri-miRNAs), exhibiting a doublestranded hairpin loop structure (Ha and Kim, 2014). This stem loop is then cropped by nuclear RNase III Drosha to release a small hairpin-shaped RNA of ~65 nucleotides in length (premiRNA). Next, the pre-miRNA is exported to the cytoplasm through a nuclear pore complex comprising protein exportin 5 and further processed by RNase III endonuclease DICER near the terminal loop, liberating a small ~22 nucleotides in length RNA duplex. This duplex is then loaded into the miRNAinduced silencing complex (miRISC), unwounded, and the mature miRNA transferred to Argonaute (AGO) proteins within the complex. Following its assembly in the miRISC, the miRNA will target one or multiple mRNAs, leading to translational repression or, in particular cases, to mRNA degradation (Pereira et al., 2013; Ha and Kim, 2014; Vishnoi and Rani, 2017). Of note, miRNAs may also act as transcriptional or splicing regulators, within the nucleus (Hwang et al., 2007), and be involved in genetic exchange with adjacent cells, through exosomes (Valadi et al., 2007). Approximately 60% of protein-coding genes are influenced by miRNAs (Friedman et al., 2009) that play crucial roles in several biological processes, including control of cell cycle and differentiation, proliferation and metabolism. As such, miRNA deregulation is being increasingly associated with several human pathologies.

miRNAs might embody prospective therapeutic targets. We have recently shown that miR-21 is systematically increased in animal models and in human patients with steatohepatitis, thus contributing for disease pathogenesis. In contrast, miR-21 abrogation significantly improved steatosis, inflammation and fibrosis, as well as overall lipid and cholesterol metabolism (Rodrigues et al., 2017). Other studies have similarly shown that miRNA functional manipulation *in vivo* can impact on metabolic phenotypes and even reverse the course of insulin

resistance and diabetes (Sethupathy, 2016). These results suggest that miRNA-based therapies may become a viable strategy for treating a broad range of disorders such as cancer and cardiovascular disease, among others (van Rooij and Kauppinen, 2014; Adams et al., 2017). Further, in oncology the aim is to downregulate or block the function of oncogenic miRNAs and/or upregulate expression of tumor suppressor miRNAs, for which different miRNA-targeting strategies have been proposed (as reviewed in Ling et al., 2013; Li and Rana, 2014; Robb et al., 2017). Replacement of tumor suppressor miRNAs typically involves the introduction of synthetic miRNA mimics or miRNA expression vectors. In this regard, a synthetic miRNA mimic based on the sequence of the miR-15/16 family is being evaluated in a clinical trial to treat patients with malignant pleural mesothelioma and advanced non-small cell lung cancer (van Zandwijk et al., 2017). As for inhibition of oncogenic miRNAs overexpressed in cancer, the top approaches being investigated include expression vectors (miRNA sponges), small-molecule inhibitors and antisense oligonucleotides (ASOs or antagomiRs) (Robb et al., 2017). Miravirsen (Santaris Pharma A/S) is a typical example of the later, inhibiting miR-122 function in the liver that is essential for the replication of the hepatitis C virus (HCV). A Phase II clinical trial showed that miravirsen is able to reduce HCV RNA levels in patients (Janssen et al., 2013).

In parallel with therapeutic targeting, circulating miRNA patterns are associated with metabolic, neurodegenerative and infectious pathologies (Keller et al., 2015; Mirra et al., 2015, 2018; Verma et al., 2016), making miRNAs attractive disease biomarkers and allowing the prospective implementation of personalized therapies (Mirra et al., 2018). Nonetheless, the use of miRNAs as either therapeutic targets or disease biomarkers still requires extensive optimization and validation.

#### AQUAPORIN TARGETING BY miRNAS

The discovery of miRNAs as endogenous modulators of AQPs offers a potential therapeutic approach for the regulation AQP-related disorders. Below, we address the current knowledge of miRNA interaction with AQP isoforms and the potential advantage for AQP-related pathologies (**Table 1**).

AQPs are specialized water and/or glycerol channels expressed in various tissues including the kidney, lung, gastrointestinal tract, brain, adipose tissue and liver (Verkman, 2012) and are implicated in water imbalance disorders, such as edema.

AQP1 and AQP4 are associated with cerebral edema (Griesdale and Honey, 2004; Zador et al., 2007), and their modulation may improve the outcome of cerebral disorders such as cytotoxic and vasogenic edema, stroke and traumatic brain injury (Papadopoulos and Verkman, 2007; Zador et al., 2007). Interestingly, miRNA deregulation has also been reported in cerebral ischemia (Koutsis et al., 2013; Ouyang et al., 2013; Di et al., 2014), a condition that can induce cerebral edema (Marmarou, 2007). miR-320a was reported to inhibit *AQP1* and *AQP4* gene expression both *in vitro* and *in vivo* in a cerebral ischemia rat model (Sepramaniam et al., 2010), whereas anti-miR-320a upregulated *AQP1* and *AQP4* expression with

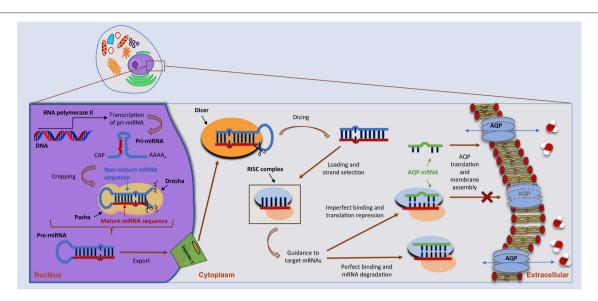


FIGURE 1 | miRNA biogenesis and mode of action. miRNA biogenesis embodies a multistep process catalyzed by specific RNA polymerases. miRNAs are initially transcribed as a long, capped and polyadenylated pri-miRNA, cropped by the Drosha complex into a hairpin pre-miRNA. Following translocation to the cytoplasm by Exportin-5, the pre-miRNA is further processed by the Dicer complex, generating a ~22-nucleotide mature miRNA-miRNA duplex. The guide strand is then selected by the Argonaute protein and integrated into an RNA-induced silencing complex (RISC) to form the miRNA-RISC. This will act on target mRNAs, including aquaporin (AQP) mRNAs, by binding to the 3'-UTR and leading to translational inhibition or mRNA degradation (see text for more details).

consequent reduction of infarct volume (Sepramaniam et al., 2010). The inhibitory effect of miR-320a on AQP4 expression was also confirmed in astrocyte primary cultures from brain tissue of epileptic rats (Song et al., 2015), a condition that may induce cytotoxic cerebral edema. In addition, in a rat model of spinal cord edema, downregulation of AQP1 at the blood–spinal cord barrier by miR-320a showed to positively affect spinal cord edema after ischemia reperfusion injury (Li et al., 2016). These findings suggest that miR-320a can be used as modulator of AQP1 and AQP4 in cerebral and spinal cord edema.

Further studies identified miR-130a as a transcriptional repressor of AQP4 M1 isoform in human astrocytes (Sepramaniam et al., 2012). This transcript shows higher expression and function in the human brain under ischemic conditions compared to AQP4 M23 (Hirt et al., 2009). Modulation of miR-130a and subsequent influence on AQP4 M1 gene and protein expression may be used to reduce cerebral infarct and promote ischemic recovery (Sepramaniam et al., 2012). Additionally, AQP4 down-regulation by miR-145 (Zheng et al., 2017a), miR-130b (Zheng et al., 2017b) and miR-29b (Wang et al., 2015) revealed the protecting role of these miRNAs against ischemic stroke. A recent study demonstrated that AQP4 silencing in rat astrocyte primary cultures was associated with an increase of miR-224 and miR-19a expression, and this could be a molecular mechanism responsible for decreased astrocyte connectivity and water mobility in the brain (Jullienne et al., 2018).

AQP1 is also expressed in the lung alveolar epithelia and plays an important role in lung fluid transport and alveolar fluid clearance (King et al., 1996). Increased alveolar capillary membrane permeability, apoptosis of alveolar epithelial cells,

inflammation and edema are characteristics of acute lung injury. In a mouse model of lipopolysaccharide-induced acute lung injury, miR-126-5p was down-regulated while AQP1 and epithelial sodium channel (ENaC) protein expression was reduced in alveolar type II cells (Tang et al., 2016). AQP1 and ENaC reduction was attenuated when miR-126-5p was overexpressed, suggesting that miR-126-5p may ameliorate dysfunction of alveolar fluid clearance by maintaining the activity of both AQP1 and ENaC. An opposite effect was promoted by miR-144-3p in acute lung injury mice and in a lung epithelial carcinoma cell line, where AQP1 mRNA and protein expression were both decreased when miR-144-3p was overexpressed, reducing lung epithelial cell apoptosis (Li et al., 2018).

AQP1 plays an important role in cell migration, angiogenesis, wound healing and tumor growth (Saadoun et al., 2002a; Tomita et al., 2017). It is highly expressed in cancer tissues and often associated with worse prognosis (Papadopoulos and Saadoun, 2015). miR-320 was shown to negatively regulate AQP1 expression and to reduce cell proliferation, migration, and invasion of breast cancer cells (Luo et al., 2018). The role of AQP1 in angiogenesis, fibrosis and portal hypertension in cirrhotic mice has been investigated in AQP1 knockout mice, which showed reduced angiogenesis and fibrosis. The osmotically sensitive miR-666 and miR-708 are decreased in cirrhosis and were found to regulate AQP1 expression, suggesting its modulation as a therapeutic strategy in chronic liver disease (Huebert et al., 2011).

AQP2 is expressed in kidney collecting duct epithelial cells where the high transepithelial water permeability accounts for fluid retention and urine concentration. Water reabsorption via

**TABLE 1** Interaction of different miRNAs with AQPs in several pathophysiological conditions.

Gene	miRNA	Tissue	Disease/condition	References
AQP1	29a	Colon	IBS	Chao et al., 2017
	126-5p	Lung	Acute lung injury	Tang et al., 2016
	144-3p	Lung	Acute lung injury	Li et al., 2018
	320a	Brain	Cerebral ischemia	Sepramaniam et al., 2010
		Spinal cord	Spinal cord edema	Li et al., 2016
	320	Breast	Breast cancer	Luo et al., 2018
	666	Liver	Cirrhosis	Huebert et al., 2011
	708	Liver	Cirrhosis	Huebert et al., 2011
AQP2	32	Kidney	Water reabsorption	Kim et al., 2015
	137	Kidney	Water reabsorption	Kim et al., 2015; Ranieri et al., 2018
AQP3	1	Epidermis	Wound healing	Banerjee and Sen, 2015
	29a	Colon	IBS	Chao et al., 2017
	124	Liver	HCC	Chen et al., 2018
	185-5p	Epidermis	SCC	Ratovitski, 2013
	874	Stomach	GC	Jiang et al., 2014
		Intestine	Intestinal ischemic injury	Zhi et al., 2014
		Pancreas	PDAC	Huang et al., 2017
AQP4	19a	Brain	Astrocyte connectivity	Jullienne et al., 2018
	29b	Brain	Cerebral ischemia	Wang et al., 2015
	130a	Brain	Cerebral ischemia	Sepramaniam et al., 2012
		Brain	AD	Zhang et al., 2017
	130b	Brain	Cerebral ischemia	Zheng et al., 2017b
	145	Brain	Cerebral ischemia	Zheng et al., 2017a
	203	Lung	Asthma	Jardim et al., 2012
	224	Brain	Astrocyte connectivity	Jullienne et al., 2018
	320a	Brain	Cerebral ischemia	Sepramaniam et al., 2010
		Brain	Epilepsy	Song et al., 2015
		Brain	Glioma	Xiong et al., 2018
AQP5	21	Gallbladder	Gallbladder carcinoma	Sekine et al., 2013
	96	Lung	Sepsis	Zhang et al., 2014; Rump and Adamzik, 2018
	330	Lung	Sepsis	Zhang et al., 2014; Rump and Adamzik, 2018
AQP8	16	Colon	Ulcerative colitis	Min et al., 2013
	29a	Colon	IBS	Chao et al., 2017
	195	Colon	Ulcerative colitis	Min et al., 2013
	330	Colon	Ulcerative colitis	Min et al., 2013
	424	Colon	Ulcerative colitis	Min et al., 2013
	612	Colon	Ulcerative colitis	Min et al., 2013
AQP9	22	Liver	Diabetes	Karolina et al., 2014
	23a	Liver	Diabetes	Karolina et al., 2014

AD, Alzheimer's disease; HCC, hepatocellular carcinoma; IBS, irritable bowel syndrome; GC, gastric cancer; PDAC, pancreatic ductal adenocarcinoma; SCC, squamous cell carcinoma.

AQP2 is controlled by vasopressin, which triggers AQP2 trafficking to the apical plasma membrane (short-term regulation) or increases transcription of *AQP2* gene (long-term regulation) (Nielsen et al., 2000). Two AQP2-targeting miRNAs, miR-32 and miR-137, were reported to decrease *AQP2* expression in kidney collecting duct cells independently of vasopressin regulation (Kim et al., 2015). *AQP2* targeting by miR-137 has recently been correlated with impaired response to vasopressin and reduction of urine concentration via the calcium-sensing receptor (CaSR). Once activated by high

external calcium, CaSR promotes the synthesis of miRNA-137 and increases AQP2 ubiquitination and proteasomal degradation resulting in reduced AQP2 mRNA translation (Ranieri et al., 2018).

AQP3 is expressed in epidermal keratinocytes acting as a skin-hydration protein due to its ability to increase glycerol cellular content (Hara and Verkman, 2003). However, AQP3 is aberrantly expressed in different tumors (Papadopoulos and Saadoun, 2015) and its suppression has been proposed as a potential tool to reduce epidermal cell migration, proliferation

and tumorigenicity (Hara-Chikuma and Verkman, 2008). AQP3-targeting by miRNAs resulted in decreased cell differentiation in different cancers, such as in squamous cell carcinoma by miR-185-5p (Ratovitski, 2013), gastric adenocarcinoma (Jiang et al., 2014) and pancreatic ductal adenocarcinoma (Huang et al., 2017) by miR-874, and hepatocellular carcinoma by miR-124 (Chen et al., 2018). In addition, miR-1 was proposed to indirectly target AQP3 impairing keratinocyte migration (Banerjee and Sen, 2015).

AQP3 has also an established role in transepithelial water transport in the colon, along with AQP1 and AQP8 (Laforenza, 2012; Zhao et al., 2016). Altered water secretion or absorption in the colon is linked to gut disorders such as irritable bowel syndrome (IBS), where increased intestinal permeability due to disruption of intestinal tight junctions contributes to diarrhea and abdominal pain. It has been reported that AQP3 silencing leads to impairment of intestinal barrier integrity possibly by increasing paracellular permeability via an opening of the tight junction complex (Zhang et al., 2011) where miR-874 is involved through AQP3 targeting (Zhi et al., 2014; Su et al., 2016). Analysis of intestinal tissue samples from patients with IBS revealed that miR-29 reduces the expression of critical signaling molecules involved in the regulation of intestinal permeability (Zhou et al., 2015). The finding that AQP1, AQP3 and AQP8 are downregulated by miR-29a in rat colon tissues, and increased by anti-miR-29a (Chao et al., 2017) unveils a potential tool to restore intestinal permeability via miR-29 blockage and AQP up-regulation.

AQP4 is mainly expressed in the brain with a polarized distribution in the perivascular endfeet of astrocytes. There is strong evidence that AQP4 mislocalization contributes to the excessive accumulation of amyloid-\$\beta\$ in brain found in Alzheimer's disease (AD) (Yang et al., 2012). In a recent study, miR-130a restored AQP4 polarity by repressing the transcriptional activity of AQP4 M1 decreasing the AQP4 M1/M23 ratio (Zhang et al., 2017), thus protecting against AD. In addition to normal astrocytes, AQP4 is also expressed in human astrocytomas where the level of expression correlates with tumor aggressiveness (Saadoun et al., 2002b; Verkman et al., 2014). In glioma cells, miR-320a overexpression down-regulates AQP4 and diminishes cell invasion and migration, suggesting it could be used as a therapeutic target to suppress the aggressive capacity of this tumor (Xiong et al., 2018). Interestingly, AQP4 was found to be up-regulated in bronchial epithelial cells from asthmatic donors, following down-regulation of miR-203, together with pro-inflammatory genes (Jardim et al., 2012). The role of AQP4 in asthma is not clear, but since the progression of asthma usually includes edema, a contribution to fluid clearance cannot be ruled

AQP5 is a selective water channel important for saliva production and airway fluid clearance (Song and Verkman, 2001; Delporte et al., 2016). In the lung of rats after LPS-induced sepsis, decreased AQP5 gene and protein expression correlates with up-regulation of miR-96 and miR-330 and establishment of pulmonary edema (Zhang et al., 2014). AQP5 is also involved in cell proliferation, migration and invasion (Papadopoulos and Saadoun, 2015; Direito et al., 2016). AQP5

up-regulation in different cancer tissues together with markers of cancer progression suggests its involvement in cancer signaling pathways and highlights its potential as promising target for cancer therapy (Direito et al., 2016, 2017). AQP5 expression in gallbladder carcinoma was regulated by miR-21 and correlated with early-stage tumor progression with favorable prognosis (Sekine et al., 2013), suggesting novel potential drug targets for this malignancy.

AQP8 is expressed in the epithelial cells of the intestine (Laforenza, 2012). In colon samples of ulcerative colitis patients, AQP8 mRNA and protein were found three-fold decreased. A search for candidate target miRNAs revealed miR-16, miR-195, miR-424, miR-612, and miR-330 as putative down-regulators of AQP8 expression (Min et al., 2013).

AQP7 and AQP9 transport glycerol in addition to water (aquaglyceroporins) and are involved in fat metabolism in the adipose and liver tissues (Hibuse et al., 2006; Madeira et al., 2015). In fasting conditions, when triglyceride lipolysis occurs, AQP7 facilitates glycerol efflux from adipose tissue into the circulation, which is taken up in the liver via AQP9 to be used for gluconeogenesis (Rodriguez et al., 2011). AQP7 and AQP9 coordinated function is crucial for energy homeostasis and deregulation has been implicated in obesity and diabetes (Rodriguez et al., 2014; da Silva and Soveral, 2017). Selective modulation of AQP7 and AQP9 may constitute a promising approach for controlling obesity and metabolic-related disorders (da Silva et al., 2018). Among the candidate miRNA regulators of adipogenesis and gluconeogenesis, miR-22 and miR-23a showed to reduce AQP9 expression in liver cells, suggesting a potential application for glycaemia control in diabetic patients (Karolina et al., 2014).

#### FINAL REMARKS

The wide distribution of the various AQP-isoforms in mammalian tissues and their implication in a broad range of pathophysiological conditions makes AQPs exciting drug targets for novel therapies. Yet, with the exception of a few small molecules, no modulators of AQPs are available for in vivo use (Soveral and Casini, 2017). The recent discovery of miRNAs as endogenous regulators of AQP expression highlights an alternative and indirect approach to selectively target AQPs through modulation of signal transduction pathways. Moreover, since miRNA-targeting oligonucleotides can be chemically modified to enhance their pharmacokinetic/pharmacodynamic properties, targeting of mRNA expression by miRNAs typically leads to faster and longer-lasting responses comparing with protein inhibition by conventional targeted therapy. Further, the ability of miRNAs to target different genes simultaneously, as it is the case for miR-320a that targets both AQP1 and AQP4, or mi29a interacting with both AQP1 and AQP3, makes another compelling point toward the development of novel AQPtargeting therapies through modulation of miRNA function. However, there are still major challenges related with miRNA application, including in vitro validation of in silico predicted miRNAs, achievement of efficient up- or down-regulation,

assessment of the therapeutic effect in the most appropriate cell model and evaluation of potential off-target effects that could impair their use. Indeed, due to very small sizes, the chance that an anti-miRNA will interact with an endogenous mRNA is rather high. In addition, a hairpin RNA structure generates different miRNAs from each strand, which may bind to different mRNAs and exhibit opposite functions. Nevertheless, the possibility of using miRNAs alone or in combined therapy with other chemical or biological drugs to modulate specific AQP proteins involved in disease provides new clues for AQP-based therapeutics.

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#### **AUTHOR CONTRIBUTIONS**

GS and RC conception and design of research; IdS and AG prepared figures; AG and IdS drafted the manuscript; GS, RC, and CR edited and revised manuscript; AG, IdS, CR, RC, and GS approved final version of manuscript.

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# The Human SLC7A5 (LAT1): The Intriguing Histidine/Large Neutral Amino Acid Transporter and Its Relevance to Human Health

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SLC7A5, known as LAT1, belongs to the APC superfamily and forms a heterodimeric amino acid transporter interacting with the glycoprotein CD98 (SLC3A2) through a conserved disulfide. The complex is responsible for uptake of essential amino acids in crucial body districts such as placenta and blood brain barrier. LAT1/CD98 heterodimer has been studied over the years to unravel the transport mechanism and the role of each subunit. Studies conducted in intact cells demonstrated that LAT1/CD98 mediates a Na+ and pH independent antiport of amino acids. Some novel insights into the function of LAT1 derived from studies conducted in proteoliposomes reconstituted with the recombinant human LAT1. Using this experimental tool, it has been demonstrated that the preferred substrate is histidine and that CD98 is not required for transport being, plausibly, involved in routing LAT1 to the plasma membrane. Since a 3D structure of LAT1 is not available, homology models have been built on the basis of the AdiC transporter from E.coli. Crucial residues for substrate recognition and gating have been identified using a combined approach of bioinformatics and site-directed mutagenesis coupled to functional assays. Over the years, the interest around LAT1 increased because this transporter is involved in important human diseases such as neurological disorders and cancer. Therefore, LAT1 became an important pharmacological target together with other nutrient membrane transporters. Moving from knowledge on structure/function relationships, two cysteine residues, lying on the substrate binding site, have been exploited for designing thiol reacting covalent inhibitors. Some lead compounds have been characterized whose efficacy has been tested in a cancer cell line.

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#### INTRODUCTION

SLC7A5 is a transporter dedicated to essential amino acids. In the pre-genomic era, it was known as LAT1, an acronym standing for Large Amino Acid Transporter 1, that has endured over the time (Christensen, 1990). LAT1 belongs to the SLC7 family included in the larger APC (Amino acid-Polyamine-organo Cation) superfamily. The SLC7 family consists of 15 members, two of which are pseudogenes. The 13 encoded proteins are classified in two subgroups: the cationic amino

acid transporters and the light subunits (LATs) of the heterodimeric amino acid transporters. Molecular evolution studies show that the heterodimeric amino acid transporters and the cationic amino acid transporters have a common ancestor characterized by 12 trans-membrane domains. This structure is conserved in the heterodimeric amino acid transporters, while the cationic amino acid transporters evolved to 14 transmembrane domain structures, resulting from duplication of the last two trans-membrane domains, plausibly after branching of eukaryotes and archea (Verrey et al., 2004; Palacin et al., 2005; Fotiadis et al., 2013). The cationic amino acid transporters are N-glycosylated membrane proteins and are responsible for transport of cationic amino acids in cells. The heterodimeric amino acid transporters are present only in eukaryotes and are characterized by a broader substrate specificity toward neutral amino acids (SLC7A5, A8, A10, A12), aromatic amino acids (SLC7A15), negatively charged amino acids (SLC7A11) and cationic amino acids plus neutral amino acids (SLC7A6, A7, A9) (Fotiadis et al., 2013) (and refs herein). The structural peculiarity of the heterodimeric amino acid transporters is that of being one of the few examples of transporters composed by two different subunits: the light subunit, LATs and the heavy subunit, i.e., a membrane glycoprotein belonging to the SLC3 family with a single transmembrane domain and a large extracellular domain. The mentioned interaction is well conserved through evolution occurring via a disulfide bridge between two cysteine residues of the proteins forming heterodimeric amino acid transporters (Bröer and Brookes, 2001; Wagner et al., 2001; Palacín and Kanai, 2004). It is interesting to note that the SLC3 family, which comprises only two members (SLC3A1 and SLC3A2), is included in the SLC classification even if the direct involvement of these proteins in transport is not proven. In the case of LAT1, the heavy subunit counterpart is the SLC3A2, also known as CD98 or 4F2hc. The 3D structure of the ectodomain of the human CD98 is solved (Fort et al., 2007). The mentioned interaction is described since the early LAT1 discovery in rat glioma (Kanai et al., 1998). Several studies describe the function/structure relationships of such intriguing molecular organization, including the human isoform isolated and cloned in 1999 (Prasad et al., 1999; Fotiadis et al., 2013 and refs herein). In this frame, the term "LAT1" is often used to indicate the heterodimer LAT1/CD98, as well. In the sake of clarity, in this review we will use the term "LAT1" or "CD98" to indicate each of the monomers; we will use the term "LAT1/CD98" to indicate the heterodimer. It is important to stress that LAT1 is a key protein in cell growth and development due to its involvement in distribution of eight out of the nine essential amino acids to specific body districts such as placenta and Blood Brain Barrier (BBB, see section Gene and Tissue Localization of LAT1 and CD98). On the contrary, due to its low expression level in intestine and to its relatively low transport capacity, LAT1 is not responsible for absorption of amino acids

Abbreviations: LAT1, Large Amino Acid Transporter 1; BBB, Blood Brain Barrier; BCH, 2-aminobicyclo-(2,2,1)-heptane-2-carboxylic acid; IL-2, IL-17, interleukin-2, interleukin-17; mTOR, mammalian Target of Rapamicyn; GCN2, general control nonderepressible 2; PET, Positron Emission Tomography; BNCT, Boron Neutron Capture Therapy.

from diet. This function is mediated by other high capacity transport systems located in the microvilli brush-border (Bröer and Bröer, 2017). An important evidence of the crucial role of LAT1 in cell metabolism and growth derives from the lethal phenotype of knockout animal embryo, which cannot go beyond the mid-gestation stage (E11.5), i.e., when nervous cells start to differentiate (Ohgaki et al., 2017). Over the years, the interest around this transporter moved from biochemical to bio-medical issues due to its involvement in important diseases such as cancer and neurological disorders (Fuchs and Bode, 2005; del Amo et al., 2008). In fact, the number of clinical studies, reporting LAT1 alterations in human pathology, is continuously growing, even though the molecular bases of these phenomena are still far from being completely deciphered. During the last years, important metabolic and signaling clues are emerging, thanks to a better characterization of human LAT1 (see following sections). In particular, targeting of human LAT1 becomes a hot topic in drug discovery for advancing the treatment of diseases in which the protein is involved.

An overview of *status artis* on this transporter will be provided in the present review, shedding light on the "LATest" findings.

### GENE AND TISSUE LOCALIZATION OF LAT1 AND CD98

The SLC7A5 gene, located at 16q24.2 (locus ID 8140), counts 39477 nucleotides with 10 exons (Figure 1A). Orthologs of this gene are present in 222 different organisms (https://www. ncbi.nlm.nih.gov/gene/8140). Two transcripts are reported in Ensemble (Figure 1A). One of these transcripts, NM\_003486.6, codes for a protein of 507 amino acids, with a molecular mass of 55,010 Da. The other transcript derives from alternative splicing, but no evidence of a coded LAT1 protein is available, so far. Additional four transcripts are reported on NCBI databases, which, however, are only predicted. According to human protein Atlas project, RNA coding for SLC7A5 is ubiquitously expressed in all 27 tested tissues, even if at low levels (Fagerberg et al., 2014). Highest expression is measured in testis, bone marrow, brain and placenta (Prasad et al., 1999; Fotiadis et al., 2013). In polarized epithelia, LAT1 protein is mainly localized in basolateral membranes (Verrey et al., 2004; Fotiadis et al., 2013), with the exception of BBB where it is localized on both apical and basolateral membranes (Duelli et al., 2000). In placenta, LAT1 is on both maternal and fetal surfaces of syncytiotrophoblasts (Ohgaki et al., 2017). LAT1/CD98 heterodimer is also located in lysosomal membrane of HeLa cells (Milkereit et al., 2015).

The SLC3A2 gene, located at 11q12.3 (locus ID 6520), counts 32871 nucleotides with 13 exons (**Figure 1B**). Orthologous of this gene are present in 164 different organisms (https://www.ncbi.nlm.nih.gov/gene/6520). Four different transcripts are reported in Genbank database, coding for CD98 isoforms. The canonical isoform, NM\_002394.5 (**Figure 1B**), results from 12 exons (exon 4 is not present) with total 2347 bp and encoding a protein of 630 amino acids, with a molecular mass of 67,994 Da. A three nucleotide longer isoform is also described, NM\_001012662.2,

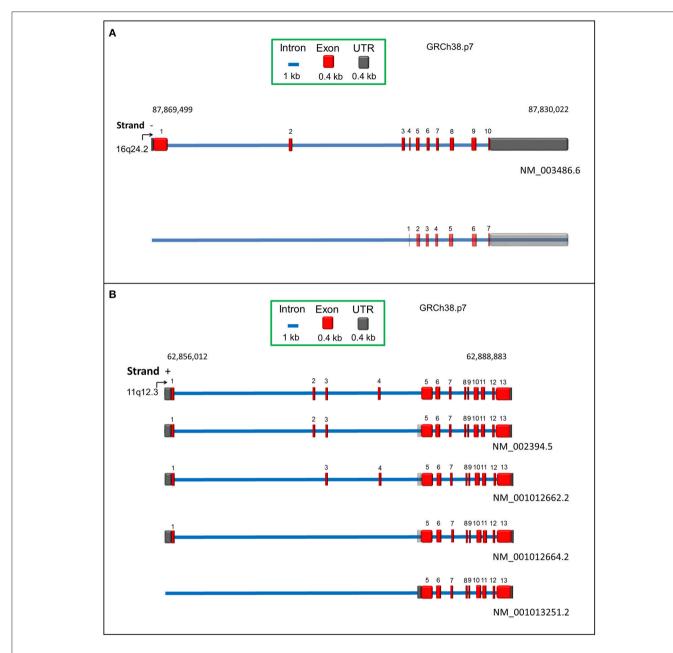


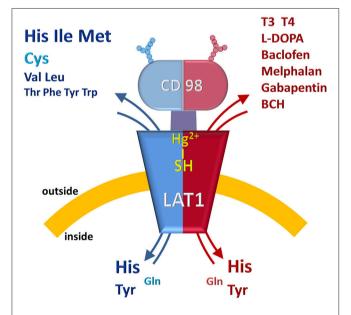
FIGURE 1 | Schematic representation of human SLC7A5 (A) and SLC3A2 (B) genes according to RCh38.p7 genome assembly. Intronic and exonic sequences are depicted in blue and red, respectively. UTR sequence is indicated in dark gray. Predicted UTR sequences or isoforms are in transparency. For each transcript, the relative Genbank accession number is indicated.

which derives from an alternative combination of 12 exons, i.e., the presence of exon 4 but not of exon 2 (**Figure 1B**). These little variations at transcriptional level generate proteins with 95% identity and 1 amino acid length difference, being the second isoform, 631 amino acids long. The third transcript, NM\_001012664.2, results from transcription of 10 exons, lacking exons 2, 3 and 4, counts 2161 nucleotides and codes for a protein of 568 amino acids. The last transcript, NM\_001013251.2 differs in the 5' UTR and lacks the first four exons, counting 1938 nucleotides: the corresponding protein, composed by 529

amino acids, derives from translation starting at a downstream ATG (Figure 1B). According to human protein Atlas project (Fagerberg et al., 2014), RNA coding for CD98 is ubiquitously detected with the highest expression level in kidney, placenta, testis and bone marrow. Expression of CD98 correlates with that of LAT1 in terms of localization, as expected from the interaction between the two proteins. However, CD98 is also expressed in other tissues since it works as ancillary protein of other SLC7 members, as well (Fotiadis et al., 2013 and refs herein).

### FUNCTION AND SUBSTRATE SPECIFICITY: THE DOUBLE FACE OF LAT1

The pioneer studies on LAT1/CD98 heterodimer are conducted in cell systems (such as X. laevis oocytes) measuring the uptake of essential amino acids using murine and human isoforms (Kanai et al., 1998; Mastroberardino et al., 1998; Prasad et al., 1999; Yanagida et al., 2001; Kim et al., 2002). These experiments establish that the transporter mediates an obligatory pH and Na<sup>+</sup> independent antiport of tryptophan, phenylalanine, leucine and histidine with high affinity (Km for human isoform ranging from 5 to  $50 \,\mu\text{M}$ ) (Figure 2). The Na<sup>+</sup> independence explains the relatively low transport capacity of this transporter and is in line with the low expression in the absorbent epithelia of intestine where, indeed, other transporters driven by Na<sup>+</sup> gradient, such as SNATs, ATB<sup>0,+</sup> and B<sup>0</sup>AT1, guarantee a massive uptake of amino acids (Pochini et al., 2014; Bröer and Bröer, 2017) (Figure 3). The heterodimer is able to recognize much less efficiently also glutamine (Km in the mM range); while, alanine, proline and charged amino acids are not recognized as substrates (Kanai et al., 1998; Mastroberardino et al., 1998; Kim et al., 2002; Meier et al., 2002; del Amo et al., 2008). Several reports show that the non-metabolizable analog BCH is a substrate of LAT1/CD98 (Figure 2) (Mastroberardino et al., 1998; Prasad et al., 1999; Kim et al., 2002). LAT1/CD98 also catalyzes the transport of the thyroid hormones T3 and T4 (Friesema et al.,



**FIGURE 2** | Representation of LAT1/CD98 and its substrates. The protein heterodimer is asymmetrically inserted in the cell membrane. The double-face feature of LAT1 is indicated by two-color design of the model. The blue half represents the specificity toward amino acids which are colored in blue (Essential Amino Acids) and light blue (Non-Essential Amino Acids). The size is suggestive of the higher or the lower specificity toward the amino acids. The red half represents the known specificity toward non-amino acid substrates: hormones, drugs and inhibitors. The reactivity of –SH group(s) of the protein toward Hg<sup>2+</sup> is also represented. Glycosylation of CD98 is depicted as "antennas".

2001; del Amo et al., 2008), of the dopamine precursor L-DOPA as well as of amino acid-related exogenous compounds, such as the drugs melphalan, baclofen and gabapentin (Uchino et al., 2002; del Amo et al., 2008) (Figure 2). The Vmax of L-DOPA transport is reduced upon depletion of plasma membrane cholesterol by methyl-β-cyclodextrin (Dickens et al., 2017). The ability of the transporter to accept canonical amino acids and other substances with some basic features of amino acids (Table 1), awards LAT1 with a two-faced role in physiological and in pathological contexts (Figure 2). Several controversies, however, are unsolved concerning the specificity issue due to some technical restrictions in the studies performed with intact cell systems. Thus, a novel drive derived from the availability of the recombinant human LAT1 and CD98 over-expressed in E.coli (Galluccio et al., 2013). The two human proteins were purified in a large scale and functionally characterized in the *in vitro* model of proteoliposomes. This is a versatile experimental tool, constituted by phospholipid vesicles, which allows the operator to precisely control both the external and internal spaces (Scalise et al., 2013). This triggered clarification of a key point of human LAT1/CD98 physiology: LAT1 is the sole transport competent subunit of the heterodimer, able to mediate antiport of amino acids with the same properties of the heterodimer, while CD98 does not exhibit any intrinsic transport function (Napolitano et al., 2015). Moreover, the transport protein is inserted in the proteoliposomal membrane with the same orientation as in the cell membrane: this represents an important requisite for translating the results obtained in vitro, to a physiological context. In the same system, the preference of LAT1 for amino acids has been definitively assessed (Figure 2). Functional and kinetic asymmetry of the transporter has been demonstrated (Napolitano et al., 2015): histidine and tyrosine are the bidirectionally-transported substrates, while the others are preferentially inwardly transported and glutamine is a poor substrate. Proteoliposomes harboring hLAT1 revealed suitable for studying the effect of mercury compounds: both the inorganic (HgCl<sub>2</sub>) and the organic (Methyl-Hg) forms of mercury strongly inhibit LAT1 mediated transport by binding to cysteine residue(s), in line with previous report on rabbit LAT1 (Boado et al., 2005; Napolitano et al., 2017a) (Figure 2). The results obtained with the purified protein substantiated the major role of LAT1 in histidine transport that, indeed, is already disclosed in the first important studies but then disregarded (Kanai et al., 1998; Mastroberardino et al., 1998; Prasad et al., 1999; Yanagida et al., 2001; Kim et al., 2002). The identification of histidine as LAT1 high affinity substrate in vitro, lays the groundwork for interpreting following important advances in human health (see section Regulation of LAT1 Expression). Histidine is, indeed, an essential amino acid eventually involved in aspartate and glutamate synthesis as well as in histamine production, thus being relevant for brain homeostasis and inflammatory response (Hasegawa et al., 2012; Sasahara et al., 2015). Interestingly, there is a concentration gradient for histidine across plasma membrane, being the plasma concentration of histidine one order of magnitude lower than the tissue concentration (Schmid et al., 1977). This gradient may constitute the driving force for extruding histidine in exchange with other essential amino

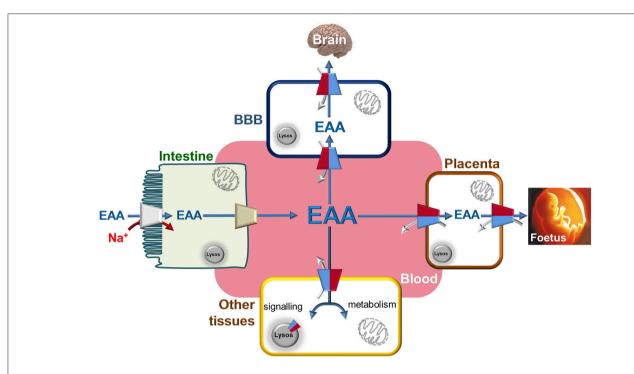


FIGURE 3 | The Essential Amino Acids distribution network through LAT1. Interplay among blood and epithelial polarized cells of intestine (apical membrane depicted as brush-border and basolateral membrane in contact with blood), placenta, BBB (Blood Brain Barrier) and other tissues. Essential Amino Acids are absorbed through intestine using Na<sup>+</sup> dependent transporters (indicated in gray) to allow their accumulation with high capacity. The same amino acids are conveyed to blood by other transporters present in the basolateral membrane of gut cells (indicated in light brown). From blood, Essential Amino Acids are accumulated in BBB and in placenta cells using LAT1 with an antiport reaction. In these cells, LAT1 is localized at both sides of cells allowing the flux of amino acids to Brain and Fetus, respectively. In other tissues, LAT1 is not highly expressed but plays the role of distributing Essential Amino Acids used both for signaling and metabolic purposes thanks also to alternative localization in lysosome membrane. These aspects became more important in tissues where LAT1 expression is strongly increased as in case of cancers. LAT1 is depicted as in Figure 2 by two-colored half. Flux of Essential Amino Acids are indicated by blue arrows (from blood to tissues) and by gray arrows (from tissues to blood).

acid such as leucine that becomes an important metabolite in pathological conditions underlying LAT1 function (see section LAT1 and Diseases). Altogether, the studies on specificity of hLAT1 for substrates and their analogs, as well as the interaction with non-transported molecules, allow extrapolating the essential features for a molecule to plausibly be transported by hLAT1: vicinal carboxylic and amino groups are essential, as resumed in Table 1. Indeed, dopamine, serotonine and GABA, which lack of vicinal carboxylic or the amino groups are not transported (Tarlungeanu et al., 2016). Furthermore, a large side group is also important, validating the pioneering acronym Large Amino Acid Transporter 1 (LAT1). Moreover, hydrophobicity seems an additional requirement for the side group, since, besides small, also charged amino acids are not transported. These features are already hypothesized in preliminary studies conducted in intact cells using the rat isoform of LAT1 (Uchino et al., 2002). Importantly, the main properties described in vitro are also confirmed by studies in intact cells both in the presence and in the absence of the disulfide between C164 of hLAT1 and C109 of hCD98 (Figure 2), demonstrating that CD98 is not required for intrinsic transport activity of LAT1 neither for substrate specificity (Pfeiffer et al., 1998; Campbell and Thompson, 2001; Boado et al., 2005; Napolitano et al., 2015). Therefore, it can be argued that the most probable function of the ancillary subunit is that of trafficking LAT1 to its definitive location in plasma membrane as suggested for both murine and human isoforms (Nakamura et al., 1999; Wagner et al., 2001; Franca et al., 2005; Cormerais et al., 2016). The role of CD98 is described in the case of SLC7A8 (LAT2). Differently from LAT1, LAT2 needs CD98 for both purification and stabilization of the protein, for functional and structural studies (Rosell et al., 2014). However, additional studies are required to ascertain the regulation of LAT1 function and the molecular mechanism of trafficking.

### KINETICS AND MOLECULAR MECHANISMS OF LAT1

Similarly to other human plasma membrane transporters, the 3D structure of LAT1 is not available. Homology models are built on the basis of the bacterial homolog AdiC from *E.coli* (Geier et al., 2013; Napolitano et al., 2017a). This is an arginine/agmatine transporter characterized by a LeuT-fold (Gao et al., 2010; Ilgü et al., 2016) and represents a paradigm structure for the APC superfamily. However, the sole model does not allow drawing conclusions on the kinetic mechanism of transport and the

**TABLE 1** | The basic requirements for substrates of LAT1.

Substrate	Structure	References
HIS	H I O I I	Mastroberardino et al., 1998; Napolitano et al., 2015
T3	H~N~H	Friesema et al., 2001
T4	H > 0	Friesema et al., 2001
L-DOPA	н — и — и — и — и — и — и — и — и — и —	Kageyama et al., 2000
Baclofen	O-H O	del Amo et al., 2008
Melphalan	O H-NH	del Amo et al., 2008
Gabapentin	H-0 H	del Amo et al., 2008
BCH	H-N <sub>N</sub> H 0	Mastroberardino et al., 1998

Table lists the structure of known LAT1 substrates; on the histidine structure, the carboxylic group is highlighted by red circle, the amino group by blue circle, the hydrophobic portion by dotted green line. The same groups are present in all the structures below.

molecular determinants of substrate recognition. To achieve this information, additional experimental approaches have been used in combination with bioinformatics. In this respect, the functionally active human recombinant protein has been

employed in experiments conducted using proteoliposomes. Bisubstrate analysis, which could not be performed in intact cells, demonstrated that the amino acid antiport mediated by LAT1 occurs by a random simultaneous mechanism, i.e., with no preferential binding order of the substrates on the two opposed sides of the transporter. The collected data suggested that the internal and external substrates are translocated in a single transport cycle, implying the simultaneous exposure of binding sites for external and internal substrates (Napolitano et al., 2017a). This condition can be explained by the formation of functional homodimer whose existence has been proven by biochemical methodologies such as mild denaturing PAGE and cross-link approaches (Napolitano et al., 2017a). Noteworthy, this is in line with the oligomeric structure of the bacterial homologous AdiC (Gao et al., 2010) while it seems different from the data obtained with the LAT2/CD98 heterodimer that does not form homodimers (Rosell et al., 2014). Regarding the key determinants of the substrate binding site, some crucial residues that corresponded to those involved in gating of AdiC are predicted in previous study (Geier et al., 2013). These residues are F252, S342, and C407 in the human LAT1. More recently, using a combination of in silico methodologies, site directed mutagenesis and transport assay in proteoliposomes, we validated the predictions and identified the additional C335 residue as responsible for the interaction with the substrate (Napolitano et al., 2017a). Altogether, the combined approaches highlight that: (i) F252 plays the role of substrate gate opening and its aromaticity is essential to accomplish this function; (ii) S342 and C335 are crucial for histidine binding from the external side of the protein; (iii) C407 is, on the other hand, involved in substrate binding from the internal side (Napolitano et al., 2017a).

#### **REGULATION OF LAT1 EXPRESSION**

Notwithstanding the importance of LAT1 in mediating traffic of essential amino acids in both physiological and pathological conditions, little is known about regulation of its expression. In good agreement with the level of LAT1 protein in activated T lymphocytes, the cytokine IL-2 is able to up-regulate LAT1 expression (Sinclair et al., 2013). In rheumatoid arthritis, IL-17 is responsible for promoting LAT1-mediated migration of fibroblasts (Yu et al., 2018). Regulation by micro RNA (Miko et al., 2011) and long non-coding RNA is also reported (Yu et al., 2018). Moreover, DNA methylation occurring at promoter region seems to play a role in the regulation of LAT1 gene expression in human placenta across gestation (Simner et al., 2017). Glucose and insulin also modulate LAT1 expression: increase of glucose in diabetes induces a down-regulation of LAT1 expression with consequent sarcopenia in diabetes patients (Yamamoto et al., 2017). Conversely, glucose deprivation induces up-regulation of LAT1 in retina (Matsuyama et al., 2012). Interestingly, low insulin concentrations, upregulate LAT1 expression in muscle following mTORC1 activation (Walker et al., 2014). Vice versa, low expression of LAT1 in β-cells induces a strong reduction of insulin which is a protein constituted in large majority by the amino acids transported by LAT1 (Kobayashi et al., 2018).

The well documented over-expression of LAT1 in cancer is also explained by the presence, in the promoter region, of a canonical binding site for the proto-oncogene c-Myc (Hayashi et al., 2012) that, interestingly, regulates glucose metabolism (Kim et al., 2004). These evidences, even if fragmentary, suggest that a coordinate regulation of glucose and amino acid metabolism in cells may exist under both physiological and pathological conditions being in good agreement with the increased demand and transport of these nutrients in cancer (see section LAT1 and Diseases). Another pathway inducing expression of this transporter is mediated by YAP/TAZ, two transcriptional regulators which promote cell proliferation (Hansen et al., 2015). In renal carcinoma, LAT1 expression is increased by the hypoxia-inducible factor HIF2α that binds to LAT1 promoter (Elorza et al., 2012). In lung cancer, the activation of aryl hydrocarbon receptor pathway by diesel exhaust particles induces LAT1 up-regulation (Le Vee et al., 2016). It is also reported that in lung cancer LAT1 gives rise to a regulatory loop with the methyl transferase EZH2, involving the LAT1 negatively regulator RXRa, to control the methylation status of genes responsible for cell differentiation (Dann et al., 2015). In summary, from the mentioned works, a common denominator for regulation of LAT1 cannot be inferred at this stage. Anyway, the over-expression of this transporter in cancer cells refers to an increase of the protein amount and, hence, to an increased function as it occurs for glucose transporters (Ganapathy et al., 2009). Additional studies are needed to achieve a complete picture of the regulatory pathways involved in the control of LAT1 under physiological and pathological conditions.

#### LAT1 AND DISEASES

The link of LAT1 with cancer is nowadays well assessed. Indeed, over-expression of LAT1 is described in many human cancers and it certainly relates to metabolic changes occurring in cancer development and progression. In fact, transformed and malignant cells have specific metabolic requirements, which are collectively known as "Warburg effect" even if, over the years, this theory has been updated. A peculiar feature of cancer cells is the increased demand for nutrients such as glucose, essential amino acids and also glutamine, that becomes conditionally essential, for protein synthesis and/or energy supply (Ganapathy et al., 2009; Vander Heiden et al., 2009; Bhutia and Ganapathy, 2016; Scalise et al., 2017). Another hallmark of cancer cells is the requirement of leucine for mTOR activation in lysosome. Leucine is also a positive allosteric regulator of glutamate dehydrogenase in mitochondria, which, in turn, is responsible of glutamine fate (Scalise et al., 2017, and refs herein and see Figure 3). Thus, over-expression of LAT1 may respond to such specific needs together with other over-expressed amino acid transporters, such as ASCT2 and ATB<sup>0,+</sup> (Pochini et al., 2014). In the past, a functional cycle involving LAT1 and ASCT2 has been suggested. In this cycle, glutamine, entered through ASCT2, may furnish the driving force for leucine uptake through LAT1 (Nicklin et al., 2009); this picture needs to be updated since glutamine cannot be an actual driving force for LAT1 being a very low affinity

substrate. (Scalise et al., 2017). The important role of LAT1 in cancer is substantiated by the finding that this transporter is expressed in cancers of most human tissues, according to GENT database (Shin et al., 2011). LAT1 over-expression is also a prognostic factor of metastasis (Hayashi and Anzai, 2017). It is important to note that in most of the corresponding non-cancer human tissues, LAT1 is poorly expressed or, in some cases, absent (see section Gene and Tissue Localization of LAT1 and CD98). The ancillary protein CD98 is greatly over-expressed in cancers as well, according to human genome U133A array used for the creation of the GENT database (Shin et al., 2011). However, the over-expression of the two proteins is not inevitably linked because LAT1 mediates amino acid transport independently from CD98 (Napolitano et al., 2015) and CD98 is a protein with pleiotropic roles ranging from immune system regulation, cell growth activation, cell adhesion to integrin signaling (Chillarón et al., 2001; Palacín and Kanai, 2004; Cantor and Ginsberg, 2012; Fotiadis et al., 2013). Thus, its over-expression in cancer may have molecular basis different from those of LAT1. In this respect, CD98 is used disjointedly from LAT1, as target of antibodies raised for counteracting cell proliferation and metastasis (Behrens et al., 2015; Hayes et al., 2015). Besides cancer, LAT1 is involved in other diseases related to its expression in placenta and BBB (Figure 3). As example, LAT1 expression is reduced in Intra-Uterine Growth Restriction (IUGR) (Pantham et al., 2016). This is a high risk condition for perinatal complications, characterized by reduced concentrations of leucine and phenylalanine. Moreover, intra-uterine growth restriction increases the risk of developing cardiovascular and metabolic diseases in childhood and adulthood. Conversely, maternal obesity is a possible cause of up-regulation of placental LAT1 in mouse model with consequent fetal overgrowth (Rosario et al., 2015). This represents a high risk condition for insulin resistance at birth and for developing type 2 diabetes in childhood and adulthood. Regarding the BBB, a decreased expression of LAT1 in this district is linked to onset and development of Parkinsons's disease (Ohtsuki et al., 2010). The molecular basis of the link is the reduced distribution of the dopamine precursor, L-DOPA, which is transported by LAT1, besides that of essential amino acids (Kageyama et al., 2000). The role of LAT1 in brain is also explained by transport of tryptophan, which is important for normal neurological development (Asor et al., 2015). More recently, alteration of LAT1 function in BBB caused by two natural mutations, has been described as the molecular determinant of Autism Spectrum Disorders (ASD); it is important to highlight that histidine, among the LAT1 substrates, exhibited in brain the greatest variation of concentration in the pathological phenotype (Tarlungeanu et al., 2016). This correlates well with the biochemical identification of histidine as the highest affinity LAT1 substrate confirming its relevance in underlying the pathophysiological role of LAT1 (Napolitano et al., 2015). On the basis of the experimental data, the molecular mechanism responsible for the pathological phenotype has been revealed: in particular, the defective mutants of LAT1 are not able to perform the exchange transport reaction resulting in a net accumulation of histidine in brain and a lack of other essential branched chain amino acids (Tarlungeanu et al.,

2016). Furthermore, LAT1 is involved in activation of T cells accompanied by metabolism enhancement (Hayashi et al., 2013). Finally, in inflammatory conditions, such as pancreatitis, LAT1 expression decreases (Rooman et al., 2013). This is linked to the function of LAT1 in acinar cells that allows accumulation of amino acids necessary to synthesize digestive enzymes (Rooman et al., 2013). Some previous works suggest that LAT1 can also mediate uptake of mercury compounds explaining their toxicity for fetal growth (Kajiwara et al., 1996; Bridges and Zalups, 2017); moreover, our inhibition studies by mercury compounds suggested that mercury-derivatives can impair essential amino acids transport in cells expressing LAT1 (Napolitano et al., 2017a). Also in BBB, the ability of LAT1 to mediate mercury compounds uptake may explain its toxicity in brain (Boado et al., 2005; Bridges and Zalups, 2017). Altogether, these findings highlight a crucial role of LAT1 in several human pathologies.

### LINK OF LAT1 WITH AMINO ACID MOLECULAR SENSORS

Moving from the observations of tissue distribution and substrate specificity, it can be concluded that the physiological role of LAT1 consists in maintaining the concentration of essential amino acids, particularly in those body districts, such as brain and placenta (**Figure 3**), where these molecules are fundamental for normal growth and development (Fotiadis et al., 2013; Bröer and Bröer, 2017). Over the years, it became more and more clear that the same applies to cancers whose cells are addicted to amino acids (Ganapathy et al., 2009; Bhutia and Ganapathy, 2016).

All these features eventually merge into mTOR, a serine/threonine kinase belonging to the PI3K-related family (Milkereit et al., 2015; Cormerais et al., 2016; Saxton and Sabatini, 2017). In fact, genetic or chemical disruption of LAT1, but not CD98, triggers, on one hand, mTOR inhibition and, on the other, GCN2 activation enhancing amino acid stress response (Gallinetti et al., 2013; Cormerais et al., 2016). GCN2 is a serine/threonine kinase and together with mTOR are responsible for handling amino acids concentration in cells; their cooperation occurs with a different degree of cross talk depending on the stress conditions (Carroll et al., 2015).

The relationship between mTOR and LAT1 is a long lasting field of investigation also because mTOR hyper-activation is often described in cancers (Saxton and Sabatini, 2017; Wolfson and Sabatini, 2017). The network of proteins regulating and regulated by mTOR is very intricate and many efforts have been made over the years to dissect each player. The importance of amino acids in this scenario has been proposed very long ago, but only recently, the puzzle reached a quite complete form. Among the amino acids, glutamine, arginine and leucine are involved in activation of mTOR (Wang et al., 2015; Rebsamen and Superti-Furga, 2016). In this respect, the function of LAT1 is related to its ability in mediating uptake and accumulation of leucine in cells. The leucine taken up via LAT1, is sequestered by Sestrin2 causing an increase of free GATOR2 with consequent increase of mTOR signaling (Lee et al., 2010; Wolfson and Sabatini, 2017). Sestrin2, in fact, acts as a negative regulator of mTOR activity. Leucine sensed by Sestrin2 derives from plasma membrane uptake as well as by efflux from lysosomes. In this respect, it is worth of note that LAT1 mediates efflux of leucine from lysosomes as well (**Figure 3**) (Milkereit et al., 2015).

### LAT1 DRUGGABILITY AND CLINICAL OUTCOMES

Given the above-described premises, it is not surprising that LAT1 is a relevant pharmacological target for several diseases. This protein is exploited for both drug delivery and chemical knocking-out, i.e., block of transport activity exerted by pharmacological compounds. The drug delivery issue is particularly relevant in the BBB due to the impermeability of this barrier to exogenous substances. This feature is responsible for inefficacy/low efficacy of several pharmacological treatments. Thus, the relatively wide substrate specificity of LAT1 is the prerequisite for the "pro-drug" approach, searching for compounds fulfilling the requirement for transport competence (Table 1) and, hence, for crossing the BBB by a LAT1 mediated process (Peura et al., 2011; Zur et al., 2016). This strategy has the scope of improving pharmacodynamics of drugs targeting brain for neurological disorders (Puris et al., 2017). Several prodrug compounds have been synthesized so far, whose chemical properties and, in some cases, delivery in specific tissues have been described. Among the compounds, LAT1 substrate derivatives of ketoprofene, valproate and perforin inhibitors seem to be efficiently delivered in model tissues (Gynther et al., 2008, 2010, 2016; Peura et al., 2011; Huttunen et al., 2016; Puris et al., 2017). Melphalan (Table 1 and Figure 2), which is already used as a chemotherapy agent, can also be considered a LAT1 based prodrug (Kim et al., 2002; Ganapathy et al., 2009) even if some contradictory findings are reported (Uchino et al., 2002; Nakanishi and Tamai, 2011). The over-expression of LAT1 in several type of human cancers and its ability to transport modified substrates allow to exploit this protein in diagnostics and clinics, PET (Positron Emission Tomography) and BNCT (Boron Neutron Capture Therapy), respectively. PET allows the diagnosis of tumors by tracing accumulation of radiolabeled molecules specifically in cancer foci. The used molecules are tyrosine, phenylalanine and methionine derivatives, which are delivered to cells via LAT1 (Hayashi and Anzai, 2017) (and refs herein). BNCT is, on the contrary, an emerging anticancer therapy based on fission reactions that occur when boron is irradiated with neutron beams (Wongthai et al., 2015; Hayashi and Anzai, 2017). The essential condition for BNCT to work is the accumulation of boron that is guaranteed by the use of boronophenylalanine, another substrate derivative of LAT1, which is the most effective (Wongthai et al., 2015). Together with the efforts devoted to improve drug delivery and therapy, LAT1 is object of several studies aimed to identify potent and specific inhibitors able to chemically knockout the overexpressed protein. This important task, however, cannot be exhaustively performed using the virtual drug design approach, owing to the absence of a 3D crystallographic structure of LAT1 (see section Function and Substrate Specificity: The Double Face

of LAT1). Indeed, all the works published so far dealing with bioinformatics, are based on homology models and require some validation by parallel approaches (Fang et al., 2009; Gao et al., 2010; Kowalczyk et al., 2011; Napolitano et al., 2017a). Two main strategies are followed searching for inhibitors: competitive inhibitors or non-competitive inhibitors design. In the first case, substrate-mimicking molecules are obtained, able to interact with the substrate binding site of the protein. It is worth to note that the tyrosine analog JPH203, previously known as KYT-0353, is a potent inhibitor (Oda et al., 2010) both in vitro and in mouse model of HT-29 tumors (colon cancer) (Oda et al., 2010; Wempe et al., 2012; Toyoshima et al., 2013). The molecular mechanism of action of JPH203, investigated using osteosarcoma cell line (Choi et al., 2017), consists in activating the mitochondrial proapoptotic pathway. This inhibitor is effective also in different type of cancers (Rosilio et al., 2015; Hayashi et al., 2016; Choi et al., 2017; Otsuki et al., 2017; Yothaisong et al., 2017). Moreover, a synergistic effect with metformin is observed in a cell line of HNC (Head and Neck Cancer) in vitro and in mouse-transplanted model (Ueno et al., 2016). Other ligands are proposed by using integrated approach of virtual screening of drug libraries and in in vitro/ex vivo models using cis-inhibition and transstimulation assays: phenylalanine and tyrosine analogs (Geier et al., 2013; Augustyn et al., 2016), triiodothyronine (T3) analogs (Kongpracha et al., 2017), tryptophan analogs (Ylikangas et al., 2014) and hydroxamic acids conjugated to LAT1 substrates (Zur et al., 2016); in the mentioned works, IC50 values are measured ranging from 1 µM to more than 300 µM. The effect of these ligands on cell proliferation is also evaluated to give information on potential pharmacological efficacy. Interestingly, the substrate analog strategy is also followed for other transporters such as ASCT2, that represents another key target for development of new anti-cancer drugs (Pochini et al., 2014; Bhutia and Ganapathy, 2016). In this case, glutamine and serine analogs have been designed and tested in cancer cell lines for their ability of blocking ASCT2 transport activity as recently reviewed (Pochini et al., 2014; Scalise et al., 2017). However, the described approaches can have some frailty if the concentration of natural amino acid substrates increases displacing the inhibitor and, thus, leading to less efficient effects (Augustyn et al., 2016). Then, after identification of two cysteine residues, i.e., C335 and C407, in the substrate binding site of LAT1 (Napolitano et al., 2017a) (see section Kinetics and Molecular Mechanisms of LAT1) we tried to apply an alternative strategy, i.e., design of inhibitors that could bind covalently to the cysteine residues leading to noncompetitive inhibition. This study has been conducted in vitro in proteoliposomes carrying hLAT1 and validated in a cancer cell line (Napolitano et al., 2017b). The tested compounds belong to the dithiazole group, characterized by a favorable reactivity toward thiol functional groups of cysteine. Interestingly, some dithiazole derivatives are already known for their anti-fungal, anti-microbial and anti-tumor activities (Konstantinova et al., 2009). The inhibitors displaying the most potent effects react with C407, as demonstrated by the loss of efficacy on the C407A mutant. The action of these inhibitors on LAT1 may be related to the dithiazole moiety, which resembles the imidazole ring of histidine. Thus, inhibitor's recognition could be ascribed also to a "substrate-like" structure, but the molecular mechanism of stable inhibition is based on the disulfide bond with C407. In this case, in fact, the presence of substrate does not displace the inhibitor from the interaction with LAT1. The experimental data were corroborated by *in silico*-based analysis on the homology model of LAT1 (Napolitano et al., 2017b).

#### CONCLUSIONS

The relevance of LAT1 for human metabolism lies on the ability of this protein to recognize two classes of different substrates: essential amino acids and hormones as main physiological substrates and some drugs as non-physiological substrates. This two-faced feature elected LAT1 as a crossroad point in cell life. Indirect proof of such a statement is the occurrence of different kind of pathologies with wide degree of severity associated with alterations of function/expression of LAT1. The eminent position assumed by LAT1 is somewhat in contrast with the scarce depth of knowledge so far achieved. In fact, despite great efforts, which have been made to decipher the biology of LAT1, a complete scenario is not yet depicted. Combined approaches of bioinformatics, in vitro and ex vivo experimental approaches have been used to shed light on some dark sides of LAT1 transport mechanism, substrate specificity and regulation. These results gave a strong input to pharmacological studies, which led to identification of different classes of molecules able to interact with LAT1 either as non-transported inhibitors and as transported substrates with important outcome in human health. However, other biochemical aspects still need to be solved, such as the trafficking, the regulation of expression/function, and the effect of potential post-translational modifications on LAT1 stability/activity as well as the interaction with other transporters and enzymes. In fact, an integrated view of LAT1 activity in the cell context is necessary to completely understand the physiological role of this protein. An important issue regarding LAT1 and pharmacological outcomes is related to its peculiar expression in BBB, which is the physical barrier protecting the brain from xenobiotics but also the main route to provide this district with essential amino acids. In conclusion, the findings collectively derived from different works opened new perspectives also for translational medicine.

#### **AUTHOR CONTRIBUTIONS**

MS contributed in collecting bibliography, preparing figures and writing; MG, LC, and LP contributed in drawing structure figures and writing; CI contributed in writing and supervision of all the activities.

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## P2RX7 Purinoceptor as a Therapeutic Target—The Second Coming?

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The P2RX7 receptor is a unique member of a family of extracellular ATP (eATP)-gated ion channels expressed in immune cells, where its activation triggers the inflammatory cascade. Therefore, P2RX7 has been long investigated as a target in the treatment of infectious and inflammatory diseases. Subsequently, P2RX7 signaling has been documented in other physiological and pathological processes including pain, CNS and psychiatric disorders and cancer. As a result, a range of P2RX7 antagonists have been developed and trialed. Interestingly, the recent crystallization of mammalian and chicken receptors revealed that most widely-used antagonists may bind a unique allosteric site. The availability of crystal structures allows rational design of improved antagonists and modeling of binding sites of the known or presumed inhibitors. However, several unanswered questions limit the cogent development of P2RX7 therapies. Firstly, this receptor functions as an ion channel, but its chronic stimulation by high eATP causes opening of the non-selective large pore (LP), which can trigger cell death. Not only the molecular mechanism of LP opening is still not fully understood but its function(s) are also unclear. Furthermore, how can tumor cells take advantage of P2RX7 for growth and spread and yet survive overexpression of potentially cytotoxic LP in the eATP-rich environment? The recent discovery of the feedback loop, wherein the LP-evoked release of active MMP-2 triggers the receptor cleavage, provided one explanation. Another mechanism might be that of cancer cells expressing a structurally altered P2RX7 receptor, devoid of the LP function. Exploiting such mechanisms should lead to the development of new, less toxic anticancer treatments. Notably, targeted inhibition of P2RX7 is crucial as its global blockade reduces the immune and inflammatory responses, which have important anti-tumor effects in some types of malignancies. Therefore, another novel approach is the synthesis of tissue/cell specific P2RX7 antagonists. Progress has been aided by the development of p2rx7 knockout mice and new conditional knock-in and knock-out models are being created. In this review, we seek to summarize the recent advances in our understanding of molecular mechanisms of receptor activation and inhibition, which cause its re-emergence as an important

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therapeutic target. We also highlight the key difficulties affecting this development.

