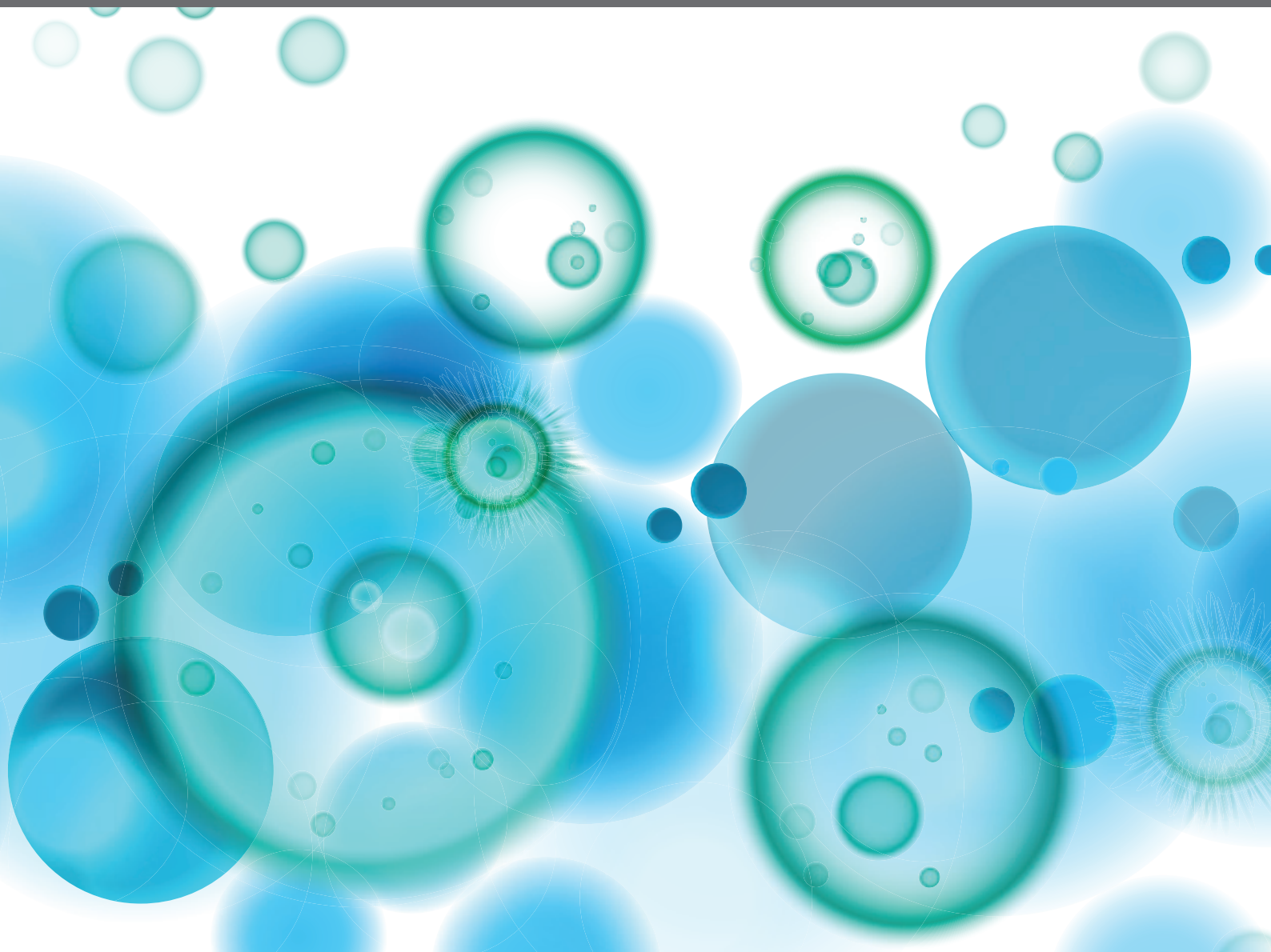


INTESTINAL HOMEOSTASIS AND DISEASE: A COMPLEX PARTNERSHIP BETWEEN IMMUNE CELLS, NON-IMMUNE CELLS AND THE MICROBIOME

EDITED BY: Marcela A. Hermoso, Thomas Thornton MacDonald and
Marjorie K. De La Fuente
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INTESTINAL HOMEOSTASIS AND DISEASE: A COMPLEX PARTNERSHIP BETWEEN IMMUNE CELLS, NON-IMMUNE CELLS AND THE MICROBIOME

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Editorial: Intestinal Homeostasis and Disease: A Complex Partnership Between Immune Cells, Non-Immune Cells, and the Microbiome

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Editorial on the Research Topic

Intestinal Homeostasis and Disease: A Complex Partnership Between Immune Cells, Non-Immune Cells, and the Microbiome

INTRODUCTION

Epithelial cells, stromal cells, immune cells, and microbiota are fundamental components of the intestine, and all are important in maintaining a healthy gut (1).

Risk factors that predispose an individual to loss of gut homeostasis include genetic variants and mutations, dysbiosis, immune dysregulation, and alterations in epithelial barrier function (2, 3). It is still unclear how these factors interrelate and lead to the development of inflammatory bowel diseases (IBD) in some individuals. Crohn's disease (CD) and ulcerative colitis (UC) are the most common types of IBD, affecting 1 in 250 individuals in the West. They are characterized by a relapsing and remitting progression of gut inflammation, which, if not treated with immune-suppression, leads to resection of inflamed tissue (4, 5).

The primary function of the gastrointestinal tract is to absorb nutrients and fluids to maintain health. Infectious agents take advantage of ingested food and water to either colonize the gut surface (e.g., *Vibrio cholera*) or invade the tissues (e.g., *Salmonella typhi*). The vulnerability of the gut is exacerbated by the fact that, in order to absorb nutrients, it is covered in a single layer of epithelial cells ~30 µm thick. The epithelial layer is protected by mucus from goblet cells, especially in the colon, and Paneth cells, which secrete anti-microbial peptides and lysozyme.

In this special issue of Frontiers in Immunology, we have collected original works and reviews that provide new insights into the role of intestinal homeostasis and disease, with an emphasis on immune and non-immune cells and the microbiome.

A FOCUS ON EPITHELIAL CELLS AND FIBROBLASTS IN THE GUT

Curciarello et al. discuss the role of non-immune cells, such as epithelial cells and fibroblasts, in intestinal homeostasis and inflammation in the context of IBD. Epithelial cells are well-equipped to recognize infectious agents. They express Nod1 and Nod2 to recognize gram-positive and gram-negative infectious bacteria in the cytosol as well as TLRs on their apical and basolateral surfaces

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and in endosomes. Epithelial cells also express retinoic acid-inducible gene I to recognize RNA viruses. Additionally, they secrete cytokines that influence underlying cellular function, such as TGF- β 1 and TSLP (6).

The fibroblasts that underlie the epithelium and that make up the stroma of the lamina propria maintain the structure of the lamina propria and make the matrix in which T cells, plasma cells, macrophages, and dendritic cells are embedded. In disease, however, when activated by pro-inflammatory cytokines, fibroblasts produce large amounts of interstitial collagenase and stromelysin, which degrade interstitial collagen and proteoglycans, respectively, leading to loss of epithelium and ulceration (7).

In the review by Wosen et al., emphasis is placed on how epithelial cells in the small intestine and lung mucosa constitutively express MHC class II. MHC II is not expressed in healthy colonic epithelium but is induced by pro-inflammatory cytokines in IBD. In diseases such as celiac disease, where there is very little TNF- α produced but a marked increase in interferon- γ , MHC II increases on epithelial cells (it is well-known that interferon- γ induces MHCII on non-professional antigen presenting cells). It is probable that antigen presentation mediated by MHC-II on epithelial cells is important in driving either tolerogenic or inflammatory responses.

Glal et al. show that activating transcription factor 3 (ATF3) is a key molecule needed to maintain intestinal integrity in health and inflammatory disease. ATF3-deficient mice die if given DSS-colitis, due to impaired epithelial regeneration. The authors suggest that IL-22 upregulates ATF3, leading to STAT3 phosphorylation by inhibiting phosphatase activity (SH-PTP2 and PTP-MEG2) and subsequent anti-microbial peptide production and epithelial fucosylation.

A FOCUS ON INNATE IMMUNE CELLS IN THE MUCOSA

Innate immune cells in intestinal mucosa are thought to be key modulators of tolerance and inflammatory responses. Stagg describes the heterogeneity of dendritic cells (DCs) in the gut and their role in regulatory and effector T cell-mediated responses in steady-state and inflammatory diseases. Bain and Schridde highlight the heterogeneity, ontogeny, origin, and inflammatory responses of intestinal macrophages. This is of great relevance given that, in contrast to other tissues such as the liver and brain where macrophages are yolk-sac derived and self-renewing, in the gut, for the most part, mucosal macrophages are bone marrow-derived.

Pantazi and Powell discuss the role of innate lymphoid cells (ILC) in the gut. They emphasize that Group 3 ILCs, which require ROR γ t for their development, are made up of diverse subpopulations. ILC3 has an important function in the response against pathogens, however dysregulated ILC3 activation may also promote inflammation, as implicated in IBD and/or colorectal cancer.

ADAPTIVE IMMUNE CELL RESPONSES IN THE MUCOSA

Cells and molecules associated with distinct effector profiles are induced in the immune response characterizing IBD (8). The evidence is now quite overwhelming that CD is caused by an excessive Th1 response to gut microbes (9). Although IL-17A is also markedly over-expressed in CD, treatment of patients with an anti-IL-17A antibody exacerbated disease (10). For UC, the situation is still unclear. It was proposed that it was driven by an unusual type of NKT cell that made IL-13, but subsequent studies have not shown elevated IL-13 in UC, and two clinical trials with anti-IL-13 antibody in UC were both negative (11). Prostaglandin E2 (PGE2) production is known to play a role in intestinal inflammation and exert both pro-inflammatory and regulatory effects (12), but the mechanisms by which it affects T cell function during colitis are not clear. In this issue, Maseda et al. evaluate the effect of autocrine vs. paracrine (non-lymphoid) secretion of PGE2 in a murine model of T cell-dependent colitis. They demonstrate that lymphocytes contribute to PGE2 production and that microsomal prostaglandin E synthase-1 (mPGES-1, which drives PGE2 production) deficient CD4⁺ T cells were less able to induce colitis. In contrast, the authors suggest that mPGES-1 deficiency in non-lymphoid cells impairs FoxP3⁺ regulatory cell development in mesenteric lymph nodes and increases total CD4⁺ cell infiltration into colonic lamina propria. Together, these data provide evidence that T cell PGE2 signaling is required to restrain colitis.

Sorini et al. discuss the role of commensal bacteria-specific CD4⁺ T cells, highlighting the factors involved in the generation of these cells and their contribution to intestinal immune homeostasis and disease. Actually, the microbiota has been shown to promote pathogenic T cell expansion in IBD patients. In addition, the authors discuss in overview the most commonly used experimental model to study the induction of commensal bacteria-specific CD4⁺ T cells in mice, including gnotobiotic mice, soluble peptide-MHC tetramers, and TCR transgenic mice.

Coronado et al. present a novel model of diet-induced gut inflammation in zebrafish larvae, identifying changes in immune cell populations using a fluorescent reporter for macrophages, neutrophils, and T cells. They found that myelocytes (young granulocytes normally found in hematopoietic tissues) and T cells orchestrate gut inflammation in response to dietary proteins.

Tregs have been identified as a significant immunosuppressive population critically involved in maintaining intestinal homeostasis (13). Butera et al. studied the frequency and contribution of different Treg cells (CD3⁺CD4⁺Foxp3⁺ and CD3⁺CD4⁺LAP⁺Foxp3[−]) to the extent of disease in UC patients and a colitis mouse model. The authors concluded that CD3⁺CD4⁺LAP⁺ Tregs are responsible for limiting the extension of inflammatory lesions in UC.

Intraepithelial lymphocytes (IELs) play a key role in maintaining intestinal immune homeostasis (14). Zhang et al. describe original research on the role of Fas-associated protein with death domain (FADD) in IEL development. Dominant-negative FADD (FADD-DN) mutant mice have a

selective deficiency of CD8 $\alpha\alpha$ + TCR $\gamma\delta$ + cell IELs. Moreover, loss of $\gamma\delta$ + IELs in the gut of FADD-DN mice aggravates DSS-induced colitis, highlighting their important role in intestinal homeostasis.

Pararasa et al. studied memory B cells in blood and tissue samples of IBD patients and controls. The authors show a reduction in CD27-IgD- memory B cells in the blood of patients, while at the same time observing an increased frequency of this cell population in their mucosa.

THE ROLE OF MICROBIOTA AND DIETARY COMPONENTS IN INTESTINAL HOMEOSTASIS AND IMMUNE RESPONSE

The colon is colonized by more than 1×10^{13} bacterial cells, mostly of the phyla firmicutes and bacteroidetes (15). The microbiome shows major gradient differences along two axes, namely the mucosa-to-luminal axis and the longitudinal proximal intestine-to-distal intestine, with individuals showing substantial variations (15, 16).

Parada Venegas et al. discuss the production of short-chain fatty acids (SCFAs) by members of the commensal microbiota, their impact on epithelial and immune cells belonging to the innate and adaptive arms of the immune system, and their potential use as part of either pre- or probiotic therapies for attenuating gut inflammation.

Many factors have been shown to intervene with the gut microbiome, including age, genetics, diet, and drugs (15). The article by Siracusa et al. discusses the effect of the western diet (high intake of lipids, cholesterol, and salt) on the intestinal immune system, gut microbiota, and CD4+ T-associated cell differentiation into different effector sub-types. They suggest that a western diet increases the risk of intestinal and extra-intestinal inflammation and summarize the possible therapeutic effects of immune modulation using dietary supplementation with fiber, indoles, and vitamins.

Sugihara et al. summarize the role of different dietary nutrients in the maintenance or perturbation of immune intestinal homeostasis and highlight the relationship between nutrition and gut immune responses, including Th1/Th2/Th17/Treg cells, macrophages, and the microbiota.

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EVOLUTIONARY BIOLOGY AND THE DEVELOPMENT OF IBD AND CANCER

Interdisciplinary research can greatly assist in understanding disease processes. Al Bakir et al. discuss the mechanisms underlying the connections between IBD and colon cancer risk from a mathematical/evolutionary perspective. They discuss the great opportunity to follow cancer evolution using genomic analyses over time of spatially separated colonic biopsies, from pre-malignancy to carcinoma, which show the key factors in the development of colorectal cancer (CRC). Clonal diversity and evolution could be useful for predicting progression risk, which will hopefully stimulate new thinking leading to novel early diagnosis and prevention strategies for IBD-associated CRC.

PERSPECTIVES

Immune responses in lymph nodes and the spleen occur in a controlled plasma-rich environment where the levels of nutrients, hormones, and cytokines are strictly controlled. In the gut, by virtue of the special needs of the tissue, immune responses take place in an environment rich in exogenous immunomodulating molecules. The list is long, but in this issue, we have highlighted the role of diet, the microbiota, short-chain fatty acids, prostaglandins, aryl hydrocarbon receptor ligands, and vitamins. In this relatively brief series of articles, it was not possible to capture the full breadth of activity in mucosal immunology and inflammation in humans, but there is no doubt that this is one of the most exciting areas of immunology, with many surprises ahead.

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All authors listed have made a substantial, direct and intellectual contribution to the work, and approved it for publication.

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Dominant Negative FADD/MORT1 Inhibits the Development of Intestinal Intraepithelial Lymphocytes With a Marked Defect on CD8 $\alpha\alpha$ +TCR $\gamma\delta$ + T Cells

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Intestinal intraepithelial lymphocytes (IELs) play a critical role in mucosal immune system, which differ from thymus-derived cells and develop locally in gut. Although the development of IELs has been studied in some detail, the molecular cues controlling their local development remain unclear. Here, we demonstrate that FADD, a classic adaptor protein required for death-receptor-induced apoptosis, is a critical regulator of the intestinal IEL development. The mice with a dominant negative mutant of FADD (FADD-DN) display an abnormal development of intestinal IELs with a marked reduction in the numbers of CD8 $\alpha\alpha$ +TCR $\gamma\delta$ + T cells. As a precursor for CD8 $\alpha\alpha$ + development, lamina propria lymphocytes in lin-negative expression (lin⁻ LPLs) were analyzed and the massive accumulation of IL-7R⁻lin⁻ LPLs was observed in FADD-DN mice. As IL-7R is one of Notch1-target genes, we further observed that the level of Notch1 expression was lower in Lin⁻ LPLs from FADD-DN mice compared with normal mice. The downregulation of Notch1 expression induced by FADD-DN overexpression was also confirmed in Jurkat T cells. Considering that IL-7 and its receptor IL7-R play a differentiation inducing role in the development of intestinal IELs, the influence of FADD via its DD domain on Notch1 expression might be a possible molecular signal involved in the early IELs development. In addition, loss of $\gamma\delta$ T-IELs in FADD-DN mice aggravates DSS-induced colitis, suggesting that FADD is a relevant contribution to the field of mucosal immunology and intestinal homeostasis.

Keywords: FADD, mucosal immune system, $\gamma\delta$ + T-IELs, LPLs, DSS-induced colitis

INTRODUCTION

Intraepithelial lymphocytes (IELs), which are integral to the intestinal mucosal associated lymphoid system, play a key role in maintaining immune homeostasis of intestine. They constitute a constellation of barrier immune cells and contribute to the intestinal function by developing tolerance to food and microbial antigens in normal physiological state and controlling insults from pathogens and deleterious tissue inflammation during mucosal infections (1, 2). Studies conducted

to date have revealed that these T cells consist of two main subpopulations. One bears either CD4 or CD8 $\alpha\beta$ molecules and TCR $\alpha\beta$, mainly as thymus-dependent. The other bears CD8 $\alpha\alpha$ molecules and either TCR $\gamma\delta$ or TCR $\alpha\beta$, which is also present in the athymic mice. These thymus-independent IELs like CD8 $\alpha\alpha$ ⁺ T cells gather mainly in the intestinal mucosa and develop locally (3, 4). The local development tends to facilitate the production of TCR $\gamma\delta$ ⁺ T cells while it reduces the induction of TCR $\alpha\beta$ rearrangements or pre-T α chain expression. The pre-T α chain as an essential component of the pre-TCR is responsible for the predominance of TCR $\alpha\beta$ production by the thymus (5). Intestinal CD8 T-IELs have been proposed to develop locally from cryptopatch (CP) precursors, whereas the regulatory stages between CP and mature T-IELs remain unclear. There are striking differences in T cell differentiation process in the gut, when compared with T cell differentiation in the thymus, but far less is known about the molecules and signaling pathways that regulate the differentiation.

Fas-associated protein with death domain (FADD) is an adaptor protein critical for the death receptors (DRs) apoptotic signaling (6, 7). When extrinsic apoptosis is triggered, FADD interacts with death receptor (DR) like Fas, leading to the recruitment of procaspase-8 for its activation and then the consequent apoptosis (8–12). Besides being a main death adaptor molecule, FADD participates in other biological processes, such as embryogenesis (9), innate immunity (13), T cell activation and proliferation (14). FADD deficiency leads to inhibition of thymocyte development in a variety of transgenic mouse models including FADD^{-/-} chimeras mice (FADD^{-/-} → RAG-1^{-/-}) and T-cell-specific FADD knockout mice (lck-cre FADD) (8, 15–20). In transgenic mice expressing a dominant negative FADD mutant (FADD-DN) under control of the lck promoter, there is also a defect in the progression of thymocytes from CD25⁺CD44⁻ to CD25⁻CD44⁻ phenotype, which is associated with pre-TCR expression. Several studies on FADD-DN transgenic mice have demonstrated its suppression role on T-cell proliferation and supported an acknowledgement of the functional FADD signaling essential for normal T cell development and T cell activation (21–23). But all these studies only focused on TCR $\alpha\beta$ ⁺ T cells from thymus dependent, this is a meaningful question whether FADD also affects the development of TCR $\gamma\delta$ ⁺ T cell, such as IELs which are thymus-independent.

Here we identify a novel role for FADD in the intestinal immune system. In FADD-DN transgenic mice, a significant decreased subset of CD8⁺TCR $\gamma\delta$ ⁺ T cell is observed in intestinal IELs. We provide evidences that FADD-DN expression inhibits the development of CD8⁺TCR $\gamma\delta$ ⁺ T through impeding the IL-7R expression in their precursors. Loss of CD8⁺TCR $\gamma\delta$ ⁺ T means an impaired intestinal immunologic barrier, so FADD-DN mice develop more severe inflammation in DSS-induced colitis.

RESULTS

Lack of $\gamma\delta$ T Cells in FADD-DN Transgenic Mice

To test the role of FADD in the development of murine intestinal IELs, the transgenic mice expressing a dominant negative mutant

of FADD/MORT1 (FADD-DN) under control of the mouse lck proximal promoter were used in the study. FADD-DN lacks the death effector domain (DED) which is required for recruiting and activating caspase-8 during apoptosis (Figure 1A). A 16 kDa FADD-DN protein in the T-cell specific expression was detected by western blotting (Figure 1B).

Murine intestinal T-IELs are developed by thymic and extrathymic pathways. About half of intestinal T-IELs derives from peripheral lymphoid tissues to the intestine (TCR $\alpha\beta$ ⁺), and the other half differs from peripheral T cells, mostly expressing TCR $\gamma\delta$ ⁺ (24). These $\gamma\delta$ T-IELs mainly develop locally in the intestinal mucosa. Analysis of intestinal IELs in total number showed no obvious differences in matched wild type (WT) control and FADD-DN mice (Figure 1C). When the subsets of intestinal IELs were examined, TCR $\gamma\delta$ ⁺ cells were missing in FADD-DN mice (Figures 1D,E). Statistical analysis showed that there were significant differences in TCR $\gamma\delta$ ⁺ cells or CD8 α ⁺TCR $\gamma\delta$ ⁺ cells between WT and FADD-DN mice, while no significant changes in TCR $\alpha\beta$ ⁺ cells were observed. By immunofluorescence assays in histological sections of the small intestines, the decreased numbers of $\gamma\delta$ T-IELs were easier and more direct to be observed (Figure 1F).

A Selective Deficiency of CD8 $\alpha\alpha$ ⁺TCR $\gamma\delta$ ⁺ T Cells Caused by FADD-DN Expression

T-IELs consist mainly of two populations of CD8⁺ T cells. One bears CD8 $\alpha\beta$ ⁺TCR $\alpha\beta$ ⁺; the other bears homodimeric α/α CD8 chains with TCR $\gamma\delta$ ⁺ or TCR $\alpha\beta$ ⁺, and it is mainly thymus-independent (25). Two subgroups of CD8 $\alpha\alpha$ ⁺ and CD8 $\alpha\beta$ ⁺ were gated respectively for testing the proportional changes of TCR $\gamma\delta$ ⁺ or TCR $\alpha\beta$ ⁺. The percentage of CD8 $\alpha\alpha$ ⁺ IELs from FADD-DN mice was significantly reduced compared with WT mice, while no significant differences were shown in CD8 $\alpha\beta$ ⁺ IELs (Figure 2A). In CD8 $\alpha\alpha$ ⁺ subset, over 40% of CD8 $\alpha\alpha$ ⁺ T expressing TCR $\gamma\delta$ were observed in WT mice, but few $\gamma\delta$ T cells were observed in FADD-DN mice (Figure 2B). The population of $\alpha\beta$ T in CD8 $\alpha\beta$ ⁺ subset showed no obvious changes in both mice (Figure 2C). By comparison of statistic analysis, the effect of FADD-DN was mainly observed on the depletion of CD8 $\alpha\alpha$ ⁺ $\gamma\delta$ T-IELs (Figure 2D). The properties of the IELs exhibit age-related changes. The total number of IELs are gradually increased until 8 weeks old and the development of CD8 $\alpha\alpha$ ⁺ T cell subsets also tends to be stable at 8 weeks, both in the $\gamma\delta$ and $\alpha\beta$ lineages (26, 27). So we analyzed a group of FADD-DN and littermate control mice aged 3–8 weeks old and found that CD8 $\alpha\alpha$ ⁺TCR $\gamma\delta$ ⁺ cells always maintained low number along the time-span in FADD-DN mice, and a similar kinetic on CD8 $\alpha\alpha$ ⁺TCR $\alpha\beta$ ⁺ cells occurred in both mice (Figures 2E,F). These results suggest that FADD-DN expression inhibits the development of CD8 $\alpha\alpha$ ⁺ $\gamma\delta$ T cells.

The Effect of FADD-DN on T-IELs Development Is Thymo-Independent

Intestinal T-IELs may originate from both thymus and extrathymus (24). To distinguish the pathway for FADD-DN to regulate the development of $\gamma\delta$ T cells, we examined the percentage of CD4⁻CD8⁻ $\gamma\delta$ T cells in the thymus by FACS.

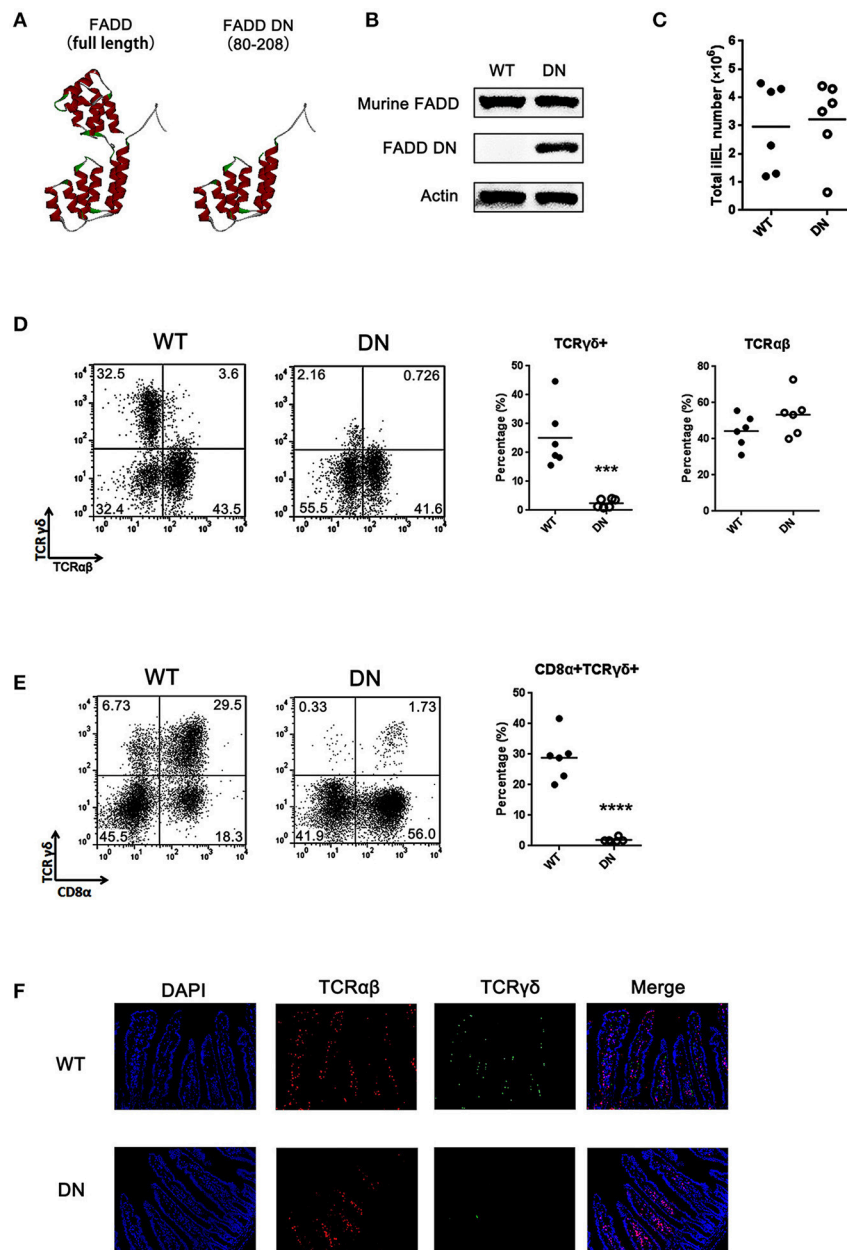


FIGURE 1 | Loss of $\gamma\delta$ T cells in FADD-DN transgenic mice. **(A)** The three-dimensional structures of FADD [Protein Data Bank (PDB); accession number: 2GF5] and FADD-DN (death domain of FADD). **(B)** Western blot analysis of FADD expression in mesenteric lymph nodes from wild type (WT) and FADD-DN mice. **(C)** Total IEL numbers of wild type and FADD-DN mice, calculated from six mice per group. Each dot represents one mouse experimental of each group. The solid horizontal lines indicate mean value of each group. **(D)** IELs from WT and FADD-DN mice were stained by Abs to TCR $\alpha\beta$ and TCR $\gamma\delta$. The percentage for $\gamma\delta$ IELs (TCR $\gamma\delta$ ⁺ TCR $\alpha\beta$ ⁻) and $\alpha\beta$ IELs (TCR $\gamma\delta$ ⁻ TCR $\alpha\beta$ ⁺) were displayed in the appropriate quadrant. Statistic analysis of $\gamma\delta$ IELs and $\alpha\beta$ IELs from indicated groups ($n = 6$ per group) on the right. Each dot represents one mouse of each experimental group. *** $P < 0.001$. **(E)** IELs from WT and FADD-DN mice were stained by Abs to CD8 α and TCR $\gamma\delta$ for FACS analysis. Statistic analysis of CD8 α ⁺ TCR $\gamma\delta$ ⁺ IELs from indicated groups ($n = 6$ per group) on the right. Each dot represents one mouse of each experimental group. **** $P < 0.0001$. **(F)** Immunofluorescent staining of $\gamma\delta$ and $\alpha\beta$ IELs in intestinal histological sections from WT and FADD-DN mice ($n > 3$ per group). Representative data from at least 3 mice per group is shown.

There were no significant differences in the thymic cellularity between FADD-DN mice and WT mice (**Figure 3A**). In the subsets of CD4⁻CD8⁻ T cells, the percentage of $\gamma\delta$ T cells from FADD-DN mice was also similar to WT mice (**Figure 3B**),

indicating that the development of $\gamma\delta$ T cells in the thymus was not defective in FADD-DN mice.

In euthymic mice, there are some competitions for local cytokines between thymic $\alpha\beta$ T cells and extrathymic T cell

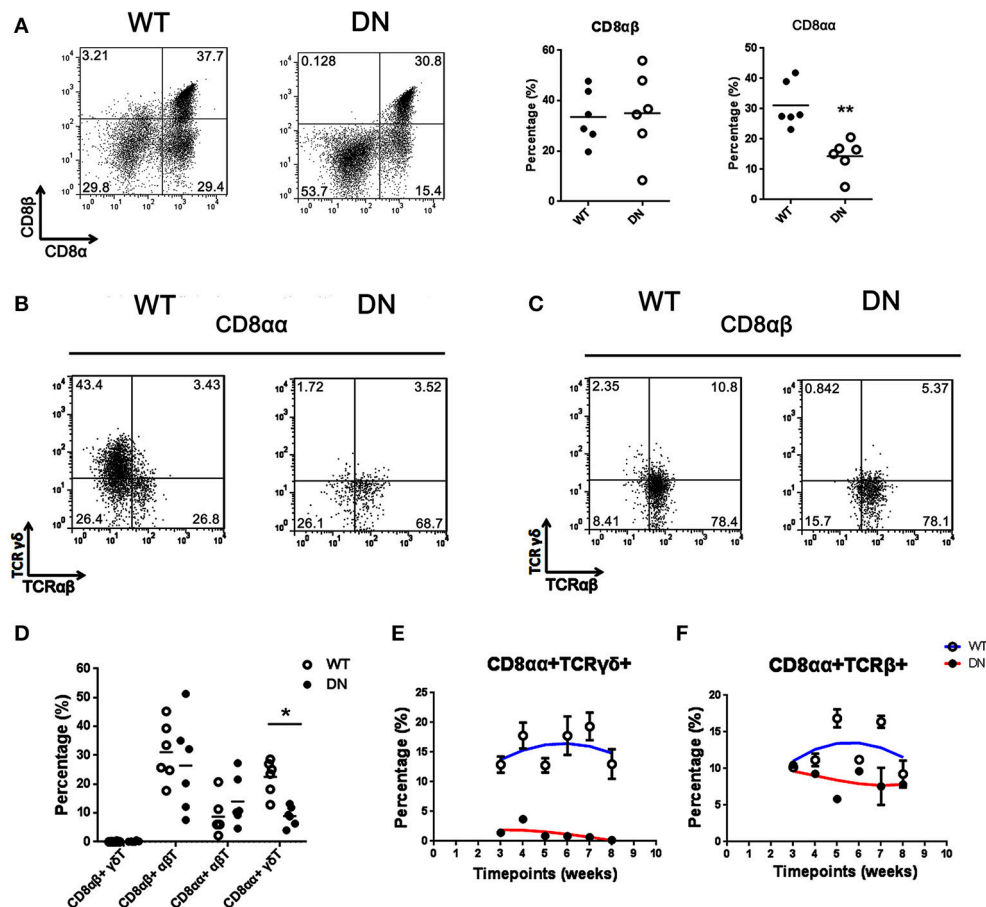


FIGURE 2 | Selective deficiency of $CD8\alpha\alpha^+\gamma\delta$ T cells in FADD-DN mice. **(A)** Representative FACS analysis of $CD8\alpha\alpha^+$ ($CD8\alpha^+CD8\beta^-$) and $CD8\alpha\beta^+$ ($CD8\alpha^+CD8\beta^+$) populations in the IELs from WT and FADD-DN mice. Statistical analysis of $CD8\alpha\alpha^+$ and $CD8\alpha\beta^+$ IELs from indicated groups ($n = 6$ per group) is on the right. Each dot represents one mouse of each experimental group. $**P < 0.01$. **(B)** $CD8\alpha\alpha^+$ cells gated from **(A)** were analyzed for the expression of TCR $\alpha\beta$ and TCR $\gamma\delta$, distinguished into two subsets: $CD8\alpha\alpha^+\alpha\beta^+$ ($CD8\alpha\alpha^+TCR\alpha\beta^+TCR\gamma\delta^-$) and $CD8\alpha\alpha^+\gamma\delta^+$ ($CD8\alpha\alpha^+TCR\alpha\beta^-TCR\gamma\delta^+$). **(C)** $CD8\alpha\beta^+$ cells gated from **(A)** were analyzed for the expression of TCR $\alpha\beta$ and TCR $\gamma\delta$, distinguished into two subsets: $CD8\alpha\beta^+\alpha\beta^+$ ($CD8\alpha\beta^+TCR\alpha\beta^+TCR\gamma\delta^-$) and $CD8\alpha\beta^+\gamma\delta^+$ ($CD8\alpha\beta^+TCR\alpha\beta^-TCR\gamma\delta^+$). **(D)** Statistic analysis of the percentages of indicated subsets among total IELs from WT and FADD-DN mice ($n = 6$ per group). Each dot represents one mouse of each experimental group. $*P < 0.05$. **(E)** Time-dependent changes of the percentage of $CD8\alpha\alpha^+TCR\gamma\delta^+$ subset in the IELs from WT and FADD-DN mice analyzed by FACS. Error bars reflect S.E.M. ($n = 3$ per group). **(F)** Time-dependent changes of the percentage of $CD8\alpha\alpha^+TCR\beta^+$ subset in the IELs from WT and FADD-DN mice analyzed by FACS. Error bars reflect S.E.M. ($n = 3$ per group). Data was calculated from three mice per group.

progenitors, so the extrathymic development of T-IELs is severely repressed (28). In the athymic mice, the extrathymic lymphopoiesis emerges with a priority toward $\gamma\delta$ T cells, which localizes mainly in the intestinal mucosa leading to the accumulation of T-IELs (29, 30). To confirm the effect of FADD-DN on the local development of intestinal IELs, the thymectomy was performed on both WT and FADD-DN mice. After 4 weeks, analysis of total intestinal IEL numbers in thymectomized mice showed a marked reduction (about 6-fold) in FADD-DN mice (Figure 3C), suggesting that there was a defect on IEL development. By comparison of the proportion of $CD8\alpha^+TCR\gamma\delta^+$ T cells before or after thymectomy, more serious reductions of $CD8\alpha^+TCR\gamma\delta^+$ T were observed in FADD-DN mice with thymectomy (Figures 3D,E). Thus, it is reasonable to speculate that the local $\gamma\delta$ T-IELs are deficient in FADD-DN

mice, and the few intestinal $\gamma\delta$ T-IELs before thymectomy seem to mainly derive from thymus originally. Taken together, the deficiency of $\gamma\delta$ T-IELs caused by FADD-DN might be a sign of stunting local development.

The Development of IELs Is Arrested at Stage of Lin^- LPLs in FADD-DN Mice

Murine intestinal IELs originate from their own pre-existing stem cells present in the intestine (i.e., cryptopatches) (4, 31, 32) and appendix (33). Intestinal T cell precursors have specific phenotype: lineage markers negative (Lin^-) $Thy^+c\text{-kit}^+IL\text{-}7R^+CD44^+CD25^+$ (4). To determine at which point the differentiation of IELs was plagued by FADD-DN, we examined the Lin^- cells prepared from intestinal IELs and lamina propria lymphocytes (LPLs). A mixture of mAbs was prepared to exclude

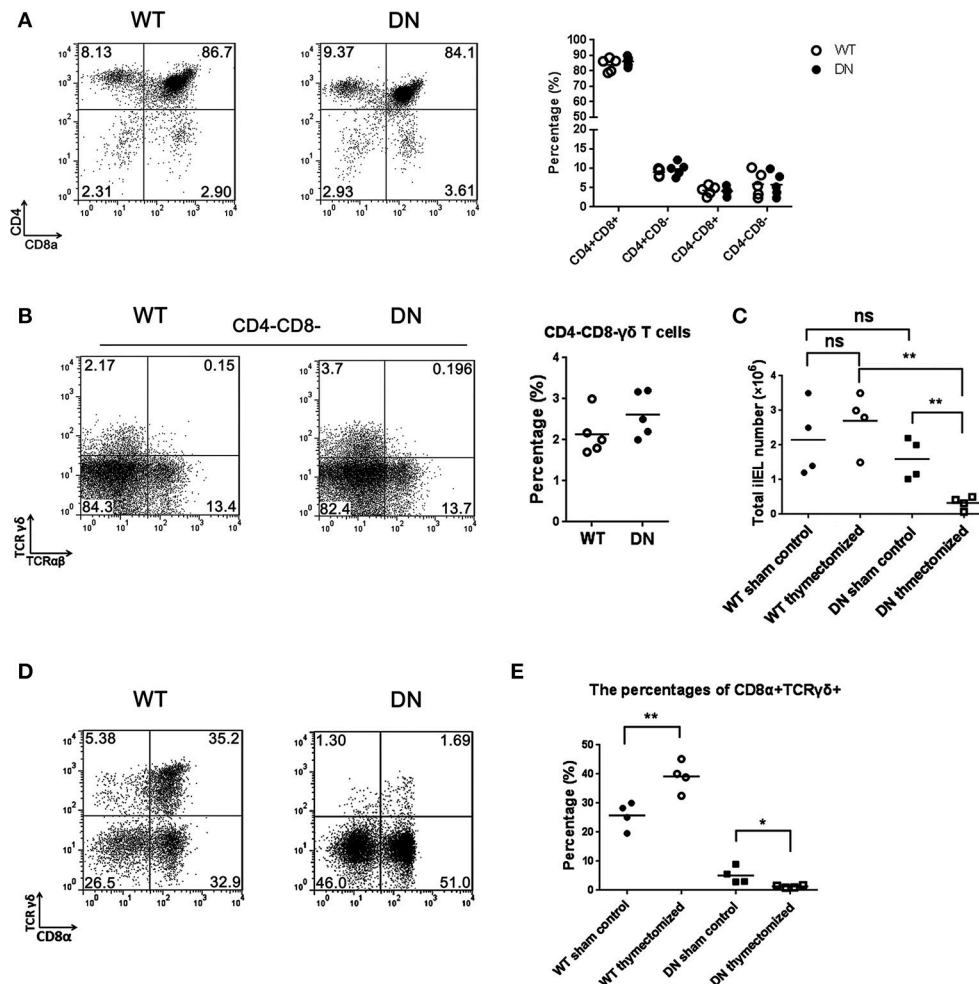


FIGURE 3 | The effect of FADD-DN on intestinal IELs development. **(A)** Representative FACS analysis of thymus from wild type and FADD-DN mice ($n = 5$ per group). Thymocytes were stained by Abs to CD4 and CD8. Statistical analysis of the subsets of CD4⁺CD8⁺, CD4⁺CD8⁻, CD4⁻CD8⁺, and CD4⁻CD8⁻ thymocytes from indicated groups is shown on the right. Each dot represents one mouse of each experimental group. **(B)** CD4⁻CD8⁻ T cells of thymus were gated from **(A)** and then analyzed for the markers of TCRγδ and TCRαβ. Representative FACS analysis on the left and statistical analysis of percentages of CD4⁻CD8⁻ γδ T cells (CD4⁻CD8⁻ TCRαβ⁻TCRγδ⁺) on the right ($n = 5$ per group). Each dot represents one mouse of each experimental group. **(C)** Total IELs numbers of WT and FADD-DN mice before or after thymectomy. The changes of total IEL numbers between control and thymectomized mice are shown in the statistical analysis ($n = 4$ per group). Each dot represents one mouse of each experimental group. **(D)** IELs from WT and FADD-DN mice were obtained 4 weeks after thymectomy. Flow cytometry was performed to analyze the percentage of CD8α⁺TCRγδ⁺ populations stained by Abs to CD8α and TCRγδ. **(E)** The percentages of CD8α⁺TCRγδ⁺ subset among total IELs from indicated group ($n = 4$ per group). Each dot represents one mouse of each experimental group. * $P < 0.05$; ** $P < 0.01$.

mature cell types and isolate Lin⁻ cells (see section Materials and Methods).

By FACS analysis, there was about 25% Lin⁻ IELs in FADD-DN mice and about 12.5% in WT mice, and a significant difference between two groups (**Figure 4A**). Gating on Lin⁻ IELs for further analysis of CD8α expression, the percentage of Lin⁻CD8⁺ IELs was dramatically decreased in FADD-DN mice (**Figure 4B**), suggesting that the differentiation of intestinal T-IELs might be blocked in Lin⁻CD8⁻ stage. For a better understanding of intestinal T-IEL maturation in earlier events, we examined Lin⁻ LPLs which have been confirmed equal to precursors Lin⁻ CP. A massive accumulation of Lin⁻ LPLs was observed in FADD-DN mice, which is a clear

hint on the attested stage of intestinal T-IEL development (**Figure 4C**). Our previous research found that FADD deficiency inhibits thymocyte development at the β-selection checkpoint by modulating Notch1 signaling (8). Since Notch1 is essential for T differentiation and specifying the cell fate, the expression of Notch1 in progenitor Lin⁻ LPLs was detected. Notably, there were two different groups of Notch1 expression: high expression as Notch1^{high} and low expression as Notch1^{low} in Lin⁻ LPLs from WT mice, but in FADD-DN mice, both groups of Notch1^{high} and Notch1^{low} were totally different from WT mice (**Figure 4D**). The proportion of Notch1^{high} Lin⁻ LPLs was a noticeable decrease in FADD-DN mice. To reconfirmed the effect of FADD-DN on Notch1 expression, FADD-DN expression

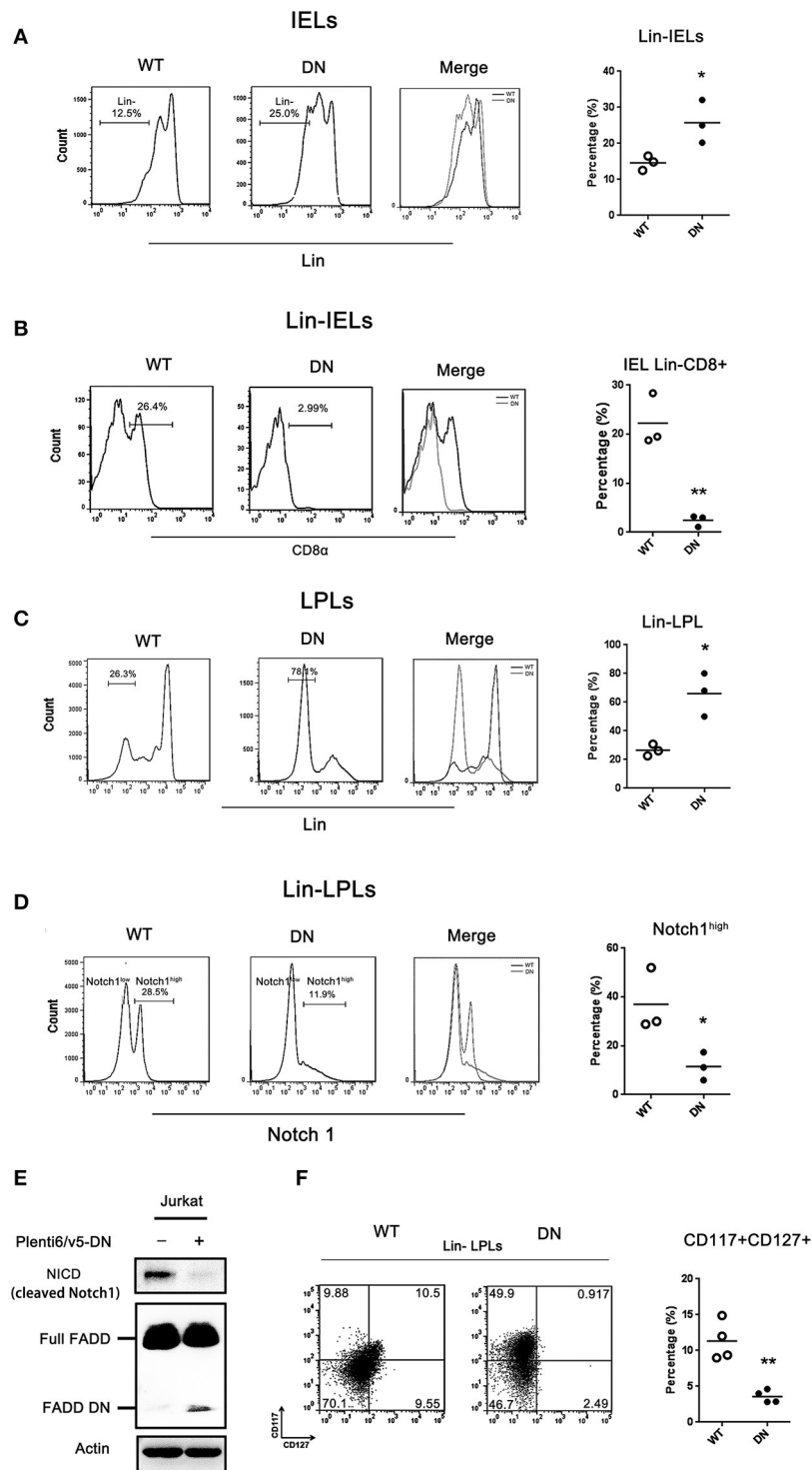


FIGURE 4 | The development of IELs arrested by FADD-DN in Lin⁺ LPLs with IL-7R deficiency. **(A)** IELs from WT and FADD-DN mice were labeled with a mixture of mAbs recognizing mature hematopoietic cells to gate Lin⁺ populations as described in methods. FACS plots show the gating strategy for the population of Lin⁺ IELs. Statistic analysis of Lin⁺ IELs from indicated groups ($n = 3$ per group) is shown on the right. Each dot represents one mouse of each experimental group. $*P < 0.05$. **(B)** The Lin⁺ IELs gated from **(A)** were labeled with antibody to CD8 α . Histograms show the expression level of CD8 α in Lin⁺ IELs from indicated mice. Statistic analysis of CD8 α ⁺ subset in Lin⁺ IEL from indicated groups ($n = 3$ per group) is shown on the right. Each dot represents one mouse of each experimental group. $**P < 0.01$. **(C)** LPLs from WT and FADD-DN mice were stained with antibodies against lineage markers (Lin). FACS plots show the gating strategy for Lin⁺ LPL populations. Statistic analysis for Lin⁺ LPLs on the right ($n = 3$ per group). Each dot represents one mouse of each experimental group. $*P < 0.05$. **(D)** The Lin⁺ LPLs

(Continued)

FIGURE 4 | gated from (C) were labeled with antibody to Notch1. Histograms show two levels of Notch1 expression in Lin⁺ LPLs indicated as Notch1^{high} and Notch1^{low}. Statistical analysis for Notch1^{high} Lin⁺ LPLs on the right ($n = 3$ per group). Each dot represents one mouse of each experimental group. * $P < 0.05$. (E) Jurkat T cell were transiently transfected with FADD-DN expression vector. The level of NICD (cleaved Notch1) was detected by western blot. (F) The Lin⁺ LPLs gated from (C) were labeled with anti-CD117 and anti-CD127 Abs. Note: CD117 as c-kit and CD127 as IL-7R. Statistical analysis of the percentages of CD127⁺ LPLs on the right ($n = 4$ per group). Each dot represents one mouse of each experimental group. ** $P < 0.01$.

vector was transfected into Jurkat T cells. Consistent with *in vivo* study, overexpression of FADD-DN in Jurkat T cells also led to a significant decrease in Notch1 expression (Figure 4E).

IL-7R is one of Notch1-target genes and Notch1 controls T cell development in part by regulating the stage- and lineage-specific expression of IL-7R (34, 35). C-Kit is a tyrosine kinase receptor and extrathymus-derived IELs normally in older mice are c-Kit-dependent (4, 36). These two crucial markers: CD117 (c-kit) and CD127 (IL-7R) can be used to distinguish a lineage of T cells with unique developmental attributes. By comparisons of the subsets in Lin⁺ LPLs marked with CD117 and CD127, there was a distinct difference in CD127⁺ (IL-7R expression) between WT mice and FADD-DN mice (Figure 4F). In FADD-DN mice, Lin⁺ LPL shared little expression of surface antigen IL-7R, just in line with the low expression of Notch1. The enterocyte-produced IL-7 plays a differentiation inducing role in the development of intestinal IELs (37, 38). In order to fully develop, the thymic-independent TCR $\gamma\delta$ ⁺ IELs in an immature state must interact with their appropriate ligands *in situ*, so lack of IL-7R in Lin⁺ LPL cells from FADD-DN mice might provide a novel role for FADD in early intestinal T-IEL development.

The Role of FADD-DN in Intestinal Immunoregulation

As the first-line defense against infectious agents, $\gamma\delta$ T-IELs are involved in intestinal immunoregulation (39). Depletion or deficiency in $\gamma\delta$ T-IELs aggravates intestinal inflammation in almost every investigated model, especially DSS-induced colitis (40). Our finding about loss of $\gamma\delta$ T-IELs in FADD-DN mice draws substantial interest in its pathological responses. DSS-induced colitis model was established in both WT and FADD-DN mice. Severe illness, which was characterized by diarrhea, intestinal bleeding, body weight loss, and shortened colon length, was observed at 4 days after DSS administration. Compared with WT group, FADD-DN mice exhibited severe colitis with a distinct reduction in colon length (Figures 5A,B). From day 3 to day 6 of DSS-induced colitis, a significant loss of body weight was observed in FADD-DN mice compared to WT mice (Figure 5C), and the disease activity index (DAI) of FADD-DN mice also increased more dramatically (Figure 5D). The pathological section with H&E staining showed more severe pathological changes in DSS-treated FADD-DN mice, including loss of goblet cells, distortion of crypts, mucosal damage and necrosis (Figure 5E). Correspondingly, histological score of colon sections was much higher in FADD-DN mice (Figure 5F). Consistent with these phenotypes, higher levels of TNF- α , IFN- γ , and IL-6 were detected in the serum of FADD-DN mice, while no significant differences in IL-12p40 and IL-1 β (Figure 5G). It is worth mentioning that a higher

level of several cytokines (TNF- α , IL-12p40, and IL-1 β) was detected in sham group of FADD-DN mice, suggesting FADD-DN in the T-cell specific expression might affect basic immune response.

DISCUSSION

To date, a plethora of reports has clearly identified FADD as an essential adaptor between death receptors and caspase-8 (41, 42). Non-apoptotic functions of FADD have been implicated in T lymphocyte development and activation, mostly in thymic $\alpha\beta$ T cells. To clarify the role of FADD in the development of $\gamma\delta$ T cells, FADD-DN mice provide a useful tool to dissect T cell development in the mucosa for thymic and extrathymic pathways. Based on our findings, FADD plays an important role in intestinal IEL development, especially in $\gamma\delta$ T-IELs which are thought to develop locally and largely via an extrathymic pathway.

T-IELs play a critical role in regulating intestinal mucosal immune responses. $\gamma\delta$ T-IELs are a crucial protective T cell subset against colitis (43). Loss of intestinal $\gamma\delta$ T-IELs in FADD-DN mice is a main phenotype. The decreased CD8 α ⁺TCR $\gamma\delta$ ⁺ T cells will take responsibility for the reduced homeostasis in intestinal mucosal immune system, so FADD-DN mice show more severe inflammation in DSS-induced colitis model (Figure 5). To track the differentiation of intestinal CD8 α ⁺TCR $\gamma\delta$ ⁺ T cells, we prepared intestinal T cell precursors referring to Lambolt's paper published on J. Exp. Med. (4). The Lin⁺ LPLs (or namely Lin⁺ WL) isolated by the reporting method has been fully demonstrated to be cryptopatch (CP) precursors. These Lin⁺ LPLs have specific phenotype: (Lin⁺) Thy⁺c-kit⁺IL-7R⁺CD44⁺CD25⁺. Analysis of Lin⁺ IELs and Lin⁺ LPLs revealed an arrest for CD8 α ⁺TCR $\gamma\delta$ ⁺ T development at stage of IL-7R⁺c-kit⁺Lin⁺ LPLs in FADD-DN mice. The IL-7R is expressed on lymphoid T and B precursors, and innate lymphoid cells. Its ligand IL-7 is integral to T and B cell development in primary lymphoid organs, so IL-7/IL-7R plays an essential role in supporting T cell development and homeostasis. The loss of IL-7R expression in intestinal precursors might be responsible for the defect on intestinal T-IEL development in FADD-DN mice, because the local development of IELs is IL-7-dependent. The possible molecular mechanism involved in the deficiency of IL-7R expression in Lin⁺ LPLs is the decreased Notch1 expression induced by FADD-DN overexpression (Figure 4).

We have reported previously that FADD regulates thymocyte development at the β -selection checkpoint by modulating Notch1 signaling (8). Notch1 mRNA increases from early thymocyte progenitor to the DN3a stage and markedly decreases at the DN3b stage, commensurate with pre-TCR signaling.

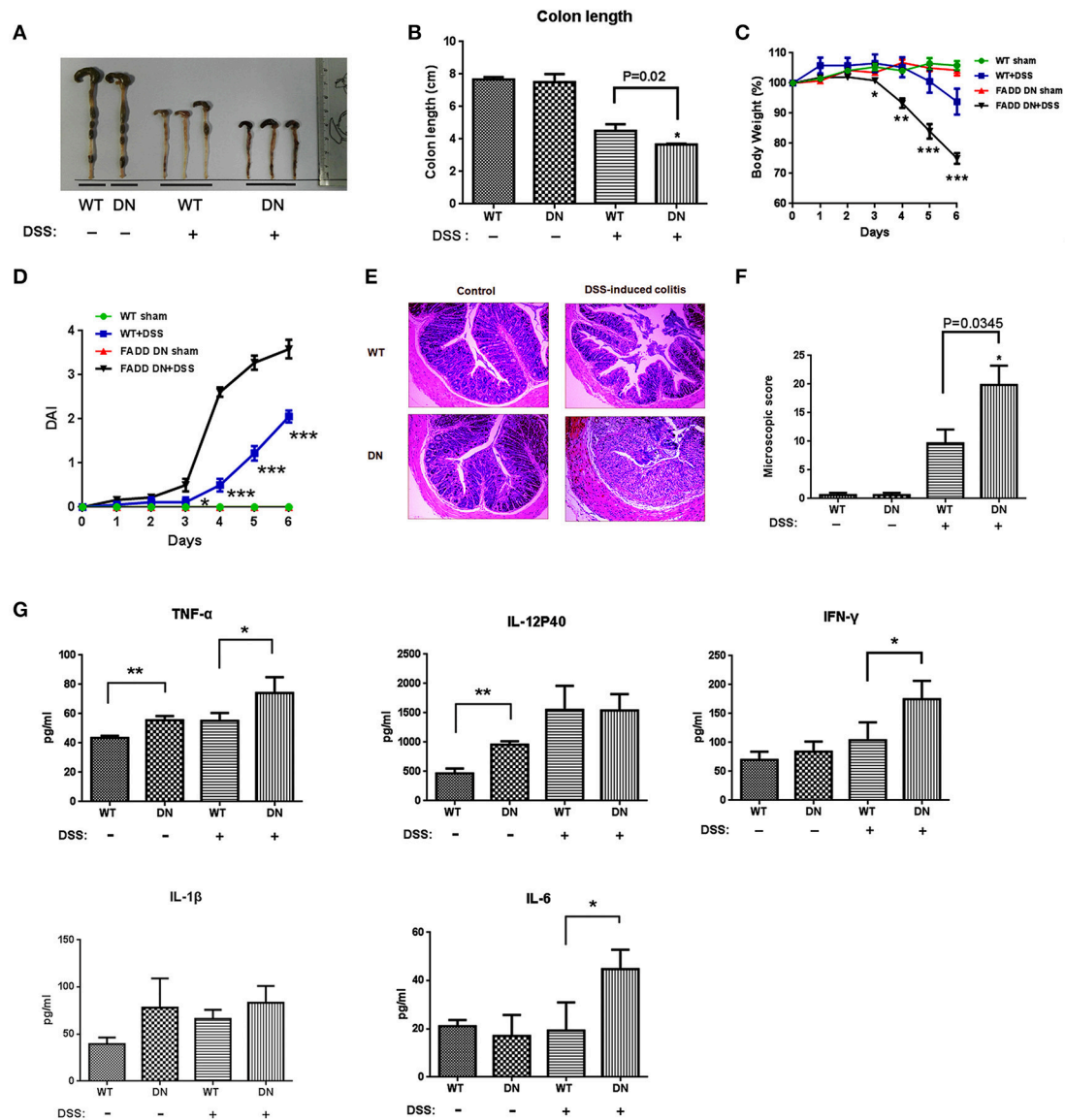


FIGURE 5 | More severe DSS-induced colitis in FADD-DN mice. Mice ($n = 6$ per group) were given 3% DSS in their drinking water for 5 days and then provided with water for 1 day before being sacrificed. Macroscopic appearances (A) and colon lengths (B) were measured in indicated groups. (C) Body weights of mice in each group ($n = 6$ per group) were recorded daily. The changes of body weights induced by DSS-induced colitis were shown in the percentage of the original body weight. (D) Disease activity index (DAI) was calculated as described in the material and methods section. (E) Colon sections of indicated groups with H&E staining. The original amplification was 100 \times . (F) Histological scores of colon sections were calculated as described in the Materials and methods. (G) Serum levels of cytokines in different groups detected by ELISA. Data shown here are from a representative experiment repeated three times with similar results. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$ vs. DSS-treated vehicle group.

Differential expression of Notch1 represents a distinct lineage of T cells with unique developmental and functional attributes (44). Consistent with the reports, we also have detected two states of Notch1 expression in intestinal T-IEL precursors, but the regulation for Notch1 expression is suppressed by FADD-DN expression (Figure 4). These results suggest that FADD is a part of signals for promoting intestinal T-IEL development through its DD domain. Of course, the signal that is inhibited by FADD-DN may or may not come from a DD-receptor signaling. It is possible

that there are other binding molecules with FADD yet to be discovered. NKAP known as a Notch1 transcriptional repressor was previously identified by us to associate with FADD, implying a potential role of FADD in maintaining the stabilization of NKAP to inhibit Notch1 on transcriptional level. Besides being cytoplasmic, the FADD protein is also localized in the nucleus of many types of cells and nuclear FADD is probably implicated in a functional transcription factor complex to modulate Notch1 expression (8). The study of FADD function on intestine IEL

development by Notch1 still needs further investigation, which will give a comprehensive understanding of FADD function in the field of mucosal immunology.

In summary, the present study demonstrates a novel function of FADD in the development of intestinal T-IELs, especially on CD8 α ⁺TCR γ δ ⁺ population. Several important immune functions have been reported for intestinal γ δ T cells, including the first-line defense against pathogens and an immuno-down regulatory role during infection (45, 46). γ δ T cell-deficient mice spontaneously develop colitis at 8 weeks of age (46). Decreased numbers of γ δ T cells are observed in areas of Crohn's colitis (47) and depletion of γ δ T cells aggravates TNBS colitis resulting in significant mortality (40, 48). Further investigation of FADD on mucosal immunology seems meaningful since the influence on CD8 α ⁺TCR γ δ ⁺ subset mediated by FADD might be involved in the initiation and/or perpetuation of colitis.

MATERIALS AND METHODS

Cell Culture

Jurkat T cells (ATCC, Shanghai, China) were maintained in RPMI 1640 (Gibco-BRL, Basel, Switzerland) containing antibiotics and 10% FCS (Gibco-BRL). Human embryonic kidney (HEK)-293 T adherent cells (ATCC, Shanghai, China) were cultured in DMEM (Gibco-BRL) supplemented with antibiotics and 10% FCS (Gibco-BRL).

Mice

FADD DN mice were provided by the laboratory of Aster Winoto (University of California, Berkeley), which are generated and maintained on C57BL/6J background. Mice C57BL/6J were purchased from Beijing Animal Centre, and maintained in pathogen-free conditions. All animal experiments were approved by Nanjing University Animal Care and Use Committee, and we strictly followed the recommendation of the guidelines of the Animal Care Committee of Nanjing University.

Isolation of Intraepithelial Lymphocytes

Isolation of intestinal Intraepithelial lymphocytes (IELs) was performed as described previously (2). After washing the small intestine to remove fecal content, Peyer's patches and fat tissue were extirpated. The small intestine was opened longitudinally, cut into small pieces, and washed with ice-cold Ca²⁺- and Mg²⁺-free CMF-HBSS buffer containing 1 mM dithiothreitol (Sigma) three times. The intestines were then incubated with CMF-HBSS supplemented with penicillin, streptomycin, and 10% FCS and shaken at 220 rpm, 37°C. After filtrating the supernatants through a nylon mesh, IELs were collected by a 44–67% Percoll density gradient (Solarbio, Beijing, China) layered between the 44–67%. After washed by PBS, cells were counted and used for the following assays.

Isolation of Lamina Propria Lymphocytes (LPL)

After IELs isolation, tissues were digested in RPMI1640 supplemented with 200 U/ml collagenase type XI (Sigma, USA), 0.1 mg/ml DNase I (invitrogen), and 10% FCS at 220 rpm, 37°C

for 60 min. Lamina propria lymphocytes (LPLs) were released and then isolated with 44–67% Percoll fractionation as described above.

Dextran Sodium Sulfate (DSS)-Induced Colitis

FADD DN or wild-type mice were fed with water charged with 3.0 % (W/V) DSS (dextran sulfate sodium salt, 36–50 kDa, 0216011080, MP Biomedicals) for 5 days and then with normal drinking water for 1 day till sacrificed. Mice given normal water served as control. Body weight of mice was recorded daily. Mice were sacrificed 6 days after DSS exposure. The length of the colons for each group were measured. After washing by PBS, colons tissue were cut and fixed in 4% (v/v) formaldehyde for histological analysis.

Evaluation of Disease Activity

During the experiment, body weight of mice for each group was recorded daily and feces were collected. Disease activity index(DAI) was determined by loss of weight, stool consistency, and fecal blood. The calculation of DAI was performed as previously (49).

Histological Analysis

The colons were fixed in formaldehyde, embedded in paraffin and cut into serial sections of 3- μ m-thick for histological analysis. Stained with haematoxylin and eosin (H&E), tissues were analyzed by histological grading according to the criteria described previously (50).

Immunofluorescence Assay

The deparaffinized colon tissues underwent antigen retrieval and were blocked with 10% goat serum. Sections were incubated with anti-mouse TCR α β and TCR γ δ primary antibodies (1:50, Cell Signaling Technology (CST), Danvers, MA, USA) overnight at 4°C. After washing with PBS three times for 5 min, sections were incubated with appropriate secondary antibodies (1:1000; invitrogen) at room temperature for 30 min. Following washed with PBS three times for 5 min, slides were counterstained with DAPI (invitrogen). All images of the slides were visualized and captured by fluorescence microscope (Zeiss AX10, Carl Zeiss AG, Germany).

Construction and Transfection of FADD-DN Lentiviral Vector

The sequence of FADD-DN was cloned into a plenti6/v5-D-Topo expression vector (Invitrogen) using restriction enzyme BamHI and XhoI. Primers for amplifying the DNA sequence of FADD-DN: forward: 5'-CGGGATCCATGGACGACTTTCGA-3' and reverse: 5'-CCCTCGAGTCAGGACGCTTCGGAGGT-3'. Briefly, HEK-293T cells were co-transfected with FADD-DN expression plasmid and lentiviral envelope plasmids (PL3, PL4, and PL5). The viruses were harvested by ultra-centrifugation on day 3 after transfection.

Jurkat T cells was replaced with transduction medium. 8 μ g/ml polybrene (Santa Cruz Biotechnology) was added followed by lentiviral transduction. Virus was removed 24 h after

transduction and 10 µg/ml blasticidin was added for another 48 h. The cells were cultured for 72 h to examine the expression of FADD-DN by western blot.

Western Blotting Assay

Samples of protein lysates were separated by 10% SDS-PAGE and electro-transferred to PVDF membrane. After blocking with 5% non-fat milk for 2 h at room temperature, membranes were incubated with antibodies against mouse FADD (ab124812; Abcam, Cambridge, UK), human FADD C-terminus (610399; BD Pharmingen, Franklin Lake, NJ, USA), β -actin (AM1021B; Abgent, San Diego, CA, USA), and cleaved Notch1 (4147s; Cell Signaling Technology, Danvers, MA, USA) followed by suitable secondary antibody conjugated with horseradish peroxidase (Jackson Immunoresearch Laboratories, West Grove, PA, USA). Reactive bands were detected with enhanced chemiluminescence solution (Tanon, Shanghai, China) and visualized with an imaging program (Tanon, Shanghai, China).

Cytokine Measurement

Cytokines in serum samples including TNF- α , IL-6, IL-17, IL-1 β , IL-12 were measured using ELISA kits (R&D Systems, US) according to the manufacturer's instructions.

Flow Cytometry Analysis

The IELs were stained with antibodies against lineage markers (Lin) as follows: anti-CD3 (145-2C11), anti-CD19 (1D3), anti-TCR $\alpha\beta$ (H57-597), anti-TCR $\gamma\delta$ (GL3), anti-erythroid cells (TER-119), anti-GR1 (8C5), anti-CD11b (M170), anti-IgM (LL41), and anti-CD8b (eBioH35-17.2). All the antibodies for FACS were purchased from BD Pharmingen (San Diego, CA). CD8 $\alpha\alpha$ cells were gated as CD8 α^+ CD8 β^- . Fluorescence was measured with a flow cytometer (FACS Calibur; BD Biosciences) equipped with Cell Quest software (BD Biosciences, Canada).

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Thymectomy

Thymectomy was performed on 8-week-old mice as described before (32). A ventilator (V-100; Yuyan instruments, Shanghai, China) was used to keep mice breathing during the operation. Completeness of thymectomy was confirmed by visual inspection, both directly after removal of the organ and at the end of the experiment. Only fully thymectomized animals were included in this study.