#### INTRODUCTION

P2RX7 is a 595aa protein belonging to the ionotropic purinergic P2X subfamily which consists of seven members, P2X1-7 (Burnstock and Knight, 2004). P2Xs represent an ancient form of purine chemical messenger reception, with relatives now cloned from species as diverse as Homo sapiens and Dictyostelium (Burnstock and Verkhratsky, 2012). All family members are trimeric ligand-gated ion channels displaying a preference for cations. Their subunits comprise intracellular N and C termini, two transmembrane domains and a large intervening extracellular region containing the ATP binding site (Surprenant et al., 1996). P2RX7, originally characterized by Cockcroft and Gomperts as the ATP4- receptor in rat mast cells (Cockcroft and Gomperts, 1980) was previously also known by the name of P2Z receptor, responsible for the eATP-dependent lysis of macrophages (Surprenant et al., 1996). This confusion arose in part due to its many characteristics, which make this receptor entirely distinct from other P2Xs. These include uniquely lower affinity for eATP:  $EC_{50} > 1 \text{ mM}$ at physiological ion concentrations (Yan et al., 2010) and the ability to induce membrane blebbing and cell death. As such, P2RX7 is perhaps best known for its role in regulating innate and adaptive immune responses and is expressed on virtually all cell types of the immune system (Burnstock and Knight, 2017). Macrophages and microglia express high levels of P2RX7 (He et al., 2017; Young et al., 2017) and are perhaps the best studied cells in relation to receptor function both in vitro and in/ex vivo (Csóka et al., 2015). However, P2RX7 has a huge functional repertoire being involved in phenomena as diverse as inflammation (Rissiek et al., 2015), proliferation (Monif et al., 2010), migration and invasion (Qiu et al., 2014), metabolism (Amoroso et al., 2012), autophagy (Young et al., 2015), cell death (Massicot et al., 2013), and neurotransmission (Sperlágh et al., 2002). P2RX7 over-expression and over-activation have been implicated in numerous physiological/pathophysiological processes where, intriguingly, P2RX7 activation can result in both positive and negative outcomes depending on a host of factors such as intensity and duration of the agonist stimulus (Hanley et al., 2012), severity of pathogen virulence/infection (Figliuolo et al., 2017), the cell type (Cortés-Garcia et al., 2016; Young et al., 2017), extracellular ion concentration (Virginio et al., 1997), phospholipid membrane composition (Karasawa et al., 2017), co-factor activity (Migita et al., 2016), enzymatic processing (Young et al., 2017), polymorphic variations (Fuller et al., 2009; Ursu et al., 2014), and non-ATP agonist activation (Hong et al., 2009). The latter occurs during innate immune responses through the release of damage-associated molecular patterns (DAMPs; e.g., DNA, RNA, HMGB1, etc.) or pathogenassociated molecular patterns (PAMPs, e.g., LPS) either directly or via Toll-like receptors (TLRs). Specifically, TLR2 and TLR4 have been found to directly interact with P2RX7 via biglycan

**Abbreviations:** eATP, extracellular Adenosine Triphosphate; BMDNs, Bone Marrow Derived Neutrophils; DAMPs, Danger Associated Molecular Patterns; PAMPs, Pathogen Associated Molecular Patterns; TLR, Toll-like receptor; NLRP3, NOD-like receptor protein 3; MMP, Matrix metalloproteinase; IL, Interleukin.

(Babelova et al., 2009). Classically, once eATP activates P2RX7, TLR4-mediated pro-IL-1\beta processing is followed by potassium efflux, NLRP3/ASC inflammasome assembly and caspase-1dependent IL-1ß maturation and release (Perregaux and Gabel, 1994; Pelegrin et al., 2008; Dubyak, 2012). Other P2RX7dependent inflammatory activators include IL-6, ROS (Munoz et al., 2017), other caspases and MMPs (Gu and Wiley, 2006; Young et al., 2017). P2RX7 role in the adaptive immune response is to directly activate T cell populations, being a pre-requisite for IL-2 release, to orchestrate the balance between Treg and T helper cell populations, with receptor activation favoring the formation of T helpers and its blockade having the opposite effect (Schenk et al., 2011; Cekic and Linden, 2016). However, while its role in macrophages, microglia, and T cells has been researched extensively, our understanding of the role of P2RX7 in other immune cell types is still evolving. Neutrophils, for example, first thought devoid of P2RX7 expression in humans (Martel-Gallegos et al., 2010), have risen to the fore with the recent demonstration of neutrophil-mediated orchestration of the IL-1B/NLRP3 response in BMDNs (Karmakar et al., 2016). Exploration of tumor-associated immune cell populations has also led to the discovery that macrophages and microglia are not the only cells to express high levels of P2RX7. Tumors also display upregulated P2RX7 expression, reaching levels far exceeding that of macrophages (Young et al., 2017). In fact, all tumor types listed in The Cancer Genome Atlas were found to have upregulated levels of P2RX7 expression, with sub-typespecific mutation patterns highlighting the significant potential for novel therapeutic approaches in this area (Young et al., 2017).

Compared to other P2X receptors, P2RX7 possesses an extra-long intracellular C-terminus with additional 200 amino acids. Mutations at various locations in this region have been associated with loss of diverse physiological functions such as LPS binding (Denlinger et al., 2001), post-translational modifications (Gonnord et al., 2009), membrane targeting (Smart et al., 2003) and large pore formation (Feng et al., 2006). P2RX7 stimulation at lower-end eATP doses opens a cation-selective plasma membrane channel permeable to Ca<sup>2+</sup>, Na<sup>+</sup>, and K<sup>+</sup>. Chronic/sustained eATP dosing reveals the second facet to P2RX7 signaling; the formation of a non-selective large pore (LP), permeable to molecules of up to 900 Da, such as dyes (EtBr, 314 Da; YO-PRO-1, 376 Da and Lucifer Yellow, 457 Da Adinolfi et al., 2005). Thus, P2RX7 can display the properties of a prototypic cytotoxic receptor. The inherent insensitivity to eATP coupled with dire consequences of receptor overstimulation prompted the belief that this low agonist affinity might be a safe-guard against potentially damaging receptormediated inflammatory cascade activation through the release of IL-1β, additional eATP or other DAMPs. Indeed, although healthy interstitial eATP levels are maintained at nanomolar levels or below, it has been shown that the eATP concentration around sites of inflammation can increase several fold (Morciano et al., 2017). For example, eATP around damaged cells or dystrophic muscle fibers can reach 5-10 mM (Di Virgilio, 2000; Hetherington et al., 2001) and in the tumor microenvironment, levels of >700 µM have been recorded (Pellegatti et al., 2008). The tonic, low-level vs. chronic high-level receptor

stimulation have very different outcomes, indeed. Moreover, the balance and fine tuning of the molecular controls that govern these responses may underlie some of the most exciting new possibilities for therapeutic manipulation. Efforts in this area have been hampered by the lack of a P2RX7 crystal structure. However, recent successful crystallizations and 3-D reconstructions include both the 356 aa (without the C-terminal tail) Panda (Ailuropoda melanoleuca) pdP2RX7 (Karasawa and Kawate, 2016) as well as the truncated variant (lacking 27 Nand 214 C-terminal aa residues) of the chicken ckP2RX7 (Kasuya et al., 2017). These developments permit rational mechanistic studies (Karasawa and Kawate, 2016) with exciting novel insights into the specific mechanisms of both permeability and drug interactions. Appreciating the number of recent reviews on P2RX7 as a therapeutic target (Burnstock and Knight, 2017; Di Virgilio et al., 2018a; Savio et al., 2018) in this paper we discuss recent developments in P2RX7 drug design and repurposing, highlighting the potential new therapeutic applications of these drugs in human disorders.

### RECENT DEVELOPMENTS IN P2RX7 CRYSTALLOGRAPHY

Crystal structures for zebrafish P2RX4 (Kawate et al., 2009), then human P2RX3 (Mansoor et al., 2016) gave the first insights into conserved mechanisms of action: the ATP binding pocket, subunit interactions and conformation changes upon agonist binding (i.e., predictions of gating and opening) (Hattori and Gouaux, 2012). Individual subunits of these crystallized receptors (zebrafish and human alike) adopt "dolphin-shaped" conformations (see Kawate et al., 2009; Karasawa and Kawate, 2016). The aforementioned recent crystallizations of both ckP2RX7 (Kasuya et al., 2017) and pdP2RX7 (Karasawa and Kawate, 2016) confirmed this structure. 3-D reconstructions of trimeric subunit interactions also confirm three equivalent ATP binding site locations at subunit interfaces. More excitingly, the pdP2RX7 structure has revealed a completely novel allosteric site situated in the groove formed between two adjacent subunits, which is completely distinct from the ATP binding site (Karasawa and Kawate, 2016). ckP2RX7 and pdP2RX7 share ~62 and 85% homology with human P2RX7A (Karasawa and Kawate, 2016), respectively. Unfortunately, both crystal structures lack the C-terminal, intracellular tail region, so important for the receptor function (see below). Achieving the complete P2RX7 3-D structural resolution remains an unclaimed trophy. However, even the partial pdP2RX7 structure has revealed some unexpected features. When expressed in liposomes, the pdP2RX7 triggered LP formation despite lacking the C-terminus. The proposed mechanism was the regulatory dependence of the P2RX7 large pore on the membrane lipid composition, where the cholesterol level played a significant role: increases in membrane cholesterol correlated with reductions in membrane fluidity and LP formation in this liposome-based system (Karasawa et al., 2017). The singularly unique aspect of the pdP2RX7 3-D modeling however, was the discovery of a new allosteric binding site, distinct from the ATP-binding

pocket, at each subunit interface. The structurally unrelated antagonists A740003, A804598, AZ10606120, GW791343, and JNJ47965567 all were shown to bind this allosteric "groove" between subunit faces (Karasawa and Kawate, 2016). Kawate et al., used functional fluorescence anisotropy-based assays to show that these compounds effectively act as "door-stops" or "wedges," preventing the narrowing of this groove during the turret-like conformational rotation during channel dilation upon agonist binding. Mutational replacement of residues with "bulkier" cysteines resulted in effective narrowing of the intersubunit groove and reduced channel opening kinetics. Thus, we now know that it is the spatial filling of this new inter-subunit non-competitive hydrophobic pocket, which is the determining factor in antagonist selectivity and potency. The pocket itself is composed of β-strand residues (β4, β13, and β14) with hydrophobic interactions at positions deep inside the pocket (F95, F103, M105, F293, and V312) (Karasawa and Kawate, 2016). See Figure 1 for structural representations of the eATP binding pocket and the allosteric antagonist binding groove.

The remaining limitation is the structural resolution  $(\sim 3.2 \sim 3.6 \text{ Å})$ , which does not currently facilitate definitive predictions of bond angles or side chain-drug interactions. However, the main dimensions of the pocket are clearly defined and this finding have opened the door for significant strategic antagonist developments through targeted screening and rational design approaches. Moreover, with the apparent absence of this allosteric site in the known P2RX3 and P2RX4 crystal structures, there is a potential for the development of highly P2RX7-selective drugs. However, since ATP binding was also found to narrow the groove (Karasawa and Kawate, 2016), further functional studies are required to determine the accessibility of this allosteric site under conditions of high eATP concentration. Hence, the groove might theoretically be less accessible due to continued receptor activation, which could have implications for therapeutic applications. Moreover, positive allosteric agonists such as polymyxin B (Ferrari et al., 2004) may share this novel allosteric site or indeed may occupy other distinct sites. Such intricacies remain unresolved but the crystal structure makes further studies possible.

### P2RX7 KINETICS: CHANNEL BECOMES PORE?

It was the 3-D structure predictions of P2RX4, which first suggested ion flux upon agonist binding to be initiated through the rotation of six helical transmembrane domains of the trimeric receptor (Hattori and Gouaux, 2012). Once TM2 Val335-Leu346 moves, the channel gate is opened and current may flow as directed by the membrane potential (Li et al., 2008). However, P2RX7 ion flux studies at the upper-end eATP concentrations (typical for damaged tissues) are hampered by what appears to be the biphasic nature of the channel, leading to a second mode of opening. Given that pathological P2RX7 responses have been linked to the activation of this large pore, this has been a singularly enigmatic and controversial issue in the P2RX7 field. Chronic receptor activation under the appropriate conditions

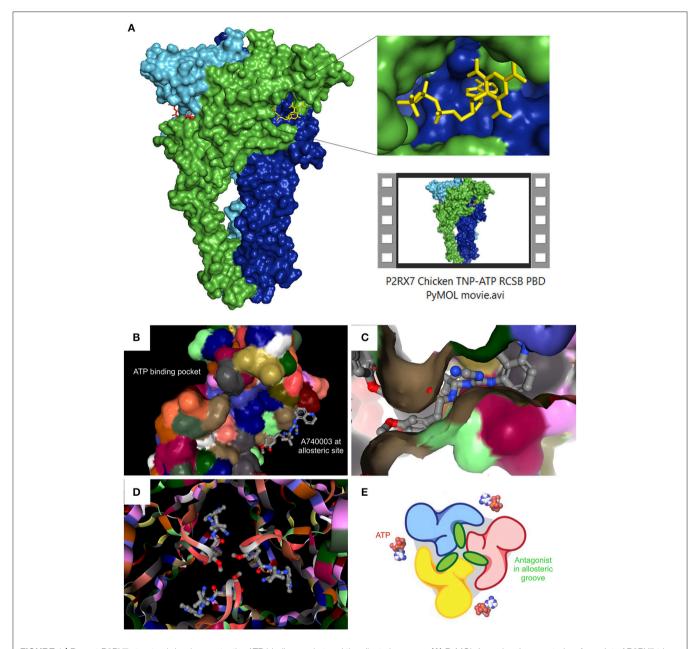


FIGURE 1 | Recent P2RX7 structural developments: the ATP binding pocket and the allosteric groove. (A) PyMOL (pymol.org) generated surface plot of P2RX7 trimer using RCSB PDB data file (rcsb.org) for chicken variant bound to the competitive antagonist TNP-ATP (structure 5XW6, 3.1A). ROI shows the ATP binding pocket (RHS, upper), PyMOL Video file gives structural overview of the trimer with detailed orientations of the ATP binding pocket and the central ion channel (see Supplementary Material Video S1). RCSB PDB generated images show location of the ATP binding pocket in relation to the newly discovered allosteric site on the monomer (B) and at the subunit interface of the trimer, forming an allosteric groove—here shown occupied by A740003 antagonist (C). When imaged together from intracellular projection, the three allosteric grooves appear as a Shuriken, whose rotation is impeded by the allosteric antagonist A740003 (D). (E) Cartoon representation of the relationship between the agonist binding site and the allosteric antagonist-binding groove. Adapted from Karasawa and Kawate (2016), with permission.

unquestionably facilitates massive membrane depolarization, dye permeability and, in some circumstances, cell death via mechanisms that might be cell-specific and even unique (e.g., pyroptosis, autosis etc.). However, the pore opening is reversible. Moreover, mechanism(s) behind these effects remained elusive. Two very mechanistically distinct hypotheses tried to explain the

large pore phenomenon. One that P2RX7, under conditions of chronic eATP stimulation, recruits a secondary pore complex. The fact that P2RX7 expression alone in Xenopus oocytes proved insufficient to induce pore formation in these cells seemingly supported the role of either some accessory protein or other as yet unknown accessory mechanism (Petrou et al.,

1997), with pannexin1 (Panx1) channels being pointed out as the main candidate (Pelegrin and Surprenant, 2006). The second hypothesis is that the P2RX7 trimer can further change conformation resulting in ion channel to pore dilation (Browne et al., 2013). The LP-through-Panx1 theory is complex in its regulatory constitution, with multiple splice variants and cellspecific expression patterns to contend with (Ma et al., 2009). Moreover, there is evidence that the P2RX7 LP formation is retained in Panx $1^{-/-}$  cells (Ou et al., 2011; Hanley et al., 2012; Alberto et al., 2013) and that the P2RX7 trimer can form this LP structure alone (Browne et al., 2013; Karasawa et al., 2017). However, the existence of P2RX7 in two opening states was not supported by recent single-channel electrophysiological studies, which provided no evidence for dilation of the hP2X7R channel on sustained eATP stimulation (Pippel et al., 2017). Indeed, it was the discrepancy between the receptor activation data obtained by whole-cell vs. single-channel currents and via dye permeability, which triggered the notion that the LP must involve an additional molecular entity. Interestingly, a recent review seems to reconcile some of the seemingly contradictory findings (for a comprehensive discussion see Di Virgilio et al., 2018b). The authors argue that the model of channel-to-pore transition might have resulted from the different kinetics of fluorescence increases evoked by Ca<sup>2+</sup> sensors in response to calcium influx (rapid) and the florescence from DNA dye binding (slow). Consequently, the LP formation may occur concomitantly with the opening of the ion channel and the two signals increasing over distinct time-scales. This model fits with recent data that the P2RX7 pore can dilate sufficiently to allow Yo-Pro permeation (Karasawa et al., 2017). However, as specific mutations (the nfP2RX7) or splicing affecting the C-terminus of P2RX7 abolish LP dye uptake but not ion channel functions, we may not have the full explanation yet. The picture should become clearer as we move closer to a complete 3-D crystal structure determination and understand the receptor interactome better. For instance, demonstration of cholesterol/membrane lipid-specific controls over pore dilation (Karasawa et al., 2017) could explain the inherent difficulties associated with successful patch-clamping involving the LP. The physical act of clamping a locality of the cell membrane may simply introduce sufficient rigidity to inhibit phospholipid movement in the vicinity of P2RX7. No doubt, this debate will continue, resulting in some ingenious technical development before the final conclusion is reached.

An additional level of complexity exists around the selectivity of the P2RX7 LP, which displays a preference for cations or anions under different physiological conditions and in different cell types as well as in individual cells within a clonal population: In P2RX7 transfected HEK293 cells, Lucifer Yellow (LY) uptake displays intracellular Ca<sup>2+</sup> dependence at rat P2RX7, but not mouse P2RX7 expressed in Raw264.7 cells. In the same study, EtBr uptake showed no such dependence (Cankurtaran-Sayar et al., 2009). In macrophages, no such calcium dependence occurs, but distinct pathways do exist, as evidenced by preferential uptake of cationic or anionic dyes by individual cells <100 microns apart in the same dish (Schachter et al., 2008; Cankurtaran-Sayar et al., 2009). Our own studies have confirmed this uptake selectivity in dystrophic myoblast

populations (**Figure 2**). Analysis of the fluorescent signal kinetics of LY vs. DNA binding dyes might be useful as the latter binds irreversibly and the difference in the dye uptake might be a reflection of cell health rather than the P2RX7 permeability function. Undoubtedly, understanding this mechanism might lead to tailored therapeutic approaches, with a particular scope in tumor cells.

Furthermore, irrespective of the mechanistic unknowns and controversies, the real ambiguity had always been the physiological relevance and function of this P2RX7 LP. The first demonstration of a functional link has been identification of a reciprocity between P2RX7 LP opening and autophagosome formation, where the one is indispensable for the other (Young et al., 2015). Given the recent progress in our understanding of the importance of autophagy-dependent processes in physiology and a range of human pathologies as diverse as neurological /neurodegenerative diseases (Alzheimer's, dementia, Parkinson's, and MLS), muscular dystrophies, infectious, lysosomal storage diseases, Crohn's, cancers and aging (Young et al., 2009, 2015; Rubinsztein et al., 2011; Nikoletopoulou et al., 2015; Qian et al., 2017), this link may suggest a potential for tuning autophagic responses in diverse human pathologies using P2RX7 modulation.

The relationship between pore formation and cell death is complex and also remains unclear; is pore formation a pre-requisite for P2RX7-dependent cell death? Given the controversies over the question whether the influx of ions through the channel is observed prior to the pore formation, we do not have a full answer. Working with these two facets involves independent conditions and very different experimental setups. The channel is mostly measured by electrophys and the LP by dye uptake. The sensitivity difference inherent to these methods is significant and generally, channel activity is recorded within seconds, whilst LP opening follows up to an hour later. Since no pharmacological antagonists truly specific to the LP has been identified, it is currently impossible to block the pore formation without blocking the channel. Hence, P2RX7-dependent death might be attributed to the channel without verifying pore functions (Kong et al., 2005). Whilst P2RX7-dependent, poreindependent cell death has been suggested in embryonic neural progenitor cells (Delarasse et al., 2009), this experiment was carried out using unusually high (2.5 mM) BzATP concentration. In contrast, P2RX7 activation with 100 µM BzATP was shown to induce differentiation in embryonic neural progenitor cells in N2-containing media, where pore formation and cell death were again not observed (Tsao et al., 2013). Yet, 300 μM has been shown to induce pore formation and cell death in adult neural progenitors in artificial cerebral spinal fluid (Messemer et al., 2013). This example illustrates difficulties in drawing conclusions around LP formation being a prerequisite for cell death as slightly different parameters will have a significant effect on experimental outcomes. Our own data suggest that serum content has a significant impact on the pore function (Young et al., 2017). This factor does not affect channel functions and is not always described in assay methodologies. The difference in P2RX7 receptor density on various cells and in the sensitivity of respective detection methods may also skew the analyses of

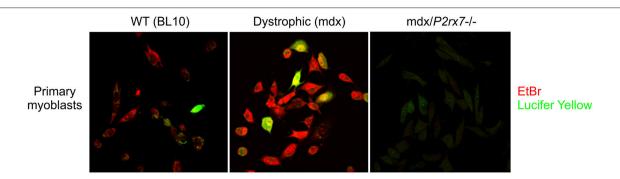


FIGURE 2 | Selective regulation of cationic and anionic dye permeation through the P2RX7 LP. Example of differences in P2RX7 LP permeation preferences to EtBr (cationic) and Lucifer Yellow (anionic) dyes in individual cells of a clonal population (Young and Gorecki, 2016): Compared to WT myoblasts (Left), the dystrophic mdx myoblasts (Center) display upregulated P2RX7 expression and increased LP permeability in response to eATP (Young et al., 2015, 2017). The mdx/P2RX7-/- myoblasts (Right) show no dye uptake under these conditions, as expected. Note that the majority of the seemingly identical cells in this clonal population shows a clear preferential uptake of EtBr over LY upon the LP opening, which is considered to be a non-selective pore. The molecular mechanisms underlying this phenomenon are currently under investigation as this experiment illustrates the potential for diverse LP responses in heterogeneous and functionally plastic populations of e.g., immune cells in vivo.

channel and pore responses. Moreover, it is commonplace for one P2RX7 activation mode to be studied without the other. Hence, it is often difficult to make cross-study comparisons.

### P2RX7 LOCALISATION, SIGNALING, INTERACTOME, AND REGULATION

P2RX7 is a membrane-spanning receptor. Targeted mutagenesis has implicated the C-terminal tail region in this receptor trafficking to the membrane (reviewed in Robinson and Murrell-Lagnado, 2013). Interestingly, nuclear P2RX7 localisation has also been reported (Atkinson et al., 2002), with suggested roles in modulating neuronal plasticity, albeit no follow-up studies supported this finding. The association of P2RX7 with lipid rafts has been demonstrated in various systems (Garcia-Marcos et al., 2006) and may be associated with palmitoylation of Cterminal cysteine residues (Gonnord et al., 2009), which is a reversible modification associated with membrane targeting. This is intriguing given the more recent demonstration of cholesterol-mediated inhibition of both P2RX7 LP (Karasawa et al., 2017) and channel (Murrell-Lagnado, 2017), cholesterol being a significant constituent of lipid rafts. The idea that P2RX7 is both targeted to and could be inhibited by lipid raft cholesterol, suggests an as yet un-characterized mechanism of spatial regulation through lipid raft targeting. Interestingly, multiple tumor types show upregulation of lipid rafts and cholesterol-depleting agents were shown to induce apoptosis in such cells (Li et al., 2006). The existence of a P2RX7-evoked cell death cascade silencing through cholesterol regulation in cancer is an interesting possibility.

In this context of cancer cell targeting, the 'non-functional' P2RX7 (nfP2RX7) (Slater et al., 2004) is the subject of numerous patents for epitope-specific antibody-based therapy for several cancer types (Gilbert et al., 2017). The nfP2RX7, in fact, retains the calcium channel functions, which are used by cancer cells to support its growth but lacks the ability to form the large pore

and therefore not able to trigger cell death in the high eATP environment. This conformational change might be a specific mechanism controlling the potentially deleterious LP opening and allowing cancer cells expressing high P2RX7 levels to survive in the high eATP environment.

Furthermore, a feedback loop has been discovered, where sustained activation of P2RX7 with LP opening causes release of active MMP-2, which halts responses via the MMP-2-dependent receptor cleavage (Young et al., 2017). This mechanism operates in diverse cells, including macrophages, dystrophic myoblasts, P2RX7-transfected HEK293 and also in cancer cells. This tuning mechanism allows malignant cells to profit from P2RX7 channel activation eliciting proliferation, growth, migration, invasion and metabolic advantages, whilst avoiding cell death cascades associated with the LP. Moreover, MMP-2 is found in serum, where it displays complex regulation via TIMP expression/activity. Therefore, P2RX7 in organs with discontinuous capillaries, or in pathologies affecting capillary permeability (e.g., inflammation or tumor neo-vascularisation) may be under the regulatory control of MMP-2 cleavage balanced by TIMPs. CD44 has been reported to allosterically positively modulate P2RX7 activity by facilitating eATP binding through GAG chain interactions (Moura et al., 2015). CD44 itself was found to be a proteolytic target of MMP-2 (Young et al., 2017), suggesting that an additional levels of regulatory control may also exist. Given that MMP-2 inhibition can re-open the P2RX7 LP in cancer cells and effectively switch on the LP-associated cell death pathway, it might be possible to develop a new generation of cancer therapeutics promoting this P2RX7 LP formation (Young et al., 2017). Furthermore, as P2RX7 ablation eliminated gelatinase activity in vivo, P2RX7 antagonists could be a good alternative to highly toxic MMP inhibitors in treatments of inflammation and cancers.

Interestingly, non-eATP P2RX7 agonists also exist. NAD<sup>+</sup> is perhaps the best studied here: ADP-ribosyltransferase (ART) enzymes catalyze the transfer of ADP-ribose groups from NAD<sup>+</sup> to arginine residue 125 of the ecto-domain lying proximal to

the P2RX7 ATP binding site (Seman et al., 2003). Ribosylations are covalent modifications and therefore constitutively activate P2RX7. However, this mode of P2RX7 activation appears to be species-specific, acting on mouse P2RX7 receptor variants, but not human. One rationale is that the Art2b gene encoding ART2.2 ADP-ribosyl transferase is differentially expressed between human and mouse; mice have two active copies of this gene, whereas in humans the transcript is translationally silenced by three premature stop codons (Haag et al., 1994). Moreover, this mechanism of activation is known to function in mouse T lymphocytes but not macrophages, the latter only display augmentation of eATP-induced receptor activation in the presence of NAD+ (Hong et al., 2009). Therefore, this effect may also be cell or isoform specific. Mouse but not human has two N-terminal isoforms and the unique P2RX7k variant is prominent in mouse T lymphocytes and particularly sensitive to ADP-ribosylation (Rissiek et al., 2015). Therefore, it might be the mouse-specific P2RX7k isoform responding to NAD+ stimulus. Indeed, in the Glaxo knockout, which retains the P2RX7k variant, receptor responses in T lymphocytes are retained (see P2X7 knockout section below). Finally, ADPribosyltransferase enzymes also display tissue specific expression patterns, which would also contribute to the regulation of NAD<sup>+</sup> P2RX7 signaling.

While other non-nucleotide agonists of P2RX7 have been reported, the mode of their receptor interaction has not been determined and may either represent competitive or allosteric agonist/modulation. The recent structural analyses seem to favor the latter, given the steric constraints of the ATP-binding pocket. The immunopeptides amyloid β (Sanz et al., 2009) and serum amyloid A (Elssner et al., 2004), along with LL-37 (Elssner et al., 2004), and polymyxin B (Ferrari et al., 2004) have all been implicated in activating/potentiating the P2RX7 channel function through direct interactions with the receptor extracellular loop region. Cytoplasmic agonists have also been postulated, although these are indirect in nature: LPS binding P2RX7 C-terminal tail was shown to lower the stimulus intensity required for channel gating through eATP release (Yang et al., 2015). Cytoplasmic levels of Alu-RNA were suggested to function in the same manner, yet without inducing eATP release (Fowler et al., 2014).

Numerous cell-specific downstream signaling pathways are linked with P2RX7 activation. Those associated with the release of inflammatory mediators: caspase-1, IL-1β, IL-6, and NLRP3/ASC activation/recruitment have already been described. P2RX7 is also a key modulator of various signaling cascades involved in many physiological processes, including PKC-MEK-ERK-FOS-JUN (Tsao et al., 2013), PI3K-AKT-mTOR (Bian et al., 2013), MyD88-NFKB (Liu et al., 2011), MMP-2/9-Dystroglycan-CD44 (Young et al., 2017), and Calcineurin-NFATc1 (Shiratori et al., 2010). These numerous cascades illustrate the diversity and complexity of P2RX7 signaling, with implications for cellular processes such as growth, proliferation, differentiation, metabolism, migration, invasion, autophagy and also cell death. Mechanisms of P2RX7-dependent cell death have been well documented and are numerous, including apoptosis (Zanovello et al., 1990) as well as several unique processes: aponecrosis (MacKenzie et al., 2001), necroptosis (Cullen et al., 2015), pyroptosis (de Gassart and Martinon, 2015), pseudo-apoptosis (Roger and Pelegrin, 2011), and autosis (Draganov et al., 2015). Autophagic cell death has also been identified (Young et al., 2015).

The P2RX7 interactome is just as diverse. This receptor has been suggested to directly interact with over 50 different binding partners, most of which have been identified by combinations of mass spectrometry, immunoprecipitation and immunoblotting. P2RX7 interactors include soluble, membrane-bound, peripheral, cytoskeletal and chaperone proteins (summarized in P2X7.co.uk). This diversity of proteins may reflect further spatial and temporal complexity. Soluble biglycan for example, a ubiquitous extracellular matrix (ECM) proteoglycan is responsible for coordinating the interaction of P2RX7, TLR4, and P2RX4, facilitating NLRP3 inflammasome formation and IL-1B release (Babelova et al., 2009). When proteolytically activated in the ECM, biglycan itself becomes a danger signal, with the capacity to orchestrate both sterile and infectious inflammation (Nastase et al., 2012). Interestingly, biglycan has been studied extensively in muscle disorders, where P2RX7 upregulation and role in both inflammatory and non-inflammatory processes has also been established (Young et al., 2012, 2013, 2015; Sinadinos et al., 2015). Thus, tissue- and cell-specific modalities, as well as expression, localization and activation of binding partners plus influence of inflammatory mediators, all play a role in P2RX7 signaling.

### P2RX7 AGONISTS AND ANTAGONISTS: WHERE ARE WE?

As already explained, eATP is able to induce different gating characteristics depending on concentrations around the active site. Essentially, eATP >100 μM is sufficient to activate the channel and >100-300 μM and above are necessary to induce LP formation (Donnelly-Roberts et al., 2009). Endogenous eATP is subject to degradation by ubiquitous extracellular nucleotidases such as CD73 and CD39, as well as tissue-specific enzymes, such as α-sarcoglycan (adhalin) found in skeletal muscles (Betto et al., 1999). In this way, eATP concentrations in the extracellular space are tightly regulated, where high concentrations are quickly degraded to ADP, AMP and adenosine, which activate other P2X, P2Y, and P1 receptors, respectively. Importantly, activation of these different P1 and P2 receptors can have opposite effects to eATP stimulation, thus creating yet another level of homeostasis but is also confounding pharmacological studies. Moreover, conditions where surface nucleotidases become deregulated, such as in the case of loss of adhalin from the muscle sarcolemma in Duchenne and limb-girdle muscular dystrophies, result in elevated levels of eATP on the cell surface (Betto et al., 1999). In such conditions, changes in the receptor activity might be unrelated to its properties or expression levels. Therefore, P2RX7 specific and non-hydrolysable agonists would be useful tools for dissecting distinct P2RX7-specific downstream responses. While the competitive agonist benzoylated-ATP (BzATP) and

non-hydrolysable sulfonated-ATP (ATPγS) display increased specificity and affinity over endogenous eATP, no true P2RX7-specific agonist has been identified so far. Hence, BzATP, with 10-30 times higher affinity for channel gating at the human P2RX7 receptor is the most widely used (Bianchi et al., 1999) despite its known activity at P2RX1 and P2RX3 and being metabolized to lower MW constituents (De Marchi et al., 2016). Species-specific differences in agonist specificity and sensitivity add an additional level of complexity to pharmacological studies (Hibell et al., 2000).

P2RX7 blockers are currently divided into two main mechanistic groups: one which binds orthosterically and competitively to the eATP binding pocket and another that binds allosterically to sites other than the eATP site and reduces ligand binding affinity. First generation P2RX7 antagonists were developed in the 1990s and can now be grouped according to their lack of specificity. Examples include Reactive Blue 2, Suramin (and its derivatives), Coomassie Brilliant Blue G (BBG), pyridoxal phosphate-6-azophenyl-2-4-disulfonic acid (PPADS), 1-N,O-bis(5-isoquinolinesulfonyl)-N-methyl-ltyrosyl-4-phenylpiperazine (KN-62), and oxidized ATP (oATP), see Bartlett et al. for a review (Bartlett et al., 2014). Despite its "non-druggable" nature and lack of absolute specificity for P2RX7 and capacity for near-infra red fluorescence upon protein binding, BBG remains one of the most widely used and useful reagents as it is effective at human, mouse, rat, dog and guinea pig P2RX7. BBG also had triggered the initial interest in therapeutic applications by showing effectiveness in preventing eATP-induced cell death in murine models of spinal cord injury in vivo (Wang et al., 2004; Peng et al., 2009) and because of its blood-brain barrier (BBB) permeability. Indeed, despite its limitations, BBG has been used widely to block P2RX7 in various pathologies such as neuroexcitotoxicity (Carmo et al., 2014), graft-vs.-host disease (Geraghty et al., 2017) and muscular dystrophy (Young et al., 2012). However, as BBG is not a specific P2RX7 antagonist, also inhibiting P2RX4 and P2RX1 receptors, the pharmacological findings obtained with this antagonist have been confirmed using p2rx7 KO models. Two of these are available and have been used in the bulk of studies: The GSK knockout carries a lacZ/Neo cassette insertion in exon 1 (Sikora et al., 1999; Chessell et al., 2005) and Pfizer's (available via JAX) has a Neo cassette insertion in exon 13 (Solle et al., 2001). Importantly, in both models, P2rx7 gene escaped complete inactivation, retaining expression of some isoforms P2RX7k in Glaxo (Nicke et al., 2009) and P2RX7a and P2RX7b in Pfizer (Masin et al., 2012). Specifically, T cells and lymphocytes from the Glaxo KO have been shown to retain P2RX7 responses, including LP function (Taylor et al., 2009). As discussed above, this is due to the existence, in the mouse, of the P2RX7k variant, which is driven by an alternative promoter and its exon 1 escaping inactivation in the GSK model. This isoform, although expressed at low levels, displays faster activation kinetics and a lower threshold for channel gating in comparison to the wild-type P2RX7a variant (Nicke et al., 2009). The Pfizer KO mouse retains expression of the alternative C-terminal variants, however these have limited homomeric functionality and low expression levels in most if not all cell types. Hence, while the Pfizer model can be regarded as a functional knockout, studies using the GSK animal should be carefully re-considered and interpreted appropriately. Recently, new mouse models have been developed, including some allowing the conditional, cell-type specific *p2rx7* knockout. These mice should be an invaluable resource for deciphering the cell, tissue-specific and temporal effects of P2RX7 receptor activation and inhibition.

Other groups of functionally related molecules have also been shown to block P2RX7: Naturally-occurring plant compounds such as alkaloids (Shemon et al., 2004), synthetic enzyme inhibitors such as CAY10593 (phospholipase D blocker) (Pupovac et al., 2013), antipsychotics (Hempel et al., 2013) as well as various cations, such as Ca<sup>2+</sup> and Mg<sup>2+</sup> (Jiang, 2009). While the first-generation compounds such as oATP and BBG are still being used in some experimental paradigms, secondgeneration P2RX7 antagonists offer significantly increased specificity, albeit at significantly increased cost. This second generation antagonists began to emerge in 2006 with the development by Abbott Labs of disubstituted tetrazoles such as A438079 (Nelson et al., 2006) and cyanoguanidines such as A740003 (Honore et al., 2006). Interestingly, both classes were previously thought to act reversibly and competitively (Donnelly-Roberts and Jarvis, 2007). However, recent structural data contradicted this assumption by placing A74003 not in the ATP-binding pocket but acting via the newly identified allosteric, non-competitive site instead (Karasawa and Kawate, 2016). Intriguingly, A438079 binding analysis is not yet available while this compound appears to preferentially block the LP (Haanes et al., 2012). It would be therefore interesting to interrogate the newly available crystal structure to determine whether A438079 and A740003 share the same binding site as this information may help our understanding of the channel vs. pore functionality. Another important step has been the development of a stable, selective orally bioavailable, blood brain barrier permeable P2RX7 antagonists suitable for the treatment of a host of human pathologies. High-throughput screening has led to the identification of Glaxo GSK314181A (Broom et al., 2008) and AstraZeneca AZ11645373 (Stokes et al., 2006, also see Mehta et al., 2014 and Syed and Kennedy, 2012 for extensive reviews (Syed and Kennedy, 2012; Mehta et al., 2014) followed by AZD9056, also from AstraZeneca (Keystone et al., 2012) and CE-224,535 from Pfizer (Stock et al., 2012). Since the development of these new drugs, pharmacological inhibition of P2RX7 has proven to be effective and well-tolerated treatment in multiple rodent models of inflammatory diseases including neurological, musculoskeletal as well as retinal, kidney, bladder, liver, lung, and skinbased inflammatory disorders (reviewed extensively in Bartlett et al., 2014, in models of stroke, brain trauma, hemorrhage and also in BBB integrity loss Zhao et al., 2016) as well as muscular dystrophy, cardiomyopathy, respiratory disorders, inflammatory bowel disease and cancer. Additionally to the inflammatory diseases or those with an obvious inflammatory component, P2RX7 drugs showed efficacy in Azlheimer's and psychiatric disorders such as mania, depression and also prion disease (reviewed in Bartlett et al., 2014; Savio et al., 2018).

Unfortunately, the precise localization of P2RX7 expression in the brain remains controversial due to non-specific antibody binding (Anderson and Nedergaard, 2006) with p2rx7 KO mouse brains showing strong immunoreactivity (Sim et al., 2004). A recent progress in this area has been the development and initial characterization of a humanized P2RX7 mouse model, where hP2RX7 expression was localized to glutamatergic pyramidal neurons of the hippocampus, as well as to non-neuronal lineages including astrocytes, microglia, and oligodendrocytes (Metzger et al., 2017). Interestingly, in yet another model, the P2RX7 EGFP mice the receptor expression has been localized to the dentate gyrus granule cell layer (Jimenez-Pacheco et al., 2016). The existence of neuronal P2RX7 responses remains the topic of debate, with arguments for both the neuronal P2RX7 responses being an important pharmacological target (Miras-Portugal et al., 2017) but also suggesting that these responses are due to P2RX7 expression on astrocytes or microglia instead (Illes et al., 2017). Clearly, full understanding of the P2RX7 localisation in the brain remains elusive and would be required for the development of targeted therapeutic approaches for the variety of CNS diseases in which this receptor appears to play a role.

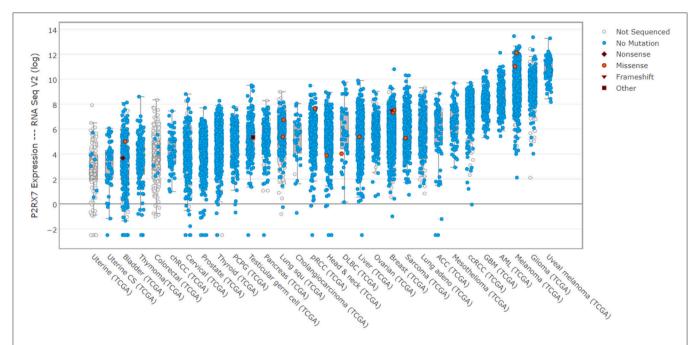
Despite these limitations, >70 patents for P2RX7 antagonists have been filed in the last few years, including for the use of A438079 in depression, anxiety and bipolar disorders, triazolebased drugs for CNS disorders and P2RX7 antagonists in epithelial cancers (from GSK, Abbott and Cleveland University Hospitals, respectively (Park and Kim, 2016). Moreover, trials have been conducted in relation to several inflammatory pathologies such as rheumatoid arthritis (Maini et al., 2006; Keystone et al., 2012), Crohn's (Eser et al., 2015), and basal cell carcinoma (Gilbert et al., 2017) to name but a few. Although AstraZeneca's AZD9056 trials were halted due to disappointing results, it's more recent application to Crohn's has been more promising. This suggests that P2RX7 inhibition may not be equally effective in various diseases with an inflammatory component and potentially that some drugs may show different efficacy in specific conditions. In this context, one remaining questions is whether selective targeting of the channel or the LP receptor functions would have more significant therapeutic effect. Another consideration is the impact of the P2RX7 polymorphisms, which are numerous and which have been demonstrated to impact various human disorders (Fuller et al., 2009). The benefit of the recent publication of the P2RX7 3-D crystal structure is that it will be possible to utilize it in the in silico high-throughput screening of chemical libraries and rational drug design. Structural prediction-based screening recently identified 3 novel competitive orthosteric antagonists. Intriguingly, one of these compounds was reported to specifically antagonize P2RX7 LP pore formation with no effect on the channel function (Caseley et al., 2016). Given that this drug binding to the eATP pocket affects LP only, it might provide some support for the hypothesis that the channel and pore are produced by the P2RX7 itself. However, while the publication focused on the therapeutic potential of this new "pore blocker" it did not consider this potentially distinguishing molecular mechanism.

Another example of the impact of the receptor crystal structure is the identification of the mechanism of action of AZT and other Nucleoside Reverse Transcriptase Inhibitors (NRTIs) at the P2RX7. These drugs have been shown previously to be able to block this receptor functions in vitro and to have therapeutic impact in vivo, in a number of mouse models of human diseases (Fowler et al., 2014). Molecular modeling showed that AZT and its derivatives occupy the same allosteric site as the canonical antagonists and also led to further work that proved AZT to be therapeutic in the mouse model of Duchenne muscular dystrophy (Al-Khalidi et al., 2018). AZT is a mainstay in the prevention of motherto-child HIV transmission (Van Zyl et al., 2008). Consequently, there is extensive safety and pharmacokinetic data available following decades of AZT use in humans, also in children and neonates. Therefore, it is a good candidate for rapid repurposing for treatment of human diseases requiring P2RX7 inhibition.

An exciting development in the P2RX7 targeting is a new class of antagonist—Multi-Target-Directed-Ligands (MTDLs), which permit the specific delivery of P2RX7 antagonists in a previously unattainably targeted manner. Specifically, dual NMDA-P2RX7 compounds were recently synthesized for targeting both the excitotoxicity-induced cell death as well as the P2RX7-dependent neuroinflammatory-induced cell death seen in Alzheimer's disease (Karoutzou et al., 2018). Such compounds represent an exciting and theoretically limitless combinatorial approach to P2RX7 inhibition.

With rational design-based drug screening set to replace classical approaches, the number of P2RX7 antagonists should increase further. Yet, for the rational clinical application of the existing as well as the new drugs, we still require a significant improvement of our basic understanding of underlying receptor physiology and pharmacology and of its involvement in various pathologies. Perhaps the holy grail of P2RX7 research remains the determination of the molecular mechanism of the channel vs. the LP opening (Karasawa et al., 2017) and also of the physiological and pathological importance of this phenomenon.

Cancer is the paragon of P2RX7's dual nature. P2RX7 is ubiquitously overexpressed in cancer (Figure 3). Yet, tumor cells appear to take advantage of the receptor responses, without succumbing to the LP-mediated cell death cascade activation, which would be fully expected given such significant overexpression in the presence of abundant eATP in the tumor environment (Young et al., 2017). Quite the reverse, tumor cells use this P2RX7 overexpression to their significant advantage though increases in growth, migration, the Warburg effect, VEGF production triggering tumor neo-angiogenesis and MMP release helping its invasion and metastasis (Young et al., 2017; reviewed by Di Virgilio, 2016). While many tumors expressed the "non-functional" P2RX7, there is evidence that the functional receptor can be expressed too. Only few loss-of function mutations have been identified in P2X7 expressed in various tumor types (Figure 3). Thus, the systemic administration of pharmacological P2RX7 blockers should have a potent antitumor effect by preventing the high jacking of this receptor by cancer cells. Interestingly, while this approach showed



**FIGURE 3** P2RX7 expression is elevated in human cancers. cBioPortal (cbioportal.org) derived expression levels for P2RX7 multiple human cancer patient biopsies from TCGA data (cancergenome.nih.gov). Noticeable, across almost all tumor types, is the significant overexpression of P2RX7 and a paucity of detected mutations. This indicates that malignant cells express high levels of fully-functional receptors, which is an important consideration for drug treatment.

promise in some tumors, the effect was far from universal. The problem is that P2RX7 is a significant player in the immune response that, in turn, can inhibit growth of some tumors (reviewed by Di Virgilio, 2016). Indeed, there are indications that immune suppression following P2RX7 inhibition is a real and potentially significant drawback, as tumors in p2rx7 KO mice show accelerated growth, increased tumor size and metastasis, recruitment and invasion, all of which reflects reduced immune cell activation (Adinolfi et al., 2015). Perhaps the appropriate metaphor for P2RX7 in cancer would be that of the sword and the shield, where the sword may be blunted through receptor blockade but at the expense of lowering the shield hand. Hence, a successful cancer treatment with P2RX7 antagonists should, ideally, selectively target cancer cells whilst leaving the host immune response unscathed. In addition, a treatment exploiting the overexpression of P2RX7 on tumor cells and turning it against the tumor is an appealing proposition. The aforementioned targeting of the "non-functional" P2RX7 with specific antibodies is one example currently in clinical trials. Another could be the development of positive modulators of P2RX7 LP formation or compounds which effectively upregulate or reinstate P2RX7 LP formation in tumor cells, which overexpress either functional or "non-functional" receptor variants. Such an approach abrogates any concerns over immune response dampening and potentially could even boost the anti-tumor responses as certain types of cell death trigger or potentiate immune responses.

In conclusion, recent progress in obtaining the receptor crystal structure, understanding the mechanism of action of structurally unrelated antagonists and in unraveling the feedback mechanism regulating its functions should result in a breakthrough in targeting P2RX7 in a host of human diseases.

### **AUTHOR CONTRIBUTIONS**

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### SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fchem. 2018.00248/full#supplementary-material

**Video S1** | P2RX7 chicken TNP-ATP RCSB PBD PyMOL movie.avi simulated 3D view of P2RX7 trimeric subunit configuration, showing ATP molecules bound.

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# The Sodium Sialic Acid Symporter From *Staphylococcus aureus* Has Altered Substrate Specificity

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North RA, Wahlgren WY, Remus DM, Scalise M, Kessans SA, Dunevall E, Claesson E, Soares da Costa TP, Perugini MA, Ramaswamy S, Allison JR, Indiveri C, Friemann R and Dobson RCJ (2018) The Sodium Sialic Acid Symporter From Staphylococcus aureus Has Altered Substrate Specificity. Front. Chem. 6:233. doi: 10.3389/fchem.2018.00233 <sup>1</sup> School of Biological Sciences, University of Canterbury, Christchurch, New Zealand, <sup>2</sup> Biomolecular Interaction Centre, University of Canterbury, Christchurch, New Zealand, <sup>3</sup> Department of Chemistry and Molecular Biology, University of Gothenburg, Gothenburg, Sweden, <sup>4</sup> Centre for Antibiotic Resistance Research, University of Gothenburg, Gothenburg, Sweden, <sup>5</sup> Unit of Biochemistry and Molecular Biotechnology, Department DiBEST (Biologia, Ecologia, Scienze della Terra), University of Calabria, Arcavacata di Rende, Italy, <sup>6</sup> Department of Biochemistry and Genetics, La Trobe Institute for Molecular Science, La Trobe University, Melbourne, VIC, Australia, <sup>7</sup> The Institute for Stem Cell Biology and Regenerative Medicine, Bangalore, India, <sup>8</sup> Centre for Theoretical Chemistry and Physics, Institute of Natural and Mathematical Sciences, Massey University, Auckland, New Zealand, <sup>9</sup> Maurice Wilkins Centre for Molecular Biology, Bio21 Molecular Science and Biotechnology Institute, University of Melbourne, Parkville, VIC, Australia

Mammalian cell surfaces are decorated with complex glycoconjugates that terminate with negatively charged sialic acids. Commensal and pathogenic bacteria can use hostderived sialic acids for a competitive advantage, but require a functional sialic acid transporter to import the sugar into the cell. This work investigates the sodium sialic acid symporter (SiaT) from Staphylococcus aureus (SaSiaT). We demonstrate that SaSiaT rescues an Escherichia coli strain lacking its endogenous sialic acid transporter when grown on the sialic acids N-acetylneuraminic acid (Neu5Ac) or N-glycolylneuraminic acid (Neu5Gc). We then develop an expression, purification and detergent solubilization system for SaSiaT and demonstrate that the protein is largely monodisperse in solution with a stable monomeric oligomeric state. Binding studies reveal that SaSiaT has a higher affinity for Neu5Gc over Neu5Ac, which was unexpected and is not seen in another SiaT homolog. We develop a homology model and use comparative sequence analyses to identify substitutions in the substrate-binding site of SaSiaT that may explain the altered specificity. SaSiaT is shown to be electrogenic, and transport is dependent upon more than one Na<sup>+</sup> ion for every sialic acid molecule. A functional sialic acid transporter is essential for the uptake and utilization of sialic acid in a range of pathogenic bacteria, and developing new inhibitors that target these transporters is a valid mechanism for inhibiting bacterial growth. By demonstrating a route to functional recombinant SaSiaT, and developing the in vivo and in vitro assay systems, our work underpins the design of inhibitors to this transporter.

Keywords: antibiotic resistance, sialic acids, SiaT, sodium solute symporter, Staphylococcus aureus

### INTRODUCTION

Mammalian cell surfaces are decorated with complex glycoconjugates, such as glycoproteins and glycolipids. Found at the terminal non-reducing positions of these cell-surface glycoconjugates are negatively charged sialic acids, which mediate a diverse array of cellular interactions, recognition and adhesion.

Sialic acids comprise a large family of nine-carbon acidic monosaccharides, the most common of which is *N*-acetylneuraminic acid (Neu5Ac). While Neu5Ac is ubiquitously synthesized, the closely related sialic acid, *N*-glycolylneuraminic acid (Neu5Gc) is not. Although Neu5Ac and Neu5Gc sialic acids are widely expressed on mammalian tissues, human cells do not synthesize Neu5Gc. This is because humans have an inactivating mutation in the gene encoding CMP-*N*-acetylneuraminic acid hydroxylase, the rate-limiting enzyme for the generation of Neu5Gc in the cells of other mammals (Varki, 2001).

Mammalian commensal and pathogenic bacteria that colonize sialic acid rich tissues, such as the respiratory or gastrointestinal tract, have evolved mechanisms to use host-derived sialic acids for a competitive advantage; this suggests a link between sialic acid uptake/utilization and survival in vivo (Almagro-Moreno and Boyd, 2009). Some bacteria, such as Haemophilus influenzae (Vimr et al., 2000; Bouchet et al., 2003), and Neisseria meningitides (Vimr et al., 2004) incorporate sialic acid into their cell surface macromolecules to trick the host's innate immune response. Others, such as Escherichia coli (Vimr and Troy, 1985; Chang et al., 2004), Staphylococcus aureus (Olson et al., 2013), and Vibrio vulnificus (Jeong et al., 2009) use a suite of enzymes (North et al., 2013, 2014a,b, 2016) to degrade sialic acids as a source of carbon, nitrogen and energy. Notably, H. influenzae also metabolizes sialic acids in this way, and must make a metabolic decision between cell surface sialylation and sialic acid degradation (Vimr et al., 2000).

Bacteria that import sialic acids have evolved multiple mechanisms of transport across the cytoplasmic membrane. To date, four unique transporter families have been recognized, including those from the ATP binding cassette (ABC) (Post et al., 2005), tripartite ATP-independent periplasmic (TRAP) (Allen et al., 2005), major facilitator superfamily (MFS) (Vimr and Troy, 1985), and sodium solute symporter (SSS) (Severi et al., 2010; Wahlgren et al., 2018) transporter families (North et al., 2017). Whilst most bacteria possess only one type of sialic acid transporter, there are a few exceptions that are predicted to express two family types (Severi et al., 2010). It is not understood why these organisms produce more than one type of sialic acid transporter, but it is possible that they import sialic acid derivatives that are known in biological contexts.

Developing novel inhibitors that target bacterial sialic acid transporters may be a valid mechanism for inhibiting bacterial growth—several lines of evidence support this. It has been shown that a dedicated and functional sialic acid membrane transporter is required for the uptake of sialic acids (Vimr and Troy, 1985; Severi et al., 2005, 2010). Moreover, *in vivo* mouse studies demonstrate that sialic acid uptake and utilization is essential for colonization and persistence in a range of pathogenic

bacteria (Chang et al., 2004; Almagro-Moreno and Boyd, 2009; Jeong et al., 2009; Pezzicoli et al., 2012). Knocking out the respective sialic acid transporter genes in *Salmonella enterica serovar* Typhirium and *Clostridium difficile* impairs outgrowth during post-antibiotic expansion (Ng et al., 2013), and *E. coli* during intestinal inflammation (Huang et al., 2015). Humans readily synthesize the Neu5Ac type of sialic acid and have dedicated membrane transporters to deploy it onto their surface. These share little homology to the bacterial transporters (North et al., 2017) so inhibitors to the bacterial transporters may not be toxic

Recently, we determined the high-resolution outward-facing, and open, substrate-bound structure of the SiaT sialic acid transporter from *Proteus mirabilis* (*Pm*SiaT) (Wahlgren et al., 2018). SiaT transporters belong to the SSS family. *Pm*SiaT adopts the LeuT-fold with Neu5Ac bound near the center of the protein, and two Na<sup>+</sup> ions for transport.

This work investigates the SiaT sodium sialic acid symporter from *S. aureus* (*Sa*SiaT). We demonstrate that *Sa*SiaT can be purified and stably occupies a monomeric oligomeric state. We characterize the functionality of *Sa*SiaT with two different sialic acids, and the kinetics of sialic acid membrane transport.

### **MATERIALS AND METHODS**

### **Molecular Biology Techniques**

The S. aureus (strain RF122) siaT (Accession AJ938182.1) gene was codon optimized for E. coli (GeneArt, ThermoFischer Scientific; Supplementary Figure 1). For purification of recombinant protein and functional studies, siaT was amplified by PCR using Sa\_siaT-F1 and Sa\_siaT-R1 oligonucleotides (Supplementary Table 1) and cloned into the pWarf(-) (Hsieh et al., 2010) vector using the In-Fusion HD Cloning Kit (Clontech). The pWarf(-) vector carries a C-terminal human rhinovirus 3C protease (HRV3C) cleavage site followed by a green fluorescence protein (GFP)-tag and an 8 × histidine (His)-tag. The amplified fragment was cloned into pWarf(-) digested with the BamHI (3') and XhoI (5') restriction enzymes to generate pWarf(-)Sa\_siaT with kanamycin resistance. This was transformed into Stellar<sup>TM</sup> Competent Cells (Clontech), purified using the DNA-Spin<sup>TM</sup> Plasmid DNA Purification Kit (iNtRon Biotechnology), and verified by DNA sequencing (Eurofins).

For bacterial growth experiments, siaT was amplified by PCR using  $Sa\_siaT$ -F2 and  $Sa\_siaT$ -R2 oligonucleotides (Supplementary Table 1) and cloned into the low-copy vector pJ422-01 also using the In-Fusion HD Cloning Kit. The amplified fragment was cloned into pJ422-01 digested with the EcoR1 (3') and Nde1 (5') restriction enzymes to generate pJ422-01 $Sa\_siaT$  with Zeocin<sup>TM</sup> resistance. This was transformed into Stellar<sup>TM</sup> Competent Cells (Clontech), purified using the DNA-Spin<sup>TM</sup> Plasmid DNA Purification Kit (iNtRon Biotechnology), and verified by DNA sequencing (Genetic Analysis Service, University of Otago). The pJ422-01 $Sa\_siaT$  plasmid was subsequently transformed into the E.coli JW3193  $\Delta nanT$  strain

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[NBRP (NIG, Japan): *E. coli*] (Baba et al., 2006) generating the complementation strain *E. coli* JW3193  $\Delta$ *nanT-siaT*.

### **Protein Production and Purification**

The pWarf(-)Sa\_siaT plasmid was transformed into E. coli Lemo21(DE3) and grown in terrific broth media supplemented with kanamycin (50 µg/mL), chloramphenicol (34 µg/mL), Lrhamnose (100 μM), and induced with 0.4 mM isopropyl β-D-1-thiogalactopyranoside (IPTG) at 26°C overnight, with shaking at 180 rpm. For isothermal titration calorimetry and proteoliposome measurements, the protein was expressed in PASM-5052 auto-induction media (Lee et al., 2014). Cells were solubilized in phosphate-buffered saline (PBS), supplemented with cOmplete<sup>TM</sup> EDTA free protease inhibitor tablets (Roche), lysozyme (0.5 mg/mL), DNaseI (5 µg/mL), MgCl<sub>2</sub> (2 mM) and lysed by sonication using a Hielscher UP200S Ultrasonic Processor at 70% amplitude in cycles of 0.5 s on, 0.5 s off, for 30 min. Cell debris was pelleted by centrifugation at 24,000 g, for 25 min, at 4°C and the cell membranes were collected by ultracentrifugation at 230,000 g, for 2 h, at 4°C and stored at -80°C until further use. Cell membranes were solubilized in 2% (w/v) n-dodecyl-ß-D-maltoside (DDM) for 2 h at 4°C and unsolublized material was removed by ultracentrifugation at 150,000 g. The protein was first purified using immobilized metal affinity chromatography; the supernatant was loaded onto a 5 mL HisTrap HP column (GE Healthcare) equilibrated with Buffer A (70 mM Tris-HCl, pH 8.0, 150 mM NaCl, 20 mM imidazole, 6% glycerol, 5 mM β-mercaptoethanol, and 0.0174% (w/v) DDM). The column was washed with Buffer A, followed by a 10% wash with Buffer B (Buffer A with 500 mM imidazole) and protein was eluted using 50% Buffer B. Protein was concentrated and simultaneously exchanged into Buffer C (50 mM Tris-HCl, pH 8.0, 150 mM NaCl, 0.0174% (w/v) DDM). For analytical ultracentrifugation experiments, Buffer C contained 0.174% DDM. The GFP-tag was cleaved with HRV3C protease in a 1:12.5 mass ratio (HRV3C:SaSiaT) at 4°C for 18 h. Size exclusion chromatography was performed as a final purification step using a HiLoad 16/60 Superdex 200 column in Buffer C. Protein concentration was determined using a NanoDrop 1000 spectrophotometer at 280 nm, using an extinction coefficient of 75,750 M<sup>-1</sup>cm<sup>-1</sup>, and a molecular weight of 56.7 kDa following HRV3C cleavage of the GFP-tag.

### **Analytical Ultracentrifugation**

Sedimentation velocity experiments were performed in a Beckman Coulter Model XL-I analytical ultracentrifuge equipped with UV/Vis scanning optics. Reference buffer solution (50 mM Tris-HCl, 150 mM NaCl, pH 8.0) and sample solutions (including reference buffer solution with 0.174% DDM, and SaSiaT at four concentrations: 0.6, 0.4, 0.2, and 0.1 mg mL<sup>-1</sup>) were loaded into 12 mm double-sector cells with standard Epon 2-channel centerpieces and quartz windows. Cells were mounted in an eight hole An-50 Ti rotor and centrifuged at 50,000 rpm at 12°C. Interference and absorbance measurements at a wavelength of 280 nm were recorded over a radial position range of 5.8 to 7.3 cm within the cell, with measurements taken at sediment boundary intervals of 0.003 cm. The partial specific volume of

SaSiaT was calculated using SEDNTERP (Laue et al., 1992) and buffer density and buffer viscosity were experimentally measured with an Anton Paar DMA4100M density meter and Anton Paar Lovis 2000 ME microviscometer, respectively. The van Holde-Weischet and 2DSA-Monte Carlo analyses were performed using UltraScan III (Demeler and van Holde, 2004; Brookes and Demeler, 2007; Demeler and Brookes, 2007; Demeler, 2010).

### **Bacterial Growth Experiment**

E. coli strains JW3193 ΔnanT, JW3193 ΔnanT siaT, and E. coli BW25113, which served as a wild type control, were grown (37°C, 250 rpm) overnight in low salt Luria-Bertani (LB) media. For  $\triangle nanT$  siaT, the LB media was supplemented with Zeocin<sup>TM</sup> (25 μg/mL). Overnight cultures were diluted to an OD<sub>600</sub> of 0.05 and further grown (37°C, 250 rpm) in low salt LB media supplemented with 1 mM IPTG until they reached mid-logarithmic phase (OD<sub>600</sub> of 0.35). Bacterial cultures were harvested by centrifugation (6,000 rpm, 10 min, 4°C), washed three times in M9 minimal media and diluted to an OD<sub>600</sub> of 0.5. Cultures (20 µL) were added to a Costar Flat Bottom 96 well plate with lid containing M9 minimal media (180 μL) supplemented with Zeocin<sup>TM</sup> (25 μg/mL), IPTG (1 mM), thiamin hydrochloride (7 µM) and either N-acetylneuraminic acid (Neu5Ac, 4 mg/mL, 12.9 mM), N-glycolylneuraminic acid (Neu5Gc, 4 mg/mL, 12.3 mM) or glucose (0.4%) as the sole carbon source. In addition, bacterial growth was monitored in M9 minimal media without any carbon source. Growth at 37°C, with shaking at 250 rpm, was recorded at 600 nm every 10 min using the FLUOstar Omega microplate reader (BMG labtech). Growth curves represent the mean of four measurements ± standard error of the mean, or three measurements ± standard error of the mean for the control experiments.

### Microscale Thermophoresis

The binding affinities for two sialic acids and purified SaSiaT were determined using microscale thermophoresis. Experiments were performed on a Monolith NT.LabelFree instrument (NanoTemper Technologies) (Wienken et al., 2010; Soares da Costa et al., 2016; Stifter et al., 2018). Purified SaSiaT was diluted to 2 µM in PBS buffer supplemented with 0.0174% (w/v) DDM, and incubated with Neu5Ac (from 0.3 µM to  $10 \, \text{mM}$ ), and Neu5Gc (0.08  $\mu M$  to 2.5 mM), for 5 min prior to taking measurements. The samples were loaded into Monolith NT Standard Treated Capillaries (NanoTemper Technologies). Microscale thermophoresis measurements were carried out at 25°C using 20% LED power, and 20% microscale thermophoresis infrared laser power. The dissociation constants  $(K_d)$  were determined using the mass action equation via the NT Analysis software version 1.5.41 (NanoTemper Technologies), using the signal from Thermophoresis + T-jump for triplicate experiments.

### Isothermal Calorimetry

Purified SaSiaT was concentrated to a final concentration of 170–240 µM using membrane ultrafiltration with a molecular weight

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cutoff of 50 kDa. The flow through was used to dilute  $100 \,\mathrm{mM}$  stock solutions of sialic acid to concentrations of  $2.5\text{--}4\,\mathrm{mM}$  for Neu5Ac and  $2\text{--}2.4\,\mathrm{mM}$  for Neu5Gc. Protein sample ( $206\,\mu\mathrm{L}$ ) was loaded into the sample cell, and  $70\,\mu\mathrm{L}$  of the respective sialic acid was loaded into the injection syringe. The system was equilibrated to  $25^{\circ}\mathrm{C}$  with a stirring speed of 750 rpm. Titration curves were initiated by a  $1\,\mu\mathrm{L}$  injection followed by  $4\,\mu\mathrm{L}$  injections every  $180\,\mathrm{s}$ . Background corrections were obtained by injection of sialic acids into buffer and buffer into protein with the same parameters. Biological triplicate experiments were analyzed using ORIGIN 7 with the first injection excluded. The curves were fitted into a single-site binding isotherm and  $K_d$  values were determined. Measurements were made using a Micro-200 Isothermal titration calorimeter or a PEAQ Isothermal titration calorimeter (MicroCal, Malvern).