Statistical Analysis

The experimental data were presented as mean \pm SEM. The statistical significance of the differences between groups was evaluated by One-way ANOVA and Student's *t*-test ($P < 0.05$).

AUTHOR CONTRIBUTIONS

XZ and LH conceptualized the study, designed and carried out the experiments. XZ wrote the manuscript. LS, ZhH, and XW participated and analyzed the experiments. YH, YW and PX provided many materials and discussed the results. JZ and ZiH revised the manuscript and provided important advices. XZ and LH contributed equally to this work.

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Epithelial MHC Class II Expression and Its Role in Antigen Presentation in the Gastrointestinal and Respiratory Tracts

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As the primary barrier between an organism and its environment, epithelial cells are well-positioned to regulate tolerance while preserving immunity against pathogens. Class II major histocompatibility complex molecules (MHC class II) are highly expressed on the surface of epithelial cells (ECs) in both the lung and intestine, although the functional consequences of this expression are not fully understood. Here, we summarize current information regarding the interactions that regulate the expression of EC MHC class II in health and disease. We then evaluate the potential role of EC as non-professional antigen presenting cells. Finally, we explore future areas of study and the potential contribution of epithelial surfaces to gut-lung crosstalk.

Keywords: epithelial cells, MHC class II, antigen presentation, intestine, respiratory

INTRODUCTION

The epithelium serves as both a physical and chemical barrier as well as an absorptive surface. Our understanding of the function of the aerodigestive epithelium has gradually evolved from its role as a static barrier to a dynamic structure regulating multiple processes. In fact, ECs may have primary immune functions that affect the balance between tolerance and inflammation, as evidenced by expression of MHC class II on the EC surface, an area of exploration several decades ago. Recent evidence suggests there may also be communication with the lung from the gut directed by its microbiome. Because host-microbial interactions first occur at the epithelial surface, re-visiting the role of ECs in antigen processing and presentation is timely. This review aims to synthesize current findings on MHC class II expression in the gut and the lung, explore the role of ECs as non-professional antigen presenting cells (APCs) and discuss how this area may be further investigated as a target for potential diagnostic or therapeutic interventions.

Structure and Function of the Aerodigestive Epithelium

Though the digestive and respiratory systems are thought of as two entirely distinct anatomic cavities, they have a shared developmental origin from the primitive gut (1, 2). The digestive tube initially extends through the length of the body from which the respiratory tube outpouches, sharing a common embryonic chamber called the pharynx. The linings of these primitive tubes are comprised of embryonic endoderm. The digestive tube eventually differentiates into the components of the gastrointestinal tract including esophagus, stomach, small intestine and colon, due to the interaction of endodermal epithelium with regionally specific mesodermal mesenchyme. The respiratory tube bifurcates into two lungs, with laryngotracheal endoderm becoming the epithelial lining of the trachea, bronchi and lung parenchyma, similarly directed by the regional mesenchyme (3).

By birth, the terminal portion of the digestive tract has matured into the small intestine and large intestine. The small intestine is ~5 m in length and composed of three separate segments—duodenum, jejunum, and ileum—that are exposed to dietary antigens and crucial for oral tolerance. Small intestinal epithelium is composed of finger-like projections called villi and invaginations called crypts of Lieberkühn. The large intestine, or colon, comprises the distal 1.5 m of the gastrointestinal tract and histologically lacks villi (4).

The intestinal mucosa is composed of simple columnar epithelium that comprises a surface area of 200–300 m² (1). This immense structure facilitates the absorption of nutrients in the small intestine and water in the large intestine, while also acting as a barrier and modulator of immunity (5). Intestinal epithelial cells (IECs) include multiple different specialized cells including enterocytes, goblet cells, Paneth cells, enteroendocrine cells, M cells and tuft cells, all of which have discrete functions (Figure 1). Enterocytes are the most abundant cell type in the gut epithelium and function to transcytose antimicrobial proteins and IgA as well as absorb nutrients (6). Goblet cells secrete mucus, resistin-like molecule β , which modifies T cell-mediated immunity, and trefoil factor, which promotes epithelial healing after injury; these cells have also been shown to participate in antigen delivery to dendritic cells (DCs) of the submucosa through specialized antigen passages (7, 8). Paneth cells in the small intestine secrete microbicidal proteins including α -defensins, C-type lectins, lysozyme and phospholipase A₂; they also sustain

stem cells in the crypts of Lieberkühn to promote epithelial regeneration (6). Enteroendocrine cells secrete neurohormones including gastric inhibitory peptide, glucagon-like peptide, and vasoactive intestinal peptide in response to nutrients in order to regulate motility and digestion (6). M cells (microfold cells), a specialized EC subset derived from enterocytes, transcytose antigens to the underlying gut-associated lymphoid tissue (GALT), the complement of lymphocytes that is composed of intraepithelial and lamina propria lymphocytes (IELs, LPLs) (9–11). Because of their role in antigen uptake, there has been interest in whether M cells present antigens using the MHC class II pathway to facilitate adaptive immunity, though existing evidence is conflicting (12–16). Tuft cells, a rare EC with a distinctive tufted morphology, express chemosensory receptors and may have roles in type 2 immunity and mucosal immunity, but remain poorly understood (17, 18).

IECs have a known role in innate immune responses via expression of a variety of pattern recognition receptors (PRRs). PRRs trigger intracellular pathways that lead to cytokine and chemokine release. PRRs important in the gut include Toll-like receptors 1–9 (TLRs) and nucleotide-binding oligomerization domain-containing proteins (NODs) that recognize pathogen-associated molecular patterns (PAMPs) derived from microbial components (19–21). Interestingly, apical TLRs on the luminal side of the gut (TLR 1 and 2) appear to be hyporesponsive to PAMPs *in vitro*, while the other PRRs expressed in IECs are either endosomal (TLR3–4, 7–9), cytoplasmic (NOD1 and NOD2) or

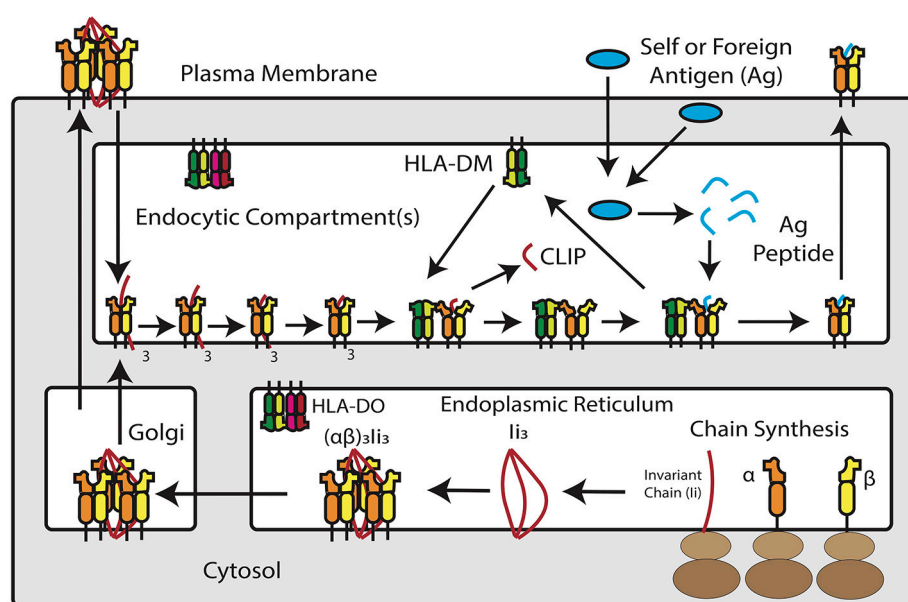


FIGURE 1 | Overview of MHC Class II Antigen Presentation Pathway. Newly synthesized MHC class II α and β chains assemble into heterodimers in the endoplasmic reticulum, where they are bound by trimers of invariant chain. MHC class II and invariant chain form nonamers—or, according to recent studies, pentamers and heptamers—that traffic into an acidic endosomal compartment. Within this compartment, invariant chain is degraded down to class II invariant chain-associated peptide (CLIP), which occupies the peptide binding groove of the MHC class II molecule. HLA-DM, a non-classical MHC protein, catalyzes the removal of CLIP in exchange for high-affinity peptide binders derived from extracellular or cytosolic antigens. In a subset of antigen presenting cells, HLA-DM is blocked by HLA-DO, which competitively binds to HLA-DM and prevents it from interacting with MHC class II. Once loaded with peptide, MHC class II molecules traffic to the plasma membrane for inspection by CD4⁺ T cells.

only on the submucosal basolateral membrane (TLR4 and 5), supporting a role in tolerance to the gut microbiome (21–23).

The pseudostratified epithelium of the airway is ~100 m² in surface area and composed of a variety of airway epithelial cells (AECs), many of which are specialized to the lung but homologous to the gut. The bronchial epithelium is composed of basal cells, columnar ciliated epithelial cells, mucous goblet cells, brush or tuft cells and Clara cells (24, 25). The alveolar epithelium, meanwhile, is composed mostly of Type I and Type II pneumocytes (24, 26). Neuroendocrine cells, similar to the gut, promote the vasomotor function of the airways (27). Basal cells, similar to Paneth cells, are important for epithelial regeneration and produce bioactive molecules including endopeptidase, 15-lipoxygenase products and cytokines (28, 29). Goblet cells, like those of the gut, secrete mucus in order to trap foreign particles and pathogens (24, 30). Columnar ciliated cells account for the majority of AECs in the bronchial lumen and are responsible mainly for mucus clearance (31). Clara cells produce surfactants and antiproteases including secretory leukocyte protease inhibitor and p450 mono-oxygenases (32). Type I pneumocytes are mainly responsible for gas exchange and make up the majority of the alveolar surface, though recent evidence suggests they may have additional roles in remodeling, regulation and defense (33). Type II pneumocytes are responsible for surfactant production and reuptake though they also act as progenitor cells and enhance immune responses (33, 34). Unlike the gut, an integrated mucosal immune system does not exist in the healthy adult human lung, though bronchus-associated lymphoid tissue (BALT) is present in young children and also develops in many disease states in adulthood (35, 36). AECs express a similar variety of PRRs as IECs including all known human TLRs, RIG-I-like receptors, NOD-like receptors, C-type lectins and surfactant proteins (26, 37–41).

Overview of MHC Class II and Costimulatory Molecules

MHC class II molecules are transmembrane $\alpha\beta$ heterodimers. In humans, there are three MHC class II isotypes: HLA-DR, HLA-DP, and HLA-DQ, encoded by α and β chain genes within the Human Leukocyte Antigen (HLA) locus on chromosome 6. The expression of MHC class II antigen presentation machinery is tightly regulated by class II transactivator (CIITA), which recruits DNA-binding factors, chromatin modifying proteins, and transcription initiators to the MHC II locus. The class II pathway for processing and presenting antigen is complex but involves interaction with accessory molecules and trafficking through intracellular compartments (42–44). In the ER, nascent MHC class II molecules associate with invariant chain (CD74), a dedicated chaperone protein that directs MHC class II into a low-pH, late-stage endosomal compartment, known as the MHC II compartment (MIIC). Within MIIC, proteases cleave invariant chain and leave a nested set of invariant chain fragments known as class II invariant chain-associated peptides (CLIP) (44). CLIP temporarily occupies the peptide binding groove of the MHC class II molecule. A catalytic protein, HLA-DM, exchanges CLIP

for peptides that bind MHC class II with high-affinity (45). Some APCs, including B cells, thymic epithelial cells and certain DCs, express a regulator of HLA-DM, known as HLA-DO, which competitively inhibits DM-MHC class II interaction (46). Once formed, peptide/MHC class II complexes traffic to the cell surface for interaction with CD4⁺ T cells through the T-cell receptor, as the first signal to the lymphocyte required to elicit an antigen-specific adaptive response. The MHC class II pathway is shown schematically in **Figure 1**.

Efficient activation of naïve CD4⁺ T cells requires a second signal to the lymphocyte in the form of co-stimulation to complete the APC-T cell interaction. Classical costimulatory signals include CD80 and CD86, members of the B7 family that interact with stimulatory CD28 or inhibitory CTLA-4 on T cells (47). These molecules are upregulated on professional APCs in response to PAMPs or damage associated molecular patterns (DAMPs), such as ATP (48). T cell recognition of peptide/MHC without sufficient co-stimulation induces a hyporesponsive, anergic state (49).

POTENTIAL ROLE OF ECS IN ANTIGEN PRESENTATION

MHC Class II in Health and Disease in Humans Intestine

IECs have been described as capable of MHC class II expression for several decades (50–54). MHC class II, HLA-DM and invariant chain have been reproducibly detected in IECs throughout all segments of the small intestine (12, 52, 54–57). In humans, cell surface expression of class II is first detected around 18 weeks' gestation and increases through development (15, 58, 59). At homeostasis, MHC class II appears to be constitutively expressed on small intestinal enterocytes, most densely in the upper villus (15, 53, 56). Conversely, MHC class II is absent from small intestinal crypts as well as colonic epithelium under normal physiologic conditions but is upregulated in specimens obtained from patients with active inflammatory bowel disease (IBD), celiac disease, and graft vs. host disease (**Figure 2**) (55, 60–66). Exposure to inflammatory antigens, such as gliadin in celiac disease, has also been shown to cause the upregulation of cell surface MHC class II (62, 67). These changes are dependent on active disease; celiac patients in remission have IEC MHC class II levels comparable to those of non-celiac controls (68). IFN γ appears to be the key disease-elevated cytokine that regulates this process (69). In IBD, for example, increased surface MHC class II expression is correlated with increased tissue IFN γ levels (**Figure 2**) (70).

IECs are polarized, with brush border enzymes localized to the apical (luminal) surface to break down dietary antigens and poly-Ig receptors restricted to the basolateral surface to translocate IgA into the intestinal lumen (71). This polarity is important as peptide-presentation to the resident immune cells of the GALT is necessary for systemic crosstalk. Some early tissue staining studies in humans showed predominantly apical expression of MHC class II in IECs (53, 55, 72). However,

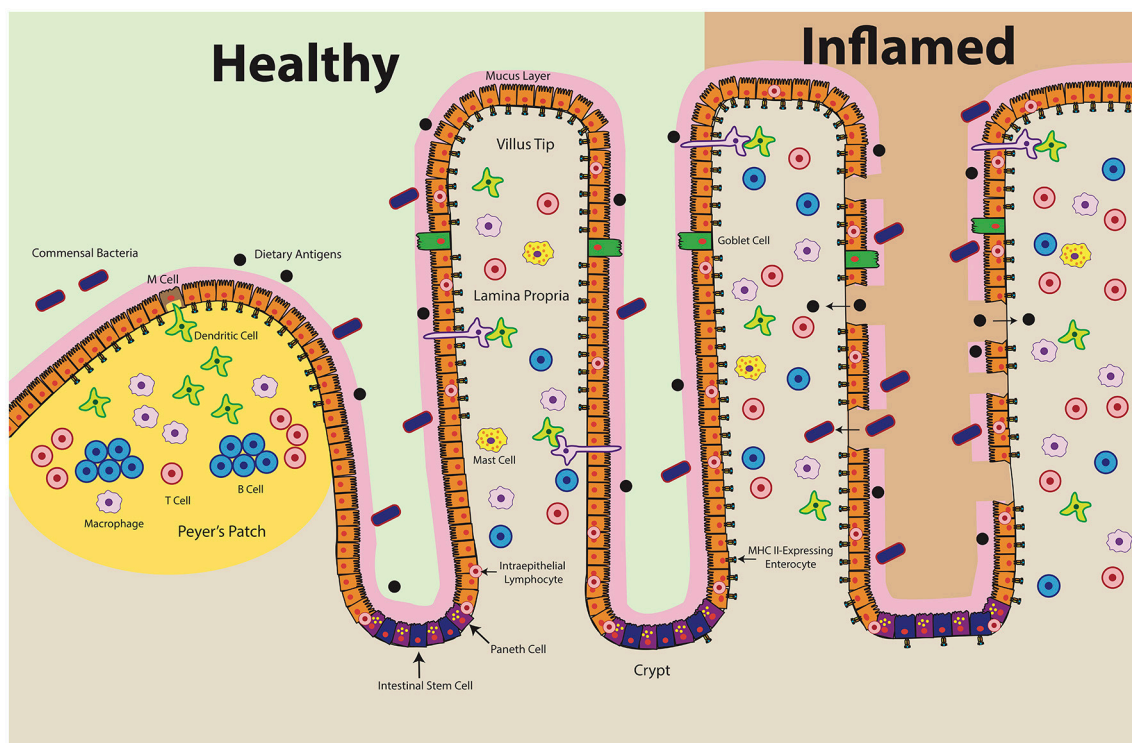


FIGURE 2 | Intestinal Epithelial Cell MHC Class II Expression in Health and Disease. At homeostasis (left), MHC class II is constitutively expressed in the upper villi of the small intestine. At the crypt base, intestinal stem cells self-renew and differentiate into specialized cell types: antimicrobial-producing Paneth cells, mucus-producing Goblet cells, hormone-producing enteroendocrine cells, and nutrient-absorptive enterocytes (200). Healthy crypts lack MHC class II expression. Intraepithelial lymphocytes, consisting of T cells and $\gamma\delta$ T cells, likely play a key role in maintaining the baseline expression of MHC class II in ECs by producing IFN γ . During disease (right), MHC class II levels increase and extend into the crypts. Epithelial barrier integrity decreases, which may result in ECs encountering antigen along both the apical and basolateral surfaces. Organized lymphoid structures, known as Peyer's Patches, contain dense concentrations of professional antigen presenting cells (B cells, macrophages, dendritic cells). These cells encounter antigen delivered by microfold (M) cells, which transcytose luminal antigens. Whereas MHC class II expression has been shown in the Peyer's Patch epithelium, there are conflicting reports regarding MHC class II expression by M cells.

other reports, including a comparatively recent study, show lateral and basolateral MHC class II (73–75). These contradictory observations may be due to variability in methods of tissue processing and labeling, which has a significant effect on antigen stability and labeling efficiency (68, 76, 77). Notably, *in vitro* studies show expression of MHC class II along the basolateral surface and *in vivo* studies suggest that the amount of MHC class II along the basolateral surface of IECs is physiologically relevant (78–80).

Intestinal inflammation may also change MHC class II localization in IECs. Both conventional and electron microscopy have been used to show redistribution of IEC MHC class II from multivesicular bodies (late endosomes) to the basolateral membrane located on the submucosal side of the epithelial membrane in both celiac disease and IBD (74, 81). Increased trafficking of MHC class II to the cell surface likely requires downregulation of MARCH8 ubiquitin ligase, which drives MHC class II internalization and which IECs express at high levels (82). A similar pathway has been observed in DCs, where MARCH 1 is downregulated upon maturation stimulated by TLR ligands

(83). Redistribution of MHC class II may allow IECs to influence immune responses during a pathogenic or inflammatory insult, by presenting peptides that promote immune clearance or induce tolerance.

Co-stimulatory molecules CD80 and CD86 are not expressed on IECs at baseline (57, 84, 85). Whether these molecules are expressed during inflammation is less clear. Some studies report that human IECs express neither CD80 nor CD86 during IBD, while others show selective expression of CD86 during active disease in biopsy specimens or with IFN γ -treatment in culture (85, 86). There is also evidence that the costimulatory molecule CD40, which interacts with CD40 ligand (CD40L) on T cells, is expressed by IECs during IBD in regions with visible pathology (87, 88). IECs may provide other forms of co-stimulation, such as CD58 (LFA-3), which interacts with CD2 on the surface of T cells (89). IECs express basolateral CD58 constitutively on surgically resected colonic epithelium and *in vitro* treatment with anti-CD58 antibody inhibits stimulation of antigen-specific CD4 $^{+}$ T cell clones by antigen-pulsed IECs in a dose-dependent manner in humans (90).

Lung

Unlike the gut during ontogeny, fetal lung tissue does not appear to express MHC class II on AEC surfaces during gestation except in the case of active inflammation (91). Interestingly, invariant chain expression without co-expression of MHC class II has been detected on fetal alveolar epithelium by 12–14 weeks' gestational age in humans (92). Adult AECs, like small intestinal epithelium, were initially shown to constitutively express MHC class II on both bronchial and alveolar epithelium, specifically on type II pneumocytes and ciliated ECs (**Figure 3**) (93–95). However, additional studies utilizing clinical specimens have provided conflicting data, especially in primary bronchial EC cultures (96–99). Evidence in studies comparing germ-free to conventional rats supports constitutive surface expression of MHC II in lung parenchymal AECs, specifically Type II pneumocytes, but decreased expression in bronchial epithelium of germ-free rats, suggesting site-specific expression (100). Lung tissue obtained from patients with allergy or autoimmunity, including chronic bronchitis, asthma, idiopathic pulmonary fibrosis or lung transplant rejection, shows enhanced expression of MHC class II on AECs (96, 97, 101–103). Viral infection, including parainfluenza, have demonstrably increased AEC MHC class II expression, whereas bacterial infection appears to have the opposite effect in human lung specimens (91, 97, 104).

Co-stimulatory molecule expression appears to be region-specific in humans, as well. *in vitro* studies show baseline expression of CD86 on both bronchial and alveolar cells (A549 cell line), but baseline CD80 expression only on alveolar cells (98). Viral infection, specifically with rhinovirus, upregulates CD80 on alveolar cells and CD86 on bronchial cells (98). *In vivo* data obtained from lung biopsies in patients with a variety of autoimmune pathologies, including lung transplant rejection and idiopathic pulmonary fibrosis, shows increased expression of CD80 and CD86 on AEC from all segments of the respiratory

tract (97, 105). In comparison, in bronchiolitis obliterans organizing pneumonia (now known as cryptogenic organizing pneumonia), an idiopathic interstitial lung disease believed to be secondary to epithelial damage, CD80 is upregulated in AECs without concurrent upregulation of CD86 or MHC class II expression (97, 106). Like gut, CD58 is constitutively expressed on alveolar ECs, though expression has not been demonstrated in isolated Type II pneumocytes (95).

In vitro Evidence for ECs as Antigen Presenting Cells

Studies utilizing human IEC lines (T84 and HT29) show that IFN γ -treated, protein antigen-pulsed IECs can stimulate antigen-specific immune responses in T cell hybridomas (107). T cell hybridomas do not need co-stimulation, which arguably mimics the reduced costimulatory requirements of the majority of T cells in the lamina propria, which are antigen-experienced memory cells (108, 109). Follow-up work in IECs found that generation of specific MHC II-restricted peptide epitopes differed if antigen was taken up from the apical or basolateral IEC surface (78). During disease, inflammatory signals including IFN γ and TNF α in the gut increase epithelial permeability (**Figure 2**) (110–112). When the epithelium is breached, IECs may interact with antigen along both the apical and basolateral surfaces, raising the possibility that novel peptide epitopes can be generated. Dotan et al. found that IECs isolated from surgically resected colon of Crohn's disease and ulcerative colitis patients induced CD4⁺ T cells to proliferate and secrete more IFN γ than control IECs in a mixed lymphocyte reaction (65). This effect was blocked with an anti-HLA-DR antibody.

Another mechanism by which ECs may modulate antigen presentation is through exosomes. Exosomes, cell-derived vesicles laden with MHC class II, are released extracellularly when the limiting membrane of a multi-vesicular endosome

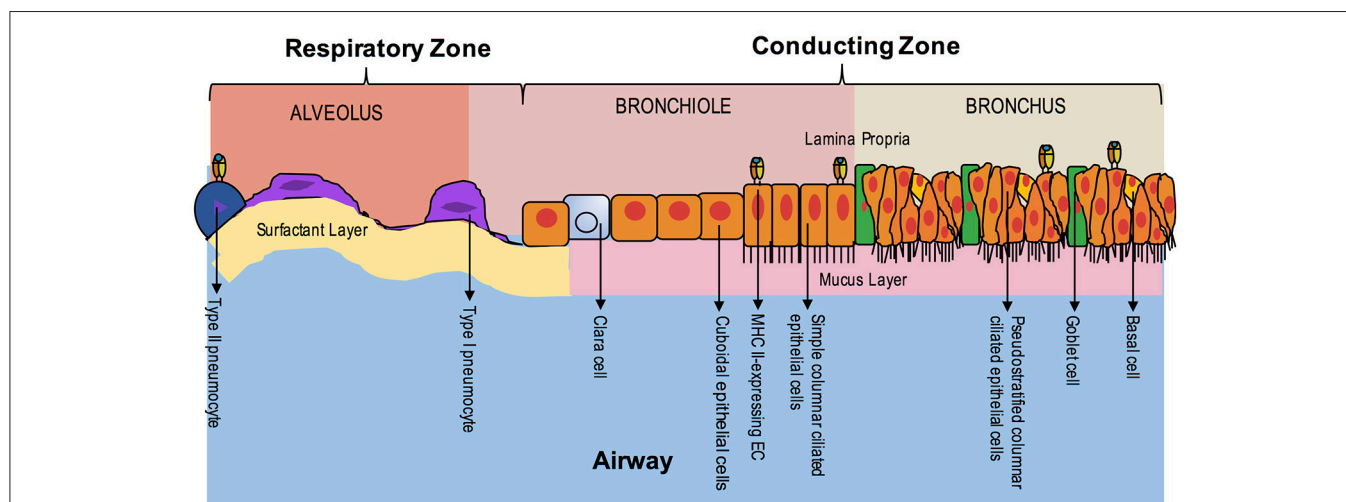


FIGURE 3 | EC MHC Class II Expression in the Lung During Homeostasis. The airway is composed of the upper airway conducting zone for humidifying and clearing particulates of inhaled air (bronchi and bronchioles) and lower airway respiratory zone for gas exchange (respiratory bronchioles and alveoli). At homeostasis, MHC class II expression has been seen in the ciliated ECs of the upper airway and in Type II pneumocytes of the alveoli. The polarity of class II expression is not well-defined. Unlike the intestine, organized lymphoid structures are not found in adulthood, except in disease states.

fuses with the plasma membrane (113). In fact, several studies show that exosomes from IFN γ -treated IECs express elevated MHC class II (114–116). These exosomes express late-endosomal markers, consistent with their origin in multi-vesicular bodies. Evidence suggests that IEC exosomes do not directly stimulate antigen-specific T cells but are first acquired by DCs. DCs primed with IEC exosomes require lower doses of antigen to stimulate T cell hybridomas (116). Exosomal transfer of peptide/MHC II complexes may promote rapid, primary adaptive immune responses by equipping DCs to stimulate naive T cells. Defining the relative contributions of direct IEC antigen presentation vs. exosome release to intestinal tolerance and immunity will require further investigation and may provide important insights into the communication between gut and lung.

AECs isolated from bronchial epithelium in humans and cultured with IFN γ have been shown to trigger proliferation of allogeneic lymphocytes as well (99). Additionally, investigations by Cunningham et al. demonstrated that the addition of anti-CD28 antibody as a co-stimulatory signal allows allogeneic CD4 $^{+}$ T cells to proliferate in response to IFN γ -stimulated MHC class II $^{+}$ AECs (117). Further characterization by other groups shows that purified allogeneic T cells are stimulated in response to bronchial ECs, which is abolished by the addition of anti-DR antibody (118). Bronchial ECs have also been shown to present protein antigens to antigen-specific sensitized T cells, suggesting the ability of AECs to process and present foreign antigen to the underlying lymphoid tissue (119). Experiments utilizing electron microscopy verify that AECs stimulated with IFN γ are able to endocytose antigen and that, like IECs, uptake is polarized on the luminal side of tissue explant cultures. Co-localization studies further demonstrate the trafficking of these antigens through early and late endosomes to acid vesicles and lysosomes (120).

In vitro studies have important caveats. MHC class II is only expressed in the large intestine and potentially bronchi during disease, yet many commonly used intestinal cell lines (Caco-2, T84, and HT29) are colon-derived and pulmonary cell lines (BEAS-2B) bronchial in origin. Therefore, studies using these cell lines may be more representative of EC antigen presentation during inflammation rather than homeostasis. Colorectal cancer cells are also susceptible to genetic and epigenetic abnormalities, including changes in DNA methylation that affect CIITA expression (121). Small intestinal EC lines, such as HEC-6 and H4, exist, but are derived from fetal tissue and are more representative of crypt stem cells than fully differentiated ECs (122). Additionally, AECs are often derived from bronchoalveolar lavage brushings or fluid in patients with additional underlying pathologies, which are highly operator- and patient-dependent and may not be representative of the entire airway epithelium. Furthermore, *in vitro* experiments using peripheral blood T cells may not recapitulate interactions between ECs and organ-specific T cells. For instance, one study shows that IECs induce CD4 $^{+}$ IELs to secrete IFN γ , but not CD4 $^{+}$ T cells from the lamina propria or spleen (123). Moreover, CD4 $^{+}$ and CD8 $^{+}$ T cells found in adult human mucosa, including in both gut and lung, are largely memory cells, requiring different stimuli than naive cells (124). Therefore, the complexity of the epithelium and the arrangements of the many

cell types found within may not be well-represented in cultures of primary purified cell lines.

***In vivo* Evidence for ECs as Antigen Presenting Cells**

Several *in vivo* studies of IEC antigen presentation have focused on IBD, where inflammatory responses to the gut microbiota are believed to elicit tissue damage, yet the role of IECs themselves remain poorly defined. Maggio-Price et al. induced colonic inflammation in RAG2 $^{-/-}$ mice exclusively expressing MHC class II either on IECs or DCs. Animals with MHC class II $^{+}$ DCs developed severe colitis, whereas mice with MHC class II on IECs developed only mild inflammation (125). Additionally, mice lacking MHC class II on DCs appeared to develop intestinal inflammation due to lack of proper CD4 $^{+}$ T cell-mediated adaptive immune responses to commensal bacteria, as gnotobiotic mice under the same conditions did not develop inflammation (126). In a different murine colitis model, Thelemann et al. showed that selectively knocking out MHC class II in IECs worsened colitis; additionally, mice without IEC MHC class II had higher IFN γ levels and a reduced proportion of Tregs (80). In this system, IECs failed to express CD40, CD80, or CD86 co-stimulatory molecules. Another elegant study targeted hemagglutinin (HA) expression to IECs in transgenic mice expressing an HA-specific T cell receptor. This resulted in the expansion of HA-specific Tregs and was not dependent on DCs acquiring antigen from apoptotic IECs. Isolated primary IECs directly stimulated Treg proliferation in an MHC class II-dependent manner (79). Interestingly, the authors ruled out TGF- β and retinoic acid as effectors—molecules known to skew naive T cells into induced Tregs (127). Together, this suggests a tolerogenic role for IECs that is not dependent on co-stimulation of CD80 or CD86. Similar *in vivo* data has not been collected in the respiratory tract of animal models, and effects on the lung epithelium were not evaluated in the above models.

Cytokine Regulation

Potential immune cell sources of IFN γ that upregulate MHC class II include natural killer (NK) and natural killer T (NKT) cells, group 1 ILCs (ILC1s), $\gamma\delta$ T cells, CD8 $^{+}$ T cells and subsets of CD4 $^{+}$ T cells, which comprise the makeup of the GALT (128–132). The pIV isoform of the MHC class II transactivator CIITA is the main form expressed in non-hematopoietic cells in response to interferon gamma (IFN γ) and has been found in IECs (133, 134). Adoptive transfer of CD4 $^{+}$ T cells into mice induces IEC MHC class II expression, whereas the transfer of IFN γ -knockout T cells does not (80). Direct treatment with IFN γ has been shown to increase AEC MHC II expression in rats both *in vitro* and *in vivo* (100, 119). These findings have been re-capitulated in human AECs *in vivo*, as well (99, 135). AECs may enhance MHC class II expression via a CIITA-independent pathway, at least when exposed to viral particles, though data appear conflicting (104, 136). A possible explanation for tissue-specific differences in cytokine regulation may be that IELs or other cell types that produce IFN γ to drive MHC class II in IECs, such as NK cells or ILC1, may be more abundant in the GALT compared to the airway, though further characterization is required (137–139).

To date, there is limited evidence that cytokines besides IFN γ induce MHC class II on non-hematopoietic cells during inflammation. One candidate is IL-27, an IL-12 superfamily cytokine released by activated DCs and elevated during intestinal inflammation (140). IL-27 elevates CIITA levels in colorectal cancer cells and stimulates endothelial cells *in vitro* to express HLA-DR, -DP, -DQ, -DM, and invariant chain (141, 142). The overlapping effects of IL-27 and IFN γ are consistent with the fact that both cytokines promote T helper 1 (Th1) CD4⁺ T cell responses (143).

Another potential candidate is IL-18. IL-18, originally known as IFN γ inducing factor or IGIF, is a member of the IL-1 cytokine family and can mediate both Th1- and Th2-type responses (144–147). IL-18 has been shown to be elevated in autoimmune colitis including IBD and celiac disease and is a key mediator of intestinal homeostasis (144, 148–152). IEC appear to constitutively produce IL-18, at least *in vitro*, and promote increased production of IFN γ by T cells obtained from patients with active inflammation (153, 154). Interestingly, tissue explants from patients with active celiac disease show IL-18 expression only in the crypts (151). In a murine model of an NLRC4 inflammasome mutation, the intestinal epithelium appears solely responsible for the systemic elevation of IL-18 seen in macrophage activation syndrome and, furthermore, is associated with upregulation of IFN γ -induced genes and multiple genes associated with antigen presentation in the intestine (155). Recent evidence in humans shows that AEC also constitutively produce IL-18 *in vitro* in animal models (156, 157). IL-18 has been shown to directly upregulate MHC class II expression on IFN γ -stimulated keratinocytes, but this has not yet been explored in intestinal or airway epithelium (158). Whether EC-produced IL-18 is involved in paracrine MHC class II upregulation along the crypt-to-villus axis or through directing GALT-mediated IFN γ -production also remains unknown. Therefore, further investigation is needed to determine if these or other region-specific cytokines upregulate EC MHC class II expression.

Role of the Microbiome

Commensal bacteria reside within the lumen of the gut, reaching a density of up to 10¹² cells per cm³ in the large intestine (159). It is well-established that these microbes contribute to the development of the intestinal immune system; gnotobiotic mice, for example, do not form isolated lymphoid structures in the small intestine (160). Though the lung and gut share a common origin at the oropharynx, microbial populations are vastly different. The lung is not completely sterile but has a much lower bacterial burden without a characteristic microbiome like the gut; rather, lung flora tends to resemble oral flora and may change in response to a variety of stimuli and pathologies (161, 162).

Significantly, IECs of gnotobiotic mice lack MHC class II expression, while exposure to bacteria is found to increase IFN γ expression by $\gamma\delta$ T cells and induce CIITA and MHC class II (163–165). There is limited but interesting evidence that specific classes of commensals, such as segmented filamentous bacteria, are sufficient to induce MHC class II in IECs (165). However, because $\gamma\delta$ T cells compose a higher proportion of

IELs in mice (~50%) than in humans (~10%), other cellular sources of class II inducing signals may be important in humans (166, 167). Additionally, the roles of viruses and fungi within the microbiome and their effects on EC MHC class II expression remain largely unexplored.

Recent evidence argues for a reciprocal effect of MHC class II in shaping the microbiome. Studies in natural fish populations link MHC class II allelic variation with the abundance of certain microbial taxa (168). These findings corroborate studies in laboratory mice, which show that MHC class II-linked changes in the microbiome mediate risk of enteric infection and autoimmune disease, such as type 1 diabetes (169, 170). The precise mechanisms behind these effects remain poorly understood, though there is evidence that MHC class II polymorphisms control microbial populations through IgA phenotype and thus modify susceptibility to pathogens (169).

Exploration into the “gut-lung axis” in which the microbiome of the gut has direct impact on susceptibility to pulmonary disease is of key interest (171). The gut microbiome has been shown to affect lung susceptibility to infection with viral, fungal and bacterial pathogens (172–176). The severity of ozone-induced asthma in mice appears to be regulated by the gut microbiome through short chain fatty acid production (177). Segmented filamentous bacteria, a gut commensal that induces MHC class II on IECs as above, have independently been shown to provoke autoimmunity of the lung epithelium, but whether this is through affecting MHC class II expression on AECs is unknown (165, 176). The microbiome may even affect predisposition to lung cancer as evidenced through murine studies focused on probiotic use, though further mechanistic and human studies are still needed in this area, as well (171, 178).

DISCUSSION

Though much of the available evidence on MHC class II expression by ECs was obtained decades ago, this is an exciting time for research into the role of ECs in mucosal immunology. Renewed awareness of the role played by epithelial cells in homeostasis and disease and technical advances in different areas open up several new avenues for research and clinical applications.

Celiac disease, in which blunting of the villous tips on biopsy is pathognomonic, provides an example of a disease in which the role of the EC should be re-visited. Pathogenic CD4⁺ T cells in celiac disease are DQ2- or DQ8-restricted, and T cell bound to DQ/gliadin tetramers are detectable using flow cytometry (179–182). Yet, most MHC class II studies examined in this review focus on HLA-DR. Cell surface HLA-DP and -DQ levels have been reported as lower, but T cells are remarkably sensitive, requiring as few as one to ten peptides per MHC II complexes for activation (183). Levels of MHC class II that are below the limit of detection by immunohistochemistry (used in many early papers) may therefore be sufficient to activate T cells. More sensitive techniques, such as flow cytometry or electron microscopy, are more informative, as evidenced by more recent papers. Another novel possibility is investigation utilizing multiplexed ion beam

imaging (MIBI) to visualize large panels of cell-surface proteins tagged with elemental metals that may allow improved detection of MHC II isoforms and co-localization of various co-stimulatory molecules on tissue sections (184). Using these technologies to study celiac disease, a model disease in which the inciting immunogen and the presenting MHC class II-molecules are known, may provide important insights into the role of ECs in antigen presentation.

The function of co-stimulatory molecules in this process is another area that requires more investigation. While some description of EC surface expression of classic B7 molecules, CD80 and CD86, is found in the literature (above), their roles during homeostasis and inflammation remain unclear. The lack of expression of CD86 found in the gut, compared to constitutive expression in the airway, may suggest a diminished role of IECs in interactions with Tregs (185). Another avenue of exploration are other members of the B7 family that appear to have novel roles on non-professional antigen presenting cells, including ICOS ligand, PD-L1 (B7-H1), PD-L2 (B7-DC), B7-H3, and B7-H4. Work on the ICOS co-stimulation pathway in the airway already has provided promising results, with anti-ICOS treatment leading to prevention of chronic lung transplant rejection and obliterative bronchiolitis as well as ICOS being shown as an important player in asthma (186, 187). However, the contribution of the aerodigestive epithelium in mediating these interactions remains to be explored.

Further delineation of the subsets and character of ECs are needed as well. The epithelium is composed of both stem cells and specialized subtypes as described above, many of which remain poorly understood. Both MHC II expression and antigen presenting capabilities and function may therefore differ among these cells. Work reviewed here has shown that, for example, M cells in the gut or type II pneumocytes in the lung may have roles in antigen presentation and expression of HLA-DR (9, 11, 16). Furthermore, the polarity and anatomic localization of intestinal and pulmonary ECs also likely bear significant implications for antigen uptake, processing and presentation and warrant further investigation (53, 55, 71–73, 78–80). Defining the roles of these various cell types and their locoregional interactions thus may provide additional important insights.

The conditions under which mucosal MHC class II contributes to inflammation vs. tolerance also remain to be clearly delineated. The work by Westendorf et al. in mouse models shows direct expansion of Tregs in response to ECs, arguing that mucosal MHC class II can function in a tolerogenic role, though the work by Dotan suggests that increased MHC class II on IECs from IBD patients may more efficiently activate effector T cell responses leading to inflammation (65, 79, 188). Moreover, it is plausible that MHC class II on ECs not only allows ECs to modulate immune responses, but also in fact allows the immune system to regulate the epithelium. Cytokines released by adjacent mucosal and intraepithelial immune cells in response to EC presentation of MHC class II-bound peptides may alter cell renewal, barrier integrity, cell type composition, and the innate immune functions of the epithelium. A promising approach to explore these questions is in organoids derived from stem cells or induced

pluripotent stem cells that can differentiate into specialized cell types mimicking the physiological structure of the epithelium (189–192). Organoids offer a reductionist setting for testing the role of immune cells, cytokines, pathogens, and other regulatory factors on MHC class II in primary ECs in a way that appears to model organs physiologically. For example, mouse intestinal organoids treated with IFN have been shown to upregulate MHC class II (193). Intestinal organoids can be readily infected with human strains of enteric pathogens, such as rotavirus, norovirus, and *Salmonella* to allow exploration of MHC class II internalization and polarity during infection and inflammation (194–196). The study of lung organoids remains, comparatively, in its infancy, though work has been done to create structures resembling fetal lung buds in the second trimester of gestation for the study of respiratory syncytial virus (197). Organoids may provide a model system to study the aforementioned hypotheses to provide evidence more pertinent to humans.

Finally, current research is actively exploring the contributions of the microbiome to systemic immunity. However, how the microbiome changes EC structure and function, especially through MHC class II and co-stimulatory molecule expression, and whether this affects development of disease and ultimate outcomes are also key questions. Highlighting the importance of co-stimulatory molecules, recent clinical work has demonstrated that the efficacy of cancer immunotherapies targeting B7 molecules PD-L1 or CTLA-4 in epithelial cancers including non-small cell lung carcinoma as well as colon cancer appear keenly dependent on the gut microbiome; lack of or depletion of commensals using oral antibiotics appears to attenuate tumor response to these therapies (198, 199). Interestingly, blockade of CTLA-4 on IELs led to IEC apoptosis in intestinal organoids, also suggesting a bidirectional trophic communication between ECs and effector immune cells through co-stimulatory molecules (199). Valuable lessons may be learned by comparing MHC class II expression on ECs in the intestinal and respiratory tracts.

Ultimately, systems that integrate immunological, microbial, and environmental signals to study EC MHC class II expression and function are likely to advance our understanding of mucosal immunity and the epithelium of the aerodigestive tract. How these findings can be manipulated to affect infectious, autoimmune or even neoplastic diseases will likely be pursued in the coming years.

AUTHOR CONTRIBUTIONS

JW, DM, and EM were involved in planning the project. JW, DM, CM, and EM contributed to the writing of the final manuscript.

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From Colitis to Cancer: An Evolutionary Trajectory That Merges Maths and Biology

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Patients with inflammatory bowel disease have an increased risk of developing colorectal cancer, and this risk is related to disease duration, extent, and cumulative inflammation burden. Carcinogenesis follows the principles of Darwinian evolution, whereby somatic cells acquire genomic alterations that provide them with a survival and/or growth advantage. Colitis represents a unique situation whereby routine surveillance endoscopy provides a serendipitous opportunity to observe somatic evolution over space and time *in vivo* in a human organ. Moreover, somatic evolution in colitis is evolution in the 'fast lane': the repeated rounds of inflammation and mucosal healing that are characteristic of the disease accelerate the evolutionary process and likely provide a strong selective pressure for inflammation-adapted phenotypic traits. In this review, we discuss the evolutionary dynamics of pre-neoplastic clones in colitis with a focus on how measuring their evolutionary trajectories could deliver a powerful way to predict future cancer occurrence. Measurements of somatic evolution require an interdisciplinary approach that combines quantitative measurement of the genotype, phenotype and the microenvironment of somatic cells—paying particular attention to spatial heterogeneity across the colon—together with mathematical modeling to interpret these data within an evolutionary framework. Here we take a practical approach in discussing how and why the different “evolutionary ingredients” can and should be measured, together with our viewpoint on subsequent translation into clinical practice. We highlight the open questions in the evolution of colitis-associated cancer as a stimulus for future work.

Keywords: inflammatory bowel disease (IBD), colorectal cancer, cancer evolution, risk stratification, biomarker development, surveillance colonoscopy

INFLAMMATORY BOWEL DISEASE AND COLORECTAL CANCER—THE CLINICAL BACKGROUND

Patients with inflammatory bowel disease (IBD) have an increased risk of developing colorectal cancer (CRC); this risk is related to disease duration, extent (1), and cumulative inflammation burden (2, 3). Patients with longstanding, extensive colonic inflammation develop colorectal cancer at a younger age compared to the general population, and are more likely to suffer from multifocal neoplasia. For this reason, across the world, patients are enrolled (4, 5) into surveillance programs that aim to detect early cancers and precursor dysplastic lesions with the hope of possible curative intervention before a symptomatic cancer develops. Current surveillance programs are plagued by

oversurveillance and overtreatment of low-risk patients, as well as interval cancers (that is, symptomatic cancers detected in between surveillance colonoscopies) in high risk patients (6). These challenges reflect our poor understanding of the molecular processes underpinning colorectal carcinogenesis in IBD. We propose the use of an evolutionary approach combining genetic, environmental, immune and microbiome parameters to better stratify IBD patients by CRC risk.

CANCER EVOLUTION–THE THEORY OF CARCINOGENESIS

The marked variability of cancers in terms of tissue origin, histopathological subtype, clinical progression and response to medical therapy, belies a shared mechanistic origin: namely, that all cancers are diseases caused by the acquisition of heritable genomic changes, which provide these mutant cells with a survival or growth advantage over their neighbors. During the stages of neoplastic initiation, promotion, progression and malignant conversion (**Figure 1**), the tissue microenvironment generates a (variable) selective pressure that defines those advantageous phenotypic traits (7, 8). In this respect, evolutionary principles, long utilized in the understanding a host of other biological phenomena, from the origin of new species to the emergence of drug-resistant pathogens, can also be applied to understand carcinogenesis (9). Measurements of the clonal composition of a neoplasm, namely the proportion of tumor cells bearing particular mutations, can be readily quantified and used to infer dynamics of the evolutionary process, including when clones emerged and how quickly they spread through the neoplasm (10).

EVIDENCE FOR LARGE SCALE TEMPOROSPATIAL CLONAL EVOLUTION IN IBD

“Field cancerization” was first described over 50 years ago to explain the presence of large regions of histopathologically abnormal pre-cancerous cells in the oral mucosa from which multiple squamous cancers arose (11); that cancerised fields arise from the clonal expansion(s) of (epi)genetically altered cells was shown later [see reviews (12, 13)]. Field cancerization has been described in the premalignant colon, and we have previously postulated that field cancerization can occur either as a single large clonal expansion, or via the parallel expansion of multiple large clones (“clonal mosaicism”) (14). The two modes may co-occur, with small areas of mosaicism contained within a large expansion. One study analyzing the genomic abnormalities in individual crypts within different neoplastic lesions, demonstrated their monoclonality across most but not all lesions (15); in some lesions the adjacent phenotypically normal crypts shared the same driver mutation. Other studies have revealed field cancerization across large regions of the colon: Galandiuk et al. (16) undertook a chronological assessment of mutation status across multiple locations of the colon of

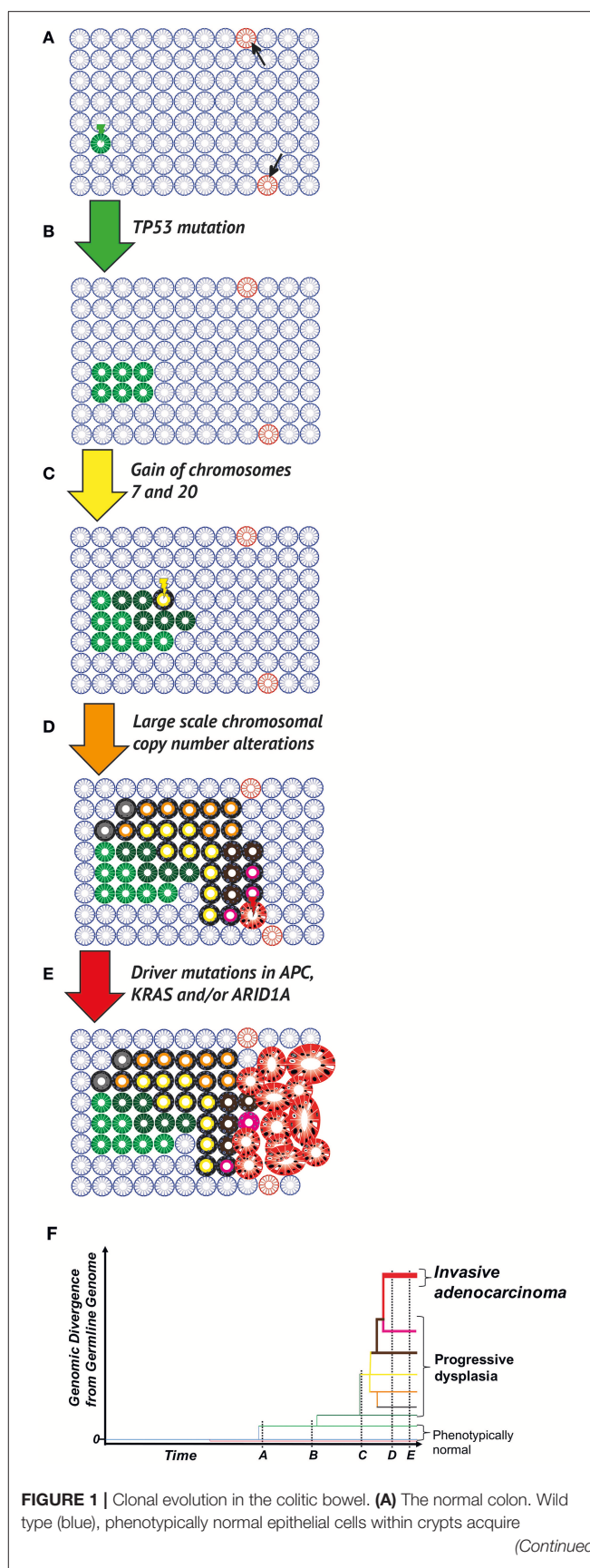


FIGURE 1 | mutations occur through chance (DNA replication error at mitosis) or due to microenvironmental pressures (e.g., mutagens in the diet or microbiome). Most mutations (pink crypts, arrows) are evolutionary neutral (i.e., do not change phenotype), or in fact disadvantageous leading to eventual cell death, through the disruption of critical cellular mechanisms or the activation of senescence/apoptosis. Occasionally, a positively selected driver mutation will be acquired. Through either positive selection or neutral drift, the mutant cell population can grow and take over the whole population of cells within the crypt (green crypt). **(B)** Field cancerization. A crypt wholly populated by mutated cells may have an increased crypt fission rate and/or lowered crypt death rate (green crypt). This leads to the expansion of the mutant crypt through the epithelium (green patch), causing a “field” of crypts bearing the same base change, heritable epigenetic change or copy number alteration, which is disposed to subsequent neoplastic transformation. **(C)** Neoplastic initiation. IBD generates a selective pressure favoring clones capable of surviving repeated cycles of inflammation and more rapidly repopulating the healing mucosa during remission. These selected mutant clones, while remaining histopathologically unremarkable, continue to evolve and expand at varying rates (crypts in various shades of green). Expansion remains limited by environmental constraints (e.g., a reliance on paracrine signaling from the stroma or a limit to the tolerance of cell crowding). Within this cancerised field, a frankly dysplastic sub-clone (yellow crypt) eventually arises. **(D)** Neoplastic promotion. The dysplastic clone (yellow with black outline) grows at a much more rapid pace than non-dysplastic crypts, resulting in an accelerated rate of evolution (crypts with black outline and shades of orange, brown, gray or pink); this genetic progression may be accompanied by progression in dysplasia grading. Potential biological mechanisms are diverse and include a loss of dependence on morphogen gradients and an altered metabolism that is better adapted to a hypoxic and nutrient-poor environment. **(E)** Malignant transformation and progression. Transformation to a malignant phenotype (red gland) produces a clone that can undergo uncontrolled cell division and is capable of invasion. Further progression in clone size results in a symptomatic, clinically detectable cancer. Potential biological mechanisms for this malignant transformation include a loss of critical DNA repair mechanisms and escape from immune surveillance. **(F)** Phylogenetic representation. Through multi-region sequencing, quantification of genomic divergence, and mathematical modeling, the phylogenetic relationship between clones can be understood. Here the evolutionary history of an IBD-CRC and its precursor lesions is depicted, with branch lengths corresponding to the amount of genetic change from the bifurcation node of clone branches.

10 patients, and describe one case where at least 3 separate *TP53* mutations arise in non-neoplastic colon, each of which gives rise to neoplastic lesions several years later, with the most recently detected *TP53* mutant spreading over 3 years to involve the entire length of the colon. Similarly, Salk et al. (17, 18) used mutations of hypermutable polyguanine repeats as surrogate markers of clonal populations, and demonstrate a greater number of clonal populations in patients with concomitant high grade dysplasia (HGD) or CRC. Again, some of the clonal patches from wherein a cancer arose involve a large surface area of the colon, thereby providing further evidence for a “pre-cancerised” colonic epithelial field. Finally, Lai et al. (19) used comparative genomic hybridization to show that chromosomal instability in phenotypically normal mucosa increases with proximity to dysplasia and cancer. While chromosomal instability could be detected in regions extending more than 160 cm of the colon, the authors described clear evidence of clonal mosaicism, with copy number alterations varying greatly even between biopsies less than 2 cm apart.

COMMON SELECTIVE PRESSURES IN THE DEVELOPMENT OF INFLAMMATORY BOWEL DISEASE AND COLORECTAL CANCER

IBD is now recognized as a disease of multifactorial etiology, occurring in genetically predisposed individuals, and triggered by as-yet poorly defined environmental insults that generate an aberrant immune response toward an altered gut microbiome (20). One explanation for the increased neoplasia risk in IBD may be the shared etiological factors implicated in both IBD and colorectal carcinogenesis.

Shared Environmental Triggers

The rising IBD incidence worldwide over the last four decades has coincided with profound changes in diet and the adoption of a “Western” lifestyle. In terms of recognized environmental risk factors, both IBD and CRC are associated with sedentary populations that consume a poorly balanced diet, consisting of low plant fiber intake and excess consumption of processed red meat (21–23). A whole host of other lifestyle changes are also potentially implicated in both IBD and CRC, including the ingestion of emulsifiers (24, 25) that are found ubiquitously in processed foods, and low vitamin D levels (26, 27) associated with reduced dietary intake and sunlight exposure. The underlying mechanisms are diverse and are poorly understood, and it remains unclear whether the subsequent metabolome, microbiome and epithelial changes generated by a “Western” lifestyle simply represent associated but non-causative downstream effects of overall modern lifestyle habits, if colon neoplasia itself exerts pro-proliferative changes by modifying the luminal microenvironment, or vice versa.

One interesting commonality can be gleaned through the altered fecal metabolomic profile of both IBD and CRC, most notably the reduction of short chain fatty acids including butyrate and propionate, which are by-products of the bacterial fermentation of dietary fiber (28). Butyrate in particular plays a key role in the maintenance of intestinal homeostasis, namely as the preferred energy source of colonic epithelial cells (29). Butyrate exerts an anti-inflammatory impact both directly on resident innate immune cells (30, 31) and colonocytes (32), and indirectly through the maintenance of epithelial barrier integrity (33, 34) and subsequent reduction in bacterial translocation. Moreover, butyrate has also been shown to have anti-neoplastic properties in CRC cell lines, through the modulation of canonical Wnt signaling (35) and inhibition of histone deacetylation (36). This finding has been corroborated in murine models of IBD-CRC, where germ-free mice subjected to chemically-induced inflammation were partially protected from dysplasia and CRC formation when given high fiber diets with prior gut colonization by butyrate-producing *Butyrivibrio fibrisolvens* (37). No benefits were seen from a high fiber diet alone, *B. fibrisolvens* colonization alone, or a high fiber diet combined with colonization by a mutant strain of *B. fibrisolvens* incapable of producing significant quantities of butyrate. *In vitro* studies have demonstrated the antagonistic effect of bacterial fecal sulfides, which are related

to consumption levels of red meat and preservatives (38), on butyrate metabolism in colonocytes (39). These findings are corroborated by clinical observations in obesity, in IBD and in colorectal neoplasia. A high fat and/or low fiber diet are known to reduce fecal butyrate levels (40). Patients with active IBD demonstrate lower levels of fecal butyrate (41) and butyrate-producing bacterial species (42). Similarly, while healthy individuals had a higher intake of dietary fiber compared to patients with advanced sporadic colonic neoplasia (43), it is interesting to note that even the subgroup of patients with neoplasia and high fiber intake had a lower level of fecal butyrate and butyrate-producing bacteria compared to matched healthy controls.

Shared Microbiome Alterations

A driving role for the microbiome in the development of colitis-associated CRC is less clear, but nevertheless, there are a number of parallels between the microbiome changes in CRC and IBD, that extend beyond loss of overall microbiome diversity and reductions in butyrate-producing *Firmicutes* such as *Faecalibacterium prausnitzii* (44–46). Other notable shared changes are the increased mucosal abundance of *Enterobacter faecalis* and *Escherichia coli* [in particular adherent-invasive *E. coli* (47–49)], as well as *Fusobacterium* species (50, 51).

Fusobacterium nucleatum expresses unique adhesins that have been shown to promote CRC (but not non-neoplastic) cell line proliferation through modulation of Wnt signaling (52); *Fusobacterium* load within cancer specimens may even have adverse prognostic implications for patients with CRC (53). In practice, current assessments of species diversity may not fully capture the CRC-relevant microbiome changes in IBD: for example, *Fusobacterium nucleatum* is not only isolated more frequently in patients with IBD, but those isolates from inflamed IBD tissue show greater invasive potential than isolates from non-inflamed tissue of the same patient (54). Early evidence for the association of specific microbiome components with the earliest genomic changes seen in IBD-CRC comes from recent epigenetic studies, where *Fusobacterium* colonization is associated with pro-carcinogenic methylation changes in the non-neoplastic colonic mucosa of 86 patients; this association remained highly significant (OR 16.2, $p = 0.01$) in a multivariate analysis that included recognized clinical risk factors such as age, duration of disease and surrogate markers of inflammation severity (55). Nevertheless, it remains unclear whether these microbiome changes represent a secondary consequence of disruption in the integrity and function of the epithelial barrier seen in inflammation and neoplasia (56), or are in fact truly independent drivers of inflammation and carcinogenesis.

Studies of adherent-invasive *E. coli* in IL10^{-/-} IBD murine models demonstrate the potential complexity of the relationship between inflammation, the microbiome and carcinogenesis: inflammation does not promote the survival of adherent-invasive *E. coli*, but rather disrupts hitherto poorly defined processes for the natural negative selection of *Enterobacteriaceae* such as *E. Coli* (57). Adherent-invasive *E. coli* in turn drives colorectal carcinogenesis independently of inflammation activity. Interestingly, deletion of the *E. coli* gene coding for the toxin

colibactin abrogates this increased cancer risk in IL10^{-/-} IBD mouse models (58), whereas wild type mice colonized with colibactin-producing *E. coli* do not have an increased cancer risk. The carcinogenic effects of colibactin are therefore evident only in the setting of “exposed” epithelium as seen in IBD. *Enterobacter faecalis* on the other hand, while sharing the ability of adherent-invasive *E. Coli* to persist within innate immune cells (59, 60), has been shown to promote carcinogenesis by a more indirect mechanism. Murine macrophages colonized by *E. faecalis* are polarized toward a pro-inflammatory M1 phenotype. These M1 macrophages in turn produce 4-hydroxynonenal, an alkenal by-product of prostaglandin breakdown by cyclooxygenase 2 (COX-2) that disrupts mitotic spindle function in colonic epithelial cells, thereby inducing chromosomal instability and phenotypic transformation (61). Collectively, these findings confirm the ability of the microbiome to exert both direct and indirect carcinogenic effects on colonic epithelium in the context of compromised mucosal barrier integrity.

Immune Co-evolution in IBD-Associated Carcinogenesis Remains Poorly Understood

Active IBD generates a well-characterized cascade of pro-carcinogenic inflammatory changes [see (62, 63) for reviews]. These include but are not limited to TNF- α , which promotes tumor proliferation and invasion through macrophage recruitment and angiogenesis (64), as well as IL-6 and IL-22, with their pro-proliferative, anti-apoptotic effects mediated by epithelial STAT3 signaling (65, 66). As discussed in further detail in the rest of the review, it is clear that the altered immune microenvironment of IBD can have a direct (evolutionary selective) influence on the epithelial cells, by favoring the survival and expansion of some mutant clones over other mutants or non-mutant cells. However, the trajectory of epithelium-microenvironment co-evolution during carcinogenesis, and in particular the dynamic changes in the resident immune system’s simultaneous host-protecting and tumor-promoting roles (67), remains poorly understood.

Current evolutionary approaches in carcinogenesis remain very “cancer cell” focused. In one revealing study, Galon et al. have demonstrated that simple measurements of CD3 and CD8 cell infiltration in the tumor core and invasive margin (68) of sporadic CRCs are superior to clinical TNM staging (69) and genetic microsatellite instability status (70) in predicting patient prognosis. The aberrant immune function that is characteristic of IBD may manifest itself in cancer immune-epithelium co-evolution: for example, IBD-CRC demonstrates greater lymphocyte infiltration compared to sporadic CRC, but with no associated prognostic improvement (71). This may be explained by impaired lymphocyte cytotoxicity in IBD, as reflected by reduced cancer cell apoptosis and lower granzyme B expression in lymphocytes infiltrating IBD-CRC compared to sporadic CRC.

Changes in the mucosal immune cell composition and function that may occur *prior* to malignant transformation

remain poorly characterized. A competent immune system plays a clear role in the surveillance for neoplastic growth and the elimination of entire neoplastic lesions. IBD low grade dysplasia (LGD) is thought to regress in the majority of patients (72), regression of sporadic colonic adenomas has also been demonstrated (73), and a role for immune clearance is feasible in both scenarios. Indeed, murine studies provide useful insight into potential immunoediting mechanisms at the earliest stages of neoplastic formation; for example, the transfer of CD4⁺ CD25⁺ T_{reg} cells from wild type mice into APC^{Min/+} mice reduces adenoma burden in an IL-10 dependent fashion (74).

A key finding in IBD carcinogenesis is that a significant proportion of IBD LGD lesions demonstrate a level of aneuploidy comparable to that of established CRCs (75); even normal IBD epithelium can bear significant chromosomal copy number alterations (76). Aneuploidy is associated with mutations in genes involved in the DNA damage response (77); indeed *TP53* is the classical initiating mutation in IBD-CRC. Aneuploid cells are normally subjected to negative selection in healthy tissue because of the significant associated proteotoxic, metabolic and replicative stressors (78); therefore this finding in IBD suggests that certain patterns of copy number alterations increase fitness, such that these added “costs” are outweighed by cell-specific “benefits” within the context of the colitic microenvironment, such as less restricted replication and immune evasion. Along this line of thought, it is noteworthy that pan-cancer analyses demonstrate that increased tumor aneuploidy is associated with reduced expression of cytotoxic immune markers, M2 polarization of macrophages, and increased cell cycling and proliferation (77). The mechanisms for these alterations are unclear and the authors speculate that aneuploidy may also impair certain aspects of MHC class I antigen presentation, thereby promoting immune evasion. Evidence to support this hypothesis comes from studies of human lung and breast cancer xenografts in mice, demonstrating how aneuploid cells subvert lethal epithelial responses triggered by cytoplasmic translocation of DNA during mis-segregated mitosis (79) despite upregulation of various inflammatory responses, including the cGAS-STING pathway, which evolved to combat viral infection by detection of extranuclear DNA (80). In aneuploid cancers, activation of the cGAS-STING pathway does not generate the expected downstream canonical NF κ B and type I interferon signaling, but rather drives the non-canonical NF κ B signaling cascade more typically seen in myeloid-derived cells, which the authors speculate may represent a form of immune mimicry (79).

MHC class II molecules also play an important role in colonic neoplastic change. Normally restricted to traditional antigen presenting cells, MHC class II expression can be induced in transformed epithelial cells, increasing in frequency during the adenoma-carcinoma transition, with a corresponding increase in the density of tumor-infiltrating lymphocytes (81). Moreover, metastasis is associated with a loss of MHC class II expression across multiple solid cancers including CRC (81–83). An example of the role of MHC molecules in colitis-associated carcinogenesis is given by a case-control study of patients with ulcerative colitis, which demonstrates that HLA-DR17 expression (a particular serotype recognizing HLA molecule) is associated with increased

CRC risk and methylation-induced HLA-DR silencing, while HLA-DR7 and HLA-DQ5 are associated with reduced CRC risk (84). The authors speculate that these patients may be particularly sensitive to the oncogenic effects of the altered microbiome in IBD (84); indeed MHC class II polymorphisms are known to be associated with susceptibility to infection-mediated cancers such as *Helicobacter pylori* associated gastric adenocarcinoma and HPV-associated cervical squamous cell cancer.

Shared Genetic Predisposition

In practice, the evidence for a shared genetic predisposition to both IBD and colorectal cancer remains very limited. In fact, a GWAS study comparing the 181 most common IBD susceptibility variants with those known to predispose to CRC in the general population demonstrate only one shared variant (rs11676348, which lies immediately upstream of *CXCR2*), that actually increases UC risk while lowering CRC risk (85). We note that there have been no GWAS studies within IBD patients that identify the subset of patients most at risk of developing dysplasia and cancer, and potentially “classical” GWAS for CRC risk may have included some IBD CRC cases. Nevertheless, these overall findings emphasize the greater importance of acquired (and in particular environmental) factors as the driver for both conditions, which (unlike genetic predisposition) are modifiable, and may therefore be targeted to alter the course of tumor evolution at its earliest stages for cancer prevention.

PATIENTS WITH IBD PROVIDE AN “IDEAL” HUMAN MODEL FOR THE EVOLUTIONARY STUDY OF COLORECTAL CARCINOGENESIS

IBD patients undergoing endoscopic surveillance offer an ideal human system for an evolutionary approach to studying the time course of colorectal carcinogenesis over a patient's lifetime. First, routinely collected biopsies at colonoscopy, years before a cancer is detected, form a rich tissue archive that is amenable to temporospatial evolutionary analysis. Similarly, the metachronous and synchronous nature of IBD neoplastic lesions provides another serendipitous opportunity for the assessment of clonal relationship between lesions separated by time and/or space. Finally, patients with IBD-CRC routinely undergo a complete resection of their colon and rectum rather than a limited segmental resection, thereby allowing for the detailed mapping of mutant populations in phenotypically normal colonic epithelium both proximal to and distal from a neoplasm (75), and facilitating correlation with local microenvironmental factors.

The Relapsing-Remitting Nature of Inflammatory Bowel Disease Drives Epithelial Clonal Evolution

In the following sections, we discuss the significant body of evidence (14) demonstrating that the recurrent cycles of ulceration and healing typical of IBD generate evolutionary pressures that:

- (a) Increase the rates of epithelial stem cell mutations within crypts through more rapid cell cycling,
- (b) Promote the survival of mutant clones that can tolerate an inflammatory environment during periods of disease activity, and
- (c) Allow the expansion of mutant clones that can more rapidly repopulate the healing mucosa.