### Sequence Alignment and Homology Modeling of SiaT in an Outward-Facing Open Conformation

Multiple protein sequence alignment was performed between SaSiaT and additional SiaT from various bacterial species, as described elsewhere (Wahlgren et al., 2018). This was used to compare conservation of the Neu5Ac binding site between organisms. A second multiple protein sequence alignment was performed between SiaT from 19 strains of S. aureus, to compare conservation of the Neu5Ac binding site between different isolates. These include S. aureus RF122, S. aureus ED133, S. aureus NR153, S. aureus XQ, S. aureus 93b\_S9, S. aureus CFSAN007883, S. aureus HZW450, S. aureus MS4, S. aureus SA268, S. aureus SA40, S. aureus SA957, S. aureus M013, S. aureus FDA209P, S. aureus FDAARGOS\_43, S. aureus NRS271, S. aureus MW2, S. aureus NRS143, S. aureus USA400-0051, S. aureus EDCC5464. Alignments were generated using ClustalW (Larkin et al., 2007).

The outward-facing open conformation of SaSiaT was modeled on the outward-facing open structure of SiaT from P. mirabilis (PmSiaT). These transporters share  $\sim$ 41% sequence identity. To build a homology model, an alignment between the two protein sequences was first generated using a global sequence alignment with EMBOSS stretcher (Myers and Miller, 1988). MEDELLER (Kelm et al., 2010) was used to create the model of SaSiaT in the outward-facing open conformation using PmSiaT (pdb entry 5nv9) as a template structure. Next, GROMACS 5.1.2 (Abraham et al., 2015) was used for energy minimization of the SaSiaT homology model, using the GROMOS 54A7 force field. The resulting structure was superimposed onto the *Pm*SiaT structure with Neu5Ac bound using a Structural Alignment of Multiple Proteins (STAMP) structure-based sequence alignment in VMD MultiSeq. The structure was manually edited using COOT (without further energy minimization) to remove a clash between the sidechain of Tyr79 and the Neu5Ac. The sidechain was rotated to overlay with that of the corresponding residue (Phe78) in the template PmSiaT structure. Other residues in the substrate binding site of the homology model were rotated to better represent the conformations found in the substrate bound PmSiaT template.

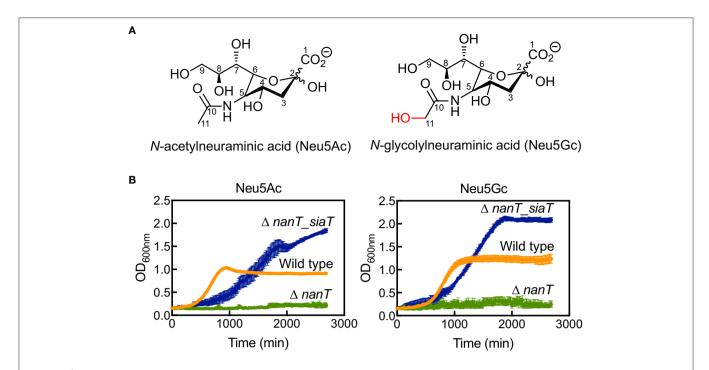
### **Proteoliposome Assays**

Purified SaSiaT was reconstituted into proteoliposomes using a protocol previously optimized for PmSiaT, with some modifications (Wahlgren et al., 2018). Briefly, 5 µg of protein was mixed with 120  $\mu$ L 10%  $C_{12}E_8$ , 100  $\mu$ L of 10% egg yolk phospholipids (w/v, sonicated as previously described to form liposomes, Scalise et al., 2014). Next, 20 mM of K<sup>+</sup>-gluconate buffered by 20 mM Tris-HCl, pH 7.0 was added to create a final volume of 700 µL. The mixture was incubated with 0.5 g Amberlite XAD-4 resin under rotatory stirring (1,200 rev/min) at 25°C for 40 min (Scalise et al., 2015). After reconstitution, 600 µL of proteoliposomes were loaded onto a Sephadex G-75 column (0.7 cm diameter × 15 cm height) pre-equilibrated with 20 mM Tris-HCl, pH 7.0, 40 mM sucrose to balance internal osmolarity. To generate a K+ diffusion potential, valinomycin (0.75 μg/mg phospholipid) prepared in ethanol was added to the proteoliposomes following Sephadex G-75 column chromatography, as previously described (Scalise et al., 2014; Wahlgren et al., 2018). As a control, ethanol was added to proteoliposomes, which did not exert any effect on the transport activity. After 10 s of incubation with valinomycin/ethanol, transport was started by adding 50 µM [3H]-Neu5Ac to the proteoliposomes in the presence of 25 mM NaCl. The initial rate of transport was measured by stopping the reaction after 5 min, i.e., within the initial linear range of [<sup>3</sup>H]-Neu5Ac uptake into the proteoliposomes. Transport was terminated once [<sup>3</sup>H]-Neu5Ac was removed by loading each proteoliposome sample (100  $\mu$ L) on a Sephadex G-75 column (0.6 cm diameter  $\times$  8 cm height). Proteoliposomes were eluted with 1 mL 50 mM NaCl and collected in 4 mL of scintillation mixture, vortexed and counted. Radioactivity uptake in liposome controls (without incorporated protein) was negligible with respect to transport data. Uptake data were fitted in a first-order rate equation for time course plots, and kinetic data were fitted with a Michaelis-Menten or Hill equations. Non-linear fitting analysis was performed by Grafit software (version 5.0.13). To measure the specific activity of SaSiaT, the amount of protein was estimated by NanoDrop. All measurements are presented as means  $\pm$  SD from three independent experiments.

### RESULTS AND DISCUSSION

### Expression of SaSiaT Rescues an E. Coli Strain That Lacks its Endogenous Sialic Acid Transporter

To demonstrate sialic acid transport by SaSiaT, we first showed that SaSiaT rescues the growth of an  $E.\ coli$  strain lacking the endogenous NanT sialic acid transporter ( $\Delta nanT$ ) when grown on Neu5Ac or Neu5Gc (**Figure 1**). The Neu5Ac and Neu5Gc differ by the addition of a hydroxyl at the C11 methyl of the N-acetyl group in Neu5Gc (**Figure 1A**). While  $E.\ coli\ JW3193\ \Delta nanT$  grows in M9 minimal media supplemented with glucose (**Table 1**), it is not able to utilize Neu5Ac or Neu5Gc as the sole carbon source (**Figure 1B**, data in green). After complementation of  $E.\ coli\ JW3193\ \Delta nanT$  with pJ422-01S $a\_siaT$  (to produce  $E.\ coli\ JW3193$ 



**FIGURE 1** | Bacterial growth experiments demonstrate SaSiaT function. (A) The chemical structures of Neu5Ac and Neu5Gc. (B) Growth of E. coli wild type (orange),  $\Delta nanT$  (green), and its complemented derivative  $\Delta nant\_siaT$  (blue) on Neu5Ac and Neu5Gc. While  $\Delta nanT$  is unable to utilize Neu5Ac and Neu5Gc, SaSiaT is able to rescue the growth of  $\Delta nanT$  on both Neu5Ac and Neu5Gc sialic acids as the sole carbon source.

**TABLE 1** Growth rates of the *E. coli* wild type,  $\Delta nanT$  and  $\Delta nanT\_siaT$  in M9 minimal media containing different carbon sources.

Strain	Neu5Ac	Neu5Gc	Glucose	No carbon source
Wild type	3.56 (0.04) × 10 <sup>-3</sup>	3.3 (0.1) × 10 <sup>-3</sup>	5.5 (0.2) × 10 <sup>-3</sup>	_
$\Delta$ nan $T$	_	_	$4.3(0.5) \times 10^{-3}$	-
∆nanT_siaT	$1.3(0.3) \times 10^{-3}$	$1.9(0.05) \times 10^{-3}$	$3.86(0.05) \times 10^{-3}$	-

Values represent the growth rate/min. A dash (-) indicates no growth. Values in brackets represent the standard error of measurement, where n = 4.

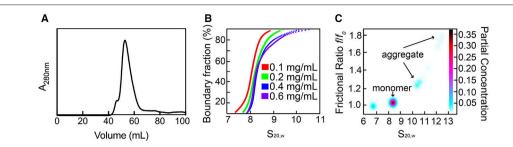
 $\Delta nanT\_siaT$ ), the ability to grow on both sialic acids is restored (**Figure 1B**, data in blue). Notably, the growth rate of  $\Delta nanT\_siaT$  is faster when grown in M9 minimal media containing Neu5Gc as the sole carbon source, as opposed to Neu5Ac (**Table 1**). This could reflect more efficient transport of Neu5Gc, due to a higher affinity for Neu5Gc compared to Neu5Ac.

Curiously, *E. coli*  $\Delta nanT\_siaT$  reached higher final optical density compared to wild type *E. coli* BW25113 (**Figure 1B**, data in blue compared to data in orange). This was unexpected, perhaps suggesting that the native *E. coli* NanT may be regulated in some way, thereby limiting sialic acid uptake. *E. coli*  $\Delta nanT\_siaT$  exhibits an extended lag-phase compared to wild type *E. coli* BW25113. Since SiaT expression was pre-induced with IPTG (see section Materials and Methods), it is likely that the growth lag is due to an increased metabolic burden caused by the overexpression of SiaT itself. This also explains the reduced growth rates of  $\Delta nanT\_siaT$  compared to the wild type (**Table 1**).

In short, we demonstrate that the SaSiaT is functional in vivo and observe a preference for Neu5Gc in terms of maximum growth rate.

### Recombinant SaSiaT Is Stably Purified as a Single Species

SaSiaT was successfully overexpressed, solubilized, and purified to homogeneity in buffer containing DDM detergent. The profile from size exclusion chromatography (**Figure 2A**) shows a dominant peak at  $\sim$ 55 mL, with a shoulder to the left that is consistent with a small amount of a larger component, possibly an aggregate. Analytical ultracentrifugation studies at protein concentrations ranging from 0.1 to 0.6 mg/mL were used to assess the stability and oligomeric state of purified recombinant SaSiaT prior to functional studies. Analyses of absorbance data from analytical ultracentrifugation experiments, using van Holde-Weischet sedimentation coefficient distributions (**Figure 2B**), reveal a largely monodisperse solution with a major component at  $\sim$ 8 S. However, as evidenced by the shift of the distribution



**FIGURE 2** Recombinant SaSiaT can be stably purified and occupies a predominantly single oligomeric state. (A) Size-exclusion chromatography trace of SaSiaT at its final purification step. To the left of a main dominant peak there is a small shoulder, which may represent aggregate. (B) van Holde-Weischet sedimentation coefficient distributions show a dominant component at ~8 S. (C) 2DSA-Monte Carlo analysis of sedimentation velocity data of SaSiaT at 0.6 mg/mL, as implemented by UltraScan III, shows a main peak comprising 70% of the signal.

to the right, the van Holde-Weischet analysis also suggests that some protein may be aggregated. 2DSA-Monte Carlo analysis (Figure 2C) determines a major component at 8.2 S with a frictional ratio of 1.05, which is consistent with a molecular weight of 160 kDa (assuming a mass averaged v-bar of 0.76 for the detergent:protein complex). This is consistent with a SaSiaT monomer surrounded by  $\sim$ 200 DDM molecules. This monomeric species represents  $\sim$ 70% of the total sample. The 2DSA-Monte Carlo analysis also shows a series of larger components ranging from 10.5 to 13.0 S with an increasing frictional ratio, that represent a small amount of aggregate. Overall, our studies demonstrate that SaSiaT can be stably expressed and purified using the detergent DDM. Furthermore, when solubilized in DDM, it is largely a monomer that associates with  $\sim$ 200 DDM molecules in solution.

### Binding Studies Demonstrate That SaSiaT Has an Altered Specificity

Given the increased growth rate observed when grown on Neu5Gc compared to Neu5Ac, the binding affinity of Neu5Ac and Neu5Gc to recombinant SaSiaT was determined using microscale thermophoresis (**Figure 3A**) and isothermal titration calorimetry (**Figure 3B**). By microscale thermophoresis, SaSiaT has a considerably higher affinity for the Neu5Gc sialic acid ( $K_d = 39 \pm 4\,\mu\text{M}$ ) compared to the Neu5Ac sialic acid ( $K_d = 113 \pm 6\,\mu\text{M}$ ). Consistent with this, isothermal titration calorimetry experiments confirmed that SaSiaT has a higher affinity for Neu5Gc ( $K_d = 18 \pm 2\,\mu\text{M}$ ) than Neu5Ac ( $K_d = 106 \pm 2\,\mu\text{M}$ ). Interestingly, PmSiaT is the opposite, and displays a more similar, but higher binding affinity for Neu5Ac ( $K_d$  Neu5Ac  $K_d$  Neu5Ac

## Three Substitutions in the Active Site of SaSiaT may Explain Altered Substrate Specificity

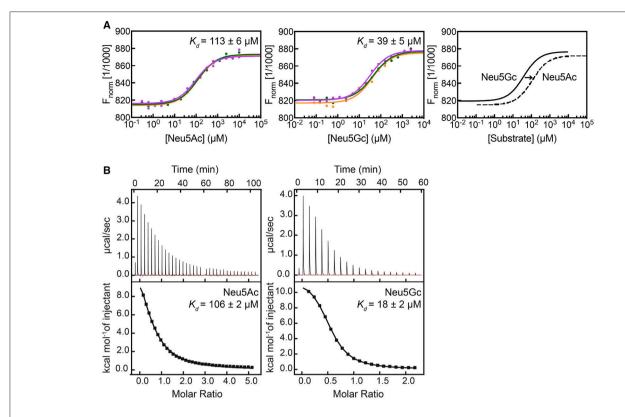
To reconcile at the molecular level the observed difference in substrate specificity, and ultimately, the difference between SaSiaT and PmSiaT, amino acid sequence analyses and homology modeling were used to examine the differences in the active site.

The Neu5Ac binding site, as determined in *Pm*SiaT (pdb entry 5nv9), is conserved among SiaT transporters from a number of bacterial species (**Figure 4A**) (Wahlgren et al., 2018). When comparing the sequences between *Sa*SiaT and *Pm*SiaT, there are three substitutions in *Sa*SiaT (*Pm*SiaT-Gln82 to *Sa*SiaT-Asn83, *Pm*SiaT-Phe78 to *Sa*SiaT-Tyr79, and *Pm*SiaT-Phe243 to *Sa*SiaT-Asn244, **Figure 4A**) that we predict are involved in substrate binding. These residues are highly conserved among *S. aureus* isolates (Supplementary Figure 2); they are, therefore, not specific to any particular isolate of *S. aureus*.

To map these substitutions within the putative active site, a homology model of SaSiaT was built based upon the outward-facing open structure of PmSiaT (pdb entry 5nv9) (**Figure 4B**). Superposition of the SaSiaT homology model with PmSiaT (r.m.s.d. = 0.172 Å for 364  $\alpha$ -carbon atoms) demonstrates that the PmSiaT-Gln82 to SaSiaT-Asn83, PmSiaT-Phe243 to SaSiaT-Asn244, and PmSiaT-Phe78 to SaSiaT-Tyr79 substitutions in SaSiaT may be responsible for the altered substrate specificity observed in the binding experiments, since they are close to the C11 methyl group of the N-acetyl moiety of Neu5Ac (**Figure 4B**), which is hydroxylated in Neu5Gc.

In the *Pm*SiaT crystal structure, the side chain of Gln82 (*Sa*SiaT-Asn83) is in a position to form two hydrogen bonds with the hydroxyl group at C9 and the hydroxyl group at C7 of the Neu5Ac glycerol tail (**Figure 4C**) (Wahlgren et al., 2018). In *Sa*SiaT, the side chain of the Asn83 substitution is shorter than a Gln, which creates more space in the substrate-binding cavity. *Sa*SiaT-Asn83 may be in a position to bond the additional C11 hydroxyl of Neu5Gc (**Figure 4C**), but only if the C11 hydroxyl points toward the glycerol tail. Like *Pm*SiaT-Gln82, *Sa*SiaT-Asn83 is still in position to hydrogen bond the hydroxyl group at C9 of the glycerol tail, albeit with a longer hydrogen bond length.

The C10 carbonyl of the *N*-acetyl moiety in Neu5Ac is coordinated by the amide from the side chain of Gln82 through a water molecule, while the methyl group of the *N*-acetyl moiety of Neu5Ac is facing toward a hydrophobic patch formed by Phe78 and Phe243 (**Figure 4C**) (Wahlgren et al., 2018). In SaSiaT, Tyr79, and Asn244 replace the equivalent positions of



**FIGURE 3** Orthogonal binding experiments demonstrate substrate ambiguity. **(A)** Microscale thermophoresis binding assay to measure the affinity of SaSiaT for Neu5Ac and Neu5Gc sialic acids. Raw data are shown with the fit for three independent experiments with Neu5Ac (left) and Neu5Gc (middle). The  $K_d$  values are reported as the mean  $\pm$  uncertainty in the mean of the fit using the signal from Thermophoresis + T-jump, from triplicate experiments, where n=1 (we define n as the number of different recombinant protein preparations, which we view as equivalent to biological replicates). The  $K_d$  and associated error of each fit is given in Supplementary Table 2. The shift in  $K_d$  between both sialic acids is shown (right). SaSiaT has a tighter affinity for Neu5Gc than Neu5Ac. **(B)** Representative isothermal titration calorimetry raw data (top panel) and binding isotherm (bottom panel) of one isothermal titration calorimetry experiment obtained by successive titration of Neu5Ac (left) or Neu5Gc (right) with purified SaSiaT. The fit of a single binding site is shown in the bottom panels (black line).  $K_d$  values are reported as the mean  $\pm$  SEM of the fit from three experiments using different protein preparations (n=3).

*Pm*SiaT-Phe78 and *Pm*SiaT-Phe243 (**Figure 4B**). *Sa*SiaT-Asn244 could facilitate a new interaction with the additional C11 hydroxyl group of Neu5Gc, and the side chain hydroxyl group of *Sa*SiaT-Tyr79 could create a more hydrophilic environment in the vicinity, which may be important for Neu5Ac and Neu5Gc discrimination.

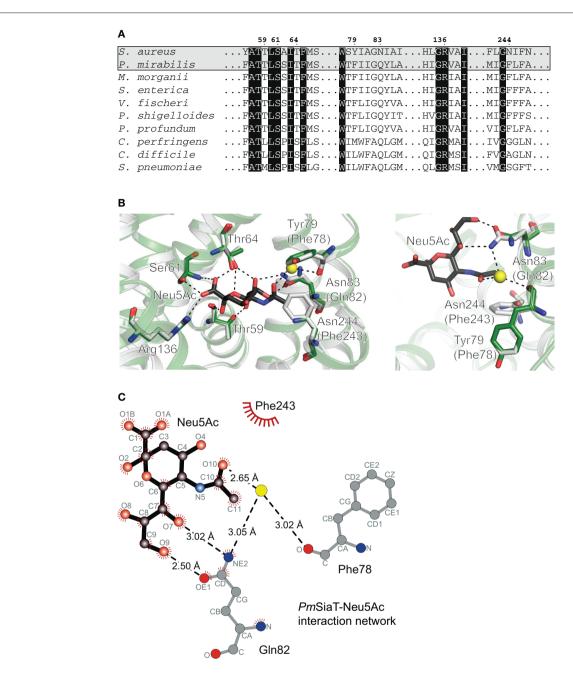
There are other examples where the preference for Neu5Gc is mediated by new interactions, *via* hydrogen bonds, with the extra hydroxyl group present in Neu5Gc. A similar preference for Neu5Gc over Neu5Ac has been reported for the subtilase cytotoxin (SubAB) produced by Shiga-toxigenic *E. coli* (Byres et al., 2008), and the porcine rotavirus (Yu et al., 2012), both of which bind to glycans terminating with sialic acids. The crystal structure of SubB-Neu5Gc complex (pdb entry 3dwa) shows that the C11 hydroxyl group of the glycolyl in Neu5Gc forms important hydrogen bonds with the side chain of a Tyr, and the main chain of a Met (Byres et al., 2008). The crystal structure of the porcine rotavirus strain CRW-8 spike protein domain VP8 (pdb entry 3tay) has similar interactions between the glycolyl of Neu5Gc with the side chain of a Thr, and the main chain of a Tyr (Yu et al., 2012). Mutation of

these residues results in a significant loss of activity. Similarly, the VP1 capsid protein from human polyomavirus 9 (HPyV9) has a preference for Neu5Gc over Neu5Ac (Khan et al., 2014). However, the VP1 capsid protein from a closely related homolog, monkey-derived simian B-lymphotropic polyomavirus (LPyV), has no such preference (Khan et al., 2014). Again, the preference for Neu5Gc is acquired by specific hydrogen bonds with the glycolyl of Neu5Gc, which LPyV cannot form.

In conclusion, we suggest that the altered specificity of SaSiaT for Neu5Gc over Neu5Ac, compared to PmSiaT, may be afforded by Asn substitutions at the 83 and 244 positions, and a Tyr substitution at position 78, in the substrate-binding site of SaSiaT.

### Proteoliposome Assays Delineate the Kinetics of Sialic Acid Membrane Transport

To demonstrate the ability of purified recombinant SaSiaT to not only bind sialic acids, but to also transport them across a lipid membrane, we reconstituted the protein into proteoliposomes using native *E. coli* lipids and measured time dependent



**FIGURE 4** | Sequence alignment and homology modeling probe substrate ambiguity. **(A)** Amino acid sequence alignment of SaSiaT transporters from eight additional bacterial species (Wahlgren et al., 2018). SiaT transporters from S. aureus, P. mirabilis, Morganella morganii, S. enterica, Vibrio fischeri, Plesiomonas shigelloides, Photobacterium profundum, Clostridium perfringens, C. difficile, and Streptococcus pneumoniae are aligned. Important residues in the Neu5Ac binding site in PmSiaT (pdb entry 5nv9) are shown. Residues highlighted with black boxes are highly conserved, and important residues implicated in Neu5Ac binding in PmSiaT are numbered according to SaSiaT. **(B)** Superposition of the SaSiaT homology model (green) and PmSiaT (gray) with Neu5Ac bound (black). Residues are labeled according to SaSiaT, with PmSiaT in parentheses. A water molecule from PmSiaT is shown in yellow. PmSiaT coordinates are from pdb entry 5nv9. Black dashed lines depict hydrogen bonds, or a salt bridge with Arg136. On the right, the binding site has been rotated 90° and the substituted residues are shown. **(C)** The PmSiaT-Neu5Ac interaction network (Wahlgren et al., 2018) with Gln82, Phe78, and Phe243 is represented as a Ligplot<sup>+</sup> diagram (Laskowski and Swindells, 2011) using PDB entry 5nv9. Hydrogen bonds (dashed lines), hydrophobic contacts (arcs with spokes), and an interacting water molecule (yellow) are shown.

uptake of [<sup>3</sup>H]Neu5Ac (**Figure 5A**). The transporter mediated a Na<sup>+</sup>-dependent uptake of [<sup>3</sup>H]Neu5Ac, stimulated by an imposed membrane potential. Similar to *Pm*SiaT (Wahlgren et al., 2018), in the presence of an imposed membrane

potential, transport at equilibrium was almost doubled (185  $\pm$  15 nmol/min/mg) compared with transport in the absence of an imposed membrane potential (95  $\pm$  5 nmol/min/mg) (**Figure 5A**). Transport of [ $^3$ H]Neu5Ac in liposomes without

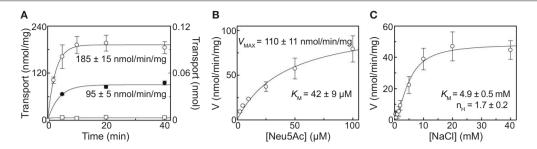


FIGURE 5 | Proteoliposome assays demonstrate the ability to transport sialic acid, which is dependent on Na+. (A) Proteoliposome transport was started by adding  $50\,\mu\text{M}$  [ $^3\text{H}$ ]-Neu5Ac together with 25 mM NaCl to proteoliposomes reconstituted with purified recombinant SaSiaT. In  $_{\circ}$ , valinomycin was added to facilitate K<sup>+</sup> movement prior to transport. In  $_{\bullet}$ , ethanol was added instead of valinomycin as a control. On the left Y-axis, specific transport activity is reported. In  $_{\Box}$ , transport was measured in empty liposomes, with transport in empty liposomes reported on the right Y-axis. Transport was stopped at indicated times by passing proteoliposomes through Sephadex-G75 columns. Data were fitted to the first-order rate equation. (B) The transport of [ $^3\text{H}$ ]-Neu5Ac over a range of concentrations in the presence of 25 mM NaCl was measured in proteoliposomes reconstituted with purified recombinant SaSiaT, with an imposed K<sup>+</sup> diffusion membrane potential, over 5 min. Data were fitted to the Michaelis-Menten equation. (C) The transport of 50  $\mu$ M [ $^3\text{H}$ ]-Neu5Ac in the presence of NaCl over a range of concentrations was measured in proteoliposomes reconstituted with purified recombinant SaSiaT, with an imposed K<sup>+</sup> diffusion membrane potential, over 5 min. Data were fitted to the Hill equation. All data are presented as mean  $\pm$  SD from three independent experiments.

SaSiaT protein was negligible with respect to reconstituted SaSiaT proteoliposomes.

In optimal proteoliposome transport conditions with an imposed membrane potential,  $[^3H]{\rm Neu5Ac}$  is transported by SaSiaT with a  $K_{\rm M}$  of 42  $\pm$  9  $\mu{\rm M}$  and a  $V_{\rm max}$  of 110  $\pm$  11 nmol/min/mg (Figure 5B). This is lower (a higher binding affinity) than the measurements made using microscale thermophoresis and isothermal titration calorimetry, which may reflect the altered solubilization of the transporter in lipid, as opposed to detergent. The  $K_{\rm M}$  for  $[^3H]{\rm Neu5Ac}$  transport by SaSiaT is almost twice that of PmSiaT (Wahlgren et al., 2018). Consistent with the binding experiments, the molecular basis of this difference is likely that SaSiaT has a lower affinity for Neu5Ac compared to PmSiaT.

The kinetics of Na<sup>+</sup> transport by SaSiaT was measured in proteoliposomes, giving a  $K_{\rm M}$  of  $4.9\pm0.5$  mM (**Figure 5C**). As demonstrated by the cooperativity index calculated from the Hill plot, the transport stoichiometry is more than one for Na<sup>+</sup>. This is in the same order calculated for PmSiaT, which transport two Na<sup>+</sup> for every Neu5Ac (Wahlgren et al., 2018).

To conclude, proteoliposome experiments demonstrate that the recombinant SaSiaT is functional and able to transport Neu5Ac, that an electrogenic gradient drives transport, that the affinity for Neu5Ac is less than for PmSiaT, and that two Na<sup>+</sup> ions are transported for every sialic acid.

### CONCLUSIONS

Overall, we demonstrate that SaSiaT is a functional sialic acid transporter, with a considerably higher binding affinity for Neu5Gc over Neu5Ac. Compared to PmSiaT, in which Neu5Gc and Neu5Ac have similar binding affinities (Wahlgren et al., 2018), SaSiaT has altered substrate specificity. We propose that three residues unique to the SaSiaT substrate-binding site (Tyr79, Asn83, and Asn244) achieve a higher affinity to Neu5Gc. Like SubAB, the porcine rotavirus, and HPyV9, which also have a

preference for Neu5Gc over Neu5Ac (Byres et al., 2008; Yu et al., 2012; Khan et al., 2014), specific hydrogen bonds with the C11 hydroxyl of Neu5Gc, and a hydrophilic environment in the vicinity of the Neu5Gc glycolyl chain, afford this specificity (Khan et al., 2014).

Although humans cannot synthesize Neu5Gc, they can acquire it from red meat and milk in the diet (Varki, 2010; Varki et al., 2011). Consequently, metabolic incorporation of Neu5Gc has been identified in the human gut epithelium and kidney vasculature (Tangvoranuntakul et al., 2003; Byres et al., 2008; Banda et al., 2012). Since some bacteria and viruses can discriminate between sialic acid variants (Byres et al., 2008; Yu et al., 2012; Khan et al., 2014; Stencel-Baerenwald et al., 2014), this could, in turn, influence their host or tissue range. It is possible that the ability of SaSiaT to bind Neu5Gc with higher affinity (compared to Neu5Ac) confers an advantage to S. aureus in specific niches.

Because a functional sialic acid transporter is essential for the uptake and utilization of sialic acid in a range of pathogenic bacteria, these transporters present a new avenue for drug design. The work presented here underpins the development of inhibitors that target SiaT transporters, and in particular, *S. aureus*.

### **AUTHOR CONTRIBUTIONS**

RF and RD conceived the project. Cloning and expression trials of SaSiaT were carried out by ED and SR. Large-scale expression, membrane preparation, and protein purification were carried out by ED, WW, DR, and RN. Experiments for whole-cell functional analysis were designed by EC, ED, RN, and DR, and carried out by RN and DR. DR analyzed the results. Analytical ultracentrifugation experiments were performed and analyzed by SK. Proteoliposome assays were designed by MS, WW, RD, and CI. MS conducted and analyzed these experiments. Microscale thermophoresis experiments were carried out and analyzed by

RN, TS, and MP. Isothermal titration calorimetry was performed and analyzed by WW. RN, WW, and JA carried out homology modeling and analysis of *Sa*SiaT. RN, WW, DR, MS, SK, CI, JA, RF, and RD wrote the manuscript, while all authors discussed the results and made manuscript revisions.

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### SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fchem. 2018.00233/full#supplementary-material

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## **Aquaglyceroporins: Drug Targets for Metabolic Diseases?**

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Aquaporins (AQPs) are a family of transmembrane channel proteins facilitating the transport of water, small solutes, and gasses across biological membranes. AQPs are expressed in all tissues and ensure multiple roles under normal and pathophysiological conditions. Aquaglyceroporins are a subfamily of AQPs permeable to glycerol in addition to water and participate thereby to energy metabolism. This review focalizes on the present knowledge of the expression, regulation and physiological roles of AQPs in adipose tissue, liver and endocrine pancreas, that are involved in energy metabolism. In addition, the review aims at summarizing the involvement of AQPs in metabolic disorders, such as obesity, diabetes and liver diseases. Finally, challenges and recent advances related to pharmacological modulation of AQPs expression and function to control and treat metabolic diseases are discussed.

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### **INTRODUCTION**

Mammalian aquaporins (AQPs) are a family of 13 integral membrane channel proteins termed AQP0-AQP12, facilitating the transport of water, small solutes, and gasses across biological membranes (Agre, 2004; Verkman, 2005). Comparatively, transport properties among the different AQPs are quite heterogeneous, reason why their exhaustive classification represents a difficult task. Mammalian AQPs are roughly subdivided in three subfamilies: (1) the classical AQPs, permeable to water (AQP0, AQP1, AQP2, AQP4, AQP5, AQP6, and AQP8) (Agre, 2004; Verkman, 2005); (2) the aquaglyceroporins, permeable to small solutes including urea and glycerol, in addition to water (AQP3, AQP7, AQP9, and AQP10) (Agre, 2004; Verkman, 2005; Rojek et al., 2008); and (3) unorthodox AQPs with suggested permeability to water (AQP11, AQP12) and glycerol (AQP11) (Ishibashi et al., 2014). Some AQPs are also permeable to H<sub>2</sub>O<sub>2</sub> (AQP1, AQP3, AQP5, AQP8, and AQP9) and/or ammonia (AQP1, AQP3, AQP4, AQP6, AQP7, AQP8, and AQP9) (Jahn et al., 2004; Geyer et al., 2013), and for these biophysical properties they are also called peroxiporins (Almasalmeh et al., 2014) and ammoniaporins (or aquaammoniaporins) (Holm et al., 2005; Saparov et al., 2007; Almasalmeh et al., 2014), respectively. Among aquaglyceroporins, AQP9 exhibit broad selectivity toward several neutral solutes including urea, carbamides, nucleosides, monocarboxylates, pyrimidines, purines and metalloid arsenic in addition to glycerol and other polyols (Tsukaguchi et al., 1998; Liu et al., 2002).

AQPs also allow movement of gasses such as CO<sub>2</sub> (AQP1, AQP4, and AQP5), NO (AQP1, AQP4) or O<sub>2</sub> (AQP1, AQP4) (Herrera et al., 2006; Saparov et al., 2007; Wang et al., 2007; Boron, 2010).

The expression of aquaglyceroporins has been documented in many body locations including adipose tissue, liver, and pancreas where they are reported to play important metabolic functions (Rojek et al., 2008). This review aims to present the current knowledge on the role of aquaglyceroporins in adipose tissue, liver and endocrine pancreas, and their possible use as drug targets for metabolic diseases.

### ROLE OF AQUAGLYCEROPORINS IN ADIPOSE TISSUE

### **Physiological Conditions**

Considering the role of aquaglyceroporins in adipose tissue has been subjected to extensive reviews (see Frühbeck et al., 2006; Maeda et al., 2009; Madeira et al., 2015; Laforenza et al., 2016; da Silva and Soveral, 2017), a brief summary is given here after on this topic. The first adipose aquaglyceroporin that has been identified in human, mouse and rat is AQP7 (Ishibashi et al., 1997, 1998; Kishida et al., 2001; Kondo et al., 2002). Differentiation of mice 3T3-L1 cells into adipocytes leads to an increased expression in Agp7 mRNA (Arsenijevic et al., 2013; Miyauchi et al., 2015; Chiadak et al., 2016) and AQP7 protein (Chiadak et al., 2017). Differentiation of human preadipocytes into adipocytes also leads to an increased expression in both Aqp7 mRNA and protein (Miranda et al., 2010). While studies reported AQP7 expression exclusively in endothelial cells surrounding adipocytes (Skowronski et al., 2007; Lebeck et al., 2012b), other studies reported AQP7 expression in both endothelial cells and adipocytes (Laforenza et al., 2013; Miyauchi et al., 2015). Subsequently, other aquaglyceroporins have been detected in human adipocytes: AQP3 (Rodríguez et al., 2011), AQP9 (Rodríguez et al., 2011), and AQP10 (Laforenza et al., 2013). However, the presence of AQP3, AQP9, and AQP10 in human adipose tissue was not supported by all studies as Miranda et al. (2010) only detected AQP7 and Lindskog et al. (2016) failed to detect AQP9. In mouse 3T3L-1 cells differentiated into adipocytes, the presence of AQP3, AQP7, and AQP9 has also been found (Chiadak et al., 2016, 2017). In mouse 3T3-L1 cells differentiated into adipocytes, no modification in Aqp3 and Aqp9 mRNA levels were detected (Chiadak et al., 2016), while both AQP3 and AQP9 protein levels were increased (Chiadak et al., 2017). On the other hand, some studies did not show the presence of AQP3 and AQP9 in mouse adipose tissue (Kishida et al., 2000; Maeda et al., 2004) or 3T3-L1 cells differentiated into adipocytes (Kishida et al., 2000). In addition, AQP11, an unorthodox AQP, has recently been shown to be permeable to glycerol and present in human and mouse adipocytes (Madeira et al., 2014b; Chiadak et al., 2016). While AQP10 is expressed in human, it is a nonfunctional pseudogene in mice (Morinaga et al., 2002). In human adipocytes, AQP10, localized in the cytoplasm and the lipid droplets, undergoes translocation to the plasma membrane upon isoproterenol stimulation (inducing lipolysis), suggesting AQP10 could be involved in glycerol exit during lipolysis (Laforenza et al., 2013). In rat adipocytes, AQP3 expression has been detected while, to our knowledge, expression of AQP9 and AQP10 expression have not yet been reported (Méndez-Giménez et al., 2014)

In adipocytes, aquaglyceroporins display different subcellular localizations and are subjected to trafficking in response to hormonal stimulation, including insulin and cAMP-inducing hormones (Rodríguez et al., 2011, 2015a; Miyauchi et al., 2015; Hansen et al., 2017). Aquaglyceroporins present in adipose tissue are transcriptionally regulated by hormones (such as insulin, catecholamines, and steroids) and inflammatory mediators (such as TNFα, and lipopolyssacharides) (Kishida et al., 2001; Kondo et al., 2002; Fasshauer et al., 2003; Rodríguez et al., 2011; Chiadak et al., 2016). In addition, human Aqp7 and mouse Aqp7 transcriptional activity is upregulated by peroxisome proliferator-activated receptor gamma (PPARy) (Kishida et al., 2001; Lindgren et al., 2002). Finally, a very recent study showed that Aqp7 transcription is also controlled by the transcription factor Küppel-like factor 15 (KLF-15), known be involved in insulin-triggered lipogenesis (Kulyté et al., 2017).

From a metabolic point of view, adipose tissue is a major source of triacylglycerols (TAG) storage during feeding, as well as a major source of plasma glycerol during fasting (Reshef et al., 2003; Arner, 2005). Indeed, both TAG synthesis and storage are promoted during feeding, while TAG degradation occurring during lipolysis upon TAG lipase activation is promoted during fasting.

Experiments using differentiated adipocytes (Kishida et al., 2000) and Aqp7 knockout mice (Maeda et al., 2004; Hara-Chikuma et al., 2005; Hibuse et al., 2005) evidenced the role of AQP7 in glycerol release from adipocytes. AQP3 and AQP9 were also proposed to play a role in glycerol release during lipolysis or in glycerol intake during lipogenesis, respectively (Rodríguez et al., 2011). Following isolation from omental adipose tissue, human stromovascular fraction cells expressed approximately a 12-fold higher AQP3 mRNA level as compared to adipocytes, suggesting that AQP3 is predominantly expressed by other cell types (e.g., preadipocytes, macrophages, fibroblasts, erythrocytes, neutrophils, and leukocytes) than in adipocytes from adipose tissue (Rodríguez et al., 2011). The involvement of AQP9 in adipocyte glycerol uptake has been suggested by several data. Firstly, the expression of AQP9, localized at the plasma membrane from mouse 3T3-L1 cells differentiated into adipocytes, and increased in response to insulin (Rodríguez et al., 2011). Secondly, in human omental adipocytes, the expression of AQP9, but also of AQP3 and AQP7, is increased by insulin, while the expression of AQP9, and also of AQP7, is decreased by leptin though the phosphatidylinositol 3-kinase (PI3K)/protein kinase B (Akt)/mammalian target of rapamycin (mTor) pathway (Rodríguez et al., 2011). However, during lipogenesis, glycerol uptake is likely limited due to the low activity of the adipose glycerol kinase (GK).

In mouse 3T3-L1 cells differentiated into adipocytes, hormones activating the cAMP pathway induced the translocation of AQP3 and AQP7, localized within intracellular vesicles, to the plasma membrane, while the plasma membrane localization of AQP9 was unchanged (Rodríguez et al., 2011). Insulin induced an increased intracellular localization of AQP3 and AQP7 and an increased plasma membrane localization of

AQP9 in 3T3-L1 cells differentiated into adipocytes (Rodríguez et al., 2011) (as stated above). Using selective AQPs inhibitors, it was possible to estimate biophysically the relative contributions of AQP3, AQP7, and AQP9 to the glycerol permeability (measured as Ki, an index reflecting the membrane glycerol permeability) of plasma membrane vesicles prepared from mouse 3T3-L1 cells differentiated into adipocytes were estimated to 68, 3, and 12%, respectively (Chiadak et al., 2017). The relative contributions of AQP3, AQP7, and AQP9 to the membrane glycerol permeability were estimated using the following formulas; [(Ki in the absence of inhibitor - Ki in the presence]of  $CuSO_4$ )/(Ki in the absence of inhibitor)]; [(Ki in the presence of HgCl  $_2 - Ki$  in the presence of phloretin)/(Ki in the absence of inhibitor)]; [Ki measured in the presence of  $CuSO_4 - Ki$  in the presence of HgCl<sub>2</sub>)/(Ki in the absence of inhibitor)]. In 3T3-L1 cells differentiated into adipocytes, the low (3%) relative contribution of AQP7 to glycerol permeability (Chiadak et al., 2017) might be underestimated due to possible incomplete effects of the inhibitors, while the important (45%) reduction in glycerol release in the cell media following AQP7 knockdown using RNAi might be overestimated due to possible off target effects of RNAi (Hibuse et al., 2005). Based on these data, an updated model of the role of aquaglyceroporins in the regulation of lipogenesis and lipolysis was recently proposed: whereby mainly AQP3 and AQP7 would play a role in glycerol release during lipolysis, while mainly AQP9 would play a role in limited glycerol uptake that may occur during lipogenesis (Chiadak et al., 2017). Understanding the role of each aquaglyceroporin in adipose tissue remains to be fully elucidated using mice made deficient, in a tissue-specific manner, for one or multiple aquaglyceroporin

**Figure 1** summarizes the roles played by aquaglyceroporins in adipose tissue under fasted and fed states in mouse.

### **Metabolic Diseases**

In adipose tissue, the role of aquaglyceroporins in metabolic diseases, and more especially in obesity and metabolic syndrome, has extensively been reviewed (Frühbeck et al., 2006; Maeda et al., 2009; Lebeck, 2014; Madeira et al., 2015; Laforenza et al., 2016; da Silva and Soveral, 2017). Therefore, only a brief overview will be presented on this topic.

Depending on their genetic background, *Aqp7* knockout mice were shown to either develop or not develop obesity (Hara-Chikuma et al., 2005; Hibuse et al., 2005; Matsumura et al., 2007). The site of AQP7 expression, exclusively in endothelial cells (Skowronski et al., 2007; Lebeck et al., 2012b) or in endothelial cells and adipocytes (Laforenza et al., 2013; Miyauchi et al., 2015), could account for the susceptibility of mice to develop obesity (Skowronski et al., 2016). In n3-polyunsaturated fatty acids (PUFA) depleted rats, an animal model of metabolic syndrome, a decrease in AQP7 protein levels was observed without any modification in *Aqp7* mRNA levels [possibly due to the lack of PPARγ activation concomitant to PUFA depletion, as PPARγ normally activates the transcription of AQP7 (Kishida et al., 2001)] and glycerol transport (Portois et al., 2012b).

As human AQP7 gene is localized in a chromosomal region with reported linkage to type 2 diabetes (T2D) (Luo et al., 2001;

Lindgren et al., 2002) and the metabolic syndrome (Loos et al., 2003), AQP7 expression has been particularly studied in patients suffering from obesity, T2D and metabolic syndrome. AQP7 gene missense and silent mutations were not correlated with obesity and T2D (Kondo et al., 2002). In adipose tissue, deregulation of AQP7 expression has been documented in obese subjects, with studies presenting opposing results reporting either a decrease or increase in AQP7 expression (Marrades et al., 2006; Ceperuelo-Mallafré et al., 2007; Prudente et al., 2007; Catalán et al., 2008; Miranda et al., 2009; Rodríguez et al., 2011; de Luis et al., 2017). These apparent contradictory data might be related to the clinical characteristics of the obese phenotype of the obese subjects, as well as their gender (Rodríguez et al., 2015b). Very recently studies have shown that insulin resistance of adipose tissue could be related to lower KLF-15 expression that could reduce AQP7 expression (Kulyté et al., 2017). On the other hand, lipopolysaccharide, known to play an important role in obesity (Boutagy et al., 2016), decreased glycerol permeability and increased TAG content in 3T3-L1 adipocytes, without modification of AQP7 protein expression (Chiadak et al., 2017). Species-related expression and proposed physiological and pathophysiological roles of adipose tissue aquaglyceroporins are summarized in Table 1.

### ROLE OF AQUAGLYCEROPORINS IN LIVER

### **Physiological Conditions**

Roles of Aquaglyceroporins in Liver Glycerol Metabolism and Metabolic Homeostasis

Glycerol is an intermediate metabolite of physiological relevance in energy metabolism. Indeed, glycerol is a direct source of glycerol-3-phosphate (G3P), an important substrate for hepatic gluconeogenesis during fasting and for TAG synthesis (Brisson et al., 2001; Reshef et al., 2003). Glycerol can derive from G3P (Mugabo et al., 2016), produced by glucose use through glycolysis, or the conversion of pyruvate, lactate and alanine through gluconeogenesis. Liver plays a central role in glycerol metabolism, due to its major (70–90%) contribution to the wholebody glycerol metabolism (Reshef et al., 2003). To different extents and with different cellular distributions, liver expresses all four aquaglyceroporins, with AQP9 being by far the most expressed.

In rodents and human, AQP9 is expressed at the sinusoidal domain of the hepatocyte plasma membrane, facing the space of Disse (Elkjaer et al., 2000; Carbrey et al., 2003; Lindskog et al., 2016). In human, AQP9 expression is higher in hepatocytes, as compared to the other few tissues in which it is found (Lindskog et al., 2016). In both rodents and human, AQP9 represents the major pathway for glycerol import from portal blood to hepatocytes (Jelen et al., 2011; Calamita et al., 2012). The membrane permeation represents the rate limiting step in glycerol use by the liver (Li and Lin, 1983). Once into the hepatocyte, glycerol is promptly converted into G3P by GK. In short term fasting, G3P is particularly important as

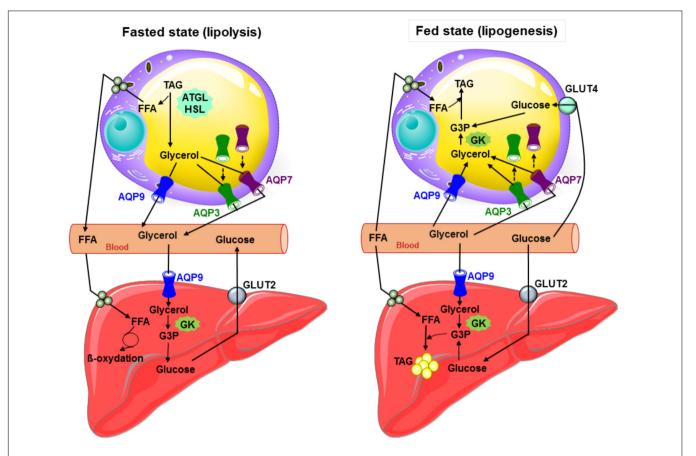


FIGURE 1 | Glycerol metabolism: working model of the interplay between the liver and adipose tissue in fed and starved states in mouse. During fasted state, glucagon secretion is induced, TAG are hydrolyzed to glycerol and free fatty acids in adipocytes, and both AQP7 and AQP3 traffic to the plasma membrane and participate with AQP9 to glycerol efflux. AQP9 allows plasma glycerol entry in hepatocytes. Glycerol is then converted to glycerol-3-phosphate by glycerol kinase, and thereby participates to gluconeogenesis. During fed state inducing insulin secretion, glucose metabolites, glycerol-3-phosphate (obtained from glycerol by glycerol kinase enzymatic activity) and free fatty acids are used to produce TAG in both liver and adipose tissue. In response to insulin in adipocytes, AQP3 and AQP7 traffic from the plasma membrane to intracellular compartments. Glycerol most likely mainly enters adipocytes via AQP9. During lipogenesis, adipose glycerol uptake is likely low due to the weak activity of the glycerol kinase. In hepatocytes, glycerol likely enters via AQP7 and AQP9. ATGL, adipose triglyceride lipase; GK, glycerol kinase; Glut2, glucose transporter 2; Glut4, glucose transporter 4; G3P, glycerol-3-phosphate; FFA, free fatty acids; HSL, hormone sensitive lipase; TAG, triacylglycerols; broken arrows: trafficking.

TABLE 1 | Reported species-related expression and suggested physiological and pathophysiological relevance of adipose tissue aquaglyceroporins.

Aqua-glyceroporin	Cell type	Suggested physiological role	Suggested pathophysiological involvement	
AQP3	Adipocytes (h, m, r)	TAG synthesis; uptake of glycerol (low contribution)		
		Lipolysis; release of glycerol		
AQP7	Adipocytes (h, m, r)	TAG synthesis; uptake of glycerol (low contribution)	Obesity; Insulin resistance; metabolic syndrome	
		Lipolysis; release of glycerol		
	Endothelial cells (h, m)	Unknown		
AQP9	Adipocytes (h, m)	TAG synthesis; uptake of glycerol (low contribution)		
		Lipolysis; release of glycerol		
AQP10	Adipocytes (h)	Unknown		

h, human; m, mouse; r, rat.

a substrate for *de novo* synthesis of glucose (gluconeogenesis) (Brisson et al., 2001; Jelen et al., 2011; Calamita et al., 2012; Calamita et al., 2015; Bernardino et al., 2016). In rodents, liver *Aqp9* is transcriptionally downregulated by insulin (Kuriyama et al., 2002), explaining why streptozotocin-induced type 1

diabetes in rodents (Carbrey et al., 2003) and insulin resistance in human (Rodríguez et al., 2014) are associated with an increase in the hepatic levels of AQP9. Consistently, ablation of *Aqp9* in obese diabetic leptin receptor-deficient *db/db* mice decreases plasma glucose levels by 10–40% (Rojek et al., 2007).

A model for the hepatic glucose metabolism based on Hill and step functions was recently devised as a way to integrate the hepatic entry and handling of glycerol in the glucose-insulin axis taking into account the (i) refilling/depletion of glycogen stores during the feeding or fasted state, (ii) the influence of plasma glycerol and (iii) the liver glycerol permeability to hepatic AQP9 protein levels (D'Abbicco et al., 2016). To follow, a system of first-order ordinary differential equations was devised delineating the dynamical involvement of AQP9 in mouse liver glycerol permeability (Gena et al., 2017). Thus, assuming the liver glycerol permeability depends on the levels of AQP9 in hepatocyte, a mathematical function was generated describing the time course with which AQP9 is involved in mouse hepatic glycerol metabolism under different nutritional conditions. The theoretical relationship was derived fitting experimental data obtained with mice in the fed, starved or re-fed states. Such a model, appropriately adapted to the human liver, has good potentials to be used as a whole body-model for glucose metabolism in normal and metabolic disorders.

Liver AQP9 has also considerable relevance to lipid metabolism as G3P also represents a key substrate for TAG synthesis (Rodríguez et al., 2011; Lebeck, 2014). Coordinately,  $\overrightarrow{AQP9}$ -depleted  $(\overrightarrow{Aqp9}^{-/-})$  mice display reduced liver glycerol permeability and higher levels of plasma glycerol and TAG compared to wild type (WT) (Aqp9+/+) mice (Rojek et al., 2007; Calamita et al., 2012). Liver AQP9 is also controlled transcriptionally by leptin (Rodríguez et al., 2011, 2015c), however, surprisingly, the regulations exerted by both insulin and leptin on AQP9 appear to be distinct when comparing rodents with humans (Lebeck, 2014; Calamita et al., 2015). In HepG2 cells, a human hepatocellular carcinoma cell line, insulin increased while leptin decreased AQP9 expression through the activation of the phosphatidylinositol 3-kinase/protein kinase B/mammalian target of rapamycin (PI3K/Akt/mTOR) signaling cascade (Rodríguez et al., 2011) and AMP protein kinase (AMPK), via forkhead box a2 (Fox a2) (Yokoyama et al., 2011). However, the regulation exerted by both insulin and leptin on human AQP9 expression remains an open question considering that a recent work did not detect AQP9 expression in HepG2 cells (Lindskog et al., 2016). Additional work is therefore needed to fully assess the way by which insulin and leptin modulate AQP9 expression in human liver.

In rat, the fasting-induced increase of liver AQP9 expression resulted a 2.6 times higher level in males than females (Lebeck et al., 2012a). Consistently, during fasting, male rats displayed unmodified plasma glycerol levels, whereas female rats displayed increased plasma glycerol levels. This was paralleled by the higher liver glycerol permeability in males than in females. Ovariectomy led to a fasted-induced profile comparable to that observed in male rats with increased liver AQP9 expression and unmodified plasma glycerol levels. These observations, together with the data acquired with the studies using cultured hepatocytes exposed to  $17\beta$ -estradiol and an estrogen receptor  $\beta$ -agonist, suggest that the gender specific regulation of AQP9 during fasting contributes to the higher levels of plasma glycerol found in female rats than in male rats (Lebeck et al., 2012a). Decreased AQP9 protein levels were found in periportal hepatocytes of male rats in response

to the peroxisome proliferator-activated receptor  $\alpha$  (PPAR $\alpha$ ) (Lebeck et al., 2015). Obese women showed lower liver glycerol permeability compared to obese men whereas the hepatic levels of AQP9 between the two genders were comparable (Rodríguez et al., 2014). This significant observation may help explain why insulin resistance and Non-Alcoholic Fatty Liver Disease (NAFLD) have lower incidence in women than men. Genderspecific differences have been found as well for AQP3 and AQP7, two other aquaglyceroporins, with metabolic relevance in the adipose tissue (for review see Rodríguez et al., 2015b).

Probably due to the broad selectively of its channel, hepatocyte AQP9 seems to be of pleiotropic relevance, as roles in bile formation (Calamita et al., 2008; Portincasa and Calamita, 2012) and hepatic extrusion of catabolic urea (Jelen et al., 2012) have been reported. AQP9 has also been described to allow excretion of arsenic from the liver (Carbrey et al., 2009). AQP9 immunoreactivity has been also detected in the cholangiocytes lining the intrahepatic bile ducts (Gregoire et al., 2015), however, the related physiological significance remains undefined.

In addition to AQP9, but to a lesser extent and undermined by conflicting results, human hepatocytes have been also reported to express the other three aquaglyceroporins, AQP3, AQP7, and AQP10 (Rodríguez et al., 2014; Gregoire et al., 2015). Women showed increased hepatic transcript levels of AQP3 compared to men, but no gender dimorphism in the liver gene expression of AQP7 and AQP10 was observed (Rodríguez et al., 2014). Leptin upregulated AQP3 and downregulated AQP7 in the HepG2 hepatocytes (Rodríguez et al., 2011). However, in spite of these observations, the physiological meaning of hepatocyte AQP3, AQP7, and AQP10 in humans, if any, remains elusive. Human hepatic stellate cells (HSC) express AQP3 whose levels were reported to decrease during cell activation (Tardelli et al., 2017a,b). AQP3 is also found in human Kupffer cells (Gregoire et al., 2015) where it may play a role in cell repopulation during liver regeneration and be involved in the migration and proinflammatory secretion of cytokines that these cells undergo in the course of liver pathologies. Overall, the cellular and subcellular localization of AQP3, AQP7, and AQP10 in liver does not seem to overlap with that of AQP9, and additional studies are warranted to fully clarify their roles in liver. AQP9 (Tietz et al., 2005), in addition to AQP1 (Marrone et al., 2016), and AQP8 (Tietz et al., 2005; Marrone et al., 2016), has been located in rat hepatocyte membrane microdomains enriched in high-cholesterol, sphingomyelin and caveolin-1. Upon glucagon stimulation, the presence of AQP8, but not of AQP9, was increased in these membrane microdomains (Tietz et al., 2005).

The reported localization and suggested physiological relevance of liver aquaglyceroporins are described in **Table 2**. **Figure 1** summarizes the roles played by AQP9 in liver under fasted and fed states in mouse.

### Liver Aquaglyceroporins in Disease

Consistent with their role in facilitating glycerol transport into and out of cells and the related control of fat accumulation and glucose homeostasis, hepatic aquaglyceroporins, in particular AQP9, are reported to be implicated in metabolic disorders affecting the liver (Table 2). The

TABLE 2 | Reported localization and proposed physiological and pathophysiological relevance of liver aquaglyceroporins.

Aquaglyceroporins	Cell type	Subcellular location	Suggested physiological role	Suggested pathophysiological involvement
AQP3	Kupffer cells (h)	Undefined	Repopulation of Kupffer cells in liver regeneration	Kupffer cell migration and proinflammatory cytokine secretion
	Hepatocytes (h)	Undefined	Uptake of glycerol?	Insulin resistance?
	Stellate cells (h)	Undefined	Cell activation	Hepatic steatosis (I148M variant)
AQP7	Hepatocytes (h, m)	Cyt; others?	TAG synthesis; uptake of glycerol?	Insulin resistance
	Cholangiocytes (h)	APM	Unknown	
	Endothelial cells (h)	APM	Unknown	
AQP9	Hepatocytes (h, m, r)	BLPM	Uptake of portal glycerol (gluconeogenesis; TAG synthesis); import of water from portal blood (bile formation); urea extrusion; arsenic detoxification; liver regeneration	NAFLD; NASH; obesity; T2D; hepatocellular carcinoma
	Cholangiocytes (h)	Undefined	Unknown	
AQP10	Hepatocytes (h)	Undefined	Exit of glycerol during lipolysis	

APM, apical plasma membrane; BLPM, basolateral plasma membrane; h, human; m, mouse; NAFLD, non-alcoholic fatty liver disease; NASH, non-alcoholic steatohepatitis; r, rat; TAG, triacy/glycerols; T2D, type 2 diabetes mellitus.

roles in metabolic diseases played by the hepatic AQPs belonging to the classic and unorthodox groups of AQPs have been reviewed elsewhere (Portincasa et al., 2008).

### Liver Aquaglyceroporins in Fatty Liver Disease, Obesity, Diabetes Mellitus and Hepatocellular Carcinoma

The pathology of NAFLD is multifactorial and characterized by ectopic accumulation of TAG in the liver ranging from simple fatty liver (hepatic steatosis) to NASH and to cirrhosis (irreversible, advanced scarring of the liver) (Chalasani et al., 2012). NAFLD is often associated with the metabolic syndrome often characterized by obesity and diabetes mellitus with insulin resistance. NAFLD and NASH are the object of intensive investigation, especially regarding the pathogenic pathways leading to excessive TAG accumulation within the liver parenchyma (Tiniakos et al., 2010). AQP9 is hypothesized to be involved in the hepatic synthesis of TAG in NAFLD as indicated by (i) the amelioration of high fat diet-induced NAFLD in rats with knockdown of hepatic AQP9 (Cai et al., 2013), (ii) the increase in hepatocyte AQP9 expression accompanying the development of fatty liver in diet-induced obese (DIO) mice (Hirako et al., 2016), (iii) the impairment of hepatocyte AQP9 and glycerol permeability found in an animal model of NAFLD [leptin-deficient mice (ob/ob mice)] (Gena et al., 2013) and in patients with obesity, insulinresistance and NAFLD (Rodríguez et al., 2014). However, some data do not support this hypothesis as db/db mice made AQP9 knockdown had similar TAG content as db/db mice (Spegel et al., 2015), and as unmodified AQP9 expression was found in obese ob/ob mice (Rodríguez et al., 2015c). The downregulation of AQP9 during obesity and/or diabetes could

represent a compensatory mechanism selected at decreasing substrate availability for de novo TAG synthesis, thereby counteracting the ectopic accumulation of TAG into hepatocytes, and reducing gluconeogenesis, thereby thwarting the progression of hyperglycemia (Gena et al., 2013; Rodríguez et al., 2014, 2015b). However, in patients with morbid obesity, no relationship could be found between AQP9 expression and the degree of hepatic steatosis or fibrosis (Miranda et al., 2009). N3-PUFA (ω3 polyunsaturated fatty acids)-depleted female rats (an animal model of metabolic syndrome displaying various features of the disease including the hepatic over-accumulation of TAG) showed reduced AQP9 protein levels and increased hepatic glycerol uptake as compared to control animals (Portois et al., 2012a). It is therefore conceivable that AQP9 increases early during onset of steatosis while decreases at a later stage of the disease, when fat accumulation becomes excessive. Thus, the involvement of AQP9 in fatty liver should be contextualized within the origin of the disease, pathogenic profile and sex of the investigated animals and subjects. Further studies are therefore required to fully assess the etiopathological involvement and modulation of AQP9 in NAFLD-NASH. Nevertheless, the pharmacological interest toward liver AQP9 is strong as this aquaglyceroporin has good potentials to be a novel molecular target for therapeutic intervention in NAFLD and NASH. While selective small molecule AQP9 inhibitors with low micromolar IC50 values have already been reported and work is ongoing to increase the aqueous solubility of such blockers (Jelen et al., 2011; Wacker et al., 2013), preliminary studies using NAFLD cell models showed that silencing of AQP9 prevents or alleviates the degree of steatosis (Wang et al., 2013).

AQP9 seems to be of minor pathophysiological relevance in the fatty liver disease of alcoholic origin. A rapid increase

in glycerol import and cell size was seen when rat primary hepatocytes were acutely exposed to acetaldehyde, an oxidation product derived from ethanol by the action of the hepatic enzyme alcohol dehydrogenase (Potter et al., 2011). The acute effects of acetaldehyde, while mediated by AQP9, were interpreted as mostly due to the binding of acetaldehyde to hepatocyte membranes and consequent changes in cell permeability. Exposure to ethanol, in spite of not changing AQP9 expression, increased the activity of GK and PEPCK (phosphoenolpyruvate carboxykinase), leading to increased production of G3P, which thereby could contribute to alcoholic hepatic steatosis (Potter et al., 2011).

Contrary to liver steatosis, a recent work using subcutaneously xenografted liver tumors in nude mice showed that hepatic AQP9 overexpression inhibit hepatocellular carcinoma by upregulating forkhead-box protein O1 (FOXO1) expression (Li et al., 2016). Decreased AQP9 expression was shown in hepatocellular carcinoma (Zhang et al., 2016). Novel strategies based on clinically feasible approaches may be developed to restore AQP9 expression for the prevention and treatment of hepatocellular carcinoma.

A recent study showed that treatment with estrogen protects against ovariectomy-induced hepatic steatosis by increasing hepatocyte AQP7 expression (Fu et al., 2016). Liver AQP7 was therefore suggested to play an important role in lipogenesis representing a potential target for the prevention and treatment of fatty liver disease in postmenopausal women.

Human HSC AQP3 was suggested to interact with the PNPLA3 I148M variant in causing hepatic steatosis, NASH, fibrosis and cancer (Tardelli et al., 2017a). A profound reduction of AQP3 in HSC carrying the PNPLA3 I148M polymorphism correlated with decreased PPARγ activation, which could be rescued by rosiglitazone, a PPARγ agonist, and blocking of JNK. This finding triggers the appealing idea that targeting AQP3 within HSCs activation may lead to the development of new treatments for liver fibrosis in PNPLA3 I148M patients.

### ROLE OF AQUAGLYCEROPORINS IN ENDOCRINE PANCREAS

### **Physiological Conditions**

Endocrine pancreas accounts for 10% of total pancreas and is made of islets of Langerhans dispersed within the pancreatic tissue. Islets of Langerhans are constituted of five types of cells: (1)  $\beta$ -cells producing insulin; (2)  $\alpha$ -cells producing glucagon; (3)  $\delta$ -cells producing somatostatin; (4)  $\gamma$ -cells producing pancreatic polypeptide; and (5)  $\epsilon$ -cells producing ghrelin (Langerhans, 1869; Andralojc et al., 2009; Jain and Lammert, 2009). Endocrine pancreas participates to the control of fuel metabolism by secreting insulin in post-prandial state, and glucagon in pre-prandial state.

To the best of our knowledge, the expression of aquaglyceroporins in human endocrine pancreas has not yet been documented. However, AQP7 is expressed in rat and

mouse β-cells (Matsumura et al., 2007; Best et al., 2009; Louchami et al., 2012). While Aqp3 and Aqp9 mRNA were undetectable in mouse β-cells (Matsumura et al., 2007), this was also the case in rats with the exception of Aqp9 mRNA that was detectable in 10% of the studied samples (Méndez-Giménez et al., 2017). Additional studies are necessary to clearly assess the presence of other aquaglyceroporins besides AQP7 in β-cells.

In  $\beta$ -cells, AQP7 has been shown to play a role in intracellular glycerol content and both insulin production and secretion (**Table 3**). As compared to WT mice, Aqp7 knockout mice display a reduction in  $\beta$ -cells number, size and insulin content (Matsumura et al., 2007). In addition the Aqp7 knockout mice presented increased intraislet concentration of glycerol and TAG, as well as increased GK activity, but abolished forskolin-induced glycerol release (Matsumura et al., 2007).

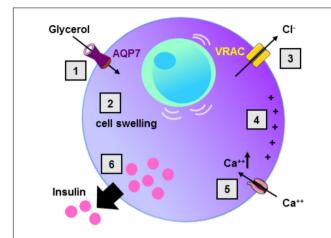
Glycaemia was measured in several *Aqp7* knockout mice models. However, depending on their genetic background, these *Aqp7* deficient mice presented different phenotypes characterized by either normal glycaemia with underdetermined insulinemia (Skowronski et al., 2007), normal glycaemia with hyperinsulinemia (Matsumura et al., 2007), or hyperglycaemia and hyperinsulinemia (Hibuse et al., 2005). One can hypothesize that the resulting phenotype of *Aqp7* knockout mice results from combined interactions between *Aqp7* and various other gene products; unraveling the *Aqp7* interactome, therefore will be necessary for a better understanding.