The Intestinal Crypt as the Evolutionary Unit of the Colon

The adult colon is lined by approximately 10 million crypts, which are single cell layer invaginations of the colon lining composed of 1,000–4,000 columnar epithelial cells (86), centered around an estimated five to seven rapidly cycling LGR5-positive stem cells at the crypt base (87–90). In mice, stem cells divide roughly daily (90); their progeny become differentiated as they migrate from the crypt base to the luminal surface over a period of 5–7 days (91) before their shedding into the intestinal lumen. In humans, the dynamics are less well quantified, but are likely a little slower (88). Any mutations that persist in the crypt must therefore have first arisen within a long-lived stem cell. As a result, disparate crypts, bearing stem cells with potentially differing stochastically accumulated mutations, can independently evolve in response to localized microenvironmental pressures; these pressures can vary significantly across the length of the colon (92). For mutant clone fixation to occur within the entire crypt, the “founder” mutant stem cell must first replace all other crypt base stem cells via symmetric divisions in a process referred to as niche succession (93). For those mutations that provide no survival advantage, niche succession through neutral drift within the human intestinal crypt is a thought to be a slow stochastic process that is due to low mutation rates and slow stem cell loss/replacement rates under homeostatic conditions, although reported rates remain under debate (88, 89). Stem cells bearing classical driver mutations for CRC subvert this process (89), with accelerated growth dynamics and likelihood of niche succession due to increased fitness advantages supplied by oncogenic mutations. The colonic crypt stem cells from which a future cancer arises are thought to have already acquired approximately half the somatic mutational burden of the future tumor prior to malignant transformation (94).

Lateral mutant clonal spread in the colon is driven by crypt fission (95) and/or crypt regeneration (96), the processes by which crypts grow. Crypt fission represents a key homeostatic mechanism that heals the mucosa in response to ulceration or other injury (97). Under normal conditions, colonic crypt fission is a surprisingly infrequent event, with fewer than 1% of all crypts dividing in a single year (89).

IBD Activity Provides a Survival Advantage for Mutant Clones That can Survive in a Hostile Inflammatory Environment

Evidence for the importance of the inflammatory microenvironmental context in selecting for crypt stem cells harboring key pro-oncogenic mutations comes from lineage

tracing experiments in recombinant mouse models (98). Under normal conditions, stem cells bearing *TP53* mutations are no more likely to replace their neighboring wild type stem cells within the crypt base, while *APC* and *KRAS* mutations, which are more frequently encountered in sporadic CRC (75), offer a clear survival/growth advantage. However, in the context of chemically-induced colitis, the relative fitness of *TP53* mutant stem cells increases, as demonstrated by a 58% probability of mutant niche succession 21 days after colitis induction. This finding is consistent with studies on human IBD tissue showing that *TP53* mutations and loss of heterozygosity occur at a much higher frequency in IBD-CRC compared to sporadic CRC, and are an early event in IBD-driven carcinogenesis that can even be detected in non-dysplastic mucosa (75, 99, 100). This contrasts to sporadic colonic neoplasia, where *TP53* mutations are typically reported to be a late event in the adenoma-carcinoma sequence (101).

TP53 plays a critical role in inducing cell cycle arrest, senescence or apoptosis in cells with damaged genomes, as well as in cells exposed to severe metabolic and oxidative stressors (102) typically generated by severe IBD flares. On the other hand, colonic epithelial cells in the non-inflamed colon are not exposed to such a hostile microenvironment until the final stages of the adenoma-carcinoma transition, therefore the evolutionary pressure selecting for *TP53* mutations arises much later in sporadic CRC (103). Interestingly, *TP53* mutations are also able to generate an inflammatory response in their own right through oncogenic “gain-of-function” effects (104): human organoid studies and *in vivo* studies in *p53^{mut/+}* mice demonstrate that epithelial cells bearing a *p53* mutation commonly observed in IBD (R273H) can exacerbate their local inflammatory microenvironment, by prolonging TNF α -induced NF κ B activation, eventually generating flat dysplastic lesions with secondary cancers typical of those seen in IBD (105). Therefore, the early *TP53* mutations encountered in IBD may in fact act as independent drivers of IBD carcinogenesis through their downstream microenvironmental effects.

Oncogenic mutations that provide stem cells with a survival advantage within a crypt will often accelerate crypt fission as well. For example, data from murine (106) and human (89) studies confirm that the classical *KRAS* mutation seen in sporadic and to a lesser extent in IBD-associated neoplasia (G12D) increases crypt fission rates by at least one order of magnitude; murine studies also confirm accelerated crypt fission rates following *p53* mutation (107).

Colorectal Carcinogenesis in IBD Is Driven by Accelerated Cell Turnover and “Premature” Colonic Aging

Studies using Ki67, a cellular marker expressed during the active phases of the cell cycle, demonstrate an expanded proliferative zone in crypts from both regenerating IBD epithelium and early dysplasia when compared to normal colonic crypts (108). The precise stem cell population responsible for regenerating the human colonic epithelium in IBD is uncertain, with evidence from murine studies suggesting dynamic contributions

during the inflammation and regeneration phases. LGR5+ expression drops dramatically during the acute stages of colitis but increases dramatically during regeneration (109); other studies confirm that LGR5+ expressing stem cells may be very sensitive to intestinal injury (110) but nonetheless crucial to crypt regeneration (111). Inflammation has been shown to recruit long-lived and hitherto quiescent DCLK1+ tuft cells (112) from the crypt wall (113) that can acquire stem cell properties in the absence of LGR5+ stem cells, reconstituting the entire crypt, including the LGR5+ stem cell niche. Interestingly, these DCLK1+ cells did not proliferate or initiate neoplastic progression following conditional APC knockdown without the addition of an inflammatory stimulus (113).

It is thought that a rapid increase in stem cell numbers and subsequent clustering within the crypt base may act as a trigger for the initiation of crypt fission (114, 115). These observations may explain why active IBD, with an expanded stem cell proliferative zone, is associated with a crypt fission rate that is 30- to 70-fold higher than that of uninflamed mucosa (116), further accelerating cell turnover and clonal expansion.

These shifts in the properties of the stem cell niche in the context of injury and inflammation are in part driven by stromal cells that generate the necessary canonical and non-canonical Wnt signaling molecules necessary for epithelial reconstitution and subsequent maintenance of homeostasis (96). In mouse models, these stromal cells responsible for Wnt signaling have recently been identified as telocytes (117). Induction of acute colitis in mice by DSS ingestion results in an expansion of GLI1+ expressing telocytes (118). Of interest, a coding polymorphism in human *GLI1* that generates a variant protein with reduced transactivation is associated with predisposition to ulcerative colitis in populations of Northern European descent (119), further highlighting the role inflammation-modulated Wnt signaling in IBD pathogenesis. In addition, changes in the luminal metabolic and microbiome micro-environment induced by colitis may also play a role in promoting expansion of the stem cell niche. For example, intestinal intraluminal butyrate inhibits the proliferation of LGR5+ stem cells *in vitro*, and is thought to play a role in confining the stem cell niche to the crypt base, where differentiated colonocytes on the crypt walls have consumed the butyrate as their primary energy source (120). Reductions in butyrate associated with the microbiome dysbiosis of active IBD may therefore allow stem cells in injured crypts that are more directly exposed to luminal contents to continue dividing, thereby contributing to the accelerated cell turnover.

There now exists a substantial body of evidence demonstrating that the predominant mechanism for carcinogenesis in IBD is accelerated cell turnover and rapid colonic “aging,” and that IBD-CRC is *not* a consequence of direct DNA damage from reactive oxygen and nitrogen species as traditionally thought (121). First, trinucleotide context mutational signature analysis (122) of IBD-CRC demonstrates a preponderance of C>T substitutions at NpCpG trinucleotides (123) (mutational signature 1) in keeping with aging driven by rapid cell cycling (124), with no detection of signatures typical of direct DNA exposure to a genotoxic environment (signatures 4, 7, 11, 22, 24, and 29). Second, multiple studies report telomere shortening, another

surrogate marker of accelerated aging, in the colitic mucosa (18, 125–127). Finally, patients with ulcerative colitis bearing HGD or cancer demonstrate significant aging-related CpG island hypermethylation signatures in colitic mucosa far from the site of the neoplasia; these changes were not seen in UC mucosa of neoplasia-free patients or healthy controls (128).

The aforementioned finding of colonic aging through rapid cell cycling, combined with the heterogeneity of somatic mutations seen in IBD-associated CRCs (123, 129, 130), limits the extent to which any single animal model can replicate colitis-associated neoplasia formation (131). AOM-DSS mice remain the most utilized model, with mice exposed to the carcinogen azoxymethane (AOM), followed by repeated ingestion of dextran sulfate sodium (DSS) to induce inflammation. However, whole exome sequencing of these mouse cancers shows little overlap in terms of mutational landscape with human CRC, in particular the near absence of the most common IBD-CRC driver mutations such as *TP53*, *APC*, *KRAS*, and *PIK3CA*, no shared (132). Indeed, AOM-DSS mouse CRC are striking for the over-representation of C>T substitutions, which is more typical of DNA damage by alkylating agents like azoxymethane (132). Likewise, IL10^{-/-} mice develop colitis-associated cancers that do not demonstrate the chromosomal instability (133) that is typically encountered in most human IBD-CRCs (134); recent studies suggest the need for additional microbial and immunological stressors to improve IL10^{-/-} mouse model fidelity (61). In conclusion, the inability of current mouse models to replicate the diversity and dynamic shifts of the human microbiome, nor the cumulative effect of chronic inflammation and aging generated by colitis and time in patients, implies that assessment of IBD carcinogenesis requires study of the underlying process in patient-derived samples.

EVOLUTIONARY BIOMARKERS: A NOVEL APPROACH MERGING BIOLOGY AND MATHEMATICS

The stochastic nature of evolutionary changes (e.g., mutation accumulation, clonal expansion and selection) requires adequate time (years) for carcinogenesis to progress normal cell phenotype to malignancy in the human body. Using mathematical models, we can formulate mathematical expressions describing these evolutionary changes, and use the expressions to relate these evolutionary parameters to age-dependent epidemiological cancer incidence curves (135). In addition to the chronological age of the patient as an initial biomarker of CRC risk, biological aging of the colitic bowel itself may be considered as a potential marker of progression to neoplasia due to its prominence in the pathogenesis of IBD carcinogenesis explained above. The concept is based on measuring both the extent and speed of genetic evolution as a proxy of how “close to cancer” the cells have become (136). Tissue age is difficult to measure *in vivo*, but can be estimated with computational methods like Bayesian inference that have been used in molecular clocks applied to somatic epigenetic (137) and genomic (138) data from non-dysplastic Barrett's esophagus, a precursor of esophageal adenocarcinoma

that is characterized by intestinal metaplasia, driven by chronic acid-induced inflammation (139).

Partly due to accelerated cell turnover, IBD-CRCs show genomic diversity, both in terms of the inter-tumor permutations of genomic alterations leading to cancer formation, as well as the intra-tumor genomic heterogeneity in established cancers. IBD-CRCs may share only some of the same driver mutations (75), and some premalignant colonic adenomas do not have a detectable driver mutation in any of the 20 most frequent driver genes (140). For this reason, biomarkers of cancer risk that assay one molecular alteration or a single pathway will likely never be sensitive or specific enough to justify routine clinical use in cancer surveillance recommendations for patients with IBD.

Along with tissue age, other measures of *evolvability* may provide a more reliable marker of cancer risk in which the issue of intra-patient heterogeneity may be circumvented for clinical management. For example, IBD patients at risk of developing cancer may demonstrate high diversity in clonal composition that continues to change over time, while low risk patients may harbor few, if any, mutant clonal populations with size distributions that remain stable over time (14). A cornerstone principle of cancer evolution is that genomic diversity acts as the substrate for natural selection in the inflamed colonic bowel; the more diverse the colonic epithelial cell population, the more likely a well-adapted, “dangerous” clone will be present, outcompete other clones, and evolve toward a malignant phenotype. This concept of evolutionary biomarkers, defined in terms of ecological diversity measures, has been repeatedly demonstrated to be predictive of neoplastic progression in patients with Barrett’s esophagus (138, 141, 142).

Rather than seeking a set pathway of necessary changes from IBD to IBD-CRC, we can quantify measures of evolvability that are “agnostic” to any specific oncological pathway, by capturing a range of distinct molecular processes that may be potentially driving an individual patient’s cancer formation. By assaying the genomic alteration burden from spatially distributed biopsies, a wide range of evolutionary measures can be generated. For example, we could provide evidence of clonal sweeps by identifying shared genomic alterations across multiple individual biopsies, implying that they originated from a common founder. Similarly, clonal mosaicism can be assayed spatially between biopsies by measuring the genomic “distance” between the somatic mutations in these biopsies (where genomic distance is measured by the number of divergent mutations between two biopsies).

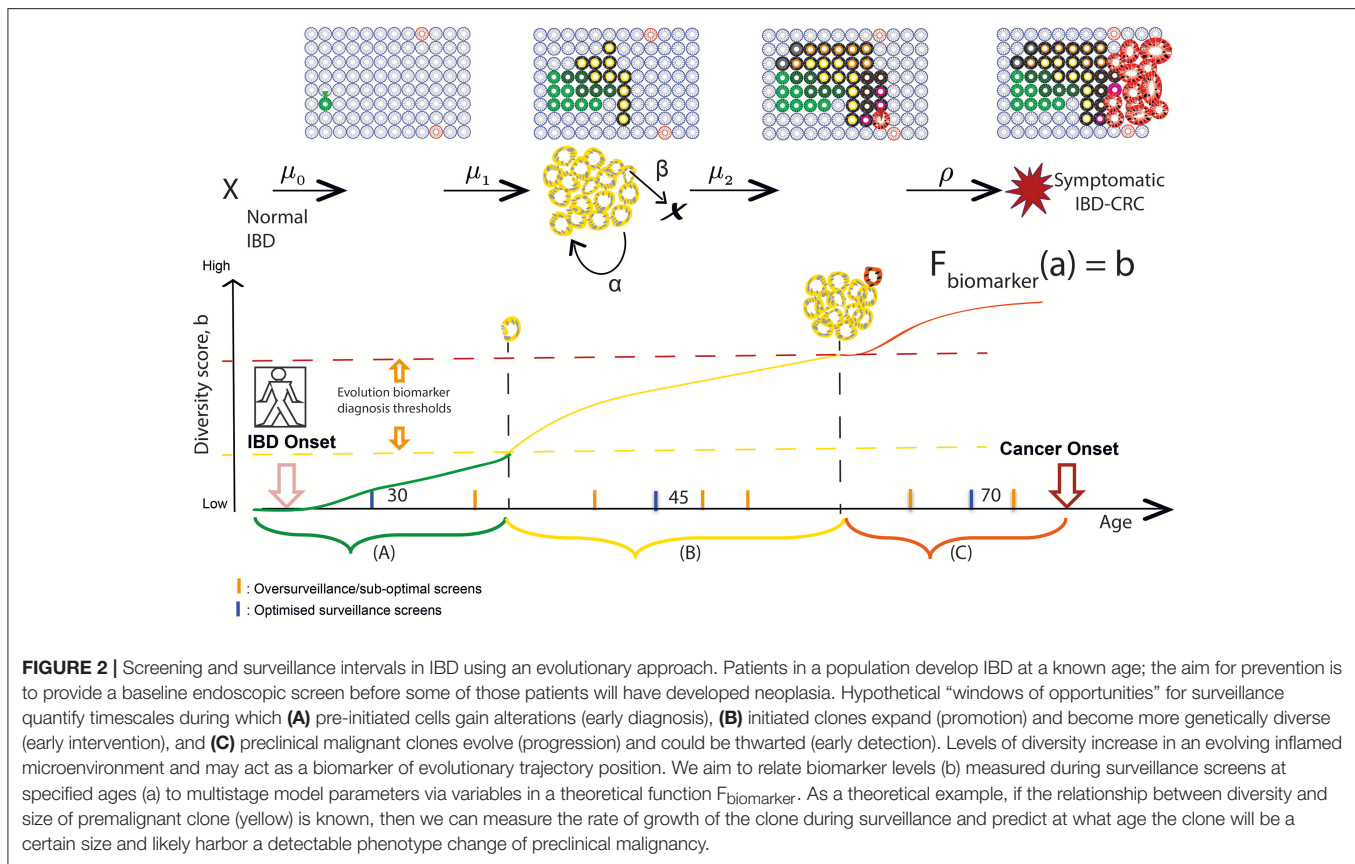
Finally, due to the extensive sampling of the IBD colon during routine surveillance, all assays can be measured over time in the same patient, with chronological rates of change potentially providing another measure of “evolvability.” A simple measure of growth is the difference between the clone size at two time points (which in its coarsest resolution, could be represented by the number of equidistant biopsies bearing that clone) divided by the difference in time points of endoscopic screening examinations for precursor lesions in IBD. In this way, we can utilize the lifelong surveillance of IBD patients to collect the genomic measurements for more precise calculations of IBD-specific evolutionary parameters such as initiation (μ), birth

(α), and death (β) rates of mutant clones in individual patients (Figure 2).

Indeed, temporal studies are vital when defining a “window of opportunity” for clinical intervention—i.e., when early pre-cancerous development may be observed/removed and further, when cancer risk can be reliably predicted (143, 144). Progression to IBD-CRC is driven by a series of rate-limiting evolutionary events (such as *TP53* mutation and critical copy number changes as described earlier in the review), while ongoing accumulation of heterogeneous genomic alterations occurs via genetic drift in crypts throughout patient lifetime. These salient rate-limiting events governing IBD evolution, which are not clearly understood but could also be assayed using a combination of particular mutations and evolutionary biomarkers, serve as the boundaries between windows of screening opportunity and may be reflective of histopathological stage. An evolutionary approach to IBD surveillance would aim to identify periods during a patient’s lifetime when highly evolved and aberrant clones would be detectable during surveillance, and when an early intervention would be beneficial, in order to tailor surveillance screens accordingly. This method of monitoring patient-specific rates of evolutionary change (such as increasing levels of genetic diversity in clones) can be used to predict the age(s) at which clones will attain a threshold size and/or diversity (see Figure 2). We can then use this information to make dynamic, personalized recommendations for the most efficient next-surveillance-screen time; this approach of theoretically predicting biomarker value change in the future has been demonstrated in mathematical models for *Helicobacter pylori* driven gastric cancers (145).

Thus far, the modeling done in studies of premalignant risk stratification in Barrett’s esophagus is typically standard survival analysis where the evolutionary biomarker (such as level of genetic diversity) is used as the predictor variable. More sophisticated models attempt to infer the temporal dynamics of clonal evolution, and extrapolate inferred trajectories to predict future disease state [for example, inference of time-dependent evolution model parameters for clone growth in Barrett’s esophagus (138)].

Mathematical modeling of carcinogenesis in IBD is in its infancy. Several types of mathematical modeling approaches may be useful to incorporate measures of the evolutionary process into temporospatial models of IBD cancer evolution for calibration and prediction of patient-specific trajectories. Agent-based models for clone growth of the evolutionary process *in silico* can employ simulations of patient-specific parameters (such as the rate of clonal expansion of a particular clone in a particular individual, or the patient-specific mutation rate) and explore the effect of spatial tissue constraints and microenvironmental changes on disease progression. Such models require extensive and detailed biological data at the outset for parameterisation, though, meaning that they may be impractical to apply in practice. Continuum models of growth may be used to predict general tissue change that can evaluate the effects of spatial sampling bias (e.g., quadrant biopsy tissue removal) on detection of rare subclones, but the increased abstraction of these modeling formulations necessitates that some biological detail is neglected.



Population level models can also be used to incorporate epidemiological data (e.g., cancer incidence, premalignant prevalence) and thus describe cancer evolution in a population with IBD. An example would be multistage clonal expansion models, a family of cell-based stochastic models positing that cancer is caused by the accumulation of rare events that define the boundaries of the initiation-promotion-progression stages of carcinogenesis (135). This theoretical framework integrates time-varying risk factors into the analysis of cancer epidemiological data (such as incidence and multifocal sizes of pre-malignant lesions), wherein stages from normal to malignant transformation are defined by the occurrence of rate-limiting events (e.g., *TP53* mutation). Finally, hybrid models can combine the above techniques that are calibrated to multiple levels of data [see reference (137) for an example of modeling Barrett’s esophagus clinical screening using agent-based tissue sampling *in silico* combined with cellular parameters calibrated to esophageal adenocarcinoma population data]. Choosing the appropriate model (and model type) is non-trivial, and depends upon the utility that is sought from the model.

By using the equations of the multistage mathematical model with estimated parameter values, we can then define such windows by solving for the probabilities (analytically and numerically) that an individual will most likely harbor a premalignant or malignant lesion of a screen-detectable size at endoscopic screening/diagnosis, and then use the outcome of each screen to benchmark the progress of evolution and

iteratively predict the next window to recommend surveillance screen times.

At present, such temporospatial information about clonal evolution needed in these mathematical model predictions is generally lacking, and so consequently is not used in the design of IBD surveillance protocols. Candidate molecular markers that have been associated with progression in IBD (described earlier in the review) including aneuploidy, methylation assays, microsatellite instability and mutational panels of key driver genes in IBD-CRC (such as *TP53*, *APC*, *KRAS*, and *CDK2NA*). Of these, only aneuploidy, as measured using flow cytometry, has been shown to date to carry prognostic potential in IBD (76, 146, 147). Dynamical information using one or more of these markers could potentially enhance clinical practice beyond current *ad hoc* screening interval recommendations, which are based on crude clinical features (4, 5).

FROM COLITIS TO CANCER: TRANSLATIONAL IMPLICATIONS OF UTILIZING AN EVOLUTIONARY APPROACH

Pathogenic genomic alterations (e.g., point mutations and chromosomal copy number alterations) are known to occur in phenotypically normal epithelium many years before a cancer forms (16, 75, 146, 148). Enumeration of these mutations may

aid in risk stratifying patients who will more likely progress to IBD-CRC for more aggressive surveillance and treatment, while reducing surveillance requirements for lower risk patients. By using the aforementioned evolutionary approach, we envisage a more personalized approach to cancer risk assessment that combines patient demographic details and endoscopic features (149) with genomic assays.

At present, a significant proportion of patients with low grade dysplasia are advised to have a complete resection of their colon and rectum in light of the high risk of multifocal neoplasia (150). For these patients, the ability to define the extent of mutant clonal spread can justify a more limited surgical resection, with particular focus on the potential for rectal-sparing surgery, thereby avoiding the need for an ileo-anal pouch or permanent stoma.

A natural extension of this evolutionary approach to IBD carcinogenesis is that altering the inflammatory selection pressure may modify future cancer risk. At present, we are uncertain as to whether standard IBD anti-inflammatory or immunosuppressive therapies can halt (or possibly even reverse) the formation, expansion and/or evolution of mutant clones. 5-aminosalicylates, an anti-inflammatory class of drugs that form the first line of therapy for patients with UC, are thought to reduce the incidence of IBD-associated dysplasia and IBD-CRC (151). The precise mechanism remains unclear and is probably multi-faceted; 5-aminosalicylate use has been shown to reduce inflammation-generated β -catenin signaling in the mid- and upper crypt (152). β -catenin is a key transcription factor in the Wnt pathway, with aberrant constitutive Wnt signaling (through phosphorylation and nuclear translocation of β -catenin) extending beyond the crypt base stem cell being a common initiator in colorectal adenoma and carcinoma formation through the expansion of the crypt stem cell niche. In sporadic adenomas this is achieved through *APC* gene mutation; indeed, similar findings have been noted in *APC*^{Min/+} mice, where non-steroidal anti-inflammatory drug (NSAID) use selectively increased the apoptosis rate in crypt stem cells with nuclear or phosphorylated β -catenin by over fivefold (153).

In practice, prospective randomized controlled trials that can assess the specific impact of the different medical therapies on IBD dysplasia and CRC risk will be challenging to conduct, and will probably be underpowered due to the required large patient cohort size (to handle inter-patient variability) and long follow-up time. Instead, a proxy for cancer-risk reduction will be the minimization of the cumulative inflammatory burden of the colitic bowel through the achievement of deep remission (154). An understanding of the evolutionary dynamics of carcinogenesis in IBD, and its evaluation *in vivo* in the presence and absence of chronic disease activity, may compensate for any limitations in our understanding of the precise anti-neoplastic mechanisms of anti-inflammatory IBD therapies. Indeed, experimental data on the feasibility of disease-modulating drugs to limit clonal expansion and progression in human tissue has emerged during the study of Barrett's esophagus. In Barrett's esophagus, NSAID use has been shown to modulate clonal evolution (155), with a reduction of both the burden and diversity of functional mutations

affecting key cancer-associated pathways compared to matched controls (156).

Quantification of immune-epithelial cell co-evolution is an important area for future research. Both cell populations can be described quantitatively by complex system models (157, 158) with marked plasticity, resulting in a near infinite possible set of permutations (clonal and subclonal populations in the case of cancer, B cell and mucosal T cell receptor repertoire composition combined with microbiome diversity in IBD), and a susceptibility to sustained external selection pressures that can “promote” and “fix” clinically deleterious traits (e.g., loss of response to IBD therapy). As the “selfish” drive of the individual colon cancer cell to expand comes at the expense of the multicellular human host, so the same approach can be used to understand and model the conditions driving the “selfish” activation and/or expansion of aberrant immune cell populations.

Much like traditional cancer chemotherapies, current IBD therapies are the product of a reductionist approach that targets one or several pathways, which are of varying importance between patients (hence the variable and incomplete response rate to IBD therapies), and which have a minor impact on the long term course of the disease [as demonstrated by the persisting need for surgery (159) despite advances in medical therapy]. In cancer, evolutionary adaptive therapies, that aim to control tumor burden while simultaneously limiting the selection pressure driving the emergence of resistant mutant clones, offer a new paradigm in oncological management that already shows promise in pilot clinical trials (160). Similar treatment paradigms are needed in IBD patients: fecal microbiota transplantation shows promise as such an intervention in ulcerative colitis (161), with its concomitant alterations in microbiome diversity and composition. Indeed, fecal microbiota transplantation is most efficacious in patients with more recent diagnoses of ulcerative colitis (162), possibly because this intervention is performed prior to the irreversible “fixation” of aberrant adaptive immune clones in such patients.

CONCLUSION

Inflammatory bowel disease represents an ideal model for the study of human cancer using an evolutionary approach. Routine surveillance colonoscopies provide a serendipitous opportunity to observe somatic evolution over space and time *in vivo*. Moreover, somatic evolution is accelerated in IBD through the relapsing-remitting nature of disease flares. Direct and detailed temporospatial assays of clonal populations, together with their co-evolving immune and microbiome components of the mucosal microenvironment, now feasible using the latest sequencing technologies, can be leveraged toward the development of an “evolutionary” biomarker that can better predict an individual patient's cancer risk. Finally, an evolutionary systems approach (163), currently utilized in the study of carcinogenesis, may offer a novel paradigm for understanding the concomitant immunological evolution that is vital for escape from immune surveillance and promotion of tumor growth.

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CD3+CD4+LAP+Foxp3-Regulatory Cells of the Colonic Lamina Propria Limit Disease Extension in Ulcerative Colitis

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Background and Aims: In ulcerative colitis (UC), inflammation begins in the rectum and can extend proximally throughout the entire colon. The extension of inflammation is an important determinant of disease course, and may be limited by the action of regulatory T cells (Tregs). In this cross-sectional study, we evaluated the relationship between UC extension and the proportions of CD3+CD4+Foxp3+ and CD3+CD4+LAP+Foxp3-Tregs in the colonic lamina propria (LP) of 79 UC patients and 29 controls. The role of these cells in UC extension was also investigated in the murine oxazolone-induced colitis model.

Methods: Patients: Disease extension was classified according to the Montreal classification. Where possible, endoscopic biopsies of involved and uninvolved tissue were obtained from UC patients. Mouse model: Colitis was induced by intrarectal oxazolone administration. Lamina propria mononuclear cells were isolated from patient biopsies and mouse colon tissue using enzymatic method and the percentage of CD3+CD4+Foxp3+ and CD3+CD4+LAP+Foxp3-cells evaluated by immunofluorescence. Confocal microscopy was applied for the visualization and quantification of CD4+LAP+ cells on tissue histological sections.

Results: In UC patients with distal colitis the proportion of LP CD3+CD4+Foxp3+ Tregs was significantly higher in inflamed tissue than uninvolved tissue. As opposite, the proportion of LP CD3+CD4+LAP+ Tregs was significantly higher in uninvolved tissue than involved tissue. Both LP CD3+CD4+Foxp3+ and LP CD3+CD4+LAP+ Tregs proportion in involved tissue was significantly higher than in controls irrespective of the extension of inflammation. In mice with oxazolone-induced distal colitis, treatment with LAP-depleting antibody was associated with the development of extensive colitis.

Conclusions: Our findings suggest that CD3+CD4+LAP+Foxp3-Tregs limit the extension of inflammatory lesions in UC patients.

Keywords: CD3+CD4+LAP+Foxp3-regulatory cells, inflammation, immunity, oxazolone colitis, regulatory T cells, ulcerative colitis

INTRODUCTION

Ulcerative colitis (UC) is characterized by inflammation, typically restricted to the mucosal surface that begins in the rectum and can continuously extend proximally throughout the entire colon (1). A prospective study of Norwegian UC patients found that at the time of presentation, colitis was limited to the rectum (proctitis) in one third of patients, the colorectum distal to the splenic flexure (left-sided colitis) in another third, and the area proximal to the splenic flexure (extensive colitis) in the remaining third (2). In patients with distal colitis, inflammation progresses in 25–50% of patients, while regression is observed in about 16% of patients (3, 4). The anatomical extent of mucosal inflammation is one of the most important factors determining disease course. Patients with extensive colitis have a greater risk of colectomy than those with proctitis (5–7), as well as a greater risk of colorectal cancer (8, 9). Proximal disease extension is associated with increased disease severity upon diagnosis and greater likelihood of clinical relapse (10, 11). Moreover, extensive colitis is associated with an increased frequency of extraintestinal manifestations, a steroid-refractory disease course, and the need for immunosuppressive and immune-modulating medications and surgery (10, 12). Younger age at diagnosis and the presence of sclerosing cholangitis are pre-existing independent predictive factors for disease progression (12).

The biological factors that determine the extent of inflammation in UC are unknown. Tregs have been identified as a key immunosuppressive population that is critically involved in maintaining intestinal homeostasis. Therefore, we hypothesized that Tregs may be involved in limiting the extension of inflammation. To investigate this hypothesis, we evaluated the frequencies of different Treg types in the lamina propria (LP) of UC patients with varying degrees of disease extension, and examined the contribution of these cells to disease extension in a mouse model of UC. Specifically, we analyzed the frequencies of CD3+CD4+Foxp3+ cells, as well as another type of Treg, CD3+CD4+ latency associated peptide (LAP)+ Foxp3-regulatory cells. We previously demonstrated that the latter cell type is present in human LP (13), and is found at a higher frequency in the LP of endoscopically active UC patients, but not in Crohn's disease patients (14). Moreover, LAP+ Foxp3⁻ T cells have been described to infiltrate colorectal cancer exhibiting more potent immunosuppressive activity than Foxp3⁺ regulatory T cells (15).

We found that in UC patients with proctitis and left-sided colitis the proportion of LP CD3+CD4+Foxp3+ Tregs was significantly higher in inflamed tissue than uninvolved tissue. As opposite, the proportion of LP CD3+CD4+LAP+ Tregs was significantly higher in uninvolved tissue than involved tissue. In a mouse model of distal colitis (16), we found that administration of an LAP-depleting antibody that has no effect on the frequency of CD4+Foxp3+ Tregs (17) was associated with the development of extensive colitis, suggesting that CD3+CD4+LAP+Foxp3-regulatory cells limit the extension of inflammatory lesions in UC.

MATERIALS AND METHODS

Patients

A total of 95 patients with endoscopically active UC who underwent colonoscopy for clinical flare-up at 2 tertiary centers (IBD, GE Unit, Sandro Pertini Hospital, Rome, and the Department of General Surgery, "P. Stefanini," Sapienza University, Rome) were included in the study. Disease activity was assessed using the endoscopic Mayo score (18). Patients with an endoscopic score ≥ 1 , not on rectal 5-ASA and/or steroids in the last 3 months, were considered to have endoscopically active UC and were enrolled in the study. The control group consisted of 29 participants [14 men, 15 women; mean \pm SE age, 55 ± 3 years; median (range) age, 56 (26–85)] undergoing colonoscopy for colonic cancer screening and suspected functional bowel disorders. In UC patients, disease extension, at time of endoscopy, was classified using the Montreal classification (19) as follows: proctitis, involvement limited to the rectum; left-sided colitis, involvement limited to a portion of the colorectum distal to the splenic flexure; extensive colitis, involvement extending proximal to the splenic flexure.

Biopsy Specimens

Multiple endoscopic mucosal biopsies were obtained. Biopsies were taken from endoscopically involved and uninvolved areas in UC patients, and from matched areas in controls. Diagnosis of UC was established based on established criteria and the localization and extension of the disease were confirmed by histology. Histopathology was quantified in H&E stained tissue sections using the Robarts histopathology index (RHI) (20). An RHI ≤ 6 in samples collected from involved tissue was considered indicative of remission, and the sample, together with the paired sample collected from uninvolved tissue, was excluded from the analysis. Accordingly, 16 patients with a Mayo endoscopic score = 1 were excluded from the analysis. The remaining 79 patients were evaluated applying the aforementioned criteria. In these patients, RHI < 3 were recorded for all biopsy samples collected from uninvolved tissue. Histology confirmed the absence of inflammatory changes in controls.

Some tissue sections were also analyzed by confocal microscopy. Three micrometer thick paraffin-embedded sections of human colon tissue from controls (CTR) and ulcerative colitis (UC) patients were stained after deparaffinization in xylene (5 min, two times), rehydration by sequential washes in 100% ethanol (3 min), 95% ethanol (3 min), 80% ethanol (3 min), 70% ethanol, 50% ethanol, deionized water and antigen retrieval (5 min at 95°C in 10 mM sodium citrate, pH 6.0). Slides were saturated with blocking buffer (PBS, 0.05% tween 20, 4% BSA) for 1 h at room temperature. Specimens were stained with a polyclonal rabbit anti-human CD4 at 5 μ g/ml, followed by donkey anti-rabbit-AlexaFluor-568, and a monoclonal mouse anti-human LAP followed by an goat anti-mouse AlexaFluor-647. After washing, slides were mounted in Prolong Gold anti-fade medium containing a DNA dye (DAPI). Confocal laser scanning microscopy (CLSM) observations were performed with a Leica TCS SP2 AOBs apparatus, using a 63x/1.40 NA oil

objective. Acquisition of images was performed by a Leica confocal software 2.6 (Leica, Germany).

Clinicopathological variables for all patients are shown in **Table 1**.

Isolation of Lamina Propria Mononuclear Cells (LPMCs)

LPMCs were isolated from freshly obtained biopsies using a previously described DDT-EDTA collagenase method (13, 14). Briefly, biopsies were washed in HBSS free of calcium and magnesium (HBSS-CMF; Hyclone, Europe LTD, Cramlington, United Kingdom), and then incubated for 5 min at room temperature in HBSS-CMF containing 1 mmol/l DTT (Sigma Chemical Co., St. Louis, MO, United States) and antibiotics (penicillin, 100 U/ml; streptomycin, 100 mg/ml; gentamicin, 50 mg/ml; and fungizone, 25 mg/ml). After washing 3 times in HBSS-CMF, the biopsies were cut into smaller pieces and incubated in HBSS-CMF containing 0.75 mmol/l EDTA, 10 mmol/l HEPES buffer, and antibiotics for 15 min at 37°C in a humid 5% CO₂ atmosphere to remove epithelial cells. After 2 washes, the tissue was incubated for a total of 2 h (2 × 1-h incubations) at 37°C in a humid 5% CO₂ atmosphere in complete medium (RPMI 1640 plus 10 mM HEPES buffer, 2 mM l-glutamine, 10% heat-inactivated FCS (Hyclone), and antibiotics) containing 25 U/ml collagenase V (Sigma-Aldrich, Milan, Italy) and 100 µg/ml of DNase (Roche Diagnostics, Mannheim, Germany). After incubation, the supernatant containing LPMCs was collected and washed twice in HBSS-CMF + antibiotics.

Antibodies And Reagents

APC-Cy7-labeled anti-CD3, PE-Cy7-labeled anti-IL-10, and isotype-matched control Igs were obtained from Biolegend (San Diego, CA, United States). PE-labeled anti IL-17A, and isotype-matched Ig control were obtained from eBioscience [San Diego, CA, United States]. FITC-labeled anti-CD4, PE-CF594-labeled anti-CD8 and isotype-matched control Ig were obtained from

Becton Dickinson Horizon (San Jose, CA, United States). PerCP-labeled anti-LAP [TGF-β1] and isotype-matched control Ig were obtained from R&D Systems (Minneapolis, MN, United States). APC-labeled anti-Foxp3, the Foxp3 staining buffer set, and isotype-matched control Ig were obtained from eBioscience (San Diego, CA, United States). LIVE/DEAD[®] Fixable Aqua Dead Cell Stain Kit was obtained from Life Technologies (Carlsbad, CA, United States). Phorbol-12-myristateacetate (PMA) and ionomycin were obtained from Sigma-Aldrich. FITC-labeled anti-CD4, isotype-matched control Igs, and Monensin solution (Golgi Stop) were obtained from BD Pharmingen (San Jose, CA, United States). For confocal microscopy imaging, polyclonal rabbit anti-CD4 (Novus, Colorado, United States), Donkey anti-rabbit-AlexaFluor-568 (Abcam, Cambridge, United Kingdom), monoclonal mouse anti-human LAP (R&D Systems) and goat anti-mouse AlexaFluor-647 (Abcam) were used.

Immunofluorescence Staining

Isolated LPMCs were incubated for 30 min with LIVE/DEAD[®] Fixable Aqua Dead Cell Stain. Next, cells were washed and stained with anti-human-CD3, anti-human-CD4, and anti-human LAP (TGF-β1). After incubation, cells were washed, fixed, and permeabilized with fixation/permeabilization solution for 40 min, and stained with anti-human Foxp3. In previous studies (14), we established that the percentages of LAP+ and Foxp3+ cells remained unchanged following PMA-ionomycin stimulation in the presence of Golgi Stop. Therefore, for evaluation of LAP and Foxp3 expression, together with that of the intracellular cytokine IL-10 and IL-17, LPMCs isolated from biopsies were incubated in X-VIVO15 medium and stimulated for 4 h with PMA (50 ng/ml) and ionomycin (1 µg/ml) in the presence of monensin (0.66 µl/ml Golgi Stop). After stimulation, cells were recovered and washed in PBS-1X, incubated for 30 min with LIVE/DEAD[®] Fixable Aqua Dead Cell Stain, and washed and labeled. Given the downregulation of CD4 expression that occurs following PMA-ionomycin stimulation, CD8 staining was preferred. Consequently, to assess

TABLE 1 | Patient clinicopathological variables.

Disease extension (n)	Age (years) mean ± SE median (range)	Sex M/F (n)/(n)	Disease duration since diagnosis (years) mean ± SE median (range)	Mayo endoscopic score mean ± SE median (range)	Therapy			
					CS	5-ASA agents	Immuno-modulators	Biological agents
Proctitis (21)	49 ± 3 52 (20–76)	17/14	10 ± 2 7 (0–35)	2 ± 0.1 2 (1–3)	5*+1	24	1	1
Left-sided Colitis (22)	53 ± 3 54 (23–82)	21/11	12 ± 2 12 (0–37)	2 ± 0.1 2 (1–3)	4*	22	6*	
Extensive Colitis (16)	43 ± 5 46 (19–70)	9/7	10 ± 2 15 (0–24)	2 ± 0.2 2 (1–3)	2*+1	10	1*+1	1*+1

*Patients with combined therapy.

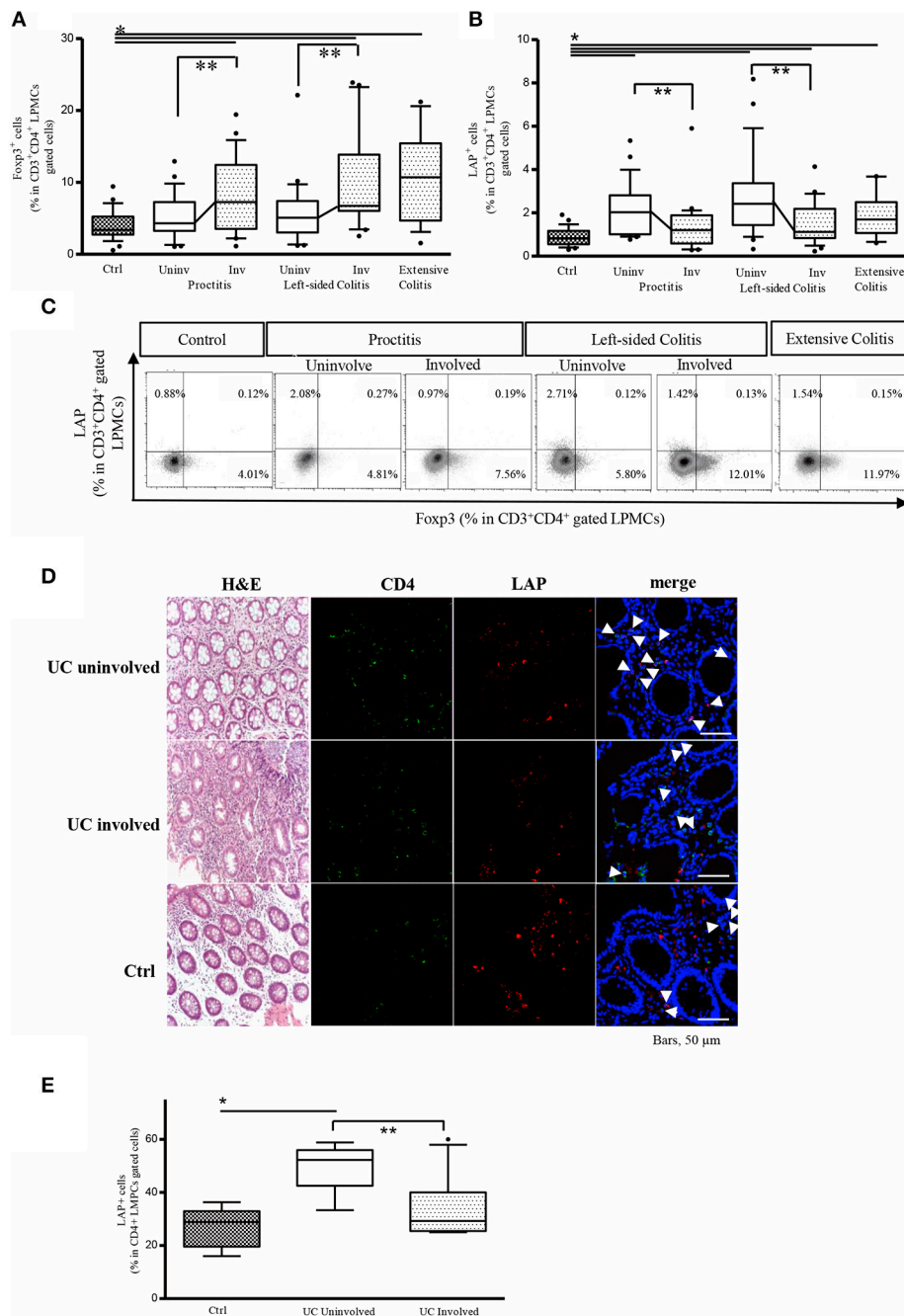


FIGURE 1 | The frequency of LP CD3+CD4+LAP+ cells is higher in uninvolved (Uninv) vs. involved (Inv) colon tissue from UC patients. **(A)** Frequency of LP Foxp3+ cells in the CD3+CD4+ gated LPMC population: $*P < 0.05$ (Mann-Whitney *U*-test) for controls (Ctrl) vs. proctitis (Uninv + Inv), left-sided colitis (Inv), and extensive colitis; $**P < 0.05$ (Wilcoxon signed-rank test) for uninvolved vs. involved tissue in proctitis and left-sided colitis. Data represent the mean \pm SE of 79 UC patients and 29 controls. **(B)** Frequency of LP LAP+ cells in the CD3+CD4+ gated LPMC population: $*P < 0.05$ for Ctrl vs. proctitis (Uninv + Inv) and left-sided colitis (Inv); $*P < 0.05$ (Mann-Whitney *U*-test) for controls vs. left-sided colitis (Uninv + Inv) and extensive colitis; $**P < 0.05$ (Wilcoxon signed-rank test) for uninvolved vs. involved tissue in proctitis and left-sided colitis. Data represent the mean \pm SE of 79 UC patients and 29 controls. **(C)** Representative density plots of Foxp3 and LAP expression in LP CD3+CD4+ gated cells. **(D)** Representative CD4+LAP+ T cells in colonic mucosa tissue of UC patients and controls. Confocal microscopy images of CD4 (green), LAP (red) and nuclei (blue) of matched involved and uninvolved colonic mucosa of a patient with UC, and a control subject (original magnification 630x). CD4+LAP+ double positive T cells are indicated by white arrows. For UC, 1 representative staining of 4 patients is shown. For control subjects, 1 representative staining of 2 subjects is shown. H&E stained sections of the corresponding subjects are also illustrated (original magnification 200x). **(E)** % of CD4+LAP+ cells in UC patients (involved and uninvolved tissue) and controls. For quantification at least 3 images for each patient ($n = 4$) or control subjects ($n = 2$) were assessed. Data represent mean \pm SE, $*P < 0.05$ (Mann-Whitney *U*-test) for controls vs. uninvolved tissue; $**P < 0.05$ (Wilcoxon signed-rank test) for uninvolved vs. involved tissue.

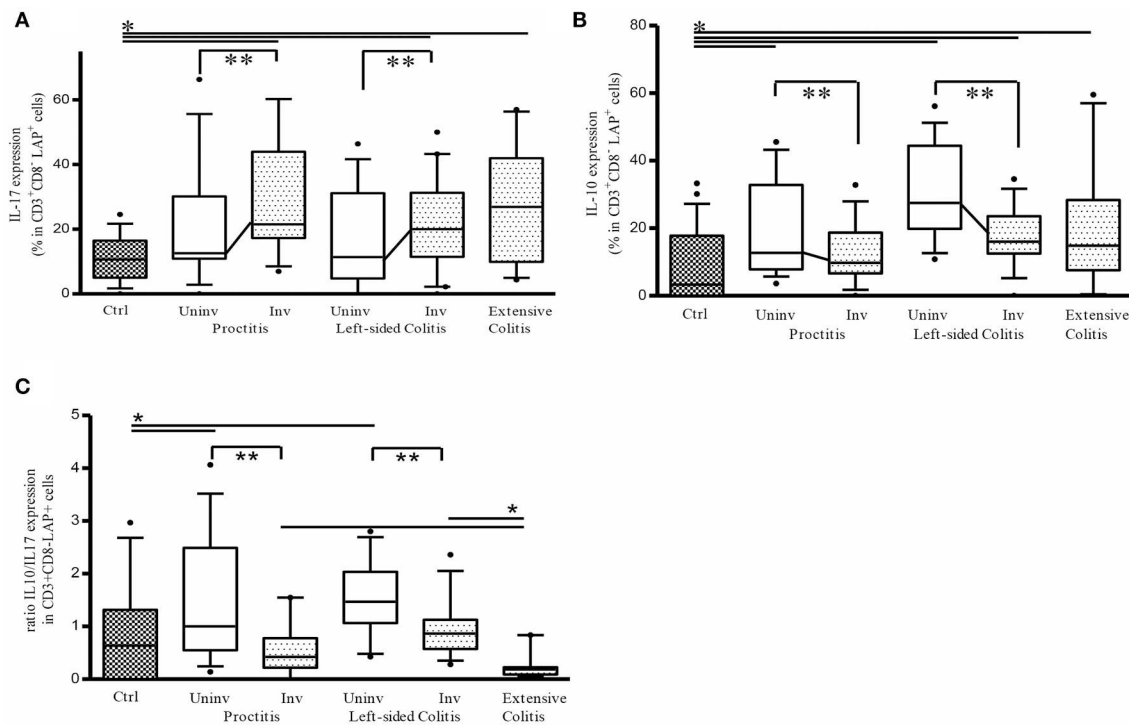


FIGURE 2 | Ratio between IL-10 and IL-17 expressing CD3+CD8-(CD4)LAP+ cells is significantly higher in uninvolved (Uninv) vs. involved (Inv) colon tissue from ulcerative colitis patients. **(A)** Frequency of LP LAP+ cells expressing intracellular IL-17 * $P < 0.05$ (Mann-Whitney *U*-test) for controls vs. Involved (Inv) tissue in proctitis, left sided colitis and extensive colitis. ** $P < 0.05$ (Wilcoxon signed-rank test) for uninvolved vs. involved tissue in both proctitis and left-sided colitis. **(B)** Frequency of LP LAP+ cells expressing intracellular IL-10: * $P < 0.05$ (Mann-Whitney *U*-test) for controls vs. proctitis (Uninv), left-sided colitis (Uninv + Inv), and extensive colitis; ** $P < 0.05$ (Wilcoxon signed-rank test) for uninvolved vs. involved tissue in both proctitis and left-sided colitis. **(C)** % LP LAP+ cells expressing intracellular IL-10 / % LP LAP+ cells expressing intracellular IL-17 (ratio). ** $P < 0.05$ (Wilcoxon signed-rank test) for uninvolved vs. involved tissue in proctitis, and left sided colitis. * $P < 0.05$ (Mann-Whitney *U*-test) proctitis and left-sided colitis uninvolved tissue vs. controls * $P < 0.05$ (Mann-Whitney *U*-test) Extensive colitis vs. proctitis and vs. left-sided colitis involved tissue. Data represent mean \pm SE of 43 UC patients (proctitis, 16; left-sided colitis, 17; extensive colitis, 10) and 26 controls.

intracellular cytokine expression, cells were stained with anti-human CD3, anti-human CD8, and anti-human LAP (TGF- β 1), and incubated for 30 min. After incubation, cells were washed, fixed, and permeabilized with fixation/permeabilization solution for 40 min. Cells were then washed in permeabilization buffer and incubated for 30 min with intracellular anti-human IL-10, anti-human IL-17 and anti-human Foxp3 or isotype-matched control Ig. Next, cells were washed twice with permeabilization buffer solution and fixed with 2% paraformaldehyde. CD4 cells were defined as those with a CD3+CD8-signature. The percentage of viable fluorescent cells was quantified using a GalliosTM Flow Cytometer (Beckman Coulter, Brea, CA, United States).

Induction of Experimental Colitis in Mice

Male BALB/c mice (Charles River Laboratories Italia, Calco, Italy) were housed in the Allevamenti Plaisant (Rome, Italy) animal facility in individually ventilated cages (IVC system) containing enrichment devices. Maintenance of pathogen-free conditions was ensured by monitoring every 6 months, in accordance with the Federation of European Laboratory Animal Science Associations (FELASA) recommendations. Experimental

colitis was induced in 6–7 week-old male BALB/c mice by administering 6 mg of oxazolone [(4-ethoxymethylene-2-phenyl-2-oxazolin-5-one) Sigma Chemical Co., St. Louis, MO, United States] dissolved in 50% ethanol (total injection volume, 150 μ l), via a 3.5-F catheter inserted into the rectum of lightly anesthetized mice, as previously described (15). Control groups consisted of untreated mice and mice treated with 50% ethanol (total injection volume, 150 μ l). Body weight was recorded at time zero (moment of intrarectal oxazolone/ethanol or ethanol administration), and on days 1 and 2 post-treatment. Mice were sacrificed on day 2 by cervical dislocation and colons collected for further analysis. In a preliminary experiment, we tested the ability of anti-LAP antibody (TW7-16B4 antibody, kindly donated by Professor HL Weiner, Harvard Medical School, Boston, MA, USA) to deplete the LP CD4+LAP+ cells. To this end we treated two different groups of mice for 5 days with daily injection (40 μ g, i.p.) of anti-LAP antibody or isotype control mouse IgG1 Clone MOPC-21 (BioXCell; DBA, Segrate, MI). Mice were sacrificed, colons were collected, and isolated LPMC cells were analyzed by immunofluorescence for LAP and Foxp3 expression. In additional experiments, different groups of mice received daily injections (40 μ g, i.p.) of anti-LAP

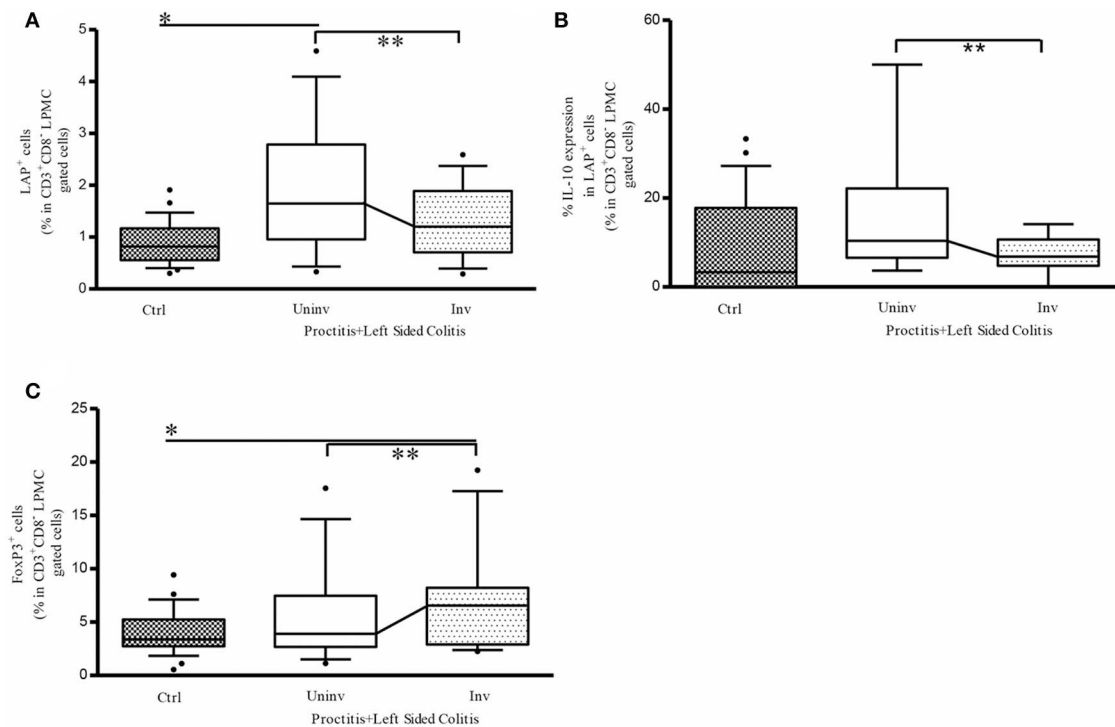


FIGURE 3 | Reduced disease extension is associated with a higher percentage of CD3+CD8-(CD4) LAP+ cells in uninvolved vs. involved colon tissue from UC patients. **(A)** Frequency of LP LAP+ cells in CD3+CD8- (CD4)-gated cells: * $P < 0.05$ (Mann-Whitney U -test) for controls vs. uninvolved tissue in proctitis + left-sided colitis; ** $P < 0.05$ (Wilcoxon signed-rank test) for uninvolved vs. involved tissue in proctitis + left-sided colitis. **(B)** Frequency of LP LAP+ cells expressing intracellular IL-10: ** $P < 0.05$ (Wilcoxon signed-rank test) for uninvolved vs. involved tissue in proctitis + left-sided colitis. **(C)** Frequency of LP Foxp3+ cells in CD3+CD8-(CD4)-gated cells: ** $P < 0.05$ (Wilcoxon signed-rank test) for uninvolved vs. involved tissue in proctitis + left-sided colitis. In all cases, data represent the mean \pm SE of 26 controls and of 13 UC patients with a history of extensive colitis in whom inflammatory lesions were limited to the rectum or left colon at the moment of endoscopy. Patient clinicopathological variables of this UC subgroup are not different from the whole UC patients population [Age: 53 ± 3 –53 (29–73) (years) mean \pm SE-median (range); Sex: 7/6 M/F; Disease duration since diagnosis: 14 ± 3.2 –12 (1–32); (years) mean \pm SE-median (range). Mayo endoscopic score: 2 ± 0.2 –2 (1–3) mean \pm SE-median (range)].

antibody (or isotype control), for 5 days before the induction of colitis.

Assessment of Colitis

Collected colons were macroscopically examined to assess the extension of colitis, and histological analysis of colitis was performed in colonic tissue samples that were fixed in 10% neutral buffered formalin solution (Sigma-Aldrich), embedded in paraffin, cut into tissue sections, and stained with hematoxylin and eosin. Multiple serial sections dividing the colon into three sections (proximal, medial and distal) were performed. Stained sections were examined by a pathologist [ISTOVET di Luca Crippa and C. S.A.S., Besana in Brianza (MB), Italy] and the extension of inflammatory lesions determined. Histopathologic grading of oxazolone-induced colitis was performed as previously described (23). Briefly, 5 criteria (hypervascularization, presence of mononuclear cells, epithelial hyperplasia, epithelial damage, presence of granulocytes and mucosal hemorrhages) were scored from 0 to 3 to produce a cumulative histopathologic score (HS) ranging from 0 (no colitis) to 15 (maximal colitis activity).

LPMCs Immunofluorescence Staining

Freshly isolated and washed LPMCs were subjected to Fc block with anti-CD16/CD32 (BD Pharmingen) and then labeled for 30 min with LIVE/DEAD[®] Fixable Aqua Dead Cell Stain. After washing, cells were stained by incubation for 30 min with APC-Cy7-labeled anti-CD3 (eBioscience), V450-labeled anti-CD4 (eBioscience), PE-labeled anti-LAP (BioLegend), or isotype control PE-labeled mouse IgG1 (R&D Systems). Intracellular Foxp3 expression was evaluated using the APC-anti-mouse/rat Foxp3 staining kit (eBioscience), following the manufacturer's protocol. The cells were then washed twice, and the percentage of fluorescent cells quantified using a Gallios[™] Flow Cytometer (Beckman Coulter, Brea, CA, United States).

Some tissue sections were also analyzed by confocal microscopy, using the above-described procedure. Briefly, 3 μ m-thick paraffin-embedded sections of unlesional and lesional colon tissues from mice treated as described in Induction of experimental colitis in mice paragraph were stained after deparaffinization, rehydration, antigen retrieval and saturation with blocking buffer. Specimens were stained with a monoclonal FITC-conjugated rat anti-mouse CD4 at

5 μ g/ml (BD Biosciences), and a monoclonal mouse anti-mouse LAP at 5 μ g/ml (BioLegend) followed by an goat anti-mouse AlexaFluor-633. After washing, slides were mounted in Prolong Gold anti-fade medium containing a DNA dye (DAPI). Confocal laser scanning microscopy (CLSM) observations were performed with a Leica TCS SP2 AOBS apparatus, using a 63x/1.40 NA oil objective. Acquisition of images was performed by a Leica confocal software 2.6 (Leica, Germany).

Statistical Analysis

Data were analyzed using the two sided Mann-Whitney *U*-test and the two sided Wilcoxon signed-rank test in GraphPad Prism software (GraphPad Software, San Diego, CA, United States). Statistical significance was set at $p < 0.05$.

Human Study

All participants provided written informed consent prior to inclusion in the study. Ethical approval was provided by the Ethical Committee of the Istituto Superiore di Sanità (Reference: Pre-C-871/14, 25/11/2014).

Animal Studies

This study was carried out in accordance with the recommendations of Decreto Legislativo 4 marzo 2014, n. 26 according with 2010/63/UE(14G00036) direction. The protocol was approved by the Italian Ministry of Health (Reference: 16/2014-PR [DGSF 12073-A, 05/06/2014], 03/10/2014).

RESULTS

The Frequency of LP CD3+CD4+LAP+ Cells Is Higher in Uninvolved vs. Involved Colon Tissue From Ulcerative Colitis Patients

Preliminary analyses of the percentage of CD3+CD4+LAP+ and CD3+CD4+Foxp3+ Tregs isolated from biopsies from different portions of control colons revealed no differences between colon regions (Supplementary Figure 1). We next evaluated the frequency of LP CD3+CD4+LAP+ and CD3+CD4+Foxp3+ Tregs in LPMCs isolated from biopsies from controls and from patients with endoscopically and histologically active UC with varying degrees of disease extension. In patients with either proctitis or left-sided colitis, the percentage of CD4+Foxp3+ cells was significantly higher in involved tissue than in uninvolved tissue (Figure 1A). As opposite % of CD4+LAP+ Tregs was significantly higher in uninvolved vs. involved tissue (Figure 1B). In agreement with previous observations (14, 24, 25), the percentage of Foxp3+ Tregs in the LP CD3+CD4+ T-cell population was significantly higher in involved tissue, regardless of disease extension, than in controls (Figure 1A). Similarly, in line with previous reports (14), the percentage of CD4+LAP+ Tregs was significantly higher in involved tissue from patients with extensive colitis and left-sided colitis than in controls (Figure 1B). In uninvolved tissue, the percentage of CD4+Foxp3+ cells was comparable to that of controls, while the percentage of CD4+LAP+ Tregs tissue was significantly higher. As previously reported (14),

the majority of LAP+ cells detected were Foxp3- (Figure 1C). Some biopsy specimens sections were also immunofluorescence stained for tissue assessment of CD4+LAP+ cells by confocal microscopy. As illustrated in Figures 1D,E, uninvolved tissue showed significantly more CD4+LAP+ double-fluorescent cells when compared with involved and control tissue.

Ratio Between IL-10 and IL-17 Expressing LAP+ Cells Is Significantly Higher in Uninvolved vs. Involved Colon Tissue From Ulcerative Colitis Patients

We have previously shown that in active UC patients, LP CD3+CD8- (CD4) LAP+ cells are enriched for IL-17 expression, showing reduced suppressor activity due the intracellular IL-17 expression (14). It has been recently reported that CD4+LAP+ cells expressing IL-10 exhibit regulatory activity (26, 27). We therefore evaluated IL-17 and IL-10 expression in LP CD3+CD8- (CD4) LAP+ cells. As shown in Figure 2A, the percentage of IL-17 expressing LP CD3+CD8- (CD4) LAP+ cells was significantly reduced in uninvolved vs. involved tissue while the % of IL-10-expressing LAP+ cells was significantly higher in uninvolved vs. involved tissue (Figure 2B). As a consequence, the ratio between IL-10 and IL-17 expressing LAP+ cells was significantly higher in uninvolved vs. involved tissue (Figure 2C). Notably, extensive colitis ratio was significantly lower than the ratio observed both in proctitis and left-sided colitis involved tissue. As previously reported and confirmed in the present study, % of IL-17 expressing LAP+ cells was significantly increased in involved tissues vs. controls (14). % of IL-10 expressing LAP+ cells was significantly increased in uninvolved tissues vs. controls.

Reduced Disease Extension Is Associated With a Higher Percentage of CD3+CD8- (CD4+) LAP+ Cells in Uninvolved vs. Involved Tissue From Ulcerative Colitis Patients

To determine whether the observed differences in Tregs frequencies were indeed linked to the extension of inflammatory lesions, we analyzed a subgroup of patients with a history of endoscopic assessed extensive colitis in whom inflammatory lesions were endoscopically limited to the distal colon (mainly rectum) at the moment of the entry in the present study. We found that the presence of distal inflammatory lesions was associated with a significantly higher frequency of LAP+ cells in LP cells isolated from uninvolved tissue vs. both control tissue and involved tissue from UC patients (Figure 3A). Within this cell population, the percentage of IL-10-expressing CD4+LAP+ cells was significantly higher in uninvolved vs. involved tissue (Figure 3B). The percentage of CD4+Foxp3+ Tregs was significantly higher in involved vs. uninvolved tissue, in which values were comparable to those detected in controls (Figure 3C). These findings suggest that the differences in the frequencies of regulatory cells between involved and uninvolved tissue are linked to the extension of inflammatory lesions.

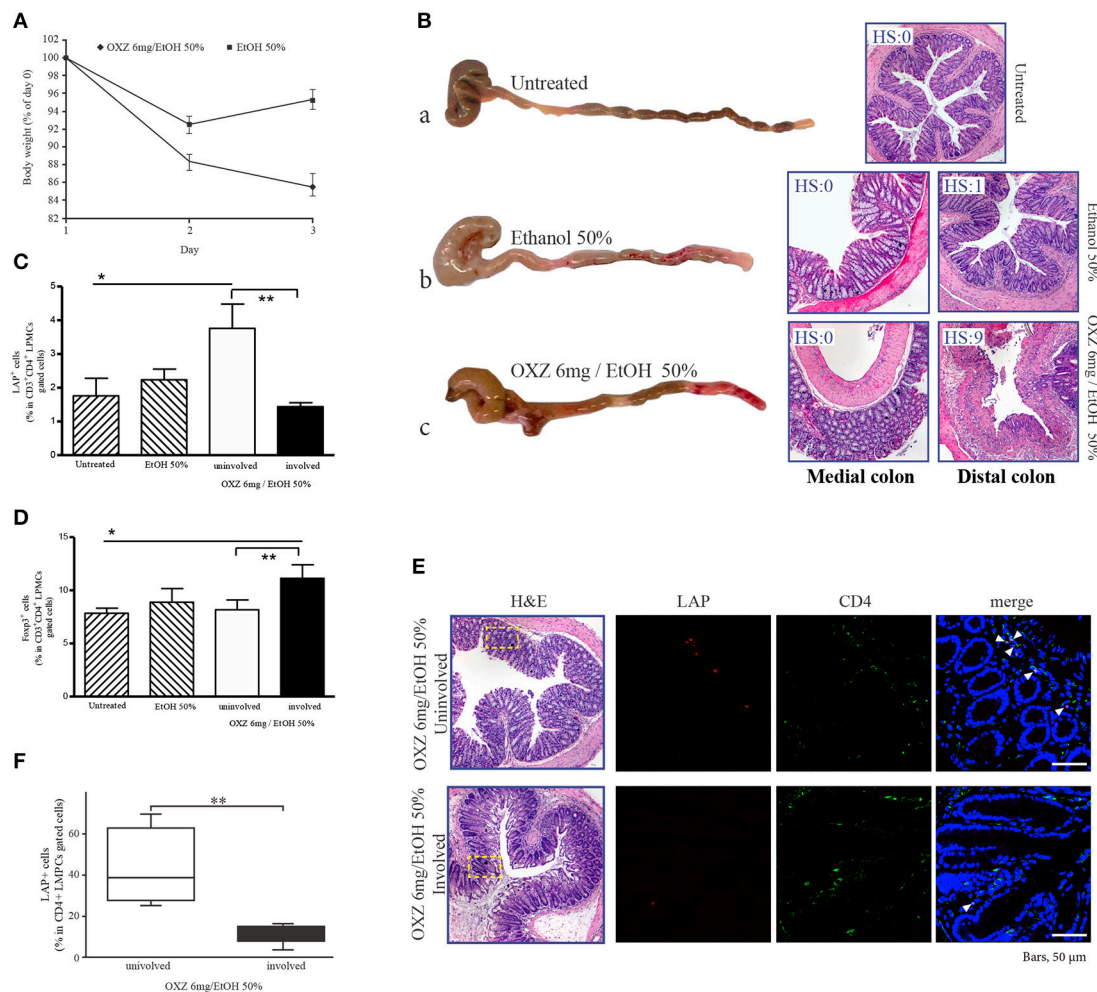


FIGURE 4 | Oxazolone-induced colitis reproduces the observations made in UC patients. **(A)** Weight changes in mice after intrarectal administration of oxazolone (6 mg/ethanol 50%). Each point represents the cumulative mean (\pm SE) weight from 3 separate experiments in which 5 mice per group were studied. $^*P < 0.05$ (Student's *t*-test) for EtOH 50% vs. OxZ 6 mg/EtOH 50%. **(B)** Representative macroscopic (on the left) and corresponding microscopic (on the right) images of the colons from untreated (a), EtOH 50%-treated (b), and OxZ 6 mg/EtOH 50%-treated (c) mice, all of which were sacrificed 2 days post-treatment. H&E staining of distal (untreated), distal and medial colonic tract of EtOH 50%-treated and oxazolone-treated mice at $40\times$ magnification. HS, histopathologic score (see methods). **(C)** Percentage of LAP⁺ cells among CD3⁺CD4⁺-gated LMPCs isolated from the colon of untreated, ethanol-treated and oxazolone/ethanol-treated mice: $^*P < 0.05$ for untreated mice vs. OxZ-treated mice (uninvolved tissue); $^{**}P < 0.05$ for uninvolved vs. involved tissue in OxZ-treated mice. Each point represents mean \pm SE of pooled values derived from 3 experiments in which 5 mice per group were evaluated. **(D)** Percentage of Foxp3⁺ cells among CD3⁺CD4⁺-gated LMPCs isolated from the colon of untreated, ethanol-treated, and oxazolone/ethanol-treated mice: $^*P < 0.05$ (Mann-Whitney *U*-test) for untreated mice vs. OxZ-treated mice (involved tissue); $^{**}P < 0.05$ (Wilcoxon signed-rank test) for uninvolved vs. involved tissue in OxZ-treated mice. Each point represents the mean \pm SE of pooled values derived from 3 experiments in which 5 mice/group were evaluated. **(E)** Representative CD4⁺LAP⁺ T cells in colonic mucosa of involved and uninvolved tissue of oxazolone-treated mice. Confocal microscopy images of CD4 (green), LAP (red) and nuclei (blue) of matched involved and uninvolved colonic mucosa of a oxazolone-treated mouse (original magnification $630\times$). CD4⁺LAP⁺ double positive T cells are indicated by white arrows. 1 representative staining of 3 mice is shown. H&E stained sections of the corresponding confocal images are also illustrated (original magnification $40\times$). The rectangles highlight the area shown in the confocal microscopy images. **(F)** % of CD4⁺LAP⁺ cells in colons of oxazolone-treated mice (involved and uninvolved tissue). For quantification at least 3 images for each colon ($n = 3$) were assessed. Data represent mean \pm SE, $^{**}P < 0.05$ (Wilcoxon signed-rank test) for uninvolved vs. involved tissue.