In the current model of insulin secretion, the sequential mechanisms accounting for insulin secretion involve: glucose uptake ensured by the glucose transporter type 2 (GLUT2), metabolism of glucose, increase in intracellular ATP concentration, inhibition of ATP-sensitive potassium channels, membrane depolarization, opening of voltage-dependent calcium channels, increase in intracellular calcium concentration, and finally insulin vesicle degranulation (Henquin, 2009) (**Figure 2**). During the glucose-induced insulin secretion, glucose also induces  $\beta$ -cell swelling that may affect cell activity (Miley et al., 1997). Indeed, in response to

**TABLE 3** | Reported species-related expression and suggested physiological and pathophysiological relevance of pancreas aquaglyceroporins.

Aqua- glyceroporin	Cell type	Suggested physiological role	Suggested pathophysiological involvement
AQP3	Absent in β-cells (m, r)		
AQP7	β-cells (m, r)	Insulin secretion	Obesity
		TAG synthesis; uptake of glycerol	
		Lipolysis; release of glycerol	
		Regulation of β-cell	
		size and number	
AQP9	β-cells (r)*		
AQP10	Undefined		

m, mouse; r, rat; \*present in  $\pm$  10% of samples.



**FIGURE 2** | Role of AQP7 in  $\beta$ -cell insulin secretion. Following glycerol entry via AQP7 in  $\beta$ -cell (1),  $\beta$ -cell undergo cell swelling (2) leading to the activation of volume-regulated anion channel (VRAC) with concomitant Cl<sup>-</sup> exit (3) and subsequent cell membrane depolarization (4). Cell membrane depolarization then activates voltage-sensitive calcium channels leading to intracellular calcium increase (5) provoking insulin exocytosis (6).

extracellular hypotonicity, β-cells swelling induces the activation of volume-regulated anion channels (VRAC), leading to subsequent cell depolarization, activation of voltage-dependent calcium channel, increase in intracellular calcium concentration, and finally insulin release (Best et al., 1996; Drews et al., 2010). When  $\beta$ -cells or BRIN-DB 11 cells are exposed to extracellular isosmotic addition of glycerol, cells undergo cell swelling, VRAC activation, plasma membrane depolarization, electrical activity and insulin release (Best et al., 2009; Delporte et al., 2009; Virreira et al., 2011). This activation of  $\beta$ -cell is likely due to both glycerol entry and metabolism (Best et al., 2009). Exposure of β-cells from Aqp7 knockout mice, to either extracellular isosmotic addition of glycerol, extracellular hypotonicity or increased D-glucose concentration causes decreased insulin release as compared β-cells from WT mice (Louchami et al., 2012). The involvement of glycerol and AQP7 in the regulation of insulin secretion is in agreement with previous studies showing that glucose-stimulated insulin secretion correlates with  $\beta$ -cell lipolysis (Yaney and Corkey, 2003; Mulder et al., 2004; Winzell et al., 2006). Therefore, it is hypothesized that AQP7 plays a dual role in the regulation of insulin secretion by participating to glycerol flow (entry and exit), and by directly or indirectly acting at a distal downstream site in the insulin secretion pathway (Louchami et al., 2012) (Table 3).

Based on the results from the literature, following an increase in circulating glycerol levels (in response to the induction of lipolysis in adipocytes), it is hypothesized that local  $\beta$ -cells intracellular glycerol concentration rises and induces activation of  $\beta$ -cells. In addition,  $\beta$ -cells activation, subsequent to intracellular glycerol concentration increase, may occur following a rise in insulin downregulating AQP7 expression (Kishida et al., 2001; Kondo et al., 2002), or a modification of the *Aqp7* gene affecting the expression or the activity of AQP7. Insulin resistance characterized by increase in blood glucose (due to decreased

glucose uptake by tissues) and increase in insulin levels may then also prompt an increase in  $\beta$ -cells intracellular glycerol concentration (Virreira et al., 2011).

### **Metabolic Diseases**

Very little data are available in the literature concerning the expression and function of aquaglyceroporins in the endocrine pancreas in relation to metabolic diseases. One recent study, however, has shown that obese rats pancreas had increased Aqp7 mRNA and protein levels, as compared to lean rats, with Aqp7 transcripts being negatively correlated with total ghrelin levels and glucagon-like peptide 1 (GLP-1) (lower in obese rats) (Méndez-Giménez et al., 2017). In addition, sleeve gastrectomy in obese rats restored the altered AQP7 expression in endocrine pancreas and improved pancreatic  $\beta$ -cell function (Méndez-Giménez et al., 2017). Further studies will be required to determine if AQP7 could become a useful drug-target in  $\beta$ -cells for the treatment of metabolic diseases.

### AQUAGLYCEROPORINS AS DRUG TARGETS FOR METABOLIC DISEASES

A variety of drugs have been identified as capable of modulating either the expression and/or the activity of aquaglyceroporins. The effects as well as the potential therapeutic use of aquaglyceroporin modulators are described with main focus on their relationship to metabolic diseases. More in general, most AQPs trigger strong interest for their pharmacological potentials in medical treatment of pathologies of high epidemiological impact such as the oxidative stress-related diseases (Tamma et al., 2018).

### Gold-Based Compounds and Nucleoside Analogs

Some gold(III)-base compounds have been shown to act as modulators of aquaglyceroporins. The gold(III) compound complex  $[Au(phen)Cl_2]Cl$  (phen = 1,10-phenanthroline; Auphen) has been shown to be a very selective and potent blocker of AQP3 by binding to its selectivity filter domain, and to the side chain of Cys40 located close to the constriction pore (Martins et al., 2012; Serna et al., 2014; de Almeida et al., 2017). Similarly, Hg<sup>2+</sup>, a non-selective AQPs inhibitor, has been shown to inhibit AQP3 glycerol permeability by interacting with Cys40, while inhibiting water flux due to the displacement of Arg128 concomitant to rupture of the hydrogen bond with Phe147 (Spinello et al., 2016). The Auphen complex was also shown to inhibit both mouse and human AQP7 (Madeira et al., 2014a). By homology modeling, molecular docking studies, and non-covalent docking studies, Auphen was shown to block AQP7 by direct binding to Met47, located in the pore entrance facing the cytoplasm (Madeira et al., 2014a). From a cellular point of view, Auphen was shown to inhibit cell proliferation of several cell types, including 3T3-L1 cells (Serna et al., 2014).

Other gold compounds, including [Au(bipy)Cl<sub>2</sub>]<sup>+</sup> (bipy = 2,2'-bipyridine; Aubipy), have been shown to also inhibit AQP3 (for review see Martins et al., 2012, 2013;

Beitz et al., 2015; de Almeida et al., 2017; Graziani et al., 2017; Soveral and Casini, 2017).

AQPs have been shown to contribute to cell migration, metastasis and angiogenesis (Verkman et al., 2008). In this context, via its glycerol-mediated transport, AQP3 is involved in epidermal cell proliferation where Aqp3 knockout mice displayed both impaired epidermal cell proliferation and resistance to skin tumorigenesis (Hara-Chikuma and Verkman, 2008). Interestingly, high AQP3 expression has been associated with other types of cancers (for review, see Marlar et al., 2017), including for example colorectal carcinoma (Moon et al., 2003), pancreatic ductal carcinoma (Direito et al., 2017; Huang X. et al., 2017), hepatocellular carcinoma (Chen et al., 2016), lung cancer (Liu et al., 2007), and gastric adenocarcinoma (Huang et al., 2010; Chen et al., 2017). In addition, AQP3 plays a role in cancer cell invasion and in aggravation of epithelial-tomesenchymal transition (for review, see Marlar et al., 2017). In addition, some nucleoside analogs used in chemotherapy of solid tumors, 5'-deoxy-5-fluorouridine (5'-DFUR) and 2'-deoxy-2',2'-difluorocytidine (gemcitabine), stimulate AQP3 expression and cell cycle arrest (Trigueros-Motos et al., 2012). Glycerol transport, but also hydrogen peroxide transport, could mediate the downstream effect of increased AQP3 expression leading to cell cycle arrest and cytotoxicity (Miller et al., 2010).

The potential beneficial use of AQP3 inhibitors or modulators (decreasing AQP3 expression) remains to be evaluated for cancer treatment. In addition, radiolabeled glycerol could be used as a molecular probe targeting aquaglyceroporins to estimate their expression in different tissues *in vivo*, including tumors (Saito et al., 2013).

In adipose tissue, aquaglyceroporins, and in particular AQP7, represent potential drug target for the treatment of obesity and metabolic syndrome (Frühbeck et al., 2006; Maeda, 2012; Verkman, 2012). As AQP3 expression is increased in mouse 3T3-L1 differentiated into adipocytes (Chiadak et al., 2016, 2017), and in epididymal and subcutaneous adipocytes from high-fat diet rats developing obesity (Méndez-Giménez et al., 2017), and thereby could be involved in adipose tissue hyperplasia during obesity, AQP3 might also become an additional interesting drug target for the treatment of obesity.

### **Metalloids**

Metalloids, that are physiologically harmful to humans, are still being used in the form of arsenic or antimony containing drugs to treat certain diseases and forms of cancers (Mukhopadhyay and Beitz, 2010). *Xenopus laevis* oocytes injected with aquaglyceroporins cRNA revealed that human AQP3, AQP7, and AQP9 conducted the movement of AsIII across cell, while AQP10 did not (Liu et al., 2002). However, in contrast to these observations, human *Aqp10* knockdown in human colorectal cell line Caco-2 resulted in reduced As<sup>III</sup> accumulation, suggesting that AQP10 might facilitate As<sup>III</sup> transcellular flow (Calatayud et al., 2012) as well. Whether human AQP10 is permeable to As<sup>III</sup> awaits additional studies. Mouse and rat AQP7 and AQP9 were also shown to be permeable to As<sup>III</sup> (Liu et al., 2002; Carbrey et al., 2009). Monomethylarsonous acid (MMA<sup>III</sup>), one of the major methylation products of

inorganic arsenic, can permeate through rat AQP9, human AQP9 and mouse AQP7, but not human AQP7 (Liu et al., 2006; Liu, 2010; McDermott et al., 2010). In addition, human AQP9 is permeable to two other major methylation products of inorganic arsenic: monomethylarsonic acid (MMAV) and dimethylarsenic acid (DMAV) (McDermott et al., 2010). Human AQP9 was also found to be permeable to lactate, ionic selenite and monomethylselenic acid (MSeA) (Geng et al., 2017). MSeA could be used for the prevention and treatment of several cancer types, such as pancreatic cancer (Wang et al., 2014) and lung cancer (Swede et al., 2003), as it induces cell cytotoxicity. Lower AQP9 expression has been related with non-response to adjuvant chemotherapy, comprising amongst others a nucleoside analog, in colorectal cancer (Dou et al., 2013). In addition, AQP9 was recently shown to enhance the cytotoxic effect of nucleoside analogs in colorectal cancer, and could thereby be used as a novel predictor for the benefit of nucleoside analog chemotherapy in the disease (Huang D. et al., 2017). In hepatocellular carcinoma decreased AQP9 expression has been associated with apoptosis resistance, invasion and epithelial-to-mesenchymal transition (Jablonski et al., 2007; Padma et al., 2009; Li et al., 2016; Chen et al., 2016). Downregulation of aquaglyceroporin expression may lead to a metalloid resistant and/or adjuvant resistant phenotype. However, drugs aiming at specifically increasing AQP9 expression in tumor cells will sensitize them to metalloid compounds and favor the anticipated cytotoxic effects (Mukhopadhyay et al., 2014). These data emphases the efficacy of using combined therapeutic approaches acting in synergy for the treatment of cancers. Consequently, chemotherapy would benefit from the identification of novel drugs that could specifically increase aquaglyceroporin expression in cancer cells.

Due to its permeability to glucogenic glycerol (Jelen et al., 2011; Calamita et al., 2012; Geng et al., 2017), AQP9 represents an interesting drug target in the pharmacological modulation of gluconeogenesis.

### **Antidiabetic Drugs**

Troglitazone (a thiazolidinedione activating PPARy) and tolbutamide (a sulfonylurea blocking potassium channels) were shown to significantly decrease AQP3 expression in Caco-2 cells, while metformin [belonging to the beguanide family and activating AMP-activated protein kinase (AMPK)] and tolbutamide did not alter AQP3 expression (Asai et al., 2006). PPARy agonists have also been shown to increase adipose tissue AQP7 expression (Kishida et al., 2001; Kondo et al., 2002; Lee et al., 2005). It remains to be determined if rosiglitazone (another thiazolidinedione activating PPARy) and metformin, that restored insulin secretion in pancreatic  $\beta$ -cells chronically exposed to free fatty acids or high glucose (Patanè et al., 2000; Richardson et al., 2006), increased AQP7 expression. Further studies are necessary to study the effects of antidiabetic drugs on aquaglyceroporin expression, pertaining to key tissues involved in the control of energy metabolism, including adipose tissue, liver and endocrine pancreas. As a result, this would allow a better understanding of the mechanisms accountable for the beneficial effects of antidiabetic drugs in metabolic diseases, and develop new therapeutic strategies. Therapeutic synergy between metformin, suppressing hepatic gluconeogenesis (Petersen et al., 2017) and restoring insulin secretion (Patanè et al., 2000; Richardson et al., 2006), and drugs, specifically decreasing aquaglyceroporin hepatic expression or activity, could be beneficial for the treatment of T2D characterized by increased hepatic gluconeogenesis and hepatic lipid accumulation leading to hepatic insulin resistance (Petersen et al., 2017).

### **Other Compounds**

Phloretin, a natural antioxidant phenol acting as a potent inhibitor of glycerol fluxes (Abrami et al., 1996), inhibits urea and glycerol permeability in rat and human hepatocyte cell membranes (Calamita et al., 2012; Jelen et al., 2012; Rodríguez et al., 2014). Recently, it has been shown that intraperitoneal administration of 10 mg/kg of phloretin (twice weekly) in mice fed a high-fat diet during 12 weeks reverted obesity, prevents weight gain, hyperinsulinemia, glucose intolerance, insulin resistance, and fatty liver (Alsanea et al., 2017). Moreover, intraperitoneal administration of 10 mg/kg of phloretin (twice weekly) in mice made obese by submitting them for 6 weeks to a high-fat diet improved insulin levels, insulin resistance and hepatic liver accumulation, but did not modify body weight or fasting blood glucose levels (Alsanea et al., 2017). These data suggest that phloretin could represent an additional pharmacological tool for the treatment of obesity and its associated metabolic diseases.

Obese Aqp9 knockout mice are characterized by reduced fasting blood glucose levels as compared to obese WT Aqp9 mice (Rojek et al., 2007). Several AQP9 small molecule inhibitors were identified by screening a commercial compound library using a cell-based permeability assay (Jelen et al., 2011; for review see Beitz et al., 2015). The compounds showing the highest efficiency in blocking AQP9 were subjected to in silico molecular docking to a human AQP9 model and the compounds showing good docking properties were functionally tested using Chinese Hamster Ovary (CHO) cells expressing AQP9 (Jelen et al., 2011). The putative binding sites of the most interesting compounds were then evaluated by site-directed mutagenesis and a cell water permeability assay of mutated AQP9 channels (Wacker et al., 2013). Few additional patent compounds have been claimed to modulate AQP9 expression or activity, thereby being of therapeutic interest for the treatment of diseases (for review see Soveral and Casini, 2017). In humans, AQP9 is expressed almost exclusively in the liver parenchyma with evident pharmacological advantages as a drugtarget pathway. Given the relevance of AQP9 in facilitating the entry of glycerol, the carbon backbone of TAG, into hepatocytes, it is reasonable to think that small chemical compounds with micromolar-submicromolar potency in blocking selectively the AQP9 channel, may be effective in counteracting the aberrant hepatic fat accumulation underlying NAFDL/NASH, pathologies for which several potential therapeutic approaches have been proposed while no established therapy does exist (for a review see Calamita and Portincasa, 2007). As for T2D, structural analogs of HTS13286 featuring pharmacological and clinical sustainability offer worthy potential as new options in preventing end-stage liver disease, from simple steatosis to NAFLD/NASH and consequent liver fibrosis and hepatocarcinoma. Phytochemical modulation of AQP9 expression by nutraceutics counteracting excess hepatic lipid accumulation (Cataldo et al., 2017; Tesse et al., 2018) is also worthy of investigation in preventing or improving prognosis of NAFLD/NASH. The heterocyclic compound HTS13286 is a selective and potent blocker of AQP9 with an IC50 of 1.5  $\mu M$ (Jelen et al., 2011), however, its scarce water solubility hampers its use in vivo. Design and synthesis of structural analogs of HTS13286 with improved hydrophilicity, pharmacodynamic and pharmacokinetic properties is therefore a worthwhile task.

### CONCLUSION AND FUTURE PERSPECTIVES

A body of evidence indicates involvement of Adipose liver and endocrine pancreas aquaglyceroporins in the metabolic and energy control function exerted by these tissues. Metabolic relevance is also testified by the alterations in expression levels and regulation to which they undergo in clinical disorders associated with metabolic and energy dysfunction. While a number of reports have described aquaglyceroporins modulation by phytochemical compounds preventing or improving chronic metabolic diseases, investigation of their pharmacological potential is just picking up speed. New and more sustainable aquaglyceroporin inhibitors have been identified while others are subject to ongoing research. Development of therapeutic strategies targeting aquaglyceroporins may therefore offer promise for the management of a large spectrum of clinical disorders including metabolic and energy balance diseases. Overall, the study of biologically active phytochemicals and synthetic compounds as modulators of aquaglyceroporin expression or function is an emerging topic in which new and important achievements are anticipated.

### **AUTHOR CONTRIBUTIONS**

CD wrote the first draft of the manuscript. GC, JP, and CD wrote sections of the manuscript. All authors contributed to manuscript revision, read and approved the submitted manuscript.

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Homology Modeling Informs Ligand Discovery for the Glutamine Transporter ASCT2

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The Alanine-Serine-Cysteine transporter (SLC1A5, ASCT2), is a neutral amino acid exchanger involved in the intracellular homeostasis of amino acids in peripheral tissues. Given its role in supplying glutamine to rapidly proliferating cancer cells in several tumor types such as triple-negative breast cancer and melanoma, ASCT2 has been identified as a key drug target. Here we use a range of computational methods, including homology modeling and ligand docking, in combination with cell-based assays, to develop hypotheses for structure-function relationships in ASCT2. We perform a phylogenetic analysis of the SLC1 family and its prokaryotic homologs to develop a useful multiple sequence alignment for this protein family. We then generate homology models of ASCT2 in two different conformations, based on the human EAAT1 structures. Using ligand enrichment calculations, the ASCT2 models are then compared to crystal structures of various homologs for their utility in discovering ASCT2 inhibitors. We use virtual screening, cellular uptake and electrophysiology experiments to identify a non-amino acid ASCT2 inhibitor that is predicted to interact with the ASCT2 substrate binding site. Our results provide insights into the structural basis of substrate specificity in the SLC1 family, as well as a framework for the design of future selective and potent ASCT2 inhibitors as cancer therapeutics.

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### INTRODUCTION

The human SLC1 family is comprised of seven sodium-dependent amino acid transporters, including five excitatory amino acid transporters (EAAT1-5) and two alanine-serine-cysteine transporters (ASCT1 and ASCT2) (Kanai et al., 2013). The EAATs are primarily expressed in the central nervous system (CNS), where they mediate the uptake involved in the termination of glutamate neurotransmission (Danbolt, 2001; Vandenberg and Ryan, 2013). The ASCTs facilitate exchange of neutral amino acids in peripheral tissues such as the kidney, intestine, and skin and/or

Abbreviations: SLC Transporters, Solute Carrier Transporters; SLC1, Solute Carrier Family 1; RMSD, Root mean square deviation; MSA, multiple sequence alignment; ASCT2, alanine-serine-cysteine transporter 2; EAAT, excitatory amino acid transporter; DOPE score, Discrete Optimized Protein Energy.

Garibsingh et al. Ligand Discovery for ASCT2

the CNS, and they are responsible for maintaining intracellular homeostasis of amino acids (Bröer et al., 1999; Kanai et al., 2013). Genetic variations in SLC1 members can lead to a variety of diseases and disorders. For example, mutations in EAAT1 (SLC1A3) that reduce its activity, can cause neurodegenerative disorders such as stroke (Chao et al., 2010) and ataxia (Winter et al., 2012; Choi et al., 2017). Conversely, the upregulation of ASCT2 occurs in many cancers such as triple-negative breast cancer (Kim et al., 2013; van Geldermalsen et al., 2016), melanoma (Wang et al., 2014) and prostate cancer (Wang et al., 2013), and can correlate with poor prognosis, shown in studies of hepatocellular carcinoma (Namikawa et al., 2014; Sun et al., 2016) and non-small cell lung cancer (Shimizu et al., 2014; Yazawa et al., 2015).

One of the hallmarks of cancer is the alteration of tumor cell metabolism to support rapid growth and proliferation (DeBerardinis et al., 2007; Schulze and Harris, 2012; Altman et al., 2016). This increased need for carbon and nitrogen sources can result in increased reliance of cells on glutamine, in a phenomenon commonly known as "glutamine addiction" (Wise and Thompson, 2010; Bhutia et al., 2015; Altman et al., 2016). Glutamine is imported into tumor cells primarily by ASCT2 (Wasa et al., 1996; Collins et al., 1998; Fuchs et al., 2007; Scalise et al., 2017), where it is used for nucleotide synthesis (Lane and Fan, 2015) and to replenish TCA cycle intermediates (Albers et al., 2012; Schulze and Harris, 2012; Pochini et al., 2014). This glutamine can also contribute to mTORC1 activation and subsequent proliferation by being exchanged for leucine via LAT1 (Nicklin et al., 2009; Pochini et al., 2014; Wang et al., 2014).

Previous studies have shown that knock down of ASCT2 results in tumor growth attenuation *in vitro* (Nicklin et al., 2009; Wang et al., 2014, 2015; van Geldermalsen et al., 2016; Schulte et al., 2018) and *in vivo* (Wang et al., 2015; van Geldermalsen et al., 2016), and the viability of ASCT2 knockout mice suggests that pharmacological targeting of ASCT2 may not affect normal cells (Nakaya et al., 2014; Masle-Farquhar et al., 2017). Indeed, a recent study showed that pharmacological inhibition of ASCT2 reduced cancer cell growth and proliferation *in vitro and in vivo* (Schulte et al., 2018). This information taken together purports that ASCT2 is a significant drug target. To develop potent and specific compounds for ASCT2, a detailed understanding of its substrate specificity and binding site properties is needed.

Experimentally determined structures or well-made homology models, can be extremely powerful to uncover novel chemical scaffolds when combined with structure-based virtual screening (Colas et al., 2015). Currently, there are no experimentally solved structures of ASCT2 and ASCT1. Most of the knowledge about the human SLC1 family structure and molecular mechanism came from the study of their prokaryotic homologs, the aspartate transporters, Glt<sub>Ph</sub> from *Pyrococcus horikoshii* (Yernool et al., 2004) and Glt<sub>Tk</sub> from *Thermococcus* kodakarensis (Guskov et al., 2016) that share a 77% sequence identity (Guskov et al., 2016). Similar to ASCT2, these transporters couple substrate transport with the cotransport of three Na<sup>+</sup> ions (Groeneveld and Slotboom, 2010; Kanai et al., 2013). Moreover, they have eight

transmembrane helices (TMs) and share sequence identity of  $\sim$ 30% and a conserved binding site with the human members of the SLC1 family (Yernool et al., 2004; Albers et al., 2012; Scopelliti et al., 2013; Colas et al., 2015; Canul-Tec et al., 2017).

The Glt<sub>Ph</sub> structures have been determined in multiple conformations of the transport cycle (Verdon et al., 2014) and with a variety of substrates and inhibitors (Yernool et al., 2004; Boudker et al., 2007; Verdon et al., 2014; Scopelliti et al., 2018). These structures, combined with characterizations using other biophysical methods (e.g., smFRET), have revealed that the transporter exists as a trimer and transports its substrates using an "elevator" transport mechanism (Reyes et al., 2009, 2013; Akyuz et al., 2013). In brief, in the elevator mechanism, the transporter has a mobile transport domain that binds the substrate and traverses the membrane, and a static scaffold domain that mediates oligomerization (Hirschi et al., 2017). Recently, the human EAAT1 atomic structure was solved in various conformations, bound to aspartate or competitive inhibitor (TBOA), as well as with an allosteric inhibitor (Canul-Tec et al., 2017). This structure was highly similar to that of Glt<sub>Ph</sub>, confirming the relevance of Glt<sub>Ph</sub> for studying the human family. Furthermore, these structures suggest that the other human SLC1 members including ASCT2 operate via a similar transport mechanism (Boudker et al., 2007; Reyes et al., 2009; Canul-Tec et al., 2017).

Multiple models of human SLC1 members have been constructed based on the Gltph structure and tested experimentally using a variety of biochemical and biophysical methods (Yernool et al., 2004; Albers et al., 2012; Scopelliti et al., 2013; Colas et al., 2015; Console et al., 2015; Canul-Tec et al., 2017). These studies identified key binding site residues that explain the differential charge specificity among these proteins (Scopelliti et al., 2013, 2018; Colas et al., 2015; Canul-Tec et al., 2017; Singh et al., 2017). We have previously built models of ASCT2 based on the outward-occluded and outward-open conformations of Glt<sub>Ph</sub> and used these models to identify and refine multiple ASCT2 ligands, including inhibitors and substrates (Colas et al., 2015; Singh et al., 2017). Interestingly, a recently solved structure of a Glt<sub>Ph</sub> variant that was engineered to bind some ASCT2 substrates, provided a model to understand ASCT2-ligand interactions (Scopelliti et al., 2018). This structure also revealed a binding site conformation similar to that of our model, confirming our modeling approach.

Here, we model ASCT2 structure in two conformations based on the newly solved EAAT1 structures and evaluate the relevance of these models for drug discovery. Particularly, we first analyze phylogenetic relationships among human SLC1 family members and their prokaryotic homologs, to inform the homology modeling of this family. We then generate models of ASCT2 and perform a comparative analysis to the engineered Glt<sub>Ph</sub> variant crystal structures, to assess the utility of the various proteins in ligand discovery. We also describe the discovery of a unique ASCT2 inhibitor from virtual screening and predict its mode of interaction with ASCT2. Our results provide insights into the structural basis of substrate specificity in the SLC1 family and provides a framework for

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the design of ASCT2 inhibitors with improved selectivity and potency.

### MATERIALS AND METHODS

### **Phylogenetic Tree**

To build the phylogenetic tree of the SLC1 members, FASTA sequences of each family member were retrieved from Uniprot (Uniprot Consortium, 2018) and aligned with MUltiple Sequence Comparison by Log-Expectation (MUSCLE) (Edgar, 2004) and Promals3D (Pei and Grishin, 2014). This multiple sequence alignment (MSA) in FASTA format was used as input for Simple Phylogeny, which is a web server that performs phylogenetic analysis on multiple sequence alignments (Larkin et al., 2007; McWilliam et al., 2013). The Newick tree format was selected as the output, for its ability to be viewed with multiple tree viewing programs. Distance correction was set to on, to avoid branch stretching for more divergent sequences. Exclude gaps was set to on, to remove gaps in the MSA and use only the positions where information could be included from all sequences. The Neighbor-joining algorithm was used to construct the tree from the distance matrix. The final tree was visualized with FigTree (Rambaut, 2012), a graphical program for viewing phylogenetic trees.

### **Homology Modeling**

ASCT2 was modeled based on X-ray structures of human EAAT1 in the outward-occluded and outward-open conformations (PDB codes 5LLM and 5MJU, respectively) (Canul-Tec et al., 2017). The initial ASCT2-EAAT1 alignment was derived from the MSA of human SLC1 family members and prokaryotic homologs, which was subsequently refined based on visual analysis of (i) a pairwise ASCT2-EAAT1 alignment generated by Promals3D (Pei and Grishin, 2014) under default parameters (ii) previously published alignments of the SLC1 family (Yernool et al., 2004; Canul-Tec et al., 2017); (iii) preliminary homology models generated based on the various alignments. We omitted from modeling, large variable loop regions that are distant from the substrate binding site and are unlikely to affect ASCT2ligand interactions (Colas et al., 2015). These regions included the loops between transmembrane (TM) region 3 and TM4a and between TM4b and TM4c. For each conformation and ASCT2-EAAT1 alignment, 100 initial models were constructed with MODELLER-v9.11 (Webb and Sali, 2017). The initial models were refined by iteratively modeling the sidechains of D464 and M387 on a fixed backbone with PyMOL1 and visually assessing the generated models. The models were constructed with nonprotein elements, including the sodium ions, as well as the ligands aspartate or TBF-TBOA and UCPH<sub>101</sub> based on their coordinates in the template structures. The models were then evaluated and ranked by their Z-DOPE score, a normalized atomic distance dependent statistical potential based on known protein structures (Shen and Sali, 2006). The top-scoring models had Z-DOPE scores of -0.517(outward-occluded) and -0.313 (outward-open), respectively. These scores are significantly better than previously published models of ASCT2 using  $Glt_{Ph}$  structures as modeling templates, indicating that they are suitable for further investigation. The 15 top-scoring models for each conformation, were subjected to enrichment analysis with ligand docking. All models are available for direct download through www.schlessingerlab.org/data.

### **Ligand Docking and Enrichment**

We evaluated the ability of the models to distinguish known ASCT2 ligands from decoys using ligand enrichment calculations (Huang et al., 2006; Fan et al., 2009). For the outward-open model, 26 known ASCT2 ligands were obtained from the literature (Esslinger et al., 2005; Albers et al., 2012; Oppedisano et al., 2012; Colas et al., 2015; Schulte et al., 2015, 2016; Singh et al., 2017) and ChEMBL (Gaulton et al., 2017), and 1304 decoys were generated based on these ligands using the DUD-E server (Mysinger et al., 2012). For the occluded models, we removed 7 ASCT2 ligands that are large and unlikely to fit into the substrate binding site. Thus, 19 known ligands were used to generate 937 decoys with DUD-E for this conformation. Docking was performed using OpenEye FRED (McGann, 2011). We chose to use OpenEye FRED based on the following criteria: (i) docking against ASCT2 models obtained high enrichment scores as compared to scores obtained with other methods (not shown) (ii) the program is available through an academic license; (iii) its speed and ease of use, a feature that enables us to perform docking against multiple models, which has been shown to increase prediction accuracy and ligand enrichment (Fan et al., 2009); and (iv) OpenEye FRED has shown its usefulness in ligand discovery campaigns targeting models of transporters with chemically related substrates, such as the Ltype amino acid transporter LAT1 (Zur et al., 2016) and the oligopeptide transporter PepT1 (Colas et al., 2017). The binding site was prepared using the MAKE\_RECEPTOR utility of FRED with (S351 and N471 ASCT2 outward-occluded constraints and S351 and S353 ASCT2 outward-open constraints) and ligand conformations were prepared with the OMEGA utility. Finally, the area under the curve (AUC) and the logarithmic scale AUC (logAUC) of the enrichment plots were calculated (Fan et al.,

### Virtual Compound Screening

We used OpenEye FRED (McGann, 2011) as described above to screen the ZINC15 "Available Now Lead-Like" library against our outward-open ASCT2 model based on  $Glt_{Ph}$  (8.7 x  $10^6$  compounds). We used constraints on the backbone nitrogen of S353 and the carboxy group of the side chain of D464. We visually inspected the 200 top-scoring compounds of the screen and prioritized compounds predicted to interact with the binding site through conserved hydrogen bonds with important residues between ASCT2 and  $Glt_{Ph}$  (Figure 2). Additionally, of those compounds, we selected 13 compounds that included potentially new chemotypes as ASCT2 ligands and also docked in both pocket A (PA) and pocket B (PB) (Supplementary Table 1).

 $<sup>^{\</sup>rm 1}$  The PyMOL Molecular Graphics System, V.S., Llc.

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### **Pocket Volume Measurement**

POVME3 (Wagner et al., 2017) (Pocket Volume MEasurer) was used to calculate binding site volumes. The coordinates of the binding site were estimated using AutoDock Vina plugin (Trott and Olson, 2010) in PyMOL. These coordinates were used as input for POVME3.

### SK-MEL-28 Cell Culture

Human melanoma cancer cell line SK-MEL-28 identity was confirmed by STR profiling in 2018 (Cell Bank, Sydney, Australia). Cells were routinely tested as free from mycoplasma using a PCR-based detection method. SK-MEL-28 cells were grown in DMEM medium containing 4 mM L-glutamine and 20 mM HEPES (Life Technologies), supplemented with 10% (v/v) fetal bovine serum (FBS; HyClone) and  $1\times$  penicillin-streptomycin solution (Life Technologies). Cells were passaged every 2–3 days and were maintained in a fully humidified atmosphere containing 5% CO<sub>2</sub> at 37°C. Inhibitors were resuspended in DMSO to 20 mM, before being diluted to their final concentrations in uptake media. L-γ-glutamyl-p-nitroanilide (GPNA) was resuspended in water prior to dilution in uptake media to final concentrations.

### **Glutamine Uptake Assay**

SK-MEL-28 cells (1  $\times$  10<sup>5</sup>/well) were incubated with [ $^3$ H]-L-glutamine (400 nM; PerkinElmer) in RPMI media (Life Technologies) without L-glutamine for 15 min at 37 $^\circ$ C in the presence or absence (Control; vehicle) of each inhibitor as previously published (van Geldermalsen et al., 2016). Cells were collected and transferred to filter paper using a 96-well plate

harvester (Wallac PerkinElmer), dried, exposed to scintillation fluid and counts measured using a liquid scintillation counter as previously published (MicroBeta<sup>2</sup> Counter, PerkinElmer) (Wang et al., 2014).

### Electrophysiology

Experiments were performed as described in detail previously (Albers et al. 2012). In brief, compound **10** was dissolved in DMSO and diluted to a maximum concentration of 1 mM (5% final DMSO, DMSO at this concentration did not cause any notable currents), before application to HEK293 cells expressing rat ASCT2 and suspended from a current recording electrode. External buffer used to dissolve the compound was; 140 mM NaCl, 2 mM MgCl<sub>2</sub>, 2 mM CaCl<sub>2</sub>, 10 mM HEPES at pH 7.40. Intracellular buffer contained 130 mM NaSCN, 2 mM MgCl<sub>2</sub>, 10 mM EGTA, 10 mM HEPES at pH 7.40 and the pipette resistance was between 3 and 5.5 M $\Omega$ . Currents were recorded using an Adams & List EPC7 amplifier at 24 h after transfection using Jetprime transfection reagent according to the protocol supplied by Polyplus.

### **RESULTS**

### Refined Multiple Sequence Alignment and Phylogenetic Tree for SLC1

To generate homology models of ASCT2, we rely on the availability of experimentally determined structures of its homologs. The accuracy of the homology model highly correlates with the quality of the target-template alignment (Forrest et al., 2006). Previously published models of human SLC1 members were based on  $Glt_{Ph}$  which shares a sequence identity of  $\sim$ 30%,

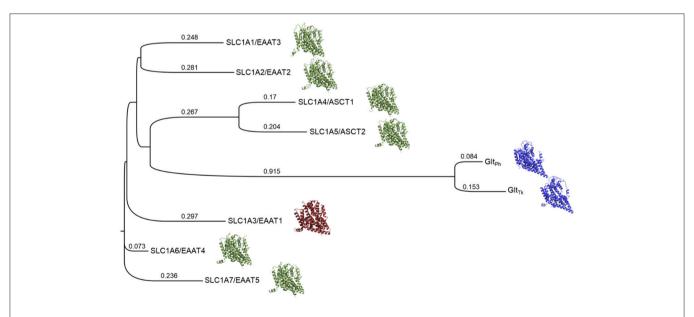


FIGURE 1 | Phylogenetic tree of the human SLC1 transporters and prokaryotic homologs. The solved structures of prokaryotic homologs are depicted in blue; SLC1A3 (EAAT1), the only experimentally determined human member of the SLC1 family, is shown in red. Homology models of the other human SLC1 members are green. Branch lengths and their values correspond to the ratio between the number of substitutions and the alignment length and are proportional to the evolutionary change.

where alignments become challenging and more error-prone (Martí-Renom et al., 2000; Eramian et al., 2008). The recent structures of EAAT1, which shares a sequence identity of 46% with ASCT2, provide better templates to model ASCT2, thereby increasing the confidence in the target-template alignment and ASCT2 model. Key structural differences between EAAT1 and Glt<sub>Ph</sub> include the residue composition of the loop connecting hairpin (HP) 2 and TM8, which is six residues shorter in EAAT1 than in Gltph and is more conserved among the human SLC1 members (Canul-Tec et al., 2017) (Supplementary Figure 1). In addition, the N-terminus of TM1 in ASCT2 is more conserved with EAAT1, whose helical structure is nearly parallel to the membrane as opposed to the corresponding loop region in Glt<sub>Ph</sub>. Thus, ASCT2's binding site in the models based on the different proteins are expected to differ in their shape and biophysical properties which in turn will determine the chemical structures of the small molecules recognized by ASCT2 in each conformation, as shown for other SLC transporters (Schlessinger et al., 2012).

We therefore generated a Multiple Sequence Alignment (MSA) that included the sequences of the human SLC1 family members, as well as the structures of EAAT1 and Glt<sub>Ph</sub>, with

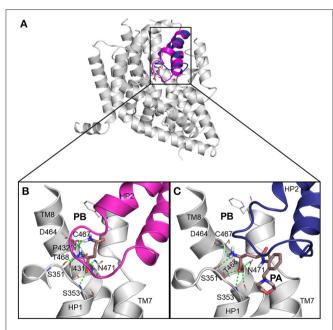


FIGURE 2 | ASCT2 models in two conformations. (A) The outward-occluded and outward-open models and corresponding predicted binding modes for known ligands of ASCT2, including (B) a substrate and (C) inhibitor. HP2 is highlighted with magenta and blue ribbons. The sidechain atoms of key residues are illustrated with gray lines and the small molecule ligands are displayed as salmon sticks, with oxygen, nitrogen, and sulfur atoms in red, blue, and yellow, respectively. Hydrogen bonds between the binding site residues I431, P432, S351, S353, D464, T468, and N471, and the ligand are represented by dashed green lines. (B) Outward-occluded model with docked glutamine. (C) Outward-open model shown with (2S)-2-amino-4({2-[(morpholin-4-yl)methyl]phenyl}carbamoyl)butanoic acid. HP2 is in an outward-open conformation.

Promals3D, which uses structure-based constraints from the alignments of three-dimensional structures (Pei and Grishin, 2014) (Supplementary Figure 1). We then built a phylogenetic tree based on this alignment with Simple Phylogeny (Larkin et al., 2007; McWilliam et al., 2013) (**Figure 1**). The phylogenetic tree is separated into four distinct branches. The neutral amino acid transporters ASCT1 and ASCT2 cluster together and share sequence identity of 57%, the highest sequence identity among pairs of the SLC1 family. The five glutamate transporters share 44–55% sequence identity, in agreement with previous studies (Kanai et al., 2013). EAAT2 and EAAT3 share the same branch and the highest similarity among the five glutamate transporters.

We then used the MSA to derive pairwise alignments between EAAT1 and each one of the family members, which were used as input for homology modeling (section Materials and Methods) (**Figure 1**). Interestingly, the models' scores, calculated with Z-DOPE, correlate with the positions of the SLC1 family members on the phylogenetic tree with respect to EAAT1. For example, the EAAT4 model had the best Z-DOPE score (-0.73), whereas the EAAT3 model scored the worst (0.23).

**TABLE 1** | Pocket A residues and their respective protein locations aligned between ASCT2 and EAAT1 and Gltph-R397C.

Location	ASCT2 Residue	EAAT1 Residue	Glt <sub>Ph</sub> -R397C Residue
	1100		
TM4C		A243I <sup>(b)</sup>	V151
HP1	S352	S364	S277
	S353	S365	S278
	S354	S366	S279
	A355	A367	G280
	L357	L369	L282
TM7	P380	P392	
		V393	
	A383	A395	A307
	T384	T396	T308
		1397	1309
		N398	
HP2	S425	S437	
	V426	1438	
	G427	G439	
	A428	A440	T352
	A429	A441	A353
	G430	G442	G354
	1431	1443	V355
	P432	P444	P356
	A433		G357
	G434		A358

<sup>(</sup>a) V96 and (b) A234 is mutated to I in EAAT1 crystal (5MJU).

These differences likely result from a large insertion between TM4b and TM4c in the EAAT3 (residues 164–201) compared to EAAT1.

## ASCT2 Homology Models in Two Different Conformations

We generated ASCT2 homology models using MODELLER (Webb and Sali, 2017), based on the EAAT1 structures in the ligand bound outward-open and outward-occluded conformations (section Materials and Methods). The ASCT2 models obtained Z-DOPE scores of -0.31 and -0.52, respectively. These scores were significantly better than those of our previous models based on  $Glt_{Ph}$ , and were indicative that the models are sufficiently accurate for further analysis (Eramian et al., 2008; Colas et al., 2015). Initial models were refined by iteratively modeling the sidechains on a fixed backbone of various binding site residues with PyMOL and visually assessing the optimized models (section Materials and Methods).

Next, we docked known ASCT2 ligands, including substrates and inhibitors to the substrate binding site of the models in both conformations. Notably, the mode of ligand binding in the outward-occluded model, closely resembles that of the outwardopen model, recapitulating the ligand poses in the crystal structures of EAAT1 and Gltph (Figures 2B,C). Critical polar interactions between the amino-acid like ligands and the binding site are mostly conserved between the two conformations. For example, in the outward-occluded conformation (Figure 2B), the carboxy group of the substrate glutamine forms hydrogen bonds with S353 (HP1), as well as with T468 and N471 (TM8); and the amino group of the substrate forms hydrogen bonds with S351 (HP1) and I431 (HP2) and D464 and T468 (TM8). However, in the outward-open conformation, with a docked inhibitor, there are no contacts with HP2 (Figure 2C).

It has been shown that C467 in ASCT2, which corresponds to R479 and T459 in EAAT1 and ASCT1, respectively, plays a key role in substrate specificity (Scopelliti et al., 2013, 2018; Colas et al., 2015). Mutating this residue to either a neutral amino acid or an arginine, can alter substrate preference in the SLC1 transporters (Conradt and Stoffel, 1995; Scopelliti et al., 2013). For example, the T459R mutation in ASCT1 introduces acidic amino acid transport to the neutral amino

acid transporter (Scopelliti et al., 2013). Equally important is this residue's role in facilitating the availability of a hydrophobic subpocket termed pocket B (PB), which increases the size and changes its shape of the binding site (**Figures 2**, **4**). In the outward-open conformation, there is an additional subpocket, pocket A (PA) that is revealed when HP2 adopts an open conformation. The residues constituting PA are variable among Glt<sub>Ph</sub>-R397C, EAAT1, and our model of ASCT2 (**Table 1**). One potential strategy to identify chemically novel ASCT2 inhibitors is by targeting both subpockets PA and PB simultaneously.

## Comparison Between SLC1 Structures and Models

We assessed the relevance of the ASCT2 models for structurebased ligand discovery. ASCT2 models in both conformations were evaluated for their ability to distinguish ligands from likelynon-binders or decoys using docking ("ligand enrichment") (Huang et al., 2006; Fan et al., 2009). Here, the decoys are molecules that resemble the known ligands physically but are topologically dissimilar to minimize the likelihood of binding (Mysinger et al., 2012). We calculated the area under the curve (AUC) of enrichment plots as well as the logarithmic AUC (logAUC), which determines the model's ability to prioritize those known ligands early in the library. The AUC of the outward-occluded and outward-open models were 94.12 and 95.19, respectively (Figures 3A,B), suggesting that both models are relevant for ligand discovery with molecular docking (Fan et al., 2009; Schlessinger et al., 2011; Colas et al., 2015).

We also calculated the AUC and logAUC for the Glt<sub>Ph</sub> variant structures (Glt<sub>Ph</sub>-R397C) that were engineered to mimic ASCT2 function (Scopelliti et al., 2018) (**Figure 3**). In brief, the Glt<sub>Ph</sub>-R397C variant has a point mutation in the binding site residue R397C, which corresponds to C467 in ASCT2, allowing this transporter to bind and transport substrates of ASCT2 such as serine and alanine. Indeed, the Glt<sub>Ph</sub>-R397C outward-occluded and outward-open structures obtained AUCs of 74.61 and 64.92, respectively, suggesting that they capture known ASCT2 ligands (**Figures 3A,B**); however, the enrichment scores are lower than those obtained using the new ASCT2 models.

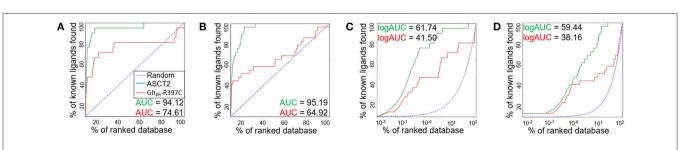
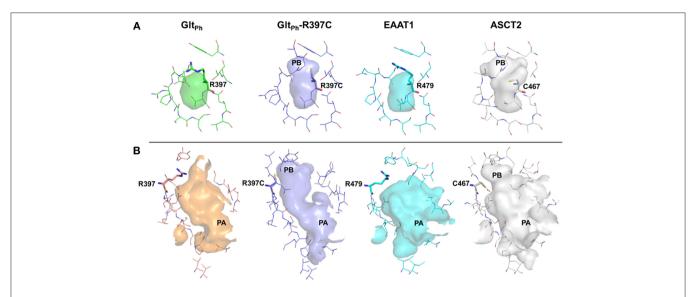


FIGURE 3 | Model Evaluation with enrichment plots. Enrichment plots are shown for the ASCT2 models (green) and Glt<sub>Ph</sub>-R397C variants (red) in two conformations; the plot that is expected by a random selection of ligands is represented by a blue dashed line. The enrichment plots are shown for the outward-occluded conformation (A,C) and outward-open conformation (B,D). with the area under the curve (AUC) (A,B) and the logarithmic AUC (logAUC) (C,D) calculated for each plot.



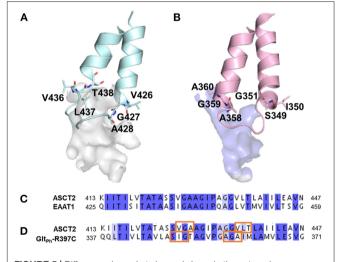
**FIGURE 4** | The substrate binding site of ASCT2 and its homologs. The binding site is compared between Glt<sub>Ph</sub> (green) Glt<sub>Ph</sub>-R397C (purple), EAAT1 (cyan), and ASCT2 (gray) in the outward-occluded and open conformations. Surface representations of the substrate binding site are shown, highlighting PA and PB. Key residues R397 (Glt<sub>Ph</sub>) R397C (Glt<sub>Ph</sub>-R397C), R479 (EAAT1) and C467 (ASCT2) are depicted as sticks. **(A)** Outward-occluded conformation, **(B)** outward-open conformation rotated 180° degrees to view PA.

To rationalize the difference in enrichment scores and in ligand specificity, we analyzed the binding sites of our ASCT2 models, and the EAAT1 and  $Glt_{Ph}\textsc{-R397C}$  structures. In both wildtype  $Glt_{Ph}$  [PDB codes: 6BAT (Scopelliti et al., 2018), 2NWW (Yernool et al., 2004)] and EAAT1 (Canul-Tec et al., 2017), PB is blocked by R397/R479 in both the outward-occluded and outward-open conformations (**Figure 4A**). Particularly, the binding site of  $Glt_{Ph}\textsc{-R397C}$  occluded (6BAU) is almost identical to the binding site of the corresponding conformation of the wild-type  $Glt_{Ph}$  (6BAT) (RMSD 0.24).

Interestingly, larger inhibitors are ranked earlier in library for the ASCT2 models than in the Glt<sub>Ph</sub>-R397C structures. This can be explained by the difference in the size of the binding sites of the two proteins. The ASCT2 substrate binding site in both conformations is larger (outward-occluded: 70 Å<sup>3</sup>, outwardopen: 439 Å<sup>3</sup>) than those of Glt<sub>Ph</sub>-R397C (45Å<sup>3</sup>, 283 Å<sup>3</sup>). In addition, a striking difference between the two proteins is observed in PA, which is divergent in sequence and structure between ASCT2 and Glt<sub>Ph</sub>-R397C (Figures 4B, Figure 5 and Table 1). Particularly, the HP2 residues in ASCT2 V426, G427, A428, and V436, L437, T438 form a loop region, while the corresponding residues in Glt<sub>Ph</sub>-R397C form a helical structure (Figures 5A,B). HP2 is more conserved between ASCT2 and EAAT1 than between ASCT2 and Gltph-R397C (Figures 5C,D). These results indicate that while this Glt<sub>Ph</sub>-R397C variant is useful to describe substrate specificity, it may not capture all the binding site features that are relevant for ASCT2-inhibitor interactions (Figure 2).

#### Discovery of a New ASCT2 Inhibitor

Previously identified ASCT2 ligands are predicted to bind only one of the two subpockets PA and PB (Colas et al., 2015; Singh



**FIGURE 5** | Differences in pocket size and shape in the outward-open conformation. The HP2 is shown in blue and pink for **(A)** ASCT2, **(B)** Glt<sub>Ph</sub>-R397C, respectively. Key residues are illustrated with sticks and oxygen and nitrogen, and sulfur atoms in red, and blue. Sequence alignment of HP2 **(C)** between ASCT2 and EAAT1 and **(D)** between ASCT2 and Glt<sub>Ph</sub>-R397C. Orange boxes mark the residues that differ in secondary structure between ASCT2 and Glt<sub>Ph</sub>-R397C.

et al., 2017). We hypothesized that targeting both PA and PB simultaneously with virtual screening may yield compounds with chemically novel scaffolds for ASCT2. In parallel to our ASCT2 modeling based on the EAAT1 structure, we conducted a discovery campaign using our previously published Glt<sub>Ph</sub>-based ASCT2 models. Our rationale was to use these compounds to iteratively refine the outward EAAT1-based ASCT2 models.

The lead-like library from ZINC15 was docked against the  $Glt_{Ph}$ -based ASCT2 models (section Materials and Methods). Compounds were prioritized on the basis of chemotype novelty and predicted mode of binding to PA and PB. Thirteen compounds were tested for activity using glutamine uptake assays in a melanoma cell line (SK-MEL-28). Three compounds

significantly inhibited [ $^{3}$ H]-L-glutamine uptake in SK-MEL-28 cells at 100  $\mu$ M (**Figure 6C**, Supplementary Figure 2). We next determined the IC<sub>50</sub> of the most potent compound, compound **10** (ZINC69811181) for inhibition of glutamine uptake in SK-MEL-28 cells, using a range of concentrations. We observed an IC<sub>50</sub> of 97.16  $\mu$ M for compound **10** (**Figure 6D**), which

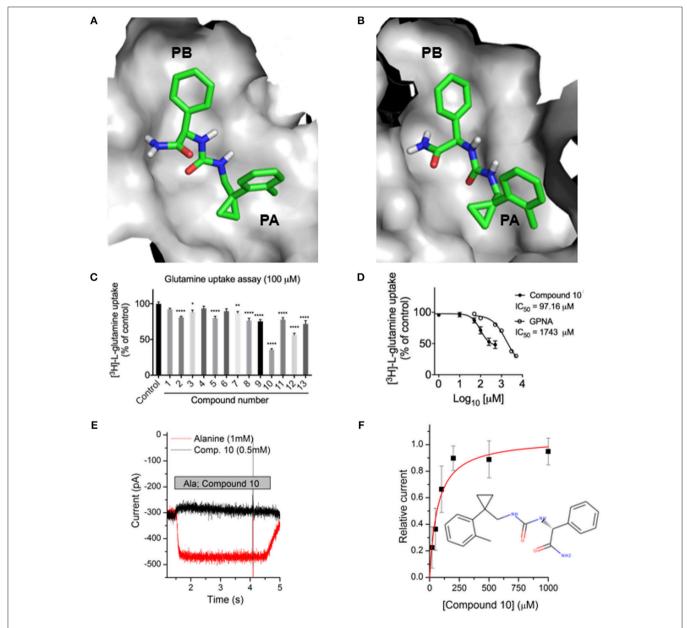


FIGURE 6 | Identification of a novel ASCT2 inhibitor. Predicted mode of binding of compound 10 in (A) ASCT2 models based on  $Gl_{Ph}$  and (B) ASCT2 models based on EAAT1. (C) Uptake of  $[^3H]$ -L-glutamine in SK-MEL-28 cells incubated in the presence and absence of 13 small molecules. Uptake of  $[^3H]$ -L-glutamine was assessed over 15 minutes and compared to DMSO vehicle control. Data are the mean  $\pm$  standard error of the mean of 3-4 experiments per compound. Significance was assessed using a one-way ANOVA with multiple comparisons (GraphPad Prism), where  $^*P < 0.05$ ,  $^*P < 0.01$ , and  $^****P < 0.0001$ . (D)  $IC_{50}$  calculation SK-MEL-28 cells were incubated in the presence of compound 10 or GPNA at a range of concentrations. Uptake of  $[^3H]$ -L-glutamine was assessed over 15 min, log transformed and  $IC_{50}$  determined using a Non-linear fit (log[inhibitor] vs. response, variable slope, four parameters; GraphPad Prism). Data are the mean  $\pm$  standard error of the mean of 3-5 experiments per concentration. (E) Electrophysiological characterization of inhibitory activity on ASCT2. Representative original currents induced by application (indicated by gray bar) of 1 mM alanine (red) and 0.5 mM compound 10 (black). The internal solution contained 130 mM NaSCN and 10 mM alanine, the external solution 140 mM NaCl. (F) Dose response curve for compound 10, the red line is a fit to a one-site binding equation with an  $IC_{50}$  of 67  $\pm$  17  $\mu$ M.

is ~18-fold better than the ASCT2 inhibitor L-γ-glutamyl-pnitroanilide, our positive control (GPNA;  $IC_{50} = 1743 \,\mu\text{M}$ ; Figure 6D). The compound was docked to our new outwardopen model of ASCT2 and is predicted to have a similar mode of binding to that proposed in our previous model (Figures 6A,B). Compound 10 was further analyzed using electrophysiology (Figure 6E) to confirm target engagement with ASCT2. Application of compound 10 to ASCT2-expressing HEK293 cells resulted in inhibition of the anion leak current, which was previously shown to be the hallmark of ASCT2 inhibitors (Albers et al., 2012; Colas et al., 2015; Singh et al., 2017). In contrast, transported substrates, like alanine, induce inward current in the presence of intracellular anion, due to increased anion efflux (Figure 6E). The effect of compound 10 was dose dependent, saturating with an IC<sub>50</sub> of 67  $\pm$ 17 μM, in the same range of that found using the glutamine uptake assay (Figure 6F). Compound 10 provides a useful scaffold for further optimization and proof-of-concept for the design of more selective and higher affinity compounds for ASCT2.

#### DISCUSSION

The discovery of glutamine addicted tumors has proposed that limiting glutamine to cancer cells results in malignant cell death (Akyuz et al., 2013). One approach to target glutamine addicted tumors is to inhibit glutamine import into the cancer cells through nutrient transporters. ASCT2 plays a key role in glutamine transport in multiple cancers (Wasa et al., 1996; Collins et al., 1998; Fuchs et al., 2007) and as a result it is now seen as a potential anticancer drug target. Currently, there are no drugs in the clinic that have been specifically designed to target nutrient transporters implicated in tumorigenesis (César-Razquin et al., 2015). One challenge in studying ASCT2, is the lack of an experimentally determined structure. In structurebased ligand discovery, a detailed understanding of the substrate binding site is needed to develop tool compounds. These compounds will enable the further study and development of future drugs for ASCT2. For this reason, we have modeled ASCT2 with a new alignment and template structures and investigated the potential utility of these models in small molecule discovery. Three major findings emerge in this work. First, we generated a refined, new multiple sequence alignment for the SLC1 family, inclusive of its prokaryotic homologs and experimentally determined structures, to be used in the modeling of the SLC1 family members. The accuracy of the targettemplate alignment is critical to the generation of an accurate homology models (Fiser and Sali, 2003; Forrest et al., 2006). We hypothesized that the inclusion of structural information from the newly solved EAAT1 structures would improve the alignment and therefore our models (Supplementary Figure 1). Indeed, the new models obtained better Z-DOPE scores and enrichment scores than those of previously reported ASCT2 models (Colas et al., 2015). This new alignment provides a useful resource for others to build homology models for the SLC1 family. Additionally, the initial models generated in this study are available for direct download (section Materials and Methods).

The second key result of this study is that the newly-generated ASCT2 models accurately approximate inhibitor binding, as measured by their ability to distinguish between known ligands vs likely-non-binders with docking. The models enriched better than X-ray structures of Glt<sub>Ph</sub> variant structures (Glt<sub>Ph</sub>-R397C) that mimic ASCT2 and twice as well as the previously published ASCT2 models by our lab (Colas et al., 2015). While our previous models have shown utility for the discovery of unique ASCT2 inhibitors, the new models are of higher resolution, providing the foundation for the rational design of potent inhibitors for ASCT2. Closer examination of the binding sites of the various SLC1 members, including EAAT1, ASCT2, and Gltph, suggests differences in several residues that affect the size and shape of pocket A (PA) (Figures 2, 4). This information may inform the design of conformation-specific ASCT2 small molecule ligands.

Third, we identified an ASCT2 inhibitor with a unique predicted mode of binding. One key challenge in the development of tool compounds for ASCT2 is to discover ligands that deviate from amino acid structures, and therefore may compete better with the high levels of circulating alanine and glutamine ( $\sim$ 450–550  $\mu$ M) (Cantor et al., 2017). Such molecules are expected to have improved pharmacokinetic properties and ASCT2 specificity. For example, compounds inhibiting EAAT1 may have various deleterious neurological effects. Our computational screen followed by experimental testing, identified compound 10, a weak inhibitor of ASCT2 that does not contain amino-acid like structure. Interestingly, this compound is predicted to bind to PA, which is different in size and shape in the EAATs due to dissimilarity in HP2 and helix packing. This compound also binds PB, which has subtle structural differences among the human SLC1 members. Notably, compound 10 is 18-fold more potent than GPNA, which is also predicted to bind PA; thus, this compound provides a potential starting point for optimization in future drug discovery campaigns targeting ASCT2.

#### **AUTHOR CONTRIBUTIONS**

Homology modeling, molecular docking, and analysis was conducted by RAG with guidance by AS. Virtual screening of ZINC library was done by CC with guidance by AS. Experimental design and testing was performed by NO and JH. Additional analysis was conducted by CG. All authors contributed to the preparation of the manuscript.

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#### SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fchem. 2018.00279/full#supplementary-material

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# TRP Channels as Potential Targets for Sex-Related Differences in Migraine Pain

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Chronic pain is one of the most debilitating human diseases and represents a social and economic burden for our society. Great efforts are being made to understand the molecular and cellular mechanisms underlying the pathophysiology of pain transduction. It is particularly noteworthy that some types of chronic pain, such as migraine, display a remarkable sex dimorphism, being up to three times more prevalent in women than in men. This gender prevalence in migraine appears to be related to sex differences arising from both gonadal and genetic factors. Indeed, the functionality of the somatosensory, immune, and endothelial systems seems modulated by sex hormones, as well as by X-linked genes differentially expressed during development. Here, we review the current data on the modulation of the somatosensory system functionality by gonadal hormones. Although this is still an area that requires intense investigation, there is evidence suggesting a direct regulation of nociceptor activity by sex hormones at the transcriptional, translational, and functional levels. Data are being accumulated on the effect of sex hormones on TRP channels such as TRPV1 that make pivotal contributions to nociceptor excitability and sensitization in migraine and other chronic pain syndromes. These data suggest that modulation of TRP channels' expression and/or activity by gonadal hormones provide novel pathways for drug intervention that may be useful for targeting the sex dimorphism observed in migraine.

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#### INTRODUCTION

Chronic pain is a disease that affects more than 20% of the world's population (Breivik et al., 2006; Nahin, 2015), and is considered a social, medical, and economic burden (Patapoutian et al., 2009). Chronic pain results from complex processing of molecular and cellular signals at different levels of the peripheral (PNS) and central nervous systems (CNS), and also involves the immune system. A plethora of molecules and signaling pathways are involved in the detection, transduction, and propagation of environmental noxious stimuli by nociceptors, a specialized class of sensory neurons. These include members of the superfamily of Transient Receptor Potential (TRP) channels, which act as molecular sensors of harmful chemical and physical stimuli.

The TRP channels are non-selective cation channels expressed mainly in the plasma membrane of different cell types, as well as in the membrane of some intracellular organelles (Venkatachalam and Montell, 2007). They are implicated in a variety of sensorial functions, expanding from vision and taste to nociception (Clapham, 2003; Venkatachalam and Montell, 2007; Julius, 2013; Jardin et al., 2017). Structurally, the TRP channels are tetrameric integral membrane proteins whose

monomeric subunits display a domain structure characterized by six transmembrane segments (S1-S6), C- and N-cytosolic domains, and an aqueous pore region structured by S5 and S6 segments and their connecting loop. Both C- and Ntermini exhibit particular functional domains depending on the subfamily (Ferrer-Montiel et al., 2004; Latorre et al., 2009; Cao et al., 2013; Liao et al., 2013). Currently, the TRP family is divided into six subfamilies according to sequence homology, namely canonical (TRPC), vanilloid (TRPV), ankyrin (TRPA), melastatin (TRPM), polycystic (TRPP), and mucolipin (TRPML) (Venkatachalam and Montell, 2007; Flockerzi and Nilius, 2014). In mammals, a total of 28 members have been identified, of which 4 members of TRPV (TRPV1-4), 2 members of TRPM (TRPM8 and TRPM3), and the only member of the TRPA subfamily (TRPA1) are known as thermoTRP channels, as they are environmental temperature sensors. Notably, thermoTRPs have been related to the pathophysiology of pain (Mickle et al., 2015, 2016).

Activation of thermoTRP channels in nociceptors provokes a Na<sup>+</sup> and Ca<sup>2+</sup> influx resulting in membrane depolarization, neuronal exocytosis, and action potential firing, as well as activation of intracellular second messenger cascades that may lead to neuronal adaptation, i.e., desensitization and/or potentiation (Ramsey et al., 2006; Ciardo and Ferrer-Montiel, 2017). All thermoTRPs are polymodal channels, being gated by a variety of chemical and physical stimuli, such as temperature, pH, osmolarity, endogenous compounds, inflammatory molecules, and natural products (Nilius and Szallasi, 2014; Dai, 2016; Moran and Szallasi, 2017). Natural compounds, such as capsaicin, menthol, and cinnamaldehyde, have been widely used to explore and understand the role of these channels in nociception and pain (Julius and Basbaum, 2001; Julius, 2013).

The ThermoTRP channels are functionally modulated by lipids (Ciardo and Ferrer-Montiel, 2017). Cholesterol has been described to be a modulator of TRPV and TRPM channels, involved in potentiating or reducing their activity (Taberner et al., 2015; Morales-Lazaro and Rosenbaum, 2017). One family of molecules derived from cholesterol metabolism is steroid hormones (Hu et al., 2010). Progestogens, estrogens, and androgens are powerful molecules that regulate a wide variety of cellular functions, and the link between them and pain vulnerability continues to grow. Several reports point to a transcriptional regulation of thermoTRPs expression by sex hormones (Jung et al., 2009; Kumar and Singru, 2017). Notably, recent studies in mice suggested a direct activation and/or modulation of thermoTRP channels by steroids (Asuthkar et al., 2015a,b; Ortiz-Renteria et al., 2018). Thus far, the link between sex hormones and pain has been mainly centered around how these hormones affect the structures in the CNS related to stress, anxiety, and pain (Aloisi and Bonifazi, 2006). It is wellestablished that estrogens regulate and modulate the opioid system contributing to analgesia differences in males and females at both experimental and clinical levels (Craft, 2003; Fillingim and Gear, 2004; Loyd and Murphy, 2014). Furthermore, the sex hormones appear to disturb the interrelation between the immune system and opioid receptors (Doyle and Murphy, 2017). Cumulative evidence implies a modulation of the somatosensory system function by sex hormones that may underlie the human gender prevalence in some types of chronic pain (Mapplebeck et al., 2017; Sorge and Totsch, 2017).

As suggested by Kumar et al. (2015), the cross-talk between steroid hormones and thermoTRPs may have important implications for clinical context of human diseases. A relevant case in point is migraine, which is the seventh most prevalent medical disease and the second most disabling neurological condition in the world (GBD 2015 Disease Injury Incidence Prevalence Collaborators, 2016; GBD 2015 Neurological Disorders Collaborator Group, 2017). This episodic primary headache is often characterized by intense, unilateral, throbbing, and pulsatile headache attacks, lasting for 4-72 h, and is frequently accompanied by nausea, vomiting, photophobia, and/or phonophobia. It is commonly divided into two main groups—with and without aura, that correspond to transient focal neurological symptoms that usually precede or sometimes accompany a headache (Headache Classification Committee of the International Headache Society, 2013). Migraine exhibits a clear sex difference in prevalence, indicating a predominant role of sex hormones as triggers per se or modulators of headache attacks through regulation of thermoTRP channels. Although both have separately been proposed as therapeutic targets for migraine intervention, the interrelation of sex hormones and thermoTRPs in the etiology of the disease has not been addressed in depth.

Here, we review the role of sex hormones in the activation, modulation, and regulation of the main thermoTRP channels involved in the pathophysiology of migraine. Nonetheless, we should mention that sex differences in migraine, and other chronic pain syndromes, will also be influenced by gonadalindependent X-linked gene expression that contributes to inborn sex differences in organs, tissues, and cells (immune, endothelial, and neurons), as well as by other factors (i.e., psychological and social) (review in Mogil, 2012; Bartley and Fillingim, 2013). The available information on the influence of these gonadal-independent factors on the pathophysiology of migraine, especially on the expression and activity of TRP channels, is very scarce, thus preventing us from properly addressing it in this review. Accordingly, we focus on the information regarding the direct interaction and modulation of thermoTRP channels by sex hormones, which may, at least in part, underlie the greater prevalence of the disease in women. We suggest that thermoTRPs may represent potential therapeutic targets for migraine intervention and other pain syndromes that exhibit sex dimorphism.

## INFLUENCE OF SEX HORMONES IN MIGRAINE

Cumulative evidence indicates that migraine is a chronic pain disease linked to sex hormones. Firstly,  $\sim 15\%$  of the population suffer from this, including children; however, the prevalence in women is up to three times higher than in men. Although a peak of incidence appears in individuals in the age range of 25–55 years in both genders, this remains higher in women

(Stewart et al., 1992; Lipton et al., 2001, 2007; Mathers et al., 2008; Vetvik and MacGregor, 2017). Secondly, the migraine prevalence changes across the age range. In 2003, a National Health Interview Survey, in which more than 40,000 US-citizens (70% adults and 30% children) were interviewed, showed that boys and girls shared a similar 1-year prevalence until puberty, thereafter it increased in both genders, being two or three times greater in women (Victor et al., 2010). This study also found that the largest difference in migraine prevalence occurred at the age of 30.2 years, declining from the age of 42 years (Victor et al., 2010). In women, the prevalence sharply decreased at menopause (Vetvik and MacGregor, 2017). The sex difference in the disease incidence between 15 and 50 years is probably related to the higher level of sex hormones during this age range. Most studies showed a protective role of testosterone and progesterone against migraine crisis, while the data for estrogens were more controversial. There are studies reporting that low levels of estrogens may be related to an increase in the number of migraine attacks, whereas others suggest that the application of estrogens promotes migraine episodes (see below).

In addition to the higher prevalence of migraine in females, it has also been reported that women experience more frequent, longer-lasting, and more intense attacks than men (Celentano et al., 1990; Boardman et al., 2003). The constant finding was that women, in comparison to men, have longer-lasting migraine attacks (Kallela et al., 1999; Steiner et al., 2003; Wober-Bingol et al., 2004; Kelman, 2006; Murtaza et al., 2009; Franconi et al., 2014; Bolay et al., 2015), as well as longer photophobia, phonophobia, nausea, vomiting, and cutaneous allodynia (Steiner et al., 2003; Murtaza et al., 2009; Bolay et al., 2015). One study, which analyzed 2,082 migraine adult patients (1,804 women and 278 men), reported that the headache intensity in women changed in an age-dependent manner and the duration and intensity of each attack achieved a peak above the age of 30 years. None of these variations were detected in men (Bolay et al., 2015). Therefore, these changes in the frequency and/or intensity seemed related to changes in women's reproductive status (puberty, pregnancy, or menopause) (Gupta et al., 2007), which were associated with fluctuating levels of estrogen and progesterone in the menstrual cycle. In support of this tenet, it has been shown that there is a significantly increased risk in women to suffer a migraine episode between 2 days before and 3 days after menstruation, which could be related to the lowest concentration of estrogen and progesterone (reviewed in Gupta et al., 2007; Macgregor, 2014; Vetvik and MacGregor, 2017). Furthermore, the headache classification set out by the Committee of the International Headache Society (2013) indicated that migraine without aura was often related to the menstrual cycle, thus categorizing it as pure menstrual migraine if the attack occurred only during the cycle, and menstrual-related migraine if there were additional episodes with or without aura during the menstrual cycle. Although the first phenomenon is not common (Gupta et al., 2007), menstrualrelated migraine has been reported in more than 50% of women with migraine (Martin, 2004). Akin to menstruation, treatments involving the intake of hormonal contraceptives have been related to a higher frequency of migraine episodes (MacGregor, 2013).

Perimenstrual estrogen withdrawal seems to be a trigger for migraine without aura. Based on this finding, perimenstrual estrogen supplements (estradiol patches) to 22 migraineur women significantly reduced the number of menstrual-related migraine attacks and also the intensity of the attacks during the months of treatment, as compared with placebo (Dennerstein et al., 1988). Similarly, in another pilot clinical trial with 20 migraineur women, estradiol gel reduced the duration and intensity of the migraine attacks when compared to a placebo gel (de Lignieres et al., 1986). Interestingly, an inverse association between migraine and estrogen levels in urine was found (MacGregor et al., 2006a). However, this study did not establish a threshold for estrogen withdrawal to trigger a migraine attack. Another study with 21 migraineur women reported that transdermal estradiol patches induced a slightly preventive effect for migraine crisis in women with induced menopause, thereby concluding that a low amount of estradiol in serum is sufficient to evoke migraine (Martin et al., 2003). During pregnancy, when estrogen and progesterone are 10 times higher than in non-pregnant states, an improvement in the disease was reported, especially in women suffering from menstrual-related migraine, although in some cases migraine with aura worsened in the first month of pregnancy (Macgregor,

The prevalence of migraine during perimenopause (period of 2–8 years prior to menopause and 1 year after the end of menses) appears to be higher among women who had suffered from menstrual-related migraine (Mattsson, 2003; Wang et al., 2003). In a clinic-epidemiologic report, which analyzed 556 migraineur women, two-thirds of women suffering from migraine reported an improvement in the disease after spontaneous menopause. However, it worsened in women after surgical menopause (Neri et al., 1993). During this period, the treatment of choice for migraine was transdermal estrogen patches or an estrogen gel, since there was evidence that oral pharmacological treatment could worsen migraine because of a greater systemic hormonal fluctuation (MacGregor et al., 2006b). Overall, there is an increased risk of migraine during the reproductive years, which decreases in the post-menopause phase (Ripa et al., 2015). Nonetheless, to confirm the effect of menstrual hormones on migraine, longitudinal studies are required.

A study by Li et al. (2018) analyzing 119 migraineurs, 42 patients with tension-type headache, and 30 healthy controls tried to relate sex hormones with migraine clinical outcomes in men and menopausal, perimenopausal, and reproductive-aged women. In this study, testosterone appeared to be lower in all migraineur women categories when compared to healthy controls, while progesterone appeared to be lower in both men and postmenopausal women. High estrogen levels in men and reproductive women appear to correlate with the least incapacitating migraine attacks. However, high estrogen levels were positively related to the duration of the migraine episode in post-reproductive women during the luteal phase (Li et al., 2018). With several limitations, similar to previous prospective studies, this study

suggested a complex role of sex hormones in the etiology of migraine.

#### PATHOPHYSIOLOGY OF MIGRAINE

Several events that occur in the CNS and PNS during a migraine attack, particularly in the trigeminal ganglia (TG), have been described. To mention a few: (i) a neuronal hyperexcitability in cortical regions (Welch, 2005; Aurora and Wilkinson, 2016); (ii) a cortical spreading depression (CSD) likely connected with the aura phase (Iadecola, 2002; Eikermann-Haerter et al., 2009, 2011; Zhang et al., 2010); (iii) the activation and sensitization of trigeminal nociceptors at the peripheral and central levels (Buzzi and Moskowitz, 1992; Goadsby and Edvinsson, 1993; Bolay et al., 2002); (iv) a cranial vasodilatation and meningeal inflammation (Moskowitz and Macfarlane, 1993; Williamson and Hargreaves, 2001; Levy, 2009).

Some of these events have been reported as a consequence of others, without clarifying which is the main cause or the trigger of a migraine episode. Meningeal blood vessels are located in the dura mater of the meninges in the CNS. The role of these vessels and their vasodilation in migraine has been widely studied (Humphrey and Goadsby, 1994; Goadsby et al., 2002; Parsons and Strijbos, 2003). Various studies in animals indicate that meningeal inflammation is the driving event of nociceptor sensitization (Williamson and Hargreaves, 2001; Levy, 2009, 2012). Accordingly, it was thought that vasodilation of these meningeal blood vessels was responsible for migraine triggers and therefore, some vasoconstrictors were developed to treat acute migraine (Villalon et al., 2003). However, other researches suggested that vasodilation of meningeal blood vessels was the consequence of trigeminal system activation rather than the major trigger. Thus, it was reported that the trigeminal sensitization caused cranial vasodilation in both humans and cats as a result of the production of nitric oxide (NO) that promoted the release of neuropeptides, such as substance P (SP) and α-calcitonin gene-related peptide (α-CGRP) (Goadsby and Edvinsson, 1993; Goadsby et al., 2009). The secretion of these vasoactive neuropeptides underlies the vasodilation characteristic of a migraine attack (Waeber and Moskowitz, 2005). In 2008, Schoonman and colleagues published a paper in which they induced migraine in migraineurs and healthy individuals by injecting the vasodilator nitroglycerin, a NO donor. Contrary to the widespread belief, they observed that only the control subjects experienced vasodilation of the meningeal vessels (Schoonman et al., 2008). Thus, the vasodilation of meningeal vessels seemed not to be the main cause of migraine pathophysiology, although it pivotally contributes to the symptomatology of the disorder. On the other hand, many studies have shown that CSD promotes trigeminal sensitization (Bolay et al., 2002; Zhang et al., 2010). Hence, the precise molecular and cellular mechanisms underlying migraine attacks remain largely elusive (Goadsby et al., 2009).

The triggering event in migraine is still under intense debate (Burstein and Jakubowski, 2005; Levy et al., 2009; Messlinger, 2009; Olesen et al., 2009; Charles, 2010; Levy, 2010, 2012; Bolay,

2012). Several groups postulated that the CNS is the starting point of the migraine attack, but other groups suggested that migraine is initiated by the activation of the trigeminal system. One data point that supports a peripheral triggering event is that during a migraine attack, elevated levels of inflammatory mediators, such as nerve growth factor (NGF), bradykinin, prostaglandins, and eicosanoids, are observed (Goadsby and Edvinsson, 1993; Sarchielli et al., 2006). This "inflammatory soup" has been largely postulated to be a key factor that sensitizes the TRPV1 and TRPA1 channels. Sensitization of these thermoTRP channels promotes the release of αCGRP in trigeminal terminals that in turn induces cranial vasodilatation (Meents et al., 2010; Benemei et al., 2013, 2014). Similarly, the application of capsaicin in the trigeminal system has been used as an experimental model of migraine (Gazerani et al., 2005). However, in a different study, capsaicin was shown as a relief for migraine attacks by depleting vasoactive neuropeptides (Benemei and Geppetti, 2013). Besides capsaicin, other TRP channel agonists have been described as migraine triggers (Kunkler et al., 2011), thereby placing TRPV1, TRPA1, and TRPM8 in the therapeutic spotlight for the development of migraine treatments (Nassini et al., 2010; Oxford and Hurley, 2013; Dussor et al., 2014). Interestingly, some chemical agents such as cigarette smoke, ammonia, formaldehyde, and chlorine can induce migraine attacks-all these compounds are TRPA1 agonists (Benemei et al., 2012). The headache produced by these environmental agents has been shown to be mediated by the secretion of αCGRP that increases cerebral blood flow (Kunkler et al., 2011). Additionally, low levels of magnesium in early embryonic development, which is able to permeate TRPM6 and TRPM7 channels, have also been reported as triggering migraine episodes (Komiya and Runnels, 2015).

In several meta-analysis and genome-wide association studies (GWAS) the TRPM8 locus has been related to susceptibility to migraine (Chasman et al., 2011; Esserlind et al., 2016; Gormley et al., 2016; Key et al., 2018). Also, genetic variations in single nucleotide polymorphisms (SNPs) in TRPV1 and TRPV3 loci in a Spanish cohort were linked to a genetic predisposition to migraine (Carreño et al., 2012) (review in Zorina-Lichtenwalter et al., 2016). Thus, these studies implicate thermoTRPs as pivotal contributors to migraine, and suggest that they may be interesting targets for the treatment of migraine (Dussor et al., 2014; Tso and Goadsby, 2014).

#### **TRPV1 AND ESTROGENS**

TRPV1 channels, along with other thermoTRPs, are localized predominatly in peripheral and central nerve terminals of dorsal root ganglia (DRGs) and TGs (Caterina et al., 1997; Ichikawa and Sugimoto, 2001; Peier et al., 2002; Story et al., 2003; Bae et al., 2004; Okazawa et al., 2004; Roberts et al., 2004; Bautista et al., 2005; Kobayashi et al., 2005; Shimizu et al., 2007; Huang et al., 2012). Notably, TRPV1 is highly co-expressed with  $\alpha$ CGRP (Ichikawa and Sugimoto, 2001; Bae et al., 2004), as well as with Substance P (SP) and P2X3 purinergic receptors. TRPV1 colocalizes with TRPA1 as well (Story et al., 2003; Bautista et al., 2005), whereas TRPM8 is expressed in a different subpopulation

of nociceptors (Peier et al., 2002; Story et al., 2003; Okazawa et al., 2004; Ren et al., 2018).

TRPV1 is also present in the brain, particularly in the hypothalamus, thalamus, amygdala, periaqueductal gray, insula, and a number of other regions in the brain of humans and rodents (Mezey et al., 2000; Roberts et al., 2004; Steenland et al., 2006; Cavanaugh et al., 2011). Interestingly, brain regions expressing TRPV1 are targets of sex hormones. Imaging studies in 44 adult migraineurs (22 women and 22 men) showed that migraineur women exhibited a thicker posterior insula and precuneus cortices compared to male migraineurs and the healthy controls of both sexes (Maleki et al., 2012). The authors suggested that these morphological alterations might underlie the different responses of both genders to migraine attacks, as well as the effect of sexual hormones and the differential impact of anti-migraine drugs such as triptans (Maleki et al., 2012).

In addition to the presence of TRPV1 channels in the brain regions that are influenced by sex hormones, some studies have demonstrated the expression of estrogen receptors (ER $\alpha$ , ER $\beta$  y GPR30) in sensory neurons (Papka et al., 1997; Papka and Storey-Workley, 2002; Takanami et al., 2010) where they colocalize with TRPV1 channels (Bennett et al., 2003). This co-expression in nociceptors has led to the hypothesis of a modulation of pain transduction by sexual hormones (Bennett et al., 2003; Chaban, 2013). In support of this hypothesis, there is experimental and clinical evidence relating the function of estrogens with TRPV1 activity. For instance, it has been reported that women experience more pain-related sensations on exposure to TRPV1 agonists than men (Jensen and Petersen, 2006; Gazerani et al., 2007).

Some studies analyzed the effects of steroid hormones on capsaicin-evoked currents in rodent nociceptors. Although a differentiation between sexes was not established, these studies detected that 17β-estradiol, the dominant estrogen during a woman's reproductive phase, is an important enhancer of capsaicin responses evoked in vitro in primary cultures of rodent nociceptors (Chen et al., 2004). This observation was also seen in vivo, where the threshold of the nociceptive responses to capsaicin injection was significantly reduced in rodent females (Lu et al., 2009). Although both sexes showed sensitivity to capsaicin, males required a four-fold higher dose of capsaicin than females for a similar response (Lu et al., 2009). It should be noted that the differences in capsaicin sensitivity observed between sexes may be caused by differential estrogen levels and influenced by the distinct percentage of estrogen receptors in male and female nociceptors (Takanami et al., 2010).

When estrogen levels were monitored, either as a function of the estral cycle or its replacement after ovariectomy in rodents, it was observed that under low levels of  $17\beta$ -estradiol, capsaicin produced a mild nocifensive response (Lu et al., 2009; Yamagata et al., 2016). In marked contrast, high levels of  $17\beta$ -estradiol (proestrus) resulted in a lower mechano- and thermo-nociceptive threshold, thereby promoting mechanical and thermal sensitization (Payrits et al., 2017). This nociceptive sensitization was not observed in TRPV1 knock-out mice, implying a link between estrogen nociceptor sensitization and TRPV1 in vivo (Payrits et al., 2017).

At the cellular level, it has been proposed that nociceptor sensitization induced by estrogens could be provoked by an alteration of nociceptor excitability. Flake et al. (2005) demonstrated that estrogens reduced the threshold of action potential firing and increased their spontaneous activity. They speculated that these changes in nociceptor excitability may be associated with membrane depolarization provoked by estrogen-induced activation of thermoTRP channels, particularly TRPV1. In this study, however, the authors did not find a significant difference in the proportion of nociceptors that responded to capsaicin between ovariectomized females treated with vehicle and those treated with estrogens after ovariectomy (Flake et al., 2005). In contrast, Yazgan and Naziroglu (2017) showed that ovariectomy led to higher capsaicin-induced current densities. Replacement therapy with 17β-estradiol reduced capsaicin responses to a level similar to that of mock-operated animals (Yazgan and Naziroglu,

Curiously, some studies show that TRPV1 sensitization by  $17\beta$ -estradiol was stereospecific. However, the  $17\beta$ -estradiol induced TRPV1 potentiation, the stereoisomer,  $17\alpha$ -estradiol, did not affect the activity of the channel in sensory neurons (Chen et al., 2004; Lu et al., 2009). Similarly,  $17\alpha$ -estradiol did not affect the vasorelaxation induced by anandamide, an endogenous TRPV1 agonist (Ho, 2013). It should be noted, however, that this observation is still under debate as this stereospecificity was not observed in another study (Xu et al., 2008).

The molecular mechanisms involved in 17β-estradiol-induced sensitization of TRPV1 appeared to include both a genomic regulation of channel expression and a functional modulation. Regarding the long-term effect of estrogens in females, several studies showed a transcriptional-induced expression of TRPV1 by 17β-estradiol in nociceptors (Yamagata et al., 2016; Kumar et al., 2017; Payrits et al., 2017). Even sensory neurons derived from female mouse stem cells showed that the application of 17β-estradiol increased the expression of TRPV1 mRNA (Greaves et al., 2014). Similarly, Cho and Chaban (2012) published another study that showed the relationship between estrogens and TRPV1 expression. Notably, they reported a reduction of TRPV1 expression in ERα and ERβ null lines. Estradiol-induced TRPV1 expression has been observed not only in neuronal samples (Wu et al., 2010; Kumar and Singru, 2017) but also in non-neuronal tissues such as peritoneum (Greaves et al., 2014), endometrium (Pohoczky et al., 2016), and synoviocytes (Wu et al., 2015). Although still limited, some genomic studies imply the presence of a putative functional estrogen response element in the TRPV1 promoter (Greaves et al., 2014; Kumar and Singru, 2017).

In addition to the genomic regulation, there are several studies that have provided evidence for the modulation and/or a direct effect of 17β-estradiol on TRPV1 based on the rapid responses that can be induced by estrogens. An *in vivo* study in rodents reported a fast onset of 17β-estradiol on capsaicin sensitization when instilled locally in the ipsilateral paw, without an effect on the contralateral paw (Lu et al., 2009). Furthermore, pre-incubation with PKC or PKA inhibitors did not enhance

capsaicin responses, thereby suggesting a direct effect of  $17\beta$ -estradiol on TRPV1 activity (Lu et al., 2009). In support of this, other studies have suggested that the effect of estrogens on TRPV1 activity was mediated by PKC $\epsilon$  phosphorylation of Ser-800, which enhances channel activity (Hucho et al., 2006; Kuhn et al., 2008; Goswami et al., 2011). Through a TRPV1-dependent mechanism, but independent of its ion channel activity, PKC $\epsilon$  may promote cytoskeletal destabilization that in turn may produce mechanical nociceptor sensitization (Goswami et al., 2011). In contrast, Payrits et al. (2017) suggested that  $17\beta$ -estradiol-induced TRPV1 sensitization in nociceptors may be indirectly produced through the TrkA pathway as the application of inhibitors of this pathway abolished TRPV1 sensitization by estrogen (Figure 1).

However, to add more confusion to the field, not all studies have shown that 17β-estradiol enhances the expression and sensitization of TRPV1 channel in nociceptors. Indeed, there are studies that imply a preventive or analgesic effect of estrogens. For instance, Yazgan and Naziroglu (2017) observed that deprivation of 17β-estradiol was related to an excessive production of mitochondrial oxygen free radicals and a high Ca<sup>2+</sup> influx through TRPV1, TRPA1, and TRPM2 channels, which appears to be one of the main causes of neurodegenerative disease in postmenopausal women. This study also showed that TRPV1 levels decrease as a result of 17β-estradiol administration, and ovariectomy produced capsaicin sensitization in line with a previous study (Sanoja and Cervero, 2005). Another study reported that a long-term incubation with 17β-estradiol reduced the activation of TRPV1 (Xu et al., 2008). Similarly, Thompson et al. (2008) pointed out that the anti-nociceptive action of 17β-estradiol depended on the concentration used, as high doses of 17β-estradiol benzoate produced a low nociceptive response in females. Clearly, additional studies are needed to further unveil estrogen-mediated modulation of thermoTRPs in sensory neurons and other cell types involved in migraine. Furthermore, attention has to be paid to the experimental conditions used in *in vitro* studies as these may have a notable influence on the final modulatory effect of gonadal hormones. For instance, nociceptor subpopulations should be considered, as they may exhibit differential sensitivity to these hormones.

#### **TRPV1 AND PROGESTERONE**

Progesterone is the other steroid hormone that, together with estradiol, regulates the estral cycle in rodents and the menstrual cycle in humans. There are a plethora of studies linking progesterone to anti-nociception in neuropathic pain models (Coronel et al., 2011, 2016; Verdi et al., 2013; Jarahi et al., 2014; Liu et al., 2014). In this context, the few studies that investigated the putative effects of progesterone in the expression and functional modulation of thermoTRPs showed mainly an inhibitory role of this hormone. For example, it was observed that progesterone, acting through its receptor, reduced the expression of TRPV4 in sensory neurons (Jung et al., 2009). Recently, Ortiz-Renteria et al. (2018) reported a new molecular mechanism through which progesterone and the Sig-1R chaperon promoted TRPV1 down-regulation in nociceptors. The interaction of progesterone with the Sig-1R chaperon inhibited its binding to the TRPV1 promoter, resulting in a transcriptional inhibition of TRPV1 expression and consequently a lower nociceptive response to capsaicin. This in vivo observation is in disagreement

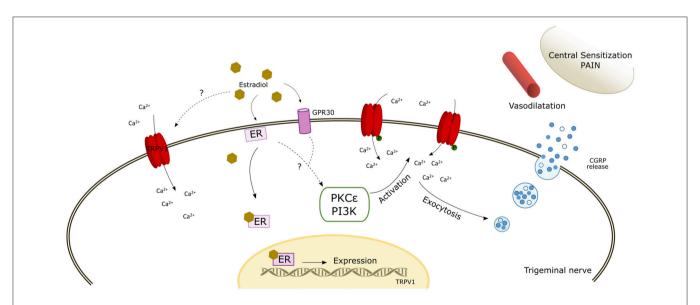


FIGURE 1 | Schematic diagram of the potential mechanisms of action of estrogen modulating TRPV1. The scheme reflects a possible direct activation by estradiol. In addition, the interaction of estradiol with estrogen receptors (ER and GPR30) may induce the expression of TRPV1 and likely activate intracellular signaling pathways that phosphorylate TRPV1 inducing its membrane expression and its activation/sensitization. The increase of intracellular calcium enhances αCGRP release which induces cranial vasodilatation, trigeminal sensitization, and pain. ER, estrogen receptor; PKCε, Protein Kinase C epsilon; Pl3K, Phosphatidylinositol-4,5-bisphosphate 3-kinase.

with Chen et al. (2004) who observed that progesterone did not modify capsaicin-induced currents in DRGs cultures. Similarly, Lu et al. (2009) found that the therapeutic replacement of progesterone in ovariectomized rats did not change the nocifensive response to capsaicin application.

Akin to estrogens, it is plausible that progesterone nociceptive effects are concentration-dependent, being stronger at high concentrations. This may account for the lack of a clear antinociceptive effect of the hormone during the estral cycle, where it is present at low concentration. On the other hand, progesterone seemed to mediate anti-nociceptive responses during pregnancy (Ortiz-Renteria et al., 2018). Furthermore, progesterone is a direct agonist of TRPM3 channels (Majeed et al., 2012; Miehe et al., 2012; Kumar et al., 2015) and it appears to regulate TRPC3 channels (Majeed et al., 2011). As with estrogens, more studies are needed to fully understand the potential role of progesterone modulation of nociceptor excitability in contributing to migraine episodes.

## TRPV1 AND OTHER SEX-RELATED HORMONES

Prolactin is a peptide hormone secreted by the anterior pituitary gland that plays a role in osmoregulation, metabolism, the immune system, and the stimulation of lactogenesis and galactopoiesis. Prolactin is also involved in inflammatory responses (Costanza et al., 2015; Pereira Suarez et al., 2015). In TG neurons, a prolactin receptor is highly expressed in TRPV1 nociceptors, where it potentiates capsaicin-evoked currents, calcium influx, and αCGRP release (Patil et al., 2014). Diogenes et al. (2006) reported that 17β-Estradiol produced a notable increase of prolactin in TG overlapping with TRPV1-expressing sensory neurons. Notably, prolactin enhanced the capsaicin-evoked responses in TG nociceptors, both in vitro and in vivo, in a 17β-Estradiol-dependent manner. Furthermore, prolactin significantly augmented the capsaicininduced nociceptive responses in female rats at proestrus and in ovariectomized females after estradiol treatment (Diogenes et al., 2006). In a subsequent study, the same group demonstrated that the short prolactin receptor signaling pathway mediated the activation of TRPV1 via PKC and PI3K, thereby affecting the action potential threshold and excitability of nociceptors (Belugin et al., 2013; Patil et al., 2013a,b, 2014).

In addition to prolactin, there is increasing interest in oxytocin because it may become an analgesic target for various chronic pain pathologies due to its role in pain modulation (Gonzalez-Hernandez et al., 2014; Rash et al., 2014; Tracy et al., 2015; Valstad et al., 2016). The nociceptive modulation exerted by this neuropeptide is mediated through two main pathways. Firstly, the endogenous opioid system plays an important indirect role in the modulation of pain by oxytocin. Specifically, the activation of opioid receptors appears to drive oxytocin central analgesic effects (Rash et al., 2014). Secondly, the activation of GABAergic inhibitory interneurons directly inhibits nociceptive C and A8 fibers at the spinal cord (Gonzalez-Hernandez et al., 2014; Rash et al., 2014). The relative importance of this system

remains elusive because it is still unknown whether there are oxytocin receptors in nociceptors. In addition, the peripheral contribution of vasopressin 1a receptors might also explain the oxytocin nociception regulation. Clearly, information about the receptors involved in the antinociceptive effects of oxytocin, at the peripheral nociceptors endings and supraspinal levels, remains a key research area in pain science (Gonzalez-Hernandez et al., 2014), which requires urgent investigation.

There are several attempts to apply intranasal oxytocin as an analgesic therapy, and even as a migraine treatment (Wang et al., 2013; Tracy et al., 2015). However, the intranasal application of oxytocin is often not effective. For instance, some women reported an increase in the perceived intensity of noxious heat stimuli after oxytocin inhalation (Tracy et al., 2017). In this context, a recent report describing, in both sexes, the direct agonist action of oxytocin on TRPV1 is a significant step toward disentangling the mechanism of action of oxytocin on nociceptors (Nersesyan et al., 2017). These results provide an explanation for the hot pain intensity reported by women patients under oxytocin therapy. Furthermore, oxytocin anti-nociceptive activity may be due to TRPV1 desensitization in nociceptors, similar to that induced by capsaicin and resiniferatoxin. Interestingly, the different physicochemical characteristics of oxytocin and capsaicin suggest a distinct receptor binding site for the hormone. However, it appears that oxytocin may bind to an outer transmembrane site located in the interfacial region between two adjacent subunits (Nersesyan et al., 2017), distant from the capsaicin binding site (Cao et al., 2013; Darre and Domene, 2015; Yang and Zheng, 2017). The discovery of this novel binding site in the TRPV1 channel opens new avenues for the design of novel receptor antagonists for the treatment of migraine.

#### TRPV1, TRPM8, AND TESTOSTERONE

The lower prevalence of chronic migraine in men is also characterized by a lower intensity and/or shorter duration of pain symptoms as compared to women (Bartley et al., 2015), thereby suggesting a role of testosterone. There are clinical studies showing that testosterone replacement therapy reduces pain outcomes and improves the quality of life of patients with hypogonadism (Aloisi et al., 2011), thereby supporting the hypothesis that testosterone may have an antinociceptive role. However, some studies suggest that testosterone is necessary for a small nociceptive response (Thompson et al., 2008; Glaser et al., 2012; Schertzinger et al., 2017). According to these studies, low levels of testosterone are related to high discomfort, anxiety, and pain in response to noxious hot stimuli (Choi et al., 2017). In another study, Fanton et al. (2017) concluded that the protective effect of testosterone is due to the activation of androgen receptors by the hormone instead of an androgenic action of a testosterone derivative (i.e., dihydrotestosterone) during CNS development. These observations are in agreement with the testosterone effect observed in nociceptors. For example, the application of androgenic hormones to nociceptors showed an inhibitory

effect on capsaicin-induced currents (Chen et al., 2004). In addition, a recent study observed the significant differences between male and female mice in the expression of TRPV1 channels in TG, after an inflammatory insult, and provided data suggesting that testosterone may be an important contributor to the sensitization of TRPV1 in chronic inflammatory pain (Bai et al., 2018). This study observed a decrease of TRPV1 expression with testosterone replacement after gonadectomy. Although more studies are needed, this report further supports that sex hormones play a central role in modulation of the activity of TRPV1 channels.

Apart from TRPV1, it is worth mentioning that testosterone is also a regulator of TRPM8, a thermoTRP channel involved in cold nociception. Zhang and Barritt (2004) found that the promoter of TRPM8 contains a putative androgen-response element motif. Notably, this channel is highly expressed in the prostate and appears overexpressed in prostate cancer by a testosterone-mediated mechanism. The dependence of TRPM8 expression on androgens was demonstrated, which showed that the replacement of testosterone after gonadectomy induced the recovery of TRPM8 expression (Yang et al., 2012). Testosterone and TRPM8 are not just linked by genomic regulation, as testosterone is a potent TRPM8 agonist (Asuthkar et al., 2015a,b,c). These studies report the first evidence describing an endogenous modulator of this thermoTRP channel, although additional studies are needed to unveil the contribution of testosterone to cold allodynia and other nociceptive conditions promoted by TRPM8 activity. It is worth noting that TRPM8 has been recently proposed as an interesting therapeutic target for migraine due to the analgesic ability of some of its agonists (Dussor and Cao, 2016).

#### **CONCLUSIONS**

There is no doubt about the existence of a sex difference prevalence in chronic pain conditions such as migraine, where the prevalence in women is two or three times greater than in men. Although the specific molecular and

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cellular mechanisms underlying this sex dimorphism are still under intense investigation, a pivotal role of sex hormones regulating the somatosensory system appears clear. It was believed that sex hormones mainly acted to regulate the immune system, but evidence is building up on a direct role modulating nociceptor signaling. This modulation appears mediated by the action of these hormones on thermoTRP channels, such as TRPV1, TRPA1, TRPM3, TRPV4, and TRPM8, and probably others that are still to be investigated. Sex hormones can regulate the expression of these channels, acting at a transcriptional level and/or their channel activity and/or through activation of intracellular signaling pathways that sensitize their activity. Understanding the role of sex hormones modulating the somatosensory system, and unraveling their impact on the long-term nociceptor excitability that underlies chronic pain, will pave the way to the design and development of novel and more efficient therapies that consider sex differences in pain perception. Nonetheless, it should be taken into consideration that sex hormones may not be the only players in determining sexual dimorphism in migraine pain, as this is a very complex phenomenon involving both gonadal-dependent and independent mechanisms that most likely complement each other in defining sex differences in migraine and chronic pain

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MA-M and SG-R have written parts of the review. AF-M conceived the project and has reviewed and edited the manuscript.

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Extracellular ATP Regulates CD73 and ABCC6 Expression in HepG2 Cells

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The ATP-binding cassette sub-family C member 6 transporter (ABCC6) is an ATP dependent transporter mainly found in the basolateral plasma membrane of hepatic and kidney cells. Mutations in ABCC6 gene were associated to the Pseudoxanthoma elasticum (PXE), an autosomal recessive disease characterized by a progressive ectopic calcification of elastic fibers in dermal, ocular, and vascular tissues. It is reported that the over-expression of ABCC6 in HEK293 cells results in the cellular efflux of ATP and other nucleoside triphosphates, which in turn are rapidly converted into nucleoside monophosphates and pyrophosphate (PPi). Since PPi is an inhibitor of mineralization, it was proposed that the absence of circulating PPi in PXE patients results in the ectopic mineralization, a typical feature of PXE. In the extracellular environment, ATP is converted, not only into pyrophosphate, but also into AMP by an ectonucleosidase, which in turn is transformed into adenosine and phosphate. ABCC6 protein is thus involved in the production of extracellular adenosine and therefore it could have a role in the activation of the purinergic system. In the liver, purinergic signaling has been shown to regulate key basic cellular functions. Our previous studies showed that in ABCC6 knockdown HepG2 cells the expression of some genes, related with the calcification processes, is dysregulated. In this study, experiments have been carried out in order to verify if ABCC6, besides supplying the pyrophosphate required to prevent the mineralization of soft tissues, also plays a role in the activation of the purinergic system. For this purpose, the transport activity of ABCC6 was blocked with Probenecid and the expression of ABCC6 and NT5E was analyzed with real time PCR and western blotting. The results of this study showed that both proteins are downregulated in the presence of Probenecid and upregulated in the presence of adenosine or ATP.

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#### INTRODUCTION

The ectopic mineralization of the soft tissues represents a pathological condition characterized by the deposition of calcium phosphate complexes in the soft connective tissues. Inorganic pyrophosphate (PPi) acts as a powerful inhibitor of mineralization, while phosphate (Pi) is a pro-mineralization factor, then an appropriate PPi/Pi ratio is essential for preventing the ectopic mineralization under homeostatic conditions (Terkeltaub, 2001). The pathophysiology of hereditary ectopic calcifications has been extensively studied in three rare diseases, namely

pseudoxanthoma elasticum (PXE, OMIM 264800), generalized arterial calcification of infancy (GACI, OMIM 208000) and arterial calcification due to deficiency of CD73 (ACDC, OMIM 211800). Scheme 1 shows how the ATP released from cells is converted by a protein encoded by an ecto-nucleotide pyrophosphatase/phosphodiesterase 1 gene (ENPP1) into PPi and AMP, and the latter into adenosine and phosphate by an extracellular nucleotidase known as CD73. PPi is a potent mineralization inhibitor, while adenosine is a purinergic pathway activator. Mutations of ABCC6 (ATP-binding cassette, subfamily C, member 6) gene causes PXE an autosomal recessive disorder characterized by calcified and fragmented elastic fibers of the skin, the retina and the vascular wall (Favre et al., 2017; Germain, 2017). The ABCC6 encoded protein containing an additional NH2-terminal transmembrane domain is expressed essentially in liver and kidney (Ostuni et al., 2010; Lee et al., 2014; Cuviello et al., 2015; Miglionico et al., 2016). Recently, it has been suggested that, in pathological conditions, the loss of the ABCC6-dependent outflow of ATP would result in an alteration of the extracellular PPi/Pi ratio with consequent mineralization processes (Jansen et al., 2013, 2014). However, while the effects of the lack of PPi production in the extracellular space are clear, the effects caused by the lack of production of adenosine when ABCC6 is mutated are not yet clear. The production of pyrophosphate is thus the result of the sequential action of ABCC6 and ENPP1 and explains the histopathological similarity between PXE and GACI diseases. Indeed, GACI is a rare autosomal recessive disease, caused by mutations of ENPP1, characterized by severe calcification of the elastic lamina inside the large and medium-sized arteries leading to arterial stenosis and heart failure within the first months of life (Rutsch et al., 2001, 2003). The AMP produced by ENPP1 is in turn transformed in adenosine and phosphate by the CD73 protein encoded by the NT5E gene whose mutations cause ACDC (St. Hilaire et al., 2011). ABCC6 protein is thus involved in the production of extracellular adenosine and therefore could be involved on the activation of the purinergic system. In this paper the term purinergic pathway will be used to indicate any activity related to the presence of extracellular nucleotides and purine nucleosides. However, although this scheme has been shared by various research groups and pyrophosphate has been proposed as a drug to treat PXE (Pomozi et al., 2017; Kranenburg et al., 2018), various aspects have not yet been clarified. First of all, it is not currently known by what mechanism this transporter promotes the release of ATP. In fact, it is not yet defined whether ABCC6 directly transports ATP and whether there is a substrate transported by ABCC6 other than ATP (Jansen et al., 2013). Moreover, it is not clear whether ABCC6 contributes to the activation of the purinergic system, which is able to regulate key basic cellular functions such as glucose/lipid metabolism, protein synthesis, and ionic secretion, also affecting homeostatic

Abbreviations: ABCC6, ATP-binding cassette, sub-family C, member 6; ACDC, arterial calcification due to deficiency of CD73; CD73, cluster of differentiation 73; ENPP1, ecto-nucleotide pyrophosphatase/phosphodiesterase type I; GACI, generalized arterial calcification of infancy; NT5E, ecto-5'-nucleotidase; PXE, pseudoxanthoma elasticum; TNAP, tissue non-specific alkaline phosphatase.

processes (cell cycle, inflammatory response, immunity, and mineralization; Fausther and Sévigny, 2011; Burnstock, 2012, 2017; Tapper et al., 2012; Ziegler et al., 2017).

Our previous studies, aimed at understanding the role of ABCC6 in cells that physiologically express it, have shown that *ABCC6*-silenced HepG2 cells showed changes in the cell phenotype as a consequence of a gene expression dysregulation and of the cell cycle alteration, suggesting that this protein could have another role besides promoting the PPi accumulation outside the cell (Miglionico et al., 2014, 2017). In this study, a panel of experiments have been performed to verify whether the ABCC6 is involved in the purinergic pathway.

#### MATERIALS AND METHODS

#### **Cell Culture and Treatments**

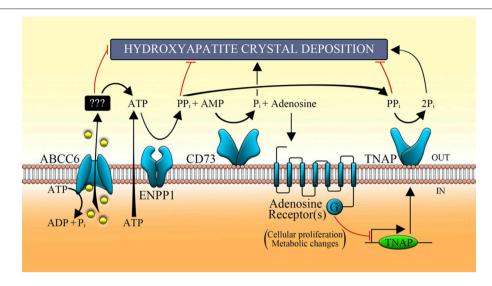
Human embryonic kidney cells 293 (HEK293) and human hepatocellular carcinoma cells (HepG2) were maintained in Dulbecco's modified Eagle's medium (DMEM) containing 4.5 g/L glucose, supplemented with 10% fetal bovine serum (FBS), 2 mM L-glutamine, penicillin (100 U/mL), and streptomycin (100 mg/mL) at 37°C, in an atmosphere humidified with 5% of CO<sub>2</sub>. HepG2 cells were seeded in 6-wells plates (6  $\times$  10<sup>5</sup> cells/well) and treated for 48 h with Probenecid, ATP, adenosine at the indicated concentrations. Probenecid was dissolved in dimethyl sulfoxide (DMSO) at 30 mg/ml as stock solution which was then diluted with DMEM to the desired concentrations. The final concentration of DMSO did not exceed 1% v/v. Control cells were treated at the same final percentage of DMSO. All compounds were purchased from Sigma-Aldrich (unless otherwise indicated).

#### Stable Transfection of ABCC6

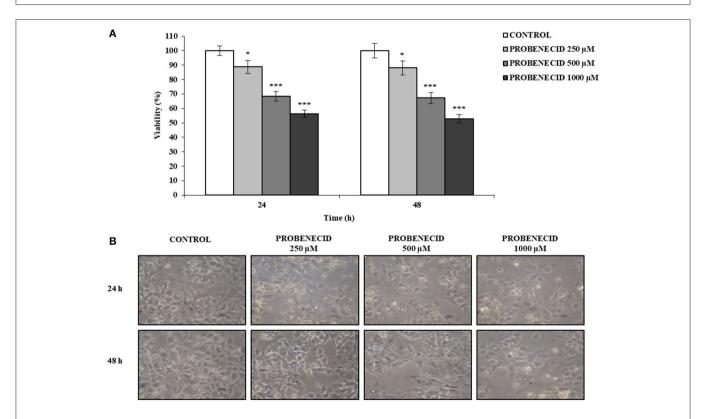
HEK293 cells were grown to 50–70% confluency and then transfected at 3:1 ratio FuGene 6 transfection reagent (Promega): DNA using Flag-pcDNA vector (as control) or Flag-pcDNA containing sequence coding for ABCC6 (*ABCC6*-Flag-pcDNA vector). Limiting dilutions were used to select individual clones. For 18 days medium containing geneticin (800 μg/mL, Euroclone) was changed in cultures twice weekly. As clones grew, a lower amount of G418 for maintenance (400 μg/mL of G418) was used.

#### **Doxorubicin Efflux Assay**

HEK293 cells stably over-expressing ABCC6 and control cells (3  $\times$   $10^5$  cells/well) were seeded in 12-wells plates, pre-treated with poly-D-lysine hydrobromide for 30 min, and allowed to adhere for 24 h. After incubation with 1 mM Probenecid in PBS for 1 h, 5  $\mu M$  doxorubicin was added to each well and cells were incubated in the dark for 30 min at 37°C in 5% of CO2. Cells incubated only with PBS were used as negative control. Cells were, then, washed two times with cold PBS and external fluorescence was measured at different times using a black 96-wells plates and GloMax Multi Detection System with blue filter (ex. 490 nm, em. 510–570 nm).



**SCHEME 1** Function of the main proteins involved in the purinergic pathway. ABCC6 transporter allows, in part, the release of ATP, which is metabolized by some ecto-nucleotidases (such as ENPP1) in AMP, in adenosine by CD73 and Pi by TNAP. ABCC6, ATP-binding cassette, sub-family C, member 6; ENPP1, ecto-nucleotide pyrophosphatase/phosphodiesterase type I; CD73, cluster of differentiation 73; TNAP, tissue non-specific alkaline phosphatase; Pi, inorganic phosphate; PPi, inorganic pyrophosphate.

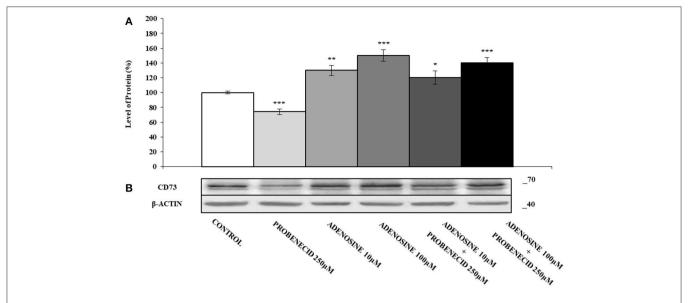


**FIGURE 1** | Effects of Probenecid on viability and morphology of HepG2 cells. **(A)** Cells were treated with Probenecid at different concentrations (250, 500, and 1,000  $\mu$ M) for 24 and 48 h. Data are expressed as a percentage of the control group and presented as the means  $\pm$  SD of three replicates from three independent experiments. \*p < 0.001, Student's t-test. **(B)** Representative images of Probenecid-treated HepG2 cells. DMSO-treated cells were used as control.

#### **Viability Assay**

The MTT (3-(4, 5-dimethyl thiazol-2yl)-2, 5-diphenyl tetrazolium bromide) assay was used to assess cell viability.

HepG2 cells, seeded at a density of  $5 \times 10^3$ /well in 96-wells plates, were treated with different concentrations of Probenecid (250, 500, and 1,000  $\mu$ M) for 24 and 48 h. Cells were then



**FIGURE 2** | The effects of adenosine on CD73 protein expression, with or without Probenecid. **(A,B)** Densitometric analysis and representative western blot of CD73 in three independent experiments (means  $\pm$  SD). \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.01, Student's *t*-test.  $\beta$ -actin was used as a loading control. All the experiments were performed treating HepG2 cells with adenosine and/or Probenecid for 48 h. DMSO-treated cells were used as control.

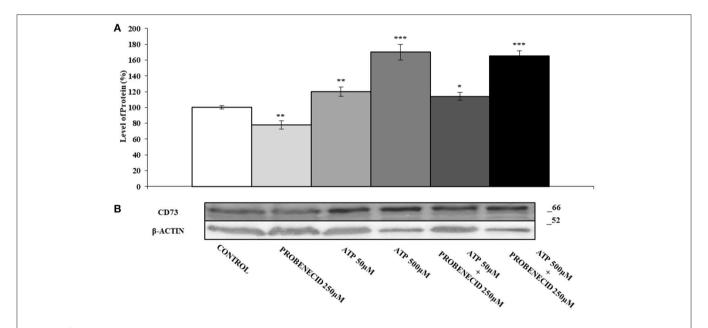


FIGURE 3 | The effects of ATP on CD73 protein expression, with or without Probenecid. (A,B) Densitometric analysis and representative western blot of CD73 in three independent experiments (means  $\pm$  SD). \*p < 0.05, \*\*p < 0.01, \*\*\*\*p < 0.001, Student's t-test. β-actin was used as a loading control. All the experiments were performed treating HepG2 cells with ATP and/or Probenecid for 48 h. DMSO-treated cells were used as control.

incubated with fresh medium containing 15% MTT and incubated for 4h at  $37^{\circ}$ C. The formazan crystals were finally dissolved for 1h at  $37^{\circ}$ C in DMSO:isopropanol (1:1) solution with 1% of Triton X-100. MTT reduction was quantified by measuring the light absorbance at  $570 \, \mathrm{nm}$ , with background subtraction at  $630 \, \mathrm{nm}$ , using a microplate reader (Multiskan TM

GO Microplate Spectrophotometer, Thermo Scientific). Results were presented as percentage of the control (cells treated only with vehicle DMSO), defined as 100% of cell viability. Each test was repeated three times in triplicate. Cells were also examined under a phase-contrast microscope and representative fields were photographed using a Nikon Coolpix P6000.

#### RT-PCR and Real-Time PCR

RNA was extracted from HepG2 cells using Quick-RNA MiniPrep kit (Zymo Research), then was transcribed to cDNA using random primers and High-Capacity cDNA Reverse Transcription Kit (Applied Biosystem). cDNA was amplified via real-time PCR using iTaq<sup>TM</sup> Universal SYBR<sup>®</sup> Green Supermix (Bio-Rad) on the 7500 Fast Real-Time PCR System (Applied Biosystems). Primers were designed for spanning exon-exon junctions eliminating undesirable genomic DNA amplification: β-actin, forward: 5'-CCTGGCACCAGCACAAT-3', reverse: 5'-GCCGATCCACACGGAGTACT-3'; ABCB1, forward: 5'-CCTTCAGGGTTTCACATTTGG-3', reverse: 5'-ACTCAC ATCCTGTCTGAGCA-3'; ABCC1, forward: 5'-GCTGATGGA GGCTGACAAGG-3', reverse: 5'-GATGCTGAGGAAGGA GATGAAGAG-3'; ABCC2, forward: 5'-CCCTTGTCCTGG AAGATGTT-3', reverse: 5'-AGAGCCTTCATCAACCAGG-3'; 5'-CCACACCACAACCACCTTCAC-3'. ABCC3. forward: reverse: 5'-CTCGGCGTCCAGCACATTG-3'; ABCC4, forward: 5'-GCACACCAGGATTTACATTCAGAG-3', reverse: 5'-CCA GACGGACGCAAACC-3'; ABCC5, forward: 5'-CCACCA TCCACGCCTACAATAAAG-3', reverse: 5'-ACAGCCAGC CACCGCATC-3'; ABCC6, forward: 5'-AAGGAACCACCATCA GGAGGAG-3', reverse: 5'-ACCAGCGACACAGAGAGAGAGG-3'; ABCG2, forward: 5'-ATCACTGATCCTTCCATCTTG-3', reverse: 5'-GCTTAGACATCCTTTTCAGG-3'. The comparative threshold cycle method ( $\Delta\Delta$ Ct) was used to quantify relative amounts of product transcripts with β-actin as endogenous reference control (Livak and Schmittgen, 2001). The specificity of amplicons was confirmed by melting-curve analysis. Each test was repeated three times in triplicate.

#### **Western Blot Analysis**

HepG2 cells were lysed in Laemmli sample buffer (60 mM Tris-HCl pH 6.8, 10% glycerol, 2% SDS, 1% β-mercaptoethanol and 0.002% bromophenol blue) supplemented with proteases and phosphatases inhibitors cocktail. Subsequently, the cellular lysis is accomplished by three thermal shock to denature proteins within the cell membranes. Finally, the lysates were centrifugated at 4,000 rpm for 2 min and the proteins were resolved on 8 or 15% SDS-PAGE gels. After electroblotting on nitrocellulose or PVDF membranes (Amersham Protran, GE Healthcare Life Sciences), membranes were blocked for 1 h with 0.25% nonfat milk in PBS-T pH 7.4 and incubated overnight at 4°C with specific primary antibodies: 1:400 anti-β-actin diluted in PBS-T with 5% non-fat milk and anti-ABCB1 1:100 diluted in PBS-T with 0.25% non-fat milk (Abcam); 1:100 anti-ABCC6 (H-70); and 1:100 anti-CD73 (IE9) diluted in PBS-T with 0.25% non-fat milk (Santa Cruz Biotechnology). Membranes were washed three times for 10 min with PBS-T and incubated with appropriate horseradish peroxidase-conjugated secondary antibody at room temperature for 1 h. The membranes were washed three times for 10 min with PBS-T and signals visualized by Chemiluminescent Peroxidase Substrate-1 or Super Signal West Femto Maximum Sensitivity Substrate (Thermo Scientific), using Chemidoc<sup>TM</sup> XRS detection system equipped with Image Lab Software for image acquisition (BioRad). Densitometric analysis was performed by using ImageJ software (National Institute of Health, Bethesda, MD). The protein expression level in control sample was taken as 100%. Each result was expressed as percentage of the value of control sample. Each test was repeated three times.

#### **Statistical Analysis**

Data are presented as mean  $\pm$  SD. Student's *t*-test was performed pairwise to compare control and treated samples. Differences were considered significant whenever *p*-value <0.05. Statistical analysis was performed using statistical GraphPad software.

#### **RESULTS**

In this study, we aimed to verify whether gene dysregulation, previously observed in *ABCC6*-silenced HepG2 cells (Miglionico et al., 2014), was mediated by the purinergic system. For this purpose, the ABCC6 activity in HepG2 cells was inhibited with Probenecid, a known ABC transporters inhibitor (Silverman et al., 2008; Ma et al., 2009), and the effect of adenosine and ATP was evaluated on CD73 expression.

In order to verify the effective inhibition of Probenecid on ABCC6 transport activity, we evaluated its effect on the efflux of doxorubicin, a known substrate also carried by ABCC6, from stably ABCC6-overexpressing HEK293 cells. The efflux of doxorubicin is significantly higher compared with mock transfected cells, suggesting that ABCC6 contributes to the release of doxorubicin (**Supplemental Figure S1**). Moreover, pretreating both cell cultures with 1 mM Probenecid, no significant differences in the doxorubicin efflux were observed, suggesting that Probenecid inhibited the transport activity carried out by ABCC6.

Using the MTT viability assay, the dose-dependent effects of Probenecid were tested on HepG2 cells, treated for 24 and 48 h. Probenecid did not exhibit significant cellular toxicity up to 250  $\mu M$  for 48 h (Figure 1A). Probenecid-treated HepG2 cells did not show morphological alterations (in terms of the number of vacuoles and shape) compared to untreated HepG2 cells (Figure 1B).

In Figures 2A,B the effect of the ABCC6 inhibition on CD73 expression is shown. CD73 protein level was reduced of about 30% after treatment of cells with 250  $\mu$ M Probenecid for 48 h. Furthermore, CD73 expression increased of 30 and 40% when cells were treated with 10 and 100  $\mu$ M adenosine, respectively, and did not change even in the presence of Probenecid. As ATP is the substrate carried by the ABCC6, cells were incubated with ATP in the presence or absence of Probenecid. As previously seen on cells treated with adenosine, the expression of CD73 was increased in a dose-dependent manner (20 and 60% with ATP at 50 and 500  $\mu$ M, respectively), and once again did not change in the presence of Probenecid (Figures 3A,B).

In order to verify whether Probenecid was able to affect the transcript levels of some *ABC* transporters genes, a Real Time-PCR experiment was carried out (**Figure 4A**). No variation was observed for all the *ABCs* examined except for *ABCC6*, which was significantly reduced (about 30%) in HepG2 cells treated with

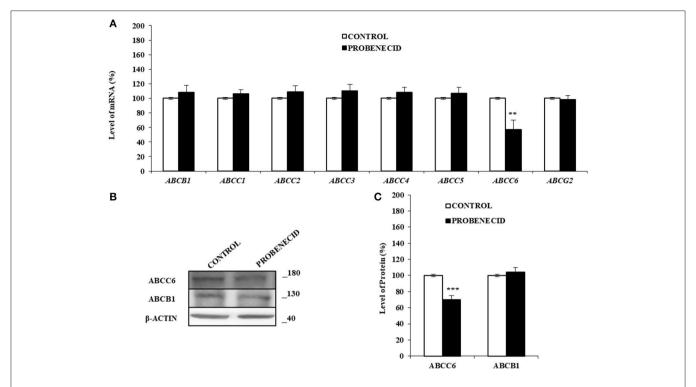


FIGURE 4 | The effects of Probenecid on some ABC genes and ABCC6 and ABCB1 proteins. (A) Fold change in some ABCs mRNA levels compared to the control cells. Results are represented as the mean  $\pm$  S.D. (n=3). \*\*p<0.01, Student's t-test. (B,C) Representative western blot and densitometric analysis of the ABCC6 and ABCB1 in three independent experiments (means  $\pm$  SD). \*\*\*p<0.001, Student's t-test.  $\theta$ -actin was used as a loading control. All the experiments were performed treating HepG2 cells with 250 μM of Probenecid for 48 h. DMSO-treated cells were used as control.

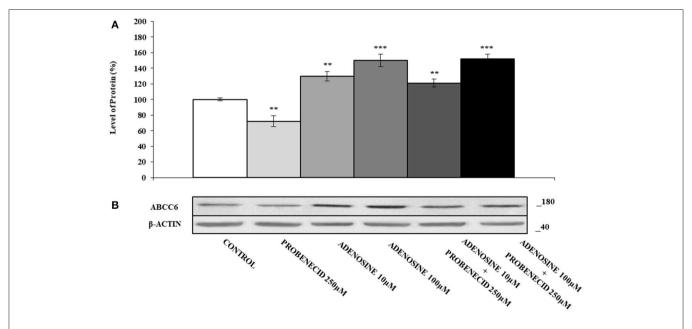


FIGURE 5 | The effects of adenosine on ABCC6 protein expression, with or without Probenecid. (A,B) Densitometric analysis and representative western blot of the ABCC6 protein in three independent experiments (means  $\pm$  SD). \*\*p < 0.01, \*\*\*p < 0.001, Student's t-test. β-actin was used as a loading control. All the experiments were performed treating HepG2 cells with adenosine and/or Probenecid for 48 h. DMSO-treated cells were used as control.

Probenecid. This result was also confirmed at protein level by western blotting analysis (**Figures 4B,C**).

**Figure 5** shows the effect of adenosine on the expression of ABCC6. The addition of different concentration of adenosine (10 and 100  $\mu$ M) increased the ABCC6 protein level about of 40 and 60%, respectively. In HepG2 cells treated only with Probenecid, the ABCC6 expression decreased by about 30%, but no changes were observed if Probenecid is used in association with adenosine.

#### DISCUSSION

PXE is considered a metabolic disease caused by lack of circulating factors able to prevent mineralization. Jansen et al. (2013, 2014) proposed that this factor is the pyrophosphate derived from the ATP released by ABCC6. Although this hypothesis has been widely demonstrated and pyrophosphate is used to prevent soft tissue mineralization in PXE patients, little is known about the role played by extracellular AMP and by adenosine.

Previous studies in our laboratory showed that knockdown of *ABCC6* leads to dysregulation of some genes including *NT5E*, which has been found under-expressed (Miglionico et al., 2014). In order to verify whether this finding was due to the lack of activation of purinergic pathway, in this study we evaluated if CD73 expression was regulated by the extracellular ATP and adenosine.

We demonstrated that Probenecid, an ABC transporters inhibitor, downregulated the expression of CD73, and adenosine increased its expression. Similar results were obtained by adding ATP, an ABCC6 substrate, which ultimately restores the levels of adenosine. Taken together, these results suggested that ABCC6, promoting the efflux of ATP from the cells, supplies the extracellular compartment with both the pyrophosphate, necessary to prevent the calcification, and purine nucleotides and adenosine, crucial mediators of numerous patho-physiological mechanisms (Burnstock, 2012, 2017).

The decrease of ABCC6 and CD73 expression by Probenecid and their increase by adenosine suggested a role for ABCC6 in the regulation of the purinergic system and could explain the overlapping clinical symptoms of ACDC and PXE.

In conclusion, in this study we demonstrated that ABCC6 supplies the extracellular space of nucleosides and nucleotides and then could contribute to the purinergic pathway activation. In PXE patients only the effects due to lack of PPi are observed (Uitto et al., 2011) as the amount of adenosine to activate purinergic receptors is low compared to that of pyrophosphate to prevent mineralization (Sachdeva and Gupta, 2013; Orris et al., 2016). However, we cannot exclude that the contribution of the ABCC6 in the regulation of the purinergic system can become more important in some liver diseases.

#### **AUTHOR CONTRIBUTIONS**

FM carried out the experiments, FC prepared stably expressed HEK293 cells, MP performed western blot analysis, MFA and RM analyzed the data and performed statistical analysis, FB and AO designed experiments and wrote the paper. All authors read and approved the final manuscript.

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#### SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fmolb. 2018.00075/full#supplementary-material

**Supplemental Figure S1** | Inhibition of efflux of doxorubicin by Probenecid. Doxorubicin efflux assay in HEK293 cells stably transfected with ABCC6-Flag-pcDNA in absence ( $\bullet$ ) or in presence ( $\circ$ ) of 1 mM Probenecid and in HEK293 cells transfected with Flag-pcDNA in absence ( $\blacktriangle$ ) or in presence ( $\Delta$ ) of 1 mM Probenecid. Data are expressed as mean  $\pm$  SD of three independent experiments. Significant differences at 30 min were determined by Student's t-test: \*p-value < 0.05, \*\*p < 0.01.

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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### **ENaC in Cholinergic Brush Cells**

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Cholinergic polymodal chemosensory cells in the mammalian urethra (urethral brush cells = UBC) functionally express the canonical bitter and umami taste transduction signaling cascade. Here, we aimed to determine whether UBC are functionally equipped for the perception of salt through ENaC (epithelial sodium channel). Cholinergic UBC were isolated from ChAT-eGFP reporter mice (ChAT = choline acetyltransferase). RT-PCR showed mRNA expression of ENaC subunits Scnn1a, Scnn1b, and Scnn1g in urethral epithelium and isolated UBC. Scnn1a could also be detected by next generation sequencing in 4/6 (66%) single UBC, two of them also expressed the bitter receptor Tas2R108. Strong expression of Scnn1a was seen in some urothelial umbrella cells and in 65% of UBC (30/46 cells) in a Scnn1a reporter mouse strain. Intracellular [Ca<sup>2+</sup>] was recorded in isolated UBC stimulated with the bitter substance denatonium benzoate (25 mM), ATP (0.5 mM) and NaCl (50 mM, on top of 145 mM Na<sup>+</sup> and 153 mM CI- baseline in buffer); mannitol (150 mM) served as osmolarity control. NaCl, but not mannitol, evoked an increase in intracellular [Ca<sup>2+</sup>] in 70% of the tested UBC. The NaCl-induced effect was blocked by the ENaC inhibitor amiloride (IC<sub>50</sub> =  $0.47 \,\mu$ M). When responses to both NaCl and denatonium were tested, all three possible positive response patterns occurred in a balanced distribution: 42% NaCl only, 33% denatonium only, 25% to both stimuli. A similar reaction pattern was observed with ATP and NaCl as test stimuli. About 22% of the UBC reacted to all three stimuli. Thus, NaCl evokes calcium responses in several UBC, likely involving an amiloride-sensitive channel containing α-ENaC. This feature does not define a new subpopulation of UBC, but rather emphasizes their polymodal character. The actual function of α-ENaC in cholinergic UBC—salt perception, homeostatic ion transport, mechanoreception—remains to be determined.

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#### INTRODUCTION

Bitter, sweet, umami, salty, sour, and fatty are the six recognized taste qualities detected by taste buds (Chaudhari and Roper, 2010). In type II sensory cells in the oropharyngeal taste buds, bitter, sweet, and umami perception is mediated by the canonical taste transduction signaling cascade, including G protein-coupled taste receptors, the taste-specific G protein  $\alpha$ -gustducin, phospholipase C $\beta$ 2 (PLC $\beta$ 2), and the transient potential receptor cation channel subfamily M member 5 (TRPM5) (Chaudhari and Roper, 2010). Other classes of G protein-coupled receptors respond to short- and long-chain fatty acids (Chaudhari and Roper, 2010).

In contrast, acid (protons) and salt (sodium chloride) are monitored by ion channels, directly leading to depolarization of the taste cell. Nonselective cation channels formed by polycystic kidney disease 2-like 1 protein (PKD2L1) and polycystic kidney disease 2-like 3 protein (PKD1L3) were proposed as candidates for sour taste receptors (Huang et al., 2006; Ishimaru et al., 2006; Lopezjimenez et al., 2006; Chaudhari and Roper, 2010). An ion channel that is long been thought to mediate salt perception is the amiloride-sensitive epithelial sodium channel, ENaC (Heck et al., 1984; Avenet and Lindemann, 1988; Lindemann et al., 1998; Lin et al., 1999; Lindemann, 2001; Chandrashekar et al., 2010). It is predominantly expressed in epithelial cells of the colon, lung, kidney, sweat and salivary glands, where it is a major regulator of sodium absorption and, thereby, essential for fluid homeostasis (Duc et al., 1994; McDonald et al., 1995; Garty and Palmer, 1997). ENaC is also expressed in the urothelium (Carattino et al., 2005; Du et al., 2007; Birder et al., 2010; Birder and Andersson, 2013). The canonical heteromeric ion channel consists of three subunits  $(\alpha, \beta, \gamma)$  (Canessa et al., 1994b), encoded by the genes Scnn1a, Scnn1b, and Scnn1c. A fourth δ-subunit with distinct characteristics was identified and the presence of this subunit changes the biophysical characteristics as well as molecular regulation of this ion channel. Mice, however, lack a functional gene for this subunit and its physiological function remains unclear (Giraldez et al., 2012; Wichmann et al., 2018). ENaC is a constitutively active ion channel. Still, its expression, membrane abundance and open probability are tightly regulated by extrinsic and intrinsic factors. These include hormones, intracellular kinases and intramembrane lipids, as well as the extracellular sodium concentration, pH and mechanical stimuli (Chraïbi and Horisberger, 2002; Althaus et al., 2007; Baines, 2013; Kleyman et al., 2018). The ion conductivity of αβγ-ENaC is limited to monovalent cations ( $Li^+ > Na^+ > K^+$ ) (Kellenberger and Schild, 2002).