Depletion of LAP⁺ Cells Is Associated With Proximal Extension of Inflammatory Lesions in the Murine Oxazolone-Induced Colitis Model

To gain further insight into the roles of the two aforementioned Tregs subsets in limiting the extension of inflammatory lesions,

we evaluated the effect of *in vivo* administration of an LAP-depleting antibody that has no effect on the frequency of CD4⁺Foxp3⁺ cells (17) in mice with oxazolone-induced experimental colitis (16). Intrarectal administration of oxazolone (6 mg in 50% ethanol) was associated with weight loss and the onset of distal colitis (Figures 4A,B), as previously described (16). This colitis was associated with significantly higher

TABLE 2 | Histopathologic score of colons of mice with oxazolone colitis administered the anti-LAP antibody or its isotype control.

Treatment	Mouse								Total	
			A	B	C	D	E	F	(A+B+C+D+E+F)	
			0–3	0–3	0–3	0–3	0–3	0–3	0–15	
aLAPAb->OXZ 6mg	A	distal colonic tract	0	2	0	3	2	2	9	
		medial colonic tract	0	2	0	3	2	0	7	
		proximal colonic tract	0	0	0	0	0	0	0	
aLAPAb->OXZ 6mg	B	distal colonic tract	0	2	0	2	2	1	7	
		medial colonic tract	0	1	0	2	1	1	5	
		proximal colonic tract	0	0	0	0	0	0	0	
aLAPAb->OXZ 6mg	C	distal colonic tract	0	3	0	3	3	3	12	
		medial colonic tract	0	2	0	2	3	2	9	
		proximal colonic tract	0	0	0	0	0	0	0	
IsoAb->OXZ 6mg	D	distal colonic tract	0	3	0	3	3	3	12	
		medial colonic tract	0	0	0	0	0	0	0	
		proximal colonic tract	0	0	0	0	0	0	0	
IsoAb->OXZ 6mg	E	distal colonic tract	0	3	0	3	2	2	10	
		medial colonic tract	0	0	0	0	0	0	0	
		proximal colonic tract	0	0	0	0	0	0	0	
IsoAb->OXZ 6mg	F	distal colonic tract	0	2	0	3	3	1	9	
		medial colonic tract	0	0	0	0	0	0	0	
		proximal colonic tract	0	0	0	0	0	0	0	
aLAPAb->OXZ 6mg								Mean	SE	
		distal colonic tract							9	1.8
		medial colonic tract							7	1.4
IsoAb->OXZ 6mg		proximal colonic tract							0	0.0
		distal colonic tract							10	1.1
		medial colonic tract							0	0.0
		proximal colonic tract							0	0.0

Colons were divided into three sections (proximal, medial, and distal), multiple serial sections were done and slides were scored according with 5 criteria from 0 to 3 (A, hypervascularization; B, presence of mononuclear cells; C, epithelial hyperplasia; D, epithelial damage; E, presence of granulocytes; F, mucosal hemorrhages) to produce a cumulative histopathologic score (HS) ranging from 0 (no colitis) to 15 (maximal colitis activity).

percentages of LP CD3+CD4+LAP+ cells (**Figure 4C**) in uninvolved tissue as compared with both involved tissue and with tissue from untreated control mice, and a higher percentage of CD3+CD4+Foxp3+ cells in involved tissue as compared with both uninvolved tissue and control untreated mice (**Figure 4D**), thus reproducing the observations made in UC patients. In oxazolone colitis, the significant increased % of CD4+LAP+ cells in uninvolved vs. involved tissue was confirmed also by confocal microscopy (**Figures 4E,F**). After a preliminary validation of the ability of anti-LAP antibody administration to selectively deplete LP CD4+LAP+ cell without affecting the % of LP CD4+Foxp3+ cells (**Supplementary Figure 2**), we administered the antibody or its isotype control in two groups of mice, before the induction of oxazolone colitis. Administration of anti-LAP antibody had no effect on weight loss, which was comparable to that observed in isotype-treated mice (**Figure 5A**), but was associated with more extensive colitis (**Figures 5B,C** and **Table 2**).

DISCUSSION

The present findings suggest that LP CD3+CD4+LAP+ Tregs are responsible for limiting the extension of inflammatory

lesions in UC. We observed increases in both the percentage of CD3+CD4+LAP+ cells and the proportion of IL-10-expressing CD3+CD4+LAP+ cells associated with a reduction of IL-17-expressing CD3+CD4+LAP+ cells in uninvolved vs. involved tissue from UC patients. Previous studies have reported increases in the numbers of CD3+CD4+LAP+ cells (14) and CD3+CD4+Foxp3+ cells (24, 25) in involved UC tissue, the former were found to be functionally impaired *in vitro*, while the latter, although functional *in vitro*, were ineffective in counteracting inflammation *in vivo*. Indeed, in the present study, uninvolved tissue is characterized by a significant increase in the ratio between IL-10 and IL-17 expressing LAP+ cells suggesting that the increased % of CD3+CD4+LAP+ cells observed in uninvolved tissue is predominantly represented by functional active regulatory cells. This hypothesis is reinforced by the observations obtained in mice since selective depletion of LAP+ cells in a mouse model of distal colitis was associated with the extension of inflammatory lesions to the proximal colon. Taken together, these data strongly suggest that CD3+CD4+LAP+ regulatory cells play a key role in limiting the extension of colonic inflammatory lesions in UC. CD3+CD4+LAP+ cells (which express surface TGF- β linked to its latency associated peptide

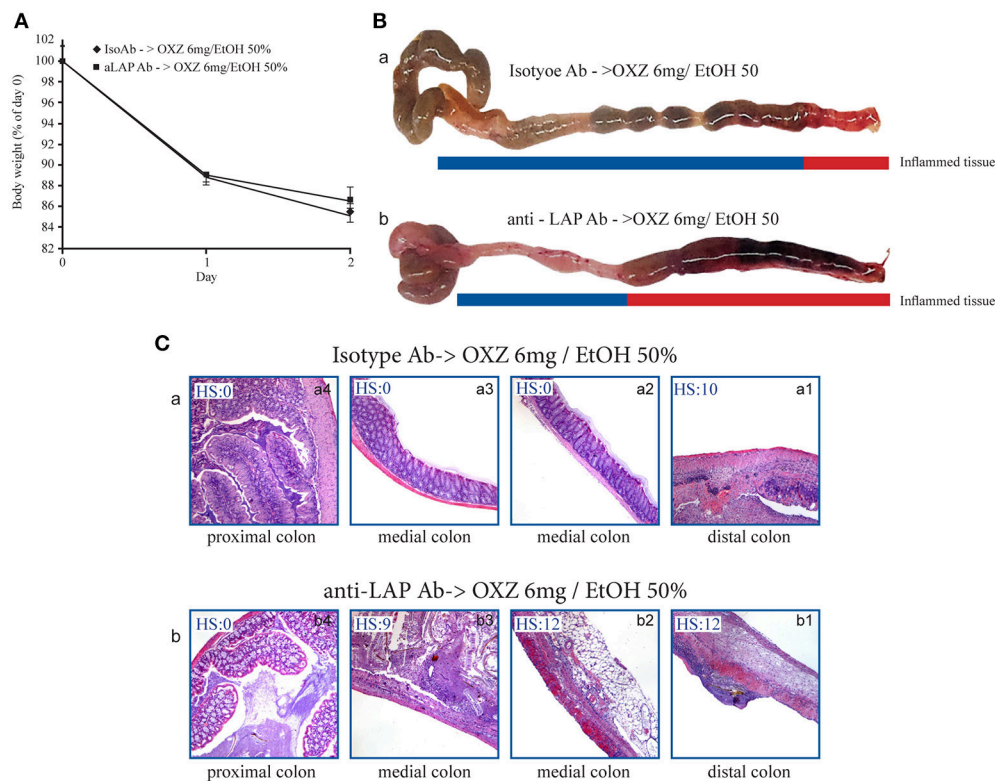


FIGURE 5 | Depletion of LAP⁺ cells is associated with proximal extension of inflammatory lesions in the mouse oxazolone-induced colitis model. **(A)** Weight changes in oxazolone-treated mice (6 mg/ethanol 50%) pretreated with either Isotype Ab or anti-LAP Ab. Each point represents the cumulative mean (\pm SE) weight from 3 separate experiments in which 5 mice per group were studied. **(B)** Representative macroscopic images of colons of oxazolone-treated mice pretreated with (a) Isotype Ab or (b) anti-LAP Ab. **(C)** H&E stained colonic specimens (40 \times magnification) of distal, medial and proximal colonic portions of oxazolone-treated mice pretreated with (a) Isotype Ab (a1-a4) or (b) anti-LAP Ab Isotype Ab (b1-b4). HS, histopathologic score (see methods).

[LAP]) have been shown to limit inflammation in the adoptive transfer mouse model of experimental colitis (28) and are crucial to ensure protection against murine TNBS colitis, since transfer of CD4⁺LAP⁺ depleted cells with intact CD4⁺Foxp3⁺ cells does not prevent TNBS colitis in recipient mice (29). In mice, the frequency of CD3⁺CD4⁺LAP⁺ cells is increased in conditions of increased intestinal permeability and during the homeostatic response to transient increases in intestinal permeability (29, 30). Furthermore, the frequency of these cells in the intestinal LP is increased in human patients with UC but not Crohn's disease (14), and their regulatory activity is significantly reduced in inflamed UC tissue due to an increase in the proportion of IL-17-expressing LAP⁺ cells (14). Studies in animal models of autoimmune diseases have shown that intracellular expression of IL-10 is linked to the ability of CD4⁺LAP⁺ cells to attenuate disease severity (26, 27). In the present study, we found that the proportion of CD3⁺CD4⁺ (CD4) LAP⁺ cells expressing intracellular IL-10 was significantly higher in uninvolved vs. involved tissue from UC patients, suggesting a regulatory role of these cells, which may limit the extension of inflammatory lesions. Nasal administration of anti-CD3 in animal models induces suppressive IL-10-producing T cells (Tr1 cells), almost 75% of which exhibit cell surface expression of LAP (31). The

link between the Tr1 cell subset and the CD3⁺CD4⁺LAP⁺Foxp3⁺ cells described in the present study remains unknown, and further studies will be necessary to clarify this relationship. However, our findings suggest that the suppressive capabilities of these cells are dependent on LAP expression, since depletion of LAP⁺ cells in mice with oxazolone-induced colitis was associated with proximal extension of colonic inflammatory lesions. Supporting this view, we previously demonstrated that blockade of TGF- β activity in oxazolone-induced colitis is associated with the development of extensive colitis (16).

We found that while the proportion of CD3⁺CD4⁺LAP⁺ cells was increased in uninvolved tissue, the proportion of CD3⁺CD4⁺Foxp3⁺ cells in the same tissue was comparable to that seen in controls, suggesting that the requirements for CD3⁺CD4⁺LAP⁺ cell expansion differ to those for CD3⁺CD4⁺Foxp3⁺ cell expansion. In mice, *in vivo* expansion of CD3⁺CD4⁺LAP⁺ cells is dependent on the presence of IL-10 and TLR2 (21, 29, 32), and the presence of TGF- β , acting in an autocrine fashion, is required for LAP expression independently of Foxp3 expression (22). It is therefore tempting to speculate that in uninvolved tissue, TGF- β levels facilitate the maintenance and expansion of CD3⁺CD4⁺LAP⁺ regulatory cells but are insufficient to induce CD3⁺CD4⁺Foxp3⁺ Tregs.

It is increasingly apparent that microbiota play an important role in modulating the intestinal immune system, influencing different immune-cell subsets and their soluble products. We previously reported that the expansion of CD3+CD4+LAP+ cells in mice is dependent on the presence of microbiota (29, 30), and showed that probiotic administration increases the percentage of LP CD3+CD4+LAP+ cells and protects mice from TNBS-induced colitis (32). Moreover, we have demonstrated that probiotic administration in patients with ileal pouch anal anastomosis for ulcerative colitis is associated with an increased percentage of CD3+CD4+LAP+ cells in the pouch LP and a decrease in pouch disease activity index (13). Taken together, these observations suggest that expansion of LP CD3+CD4+LAP+ cells may constitute a therapeutic strategy to limit and possibly prevent colonic inflammation in UC patients. Microbiota modulation might represent a useful tool to accomplish this task.

AUTHOR CONTRIBUTIONS

Study conception and design: MB and RP. Study supervision: MB. Acquisition of data: AB, AA. Immunofluorescence and experimental colitis: ND. Experimental colitis: NC. Flow cytometry analysis supervision: MS. Patients' enrollment, endoscopy, and biopsies collection: AP and RP. Clinical data collection: DD. Histology and histological score: FB. Confocal

microscopy: RL and LF. Analysis and interpretation of data: AB, MS, FB, MB, AP, RP, RL, and LF. Drafting of manuscript: MB. Critical revision: AB, AP, RP, and MB. The final version of the manuscript has been approved by all authors.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fimmu.2018.02511/full#supplementary-material>

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ATF3 Sustains IL-22-Induced STAT3 Phosphorylation to Maintain Mucosal Immunity Through Inhibiting Phosphatases

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In gut epithelium, IL-22 transmits signals through STAT3 phosphorylation (pSTAT3) which provides intestinal immunity. Many components in the IL-22-pSTAT3 pathway have been identified as risk factors for inflammatory bowel disease (IBD) and some of them are considered as promising therapeutic targets. However, new perspectives are still needed to understand IL-22-pSTAT3 signaling for effective clinical interventions in IBD patients. Here, we revealed activating transcription factor 3 (ATF3), recently identified to be upregulated in patients with active IBD, as a crucial player in the epithelial IL-22-pSTAT3 signaling cascade. We found ATF3 is central to intestinal homeostasis and provides protection during colitis. Loss of ATF3 led to decreased crypt numbers, more shortened colon length, impaired ileal fucosylation at the steady state, and lethal disease activity during DSS-induced colitis which can be effectively ameliorated by rectal transplantation of wild-type colonic organoids. Epithelial stem cells and Paneth cells form a niche to orchestrate epithelial regeneration and host-microbe interactions, and IL-22-pSTAT3 signaling is a key guardian for this niche. We found ATF3 is critical for niche maintenance as ATF3 deficiency caused compromised stem cell growth and regeneration, as well as Paneth cell degeneration and loss of anti-microbial peptide (AMP)-producing granules, indicative of malfunction of Paneth/stem cell network. Mechanistically, we found IL-22 upregulates ATF3, which is required to relay IL-22 signaling leading to STAT3 phosphorylation and subsequent AMP induction. Intriguingly, ATF3 itself does not act on STAT3 directly, instead ATF3 regulates pSTAT3 by negatively targeting protein tyrosine phosphatases (PTPs) including SHP2 and PTP-Meg2. Furthermore, we identified ATF3 is also involved in IL-6-mediated STAT3 activation in T cells and loss of ATF3 leads to reduced capacity of Th17 cells to produce their signature cytokine IL-22 and IL-17A. Collectively, our results suggest that via IL-22-pSTAT3 signaling in the epithelium and IL-6-pSTAT3 signaling in Th17 cells, ATF3 mediates a cross-regulation in the barrier to maintain mucosal homeostasis and immunity.

Keywords: ATF3, IL-22, pSTAT3, PTPs, IBD, mucosal immunity

INTRODUCTION

Inflammatory bowel disease (IBD) is a group of chronic relapsing inflammatory conditions characterized by impaired intestinal homeostasis and abnormal stress response (1). It is believed that breach or breakdown of the epithelial barrier sets the pre-disease stage of IBD, leading to microbial translocation, immune activation and chronic inflammation at the later stages (2). Not only functioning as a physical barrier, intestinal epithelial cells (IEC) also serve as a biological barrier for maintaining homeostasis between host and microbes (3), through IEC's microbial recognition and their regulatory function in innate and adaptive immunity (4). Therefore, epithelial barrier is the major platform where cross-regulation of host-microbe interactions takes place and is believed to have a predominant role in the initiation of IBD pathogenesis (5).

The cytokine IL-22 has a unique niche in the intestine due to exclusive expression of IL-22 receptor (IL-22R) by the IEC. Stimulation of IEC by IL-22 initiates signaling transduction of IL-22R-associated Jak2 and Tyk2 kinases, leading to phosphorylation and activation of STAT3 (6). It is well established that IL-22-STAT3 signaling controls epithelial stem cell regeneration (7), mucosal healing during colitis (8, 9), induction of anti-microbial, cytokine or chemokine genes, and production of mucins by IEC (10). More importantly, these IL-22-mediated biological processes are associated with host defense, intestinal inflammation, metabolic disorders (obesity, diabetes, or nephropathy), tumorigenesis, and even anti-TNF therapy for IBD (10–16). Clinical relevance of IL-22 in IBD pathogenesis has been established given that some pronounced IBD risk genes, such as *Il-23*, *Stat3*, *Jak2*, *Tyk2*, *Fut2*, are involved in IL-22-STAT3 signaling, and that enhancing IL-22 pathway can improve mucosal healing and ameliorate disease progression (10). Moreover, IL-22 is considered as an ideal IBD therapeutic target because of its specific actions on epithelial cells through constitutively activating (phosphorylating) epithelial STAT3 (10). Based on these facts, therefore, a better understanding of IL-22 signaling network and its upstream or downstream transcriptional modulators will potentially improve the efficacy and safety of the current IBD drugs, or lead to identification of novel therapeutic targets for IBD treatment.

Activating transcription factor 3 (ATF3) is a stress-inducible gene which encodes a member of ATF/cyclic adenosine monophosphate (cAMP) response element (CRE)-binding (CREB) family of transcription factors (17). ATF3 has been described as a hub for the cellular adaptive-response network that has a regulatory role in disease pathogenesis (17). The fact that ATF3 is induced by lipopolysaccharide (LPS) and involved in TLR-stimulated gene transcription pinpoints its role in innate immunity, inflammation and host defense (18). Correlated to this, several studies have linked ATF3 to epithelial barrier function. In a *Drosophila* model, ATF3 was shown to control JNK and STAT signaling to maintain intestinal barrier regeneration (19). In human enterocytes, ATF3 negatively regulates Nod2-induced pro-inflammatory response (20), further supporting ATF3 contributes to barrier immunity and IBD pathogenesis (Nod2 is an IBD risk factor). Clinical

correlation of ATF3 in IBD has been reported, with ATF3 expression being significantly upregulated in patients with Crohn's disease (21). While ATF3 promotes IEC apoptosis via interacting with and regulating p53 in Crohn's disease (22), ATF3 also maintains IEC survival to provide cellular defense in response to chemical stress (23). Therefore, it is still unclear how ATF3 regulates epithelial barrier function in the context of homeostasis or during intestinal stress such as inflammation. Yet, it has not been investigated if ATF3-mediated stress response in IEC involves IL-22-STAT3 signaling. Furthermore, it remains to be determined whether loss of ATF3 (i.e., loss of stress control) in gut epithelial cells could be a trigger leading to IBD pathogenesis.

In skin cancer cells, activation of STAT3 by overexpressed ATF3 enhances cell proliferation while ATF3 knockdown abolishes this effect (24). In hepatocellular carcinoma (HCC), ATF3 cooperates with SPTBN1 and SMAD3 to inhibit STAT3 activity, thereby suppresses HCC development (25). The role of ATF3 in STAT3 activation in gut epithelial cells has not been studied, nor in the context of IL-22 signaling. Intriguingly, levels of ATF3, IL-22, and IL-22R were all upregulated in IBD patients (21, 26), suggesting that ATF3 could be associated with IL-22 signaling in gut inflamed tissues. Supporting this, we first identified that IL-22 stimulation of gut epithelial cells upregulates both mRNA and protein levels of ATF3. Given that IL-22 signaling uniquely induces STAT3 phosphorylation and activation in epithelial cells, it is likely that ATF3 is involved in IL-22-mediated STAT3 activation and its downstream function, such as IEC regeneration or anti-microbial peptide production. In this study here, for the first time, we provide evidence showing that ATF3 is actively involved in the IL-22-pSTAT3 signaling cascade to maintain intestinal homeostasis at the steady state and to protect against colon tissue damage during chemical-induced colitis, by a mechanism of suppressing PTP (protein tyrosine phosphatase)-mediated STAT3 inactivation. We also identified ATF3 is acting on IL-6-mediated pSTAT3 signaling in T cells, which affects intestinal Th17 function. We concluded ATF3 orchestrates IL-22/IL-6-pSTAT3 activation in epithelium and adaptive lymphoid cells to facilitate mucosal immunity across the barrier, including barrier regeneration and production of anti-microbial peptides and Th17 cytokines. Therefore, we propose that ATF3 is a multifaceted risk factor for IBD pathogenesis.

MATERIALS AND METHODS

Reagents and Antibodies

All reagents and antibodies used in this study were listed in **Tables 1A,B**, unless indicated elsewhere.

Mice

Global ATF3 knockout mice were kindly provided by Dr. Tsonwin Hai (Ohio State University, Columbus, United States) and obtained from Dr. Ching-Feng Cheng (Tzu Chi University, Taiwan). ATF3 knockout mice were backcrossed to C57BL/6J (B6) mice for at least seven generations before our study (27). ATF3^{fllox/fllox} mice were also kindly provided by Dr. Tsonwin Hai and were backcrossed to C57BL/6J (B6) mice for six

TABLE 1 | List of the materials used in the study.

A. ANTIBODIES		
Antibodies (concentration)	Technique	Source/Identifier
Purified anti-mouse CD16/32 Antibody, clone 93, (1:500)	Flow cytometry	BioLegend (101301)
Anti-Mouse CD326 (EpCAM) Monoclonal Antibody (G8.8), eFluor 450, eBioscience™ (1:400)	Flow cytometry	ThermoFisher Scientific (48-5791-80)
Anti-Mouse CD45 Monoclonal Antibody (30-F11), Alexa Fluor 700, eBioscience™	Flow cytometry	ThermoFisher Scientific (56-0451-82)
Anti-Mouse CD24 Monoclonal Antibody (M1/69), APC-eFluor 780, eBioscience™ (1:400)	Flow cytometry	ThermoFisher Scientific (47-0242-80)
Anti-Mouse Ki-67 Monoclonal Antibody (SolA15), PE-Cyanine7, eBioscience™ (1:400)	Flow cytometry	ThermoFisher Scientific (25-5698-80)
Phospho-STAT3 (Tyr705) Monoclonal Antibody (LUVNKL A), PE, eBioscience™	Flow cytometry	ThermoFisher Scientific/#12-9033-41
Mouse IgG2b kappa Isotype Control, PE, eBioscience™ (clone eBMG2b) (1:100), Isotype control for pSTAT3	Flow cytometry	ThermoFisher Scientific (12-4732-81)
PE/Cy7 anti-mouse/human CD44, clone IM7	Flow cytometry	BioLegend (103029)
APC anti-mouse CD3 Antibody, clone 17A2 (1:400)	Flow cytometry	BioLegend (100235)
Anti-Human/Mouse IL-22 APC, clone IL22JOP, (1:200)	Flow cytometry	BioLegend (17-7222)
FITC anti-mouse IL-17A Antibody, clone TC11-18H10.1, (1:200)	Flow cytometry	BioLegend (506907)
CD11b Monoclonal Antibody (M1/70), PE-Cyanine7, eBioscience™ (1:400)	Flow cytometry	ThermoFisher Scientific (25-0112-81)
CD11c Monoclonal Antibody (N418), PE-Cyanine5.5, eBioscience™ (1:400)	Flow cytometry	ThermoFisher Scientific (35-0114-80)
Ly-6G/Ly-6C Monoclonal Antibody (RB6-8C5), PE-Cyanine7, eBioscience™ (1:400)	Flow cytometry	ThermoFisher Scientific (25-5931-81)
CD19 Monoclonal Antibody [eBio1D3 (1D3)], PE-Cyanine7, eBioscience™ (1:400)	Flow cytometry	ThermoFisher Scientific (25-0193-81)
CD45R (B220) Monoclonal Antibody (RA3-6B2), PE-Cyanine7, eBioscience™ (1:400)	Flow cytometry	ThermoFisher Scientific (25-0452-82)
Rat IgG2a kappa Isotype Control, PE-Cyanine7, for Ki67	Flow Cytometry	eBioscience (25-4321-81)
Rat IgG2a kappa Isotype Control, APC, for IL22	Flow cytometry	eBioscience (17-4321-81)
FITC Rat IgG1, κ Isotype Ctrl Antibody, for IL-17A	Flow cytometry	BioLegend (400405)
Rabbit monoclonal (IgG) Ki67 (1:150)	Tissue Immunofluorescence	Abcam (ab16667)/SP6
Anti-rabbit IgG (H+L), F(ab') ₂ Fragment (Alexa Fluor® 594 Conjugate) (1:300)	Tissue Immunofluorescence	Cell Signaling Technology (CST#8889)
Ulex europaeus lectin (UEA-1-FITC) (20ug/ml)	Whole mount tissue Immunofluorescence	Sigma-Aldrich (L9006)
Wheat Germ Agglutinin, Alexa Fluor® 633 Conjugate (10 ug/ml)	Whole mount tissue Immunofluorescence	ThermoFisher Scientific (W21404)
Rabbit monoclonal (IgG) ATF3 (1:1,000)	Western blot/organoid Immunofluorescence	Abcam (ab207434)/ EPR19488
Rabbit monoclonal (IgG) pSTAT3 (Y705) (1:1,000)	Western blot	Cell signaling technology (CST#9145) /D3A7
Mouse monoclonal STAT3 (1:1,000)	Western blot	Cell signaling technology (CST#9139)/124H6
Mouse monoclonal (IgG ₁) SH-PTP2 (1:500)	Western blot	Santa Cruz (sc-7384)/B-1
Mouse monoclonal (IgG ₁) PTP-MEG2 (1:500)	Western blot	Santa Cruz (sc-271052)/D-5
Mouse monoclonal (IgG _{2a}) PAC-1 (1:500)	Western blot	Santa Cruz (sc-32776)/ 4O21
Mouse monoclonal β-actin (1:1000)	Western blot	Santa Cruz (SC-47778)/C4
Rabbit monoclonal Histone H3 (1:2,000)	Western blot	Cell signaling technology (CST#4499)/D1H2
Rabbit monoclonal GAPDH (1:1,000)	Western blot	Cell signaling technology (CST#5174)/D16H11
B. REAGENTS		
Reagents/Resources: Organoid	Technique	Source/Identifier
Corning® Matrigel® Growth Factor Reduced (GFR) Basement Membrane Matrix, Phenol Red-free (10ml)	Organoid culture	Becton Dickinson (#356231)/Lot.5033306
Jagged-1 (188–204), Notch Ligand	Organoid culture	AnaSpec (AS-61298)
Gibco™ Advanced DMEM/F-12	Organoid culture media	ThermoFisher Scientific/12634010

(Continued)

TABLE 1 | Continued

Reagents/Resources: <i>Organoid</i>	Technique	Source/Identifier
GlutaMAX TM Supplement	Organoid culture media	Life Technologies (35050-061)
N-Acetyl-L-cysteine	Organoid culture media	Sigma Aldrich (A9165)
B-27	Organoid culture media	Life Technologies (17504-044)
N-2	Organoid culture media	Life Technologies (17502-048)
Bovine Albumin Fraction V Solution (7.5%)	Organoid culture media	Life Technologies (15260-037)
ROCK Inhibitor (Y-27632)	Organoid culture media	Sigma-Aldrich (Y0503)/Lot. 6123002
EGF Recombinant Mouse Protein	Organoid culture media	Life Technologies (PMG8041)/Lot. 5264009
Recombinant Mouse HGF Protein	Organoid culture media	R&D system (2207-HG-025)/Lot. 5313012
TGF- β inhibitor (A 83-01)	Organoid culture media	R&D system (2939)/Lot. 6214005
Recombinant Murine Wnt-3a	Organoid culture media	PeptoTech (315-20)
Recombinant Murine Noggin	Organoid culture media	PeptoTech (250-38)
Recombinant Mouse R-Spondin 1 Protein, CF	Organoid culture media	R&D systems (3474-RS-050)
HEPES (1 M)	Organoid culture media	Life Technologies (15630-080)
TrypLE TM Select (1X), no Phenol Red	Organoid culture	Life Technologies (12563-011)
Gentle Cell Dissociation Reagent	Organoid transfer/ICC staining	StemCell (#07174)
AERRANE (isoflurane, USP)	Organoid transfer /Inhalation anesthesia	Baxter Healthcare of Puerto Rico (#N029E423)
Reagents/Resources: <i>Kits</i>	Technique	Source/Identifier
<i>in situ</i> Cell Death Detection Kit, TMR red kit	TUNEL assay	Sigma-Aldrich/ 000000012156792910
iSript TM cDNA synthesis kit	Real Time PCR	Bio-Rad (1708890)
T-Pro Bradford Protein Assay kit	Western blot	OmicsBio (JB04-D002)
T-Pro LumiLong Plus Chemiluminescence Detection kit	Western blot	OmicsBio (JT96-K004M)
Pierce TM Biotin 3' End DNA Labeling Kit	EMSA	ThermoFisher Scientific (89818)
LightShift TM Chemiluminescent EMSA Kit	EMSA	ThermoFisher Scientific(20148)
Reagents/Resources: <i>Common</i>	Technique	Source/Identifier
eBioscience TM IC Fixation Buffer	Flow cytometry	ThermoFisher Scientific (00-8222-49)
Mouse IL-22 Recombinant Protein, eBioscience TM	Cell stimulation	ThermoFisher Scientific (14-8221-63)
Recombinant Mouse IL-6 (carrier-free)	Cell stimulation	BioLegend (575702)
Gibco TM RPMI 1640 Medium, Powder	LPL isolation/culture media	ThermoFisher Scientific (31800022)
Mouse IL-23 Recombinant Protein, eBioscience TM	LPL stimulation	ThermoFisher Scientific (14-8231-63)
PHORBOL 12-MYRISTATE 13-ACETATE (PMA)	LPL stimulation	Sigma-Aldrich (P8139)
Ionomycin from Streptomyces conglobatus	LPL stimulation	Sigma-Aldrich (I9657)
Brefeldin A (BFA)	LPL culture	Sigma-Aldrich (B6542)
BD Cytotfix/Cytoperm TM	LPL flow cytometry intracellular staining	BD Biosciences (554722)
BD Perm/Wash TM	LPL flow cytometry intracellular staining	BD Biosciences (554723)
Dulbecco's Modified Eagle's Medium—low glucose	Cell culture media	Sigma-Aldrich (D6046)
Fetal bovine serum (FBS)	Cell Organoid culture media	Life Technologies (10437-028)
PolyJet TM <i>in vitro</i> DNA Transfection Reagent	CRISPR	SigmaGen (SL100688)
Immobilon-P PVDF Membrane	Western blot	MERCK (IPVH00010)
Biodyne TM B Nylon Membrane, 0.45 μ m, 8 \times 12 cm	EMSA	ThermoFisher Scientific (77016)
ProLong [®] Diamond Antifade Mountant	Immunofluorescence	Molecular Probes (P36961)
MP Premium Dextran Sulfate Sodium Salt (DSS, 100 g/bottle)	<i>in vivo/in vitro</i> experiments	MP Biomedicals (0216011080)
Liberase TM	LPL isolation	Roche (05401127001)
Deoxyribonuclease I (DNase I)	IECs/LPL isolation	Sigma-Aldrich (SI-DN25-1G)
TRIzol RNA Isolation Reagent	RNA extraction	ThermoFisher Scientific (15596018)
SYBR Green PCR Master Mix (5 ml \times 10)	Real time PCR	ABI (4368708)
2x RIPA buffer I (pH = 7.4) 2x concentrate	Western blot	OmicsBio (RB4475)
Halt TM Protease and Phosphatase Inhibitor Cocktail, EDTA-free (100X)	Western blot/EMSA	ThermoFisher Scientific (78441)

(Continued)

TABLE 1 | Continued

Mouse Primers	Technique	Sequence
C.MOUSE PRIMERS SEQUENCE		
Mouse Primers	Technique	Sequence
ATF3	CRISPR	Forward: 5' CACCgCC ATCGGATGTCTCTGCGC 3' Reverse: 5' AAACGCGCAGAGGACATCCGATGGc 3'
ATF3	Real time PCR	Forward: TTACCGTCA ACA ACA GAC CC Reverse: TCA GCT CAGCATTACAC TC
Reg3g	Real time PCR	Forward: TCA GGT GCA AGG TGA AGT TG Reverse: GGCCACTGTTACCACTGC TT
S100A8	Real time PCR	Forward: TGT CCT CAG TTT GTG CAG AAT ATA AA Reverse: TCA CCA TCG CAA GGA ACT CC
S100A9	Real time PCR	Forward: GGT GGA AGC ACA GTT GGC A Reverse: TCC AGG TCCTCCATGATG
β -defensin 3	Real time PCR	Forward: GTC TCC ACC TGCAGC TTT TAG Reverse: AGG AAA GGA ACT CCA CAA CTG C
GRP78	Real time PCR	Forward: ACTTGGGGACCACTATTCCT Reverse: ATCGCCAATCAGACGCTCC
sXBP1	Real time PCR	Forward: CTGAGTCCGAATCAGGTGCAG Reverse: GTCCATGGGAAGATGTTCTGG
CHOP	Real time PCR	Forward: CCACCACACCTGAAAGCAGAA Reverse: AGGTGAAAGGCAGGGACTCA
Cyclin D	Real time PCR	Forward: GCAAGCATGCACAGACCTT Reverse: GTTGTGCGGTAGCAGGAGA
C-Myc	Real time PCR	Forward: TAGTGCTGCATGAGGAGACA Reverse: GGTTTGCCTCTTCTCCACAG
TCF7	Real time PCR	Forward: ATCCTTGATGCTGGGATTCTG Reverse: CTTCTCTTGCCTTGGGTTCTG
Sox9	Real time PCR	Forward: CTGGAGGCTGCTGAACGAGAG Reverse: CGGCGGACCCTGAGATTGC
Fut2	Real time PCR	Forward: TGC ACT GGCCAG GAT GAA Reverse: GCGCTA GAG CGT TGT GCA T
IL-22	Real time PCR	Forward: TCG CCT TGA TCTCTCCAC TC Reverse: GCT CAGCTC CTG TCACAT CA
IL-22R1	Real time PCR	Forward: CTACGTGTGCCGAGTGAAGA Reverse: AAGCGTAGGGGTTGAAAGGT
IL-10R2	Real time PCR	Forward: GCCAGCTCTAGGAATGATTC Reverse: AATGTTCTTCAAGGTCCAC
IL-6	Real time PCR	Forward: ACA AGT CGG AGG CTT AAT TAC ACA T Reverse: TTG CCA TTG CAC AAC TCT TTT C
IL-6R1	Real time PCR	Forward: AAGCAGCAGGCAATGTTACC Reverse: CATAAATAGTCCCAAGTGTGCG
gp130	Real time PCR	Forward: ATAGTCGTGCCTGTGTGCTTA Reverse: GGTGACCACTGGGCAATATG
IL-17A	Real time PCR	Forward: TCC AGA AGG CCC TCA GAC TA Reverse: TTC ATT GCG GTG GAG AGT C
L32	Real time PCR	Forward: GAA ACT GGC GGA AAC CCA Reverse: GGA TCTGGC CCT TGA ACC TT
GAPDH	Real time PCR	Forward: GTA TGA CTCCACTCA CGG CAA ATT

generations before our study (28). All mice were at the age of 2~3 months old when analyzed and were maintained under standard conditions at Academia Sinica, Animal care and all experimental

protocols were approved by the Institutional Animal Care and Use Committee (IACUC) at the Institute of Biomedical Sciences, Academia Sinica, Taiwan.