Extraoral chemosensory cells, monitoring the composition of the mucosal lining fluid, have been described in the respiratory, gastrointestinal and urogenital tract. Like type II taste cells, they express the canonical taste transduction signaling cascade (taste receptors, α-gustducin, PLCβ2, TRPM5) (Höfer et al., 1996; Höfer and Drenckhahn, 1998; Finger et al., 2003; Krasteva et al., 2011, 2012; Deckmann et al., 2014; Schütz et al., 2015). They respond to bitter substances and bacterial products with a release of acetylcholine and initiate avoidance reflexes, thereby apparently serving as sentinels situated at entrances into the body (Finger and Kinnamon, 2011; Lee and Cohen, 2015; Deckmann and Kummer, 2016). These cholinergic epithelial cells also express villin, a structural protein of microvilli. Such cells have originally been termed "brush cells" in the respiratory tract, and this term has also been adopted to the villin-positive, cholinergic chemosensory cells of the urethra (urethral brush cells = UBC) (Deckmann et al., 2014, 2015). In line with the sentinel concept, UBC respond to heat-inactivated uropathogenic Escherichia coli

**Abbreviations:** AU, arbitrary units; ChAT, choline acetyltransferase; Den, denatonium benzoate; eGFP, enhanced green fluorescent protein; ENaC, epithelial sodium channel;  $[{\rm Ca}^{2+}]_{\rm I}$ , intracellular calcium concentration; TRPM5, transient receptor potential cation channel subfamily M member 5; UBC, urethral brush cell.

and are connected to sensory nerve fibers (Deckmann et al., 2014). Bitter application into the urethral lumen reflexively triggers enhanced detrusor activity, which has been interpreted as a protective reflex, as potential hazardous content is expelled from the urethra through micturition (Deckmann et al., 2014; Kummer and Deckmann, 2017).

Most cholinergic UBC are polymodal chemosensory cells, responding both to bitter substances and to glutamate with an increase in intracellular calcium concentration ([Ca<sup>2+</sup>]<sub>i</sub>) (Deckmann et al., 2014). This discriminates them from type II taste bud cells, which are generally responsive either to bitter, representing an aversive stimulus, or to umami, an attractive stimulus (Nelson et al., 2001; Chaudhari and Roper, 2010). At the urethral mucosa, both stimuli represent a potential danger signal, since many bacterial products have bitter quality and glutamate (umami) facilitates bacterial growth in urine. Here, we aimed to determine whether their polymodal properties extend beyond taste receptor mediated qualities, focusing upon the perception of salt.

#### MATERIALS AND METHODS

#### **Animals**

Mice expressing enhanced green fluorescent protein (eGFP) under the control of the promoter of the acetylcholine synthesizing enzyme, choline acetyltransferase, (ChAT-eGFP; B6.Cg-Tg(RP23-268L19-EGFP)2Mik/J; Stock No. 007902) were obtained from Jackson Laboratory (Bar Habor, ME, USA). Mice expressing tdTomato, a bright red fluorescent protein, under the control of the promotor of Scnn1a, the coding gene sequence of α-ENaC (Scnn1a/tdTomato; Guy et al., 2015) were kindly provided by J. Guy and J. Staiger (Institute for Neuroanatomy, University Medical Center Goettingen, Georg-August-University Goettingen, Germany). This study was carried out in accordance with the recommendations of European Communities Council Directive of 24th November 1986 (86/609/EEC). The protocol was approved by the local authorities (Animal Welfare Officer at the University of Giessen and the Committee for Animal Welfare, Dept. V54, Regierungspräsidium Giessen, Germany; reference no. 572\_M).

#### **Cell Isolation**

Cell isolation was performed as described previously (Deckmann et al., 2014). In brief: Urethrae were dissected, cut into small pieces, and enzymatically digested in dispase (2 mg/mL; Sigma-Aldrich/Merck, Darmstadt, Germany) and trypsin/PBS (1:1, Invitrogen, Carlsbad, CA, USA). After mechanical dissociation, cells were separated through a cell strainer (pore size  $70\,\mu m$ ; BD Bioscience, Franklin Lakes, NJ, USA). The ChAT promotor is constitutively active in cholinergic chemosensory cells (Tallini et al., 2006). Hence, UBC constitutively express eGFP which served to sort them via FACS and to identify them with a fluorescence microscope.

#### RT-PCR

Total RNA from dissected urethra or pooled isolated cells (n = 4 samples, sorting based on ChAT-eGFP expression by FACS; BD

FASCARIA III cell sorter, settings and analysis were performed with a BD FACSDiva v6.1.3; BD Bioscience, Franklin Lakes, NJ, USA) was extracted using the Qiagen RNeasy Micro Kit (Qiagen, Hilden, Germany) according to the manufacturer's protocol. Extracted total RNA from kidney was used as positive control. RT-PCR was performed as described previously (primer sequences: **Table S1**; Deckmann et al., 2014).

#### **Next Generation Sequencing**

Next generation sequencing was performed as described elsewhere (Scholz et al., 2016). In brief: isolated single eGFPpositive cells were identified, picked and transferred to a PCR tube using a combined confocal laser-scanning/patch-clamp setup (Leica TCS SP5, Leica Microsystems/Luigs-Neumann, Wetzlar/Ratingen, Germany). Cell lysis, cDNA generation and amplification were performed using the Sigma SeqPlex RNA Amplification Kit (Sigma-Aldrich/Merck, Darmstadt, Germany). For library preparation, the Illumina Nextera XT DNA sample preparation protocol (Part # 15031942 Rev. C) was used. Samples run together with a 2 × 75 bp read length using the MiSeq Reagent Kit v3 (150 cycles) and the Illumina MiSeq Desktop Sequencer (Illumina, San Diego, CA, USA). The sequencing reads were aligned to the mm9 reference genome and transcriptome using TopHat2 (2.0.9). The TopHat output files were saved in BAM format and evaluated by Cuffdiff2 (2.1.1). All samples were compared and evaluated in one calculation cycle, allowing the algorithm to estimate the Fragments Per Kilobase Million (FPKM) values at the transcript level resolution and to control for variability across the replicate libraries.

## Immunohistochemistry and Whole-Mount Immunostaining

Specimen preparations and analyses were performed as described previously (Krasteva et al., 2011). In brief: urethrae used for immunohistochemistry (N = 3) and gall bladders used for whole-mount immunostaining (N = 2) were fixed using transcardiac perfusion with Zamboni solution (2% paraformaldehyde/15% saturated picric acid in 0.1 M phosphate buffer, pH 7.4). Fixed organs were dissected, washed in 0.1 M phosphate buffer (0.1 M NaH<sub>2</sub>PO<sub>4</sub>; 0.1 M Na<sub>2</sub>HPO<sub>4</sub>), and either incubated overnight in 18% sucrose in 0.1 M phosphate buffer and frozen in liquid nitrogen or mounted on a block of silicon elastomer using insect pins. Primary antibody was applied to 4-18 tissue sections from every individual animal. Primary antibodies were chicken anti-RFP (NBP1-97371, 1:200 dilution; Novus Biologicals, Littleton, CO, USA) and rabbit anti-TPRM5 (1:2,000) (Kaske et al., 2007). Secondary antibodies were goat-anti rabbit Ig conjugated to Alexa 488 (1:500; Thermo Fisher Scientific Inc. Waltham, MA, USA) and donkey-anti chicken Ig conjugated to Cy3 (1:2,000; Dianova, Hamburg, Germany). Nuclei were labeled with 4',6-diamidino-2-phenylindol (DAPI; 1 µg/ml; Sigma-Aldrich/Merck, Darmstadt, Germany). All sections were rinsed and coverslipped with carbonate-buffered glycerol (pH 8.6). Sections were evaluated by epifluorescence microscopy (Axioplan 2, Zeiss, Wetzlar, Germany) or with a confocal laser scanning microscope (LSM 710, Zeiss, Wetzlar, Germany). Specificity of secondary reagents was validated by omission of primary antibodies.

## Measurement of Intracellular Calcium Concentration

Measurement of intracellular calcium concentration ( $[Ca^{2+}]_i$ ) was performed as described previously (Deckmann et al., 2014). In brief: Isolated cells were loaded with the fluorescent calcium indicator Calcium Orange® AM (0.01 μg/μL; Thermo Fisher Scientific Inc., Waltham, MA, USA) and plated on coverslips. [Ca<sup>2+</sup>]<sub>i</sub> was analyzed with a confocal laser scanning microscope (LSM 710 with ZEN 2010 B SP1, Zeiss, Wetzlar, Germany). Lasers and filters were: eGFP: excitation with Argon laser at 488 nm; recording of emission at 495–553 nm with optical filters MBS-488/561/633; Calcium Orange: excitation with DPSS561-10 laser at 561 nm; recording of emission at 566-683 nm with optical filters MBS-558/561. Regions of interest were selected manually and fluorescence intensities at the start of the recording period were set arbitrarily at 100%. Test stimuli and concentrations were denatonium benzoate (25 mM; Molekula, Munich, Germany), ATP (0.5 mM; Sigma-Aldrich/Merck, Darmstadt, Germany) and NaCl (1-150 mM; Carl Roth, Karlsruhe, Germany), and inhibitors and controls included the osmolarity control mannitol (1–150 mM; Sigma-Aldrich/Merck, Darmstadt, Germany) and the ENaC inhibitor amiloride (0.01-100 µM; Sigma-Aldrich/Merck, Darmstadt, Germany). All recordings were done during continuous superfusion with Tyrode III buffer (NaCl 130 mM; HEPES 10 mM; glucose 10 mM; KCl 5 mM; MgCl<sub>2</sub> 1 mM; CaCl<sub>2</sub> 8 mM; sodium pyruvate 10 mM; NaHCO<sub>3</sub> 5 mM; 2.5 mL/min; 37°C). Stimuli were added under continuous flow of Tyrode III into the chamber, so that indicated concentrations were reached initially and then washed out. Since baseline concentration of Na<sup>+</sup> in the buffer was 145 mM, the total concentration after addition of 1-150 mM ranged from 146 to 295 mM.

#### Statistical Analysis

Data were analyzed for normal distribution by the Kolmogorov-Smirnov test. Multiple comparison analysis was performed by Kruskal-Wallis test followed by Dunn's Multiple Comparison Test.  $P \leq 0.05$  were regarded as statistically significant. Analyses were performed by GraphPad Prism 5 (GraphPad Software Inc., La Jolla, CA, USA).

#### **RESULTS AND DISCUSSION**

RT-PCR revealed mRNA expression of the ENaC subunits Scnn1a, Scnn1b, and Scnn1g in the urethral epithelium (**Figure 1A**) and in pooled isolated UBC (**Figure 1B**). Next generation sequencing (NGS) of six isolated single eGFP-positive cells showed a heterogeneous expression pattern of Scnn1a, b, g (**Figure 2**). Scnn1a was detected in 4/6 cells (66.6%), Scnn1b and Scnn1g only in 1/6 cells. Canonical ENaC is composed of the  $\alpha$ -,  $\beta$ -, and  $\gamma$ -subunit (Canessa et al., 1994a), but the ENaC  $\alpha$ -subunit alone is able to

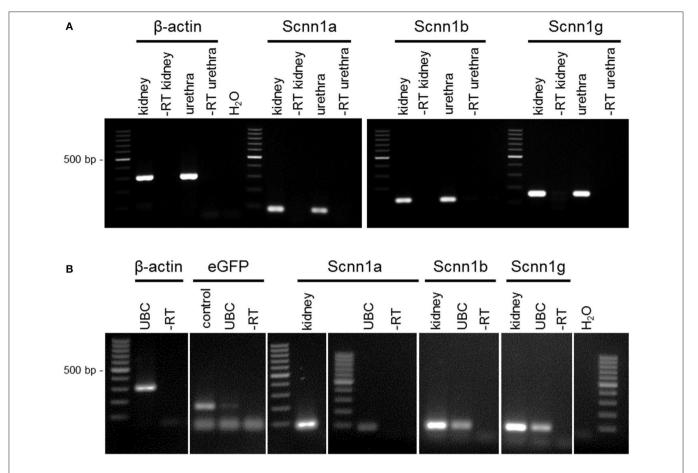


FIGURE 1 | RT-PCR of urethral epithelium and isolated UBC. (A) RT-PCR of urethral epithelium. (B) RT-PCR of UBC. Cells were isolated and sorted based on ChAT-eGFP expression by flow cytometry; agarose gel; α-ENaC (Scnn1a; 82 bp), β-ENaC (Scnn1b; 115 bp), γ-ENaC (Scnn1g; 150 bp), β-actin (300 bp), eGFP (180 bp);  $\pm$  RT = aliquots processed with/without reverse transcription; kidney = positive control;  $H_2O$  = water control.

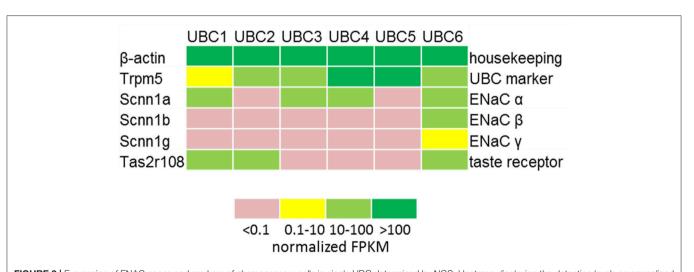
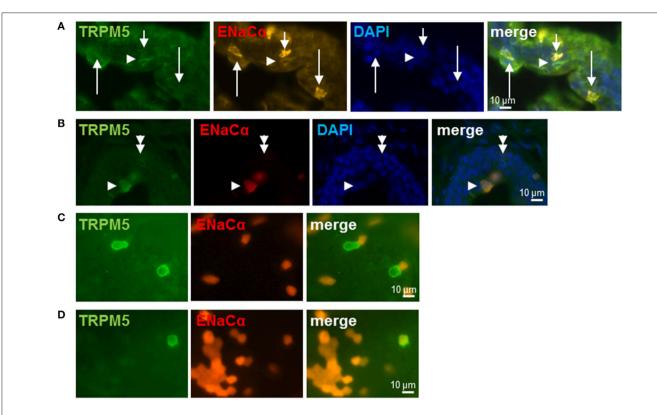


FIGURE 2 | Expression of ENAC genes and markers of chemosensory cells in single UBC determined by NGS. Heatmap displaying the detection levels as normalized FPKM in GFP-positive UBC. FPKM, Fragments Per Kilobase Million.



**FIGURE 3** | Brush cells express  $ENaC\alpha$ . **(A,B)** Urethra; frozen sections: Scnn1a/tdTomato (orange or red), TRPM5-immunoreactivity (green) and cell nuclei (DAPI; blue). Several epithelial cells express  $\alpha$ -ENaC only (arrows in **A**). At least two of them (long arrows in **A**) are urothelial umbrella cells as judged by their shape and position. Arrowheads point to  $\alpha$ -ENaC (orange) and TRPM5 (green) double-positive cells, the doubled arrowhead points to a TRPM5-immunoreactive cell that does not express  $\alpha$ -ENaC. **(C,D)** Gall bladder; whole-mount: Scnn1a/tdTomato (red) and TRPM5 (green). **(C)** Selected cells show no co-localization of  $\alpha$ -ENaC and TRPM5. **(D)** Selected TRPM5-immunoreactive cell shows co-localization of  $\alpha$ -ENaC and TRPM5.

form amiloride-sensitive homomers in vitro (Canessa et al., 1994b).

To further validate Scnn1a expression in cholinergic UBC, urethral tissue sections of a Scnn1a reporter mouse strain were labeled for cholinergic UBC. In view of often experienced methodological problems in detecting ChAT by immunohistochemistry in peripheral cells, we set out to establish a technically more reliable marker for immunohistochemical detection of cholinergic UBC. Villinantibodies, an often used marker for brush cells in general, appeared not suitable for this purpose as there is a considerable number of villin-positive but ChAT- and TRPM5-negative slender epithelial cells in the murine urethra, in addition to the villin/ChAT/TRPM5-positive cells (Deckmann et al., 2014). These two phenotypes represent truly different cell populations, since genetic ablation of the transcription factor Skn-1a/Pou2f3 selectively prevents the development of TRPM5-positive (i.e., cholinergic UBC) but not of villinpositive but TRPM5-negative urethral cells (Yamashita et al., 2017).

We used TRPM5-immunolabeling as a marker for cholinergic UBC in *Scnn1a*-tdTomato reporter mice. In these mice, strong expression of *Scnn1a* was observed in several cells of the urethral epithelium (**Figures 3A,B**). Among them were umbrella

cells, which build up the luminal lining in the proximal parts of the urethra being covered with an urothelium and which can be readily identified by virtue of their position and morphology. This is in line with the previously reported ENaCα-immunoreactivity at the luminal membrane of umbrella cells in the rat urinary bladder (Smith et al., 1998) and functional investigation of this cell type (McCloskey et al., 2017). Notably, this cell layer did not consistently express tdTomato with positive and negative umbrella cells occurring in a mosaic pattern (Figure 3A). Although heterogeneity of umbrella cells with respect to other characteristics such as uroplakin expression has also been reported in select localizations such as the human ureter (Riedel et al., 2005), this labeling pattern might reflect incomplete expression of tdTomato in potentially Scnn1a-expressing cells. To test for this possibility, we looked for tdTomato expression in the gall bladder whose mucosal surface is known for homogeneous ENaCα expression (Li et al., 2016). In two gall bladder whole-mount preparations, strong tdTomato expression was observed in epithelial cells covering only about 21% (case 1: 26.8%, case 2: 16.0%) of the mucosal surface whereas nearly 80% remained unlabeled (Figures 3C,D). Gall bladder whole-mounts were also incubated with TRPM5antibody in order to label cholinergic chemosensory brush cells that are also present in this epithelium (Schütz et al., 2015).

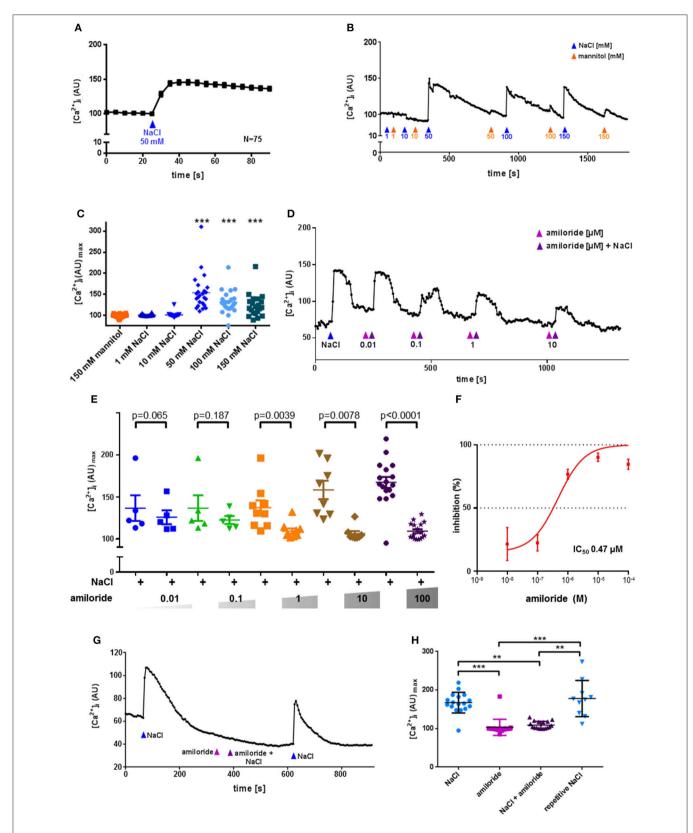


FIGURE 4 | UBC response to NaCl is ENaC dependent and not an osmolarity effect. Urethral epithelial cells of ChAT-eGFP reporter mice were isolated and UBC were identified due to eGFP fluorescence. Experiments were performed during continuous superfusion with Tyrode III buffer containing 145 mM Na<sup>+</sup>. NaCl, mannitol and (Continued)

**FIGURE 4** | amiloride were added under continuous flow of Tyrode III into the chamber, so that indicated concentrations were reached initially and then washed out. In case of NaCl, concentration changes on top of the baseline of concentrations in Tyrode III are indicated. Thus, the total sodium concentration after addition of 1–150 mM ranged from 146 to 295 mM. Y-Axis depicts arbitrary units (AU) of Calcium Orange<sup>®</sup> fluorescence recorded by confocal laser scanning microscopy, correlating to [Ca<sup>2+</sup>]<sub>i</sub>. (**A**) NaCl evokes an increase in [Ca<sup>2+</sup>]<sub>i</sub>; shown are mean and SEM. (**B**) Representative recording of changes in Calcium Orange<sup>®</sup> fluorescence in a single cholinergic (eGFP<sup>+</sup>) UBC in response to increasing concentrations of NaCl (blue arrowhead) and mannitol (orange arrowhead). (**C**) Depicted are peak values after stimulation with mannitol (150 mM, N = 22) and NaCl (1 mM, N = 22, 10 mM, N = 22, 50 mM, N = 24, 100 mM, N = 23; 150 mM, N = 21); \*P < 0.05, \*\*P < 0.01, \*\*\*P < 0

Two out of 69 TRPM5-positive cells expressed *Scnn1a*-tdTomato (**Figures 3C,D**).

Among non-umbrella cells with nuclei located in deeper layers of the urethral epithelium, we detected co-localization of TRPM5-immunoreactivity and Scnn1a-tdTomato signal (30 of 46 TRPM5-positive cells, 65%, N=3 animals) (**Figures 3A,B**) as well as TRPM5-positive cells without Scnn1a-tdTomato signal (**Figure 3B**). These observations support our findings in RT-PCR experiments and single cell sequencing that a subpopulation of UBC expresses  $\alpha$ -ENaC.

Functionally, application of NaCl evoked significant increases in [Ca<sup>2+</sup>]<sub>i</sub> in 70% of the isolated cholinergic UBC. At concentrations of 50, 100, and 150 mM NaCl, but not at 1 or 10 mM, significant increases in [Ca<sup>2+</sup>]<sub>i</sub> were observed (Figures 4A-C). There was a tendency toward a decline in the evoked increase in  $[Ca^{2+}]_i$  with increasing NaCl concentration. To test for a possible osmolarity effect, increasing concentrations of mannitol were administered alternating with corresponding concentrations of NaCl (Figure 4B). Since mannitol had no stimulatory effect upon [Ca<sup>2+</sup>]<sub>i</sub> even at 150 mM (Figure 4B), the observed reaction of UBC to 50 mM NaCl, which was used for further characterization of polymodality, is not an osmolarity effect. Amiloride, an ENaC inhibitor that also suppresses salt perception in type I taste cells of the taste buds (Vandenbeuch et al., 2008), fully blocked the [Ca<sup>2+</sup>]<sub>i</sub> response in UBC to NaCl (50 mM) with an IC<sub>50</sub> of 0.47  $\mu$ M (Figures 4D-F). Even at the highest concentration used (100 µM), the inhibitory effect of amiloride was reversible upon wash-out (Figures 4G,H). Thus, the NaCl-induced increase in [Ca<sup>2+</sup>]<sub>i</sub> in UBC is amiloridesensitive. This, however, does not seem to involve the canonical αβγ-ENaC which was shown to detect low concentrations of sodium in taste receptor cells of fungiform papillae in mice (Chandrashekar et al., 2010). First, only 1/6 UBC expressed all three ENaC subunits detected by NGS. Second, activity of mouse αβγ-ENaC appears to be maximal at 60 mM extracellular sodium (Sheng et al., 2004), a concentration which is below the stimuli used in the present study (≥50 mM NaCl added to Tyrode III). Third, this response to the NaCl stimulus was sensitive to amiloride, whereas baseline [Ca<sup>2+</sup>]<sub>i</sub> in UBC (in the presence of 145 mM Na<sup>+</sup> in Tyrode III) was not (**Figure 4G**). Fourth, even though this study used calcium-imaging and this does not directly measure ENaC-activity, the IC<sub>50</sub> for the observed inhibition of the calcium signal by amiloride is above that reported for inhibition of mouse  $\alpha\beta\gamma$ -ENaC (0.1  $\mu$ M) (Ahn et al., 1999). This might suggest an alternative amiloride-sensitive cation channel containing the ENaC  $\alpha$ -subunit. Recently, it was shown that  $\alpha$ -ENaC can assemble with alternative ion channels such as the acid sensing ion channel 1 (Jeggle et al., 2015), which may form a non-selective cation channel (Trac et al., 2017). The expression of acid sensing ion channels in UBC was, however, low and inconsistent (**Figure S1**). Alternatively,  $\alpha$ -ENaC can form homomeric ion channels *in vitro* (Canessa et al., 1994b). Their physiological function, however, remains to be proven *in vivo*.

Irrespective of the molecular composition of the amiloridesensitive sodium conductance in UBC, exposure to high concentrations of NaCl might trigger a membrane depolarization which may stimulate calcium-influx via voltage-gated calcium channels and subsequent release of acetylcholine. UBC showed consistent expression of  $\alpha$ -subunits of the L-type voltagegated calcium channels Ca<sub>v</sub>1.2 (*Cacna1d*), Ca<sub>v</sub>1.3 (*Cacna1d*), and Cav2.3 (*Cacnac1e*) (**Figure S2**). Furthermore, there was strong expression of the auxiliary subunit  $\beta$ 4 (*Cacnb4*) in 5/6 cells. The auxiliary  $\beta$ -subunits are generally important for membrane expression and the  $\beta$ 4-subunit seems to determine subcellular membrane-localization in polarized cells (Campiglio and Flucher, 2015). Voltage-gated calcium channels thus represent promising targets for the coupling of NaCl-induced membrane depolarization to acetylcholine release in UBC.

The physiological meaning of salt responsiveness of cholinergic UBC remains uncertain. In adult C57BL/6J mice, urinary sodium concentration is around 150 mM, similar to our 145 mM baseline in Tyrode buffer, and can significantly increase during water deprivation or high-salt intake (Li et al., 2012). During such conditions, cholinergic UBC may thus be exposed to sodium concentrations which trigger calcium responses as shown in this study. UBC are interpreted as sentinels of the lower urinary tract equipped for monitoring the mucosal surface for potential hazardous content, especially bacterial products (Deckmann et al., 2014; Deckmann and Kummer, 2016; Kummer and Deckmann, 2017). Threatening bacterial infections, however, are usually not connected to increased salt concentrations. Thus, α-ENaC may here serve other functions than monitoring luminal NaCl concentration. Canonical ENaC holds a key position in maintaining electrolyte Kandel et al. ENaC in Cholinergic Brush Cells

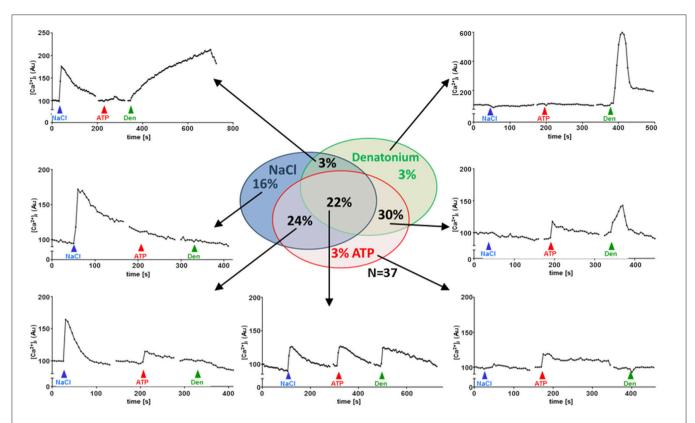


FIGURE 5 | UBC responses to NaCl, ATP and denatonium. Sequential stimulation with NaCl (50 mM on top of baseline concentrations in Tyrode), ATP (0.5 mM) and denatonium (25 mM); sequence of stimuli was changed between experiments (N = 37). Graphs show representative recordings of changes in Calcium Orange<sup>®</sup> fluorescence in single cholinergic (eGFP<sup>+</sup>) UBC. Experiments were performed during continuous superfusion with Tyrode III buffer Stimuli were added under continuous flow of Tyrode III into the chamber, so that indicated concentrations were reached initially and then washed out. Y-Axis depicts arbitrary units (AU) of Calcium Orange<sup>®</sup> fluorescence.

and water homeostasis, e.g., concentration of primary urine in the kidney (Kellenberger and Schild, 2002). Given the low number of cholinergic UBC in the urethra and their minimal exposure to the luminal surface, this function appears rather unlikely for this particular cell type. However, ENaC is also a mechanosensitive ion channel, reacting to shear stress (Althaus et al., 2007; Guo et al., 2016). This opens the possibility that ENaC-subunit carrying UBC may be involved in sensing urine flow in the urethra. Notably, as mechanical strain affects the entire epithelium and is not restricted to the luminal membrane, it will reach UBC without a clear connection to the luminal surface ("closed type," see Figure 3B and Deckmann and Kummer, 2016). Cholinergic UBC are connected to sensory nerve fibers and, reflexively, initiate micturition in response to a bitter stimulus in the urethral lumen (Deckmann et al., 2014). This has been interpreted as a protective reflex in that potentially hazardous content will be flushed out (Deckmann et al., 2014; Kummer and Deckmann, 2017). Voiding efficiency is augmented by sensory feedback from the urethra, where flow sensors are physiologically well characterized but not yet defined anatomically (Todd, 1964; Peng et al., 2008; Danziger and Grill, 2015). Thus, mechanosensitivity of cholinergic UBC may serve to augment the reflex response they have initiated.

To test for polymodal properties, cholinergic UBC were successively exposed to NaCl and ATP (N=90; 70% responded to NaCl), to NaCl and denatonium (N=36; 67% responded to NaCl), and to all three stimuli (N=37; 65% responded to NaCl, **Figure 5**). When responses to both NaCl and denatonium were tested on 36 UBC, all three possible response patterns occurred in a balanced distribution (**Figure 5**): 42% NaCl only, 33% denatonium only, 25% both stimuli. These percentages are roughly reflected by the (immuno)histochemical (65% of UBC expressing Scnn1a-tdTomato signal) and by the NGS data with 4/6 cells (67%) expressing Scnn1a, and 2 of them (33%) expressing additionally a known receptor for denatonium, i.e., Tas2r108 (**Figure 2**). Of course, the small total number of cholinergic UBC subjected to NGS (N=6) precludes a systematic quantitative analysis.

We have previously shown that a substantial number of denatonium-responsive UBC also reacts to monosodium glutamate (Deckmann et al., 2014). In terms of oropharyngeal gustation, these substances reflect an aversive (denatonium: bitter) and an attractive (monosodium glutamate: umami) stimulus, and, accordingly, are perceived by distinct cell populations, which still are considered as subtypes of type II taste cells (Chaudhari and Roper, 2010). The present data show

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an even broader diversity of UBC properties in that some of them share features also with type I cells of taste buds, expressing ENaC and being responsive to NaCl (Vandenbeuch et al., 2008). These findings further substantiate the polymodal character of cholinergic UBC. As far as further distinctive criteria are missing, we interpret the multiple combinations of responsiveness to various chemosensory stimuli and gene expression of related signaling components as phenotypic variation of a broadly tuned, polymodal chemosensory cell rather than defining multiple, clearly separated cell types.

## CONCLUSION

In sum, we could show that a fraction of cholinergic UBC expresses  $\alpha$ -ENaC and responds to the salty stimulus NaCl in an amiloride-sensitive manner. This feature does not define a new subpopulation of UBC, but rather emphasizes their polymodal character.

## **AUTHOR CONTRIBUTIONS**

KD designed research and performed statistical analysis. KD, CK, PatS, PauS, MK, and SO performed research and analyzed data.

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KD and WK obtained funding. KD, WK, AP, and MA drafted the manuscript. Work was supervised by WK and KD.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fcell.2018. 00089/full#supplementary-material

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# In silico Description of LAT1 Transport Mechanism at an Atomistic Level

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The molecular mechanism of transport mediated by LAT1, a sodium-independent antiporter of large neutral amino acids, was investigated through in silico procedures, specifically making reference to two transported substrates, tyrosine (Tyr) and leucine methyl ester (LME), and to 3,5-diiodo-L-tyrosine (DIT), a well-known LAT1 inhibitor. Two models of the transporter were built by comparative modeling, with LAT1 either in an outward-facing (OF) or in an inward-facing (IF) conformation, based, respectively, on the crystal structure of AdiC and of GadC. As frequently classic Molecular Dynamics (MD) fails to monitor large-scale conformational transitions within a reasonable simulated time, the OF structure was equilibrated for 150 ns then processed through targeted MD (tMD). During this procedure, an elastic force pulled the OF structure to the IF structure and induced, at the same time, substrates/inhibitor to move through the transport channel. This elastic force was modulated by a spring constant (k) value; by decreasing its value from 100 to 70, it was possible to comparatively account for the propensity for transport of the three tested molecules. In line with our expectations, during the tMD simulations, Tyr and LME behaved as substrates, moving down the transport channel, or most of it, for all k values. On the contrary, DIT behaved as an inhibitor, being (almost) transported across the channel only at the highest k value (100). During their transit through the channel, Tyr and LME interacted with specific amino acids (first with Phe252 then with Thr345, Arg348, Tyr259, and Phe262); this suggests that a primary as well as a putative secondary gate may contribute to the transport of substrates. Quite on the opposite, DIT appeared to establish only transient interactions with side chains lining the external part of the transport channel. Our tMD simulations could thus efficiently discriminate between two transported substrates and one inhibitor, and therefore can be proposed as a benchmark for developing novel LAT1 inhibitors of pharmacological interest.

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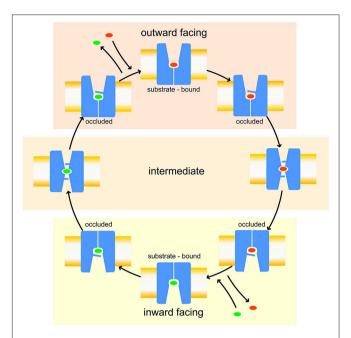
## **INTRODUCTION**

The L-type amino acid transporter 1 (LAT1, SLC7A5) is a ubiquitous Na<sup>+</sup>- and H<sup>+</sup>-independent antiporter, involved in cellular uptake of essential amino acids. Being over-expressed in many human cancers that are characterized by an increased demand of amino acids, it was recently acknowledged as a novel target for cancer therapy (Haase et al., 2007; Napolitano et al., 2017b). LAT1 is also expressed in the blood-brain barrier (BBB), where it allows the transit

of essential amino acids and also of some drugs, including the antiparkinson drug L-dopa and the anticonvulsant gabapentin (Dickens et al., 2013; Scalise et al., 2018). Natural mutations of LAT1 impair brain cells amino acid uptake and have been linked to Autism Spectrum Disorder (ASD) (Tărlungeanu et al., 2016). For these reasons, much attention is currently devoted to LAT1 transporter activity (Napolitano et al., 2017a,b; Scalise et al., 2018), and to its inhibition, e.g., with 3,5-diiodo-L-tyrosine (DIT), fenclonine, and acivicine (Geier et al., 2013; Schlessinger et al., 2013).

Like other members of the amino-acid-polyamineorganocation (APC) family (Napolitano et al., 2017a), LAT1 is characterized by 12 transmembrane  $\alpha$ -helices, which can be grouped in three different domains (Boudker and Verdon, 2010; Diallinas, 2014): α-helices 1-5 and 6-10 are involved in the transport mechanism and have an antiparallel symmetry in their secondary structures, while 11 and 12 are necessary for the homo-dimerization of the transporter. The repetitive symmetry of the first two domains gives to the  $\alpha$ -helices a discrete mobility within the cell membrane and defines the binding site for transported substrates, in the middle of the transport channel. This structural feature is defined "LeuT fold," from the name of the first crystallized transporter belonging to the APC family (Krammer et al., 2016; Kazmier et al., 2017). For LeuT fold proteins, a model of transport has been proposed: in the initial phase of the process, the protein lies in a "outward-facing" (OF) conformation and receives the transported substrate in its binding site. The interaction between protein and transported substrate promotes the gate closure: this starts from the rotation of a specific conserved aromatic amino acid (see below), which in turn leads to a conformational change in the whole protein, promoting its transition to an "inward-facing" (IF) conformation (Gao et al., 2010; Krammer et al., 2016). Afterward, the substrate is released by the transporter in the intracellular space and the protein, with a new substrate to be brought to the extracellular space, returns to its OF conformation, passing through intermediate transitory occluded states, as shown in Figure 1.

The details of this mechanism are not yet clarified, as the few APC crystallographic structures so far resolved are either in OF (AdiC, LeuT, and BetP) or in IF (Mhp1 and GadC) conformations, and none is in a non-occluded/occluded intermediate state (Singh et al., 2008; Weyand et al., 2008; Quick et al., 2009; Ressl et al., 2009; Zhou et al., 2009; Gao et al., 2010; Kroncke et al., 2010; Shimamura et al., 2010; Kowalczyk et al., 2011; Perez et al., 2011; Ma et al., 2012; Koshy et al., 2013; Simmons et al., 2014; Ilgü et al., 2016). In this context, molecular modeling, based on comparative modeling together with non-standard molecular dynamics (MD) simulations, such as targeted molecular dynamics (tMD), steered molecular dynamics (sMD) or meta-dynamics (MTD), has been widely exploited to shed light on the APC transport mechanism. For instance, in AdiC, an arginine/agmatine antiporter of E. coli, the interactions between the transported substrate and the side chains that define the transport channel have been studied in depth, through non-standard molecular dynamics



**FIGURE 1** Leu T fold proposed model of transport. Red and green ovals represent the substrates transported, respectively, from extracellular to intracellular side and *vice-versa*.

simulations (Krammer et al., 2016), to identify the pivotal residues in the process (Shaikh and Tajkhorshid, 2010; Cheng and Bahar, 2013, 2014; Geier et al., 2013; Zhao and Noskov, 2013; Stolzenberg et al., 2015; Krammer et al., 2016; Chiu et al., 2017).

For LAT1, a specific phenylalanine (Phe252) has been proposed as the "upper gate," since its closure is involved it the transition from OF to IF.

Despite its biochemical and pharmacological interest, LAT1 structure has not been solved yet by crystallography. Some LAT1 comparative models have already been proposed, mostly based on the crystallographic structure of AdiC in the OF conformation (Dickens et al., 2013; Geier et al., 2013; Augustyn et al., 2016; Colas et al., 2016; Napolitano et al., 2017a; Ilgü et al., 2018) which covers both the open and closed LAT1 conformations. However, since these models are all based on the only available OF conformation of different AdiC crystallographic structures, it is currently unknown which residues are actually involved in the substrate transport when LAT1 is in its IF conformation.

To overcome this limitation, in the present work we performed 12 different tMD simulations, involving two transported substrates, tyrosine (Tyr) and leucine methyl ester (LME), and a transport inhibitor, 3,5-diiodo-L-tyrosine (DIT) (Geier et al., 2013; Zur et al., 2016), and discussed our findings. With this investigation, we meant: (i) identifying the key residues for the transport along the whole channel, (ii) comparing the transport mechanism of molecules characterized by opposite functional effects on LAT1 and (iii) providing the first *in silico* evidence of a LAT1 inner gate to guide further *in vitro* experiments.

## **MATERIALS AND METHODS**

## Comparative Modeling of LAT1

LAT1 comparative modeling was based on already published multiple alignments (Napolitano et al., 2017a,b) of some members of the APC superfamily, including three amino acid/poly-amine antiporters [AdiC, CadB and PotE], one cationic amino acid transporter (CAT) [CAT6], one amino acid/choline transporter (ACT) [Uga4], one glutamate/GABA antiporter (GGA) [GadC], LAT1 and LAT2 (see **Supplementary Material**). Multiple alignment was carried out by the program Clustal Omega (Li et al., 2015).

According to their crystallization state, the crystallographic structures of AdiC (PDB ID: 3OB6), with Trp202 gate residue in an open conformation, and GadC (PDB ID: 4DJI) were selected as templates for modeling LAT1 OF and IF conformations, respectively. Selected 3D structures were first optimized and refined for further computational steps by correcting crystallographic-related errors and/or by filling up any unresolved residues (e.g., some amino acids in the GadC C-terminus) through the MOE Structure Preparation Module of the Molecular Operating Environment 2016.08 (MOE, Chemical Computing Group, Montreal, Canada). Comparative models were produced with the MOE Homology Model program with default settings, using the Amber10:EHT force field. Ten intermediate models were built and refined for each LAT1 conformation. Final models were selected according to the electrostatic solvation energy calculated with the Generalized Born/Volume Integral (GB/VI) methodology. The Ramachandran plot, the side chain packing, and the stereo chemical quality of the selected structures were checked with the MOE Protein Geometry module in order to verify that all these parameters were consistent with typical values found in crystal structures.

## **Molecular Dynamics Simulation**

The Desmond Molecular Dynamics System (D. E. Shaw Research, New York, NY, 2018, Schrödinger, New York, NY, 2018) system builder tool was used to place the OF LAT1 model into a POPC membrane bilayer. LAT1 orientation was set up according to the OPM server (Lomize et al., 2012), which provides spatial arrangements of membrane proteins with respect to the hydrocarbon core of the lipid bilayer. The Nand C-termini of the protein were capped. The system was solvated with 10,174 TIP3P water molecules in a cubic box with 90 Å edges; the exceeding positive charge was neutralized by adding chloride ions; sodium chloride was added up to 0.1 M concentration. The system was energy-minimized down to a root mean square (RMS) gradient of 0.05 kcal/mol/Å<sup>2</sup> using the MOE software, to relax the system and remove clashes between protein, membrane and solvent in the new setup.

To produce an equilibrated model of OF LAT1, the system was submitted to a 150 ns molecular dynamics simulation (MD) using NAMD, prepared through the MOE graphical interface (Phillips et al., 2005), under periodic boundary conditions (PBC). The following parameters were set: 300 K and Langevin thermostat

for temperature coupling, 1 bar and Nosé-Hoover Langevin piston for pressure coupling, 2 fs as integration time step. Coordinates and velocities of each atom were saved every 1 ps. The Amber10:ETH force field with the reaction-field treatment for electrostatics was applied in all the computational procedures. Analyses of the trajectories were performed with the VMD tool (Humphrey et al., 1996).

## **Targeted Molecular Dynamics Simulations**

Targeted molecular dynamics (tMD) is a method to observe large-scale transitions between two known end-point conformations of a molecule, initial and final (target). To this purpose, a restraint energy term is added to the total energy of the system: frame-by-frame, each specified atom is subject to a harmonic potential (i.e., a spring) that pulls it toward its target position. The restraint energy term is assumed to be proportional to the square the difference between (a) the RMSD from instant to final coordinates and (b) the RMSD that there would be from instant to final coordinates assuming a linear transition between the initial and target coordinates. As with a harmonic oscillator, a constant of proportionality k (i.e., spring constant) expresses how strong is the force with which the system is pulled toward its target coordinates (Schlitter et al., 1994)

The LAT1 model coming from the equilibrating MD phase was energy minimized and used as the starting state, whereas the final state was generated by replacing the OF LAT1 model embedded into the membrane with the IF model.

Transported substrates and inhibitor were added to the systems at the top of the simulation box in the starting states, aligning them along the Z-axis of the transport channel. The solvent bulk was then energy-minimized down to a RMS gradient of 0.05 kcal/mol/Å<sup>2</sup>, using the MOE software and keeping protein, substrates/inhibitor and membrane atoms fixed.

To preserve the optimized atomic configuration coming from the model based on AdiC structure, only the  $\alpha$ -carbon positions of LAT1 and the atoms of substrates/inhibitor were set as targeted atoms to guide the OF/IF transition, while side chains were set as freely moving.

A total of 12 different tMDs were performed, four with Tyr, four with LME and four with DIT. Performed tMD simulations and their identifiers are listed in **Table 1**. In detail, for each investigated compound the same tMD simulations were carried out under the following conditions: (i) spring constant k = 100 for 10 ns, (ii) sequential 5 ns-tMD with decreasing values of k (k = 90, 80, and 70). The spring constant k controls the magnitude of the elastic potential that pulls each atom toward its final coordinates (Phillips et al., 2005). A schematic flowchart of the *in silico* set up is shown in **Figure 2**.

The following parameters were set: 300 K and Langevin thermostat for temperature coupling, 1 bar and Nosé-Hoover Langevin piston for pressure coupling, 2 fs as integration time step. Coordinates and velocities of each atom were saved every 0.5 ps. Amber10:ETH was set as force field for all tMD simulations. All the tMD simulations were carried out using NAMD and prepared through the MOE graphical interface (Phillips et al., 2005).

## tMD Analyses

Aqua-Duct (v. 0.3.7 - http://www.aquaduct.pl) was used to analyze the trajectories of the substrates/inhibitor across the transporter. For our calculations, we tracked every molecule passing through the LAT1 channel, defined as a spherical zone of radius 6 Å, centered on residues Thr109, Val190, Asn273, Leu385, and Phe451. All the trajectories were also analyzed using GROMACS analysis tools, supported by the VMD plugins. Trajectory time frames were clustered on the basis of Tyr, LME, and DIT positions relative to the LAT1 transport channel according to the simple linkage methodology. Reported data

TABLE 1 | tMD simulation identifiers.

Identifier	Substrates/inhibitor	Time length [ns]	k
T1	Tyr	10	100
T2	Tyr	5	90
T3	Tyr	5	80
T4	Tyr	5	70
L1	LME	10	100
L2	LME	5	90
L3	LME	5	80
L4	LME	5	70
D1	DIT	10	100
D2	DIT	5	90
D3	DIT	5	80
D4	DIT	5	70

refer to the centroids of representative clusters. In order to compute the contribution of individual residues to the total interaction energy, a MOE svl script based on the interaction force fingerprint (IFFP) was applied. This method partitions the native force-field potentials and derives the residue-specific interaction forces of the ligand-receptor complex characteristic of hydrogen bonding, steric clashes, and other aspects of molecular interactions. The sum of these forces *per* residue provides the overall interaction of each single amino acid with the ligand and allows to compute the total interaction energy associated to the complex. It specifically works by subtracting non-bonded interactions of the ligand with each amino acid residue and using the resulting force vectors to describe the slope of the remaining potential (Shadnia et al., 2009).

## **Molecular Docking Simulations**

Resulting tMD trajectories were clustered, and the representative conformations (i.e., centroids of representative clusters) were used as LAT1 structures for molecular docking simulations (Jurik et al., 2015; Colas et al., 2016).

The binding sites of representative conformations were identified through the MOE Site Finder program. Molecular docking simulations of both substrates and inhibitor were carried out with the MOE Docking program with default settings, as previously described (Galli et al., 2014). Briefly, a multi stage docking framework was applied, according to the MOE Docking algorithm, which includes conformational search, placement, initial scoring, refinement and final scoring. The protein was treated as a rigid body, while intermolecular bonds of docked

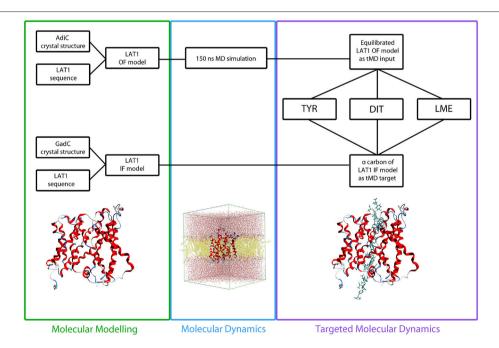


FIGURE 2 | Flowchart of *in silico* set up. LAT1 OF and IF models were built starting from AdiC and GadC crystal structures, respectively, *via* homology modeling. LAT1 OF model was equilibrated through a 150 ns MD simulation and then used as starting structure for all the tMD simulations. α-Carbons of LAT1 IF model were used as targets for all the tMD simulations.

compounds were allowed freely rotation to generate preferred conformations prior to the placement stage. The Amber10:EHT force field was applied for both protein and substrates/inhibitor parametrization.

Depending upon the docking stage, docked compounds were ranked according to two different empirical scoring functions which provide an estimation of the free energy of binding ( $\Delta G$ ) and include in their calculation, among others contributions, solvation and entropy terms, or enthalpy terms based on polar interaction energies. In detail, the London dG scoring function and the forcefield-based GBVI/WSA  $\Delta G$  scoring function were used for the initial and the final scoring of the docking poses, respectively (Naı̈m et al., 2007; Labute, 2008). More negative values of this parameter, expressed in kcal/mol, indicate a gain, less negative values a worsening in affinity for the substrate (Sensi et al., 2014; Platonova et al., 2017).

## In silico Site-Directed Mutagenesis

Single point mutations were generated with the MOE Residue Scanning tool; the selected residues were mutated to alanine. To obtain more accurate results, the sites being mutated were treated as flexible by applying a conformational sampling method to both the target amino acid and the surrounding residues and generating an ensemble of conformations. Then, for each analyzed property of the protein ensemble monitored through the conformational search (i.e., protein thermostability and binding affinity for the substrate), average values were computed using a Boltzmann distribution. In detail, the Low Mode MD sampling method was selected for each in silico mutation to generate 25 conformational ensembles and to sample interactions between substrate and surrounding residues. To account for the effect of mutation on Tyr transport, relative thermostability ( $\Delta$ Stability) and binding affinity ( $\Delta$ Affinity), with respect to the wild type LAT1, were computed as the Boltzmann average of the relative stabilities/affinities of the ensemble.

The change in protein stability due to mutation was computed through the MOE protein stability scoring function, trained on over 3000 single point mutations taken from the FoldX (Guerois et al., 2002) and PoPMuSiC-2.0 (Dehouck et al., 2009) datasets. This method is based on the prediction of the difference in stability (s) between the mutant and wild type in the folded (f) and unfolded (u) states of the protein, expressed as  $\Delta\Delta G_{s}$  computed as follow:

$$\Delta \Delta G_s = \Delta G_f^{Mut} - \Delta G_f^{WT} = \Delta G_{sf}^{WT \to Mut} - \Delta G_{su}^{WT \to Mut}$$

Accordingly, a model based on Linear Interaction Energy is applied, in which  $\Delta G$  can be expressed as the change in the residue environment interaction energy going from wild-type to mutant, where the interaction energy is the change between the energy of the protein and the energy of the protein without the residue of interest.

The GBVI/WSA dG scoring function, an AMBER/GBVI-based scoring function trained on 99 protein-ligand complexes (Corbeil et al., 2012), was used to calculate the differences in affinity for the transported solute substrate Tyr upon mutations.

More negative values of  $\Delta$ Stability and  $\Delta$ Affinity, expressed in kcal/mol, indicate increased stability of the transported structure and gain of affinity for the substrate. Conversely, more positive/less negative values of both parameters indicate loss in complex stability and worsening of affinity.

## **RESULTS AND DISCUSSION**

## **OF and IF LAT1 Models**

LAT1 comparative models were built from multiple alignments of a set of transporters belonging to the APC family, as previously described (Napolitano et al., 2017a) and reported in **Supplementary Material**. Despite the existence of a binding partner of LAT1, SLC3, most of the published wet transport data have been obtained on the monomeric form of LAT1 (Napolitano et al., 2017a), which was demonstrated to exert its activity also in this form. For this reason, to characterize the transport mechanism of LAT1, we focused only on its monomeric form. In order to build LAT1 models in two different conformations, the crystallographic structures of known APC transporters were analyzed and compared by structural superposition.

Making reference to our sequence alignment (Napolitano et al., 2017a), as reference structure to model LAT1 in its OF conformation we selected AdiC, which, even with a sequence identity of 21% and similarity of 34%, represents the most suitable template available for modeling LAT1.

Membrane proteins are hard targets for structural biology due to the intrinsic instability of their crystals. Despite the number of solved structures has exponentially grown in the last few years, comparative modeling is still the method of choice if the unknown protein shares any significant sequence similarity with an experimental structure. However, the identification of topological fingerprints and sequence similarity networks has proven useful for improving the accuracy of low- (<30% sequence identity) or mid-range (<50%) comparative models (Schlessinger et al., 2013). In this respect, as already done for GPCRs (Isberg et al., 2016), also for some membrane transporters a numbering scheme has been proposed, providing common reference points within the topology, useful for guiding homology modeling and structural comparison across the entire family (Lee et al., 2016).

Among the few homologous transporters solved so far in IF state, namely ApcT and GadC (Shaffer et al., 2009; Ma et al., 2012), the latter shows a more clearly developed IF conformation than ApcT. This conclusion is supported by the higher RMSD values obtained when AdiC and GadC are superposed by structural alignment (6.1 Å), compared to those obtained by superposing AdiC and ApcT (4.9 Å). Moreover,  $\alpha$ -helix 1, which is involved in the conformational changes in the transition from the OF to the IF state, is fully inward open in GadC (**Supplementary Figure 1**). On this basis, despite the low sequence identity, GadC was selected as the most suitable template to model LAT1 in IF conformation. However, this model was not used in any tMD simulations, but just to define the reference final (target) position of the  $\alpha$ -carbons.

Protein geometry has been checked for the two crystallographic structures used as templates, and for the OF

and IF models. The checked parameters are not significantly different between experimental (Supplementary Figures 2A,B) and computational structures (Supplementary Figures 2C,D).

The structural comparison between the two models allows pointing out the regions with high mobility and the ones less involved in conformational changes during the transport cycle. The RMSD between OF and IF conformation amounts to approximately 7.5 Å overall and is color coded on a residue-to-residue basis in **Figure 3A**. In agreement with previous literature data on homologous transporter structures (Krammer et al., 2016), the  $\alpha$ -helices 1, 4, 11, and 12 of our models show a high RMSD value (average 6.5 Å) along their whole length;  $\alpha$ -helices 2, 6, and 7 also show a high RMSD value (average 6.8 Å), but this is associated to conformational rearrangements in only a portion of their length, suggesting that the  $\alpha$ -helices are involved to a different extent in this structural transition.

Two different transport channel surfaces were identified in OF (Figure 3B) and IF (Figure 3C) LAT1 models. In particular, in the OF model the transport channel surface is open to the extracellular side of the membrane; conversely, it is closed in its lower portion, mainly by residues Tyr259, Thr345, and Asn258 (Supplementary Figure 3A). On the other hand, the IF structure has a well-defined channel, open to the intracellular side of the membrane; the main residues that close the upper portion of the channel are Ile147, Phe252, Ser143, and Phe69 (Supplementary Figure 3B).

To ensure the robustness of our procedure, the stability of the OF model, used as reference structure for the following steps, was carefully assessed *via* 150 ns of MD simulation. To

this end, the system was relaxed and the OF LAT1 structure equilibrated in an explicit membrane bilayer. Besides protein geometry quality validation, the general stability of the OF LAT1 model is also confirmed by the short-simulated time necessary for the protein to reach a RMSD plateau, characterized by a value (<3 Å) typical of stable proteins (**Supplementary Figure 4**). As expected, the largest root mean square fluctuation (RMSF) computed for the α-carbons is associated with the C-terminus (residues 437-441), a typical protein end-effect. The extracellular loops, residues 117-119 and 175-180, are associated to a RMSF of approx. 4 Å. Conversely,  $\alpha$ -helices RMSF is < 1 Å, suggesting very low flexibility for the transmembrane barrel structure. Our MD simulation thus suggests that the structure obtained from this equilibration phase is stable and it is also equivalent to the OF LAT1 structure already published in our previous works (Napolitano et al., 2017a,b) in which we validate our OF LAT1 model *via in vitro* experiments.

For these reasons, the equilibrated OF LAT1 model represents a suitable starting point for tMD simulations, supporting its use as a reference structure to guide LAT1 from an OF to an IF conformation.

## Differences in Substrates and Inhibitor Transport Mechanisms With k = 100

Frequently, classical MD simulations do not efficiently explore the conformational space and fail to reproduce large functional protein rearrangements. To bypass this limitation, tMD simulations were carried out. To model the transition from OF to IF LAT1 conformation within this protocol, the  $\alpha$ -carbon

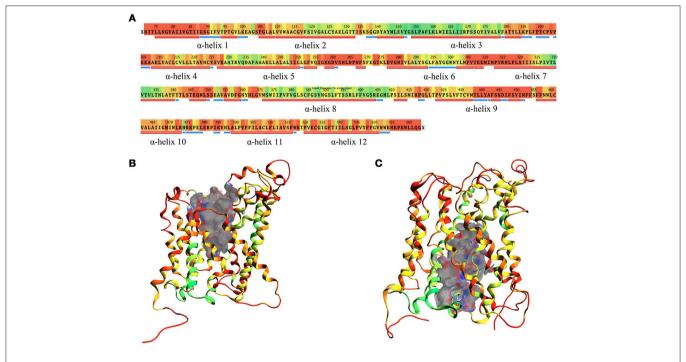


FIGURE 3 | RMSD between OF and IF LAT1 models, computed for the α-carbons. (A) shows per-residue RMSD along LAT1 primary and secondary structure. Red corresponds to the maximal, green to the minimal RMSD values; LAT1 transport channel in OF and IF models are shown in (B,C); ribbons are colored as per RMSD value.

positions of the IF LAT1 model were taken as reference, disregarding the side chains; this selection avoids any potential issue connected with the use of a distant homologous template. Accordingly, IF LAT1 model was never used in any of our tMD simulations.

The use of different experimental setups in tMD allowed us to discriminate the ability of the investigated compounds (Tyr, LME and DIT) either to be transported or to inhibit LAT1 activity, as well as to capture the complete transition from OF to IF resulting in the passage of substrates across the transport channel.

In detail, a simulation at a the relatively high spring constant value (k = 100 for 10 ns) was useful to assess the whole transport cycle and to allow the passage of all substrates/inhibitor through the LAT1 channel; decreasing k values (90, 80, 70, for 5 ns) were set to identify the conditions at which transport is allowed or blocked for substrates and inhibitor and to appreciate differences in their transport mechanism (**Table 1**).

**Supplementary Movie 1** shows the entire transport simulation of the three compounds. Their paths during the tMD simulations were traced and are reported in **Supplementary Figure 5**. This analysis shows that, at the highest spring constant value, Tyr, LME and DIT are able to pass through the LAT1 transport channel, moving from the extracellular to the intracellular side of the membrane.

In these simulations, RMSF values computed for LAT1  $\alpha$ -carbons (**Figure 4A**) point out highly flexible regions, mainly corresponding to  $\alpha$ -helices 1, 4, 6, 7, and 9.  $\alpha$ -helices 11 and 12, required for LAT1 homo-dimerization (Napolitano et al., 2017a), are associated instead with very low local flexibility in T1 and D1 simulations, while  $\alpha$ -helix 11 shows an increased flexibility in L1 simulation. As already mentioned above, the C-terminus shows the highest mobility.

Substrates/inhibitor path analysis does not provide any time-dependent information on transport; for this reason, the Z-axes distances between substrates/inhibitor and Arg141 were plotted for the tMD simulations. Arg141 was selected as reference, since it is placed in the middle of the transport channel, on  $\alpha$ -helix 3, which presents the lowest RMSD between the starting OF and final IF structures. Arg141 itself is associated to the lowest RMSF value, suggesting that this residue encounters minor changes during OF  $\rightarrow$  IF transitions and thus represents a good reference to trace substrates/inhibitor movements along the transport channel.

Comparing protein RMSD (Figure 4B) with Arg141 distance plots (Figure 4C), it appears that OF  $\rightarrow$  IF LAT1 transition (between 2 and 4 ns) occurs before the transit (from 5 ns) of transported substrates/inhibitors close to the gate (Figures 3C). These data suggest that transported substrates can be delivered to the intracellular side only after the transporter structural rearrangement starts taking place. Summing-up, these tMD simulations, run in an artefactual setup (high spring constant), demonstrate a faster transport for substrates than for DIT. As shown in Figure 4C, Tyr and LME reach the binding site, near the Phe252 gate, approx. 1 ns before DIT, and remain there for 2 ns. Afterwards, transported substrates move down the second half of the transport channel, reaching the intracellular side in approximately 8 ns. Conversely, DIT reaches the binding site within 6 ns, stops there for about 2 ns, then exits very quickly from the transport channel. The distance profile analysis lets us hypothesize the existence of a second gate, other than Phe252, as described in AdiC (Krammer et al., 2016), which blocks the transport of the substrates until a suitable LAT1 rearrangement occurs.

In order to identify this putative gate, a cluster analysis was performed; results are reported in **Table 2**. Data discussed in

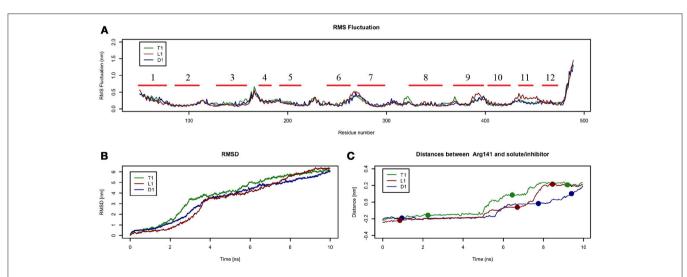


FIGURE 4 | Geometrical changes of LAT1 during 10 ns tMD simulations. (A) shows the superposition of RMS fluctuation for both dynamics, computed for the α-carbons of LAT1; α-Helices are represented as red lines. (B) shows RMSD values over time for LAT1 during OF to IF transition in presence of Tyr, LME and DIT. RMSD was computed for LAT1 α-carbons, substrates/inhibitor were excluded from RMSD calculation. (C) reports distances between Arg141 and substrates/inhibitor during transport. Dots indicate the frames identified as clusters centroids.

**TABLE 2** | tMD clusters for simulations with k = 100.

tMD identifier	Number of clusters	Cluster ID	% Population	Substrates/inhibitor placing
T1 (10 ns, $k = 100$ )	13	C1	23	Channel
		C2	14.5	Extracellular side
		C3	10	Intracellular side
L1 (10 ns, $k = 100$ )	20	C4	40	Extracellular side
		C5	26	Channel
		C6	20	Intracellular side
D1 (10 ns, $k = 100$ )	13	C7	35.5	Extracellular side
		C8	28.5	Channel
		C9	15.5	Intracellular side

detail in the text only refer to the centroids of the most populated clusters.

In all the 10 ns tMD simulations with k = 100, the three main clusters represent an initial, an intermediate and a final state of the transport, in which substrates and the inhibitor are at the extracellular side, buried in the channel and at the intracellular side of LAT1 channel.

For T1 the most populated cluster is C1; observing Tyr interactions with surrounding residues, it is possible to identify a putative inner gate, composed of three amino acids: Phe262, Arg348, and Thr345. Moreover, from IFFP, a total interaction energy (Shadnia et al., 2009) of -9.4 kcal/mol is evaluated (**Table 3**) and the relevant contribution from Phe262 Arg348, and Thr345 is confirmed. As shown in **Figure 5A**, hydrogen bonds are formed between the amino group of Tyr and the hydroxyl group of Thr345, and a salt bridge between the carboxylic group of Tyr and the basic group of Arg348.

To evaluate whether the interactions between Tyr and the putative inner gate residues pointed out by tMD simulation are relevant, we used molecular docking to sample Tyr binding modes more accurately, using the Tyr coordinates reported in C1 to address the binding site. We obtained five refined docking poses of Tyr, which had an RMSD ranging from 0.1 to 1.3 Å when compared to the Tyr binding mode observed in C1.

The top-scoring pose has an associated binding free energy of  $-7.4~\mathrm{kcal/mol}$  and overlaps with the tMD reference pose with an RMSD value of 0.1 Å (**Figure 5B**), suggesting that C1 cluster is representative of an energetically-stable conformation of both substrate and transporter.

As already shown in our previous papers, a direct relationship exists between dissociation constant ( $K_i$ ) computed from binding free energy on molecular docking poses and *in vitro* data. Indeed, it has been reported that the accuracy of *in silico* estimated  $K_i$  values is approx. one order of magnitude with respect to the experimental values (Eberini et al., 2008; Galli et al., 2014), implying that the accuracy of the binding free energy is approximately 1.3 kcal/mol.

The contribution of each interacting residue to LAT1 model stability and to its affinity for the substrate Tyr has been

**TABLE 3** | Interaction force fingerprint for simulations with k = 100.

Cluster ID	Total interaction energy [kcal/mol]	Residues (Residue contribution [kcal/mol])
C1	-9.4	Asp116 (-1.2), Tyr259 (-1.8), Phe262 (-2.6), Thr345 (-2.4), Arg348 (-2.7), Leu349 (-1.6)
C5	-12.0	Thr71 (-5.0), Ser143 (-1.7), Tyr147 (-7.6), Ser249 (-2.0), Phe252 (-2.1), Ala253 (-1.9), Phe402 (-2.9)
C8	-10.8	Tyr259 (-1.7) Phe262 (-2.0), Phe344 (-1.9), Thr345 (-2.9), Arg348 (-2.5)

**TABLE 4** | *In silico* mutagenesis for C1 cluster. Mutations are sorted by differences in protein stability contribution.

Mutations	∆Affinity	∆Stability
Y259Y, F262F, T345T, R348R	0.00	0.0
Y259Y, F262F, T345A, R348R	0.2	1.2
Y259A, F262F, T345T, R348R	0.1	1.5
Y259Y, F262F, T345T, R348A	0.4	1.6
Y259Y, F262A, T345T, R348R	0.2	2.0
Y259A, F262A, T345T, R348R	0.4	2.4
Y259A, F262A, T345A, R348R	0.5	2.5
Y259A, F262F, T345A, R348A	0.7	2.7
Y259Y, F262A, T345T, R348A	0.6	2.8
Y259Y, F262A, T345A, R348A	0.7	3.4
Y259A, F262A, T345T, R348A	0.6	3.5
Y259A, F262A, T345A, R348A	0.6	3.9

estimated, with respect to the wild type, upon *in silico* cumulative mutations of each specified residue to alanine (**Table 4**). We found that the mutation of a single residue weakly affects the affinity for the transported substrate, whereas in cumulative mutations Arg348 seems to be the most relevant residue, among the investigated ones: the high  $\Delta$ Affinity value suggests that this amino acid may play a pivotal role in the interaction with the substrate.

Only by mutating Phe262,  $\Delta$ Stability was appreciably affected, showing an increase with the number of cumulative mutations. When Phe262 and two or more other residues are simultaneously mutated, the  $\Delta$ Stability value is higher than 3 kcal/mol (Tokuriki et al., 2008).

These site-directed mutagenesis results, even if limited by their merely *in silico* nature, could be suggestive for further *in vitro* experiments, aimed at validating the proposed LAT1 transport mechanism.

The same analysis was repeated for both L1 (LME) and D1 (DIT) tMDs (**Table 3**). In C5, LME is placed in proximity of the outer gate where it establishes a Pi-stack interaction with Tyr147 and forms a H-bond with Thr71 (**Figures 5C,D**). The gating residue Phe252 also contributes to the total interaction energy. In C8, it was possible to identify crucial interactions between DIT, which is buried into the channel, and two residues, Thr345 and Tyr259; according to IFFP these two residues

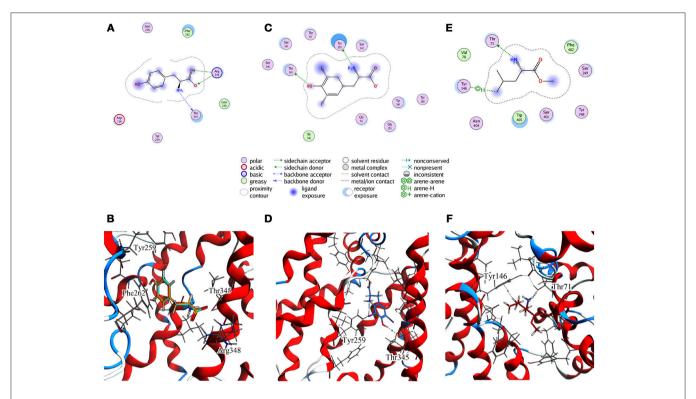


FIGURE 5 | Cluster centroids for transported substrates and inhibitor. Tyr data in (A,B), LME in (C,D), DIT in (E,F). (A,C,E) report the ligand interaction diagram of substrates with putative gating residues; (B) illustrates the conformation of C1-centroid and the superposition of C1 Tyr (orange) vs. Tyr molecular docking pose (light blue); (D,F) show DIT and LME placement in representative clusters. LAT1 is shown in ribbon representation. Tyr, LME, and DIT as well as LAT1 side chains are in stick representation.

provide the highest contribution to the total interaction energy. Other residues, reported in **Figures 5E,F**, were recognized as important for the total interaction energy. C3, C6, and C9 clusters, representative of the final intracellular localization of transported substrates/inhibitor, and descriptive of the final states of dynamics, were not extensively analyzed since no interactions with LAT1 were observed.

In addition to the outer gate including Phe252, these tMD simulations suggest the presence of a second putative inner gate, located in the inward facing region of the transport channel; this involves Thr345, which interacts with Tyr and DIT. Through tMD it is possible to appreciate the different behavior of Tyr, LME and DIT since the substrates and the inhibitor transit through LAT1 with different timing.

## **Decreasing Spring Constant tMD**

Simulations of Tyr, LME, and DIT transport by LAT1 were repeated with k set at 90, 80, and 70 and results were processed through cluster analysis and IFFP as described above; an overview on the outcome is provided in **Tables 5**, **6**.

An extensive analysis of all the tMD trajectories and substrate paths (**Supplementary Figure 5**) shows that, as the spring constant *k* decreases, Tyr slips into LAT1 at different depths. Only in T2, Tyr completely crosses the membrane, exiting the LAT1 convex-hull. In T3, Tyr enters the transport channel, but does not exit the LAT1 convex-hull, whereas, in T4, Tyr is blocked just

below the external gating region, Phe252. LAT1 rearrangements from OF to IF conformation occurring in T2, T3, T4 tMDs are shown in **Supplementary Movies 2–4**.

The initial position of Tyr in the simulated system is shown in Figure 6.A. RMSD and RMSF plots (Figures 6B,C), for T2, T3 and T4 tMD simulations, do not significantly differ. The distance between Tyr and Arg141 was plotted as a function of time for all the 5ns tMDs, in order to assess the time spent by Tyr in the different regions of the LAT1 transport channel. In Figure 6.D it is possible to distinguish some steps in which Tyr is temporarily trapped, allowing us to hypothesize ongoing protein rearrangements. Cluster analysis of Tyr position along the LAT1 transport channel (Table 5) and IFFP assessment of residue contribution to interaction (Table 6) show that in C11 Tyr takes contact with the previously identified residues, i.e., Thr345, Arg348. Under the weaker spring constant value Tyr259 turns out to be a key residue in the putative inner gate. Other aromatic residues, Phe244 and Phe262, line the transport channel and participate in interactions with transported substrates. Also in this case, molecular docking simulations could reproduce the Tyr binding mode within this specific cluster (not shown). We obtained four refined docking poses of Tyr with an RMSD from the C11 Tyr reference coordinates ranging from 0.2 to 1.8 Å, and only one docking pose had an RMSD above the value of 2 Å, that is taken as a cut-off to discriminate between different binding modes (Poli et al., 2016). The top-scoring pose has a binding free

**TABLE 5** | tMD clusters for simulations with k < 100.

†MD Number of Cluster % Substrate/inhibitor identifier **Population** clusters placing T2 (5 ns. 11 C10 68.4 Extracellular side k = 90C11 15 Channel C12 8 Intracellular side T3 (5 ns. 17 C13 57.6 Extracellular side k = 80) C14 14.4 Channel 11.5 Channel C15 T4 (5 ns 8 C16 79.4 Extracellular side k = 70C17 10 4 Extracellular side C18 3.6 Channel 6 46 Extracellular side L2 (5 ns. C19 k = 90) C20 20 Intracellular side C21 18 Channel Channel L3 (5 ns. 16 C22 40 k = 80C23 19 Channel C24 14 Extracellular side L4 (5 ns, 8 C25 64 Channel k = 70) C26 20 Extracellular side C27 10 Extracellular side 55.2 Extracellular side D2 (5 ns. 13 C28 k = 90) Channel C29 30.2 C30 8 Channel D3 (5 ns. 3 C31 82 Extracellular side k = 80C32 17.8 Channel 4 (5 ns. 5 C33 58.4 Extracellular side k = 70C34 228 Extracellular side C35 18.3 Extracellular side

energy of approx. -6.5 kcal/mol, associated with a RMSD of 0.2 Å, suggesting that the interaction mode between substrate and transporter pointed out by tMD simulation can also be carefully reproduced by molecular docking.

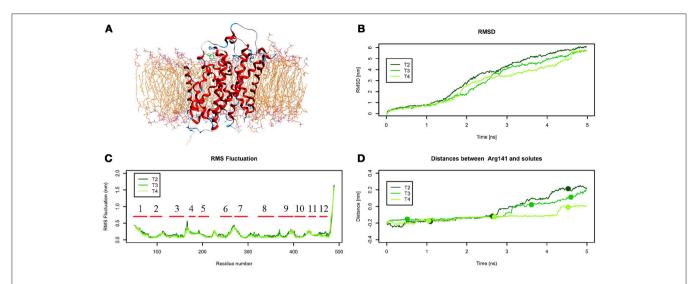
In C14, Tyr is close to Tyr259 and to Thr345, which are the main residues contributing to the IFFP. Interestingly, Phe252 is in an open conformation, whereas it is in a closed conformation in C15. The most populated cluster in T4 is representative of Tyr permanence in the extracellular side. C16 and C17 are representative of the Brownian movements of Tyr in the upper side, while C18 is representative of the last stage of the Tyr path; in this cluster, Tyr interacts with Thr345 and Tyr259. None of the 5 top-scoring poses obtained by docking simulation could reproduce the Tyr position in C18, suggesting that C18 is a transitory conformation of Tyr::LAT1 complex during the substrate transport.

**TABLE 6** | Interaction force fingerprint for simulations with k < 100.

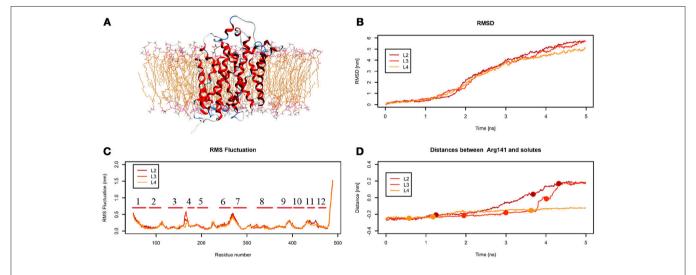
Cluster ID	Total interaction energy [kcal/mol]	Residues (Residue contribution [kcal/mol])
C11	-13.3	Tyr259 (-1,7), Phe262 (-2.0), Phe344 (-1.9), Thr345 (-2.9), Arg348 (-2.5)
C14	-13.3	Tyr259 (-3.7), Thr345 (-1.4)
C15	-13.0	Gly114 (-1.0), Phe262 (-2.7), Arg348 (-3.6), Leu349 (-2.8), Val352 (-1.2)
C18	-9.0	Thr62 (-1.1), Ser143 (-2.2), Tyr146 (-1.8), Tyr259 (-6.6), Ser342 (-3.0), Thr345 (-1.4)
C21	-8.3	Phe262 (-1.6), Thr345 (-2.9), Arg348 (-1.7), Leu349 (-1.5)
C22	-16.6	Val70 (-2.0), Thr71 (-1.5), Tyr147 (-1.4) Ser249 (-1.6), Ser401 (-2.5), Asn404 (-1.2), Trp405 (-2.8)
C23	-10.9	Tyr259 (-4.2), Phe262 (-1.3), Thr345 (-1.8)
C25	-18.5	Gly67 (-1.9), Thr71 (-1.2), Ser249 (-1.6 Phe252 (-3.3), Ala253 (-1.8), Asn404 (-1.9), Trp405 (-2.6)
C29	-11.1	lle140 (-1.1), Ser96 (-1.3), Tyr259 (-1.8 Gly294 (-1.7), Ser295 (-2.2)
C30	-11.4	lle139 (-2.6), lle140 (-4.7), Ser96 (-2.1) Asn211 (-1.8), Tyr259 (-1.5), Ser342 (-1.6)
C32	-19.4	Thr71 (-2.3), Gly74 (-1.8), Tyr146 (-2.7 Phe205 (-1.3), Ser354 (-1.3), Asn35 (-1.9), Trp358 (-2.2), Val36 (-1.1)

From the analysis of the LME trajectories, the substrate reaches the intracellular space both in L2 and in L3. LME transport across LAT1 is shown in **Supplementary Movies 5–7**. The starting configuration of LME within the simulated system in tMDs is shown in **Figure 7A**. L2 and L3 have similar RMSD and RMSF profiles (**Figures 7B,C**), whereas in L4 LAT1 undergoes a less marked conformational change. With decreasing *k*, LME progresses with a linear path (L2) then takes a segmented track to eventually stop near the putative inner gate as also shown by the analysis of distances from Arg141 (**Figure 7D**). In C21 and C23 the substrate is near the putative inner gate, establishing interactions with Phe262 and Thr345. In C22 and C25, LME is near the outer gate; Thr71, Asn404 and Trp405 contribute significantly to the total interaction energy computed *via* IFFP. The remaining clusters, instead, are representative of Brownian motions

The analysis of DIT path along LAT1 transport channel (**Supplementary Figure 5**), shows that the inhibitor neither reaches the intracellular side of the protein nor bypasses the outer gate residue Phe252. In fact, as the spring constant k decreases, DIT progressively fails to reach the deepest regions along the channel: (i) at k = 90 (D2) it is unable to cross the binding site, but bypasses the gate residue Phe252, (ii) at k = 80 (D3) it stops above the outer gate Phe252, and (iii) at k = 70 (D4) it remains in the extracellular domain, never reaching the outer gate. LAT1 rearrangements from OF to IF



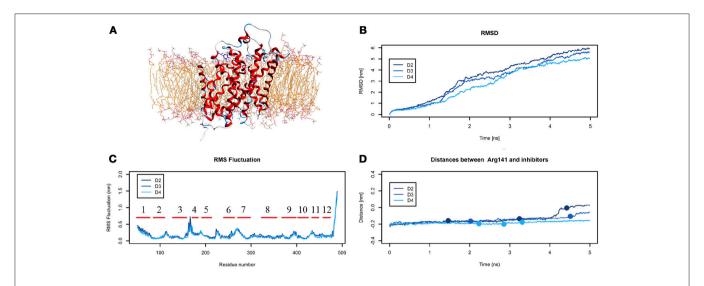
**FIGURE 6** | Geometrical changes of LAT1 during 5 ns tMD simulations of Tyr. **(A)** shows the starting position of Tyr in the tMD simulation with LAT1 OF structure into the POPC bilayer membrane. **(B)** shows RMSD profiles vs. time for LAT1 during OF  $\rightarrow$  IF transitions. RMSD was computed for the  $\alpha$ -carbons. **(C)** shows the RMSF for the three dynamics, computed for  $\alpha$ -carbons.  $\alpha$ -Helices are represented as red lines. **(D)** shows distances between Arg141 and substrates molecules. The points mark representative clusters.



**FIGURE 7** | Geometrical changes of LAT1 during 5 ns tMD simulations of LME. **(A)** shows the starting position of LME in the tMD simulation with LAT1 OF structure into the POPC bilayer membrane. **(B)** shows RMSD profiles vs. time for LAT1 during OF  $\rightarrow$  IF transitions. RMSD was computed for the  $\alpha$ -carbons. **(C)** shows the RMSF for the three dynamics, computed for  $\alpha$ -carbons.  $\alpha$ -Helices are represented as red lines. **(D)** shows distances between Arg141 and LME. The points mark representative clusters.

conformation occurring in D2, D3, D4 tMDs and DIT paths across LAT1 are shown in **Supplementary Movies 8–10**. The starting configuration of DIT in tMDs is shown in **Figure 8A**. The RMSD (**Figure 8B**) and RMSF profiles (**Figure 8C**) confirm the same general behavior previously described for other tMD simulations. In no case DIT passes through the channel, reaching the intracellular side, in agreement with its known inhibitory activity (**Figure 8D**). Varying the spring constant value k, DIT stops near Arg141 for approximately 1 ns (D2); then it remains in a putative outer binding site for approximately 0.5 ns (D3), and finally it stops in the extracellular

side, never entering the transport channel of LAT1 for the whole simulated time (D4). From cluster analysis, in D2, a very populated cluster (C28, 93% of population) describes the DIT Brownian motions in the extracellular side. A second cluster, C29, describes the initial transport step, in which DIT enters the binding site, near the outer gate Phe252, and interacts with Ser401 and Lys77. Phe252 is exposed and in an open configuration. C31 shows Brownian motions of DIT in LAT1 extracellular side; C32 is representative of DIT motions at the entrance of the transport channel, where DIT interacts with Trp405 and Ser249. For D4, all clusters



**FIGURE 8** | Geometrical changes of LAT1 during 5 ns tMD simulations of DITs. **(A)** shows the starting position of LME in the tMD simulation with LAT1 OF structure into the POPC bilayer membrane. **(B)** shows RMSD profiles vs. time for LAT1 during OF  $\rightarrow$  IF transitions. RMSD was computed for the  $\alpha$ -carbons. **(C)** shows the RMSF for three dynamics, computed for the  $\alpha$ -carbons.  $\alpha$ -helices are represented as red lines. **(D)** shows distances between Arg141 and DIT. The point mark the representative clusters.

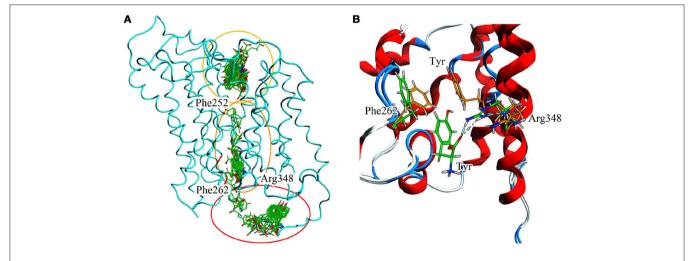


FIGURE 9 | (A) Full T1 MD simulation. LAT1 is in an intermediate conformation, while Tyr is 100 ps frame skipped. Three different clusters can be observed: the first in the extracellular domain, near the inner gate (Phe252); the second in the transport channel, near Phe262; the third in the intracellular domain. These three clusters are representative of the LAT1 transport mechanism. (B) Inner gating mechanism. Phe262 and Arg348 torsion during channel exiting by Tyr. LAT1 is in ribbon representation; orange and green sticks correspond to different transport phases.

represent DIT Brownian motions in the extracellular side of the membrane.

Taken together, data from D2-4 tMD suggest that DIT inhibits LAT1 transport of amino acids with a competitive mechanism based on a molecular recognition that occurs outside the transport channel. Indeed, DIT may be recognized by LAT1 as a "fake substrate," because of its similarity with the canonical transported substrates, but it can establish interactions only with LAT1 residues above Phe252 and external to the transport channel, preventing the interaction with, and the transport of, other substrates.

## **Transport Across LAT1**

To summarize evidences collected *via* our *in silico* approach (Figure 9.A), it is possible to recognize Phe252 as the key gating residue in LAT1 outer region, as already described (Napolitano et al., 2017a); it is also possible to identify a more extended region around Phe262 that participates in the inner gating mechanism. This region includes also Phe244, Tyr259, Thr345, and Arg348. Phe262 closes the channel by steric hindrance, preventing substrate exit, in synergy with Arg348 (**Figure 9B**).

Ser342, already proposed as a residue involved in LAT1 binding (Geier et al., 2013), is crucial in substrate docking prior

to translocation, as demonstrated both *in silico* and *in vitro* (Napolitano et al., 2017a).

To further support these findings, there is correspondence between Phe252 in LAT1 and the gating residue Trp202 in AdiC (Geier et al., 2013; Napolitano et al., 2017a). For other transporters of the APC family the transport mechanism is fully characterized: AdiC has a well-defined 2-gates mechanism (Trp202 and Trp293) (Krammer et al., 2016), whereas LeuT has a diffuse gating that involves more amino acids along the transport channel (Zhao and Noskov, 2013). On the other hand, Phe262 and the extended region around it, which could represent a second aromatic gate, suggest that LAT1 transport mechanism may be intermediate between those of AdiC and LeuT. In addition, Tyr tMD simulations show that Tyr259 is the residue contributing the largest energy to substrate interaction; this residue appears to have a role in stabilizing the substrate inside the channel.

Even though no experimental data on an inner gate are currently available for LAT1, our *in silico* results provide a first plausible description of its transport mechanism at an atomistic level, and can guide further *in vitro* experiments to confirm the role of the residues involved both in recognition and translocation of the transported substrates or inhibitors.

Finally, we analyzed the paths of water molecules across LAT1 channel through the whole simulation, for every tested condition. Overall, multiple inlet and outlet clusters appear near both channel entrances and the path analysis reveals that only few water molecules cross the entire channel. Instead, most paths link clusters on the same side of the protein and this is probably due to a gating mechanism that prevents the passage across the transport channel.

## **CONCLUSIONS**

With our research, based on single continuous tMD simulations, we propose, for the first time and at an atomistic level, the characterization of residues involved in LAT1 transport mechanism. The templates selected for modeling the OF and IF LAT1 states are the most suitable so far available, and have been extensively used in recent publications. Thanks to the application of different spring constant (k) values for each tested molecule, we identified a specific computational setup, through which we could investigate the transport mechanism of well-known transported substrates and inhibitor of LAT1. Even though the LAT1 OF  $\rightarrow$  IF transition is forced by tMD simulations, DIT cannot pass through the LAT1 transport channel in specific

scenarios which allow Tyr and LME to pass through. Specifically, decreasing k relaxed the transport mechanism, and enabled differential behaviors for transported substrates vs. inhibitor.

With the use of an evolving constraint, tMD does not sample an equilibrium ensemble, therefore not allowing to compute equilibrium properties, such as free energy profiles along the simulated processes. In addition, the presence of a constraint may force the system to overcome very high energy barriers. Despite these limitations, tMD is a very effective and acknowledged approach for investigating transition mechanisms (Ma et al., 2000; van der Vaart and Karplus, 2005; Ovchinnikov and Karplus, 2012). In this perspective, tMD overcomes classical MD methodologies, which are unable to capture large structural rearrangements for an all-atoms system over a suitable time scale. Our tMD simulations can discriminate between transported substrates and inhibitors, and can be proposed for developing novel inhibitors, useful for further pharmacological applications.

## **ETHICS STATEMENT**

Authors are required to state the ethical considerations of their study in the manuscript, including for cases where the study was exempt from ethical approval procedures. Does the study presented in the manuscript involve human or animal subjects: No.

## **AUTHOR CONTRIBUTIONS**

LP set up the technique, performed part of the simulations, conducted part of the analyses and drafted the manuscript. CP conducted part of the analyses and revised the manuscript. TL and UG performed part of the simulations and contributed to the data analysis. CI and IE conceived the concept of this work. EG and IE supervised the work and revised the manuscript.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fchem. 2018.00350/full#supplementary-material

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## Activation of the Calcium-Sensing Receptor Corrects the Impaired Mitochondrial Energy Status Observed in Renal Polycystin-1 Knockdown Cells Modeling Autosomal Dominant Polycystic Kidney Disease

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Autosomal Dominant Polycistic kidney Disease (ADPKD) is a renal channel opathy due to loss-of-function mutations in the PKD1 or PKD2 genes, encoding polycystin-1 (PC1) or polycystin-2 (PC2), respectively. PC1 is a large protein found predominantly on the plasma membrane where interacts with different proteins, including PC2. PC2 is a smaller integral membrane protein also expressed in intracellular organelles, acting as a non-selective cation channel permeable to calcium. Both PC1 and PC2 are also localized to the primary cilium of renal epithelial cells serving as mechanosensor that controls calcium influx through the plasma membrane and regulates intracellular calcium release from the endoplasmic reticulum. The mechanisms by which PC1/2 dysfunction leads to ADPKD needs still to be clarified. We have recently reported that selective Calcium-Sensing Receptor (CaSR) activation in human conditionally immortalized Proximal Tubular Epithelial cells deficient for PC1 (ciPTEC-PC1KD), deriving from urine sediments reduces intracellular cAMP and mTOR activity, and increases intracellular calcium reversing the principal ADPKD dysregulations. Reduced cellular free calcium found in ADPKD can, on the other hand, affect mitochondrial function and ATP production and, interestingly, a relationship between mitochondria and renal polycystic diseases have been suggested. By using ciPTEC-PC1KD as experimental tool modeling of ADPKD, we show here that, compared with wild type cells, ciPTEC-PC1KD have significantly lower mitochondrial calcium levels associated with a severe deficit in mitochondrial ATP production, secondary to a multilevel impairment of oxidative phosphorylation. Notably, selective CaSR activation with the calcimimetic NPS-R568 increases mitochondrial calcium content close to the levels found in resting wild type cells, and fully recovers the cell energy deficit associated to the PC1 channel disruption. Treatment of ciPTEC-PC1KD with 2-APB, an IP3R inhibitor, prevented the rescue of bioenergetics deficit induced by CaSR activation supporting a critical role of IP3Rs in driving ER-to-mitochondria Ca2+ shuttle. Together these data indicate that, besides reversing the principal dysregulations considered the most proximal events in ADPKD pathogenesis, selective CaSR activation in PKD1 deficient cells restores altered mitochondrial function that, in ADPKD, is known to facilitate cyst formation. These findings identify CaSR as a potential therapeutic target.

Keywords: calcium-sensing receptor, renal channelopathies, calcimimetics, ciPTEC, mitochondria, ATP

## INTRODUCTION

Loss-of-function of polycystin-1 (PC1) or polycystin-2 (PC2), two trans-membrane proteins that form a heteromeric molecular complex in the cilia and plasma membrane, results in the most common life-threatening genetic renal disorder, called Autosomal Dominant Polycystic Kidney Disease (ADPKD), characterized by the formation and development of kidney cysts (Torres et al., 2007). Biochemical experiments have shown that PC1 and PC2 form a receptor-ion channel complex (Hanaoka et al., 2000; Yu et al., 2009) leading ADPKD to be classified among channelopathies, a heterogeneous group of disorders caused by the dysfunction of ion channels expressed in cellular membranes and many intracellular organelles.

ADPKD affects 1:400–1:1,000 worldwide and 50% of PKD patients will require dialysis or kidney transplantation within 60 years of age (Harris and Torres, 2014). It results from mutations in *PKD1* or *PKD2* genes (encoding PC1 or PC2, respectively) (Hughes et al., 1995; Mochizuki et al., 1996) which cause analogous clinical signs. The close identity of the disease manifestations, independently of the responsible gene, suggests that PC1 and PC2 are involved in a common signaling pathway.

PC1 is considered a mechanosensor receptor with a large extracellular N-terminal, 11 transmembrane domains and a cytoplasmic C-terminal region (Hughes et al., 1995; Oatley et al., 2012). The N-terminal domain contains several structural motifs found to be essential for interactions with extracellular proteins and carbohydrates (Löhning et al., 1996). The C-terminal cytoplasmic domain contains a coiled-coil sequence that binds specifically to the C-terminus of PC2 (Qian et al., 1997), and it has been shown that, once cleaved from the full length PC1, it translocates to the nucleus (Chauvet et al., 2004; Chapin et al., 2010). Interestingly, PC2 expression is able to attenuate PC1 C-terminal tail translocation, modulating its signaling properties (Chauvet et al., 2004).

PC2, also known as transient receptor potential cation channel, subfamily P, member 2 (TRPP2), is a nonselective cation channel containing 6 transmembrane domains, with both N-and C-terminal tails facing the cytoplasm (Mochizuki et al., 1996; Celić et al., 2008). The N-terminal portion carries a ciliary targeting domain (Geng et al., 2006), while the C-terminal tail includes an EF-hand, and a coiled coil motif whose binding to the coiled-coil domain in the PC1 C terminus is critical for the formation of the PC1-PC2 complex (Yu et al., 2009). Conversely, other experiments showed that PC1 and PC2 interaction is preserved in systems not expressing the coiled-coil domain and

is dependent on the N-terminal domain (Babich et al., 2004; Feng et al., 2008; Celić et al., 2012). However, the factors that regulate the localization, trafficking, and channel activity of the polycystins remain still unclear.

The polycystins form a complex that localizes to the primary cilium, where it may be involved in chemosensory or mechanosensory pathways (Pazour and Rosenbaum, 2002; Chapin and Caplan, 2010). In addition, the PC complex is expressed on the plasma membrane, as well as in intracellular compartments, where PC2 can regulate Ca<sup>2+</sup> release from the endoplasmic reticulum (Koulen et al., 2002). Many studies propose that PC1 and PC2 may reciprocally affect each other's membrane or ciliary localization (Harris et al., 1995; Ong and Wheatley, 2003; Xu et al., 2007), and importantly, the interaction between the polycystins has been suggested to be crucial in defining the properties of the ion channel associated with the complex (Hanaoka et al., 2000; Delmas et al., 2004).

In ADPKD, disruption of the PC complex, resulting from the loss of function of *PKD1* or *PKD2*, cause the dysregulation of many pathways such as cAMP, calcium and mTOR signaling cascades, which promote cell proliferation and apoptosis. The hallmark of the disease is the spontaneous generation and constant growth of kidney cysts which gradually expand to demolish the normal renal parenchyma, leading to end stage renal disease (ESRD).

We have recently shown that selective activation of the extracellular calcium-sensing receptor (CaSR) in human conditionally immortalized proximal tubular epithelial cells (ciPTEC), silenced for PKD1 or generated from an ADPKD1 patient, increases cytosolic calcium, and reduces intracellular cAMP and mTOR activity, reversing the principal dysregulations considered the most proximal events in ADPKD pathogenesis, making CaSR a possible candidate as therapeutic target (Di Mise et al., 2018). On the other hand, reduced intracellular calcium observed in ADPKD can affect mitochondrial function and ATP production with consequence on glucose metabolism (Rowe et al., 2013), as showed in recent studies which reported defective glucose metabolism in ADPKD-affected cells and tissues (Rowe et al., 2013; Menezes et al., 2016). They demonstrated that PC1 lacking cells consume high levels of glucose, preferentially using it in aerobic glycolysis for their energy production (Rowe et al., 2013). Indeed, mitochondrial abnormality exists from an early phase of ADPKD, underlining a relationship between mitochondria and renal polycystic diseases (Li et al., 2012; Rowe et al., 2013; Magistroni and Boletta, 2017; Padovano et al., 2017). In line, an association between mitochondria

abnormalities and cystogenesis has been reported in cyst-lining cells in ADPKD model mice and in rats (Ishimoto et al., 2017). Recent clinical studies indicate that oxidative stress is already present in the early stages of ADPKD, even when renal function is preserved (Menon et al., 2011; Klawitter et al., 2014), showing that mitochondria, representing the primary reactive oxygen species source, play a functional role in cyst formation. However, the precise pathophysiological role of mitochondria in ADPKD remains elusive.

We now report that CaSR activation in ciPTEC stably knocked down for PC1 restores the decreased mitochondrial calcium levels and fully reverse the deficient ATP production.

## MATERIALS AND METHODS

## **Materials**

All chemicals were purchased from Sigma (Sigma-Aldrich, Milan, Italy). NPS-R568 was kindly gifted by Amgen (Amgen Dompé S.p.a., Milan, Italy). Media for cell culture were from Lonza (Lonza s.r.l., Milan, Italy). pcDNA-4mtD3cpv was a gift from Amy Palmer & Roger Tsien (Addgene plasmid #36324).

## **Antibodies**

Monoclonal CaSR antibody recognizing amino-acid 15–29 at the extracellular N-terminus was from Sigma-Aldrich, Milan, Italy. Secondary goat anti-mouse IgG biotin antibodies were purchased from Sigma-Aldrich, Milan, Italy. Streptavidin-488 conjugate were from Alexa Fluor (Molecular Probes, Eugene, Oregon, USA).

## Generation of ciPTEC Knocked Down for Polycystin-1

ciPTEC were generated as described by Wilmer et al. (2010). Briefly, primary cells were cultured by collecting mid-stream urine within 5 h after collection. Urine sediment was resuspended in DMEM Ham's F12 medium supplemented with 10% fetal bovine serum (FBS), 100 IU/ml penicillin, 100  $\mu$ g/ml streptomycin, ITS (5  $\mu$ g/ml insulin, 5  $\mu$ g/ml transferrin and 5 ng/ml selenium), 36 ng/ml hydrocortisone, 10 ng/ml epidermal growth factor (EGF), and 40 pg/ml triiodothyronine. The suspension was placed at 37°C in a 5% CO<sub>2</sub> incubator.

Primary cells were immortalized as previously described (Wilmer et al., 2010). Cells were infected with SV40T and hTERT vectors, containing, respectively, geneticin (G418) and hygromycin resistance (O'hare et al., 2001; Satchell et al., 2006). Subconfluent cell layers were then grown at 33°C and selected by using G418 (400  $\mu$ g/ml) and hygromycin B (25  $\mu$ g/ml) for 10 days. Stable knocked down ciPTEC for polycystin-1 (ciPTEC-PC1KD) were obtained transducing a cloned ciPTEC line (ciPTECwt) of a healthy individual (34.8) by adding lentiviral vectors encoding miR-shRNA directed against polycystin-1, cloned in tandem (pCHMWS Bsd 2xmiRNA PKD1), to the culture medium (Mekahli et al., 2012). Transduced cells were selected using 10 g/ml blasticidin. Experiments were performed prior cellular maturation for 11 days at 37°C. The reduced expression of PC1 was showed by Mekahli and coworkers which biochemically characterized these cell lines (Mekahli et al., 2012).

## Measurement of Mitochondrial ATP Production Rate in ciPTEC

The rate of mitochondrial ATP production was determined in ciPTEC permeabilized with digitonin (0.01%) essentially as already reported (Valenti et al., 2016). Briefly, cells (0.3-0.4 mg protein) were incubated at 37°C in 2 ml of respiratory medium consisting of 210 mM mannitol, 70 mM sucrose, 3 mM MgCl<sub>2</sub>, 20 mM Tris/HCl, 5 mM KH<sub>2</sub>PO<sub>4</sub>/K<sub>2</sub>HPO<sub>4</sub>, (pH 7.4) plus 5 mg/ml BSA, in the presence of the coupled enzyme system revealing ATP, indicated as ATP detecting system (ATPds), containing the substrates glucose (2.5 mM) and NADP<sup>+</sup> (0.25 mM) and the coupled enzymes hexokinase (HK, 3 e.u.) and glucose 6-phosphate dehydrogenase (G6P-DH, 2 e.u.). The assay has been performed in the presence of 0.01 mM diadenosine pentaphosphate (Ap5A) to selectively inhibit the mitochondrial adenylate kinase. The measure has been carried out by adding as energy sources, either glutamate (GLU) plus malate (MAL) (5 mM each) or succinate (SUCC, 5 mM) plus rotenone (ROT, 3 µM), or ascorbate (ASC, 0.5 mM) plus N,N,N',N' - tetramethyl-p-phenylenediamine (TMPD, 0.25 mM). After 5 min of incubation with digitonin (0.01% w/v), ADP (0.5 mM) was added to start the reaction, and the reduction of NADP+ in the extra-cellular phase was monitored as an increase in absorbance at 340 nm. As a control, the ATP synthase inhibitor oligomycin (OLIGO, 5 µg/10 µl) was added during the reaction to ascertain the inhibition of the mitochondrial ATP production. Attention was reserved to use excess HK/G6P-DH coupled enzymes to guarantee a non-limiting ADP-restoring system for the assay of ATP production.

## Measurement of ATP Levels in ciPTEC

ciPTEC were detached from plate, washed with PBS and cellular ATP was extracted by using the boiling water procedure, as described in Yang et al. (2002). The amount of intracellular ATP was determined enzymatically in the extracts, as described in Valenti et al. (2010). Cells were left under basal condition or stimulated with NPS-R568 (10  $\mu$ M for 60 min at 37°C) in Ringer's solution containing 120 mM NaCl, 4 mM KCl, 15 mM NaHCO<sub>3</sub>, 1 mM MgCl<sub>2</sub>, 15 mM Hepes, 0.5 mM NaH<sub>2</sub>PO<sub>4</sub>, 10 mM Glucose, 2 mM CaCl<sub>2</sub>, 0.5 mM Na<sub>2</sub>HPO<sub>4</sub>, 0.4 mM MgSO<sub>4</sub>, pH 7.4 (modified by Mekahli et al., 2012; Miyakawa et al., 2013).

## Fluorescence Resonance Energy Transfer (FRET) Measurements

To evaluate mitochondrial calcium content, fluorescence resonance energy transfer (FRET) experiments were performed as described (Di Mise et al., 2015, 2018). Briefly, ciPTEC were seeded onto 20-mm glass coverslips at  $37^{\circ}\mathrm{C}$  for 11 days and were transiently transfected with a plasmid encoding a mitochondrially targeted cameleon containing a mutant calmodulin (mCaM) sequence cloned between CFP and circularly permuted Venus (cpV) (Palmer et al., 2006). Experiments were performed 24 h post-transfection. Cells were left under basal condition or stimulated with NPS-R568 (10  $\mu$ M for 60 min at  $37^{\circ}\mathrm{C}$ ) in Ringer's solution, described above, containing 2 mM CaCl<sub>2</sub>.

FRET measurements were carried out using MetaMorph software (Molecular Devices, MDS Analytical Technologies, Toronto, Canada). CFP and YFP were excited at 436 and 500 nm, respectively; fluorescence emitted was measured at 480/40 nm for CFP and 535/30 nm for YFP and FRET. Corrected normalized FRET values were determined as already described (Rodighiero et al., 2008; Tamma et al., 2013, 2017; Russo et al., 2017). Each image was corrected for CFP cross-talk and YFP cross-excitation. Therefore, netFRET = [IFRETbg - ICFPbg·K1 - IYFPbg·(K2- $\alpha K1)]/(1\text{-}\delta K1)$  where IFRETbg, ICFPbg, and IYFPbg are the background-corrected pixel gray values measured in the FRET, CFP ,and YFP windows, respectively; K1, K2,  $\alpha$ , and  $\delta$  are calculated to evaluate the crosstalk between donor and acceptor. The integrated fluorescence density values of the images from each cell were analyzed using MetaMorph and Microsoft Excel software.

## **Immunofluorescence Microscopy**

CaSR immunofluorescence localization in polarized ciPTEC was performed as previously described (Jansen et al., 2014; Di Mise et al., 2015). ciPTEC were cultured on polyester Transwell inserts, left 11 days at 37°C for maturation, and then fixed using 2% (w/v) paraformaldehyde in HBSS with addition of 2% (w/v) sucrose for 5 min and permeabilized in 0.3% (v/v) triton X-100 in HBSS for 10 min.

Cells were incubated with antibodies diluted in block solution containing 2% (w/v) bovine serum albumin (BSA) and 0.1% (v/v) tween-20 in HBSS against the calcium-sensing receptor (CaSR) at  $4^{\circ}\mathrm{C}$  overnight. Following treatment with secondary rabbit-antimouse-biotin antibodies followed by Streptavidin-488, samples were mounted on glass slides with Mowiol. Images were obtained with a confocal microscope Leica TCS SP2 (Leica Microsystems, Heerbrugg, Switzerland).

## **Statistical Analysis**

Statistical analysis was performed using One-way ANOVA followed by Newman–Keuls multiple comparisons test or Two-way ANOVA followed by Turkey's multiple comparisons test or t-test. All values are expressed as means  $\pm$  SEM. A difference of P < 0.05 was considered statistically significant.

## RESULTS AND DISCUSSION

## Impaired Bioenergetics Status in ciPTEC Silenced for PC1

Emerging evidence of inherent metabolic reprogramming in *PKD1* knockout cells suggests that the PC1-PC2 complex regulates cellular metabolism (Menezes et al., 2016; Padovano et al., 2017). The exact nature of the metabolic alterations remains controversial, with some groups reporting enhanced glycolysis, reminiscent of the Warburg phenomenon (Rowe et al., 2013; Padovano et al., 2017), and others reporting no evidence for a glycolytic switch (Menezes et al., 2016; Warner et al., 2016), proposing instead fatty acid oxidation impairment (Menezes et al., 2016; Hajarnis et al., 2017).

Lin and collaborators (Lin et al., 2018) suggest a direct link between PKD proteins and control of mitochondrial

activity, showing that *PKD1* knockout cells have different metabolic fluxes with likely altered oxidoreductase activity, consistent with changes in NAD<sup>+</sup>/NADH ratio. Furthermore, their data document altered mitochondrial membrane potential and abnormal mitochondrial networks in *PKD1* knockout cell lines. Morphological abnormalities of mitochondria have also been found in human ADPKD cyst-derived cells with heterozygous and homozygous *PKD1* mutation, indicated to facilitate cyst formation in ADPKD (Ishimoto et al., 2017).

On this basis, to investigate whether the bioenergetics status is affected in ciPTEC stably silenced for PC1, we first evaluated the mitochondrial ATP synthesis in ciPTEC-PC1KD cells compared with wild type clone, by monitoring in *continuous* the ATP produced by mitochondria *in situ* and flowed outside cells, under conditions where oxidative phosphorylation (OXPHOS) can take place (Valenti et al., 2010). ciPTEC-PC1KD or ciPTECwt, permeabilized with digitonin (0.01%), were incubated with the ATP detecting system (ATP-ds) and the efflux of ATP in the extracellular phase occurring after the addition of ADP was monitored as an increase in absorbance at 340 nm due to NADPH synthesis, and evaluated as a measure of mitochondrial ATP production (Figure 1A).

To give a more complete evidence on the efficiency of the OXPHOS system, and disclose the distinctive contribution of each mitochondrial respiratory chain complex composing the OXPHOS apparatus, the mitochondrial ATP synthesis was measured by supplying as energy sources the respiratory substrates of complex I glutamate plus malate (GLU+MAL), complex II succinate (SUCC) or complex IV ascorbate plus TMPD (ASC+TMPD). As shown by the representative spectrophotometric traces (Figures 1B-D) and by the histograms reporting the statistical analyses of data (Figure 2A), a drastic reduction in the rate of mitochondrial ATP synthesis was found, commonly shared when supplied ciPTEC-PC1KD with GLU+MAL (estimated as 41  $\pm$  13% vs. ciPTECwt, P < 0.01) or SUCC (estimated as 39  $\pm$  10% vs. ciPTECwt, P < 0.01) or ASC+TMPD (estimated as  $56 \pm 11\%$  vs. ciPTECwt, P < 0.01), thus indicating a multilevel inhibition of the mitochondrial ATP production by OXPHOS in ciPTEC-PC1KD.

To assess whether the compromised mitochondrial energy efficiency found in ciPTEC-PC1KD could impact the cell energy status, the cellular ATP pool was measured (**Figure 2B**). ATP level was found significantly reduced in ciPTEC-PC1KD (estimated as  $38 \pm 4\%$ , P < 0.0001) with respect to wt, suggesting that the deficit in ATP production by mitochondria reflects and mirror the overall cellular energy level in ciPTEC-PC1KD.

These data indicate an impairment in mitochondrial OXPHOS associated with a great shortage in cell energy status in *PKD1* silenced cells. How the lack of *PKD1* causes such a mitochondrial dysfunction in ciPTEC it is not yet clear. Both transcriptional and/or post-translational mechanisms regulating OXPHOS process may be involved. Among post-translational regulation of OXPHOS, calcium signaling between cytosol and matrix primarily coordinates the crosstalk of mitochondria within the cell, establishing the balance between the energy requests of the cell and the energy production by mitochondrial OXPHOS (Glancy and Balaban, 2012).

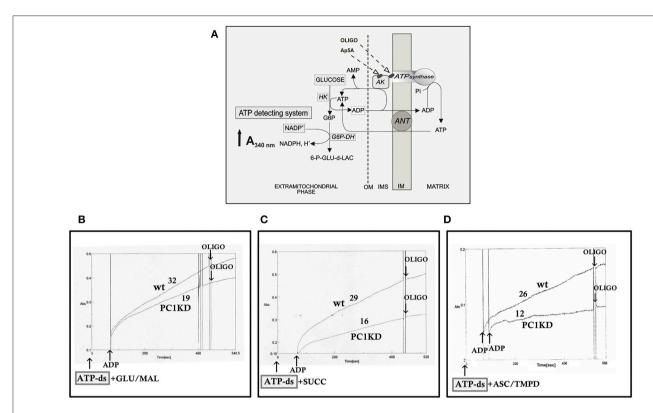


FIGURE 1 | Impaired mitochondrial ATP synthesis in human ciPTEC-PC1KD. (A) Schematic representation of the mitochondrial ATP synthesis revealed by ATP detecting system (ATP-ds). ANT, adenine nucleotide translocator; AK, adenylate kinase; HK, hexokinase; G6P-DH, glucose 6-phosphate dehydrogenase; 6P-GLUC-δ-LAC, 6 phosphogluconate-δ-lactone; IMS, intermembrane space; MIM, mitochondrial inner membrane; OM, outer membrane. (B–D) Representative spectrophotometric traces of mitochondrial ATP production in human ciPTEC. Either human ciPTEC stably silenced for polycystin-1 (ciPTEC-PC1KD) or wild type clone (ciPTECwt) (0.3 mg) were permeabilized with 0.01% digitonin for 5 min and incubated at 37°C in 2 ml of respiration medium in the presence of ATP-ds plus the indicated respiratory substrates. (B) Glutamate plus malate (GLU/MAL, 5 mM each), (C) 5 mM succinate (SUCC) plus 3 μM rotenone, (D) 5 mM ascorbate plus 0.5 mM TMPD (ASC/TMPD). Where indicated, ADP (0.5 mM) was added. At the arrows, the ATP synthase inhibitor oligomycin (OLIGO, 5 μg/10 μl) was added in course of reaction. The numbers along curves represent the rates of the increase in absorbance at 340 nm, measured as tangents to the initial slopes and expressed as nmol of NADPH formed/min per mg of protein.

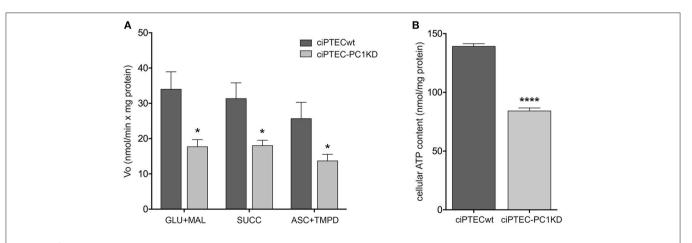


FIGURE 2 | Impaired bioenergetics status in human ciPTEC-PC1KD. (A) The rate of mitochondrial ATP production was measured in human ciPTEC stably silenced for polycystin-1 (ciPTEC-PC1KD) vs. wild type clone (ciPTECwt), in the presence of the respiratory substrates GLU plus MAL (GLU/MAL, 5 mM each) or 5 mM SUCC plus 3  $\mu$ M rotenone, or 5 mM ASC plus 0.5 mM TMPD (ASC/TMPD), as described in the legend of Figure 1. Values are means  $\pm$  SEM (\*P < 0.01 vs. ciPTECwt) obtained from three independent experiments and expressed as nmol NADPH/min per mg protein. (B) Cellular ATP levels were measured in ciPTEC-PC1KD vs. matched ciPTECwt, as described under Methods. Each histogram is representative of four independent experiments. Data are expressed as means  $\pm$  SEM. Significant differences with respect to wt were calculated by Student's t-test (\*\*\*\*P < 0.0001).

## Reduced Mitochondrial Calcium Content in ciPTEC-PC1KD

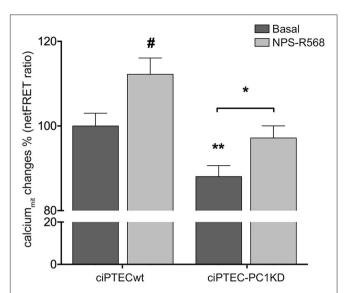
It has been shown that cultured epithelial cells derived from human ADPKD cysts exhibit a reduction in steady state cytosolic calcium levels with respect to normal human kidney cells (Yamaguchi et al., 2006). Moreover, PC complex interacts with other calcium channels expressed in the ER, displaying a pivotal role in the prevention of intracellular stores depletion, especially of the ER itself (Anyatonwu et al., 2007; Weber et al., 2008; Santoso et al., 2011). Recently, we demonstrated that, compared to ciPTECwt, ciPTEC-PC1KD have significantly lower calcium concentration both in the cytosol and in the ER, highlighting that the loss-of-function mutation in *PKD1* is strictly connected to the dysregulation of the two intracellular calcium bulks, likely secondary to the PC complex disruption and dysfunction (Di Mise et al., 2018).

The ER is known to be functionally associated to mitochondria with a rapid transport of calcium across their membranes and its accumulation in the mitochondrial matrix, where several calcium effectors are located (Tarasov et al., 2012). The inositol 1,4,5-trisphosphate receptors (IP3Rs) are the main drivers of the ER-to-mitochondria Ca2+ shuttle supporting cellular bioenergetics (Cárdenas et al., 2010). Mitochondrial calcium uptake by IP3R-released Ca<sup>2+</sup> is fundamentally required to maintain adequate mitochondrial NADH production to sustain OXPHOS in resting cells (Cárdenas et al., 2010). IP3R activity is linked to regulation of cellular bioenergetics through calcium-dependent activation of mitochondrial ATP synthesis, by triggering key matrix enzymes, including pyruvate dehydrogenase, α-oxoglutarate dehydrogenase, dehydrogenase, as well as downstream elements of OXPHOS, comprising F1/Fo ATP synthase and the cytochrome chain (Glancy and Balaban, 2012).

In line with these findings, the resting mitochondrial calcium content in ciPTEC-PC1KD resulted significantly reduced with respect to wt cells (ciPTEC-PC1KD =  $88.05 \pm 2.6\%$ , n = 140, vs. ciPTECwt = 100%, n = 192; P < 0.01; **Figure 3**). These data further confirm the functional interaction between ER and mitochondria that are in close apposition, allowing calcium transfer between these organelles and supporting the hypothesis that the PCs are involved in the regulation of mitochondrial calcium levels, as previously suggested (Patergnani et al., 2011; Padovano et al., 2017). Indeed, in line with this hypothesis, PCs have been shown to co-fractionate with mitochondria-associated ER membranes (MAMs) (Padovano et al., 2017).

## Activation of CaSR by NPS-R568 Results in Full Recovery of Mitochondrial Calcium Levels and Restoring of Cell Energy Deficit in ciPTEC-PC1KD

ciPTEC stably silenced for PC1 as well as wild type clone, express endogenous CaSR mainly localized to the apical plasma membrane as it occurs in native renal proximal tubule epithelial cells (**Figure 4**) (Riccardi and Valenti, 2016; Di Mise et al., 2018). CaSR is coupled to three main groups of heterotrimeric G proteins,  $G_{q/11}$ ,  $G_{i}$ , and  $G_{12/13}$ . Recently we have shown that



**FIGURE 3** | Reduced mitochondrial calcium levels in human ciPTEC-PC1KD: effect of CaSR positive allosteric modulator NPS-R568. Evaluation of mitochondrial Ca $^{2+}$  with mitochondria-targeted cameleon (4mtD3cpV) FRET probe. Histogram compares changes in normalized FRET (netFRET) ratio between ciPTECwt and ciPTEC-PC1KD, at basal conditions or treated with NPS-R568. At rest, ciPTEC-PC1KD presented a significant lower mitochondrial calcium content with respect to ciPTECwt (\*\*P < 0.01). CaSR stimulation with NPS-R568 10  $\mu$ M induced a significant increase in calcium levels in ciPTEC-PC1KD, restoring levels close to ciPTECwt at basal conditions. All data were analyzed by One-way ANOVA followed by Newman–Keuls multiple comparisons test and expressed as means  $\pm$  SEM (\*P < 0.01 ciPTEC-PC1KD+NPS-R568 vs. ciPTEC-PC1KD Basal; #P < 0.001 ciPTECwt+NPS-R568 vs. ciPTECwt Basal).

CaSR expressed in ciPTEC interacts with  $G_q$  as a downstream effector (Di Mise et al., 2015). As known, when CaSR is activated, it induces cytosolic calcium increase via  $G_q$  activation which stimulates PLC with subsequent IP3 and diacylglycerol production. IP3 binds to high affinity receptors on the ER (IP3Rs), causing the release of calcium into the cytoplasm.

On this basis, we evaluated the effect of CaSR stimulation with NPS-R568 on mitochondrial calcium levels. NPS-R568 treatment resulted in a significant increase in ciPTEC-PC1KD mitochondrial calcium (ciPTEC-PC1KD+NPS-R568 = 97.17  $\pm$  2.87%, n=200), which raised close to the basal levels observed in ciPTECwt (**Figure 3**). This result suggests that the increase in mitochondrial calcium is a consequence of the CaSR activation induced signaling which leads to IP3 production and calcium influx from ER to the mitochondrion through IP3Rs (Cárdenas et al., 2010). Moreover, the increase of cytosolic Ca<sup>2+</sup> elicited by CaSR activation also contributes to the increment of mitochondrial Ca<sup>2+</sup> content via the mitochondrial calcium uniporter (MCU) (Marchi and Pinton, 2014).

As known, mitochondrial calcium homeostasis and uptake has a pivotal role in the regulation of mitochondrial ATP generation as well as cytosolic NAD $^+$ /NADH metabolism, thus sustaining the energy requirements of the cell (Raffaello et al., 2016; Arduino and Perocchi, 2018).

Remarkably, ciPTEC treatment with the calcimimetic NPS-R568 resulted in a full recovery of cellular ATP content in ciPTEC-PC1KD (ciPTEC-PC1KD+NPS-R568 = 144.3  $\pm$  1.67 nmol/mg protein), restoring the levels measured in ciPTECwt at basal conditions (ciPTECwt = 139.2  $\pm$  2.27 nmol/mg protein; **Figure 5A**). To assess the strict involvement of calcium transfer from ER to mitochondria in sustaining cellular bioenergetics thus rescuing energy deficit in PC1 knockdown cells after CaSR activation, ciPTEC were treated with 2-aminoethoxydiphenyl borate (2-APB), a selective IP3R

inhibitor (Szatkowski et al., 2010). Furthermore, to abolish the calcium amount permeating mitochondria directly from the cytosol via MCU, we blocked IP3Rs with 2-APB in presence of BAPTA-AM, a cell-permeant highly selective calcium chelator (Gerbino et al., 2009). 2-APB treatment of ciPTEC-PC1KD completely prevented the rescue of bioenergetics deficit induced by NPS-R568, showing values comparable to the intracellular ATP content measured under basal conditions (70.6  $\pm$  0.9 nmol/mg protein; **Figure 5B**). A similar reduction in cellular ATP was reported in ciPTECwt treated with 2-APB (82.4  $\pm$  0.82

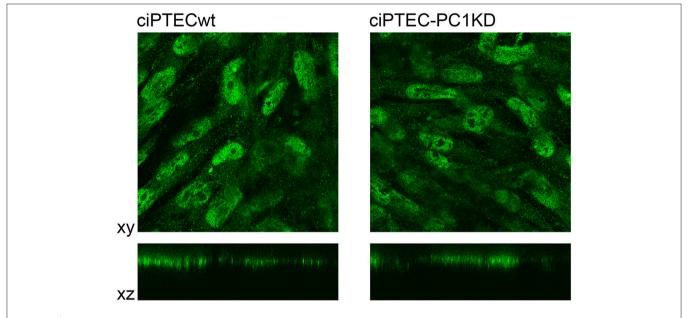


FIGURE 4 | Immunofluorescence localization of endogenous CaSR in human ciPTEC cells. In polarized ciPTEC, CaSR shows a predominant apical plasma membrane localization as occurs in native proximal tubule epithelial cells.

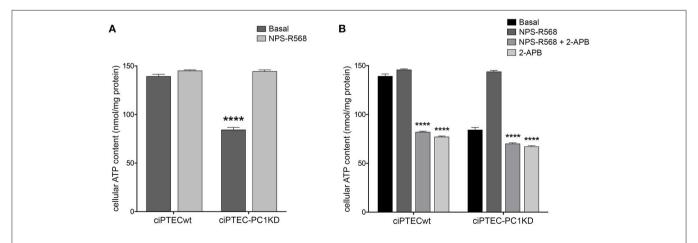


FIGURE 5 | NPS-R568 treatment results in a complete recovery of cell energy status in human ciPTEC-PC1KD. The ATP level in human ciPTEC was measured in three independent experiments for each experimental group and expressed as nmol ATP/mg protein. (A) CaSR stimulation elicited by NPS-R568 induced a significant increase in cellular ATP content in ciPTEC-PC1KD, restoring levels comparable to ciPTECwt at basal conditions. Data were analyzed by One-way ANOVA followed by Newman–Keuls multiple comparisons test and are expressed as means ± SEM (\*\*\*\*P < 0.0001 vs. ciPTECwt Basal or ciPTEC-PKD1+NPS-R568). (B) IP3R inhibition with 2-APB in ciPTEC-PC1KD prevented cellular ATP levels rescue induced by CaSR activation. Data were analyzed by Two-way ANOVA followed by Turkey's multiple comparisons test and are expressed as means ± SEM (\*\*\*\*\*P < 0.0001 vs. NPS-R568 treatment in each cell line).

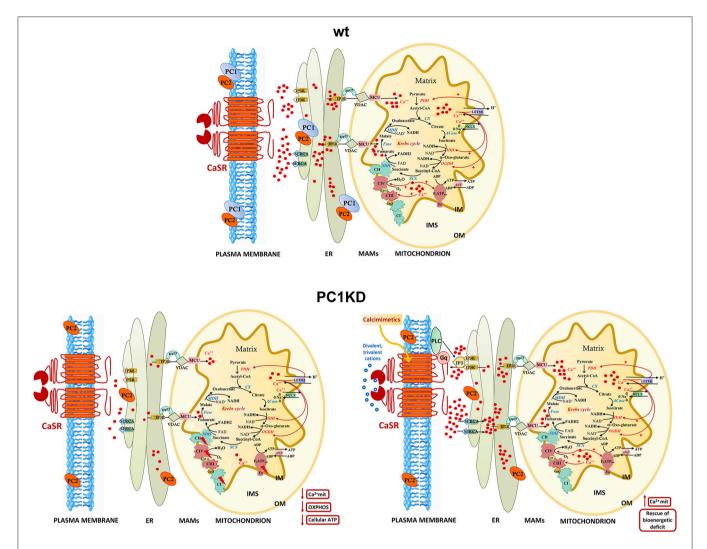


FIGURE 6 | Models of intracellular calcium signaling network and mitochondrial calcium regulation of cellular bioenergetics in wt, PC1KD, and calcimimetic-treated PC1KD cells. Mitochondria are main players in calcium signaling network, skillful in regulating both the extent and the spatial/temporal Ca<sup>2+</sup> signals. The membrane micro-domains between the ER and mitochondria -named Mitochondria Associated Membranes (MAMs)- are critical for an efficacy inter-organelle crosstalk (Rieusset, 2018). Several proteins, including IP3R, grp75, and VDAC, are involved in Ca<sup>2+</sup> release from the ER, ensuring an active mitochondrial Ca<sup>2+</sup> uptake. The mitochondrial Ca<sup>2+</sup> uniporter (MCU) and the H<sup>+</sup>/Ca<sup>2+</sup> exchanger (LETM1) mediate the Ca<sup>2+</sup> uptake from cytosol inside mitochondria; conversely, the mitochondrial Na<sup>+</sup>/Ca<sup>2+</sup> exchanger (NCLX), catalyzes the mitochondrial Ca<sup>2+</sup> export. Inside the mitochondrion are schematized the interactions of matrix Ca<sup>2+</sup> with processes involved in oxidative phosphorylation. The red arrows from Ca2+ to the different protein targets indicate either a direct or indirect modulating effect on their enzymatic or transport activities. Under basal conditions, PC1KD cells show lower mitochondrial Ca<sup>2+</sup> levels with respect to wt, associated to a multilevel inhibition of OXPHOS apparatus, leading to a severe deficit of mitochondrial ATP production and cellular energy status. CaSR stimulation with the calcimimetic NPS-R568 rises intracellular calcium levels triggering the sarco/endoplasmic reticulum Ca<sup>2+</sup>-ATPase (SERCA) which drives Ca<sup>2+</sup> from the cytosol to the lumen of the ER. CaSR activation prompts phospholipase C (PLC), a phosphodiesterase responsible for the hydrolysis of phosphatidylinositol 4,5-biphosphate to acil-glycerol and inositol 3 phosphate (IP3). The binding of IP3 to its receptor IP3R induces Ca<sup>2+</sup> release from ER and transfer of Ca<sup>2+</sup> from ER to mitochondria at the contact sites between ER and mitochondria. CaSR activation by calcimimetic increases mitochondrial Ca<sup>2+</sup> levels and full restores the bioenergetics deficit in PC1KD. Enzyme, channel, and carrier abbreviations: PDH, pyruvate dehydrogenase; CS, citrate synthase; ACase, aconitase; IDH, isocitrate dehydrogenase; OGDH, oxoglutarate dehydrogenase; SCS, succinyl CoA synthase; SDH, succinate dehydrogenase (component of Complex II); Fase, fumarase; MDH, malate dehydrogenase; VDAC, voltage-dependent anion channel; ANT, adenine nucleotide translocator; IP3R, inositol 3-phosphate receptor. The complexes of oxidative phosphorylation are labeled as roman numerals from I to IV.

nmol/mg protein; **Figure 5B**), suggesting a critical role of IP3Rs in driving ER-to-mitochondria Ca<sup>2+</sup> shuttle supporting cellular bioenergetics. BAPTA-AM *per se* did not affect ATP cellular levels (data not shown).

These findings point to a crucial role of mitochondrial calcium in regulating the mitochondrial energy status. Indeed,

calcium is actively transported inside mitochondria and accumulates in the mitochondrial matrix, where several calcium protein targets are located (Rizzuto et al., 2012) (details in the model in **Figure 6**). In this context, the existence of high-calcium micro-domains between ER and mitochondria ensures efficient calcium transfer from ER to mitochondria

(Rizzuto et al., 1998; Csordás et al., 1999, 2010), which is mediated by a multiprotein complex composed—among others—of the IP3R at ER membrane and of the VDAC at outer mitochondrial membrane (OMM) (Szabadkai et al., 2006). The zones of close contact between the ER and mitochondria, called Mitochondria Associated Membranes (MAMs), are crucial for a correct crosstalk between the two organelles (Csordás et al., 2006). Therefore, MAMs disruption causes the suppression of the IP3-mediated release of calcium from the ER to mitochondria, with a consequent reduction of ATP production (Rowland and Voeltz, 2012).

A model of intracellular calcium signaling network in PC1 deficient cells exposed to calcimimetic is shown in **Figure 6**.

To summarize, the present contribution provides the first evidence that selective CaSR activation in human ciPTEC stably knocked down for PC1, restores mitochondrial calcium content and fully rescues the bioenergetics dysfunction known to facilitate cysts formation in ADPKD. These data, together with our previous demonstration that CaSR activation in ciPTEC-PC1KD increases cytosolic calcium and decreases cAMP and mTOR activity, indicate that CaSR signaling can reverse the principal dysregulations considered the most proximal events

in ADPKD pathogenesis, making CaSR a potential candidate as therapeutic target.

## **AUTHOR CONTRIBUTIONS**

GV and DV designed research and supervised the project. DV and AD designed, performed, and interpreted experiments. MR, MC, MV, and GT performed research and analyzed data. AD, GV, and DV wrote the paper. All authors commented on the manuscript.

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## Relevance of Copper and Organic Cation Transporters in the Activity and Transport Mechanisms of an Anticancer Cyclometallated Gold(III) Compound in Comparison to Cisplatin

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The molecular mechanisms of toxicity and cellular transport of anticancer metallodrugs, including platinum-based agents, have not yet been fully elucidated. The aim of our study was to investigate the relevance of copper transporters (CTR1 and ATP7A/B), organic cation transporters (OCT2) and the multidrug and toxin extrusion proteins (MATE) in the intracellular accumulation of a novel organometallic cytotoxic Au(III) compound in cancer cells in comparison to cisplatin. Specifically, the synthesis and characterization of the gold complex  $[Au(py^b-H)(PPh_2Ar)CI]PF_6$   $(PPh_2Ar = 3-[4-(diphenylphosphino)phenyl]-$ 7-methoxy-2H-chromen-2-one] (1), featuring a coumarin ligand endowed with "smart" fluorescence properties, have been achieved. Initially, the cytotoxic effects of both cisplatin and 1 were studied in a small panel of human cancer cells, and against a non-tumorigenic cell line in vitro. Thus, the human ovarian cancer cell line A2780 and its cisplatin resistant variant A2780cisR, were selected, being most sensitive to the treatment of the gold complex. Co-incubation of the metallodrugs with CuCl<sub>2</sub> (a CTR1 substrate) increased the cytotoxic effects of both the Au(III) complex and cisplatin; while co-incubation with cimetidine (inhibitor of OCT2 and MATE) showed some effect only after 72 h incubation. ICP-MS (Inductively Coupled Plasma Mass Spectrometry) analysis of the cell extracts showed that co-incubation with CuCl2 increases Au and Cu accumulation in both cancer cell lines, in accordance with the enhanced antiproliferative effects. Conversely, for cisplatin, no increase in Pt content could be observed in both cell lines after co-incubation with either CuCl<sub>2</sub> or cimetidine, excluding the involvement of CTR1, OCT2, and MATE in drug accumulation and overall anticancer effects. This result, together with the evidence for increased Cu content in A2780 cells after cisplatin co-treatment with CuCl2, suggests that copper accumulation is the reason for the observed enhanced anticancer effects in this cell line. Moreover, metal uptake studies

in the same cell lines indicate that both **1** and cisplatin are not transported intracellularly by CTR1 and OCT2. Finally, preliminary fluorescence microscopy studies enabled the visualization of the sub-cellular distribution of the gold compound in A2780 cells, suggesting accumulation in specific cytosolic components/organelles.

Keywords: organometallic gold compounds, cisplatin, cancer, drug transporters, organic cation transporters, copper transporters, ICP-MS

## INTRODUCTION

In the field of anticancer metallodrugs, platinum(II) compounds (cisplatin (Figure 1), carboplatin, oxaliplatin, and nedaplatin) are still the gold-standard treatment for numerous types of cancers, (Desoize and Madoulet, 2002) mostly applied in combination with other chemotherapeutics. However, their use is limited due to intrinsic or acquired resistance and severe side-effects, such as nephrotoxicity in the case of cisplatin. Consequently, a panel of non-platinum metallodrugs was designed as anticancer agents to achieve improved therapeutics. Many of them are in preclinical studies, but only a few reached clinical trials and are still far from being marketed (Ang et al., 2011; Jaouen et al., 2015; Meier-Menches et al., 2018). In general, the differential activity/toxicity profiles of anticancer drugs in different organs, such as the nephrotoxicity of cisplatin, may be related to different drug accumulation in the cells of these tissues. It is worth mentioning that higher drug accumulation in a particular cell type might be caused by either higher uptake or lower efflux(Fletcher et al., 2010; Russel, 2010), which emphasizes the need for mechanistic information on the drug transport mechanisms involved and possible interactions with membrane transporters. However, even for the well-established anticancer platinum drugs, knowledge about their mechanism of accumulation in cancer cells, as well as in healthy tissues, is incomplete and often contradictory. Specifically, the hOCT2 (human organic cation transporter 2) and hCTR1 (human copper transporter 1) have been postulated to be involved in the uptake of cisplatin, whereas the multidrug and toxic extrusion protein (MATE) and ATP7A/B seem to be involved in the drug's efflux, as recently reviewed by Spreckelmeyer et al. (2014) and others (Hall et al., 2008; Howell et al., 2010). It should be noted that while hCTR1 is responsible for the cellular uptake of Cu<sup>+</sup> ions, the ATP7A and ATP7B are pumps known to be involved in the efflux of copper ions. MATEs are part of the organic cation homeostasis, belonging to the SLC47 family. In fact, MATEs act as H<sup>+</sup>/organic cation antiporters, transporting protons from the extracellular side to the cytoplasm in concomitance with organic cations export to the lumen of the proximal tubule. Several studies have confirmed cisplatin transport by MATEs (Ciarimboli, 2014).

Recently, Natile, Arnesano, and co-workers investigated the binding of platinum(II) complexes to the Met-rich domain of hCTR1 by several methods including mass spectrometry and NMR spectroscopy (Arnesano et al., 2007; Alessia et al., 2018). According to the obtained results, cisplatin reacts with the copper binding domain forming adducts where all the

original ligands of Pt(II) are substituted by the S-donor groups of Met side-chains. According to these observations, cisplatin would be actually sequestered by hCTR1 and not transported intracellularly. Furthermore, regulation of the expression of these membrane transporters upon metallodrug administration can also occur and its effects on cisplatin treatment should be considered (Holzer and Howell, 2006). Recently, Howell and coworkers reported on the use of the CRISPR-Cas9 technique to individually knock out the human copper transporters CTR1 and CTR2 and the copper chaperones ATOX1 and CCS in human cancer cells (Bompiani et al., 2016). The obtained results showed that loss of such transporters does not produce a change in cisplatin sensitivity.

Among new generation metal-based complexes, gold(I) and gold(III) compounds raised interest in the last years showing promising anticancer properties in vitro, which prompted the investigation of their molecular mechanisms of biological action (Ott, 2009; Bertrand and Casini, 2014). As a representative example, the gold(I) compound ([Au(I)(2,3,4,6-tetra-O-acetyl-1-(thio- $\kappa$ S)- $\beta$ -Dauranofin glucopyranosato)(triethylphosphine)]) is a potent cytotoxic agent in vitro and is currently in clinical trials (Nobili et al., 2010). Among the various families of gold compounds tested for their anticancer effects in the last decade, a variety of organometallic gold(I/III) compounds appeared to be particularly promising (Muenzner et al., 2015; Sigel et al., 2018). Indeed, they feature potent anticancer activity in vitro, and are endowed with improved stability in physiological environment with respect to classical coordination complexes. In this context, our group has designed new gold(III) cyclometallated compounds featuring both bidentate C^N- and tridentate C^N^N-, C^N^C-, and N^C^N-donor ligands, with either five- or six-membered C,N rings.(Bertrand and Casini, 2014; Fung et al., 2017; Jurgens and Casini, 2017; Jurgens et al., 2017a; Jürgens et al., 2017b; Williams et al., 2017; Bertrand et al., 2018) For example, a series of C^N gold(III) complexes of general formula [Au(py<sup>b</sup>-H)X<sub>2</sub>] (py<sup>b</sup>-H = C<sup>N</sup> cyclometallated 2-benzylpyridine) has been developed by us showing interesting antiproliferative effects in a panel of human cancer cells in vitro (Bertrand et al., 2015).

While investigation of the possible pharmacological targets for this family of organometallic gold(III) complexes has led to the identification of a number of cancer-related proteins, including the seleno-enzyme thioredoxin reductase, (Gabbiani et al., 2011) and zinc finger proteins involved in DNA repair mechanisms, (Wenzel et al., 2018) among others, very scarce information is available concerning the drug transport mechanisms in cancer cells. As far as we are aware, only one *in vitro* study has

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been published evaluating the role of hOCTs, hCTR1, and of endocytotic processes in the uptake of a cytotoxic gold(I) NHC (N-heterocyclic carbene) complex in cancer cells (Kaps et al., 2012). The results suggest that cellular uptake for all tested gold complexes occurs mainly *via* the OCT transporters, but in one case also *via* hCTR1. In addition, some of the compounds were also internalized *via* the Na<sup>+</sup>/K<sup>+</sup>-dependent endocytosis (Kaps et al., 2012). In fact, while in general endocytosis is the vesicle-mediated process used by all cells to internalize extracellular molecules, some endocytotic processes depend on a sodium gradient and can be slowed down by adding ouabaine, an inhibitor of the Na<sup>+</sup>/K<sup>+</sup> pump.

More recently, we reported on the toxic effects and accumulation mechanisms of another C^N gold(III) complex–[Au(py<sup>b</sup>-H)(PTA)Cl] (PTA = 1,3,5-triazaphosphaadamantane) (**Figure 1**)-in healthy rat kidneys  $ex\ vivo$ , using the Precision Cut Tissue Slices (PCTS) method (Spreckelmeyer et al., 2017). Interestingly, the obtained results seem to exclude OCTs-related

**FIGURE 1** | The anticancer Pt(II) drug, cisplatin, and cyclometallated [Au(py $^b$ -H)PTACI] (py $^b$ -H = C^N cyclometallated 2-benzylpyridine, PTA = 1,3,5-triazaphosphaadamantane).

uptake mechanisms as well as MATE efflux pathways for this gold(III) compound in kidneys.

Here, we report on the synthesis and characterization of a novel cyclometallated C^N gold(III) compound (Figure 2)  $[Au(py^b-H)(PPh_2Ar)Cl]PF_6$  (PPh<sub>2</sub>Ar = (diphenylphosphino)phenyl]-7-methoxy-2H-chromen-2-one] (1) featuring a coumarin ligand endowed with "smart" fluorescence properties for imaging in cells by fluorescence microscopy (Hanthorn et al., 2012; Ali et al., 2015; Dondaine et al., 2016). The smart character of this probe involves a dramatic drop of the fluorescence when the metal is released, allowing a reliable tracking of the complex: if strong fluorescence is observed it means that the "P-Au" bond is intact. The evaluation of the antiproliferative effects of the Au(III) complex compared to cisplatin was performed using a panel of human cancer cell lines as well as non-cancerous cells in vitro. Moreover, the involvement of different transporters (CTR1, OCT2, MATE, ATP7A/B) in the antiproliferative activity of the gold compound was studied via transporter inhibition experiments in comparison to cisplatin in two human ovarian cancer cell lines, one sensitive (A2780) and one resistant to cisplatin (A2780cisR). Furthermore, the accumulation and uptake mechanisms of the compounds were studied in the same cells, evaluating the cellular metal content by Inductively Coupled Plasma Mass Spectrometry (ICP-MS). Preliminary fluorescence microscopy data allowed investigation of the sub-cellular localization of complex 1 in cancer cells.

## MATERIALS AND METHODS

## **General Remarks**

All reactions were carried out under an atmosphere of purified argon using Schlenk techniques. Solvents were dried and distilled under argon before use. Cisplatin was purchased at Sigma, while the precursor  $[Au(py^b-H)Cl_2]$  and the coumarin-phosphine have been synthesized according to literature procedure (Cinellu et al., 1995; Hanthorn et al., 2012). The identity and purity ( $\geq$ 95%)

of the gold complexes under investigation were unambiguously established using high-resolution mass spectrometry and NMR. All other reagents were commercially available and used as received. All the analyses were performed at the "Plateforme d'Analyses Chimiques et de Synthèse Moléculaire de l'Université de Bourgogne." Exact masses of the synthesized complexes were obtained on a Thermo LTQ Orbitrap XL. <sup>1</sup>H- (300.13, 500.13, or 600.23 MHz), <sup>13</sup>C- (125.77 or 150.90 MHz) and <sup>31</sup>P-(121.49, 202.45, or 242.94 MHz) NMR spectra were recorded on Bruker 300 Avance III, 500 Avance III or 600 Avance II spectrometers. Chemical shifts are quoted in ppm ( $\delta$ ) relative to TMS (<sup>1</sup>H and <sup>13</sup>C) using the residual protonated solvent (<sup>1</sup>H) or the deuterated solvent (<sup>13</sup>C) as internal standards. 85% H<sub>3</sub>PO<sub>4</sub> (<sup>31</sup>P) was used as an external standard. Infrared spectra were recorded on a Bruker Vector 22 FT-IR spectrophotometer (Golden Gate ATR) and far infrared spectra were recorded on a Bruker Vertex 70v FT-IR spectrophotometer (Diamant

## Synthesis of [Au(pyb-H)(PPh<sub>2</sub>Ar)Cl].PF<sub>6</sub> (1)

A round-bottom flask was charged with the precursor  $[Au(py^b-H)Cl_2]$  (50 mg, 0.115 mmol, 1 eq.), KPF<sub>6</sub> (106 mg, 0.573 mmol, 5 eq.) and 3-[4-(diphenylphosphino)phenyl]-7-methoxy-2H-chromen-2-one (PPh<sub>2</sub>Ar) (50 mg, 0.115 mmol, 1 eq.) in suspension into 5 mL of distilled acetone under argon atmosphere. The gold complex precursor was solubilized after few minutes. The reaction was maintained at room temperature for 1.5 h; afterward, 10 mL of dichloromethane were added, and the yellow solution was filtrated through Celite and concentrated under vacuum. The pure product was obtained after precipitation from a dichloromethane/pentane mixture as a yellow powder (91 mg, 0.092 mmol, 80 % yield).

 $^{13}\mathrm{C}(^{1}\mathrm{H})$  NMR (Acetone-d6, 125.77 MHz, 300 K): 47.9 (s,  $C\mathrm{H}_{2-\mathrm{PyrBz}}$ ), 56.5 (s, O -CH<sub>3</sub>), 101.2 (s, CH<sup>D</sup>), 113.8 (s, CH<sup>C</sup>), 114.0 (s,  $C_{\mathrm{quat-coum}}$ ), 122.9 (s,  $C_{\mathrm{quat-p-C6H4}}$ ), 124.0 (d,  $^{1}J_{\mathrm{P-C}}$  = 84.3 Hz,  $C_{\mathrm{ipso-Ph}}$ ), 124.5 (d,  $^{1}J_{\mathrm{P-C}}$  = 83.0 Hz,  $C_{\mathrm{ipso-Ph}}$ ), 124.8 (d,  $^{1}J_{\mathrm{P-C}}$  = 70.4 Hz,  $C_{\mathrm{ipso-p-C6H4}}$ ), 125.4 (d,  $^{4}J_{\mathrm{P-C}}$  = 3.8 Hz, CH<sup>5</sup>), 127.3 (d,  $^{4}J_{\mathrm{P-C}}$  = 3.8 Hz, CH<sup>3</sup>), 128.8 (s, CH<sup>4'</sup>), 128.9 (d,  $^{4}J_{\mathrm{P-C}}$  = 2.5 Hz, CH<sup>5'</sup>),129.8 (s, CH<sub>para-Ph</sub>), 129.9 (s, CH<sup>3'</sup>), 130.2 (d,  $^{2}J_{\mathrm{P-C}}$  = 10.1 Hz, CH<sub>ortho-Ph</sub>), 130.3 (d,  $^{2}J_{\mathrm{P-C}}$  = 10.1 Hz, CH<sub>ortho-Ph</sub>), 131.0 (s, CH<sup>B</sup>), 133.7 (d,  $^{3}J_{\mathrm{P-C}}$  = 7.5 Hz, CH<sup>6'</sup>), 134.5 (d,  $^{3}J_{\mathrm{P-C}}$  = 2.5 Hz, CH<sub>ortho-p-C6H4</sub>), 134.6 (s + d,  $^{3}J_{\mathrm{P-C}}$  = 2.5 Hz, Cquat-Bz + CH<sub>ortho-p-C6H4</sub>), 136.1 (s, CH<sub>meta-Ph</sub>), 136.2 (s, CH<sub>meta-Ph</sub>)

+  $CH_{\text{meta-p-C6H4}}$ ), 136.4 (s,  $CH_{\text{meta-p-C6H4}}$ ), 141.6 (d,  ${}^2J_{\text{P-C}} = 2.5\,\text{Hz}$ , C-Au), 143.1 (s,  $CH^A$ ), 144.2 (s,  $CH^4$ ), 150.8 (s,  $C_{\text{quat-coum}}$ ), 152.4 (s,  $CH^6$ ), 156.8 (s,  $C_{\text{quat-pyr}}$ ), 157.9 (s,  $C_{\text{quat-coum}}$ ), 160.4 (s,  $C_{\text{quat-coum}}$ ), 164.6 (s,  $C_{\text{quat-coum}}$ ).

<sup>31</sup>P(<sup>1</sup>H) NMR (Acetone-d6, 202.45 MHz, 300 K): 31.5 (s, 1 P, PPh<sub>3</sub>-Coum), –144.2 (h, 1 P, PF<sub>6</sub>).

ESI-MS (DMSO-MeOH), positive mode exact mass for  $[C_{40}H_{31}NO_3PAuCl]^+$  (836.13901): measured m/z 836.13656 [M-PF<sub>6</sub>]<sup>+</sup>.

IR (ATR & FIR, cm<sup>-1</sup>): 1725, 1613, 1569, 1437, 1362, 1025, 836, 751, 311, 229.

Anal. Calc. for C<sub>40</sub>H<sub>31</sub>NO<sub>3</sub>P<sub>2</sub>F<sub>6</sub>AuCl: C, 48.92, H, 3.18, N, 1.43%. Found: C, 48.40, H, 2.70, N, 1.52%.

## **Photophysical Characterization**

UV-Visible absorption spectra were recorded on a JASCO V630BIO spectrometer. The steady-state fluorescence emission spectra were obtained by using a JASCO FP8560 spectrofluorimeter instrument. All fluorescence spectra were corrected for instrument response. The fluorescence quantum yields  $(\Phi_{\rm F})$  were calculated from equation:

$$\frac{\Phi_F}{\Phi_{FR}} = \frac{n^2}{n^2_R} \times \frac{\int\limits_0^\infty I_F(\lambda_E, \lambda_F) d\lambda_F}{\int\limits_0^\infty I_{FR}(\lambda_E, \lambda_F) d\lambda_F} \times \frac{1 - 10^{-A_R(\lambda_E)}}{1 - 10^{-A(\lambda_E)}}$$

 $\Phi_F$  and  $\Phi_{FR}$  are fluorescence quantum yields of the compound and the reference respectively.  $A(\lambda_E)$  and  $A_R(\lambda_E)$  are the absorbance at the excitation wavelength, and n is the refractive index of the medium.  $I_F$  and  $I_{FR}$  are fluorescent intensities of the compound and the reference respectively. 9,10-diphenylanthacene ( $\Phi_F = 0.97$  in cyclohexane) was used as standard (Brouwer Albert, 2011). In all  $\Phi_F$  determinations, correction for the solvent refractive index ( $\eta$ ) was applied.

## Cell Viability Assay

The human breast cancer cell line MCF7, human lung cancer cell line A549, human colon cancer cell line HCT116 p53 wild-type (+/+) (obtained from the European Centre of Cell

Cultures ECACC, Salisbury, UK) and a p53 null variant of HCT116 (-/-) (kindly provided by Dr. G. Hartleben, University of Groningen), as well as non-cancerous human embryonic kidney cells HEK-293T (provided by Dr. M. P. Rigobello, University of Padova, Italy) were cultured in DMEM (Dulbecco's Modified Eagle Medium). Human ovarian sensitive (A2780) and cisplatin resistant (A2780cisR) cells were grown in Roswell Park Memorial Institute (RPMI) 1,640 medium. Both media contained GlutaMax supplemented with 10% FBS and 1% penicillin/streptomycin (all from Invitrogen). Cells were incubated at 37°C in a humidified atmosphere of 95% of air and 5% CO<sub>2</sub> in an incubator (Heraeus, Germany). In the case of the A2780 and A2780cisR cells, the medium was also supplemented with 2 mM L-glutamine. To maintain resistance, cisplatin-resistant A2780cisR cells were treated with 1 μM cisplatin between every third passage.

For evaluation of toxicity, cells were seeded in 96-well plates (Costar, Integra Biosciences, Cambridge, MA) at a concentration of 10.000 cells/well (A2780, A2780cisR, MCF-7, HEK-293T) or 6,000 cells/well (HCT116 p53 +/+, HCT116 p53 -/-, A549) and grown for 24h in the appropriate medium mentioned above. Solutions of the compounds were prepared by diluting a freshly prepared stock solution ( $10^{-2}$  M in DMSO for 1 or  $10^{-3}$  M in aqueous solution for cisplatin) of the corresponding compound in media (RPMI or DMEM for the A2780 or A549, MCF-7, HCT116 p53+/+, HCT116 p53-/-, and HEK-293T).

Afterwards, 200 µL of these dilutions of the compounds were added to the wells to obtain a final concentration ranging from 0 to 120 µM, and the cells were incubated for 72 h. Following 24 or 72 h drug exposure, 3-(4,5-dimethylthiazol-2yl)-2,5-diphenyltetrazolium bromide (MTT) was added to the cells at a final concentration of 0.5 mg mL<sup>-1</sup> and incubated for 2 h. Then, the culture medium was removed and the violet formazan (artificial chromogenic precipitate of the reduction of tetrazolium salts by dehydrogenases and reductases) dissolved in 200 μL DMSO. The optical density of each well (96-well plates) was quantified in triplicates at 550 nm using a multi-well plate reader, and the percentage of surviving cells was calculated from the ratio of absorbance of treated to untreated cells. The EC<sub>50</sub> was calculated as the concentration reducing the proliferation of the cells by 50% and it is presented as a mean ( $\pm$  SD) of at least three independent experiments.

## **Statistics**

A minimum of three independent experiments were performed with the cells, with four replicates for each condition. The EC50 values were calculated, using GraphPad Prism, as the concentration reducing the viability of the cells or slices by 50%, relative to the untreated samples using a nonlinear fitting of log [compound concentration] vs. response and is presented as the mean  $\pm$  SD of at least three independent experiments. Statistical testing was performed with two-way ANOVA with each individual experiment as random effect. In all graphs and tables the mean values and standard deviation (SD) are shown.

## **ICP-MS Studies**

The concentrations of platinum, gold and copper were measured by inductively coupled plasma mass spectrometry (ICP-MS) using an Elan 6,000 spectrometer (Perkin Elmer Sciex, Concord, ON, Canada) and Micromist Nebulizer and a cyclonic spraychamber (Glas Expansion Pocasset, MA, U.S.). Nebulizer gas, lens voltage and RF power were optimized daily using a 10  $\mu g/L$  Pt standard in 0.1% (V/V) HCl and 0.65% (V) HNO3, normal settings were: Rf power 1,350 W, nebuliser gas flow rate 0.95 L min $^{-1}$ , and lens voltgate 10 V. Acquisition parameters were 50 ms dwell time, 1 sweeps reading, 5 replicates and 25 readings per replicate, monitoring all or a subset of  $^{195} Pt^+, ^{196} Pt^+, ^{197} Au^+,$  and  $^{63} Cu^+$ . The instrument was tuned at the beginning of each analysis to ensure optimal operation.

Samples were dried by vacuum centrifugation (Eppendorf, concentrator plus) at  $60^{\circ}$ C for 2 h. Cell samples were digested using 200  $\mu$ L 65% HNO<sub>3</sub> and 50  $\mu$ L 30% H<sub>2</sub>O<sub>2</sub> overnight until the solution was clear. Samples were prepared for analysis by dilution to 5 mL with 0.65% (V/V) HNO<sub>3</sub> and 0.1% (V/V) HCl. The external standards were prepared from a 10 mg/L Au and Pt stock solution (CPI International, Peak Performance, 4400-120213WG01, Lot# 12B214) or Cu stock solution (Plasma CAL, SCL SCIENCE, Q.C. no 4, Cat# 140-102-045, Lot SC5322198) with 0.65% (V/V) HNO<sub>3</sub> and 0.1% (V/V) HCl. All reagents were of the highest available purity.

## Metal Content Determination After 24/72 h +/- Inhibitor

A2780 or A2780cisR cells were plated into 25 cm<sup>2</sup> culture flasks (CellStar, Grenier Bio, Germany) with RPMI 1640 medium up to a volume of 5 mL per flask. After 24 h, the cells were treated with a mixture of 15 or 20  $\mu$ M cisplatin for 24 h, or 5 or 10  $\mu$ M cisplatin for 72 h, +/- cimetidine or +/- CuCl<sub>2</sub>. Cimetidine was used at 300 μM concentration in H<sub>2</sub>O, and CuCl<sub>2</sub> was used at 30 µM in H<sub>2</sub>O, both non-toxic to cancer cells. The same set-up was used for compound 1, but the compound was incubated at 5 or 10 µM for 24 h, and 3 or 6 µM for 72 h, respectively. After 24 or 72 h, the medium was removed, and the cells were washed two times with 3 mL ice-cold PBS to remove dead cells. Thus, lysis buffer (500 µL) was added and the samples were stored on ice for 20 min. Afterwards, cells were detached by using a scrubber policemen and the cell suspension was transferred to a 1.5 mL Eppendorf tube. 200 µL of the sample were transferred to a new Eppendorf tube for ICP-MS analysis, while 5 µL of cell suspension was used for protein determination.

## Metal Content Determination During 120 min Incubation +/- Inhibitor

A2780 or A2780cisR cells were plated into 25 cm<sup>2</sup> culture flasks (CellStar, Grenier Bio, Germany) with RPMI 1,640 medium up to a volume of 5 mL per flask. The next day, the cells were treated with 5  $\mu$ M cisplatin or with 3  $\mu$ M compound 1 (+/- cimetidine or +/- CuCl<sub>2</sub>) for 10′, 20′, 30′, 60′, and 120′ min. The samples were processed as described above.

## **Protein Assav**

Protein determination was performed using BioRad DC<sup>TM</sup> Protein Assay reagents A, B, and S (Bio-Rad). Using a 96-well-plate, 5  $\mu$ L of standard (0, 5, 10, 15, 20, 25, and 30 mg/ml bovine serum albumin) and samples were analyzed in duplicate. First,

 $25.5~\mu L$  reagent-mix A+S (25  $\mu L$  A + 0.5  $\mu L$  S) was added and then 200  $\mu L$  reagent B. The absorbance at 610 nm was measured after 15 min (stored in dark) using a PlateReader (Perkin Elmer, EnVision, 2104 Multilabel Reader).

## Fluorescence Microscopy

A2780 cells were seeded (5  $\times$  10<sup>5</sup> for each sample) and grown on 8 well microscope plates, coated with Poly-L-lysine hydrobromide (Sigma-Aldrich, P6516) with RPMI medium. After 24 h, cells were incubated with various concentrations of Au(III) compound 1 in RPMI, without FCS for 1h at 37°C. Afterwards, cells were rapidly washed with cold PBS and then fixed with 2% paraformaldehyde for 30 min at 4°C. For visualization of the nuclei with PI (propidium iodide), cells were permeabilized with 0.2% Triton X-100 for 20 min at 4°C and treated with 1 µg/µl of PI for 10 min at room temperature. Cells were washed once with PBS and then analyzed by confocal microscopy. As preparation for visualization, the plate wells were removed from the slide and glycerol was used to cover the slide with a glass cover slip. The fluorescence was analyzed using a Leica DM4000 B Automated Upright Microscope, equipped with the appropriate filters. PI was excited at 547 nm (emission wavelength 572 nm) and compound 1 at 358 nm (emission wavelength 461 nm, DAPI filter). The acquired images were obtained using the individual filters and a combined image, overlaying the fluorescence was acquired using the Leica microscope software.

## **RESULTS**

## **Synthesis and Characterization**

A novel cyclometallated Au(III) compound 1 was synthesized adapting already established procedures for similar complexes (Figure 2).(Bertrand et al., 2015) In details, the C^N precursor [Au(pyb-H)Cl<sub>2</sub>] (Cinellu et al., 1995) was reacted with the phosphine 3-[4-(diphenylphosphino)phenyl]-7-methoxy-2Hchromen-2-one (PPh2Arcoum) (Hanthorn et al., 2012) to yield the fluorescent Au(III) compound 1. The complexation of the phosphine ligand with [Au(pyb-H)Cl<sub>2</sub>] as well as the isomeric purity of compound 1 was analyzed by <sup>31</sup>P(<sup>1</sup>H) NMR spectroscopy. The NMR spectrum (Figure S1a) shows a singlet at 31.5 ppm of the coordinated phosphorous shifted downfield by 35 ppm with respect to the corresponding precursor (Figure S1b) (Bertrand et al., 2015). The <sup>1</sup>H NMR spectrum of 1 (Figure S2a) shows a downfield shift of the signal of the pyridine proton in position 6 by 0.05 ppm associated with a morphological change of the pyridine moiety. A splitting of the signals corresponding to the carbons of the two phenyl rings compared to the free ligand (Figure S2b) was observed due to their diastereotopic feature induced by the fixed geometry of the cyclometallated ligand as depicted in Figure S2c in the Supplementary Material. The same splitting of the signals of the two phenyl rings was observed in the <sup>13</sup>C(<sup>1</sup>H) spectrum of 1 (Figure S3). Moreover, another splitting of the signal due to the coupling of the phosphorous atom with carbons and hydrogens is observed. Compound 1 in dichloromethane exhibits a typical absorption band at 361 nm and a fluorescence emission band of the coumarin chromophore at 429 nm (**Figure S4**). As previously observed, the complexation induces a bathochromic shift of the absorption wavelength with respect to free phosphine ligand (+ 33 nm), while there is no significant modification of emission wavelength (Dondaine et al., 2016). It is worth noting that complexation of gold(III) preserves the smart character of the coumarin-phosphine ligand that we highlighted for gold(I) complexes (Ali et al., 2015; Dondaine et al., 2016). Indeed, compound 1 displayed a quantum yield of fluorescence almost 8-fold higher than the free phosphine (38 and 5% respectively). This phenomenon will make possible to check the stability of the P-Au bond by microscopy of fluorescence experiment *in vitro*: if the bond breaks, the fluorescence emission will drop dramatically (**Figure S5**).

## **Antiproliferative Effects**

The antiproliferative effects of the gold(III) compound 1 were studied in different human cancer cell lines in comparison to cisplatin, *via* a classical 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyl tetrazolium bromide (MTT) assay after 72 h incubation (see experimental for details). Specifically, the selected human cancer cell lines were A2780 and A2780cisR (ovarian carcinoma cisplatin sensitive and resistant, respectively), MCF7 (breast carcinoma), A549 (lung carcinoma) as well as against HCT116 p53 +/+ and -/- (colon carcinoma p53 expressing and knockout, respectively). The tumor protein p53 is a tumor suppressor gene and known to be downregulated in cisplatin resistant cancer tissues (Li et al., 2007).

The obtained EC<sub>50</sub> values are summarized in **Table 1**. Overall, compound 1 exhibits a moderate toxicity profile in all tested cell lines in line with previous studies on similar C<sup>N</sup> gold(III) complexes, while being more effective against the ovarian A2780 cancer cells (Bertrand et al., 2015). Compared to cisplatin, 1 shows a moderately higher toxic effect against A2780cisR, which suggests that different mechanisms of anticancer activity with respect to the Pt(II) agent may be in place, as well as possible alternative transport and accumulation pathways in this cell line. Instead, no statistically significant difference of EC<sub>50</sub> values was observed in the HCT116 p53 -/- cells among the two drugs. This is different from what has been observed for other families of organometallic Au(I) complexes featuring N-heterocyclic carbene ligands (Estrada-Ortiz et al., 2017). Moreover, similar toxicity with respect to cisplatin was detected in the case of the non-cancerous HEK-293T cells. In addition, we also evaluated the I<sub>max</sub>, calculated as the survival rates at maximum inhibition, which provide a measure of drug maximal toxicity, while the EC<sub>50</sub> values reflect the drug potency. As it can be seen from the I<sub>max</sub> values reported in **Table 1**, compound **1** shows similar effects as cisplatin, made exception for the HCT116 p53 -/-, MCF7 and A549 cell lines, where the Pt(II) drug has a higher I<sub>max</sub>.

Due to the highest activity against the ovarian cancer cells, both sensitive and resistant to cisplatin, these cells were selected for further experiments to investigate the transport mechanisms for the gold(III) compound 1 in comparison to cisplatin. Both A2780 and A2780cisR cells were recently evaluated from Sørensen et al. (2016) for the expression levels of CTR1, OCT2, and ATP7A/B transporters. Notably, the cisplatin resistant

**TABLE 1** Antiproliferative effects of gold(III) compound **1** (expressed as EC<sub>50</sub> and I<sub>max</sub> values) compared to cisplatin against different human cancer cell lines and non-tumorigenic HEK-293Tcells, after 72 h incubation.

	EC <sub>50</sub> (μM) <sup>a</sup> [I <sub>max</sub> (%)]						
Compound	A2780	A2780cisR	HCT116 p53 +/+	HCT116 p53 -/-	MCF7	A549	HEK-293T
1	2.4±0.3 [0.044]	11 ± 0.5 [0.054]	9.8 ± 0.9 [0.058]	18.4±1.1 [0.072]	12 ± 3 [0.03]	26± 5 [0.041]	10 ± 3 [0.030]
Cisplatin	2.3±0.5 [0.065]	$30 \pm 1$ [0.073]	$5.3 \pm 0.2$ [0.056]	22.9±2.3 [0.185]	$20 \pm 3$ [0.124]	12±1 [0.105]	8.6±1.3 [0.030]

<sup>&</sup>lt;sup>a</sup>Data are expressed as mean  $\pm$  SD (n > 3).

A2780cisR cells show a significantly higher expression of the copper efflux transporters ATP7A (1.3-fold) and ATP7B (5-fold) as well as of the cation uptake transporter OCT2 (1.3-fold). The copper uptake transporter CTR1 is on the other hand less expressed in A2780cisR cells compared to the A2780 (0.4-fold). These data suggest that the resistance observed in the A2780cisR cells is at least partly caused by a different balance between uptake and efflux of cisplatin resulting from a decreased influx *via* CTR1 and concomitant increased efflux of cisplatin by ATP7A/B, thereby decreasing the intracellular exposure of the cells to cisplatin.

## **Studies on the Mechanisms of Transport**Competition Experiments

The antiproliferative effects of compound 1 and cisplatin were determined after 24 and 72 h incubation in order to observe the development of the toxicity over time (Table 2). Overall, compound 1 is more potent in the A2780 cells, than in the resistant A2780cisR cells at both incubation times. In addition, after 24h incubation, compound 1 is 5-fold more active than cisplatin in A2780 cells, and 7-fold more active in A2780cisR cells. However, after 72 h incubation, both compounds display the same cytoxicity in A2780, while the gold(III) compound is still ca. 3-fold more active in A2780cisR than cisplatin. In addition, there is no significant difference between the EC50 values at either 24 or 72 h treatment of A2780cisR cells with compound 1. Instead, in A2780 cells, the gold(III) compound shows a 2-fold decrease of the EC50 values from 24 to 72 h incubation, indicating increase of activity during chronic exposure.

In order to evaluate the involvement of OCT2 and CTR1 uptake transporters in the metallodrugs accumulation, the compounds' antiproliferative effects were further tested in the presence of transporter inhibitors or competitor substrates, respectively (see experimental section for details). If these transporters are involved in the drug uptake, their inhibition should lead to a reduced intracellular accumulation of the metal complexes and to a decrease in cytotoxic effects, and thus, an increase in EC50 values. Conversely, inhibiting possible efflux transporters such as MATE and ATP7A/B should lead to metallodrug accumulation and enhancement of its antiproliferative effects. Cimetidine (300  $\mu$ M, Cim) was selected as inhibitor for OCTs and MATE, and CuCl2

**TABLE 2**  $\mid$  EC $_{50}$  values of compound 1 and cisplatin against A2780 and A2780cisR cells, incubated in absence and presence of CuCl $_2$  or cimetidine (Cim), recorded after 24 and 72 h incubation.

	EC <sub>50</sub> (μM) <sup>a</sup>				
	2	24 h	72 h		
Compound	A2780	A2780cisR	A2780	A2780cisR	
1	5.8 ± 1.5	15 ± 4	2.4 ± 0.3	11 ± 0.5	
1 + Cim	$6.6 \pm 0.3$	$18.2 \pm 6.1$	$0.7 \pm 0.2^{**}$	$8.1 \pm 0.5^{*}$	
$1 + CuCl_2$	$4.1 \pm 0.3$	$6.1 \pm 1.9^*$	$0.2 \pm 0.1^{**}$	3.1 ± 1.0**	
Cisplatin	$26 \pm 2$	$103 \pm 3$	$2.3 \pm 0.5$	$30 \pm 1$	
Cisplatin + Cim	$22.0 \pm 2.1$	$91.7 \pm 4.2$	$1.0 \pm 0.1^{**}$	21.3 ± 1.1**	
Cisplatin+ CuCl <sub>2</sub>	$22.2 \pm 1.3$	51.0 ± 4.9**	$0.5 \pm 0.2^{**}$	18.0 ± 0.6**	

<sup>&</sup>lt;sup>a</sup>Data are expressed as mean  $\pm$  SD (n  $\geq$  3).

The reported values are the mean  $\pm$  SD of three independent experiments. For statistical analysis, the two way ANOVA was used. \*p  $\leq$  0.05, \*\*p  $\leq$  0.01 indicate the difference is significant when compared to samples treated with the metallodrugs only (control).

 $(30\,\mu\text{M})$  as competitive substrate of both CTR1 and ATP7A/B (Spreckelmeyer et al., 2014). Experiments were performed in A2780 and A2780cisR cells after 24 and 72 h incubation with the compounds. It should be noted that CuCl<sub>2</sub> and cimetidine do not show any anticancer effect on A2780 or A2780cisR cell lines at the selected concentrations (data not shown). The obtained results are summarized in **Table 2** and in **Figures S6**, **S7**.

After 24 h incubation, neither cimetidine nor CuCl<sub>2</sub> showed an effect on the potency of gold(III) compound 1 or cisplatin in A2780 cells (Figure S6A). However, in A2780cisR cells (Figure S6B), both cisplatin and compound 1 had a significantly increased activity when co-incubated with CuCl<sub>2</sub>. For cisplatin the resistance was only partly reduced, but for gold(III) compound 1 the resistance was fully compensated resulting in a similar toxicity in both cell lines. These results support the hypothesis that CuCl2 may interfere with the metallodrugs detoxification mechanisms involving the copper efflux transporters (ATP7A/B), which are expressed at much higher levels in the A2780cisR cells than in the wild type A2780 cells (Sørensen et al., 2016). Apparently, these efflux transporters play a minor role in the wild type A2780 cells. Furthermore, the lack of effect of cimetidine on the efficacy of both cisplatin or compound 1 (Figure S6A) in both cell lines might indicate that drug uptake processes by OCTs and efflux by MATE are not relevant.

After 72 h incubation of the A2780 cells, both cimetidine and CuCl<sub>2</sub> increased the potency of compound 1 significantly, 3.5-fold and 12-fold, respectively. For cisplatin, a 2.5-fold and 4.5-fold increased toxicity could also be observed with cimetidine and CuCl<sub>2</sub>, respectively (**Figure S7A**). The same effect was observed in A2780cisR cells, but to a lower extent (**Figure S7B**). This discrepancy may be rationalized by the hypothesis that for both compounds, at 72 h incubation, efflux mechanisms are inhibited by CuCl<sub>2</sub> and cimetidine, possibly via inhibition of ATP7A/B and MATE, respectively. However, it should be noted that in conditions of chronic drug exposure other pathways may cause the observed increased cytotoxic effects other than transport mechanisms.

## **Metal Accumulation Studies by ICP-MS**

After evaluating the toxic effects of both compounds in cancer cells in the presence and absence of transport inhibitors/competitors, the metal content (Au or Pt) was determined by inductively coupled plasma mass spectrometry (ICP-MS) to gain further insights into the drug accumulation mechanisms. For these experiments two concentrations of the metal compounds were chosen based on their EC50 values at the selected incubation time (24 or 72 h), namely EC50 or 2-fold EC50 concentrations against the A2780 cells were considered for the two drugs. The obtained results are reported in **Table 3** and expressed in ng metal/ $\mu$ g protein.

## Au Accumulation in Cancer Cells

When cells were treated with compound 1, as expected, the metal content increased as a function of concentration (**Table 3**, **Figure 3**, **Figure S8**). Interestingly, no significant differences in Au content could be observed between the two cell lines (sensitive or resistant to cisplatin) treated with the same concentrations of the gold(III) compound 1 at either incubation times. Considering the above mentioned marked differences in  $EC_{50}$  values for 1 between the two cell lines and the different incubation times (**Table 2**), the differences in accumulation mechanisms may not play a major role in determining the overall antiproliferative effects of the gold(III) complex.

When cells were co-treated for 24 h with the gold compound and CuCl<sub>2</sub>, increased accumulation of Au was observed in both cell lines treated with 10  $\mu M$  concentration of compound 1 (Table 3, Figure 3). The effect of the CuCl<sub>2</sub> co-incubation was most marked in the A2780 cells with a 4-fold increase in Au content compared to the controls, whereas the metal content increased only of 2-fold in the A2780cisR. A 2-fold increase was observed at 5  $\mu M$  in both cell lines, but this increase was not statistically significant. Overall, these results are in line with the enhanced effect of the copper/drug co-incubation on the observed antiproliferative effects (Table 2).

Co-incubation of the gold(III) compound for 24 h with 300  $\mu$ M cimetidine resulted in a slightly increased accumulation of Au only in the A2780 wild type cells at 10  $\mu$ M of compound 1, but not at 5  $\mu$ M, and no effect was seen in the A2780cisR cells (**Figure 3**) in line with the antiproliferative activity data (**Table 2**).

The same type of experiments was repeated after 72 h treatment of cells with compound 1 at either 3 or  $6\,\mu M$ . After 72 h incubation in the presence of CuCl<sub>2</sub>, a ca. 2-fold

**TABLE 3** | Metal content determination by ICP-MS after exposure of A2780 and A2780cisR cells with compound 1 and cisplatin, incubated in absence and presence of CuCl<sub>2</sub> (30  $\mu$ M) or cimetidine (Cim, 300  $\mu$ M), recorded after 24 and 72 h incubation

Au and Pt content [ng metal/ $\mu$ g protein]						
	2	4 h	72 h			
Treatment	A2780	A2780cisR	A2780	A2780cisR		
COMPOUND 1						
[5 μM]	$0.04 \pm 0.01$	$0.04 \pm 0.01$	nd	nd		
[10 µM]	$0.12 \pm 0.03$	$0.10 \pm 0.02$	nd	nd		
$[5\mu\text{M}] + \text{CuCl}_2$	$0.08 \pm 0.02$	$0.08 \pm 0.01$	nd	nd		
$[10\mu\text{M}] + \text{CuCl}_2$	$0.48 \pm 0.06$	$0.21 \pm 0.01$	nd	nd		
$[5\mu\text{M}] + \text{Cim}$	$0.04 \pm 0.01$	$0.04 \pm 0.01$	nd	nd		
$[10\mu\text{M}] + \text{Cim}$	$0.26 \pm 0.06$	$0.12 \pm 0.02$	nd	nd		
[3 µM]	nd	nd	$0.08 \pm 0.01$	$0.05 \pm 0.01$		
[6 µM]	nd	nd	$0.19 \pm 0.02$	$0.15 \pm 0.01$		
$[3\mu\text{M}] + \text{CuCl}_2$	nd	nd	$0.16 \pm 0.03$	$0.12 \pm 0.01$		
$[6\mu\text{M}] + \text{CuCl}_2$	nd	nd	$0.15 \pm 0.01$	$0.28 \pm 0.03$		
$[3\mu\text{M}] + \text{Cim}$	nd	nd	$0.09 \pm 0.02$	$0.06 \pm 0.02$		
$[6\mu\text{M}] + \text{Cim}$	nd	nd	$0.25 \pm 0.05$	$0.16 \pm 0.01$		
[15 µM]	$0.07 \pm 0.01$	$0.06 \pm 0.01$	nd	nd		
$[20\mu\text{M}]$	$0.17 \pm 0.04$	$0.08 \pm 0.02$	nd	nd		
$[15\mu\text{M}] + \text{CuCl}_2$	$0.09 \pm 0.01$	$0.07 \pm 0.01$	nd	nd		
$[20\mu\text{M}] + \text{CuCl}_2$	$0.20 \pm 0.03$	$0.13 \pm 0.01$	nd	nd		
$[15\mu\text{M}] + \text{Cim}$	$0.08 \pm 0.02$	$0.06 \pm 0.01$	nd	nd		
$[20\mu\text{M}] + \text{Cim}$	$0.25 \pm 0.02$	$0.10 \pm 0.01$	nd	nd		
[5 μM]	nd	nd	$0.04 \pm 0.02$	$0.02 \pm 0.01$		
$[10\mu\text{M}]$	nd	nd	$0.07 \pm 0.02$	$0.05 \pm 0.01$		
$[5\mu\text{M}] + \text{CuCl}_2$	nd	nd	$0.05 \pm 0.02$	$0.02 \pm 0.01$		
$[10\mu\text{M}] + \text{CuCl}_2$	nd	nd	$0.09 \pm 0.02$	$0.07 \pm 0.01$		
$[5\mu\text{M}] + \text{Cim}$	nd	nd	$0.02 \pm 0.01$	$0.01 \pm 0.01$		
$[10\mu\text{M}] + \text{Cim}$	nd	nd	$0.05 \pm 0.02$	$0.04 \pm 0.01$		

The reported values are the mean  $\pm$  SD of three independent determinations. nd, not determined.

increase in Au content was observed both in A2780 cells and in A2780cisR cells at either metallodrug concentrations, except for the co-incubation of  $6\,\mu\mathrm{M}$  1 in the cisplatin sensitive cells, where no difference was observed with respect to controls (Table 3, Figure S8). This may be explained by the fact that after 72 h,  $6\,\mu\mathrm{M}$  is about 2-fold the EC<sub>50</sub> value for 1 in A2780 cells, which may have caused extensive cell death, altering membrane integrity and Au leakage from the cells. Concerning the cimetidine treatment, this did not have any significant effect on the Au content of the cells after 72 h of incubation (Table 3, Figure S8).

## Pt Accumulation in Cancer Cells

Afterwards, the Pt content was evaluated in A2780 and A2780cisR cells treated with cisplatin after 24 (**Table 3**, **Figure 3**) or 72 h incubation (**Table 3**, **Figure S9**) by ICP-MS. At 24 h, the Pt content was the same for both cell lines, despite the known differences in the expression of hCTR1 and OCT transporters (Sørensen et al., 2016). The increase in metal content was concentration dependent over 24 h in the A2780 cells, while a similar trend could not be observed in the cisplatin resistant cells, where the Pt content remained substantially unaltered

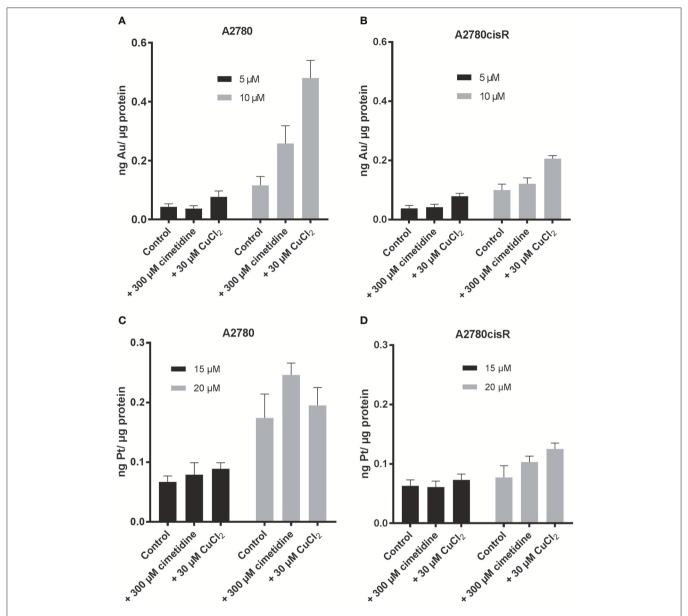


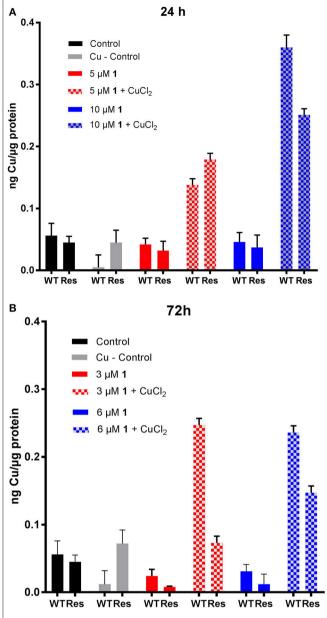
FIGURE 3 | Au content after 24 h incubation of (A) A2780 (B) A2780cisR cells treated with  $5\,\mu\text{M}$  (black) and  $10\,\mu\text{M}$  (gray) of compound 1. Pt content after 24 h incubation of (C) A2780 and (D) A2780cisR with  $15\,\mu\text{M}$  (black) and  $20\,\mu\text{M}$  (gray) cisplatin. Data are expressed as mean  $\pm$  SD (n=3).

at both drug concentrations and incubation times (**Figure 3**, **Figure S9**). This concentration dependent effect could not be observed in the case of the A2780cisR cells also after 72 h incubation, supporting the idea that higher detoxification and possibly efflux mechanisms may be in place. Notably, no significant difference in Pt content could be observed after co-incubation with either cimetidine or CuCl<sub>2</sub>. Thus, these data cannot explain the observed increase in anticancer effects (**Table 2**).

## Copper Accumulation in Cancer Cells

The viability results of cisplatin treated human ovarian cancer cells showed a decreased EC<sub>50</sub> value after co-incubation with

CuCl<sub>2</sub>. However, the ICP-MS data did not show an increased Pt content that could be responsible for such an effect. In order to reinforce the evidence that inhibition of the copper transporters by cisplatin or by the Au(III) complex is not relevant to their mechanism of anticancer effects, we investigated whether the Cu<sup>2+</sup> content was increased due to the coincubation with each metallodrug. Concerning copper uptake, CTR1 affinity to Cu is between 0.6  $\mu$ M in fibroblasts and 13  $\mu$ M in murine hepatocytes, (Lee et al., 2002) while ATP7B binding affinity to Cu is 2.5 \* 10<sup>-17</sup> M (Hilário-Souza et al., 2011). Both transporters are not only selective for Cu, but also for example for Ag(I), Cd(II) and Fe(III) (Liang et al., 2014).



**FIGURE 4** | Evaluation of copper content in human ovarian cancer cells treated with **1**. Cu content in A2780 (WT) and A2780cisR (Res) cells after **(A)** 24 h and **(B)** 72 h incubation with 30  $\mu$ M CuCl<sub>2</sub> and different concentrations of compound **1**. Data are expressed as mean  $\pm$  *SD* (n=3).

Initially, copper content was evaluated in both the wild-type A2780 and cisplatin resistant A2780cisR cells by ICP-MS since the different CTR1/ATP7A/B transporter expression levels(Sørensen et al., 2016) may have an effect in its accumulation. The obtained results show that both cell lines have the same basal copper content (0.056  $\pm$  0.02 ng Cu in A2780 and 0.045  $\pm$  0.01 ng Cu in A2780cisR). Furthermore, treatment with CuCl<sub>2</sub> (30  $\mu$ M) did not significantly alter these basal levels (**Figure 4**).

Concerning metallodrug treated cells, it could be observed that the copper content did not decrease in the cell lines upon

treatment with the Au(III) compound 1 for 24 (5 and  $10\,\mu\text{M}$ ) and 72 h (3 and  $6\,\mu\text{M}$ ), at any selected drug concentration (Figure 4). Instead, co-incubation of 1 with CuCl<sub>2</sub> induced an increased copper accumulation compared to controls after 24 h in both cell lines. At 72 h, the Cu content was markedly increased mainly in the A2780 cells. Overall, the ICP-MS data showed an increased Au and Cu content after 24 and 72 h incubation in the CuCl<sub>2</sub> co-incubation experiments, which may be responsible for the observed increased antiproliferative effects in the same cells (Table 3). The effect of the increased Cu-content is not fully understood yet, but an additive or even synergic anticancer effect with Au may be possible.

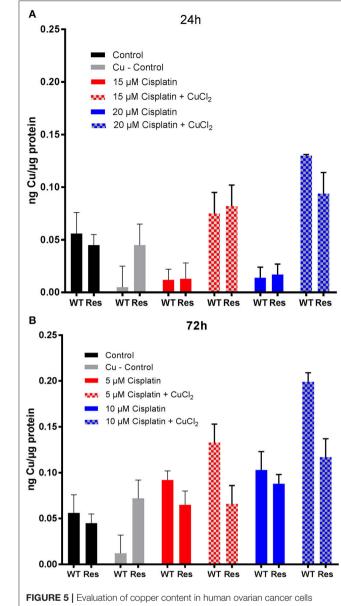
Considering cisplatin treatment, no significant difference in the Cu content between the controls and cisplatin treated cells could be observed in both cell lines after 24 and 72 h incubation (Figure 5). Instead, after 24 h, the Cu content significantly increases at both concentrations of cisplatin co-incubated with CuCl<sub>2</sub> in both cell lines. However, this effect was absent in the A2780cisR cells incubated for 72 h. The ICP-MS data showed no increased Pt and Cu content after 24 h and 72 h incubation in the CuCl<sub>2</sub> co-incubation experiments (Table 3).

To summarize the results concerning the gold(III) complex, the following can be concluded: after 24 h, co-incubation of 1 with CuCl<sub>2</sub>, but not with cimetidine, induced a significantly higher antiproliferative effects in A2780cisR cells, whereas in A2780 cells no effect was seen of either CuCl<sub>2</sub> or cimetidine. After 72 h incubation, co-incubation of 1 with CuCl<sub>2</sub> resulted in an increase in cytotoxicity and increase in Au and Cu content in both cell lines as demonstrated by ICP-MS. Based on these results two explanations can be suggested for these effects: (i) compound 1 is a substrate for ATP7A/B, and inhibition of the efflux transporter ATP7A/B by excess CuCl2 results in a higher Au accumulation and higher drug potency, and/or (ii) the increased overall cytotoxicity is a result of additive or synergistic effects of Au and Cu accumulation via different transport mechanisms. Furthermore, a direct involvement of the CTR1 or OCT2 in the uptake of the gold drug, as well as of MATE in its efflux, could not be supported based on our studies. The increased efficacy of compound 1 in the presence of cimetidine after 72 h of incubation in A2780 cells, but not after 24 h, is difficult to explain, as no concomitant increased accumulation of Au was observed by ICP-MS. Studies with other, more selective, inhibitors for each single drug transporter are needed to confirm these results.

Concerning cisplatin, similarly to compound 1, 24 h drug incubation with CuCl<sub>2</sub> shows a significant decrease in viability in A2780cisR cells, although not as strong as for the gold(III) compound 1. After 72 h, co-incubation of cisplatin with either cimetidine or CuCl<sub>2</sub> leads to an increase in cytotoxicity, but no increase in Pt content could be observed in both cell lines as demonstrated by ICP-MS. This result, together with the evidence for increased Cu content in A2780 cells, leads to the hypothesis that copper accumulation is the reason for the observed enhanced anticancer effects in this cell line.

## Metal Uptake Studies

In order to specifically evaluate uptake mechanisms of metallodrugs in cancer cells, we measured the metal content (Au,



**FIGURE 5** | Evaluation of copper content in human ovarian cancer cells treated with cisplatin. Cu content in A2780 (WT) and A2780cisR (Res) cells after **(A)** 24 h and **(B)** 72 h incubation with 30  $\mu$ M CuCl $_2$  and different concentrations of cisplatin. Data are expressed as mean  $\pm$  SD (n=3).

Pt) in both A2780 and A2780cisR at different time points during the first 120 min of incubation in cells by ICP-MS. Thus, samples were taken after 10, 20, 30, 60, and 120 min and the obtained results are reported in (**Figures 6**, 7).

In the case of compound 1, co-treatment with cimetidine did not affect the Au uptake in both cell lines at any recorded concentration. When cells were co-incubated with CuCl<sub>2</sub>, a significantly higher Au content could be observed only after 120 min in the A2780 cells (**Figure 6A**), but not in the cisplatin resistant ones (**Figure 6B**). Overall, both cimetidine and CuCl<sub>2</sub> do not change the uptake of compound 1. It should be noted that, between 10 and 20 min incubation, a drop in Au content can be observed in A2780 cells. We do not have an explanation

for that thus far, but it should be further investigated in additional experiments.

In the case of cisplatin, the Pt content in cells co-incubated with cimetidine or CuCl<sub>2</sub> did not show any difference over 120 min in both cell lines (**Figure 7**). A similar trend was observed in the co-treatment with cimetidine, made exception for the significantly increased Pt content recorded at 120 min in A2780 cells. Considering the whole set of data, it is also worth noting that metal accumulation is more efficient in the case of the gold(III) compound 1 compared to cisplatin in both cancer cell lines over time (see summary of the obtained data in **Table 3**).

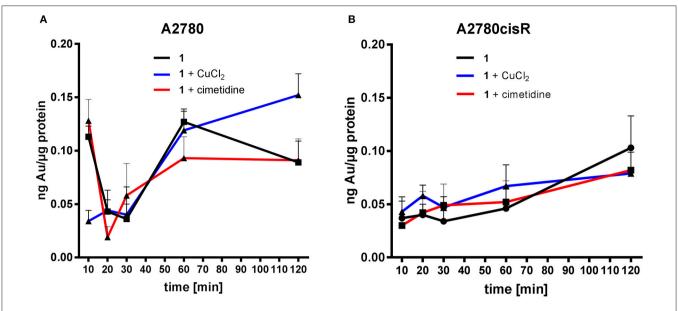
In line with the aforementioned accumulation studies, a direct involvement of OCTs or CTR1 in the uptake of cisplatin was not observed. Otherwise, inhibition by cimetidine should have resulted in lower cytotoxicity and lower Pt, accumulation in the cells, which instead was not recorded. It should be also considered that Holzer and Howell showed that, as a consequence of cisplatin and copper incubation, the CTR1 transporter undergoes rapid down-regulation in human ovarian 2008 cancer cells, and that it might be internalized from the membrane, potentially leading to a decreased cisplatin uptake (Holzer and Howell, 2006). However, a decreased Pt uptake was also never evidenced in our results. Similarly, a direct involvement of MATE or ATP7A/B in the accumulation of cisplatin could not be confirmed.

## Fluorescence Microscopy Studies

To investigate the sub-cellular localization of the Au complex, we performed fluorescence microscopy experiments on A2780 cells treated with Au(III) compound 1 and the two inhibitors cimetidine and CuCl2. Propidium iodide (PI) staining was used to evidence cell nuclei. The pictures show the A2780 cells after 2h incubation with gold(III) compound 1 (2-fold the EC<sub>50</sub>) (Figure 8). The strong fluorescence observed indicates that the coumarin-phosphine ligand is still coordinated to gold(III) cation. The compound itself does not co-localize with PI in the nuclei, but clearly enters the cells and is localized in organelles in the cytoplasm. For example, a similar distribution pattern was observed for a gold(I) complex featuring the same coumarin ligand as 1 (Ali et al., 2015). In this case, the gold(I) complex was hypothesized to accumulate in lipid rafts, cellular domains containing plasma membrane proteins and lipids, which are involved in the regulation of numerous cell functions, including signaling, trafficking, migration, adhesion, and growth. This observation points toward a different mechanism of action compared to cisplatin and suggests that the DNA damage may not play a pivotal role in the toxicity of the Au compound. Co-incubation with either cimetidine or CuCl2 did not change the intracellular accumulation pattern (Figure 8).

## **CONCLUSIONS**

In the past years, several studies have been carried out to elucidate the mechanisms of uptake and efflux of cisplatin in cancer cells and kidney slices, as well as in numerous *in vitro* models of cancer cells (Spreckelmeyer et al., 2014). Despite



**FIGURE 6** | Gold uptake determination in cancer cells–Au content [ng metal/ $\mu$ g protein] in A2780 **(A)** and A2780cisR cells **(B)** treated with **1** and co-treatment with cimetidine or CuCl<sub>2</sub>. Data are expressed as mean  $\pm$  *SD* (n = 3).

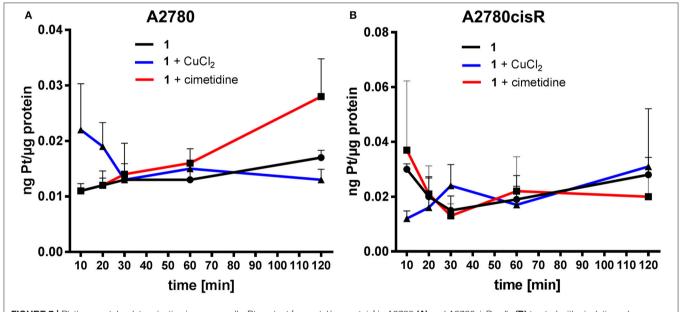


FIGURE 7 | Platinum uptake determination in cancer cells–Pt content [ng metal/ $\mu$ g protein] in A2780 (A) and A2780cisR cells (B) treated with cisplatin and co-treatment with cimetidine or CuCl<sub>2</sub>. Data are expressed as mean  $\pm$  SD (n = 3).

the numerous research efforts, such transport mechanisms are not yet fully understood. In addition, the mechanisms leading to activity/toxicity and cellular accumulation of new experimental anticancer gold complexes have received scarce attention, albeit the numerous compounds' structures published in the literature. Recently, we have reported on a promising family of gold(III)  $C^N$  complexes as cytotoxic agents, with  $[Au(py^b-H)PTACl]$   $(py^b-H=C^N)$  cyclometallated 2-benzylpyridine)(Bertrand et al., 2015) (**Figure 1**) as one of the

most effective derivatives in cancer cells *in vitro*. In order to broaden the scope of our work and develop a compound trackable in cells via fluorescence microscopy, we report here on the cytotoxic effects of a new fluorescent gold(III) C<sup>N</sup> complex 1 studied in a panel of human cancer cell lines in comparison to cisplatin *in vitro*. The results show that 1 is more active than cisplatin in a number of cell lines, including MCF7, HCT16 p53 –/–, and A2780cisR cells. However, it has similar antiproliferative effects for other cancer cells, including the ovarian A2780

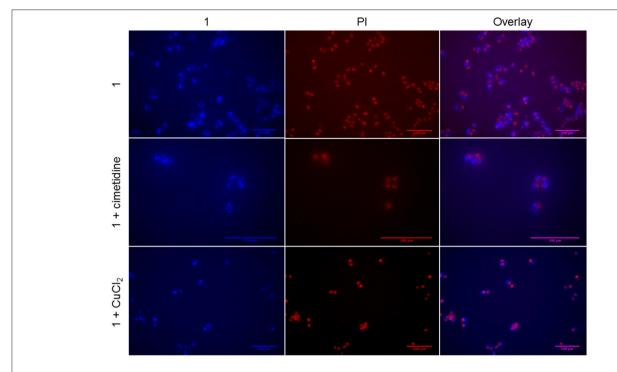


FIGURE 8 | Fluorescence microscopy of A2780 cells treated for 2 h with compound 1 (5 µM) with/without 300 µM cimetidine or with/without 30 µM CuCl<sub>2</sub>.

cells. The compound is also moderately cytotoxic toward the non-tumorigenic HEK cells. Additionally, we evaluated for the first time the involvement of different uptake and efflux transporters—namely OCTs, CTR1, MATEs, and ATP7A/B—in the accumulation mechanisms of 1 in human ovarian cancer cells *in vitro*, using competitive inhibitors/substrates and determining the intracellular metal content by ICP-MS. The results are compared with those obtained for cisplatin in the same experiments.

The uptake and intracellular accumulation of the gold(III) compound 1 is more efficient than that of cisplatin, as judged from the metal content assessed in both A2780 and A2780cisR cells by ICP-MS. In general, while uptake transporter OCT2 and CTR1 are unlikely to be involved in the transport mechanisms of both compounds in the selected cell lines, as well as in their antiproliferative effects, the efflux transporters ATP7A/B should be investigated further in the case of the gold complex. The preliminary fluorescence microscopy studies indicate that the gold compound accumulates intracellularly mainly in cytoplasmic organelles other than nuclei. Further studies are necessary to establish if these corresponds to mitochondria or lysosomes among others.

Overall, these initial results may constitute the basis for future more extensive studies on experimental metallodrugs transports and their relevance to the mechanism of activity/resistance toward different types of cancer, highlighting the differences with classical platinum-based chemotherapeutics.

## DATA AVAILABILITY STATEMENT

All relevant data is contained within the manuscript: All datasets [GENERATED/ANALYZED] for this study are included in the manuscript and the **Supplementary Material**.

## **AUTHOR CONTRIBUTIONS**

AC, SaS, and StS contributed conception and design of the study. EB, BB, and AC contributed to the design, synthesis and characterization of the gold compound. SaS performed the anticancer studies *in vitro* and the data interpretation. SaS and MvdZ performed the ICP MS experiments and the statistical analysis. AC and SaS wrote the first draft of the manuscript, while StS, EB, and BB wrote sections of the manuscript. All authors contributed to manuscript revision, read and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

2014/2020 programs.

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fchem. 2018.00377/full#supplementary-material

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