DSS Induced Colitis

For survival studies, mice were given 2% dextran sodium sulfate (DSS) (MP Biologicals, molecular mass 36–40 kDa) in drinking water for two cycles over 20 days as follows: day-0 to day-5 (cycle 1) of 2% DSS followed by 5 days of normal drinking water, then switch back to 2% DSS (cycle 2, 5 days) then switched to normal drinking water (5 days). Disease activity index (DAI), slightly modified from previously described protocols (29, 30), was measured daily after the start of DSS treatment as a sum score of (a) weight loss percentage [scale: 0–4, no loss (0), 1–5% (1), 5–10% (2), 10–20% (3), >20% (4)], (b) stool consistency [scale: 0–4, hard (0), soft (2), very soft (3), diarrhea (4)], and (c) rectal bleeding [scale: 0–4, no blood in feces (0), trace blood in feces (2), dark red colored feces (3), gross bleeding (4)].

Colonoscopy image: At day-8 of DSS intake, mice were anesthetized by isoflurane inhalation (one mouse at one time), then the mouse was moved to a chamber with cotton soaked with isoflurane for anesthesia maintenance while performing colonoscopy. Images were recorded as videos by using endoscopy system (TESALA AVS, Olympus, Tokyo, Japan) attached to an air pump to achieve regulated inflation of the colon, and endoscope was coated with a sterile thin layer of Vaseline as a lubricant to avoid mucosal irritation.

H&E staining: At day-8 of DSS intake, mice were sacrificed, colons were washed and cleaned with gentle rinsing by 1x PBS to remove all the feces, colon fragments (~0.5 cm long) were fixed with 4% paraformaldehyde, and sent to the Pathology Core Lab at the Institute of Biomedical Sciences, Academia Sinica, Taiwan for H&E sections preparation. Histological scores were calculated as previously described (30), with some modifications as follows: inflammation severity (scale: 0–4), inflammation extent (scale: 0–4) (none, mucosa, submucosa, transmural), crypt loss (scale: 0–4) (0, 1/3, 2/3, surface epithelium present, damage of surface epithelium), percent of are affected (scale: 0–4) (0, 25, 50, 75, and 100%).

Isolation of Intestinal Epithelial Cells (IEC) and Flow Cytometry

IECs were isolated using a modified version of a previously described protocol (31). Intestine tissue was flushed by 1x PBS, cut open into small fragments (~1 cm) which were washed in 50 ml falcon tube by hand shaking few times in 1x PBS. The tissues were transferred into a new pre-cold 50 ml falcon tube containing 30 mM EDTA/1x PBS and 1.5 mM DTT then incubated on ice for 20 min. Then the supernatants were discarded and the tissues were transferred to a new pre-warmed 50 ml falcon tube containing 30 mM EDTA/1x PBS and vortex for 30 s, the tissues were then incubated at 37°C for 10 min and vortex briefly 5 times to isolate epithelial cells. Supernatants were collected to a new 50 ml falcon tube and pelleted by centrifugation. The cells were then digested into single cells by incubating in 1x HBSS containing 0.3 U/ml Dispase at 37°C for 10 min. After 10 min, to stop digestion reaction, 100 µg DNase I and 5% FBS were added and the cells were pelleted, and diluted in 1 ml staining buffer (1x PBS with 2% FBS). 0.5 ml was used for quantitative PCR (QPCR) analysis, and the rest of the cells

were used for flow cytometry analysis as follows: samples were incubated for 8 min with blocking antibody (anti-CD16/32) in staining buffer on ice, followed by washing in 1 ml staining buffer, centrifuged at high speed (12,000 × g) for 10 s, the pellets were then re-suspended in staining buffer containing surface antibody cocktail with the following fluorescent antibodies: EpCAM-eF450, CD45-AF700, CD24-APC-Cy7 for 20 min on ice. Next, cells were washed with 1 ml staining buffer and fixed in 4% PFA for 15 min before intracellular staining. The fixed single cells were incubated in 1x PBS containing 0.1% saponin and anti-Ki67 antibody for 30 min. Data were acquired using a LSRII flow cytometer (BD Biosciences) and analyzed with FlowJo software.

Immunofluorescence Staining of Tissue Sections

Mouse tissues were prepared as described above in the DSS-colitis section. Paraffin sections were first de-paraffinized by three times wash in xylene (5 min each), followed by two times wash of 100% ethanol (10 min each), then two times wash in 95% ethanol (10 min each), and then washed three times in ddH₂O. Then the sections were dewaxed and heat-mediated antigen retrieval was performed by incubation in 10 mM sodium citrate buffer pH 6.0 for 15 min at a sub-boiling temperature. Slides were then allowed to cool down for 10 min at RT and then washed three times in ddH₂O before being blocked with blocking buffer (1x PBS/5% normal goat serum) for 1 h, followed by permeabilization in 1x PBS/0.3% Triton X-100. After 15 min, the sections were incubated with primary rabbit anti-Ki67 (Abcam) in dilution buffer (1x PBS/1% BSA/0.3% Triton X-100) at 4°C overnight. Next day, the sections were washed three times with 1x PBS (5–10 min each) followed by incubating with fluorophore-conjugated with anti-rabbit Alexa Fluor-594 at room temperature for 2 h. To visualize the nucleus, slides were washed as before and counterstained with Hoechst 33342 (1 µg/mL in PBS) for 10 min and cover slips were mounted on the slides by using ProLong Gold Antifade Mountant (Invitrogen). Confocal images were obtained with a Carl Zeiss LSM 700 stage imaging system under a 20, 40, or 100x oil-immersion objectives.

Tunel Assay

Mouse tissues were prepared as mentioned above in the DSS-colitis section. Terminal deoxynucleotidyl transferase (TdT) dUTP Nick-End Labeling (TUNEL) assay was performed according to instructions in *in situ* Cell Death Detection, TMR red kit (Roche). Paraffin-Embedded tissues sections were processed as mentioned above in the section of Immunofluorescence staining. After tissue permeabilization, the sections were incubated with TUNEL reaction mixture at dark in humidified atmosphere, 37°C for 1 h. To visualize the nucleus, slides were washed as before and counterstained with Hoechst 33342 (1 µg/mL in PBS) for 10 min and cover slips were mounted on the slides by using ProLong Gold Antifade Mountant (Invitrogen). Confocal images were obtained with a Carl Zeiss LSM 700 stage imaging system.

Whole Mount Tissue

Small sections of the terminal ileum (0.5–1 cm long) were cut, fixed immediately with 4% PFA when processing multiple sections at the same time, each section was then washed with 1x PBS and cleaned with feces and fat tissues removed. Each segment was cut open, washed again to remove the mucus, placed on tissue paper so that the luminal side faces upward and incubated overnight in 4% PFA at 4°C. Next day, tissues were washed in 1x PBS 3 times for 10 min with shaking at 60 rpm. A solution of fluorescence-conjugated UEA-1 (20 µg/mL) and WGA (10 µg/mL) in antibody dilution buffer was prepared. Each segment was mixed with 1 ml of antibody mixture and incubated on ice for 3 h for each antibody. After washing in 1x PBS, segments were placed on glass slides, with the apical luminal surface facing upward, residual PBS was removed gently by Kim wipes, then ProLong Gold Antifade Mountant (Invitrogen) was added and the tissue segments were sandwiched by placing cover slip over them. Confocal images were obtained with a Carl Zeiss LSM 700 stage imaging system.

Intestinal Crypt Isolation and Organoid Culture

Intestinal crypt isolation was based on the protocol by Sato et al with some modifications (32). Mice were euthanized with CO₂ and the abdomen was cut open to separate ~12 cm of distal small intestine (whole Ileum) and ~5 cm of distal large intestine (distal colon). Peyer's patches and fat tissues were removed and organs were cut opened longitudinally and washed with PBS. The colon was scratched with a cover slip a few times to remove the upper layer of epithelial cells. The intestines were then cut into 1 cm pieces and placed into 50 ml falcon tubes and washed vigorously by shaking in Hank's Balanced Salt Solution (HBSS) until the supernatant was clear. The tissues were then incubated in 30 mM EDTA/1x PBS with shaking at 150 rpm for 5 min in 37°C. The tissues were then transferred into a new 50 ml falcon tube containing cold 1x PBS and put horizontally under ice with shaking at 150 rpm for 15 min. The supernatant was then replaced with 10 ml wash buffer (1x PBS at pH 7.4, 1x penicillin/streptomycin, 50 µg/ml Gentamicin, 0.1% BSA), vortexed for 6 times and then the supernatant was transferred to a new 50 ml falcon tube on ice. This process was repeated 3–5 times. For ileum only, crypts were filtered through a 70 µm strainer to remove villi. After that, the collected supernatant was centrifuged at 50 g for 5 min at 4°C and the pellet was resuspended gently in 5–10 ml wash buffer. For colon only, colon crypts were mildly digested by incubating in 1x TrypLE Select Enzyme (Gibco) for 1 min at room temperature into small cluster of cells. The digestion was stopped by mixing the crypts with 10 ml wash buffer and pelleted by centrifuge at 300 g for 5 min at 4°C. The total crypt number was measured by plating 100 µl crypt suspension on petri dish and counted under a microscope. An aliquot of cell suspension containing approximately 500 crypts was centrifuged at 300 g for 5 min at 4°C and pellets were gently mixed with Matrigel (BD Biosciences) containing Jagged-1 peptide (50 µl Matrigel used for 500 crypts). The mixture was then plated in 24-well plate and incubated in 37°C for 10 min before adding organoid growth

medium. Organoid growth medium was prepared (1:1 mixture of basal culture medium and WNR conditioned medium) and added to each well. Basal culture medium: (advanced DMEM/F-12 medium supplemented with 100 U/ml penicillin, 100 µg/ml streptomycin, 50 µg/ml Gentamicin, 2 mM GlutaMAX, 10 mM HEPES, 1 mM N-acetyl-L-cysteine, 1x B-27 Supplement, 1x N-2 Supplement, and 1% BSA). WNR conditioned medium: [WNT, R-spondin, Noggin medium, derived from stable cell lines, supplemented with 10 µM Y-27632 (ROCK inhibitor), 50 ng/ml mEGF, 0.5 µM A-83-01 (for ileum crypts), or 100 ng/ml murine Hepatocyte Growth Factor (mHGF) (for colon crypts)]. Medium was replaced every 2 days.

Organoid Transplantation

The procedure of colon organoid transplantation was based on the protocol described by Yui et al. with some modifications (33). Crypts were isolated from distal colon tissues of 8–12 weeks old mice as described above and organoids were cultured for 6 days and used as donor organoids. The recipient ATF3-deficient mice were fed with 5 days of 1.5% DSS water followed by 9 days of regular water. At day-6 and day-8 post DSS intake, Matrigel containing organoids were dissolved in cell recovery solution (Stem Cell Technologies) by pipetting and cell suspension was transferred to a 50 ml falcon tube, followed by shaking at 100 rpm on ice for 1 h and then centrifuged at 300 g for 5 min at 4°C. The pellet was washed twice in 10 ml of cold 1% BSA/1x PBS by spinning at 20 g for 5 min at 4°C. The pellets was then resuspended in 180 µl of cold PBS and transferred to an eppendorf, followed by adding 20 µl of Matrigel, 1 µl of Jagged-1 peptide, and 1 µl of Y-27632 inhibitor. The collected organoids (~1,000 cells) were transferred to colon of the recipient mice (~5 cm deep) through intra-rectal injection by using 1 ml syringe and a thin flexible catheter (35 cm in length and 1.2 mm in diameter, Terumo Inc.) at day-6 and day-8 post DSS administration. After infusion, the anal verge of recipient mouse was glued with Vetbond Tissue Adhesive (3 M Vetbond) for 6 h to prevent the injected organoids from being excreted from the colon. The survived recipient mice were sacrificed at day-14 for analysis.

Intracellular Organoid Staining of pSTAT3

Intracellular staining of STAT3 phosphorylation in organoids was performed as previously described (7). In brief, organoids were mechanically disrupted into crypt suspension in cell dissociation buffer (Stem Cell Technologies), by pipetting cell suspension in 50 ml falcon tube and rotating on ice at 100 rpm for 1 h, followed by centrifuging at 300 g for 5 min at 4°C. The pellet was then stimulated with 200 µl of organoid culture media containing rmIL-22 (20 ng/ml, eBioscience) for 20 min. After stimulation, the cells were fixed by adding an equal volume of intracellular fixation buffer (eBioscience) and incubating in dark at RT for 1 h. Single cell suspension was made by rotating the cells in TrypLE (Gibco) at 100 rpm for 15 min at 37°C and then passed through a 70 µm strainer. Permeabilization was performed by adding 1 ml of ice-cold methanol for 30 min at 4°C. Cells were thoroughly washed with PBS before staining with a blocking

antibody (CD16/32) followed by intracellular staining with PE-pSTAT3 and PE-IgG2b isotype control antibodies (eBioscience). Samples were immediately analyzed by LSR-II flow cytometer.

Generation of ATF3-Deficient CMT-93 Cells by Crispr-Cas9

CMT-93 cells, a murine rectal-carcinoma cell line obtained from ATCC, were maintained in Dulbecco's Modified Eagle's Medium (DMEM) supplemented with 10% fetal bovine serum (FBS), 100 U/mL of penicillin, 100 ug/ml of streptomycin and 2 mM GlutaMAX (all reagents obtained from Gibco). Cells were passaged (1:10) after reaching 70~80% confluence. sgRNA design and cloning were performed based on a protocol by Ran et al. (34), with a CRISPR design tool (<http://crispr.mit.edu>). The sequences of oligos were: Forward primer: 5'-CACCgC CATCGGATGTCCTCTGCGC-3', Reverser primer: 5'AAACGC GCAGAGGACATCCGATGGC-3'. ATF3 targeting sequences were cloned into BbsI-digested pSpCas9(BB)-2A-GFP plasmid (Addgene, px458), which was a gift from Dr. Liuh-Yow Chen at Academia Sinica, Taiwan. The px458-based constructs were introduced into CMT-93 cells by PolyJetTM *in vitro* DNA Transfection Reagent using advanced protocol (SignaGen). Single GFP⁺ cells were sorted into 96-well plate to obtain single cell clones by FACSaria cell sorter (BD Biosciences) at IBMS core facility, Academia Sinica, Taiwan. The ATF3-deficient cells were confirmed by Western blot analysis.

Silencing the Mouse PTPN11 (SHP2) Gene Expression in CMT93 Cells

The lentiviral RNAi system was provided by the National RNAi Core Facility, Academia Sinica, Taipei, Taiwan. To silence the Ptpn11 (NM_011202) gene expression, lentiviral vector pLKO_TRC005 encoding small hairpin RNA (shRNA) specific to Ptpn11 (Clone ID: TRCN0000327986) was used. The target sequence for Ptpn11 was 5'-CGTGTTAGGAACGTCAAAGAA-3' (nts 1,332–1,352), and the double-stranded oligonucleotide containing the following shRNA sequence 5'-CCGGCGTGT TAGGAACGTCAAAGAACTCGAGTTCTTT GACGTTCCCT AACACGTTTTTG-3' was introduced into the lentiviral vector. To produce recombinant lentiviruses, HEK293T cells were co-transfected with lentiviral vector carrying gene-specific shRNA (2.5 ug), Gag and Polymerase (RT) expression plasmid pCMV-ΔR8.91 (2.25 ug) and VSV-G envelope glycoprotein expression plasmid pMD.G (0.25 ug) by using PolyJetTM *in vitro* DNA Transfection Reagent (SignaGen Laboratories #SL100688). After 72 h the medium was harvested, aliquoted and stored at -80°C. To generate Ptpn11 specific gene knockdown cells, CMT-93 ATF-3 KO cells were grown to 80% confluency and were infected with recombinant lentiviruses encoding Ptpn11 specific siRNAs with an MOI >6 in the presence of 8 ug/mL polybrene (Sigma #H9268) for 24 h. The cells were rinsed in DMEM and allowed to grow for another 36 h in the growth medium. Subsequently, the cells were selected in the growth medium containing 2 ug/mL of puromycin dihydrochloride (GibcoTM #A11138) for 1 week. The puromycin-resistant cells were collected and gene knockdown in cells was determined by immunoblotting with anti-Ptpn11 antibody (Santa Cruz #sc-7384).

Wound Healing Assay

Approximately 1.2×10^6 of wild-type or ATF3^{-/-} CMT-93 cells were seeded in triplicate into a 6-well plate and grown for 12 h in complete DMEM. Cell monolayer was scraped to form a wide strip (~1,100 μm) by using a standard 1,000 ul pipette tip. The wounded monolayers were washed to remove non-adherent cells. Each wound was photographed and measured at two pre-marked positions per well at time point of 0 h and time point of 24 h post wounding. Wound recovery was expressed as percentage of wound closure after 24 h relative to 0 h post scratch. Each dot shown in the figure represents one wound in each well and the data is representative of at least two independent experiments.

Cell Proliferation Assay

Approximately 0.2×10^6 of wild-type or ATF3^{-/-} CMT-93 cells were seeded, serum starved for 24 h and this was considered as day-0. Serum-free medium was replaced with complete DMEM containing 10% FBS after starvation and the cells were grown for another 24 h in complete DMEM with or without 5% DSS (day-1). DSS-treated cells were washed twice with PBS and allowed to recover in complete DMEM for another 24 h (day-2), whereas untreated cells were simply grown in complete DMEM until day-2. Total cell proliferation was calculated as percentage relative to the number of cells seeded. Cell number was determined by using 0.2% trypan blue staining in cells and counted by Cellometer (Nexcelom Bioscience).

Isolation, Culture, and Stimulation of Lamina Propria Cells

Colon lamina propria (LP) cells were isolated based on a protocol by Moro et al. (35). In brief, dissected colons were washed with ice-cold 2% FBS/1x PBS wash buffer, cut into small pieces, and transferred to a 100 ml glass bottle containing 40 ml of depletion buffer (1 mM EDTA/1x PBS). Colon tissues were put for stirring at 37°C for 30 min, then washed in 1x PBS by hand shaking in a 50 ml falcon tube to ensure removal of epithelial cells. Next, colon pieces were minced by a scissor in eppendorf with 1 ml of digestion buffer, RPMI medium with 2% FBS, LiberaseTM (15 ug/ml, Roche), DNase1 (50 ug/ml, Sigma), then transferred to a 50 ml flask with 9 ml of digestion buffer and put for stirring at 37°C for 30 min. The supernatant was filtered through a 70 μm strainer into a new 50 ml falcon tube on ice and the tissues were homogenized through an 18G needle then again stirred in 10 ml of digestion buffer for another 30 min. The supernatant was centrifuged at 600 g for 6 min at 4°C and the pelleted LP cells were re-suspend in complete RPMI for cell counting, the cells were then divided into 3 portions for RNA extraction, unstimulated or stimulated culture in V-bottom 96-well plate for flow cytometry analysis of cytokine production. After 3 h stimulation with IL23/PMA/Ionomycin cocktail, the cells with briefly re-suspended by multi-channel pipette before spinning down at 1,500 rpm for 5 min at 4°C and washed once with staining buffer. 50 ul staining buffer with blocking antibody (CD16/32) was added to the cells for 10 min before performing surface staining (EpCAM/CD45/Lineage markers) for 20 min in dark at 4°C. For intracellular staining, the cells were fixed in BD CytoFix/CytoPerm buffer for 30 min at 4°C, washed once with 200ul of BD Perm Wash Buffer followed

by spinning down, 1,800 rpm, 6 min for intracellular staining mixture (APC-IL22/FITC-IL17A) using 1x Wash Buffer. After washing in staining buffer, the cells were re-suspended in 150 μ l staining buffer and immediately analyzed by LSR-II flow cytometer.

RNA Extraction and Quantitative Real-Time PCR Analysis

Total RNA was isolated using TRIzol reagent (Invitrogen) according to the manufacturer's instructions. One microgram of RNA was reverse-transcribed to cDNA with iScriptTM cDNA synthesis kit (Bio-Rad). Transcript levels of the target genes were analyzed using Power SYBR[®] Green PCR Master Mix (Applied Biosystems) on a StepOnePlus Real-Time PCR System (Life Technologies). Primer sequences were listed in Table 1C.

Western Blot Analysis

Cells were cultured in complete DMEM till 70–80% confluence and serum starved for 5 h before stimulation. Medium was replaced with complete medium with or without recombinant mouse IL-22 (50 ng/ml, eBioscience) for 10 min stimulation. Cells were then washed with 1x PBS and immediately scraped from the plate into 1x RIPA lysis buffer containing protease/phosphatase inhibitors (Thermo Fisher). The lysates were then sonicated and centrifuged at 14,000 g for 15 min and the supernatants were collected and quantified with a Bio-rad protein assay kit for Western blot analysis. Protein samples were separated on SDS-PAGE gels and transferred to polyvinylidene fluoride membrane. Membranes were blocked with 5% skim dried milk in Tris buffered saline buffer (TBST: 50 mM Tris-HCl, pH 7.4, 150 mM NaCl, 0.1% Tween 20) and incubated overnight with the following primary antibodies: Anti-ATF3 (Abcam ab207434), pSTAT3 (CST#9145), STAT3 (CST#9139), PTP-SHP-2 (Santa Cruz #sc-7384), PTP-MEG2 (#sc-2271052), PAC-1 (#sc-32776), β -actin (#sc-47778), Histone H3 (CST#4499), GAPDH (CST#5174), α -tubulin (#abcam2791, Clone DM1A). After washing with TBST, blots were incubated with HRP conjugated secondary antibodies. Detection was achieved using a chemiluminescence substrate (Omics Bio) and images were acquired using ImageQuantTM LAS 4,000 camera.

Electrophoretic Mobility Shift Assay (EMSA)

Wild-type and ATF3^{-/-} CMT93 cells were cultured till 80% confluence and serum starved for 5 h before IL-22 (50 ng/ml) stimulation. Cytoplasmic and nuclear extracts were isolated as previously described (36). After stimulation, cells were washed with 1x PBS, and immediately scraped from the plate and centrifuged at 300 g for 5 min at 4°C. Pellets were resuspended in cytoplasmic isolation buffer [10 mM HEPES, 60 mM KCl, 1 mM EDTA, 0.075% (v/v) NP40, at pH 7.6, 1 mM DTT and 1% (v/v) protease/phosphatase inhibitor] on ice, incubated for 10 min, and then centrifuged for 5 min at maximum speed (~14,000 g). The supernatant was transferred to a new pre-chilled tube containing 20% glycerol (cytoplasmic extract). The pellet was resuspended by vortex in nuclear isolation buffer [20 mM Tris Cl, 420 mM NaCl, 1.5 mM MgCl₂, 0.2 mM EDTA, at pH 8.0, 25% (v/v) glycerol, and 1% (v/v) protease/phosphatase

inhibitor] and incubated on ice for 1 h with agitation every 15 min. Samples were then centrifuged at high speed for 15 min, and the supernatants were collected into a new pre-chilled tubes (nuclear extract). Both cytoplasmic and nuclear extracts were stored in -80°C till use. Complementary oligonucleotides for the STAT3 promoter probe containing ATF/CRE binding site was synthesized and biotinylated using biotin labeling Kit (Thermo Fisher #89818). EMSAs were performed using EMSA kit (Thermo Fisher #20148). In brief, STAT3 promoter probe was incubated with 10 μ g of nuclear extracts from unstimulated or IL-22-stimulated CMT93 cells in the presence of poly deoxy-inosinic-deoxy-cytidylic acid and protein-DNA complexes were resolved by native polyacrylamide gel electrophoresis.

Transmission Electron Microscopy (TEM)

Dissected and cleaned ileum tissues were washed with 1x HBSS, cut into small fragments (~0.2 cm), and fixed in 1x PBS with 4% PFA/2.5% Glutaraldehyde. Tissue processing and image acquisition were performed by the TEM Core facility at Institute of Cellular and Organism Biology, Academia Sinica, Taiwan.

Statistical Analysis

Statistical analysis was performed most of the time using Multiple T-test when comparing two populations (wild-type & knockout) for only one variable (body weight, colon length, survival, etc.). Two-way analysis of variance (ANOVA), comparing the mean differences between and within populations (wild-type & knockout) that have been split into two independent variables (un-stimulated/stimulated), was used for experiments of multiple statistical comparisons. Survival curve was calculated using the Kaplan–Meier method and statistical significance was calculated using Log rank (Mantel-Cox) test. GraphPad Prism 6 software was used to run the analysis. All the data are presented as mean with standard deviation, and $p \leq 0.05$ was considered significant. The level of P value is expressed in asterisk as follow: * $P \leq 0.05$, ** $P < 0.005$, *** $P < 0.0005$.

RESULTS

ATF3 Is Essential for Balanced Homeostasis in the Intestine

Given the fact that increased ATF3 level is associated with active IBD patients (21) and in order to better understand the function of ATF3 in the intestine, we first sought to determine the tissue distribution of ATF3 in more details. For this purpose, we prepared samples from the intestine of mice for mRNA analysis, including intestine fragments, villus samples by scraping off the surface of epithelial layer, and crypt samples by shaking fragments in the EDTA solution (Supplementary Figure 1A). We found ATF3 is more expressed in epithelial scrapes isolated from duodenum and jejunum, and is more abundant in ileum and colon crypts (Supplementary Figure 1B). Moreover, intestinal epithelial cells express higher mRNA levels of ATF3 than those tissues rich in immune cells including Peyer's Patches, mesenteric lymph nodes, inguinal lymph nodes, and spleen (Supplementary Figure 1B), suggesting that ATF3 has a more important regulatory role in the IECs. This finding prompted us to examine the characteristics

of epithelial barrier integrity, determined macroscopically by the length of intestine, colon tissue histology, and the number of total crypts harvested from the intestine (30). Compared to wild-type littermates, we found that, at 3 months of age, naïve ATF3^{-/-} mice showed more shortened colon length, marked reduction in total crypt numbers (**Figures 1A,B**), slightly reduced body weight, less proliferative crypt cells, and increased cell infiltration in colon tissues (**Supplementary Figures 1C,D**), indicative of spontaneous inflammation in the colon. However, in ATF3^{-/-} mice, we did not observe any enlarged lymph nodes, splenomegaly or cellularity defects associated with other lymphoid organs at the steady state or during DSS colitis (data not shown).

Because most defects in ATF3^{-/-} mice were associated with epithelial barrier, we next examined the proliferation capacity of epithelial cells. In freshly isolated crypts, we found there is defective proliferation or regeneration of ileum or colon crypts by Ki67 staining (**Figure 1C** and **Supplementary Figure 1E**). To confirm this phenotypical abnormality is cell intrinsic to epithelial cells, we used primary organoid culture for further investigation. Intestinal organoid is an *ex vivo* primary epithelial culture fueled with epithelial stem cells and their differentiated daughter cells that can transform into a mini-intestine while maintaining all the physiological and pathological features of the tissue from which they are derived (37). Notably, ATF3^{-/-} organoids displayed slower growth rate, less budding (i.e., crypt formation), and less survival than wild-type organoids (**Figure 1D**). This growth impairment was also characterized by downregulation of the genes that control cellular proliferation and survival including Cyclin D, c-Myc, TCF7, and the stem cell marker Sox9 (**Figure 1E**). Together, we concluded ATF3 is essential for gut epithelial cell proliferation that directly controls crypt regeneration and barrier homeostasis.

Fucosylation is one of the most vital epithelial modifications involving adding oligosaccharides on glycoproteins or glycolipids. It plays a key role in regulating epithelial barrier, microbiota composition, and susceptibility to infection (38, 39). Epithelial fucosylation is catalyzed by fucosyltransferase enzymes, most notably the IBD risk gene fucosyltransferase 2 (*Fut2*) (5). We found that ileum fragments in naïve ATF3^{-/-} mice have decreased *Fut2* mRNA expression compared to wild-type mice (**Supplementary Figure 1F**). It was shown fucosylated epithelial cells can be more specifically marked by whole mount tissue immunofluorescence co-staining of $\alpha(1,2)$ -fucose-recognizing lectin (ulex europaeus agglutinin-1 or UEA-1) and wheat germ agglutinin (WGA) (40). We therefore used UEA-1/WGA staining to further confirm *FUT2* downregulation in ATF3-deficient epithelial cells (**Figure 1F**). Since *Fut2* is one of IL-22-pSTAT3 regulated host defense genes (38), the lack of *FUT2* expression and diminished UEA-1/WGA signals in ATF3^{-/-} IECs indicates that ATF3 is involved in epithelial IL-22-pSTAT3 fucosylation pathway which controls microbiota composition, host-commensal homeostasis and host defense against bacterial infection. Indeed, we found that ATF3^{-/-} mice were more susceptible to intestinal infection by *Citrobacter rodentium* (**Supplementary Figure S2**). Collectively, our results

demonstrate that epithelial ATF3 is intrinsically essential for mucosal homeostasis in the intestine.

Loss of ATF3 Disrupts Paneth Cell Homeostasis and Stem Cell Regeneration

Paneth cells, the maestros of epithelial anti-microbial immunity, are professional secretory cells with an apical clustering of secretory granules (41). Those granules within Paneth cells release key anti-microbial peptides (AMP) that help constitute a neat environment required for gut homeostasis (41). Because Paneth cells, together with stem cells, are located at the crypt base, the finding of compromised crypt homeostasis in ATF3^{-/-} mice prompted us to further examine whether ATF3 has a role in the development or immunity of Paneth cells. Transmission electron microscopy (TEM) of Paneth cells revealed a dramatic depletion, degeneration, and reduction in numbers of Paneth cells and their granules in ATF3^{-/-} mice (**Figures 2A,B**). Changes in inner cell structure and organization, such as fragmentation and disruption of the vacuolar system including endoplasmic reticulum (ER) and mitochondria, are hallmark morphological alterations occurring in apoptotic cells under TEM (42–44). Besides degeneration of Paneth secretory granules, fragmentation and abnormal distribution of ER, and swollen mitochondria with damaged cristae are typical morphological features of loss of cell homeostasis and cell death in Paneth/stem cell niche in ATF3^{-/-} mice (**Figures 2C,D**). Paneth cells cohabitate with stem cells at the crypt base forming a mutual niche of all the essential signals for stem cell maintenance (45). Therefore, any Paneth cell abnormality eventually leads to stem cell dysfunction, as evidenced by impaired cell survival, proliferation, and regeneration of ATF3^{-/-} IECs. This two-hit (Paneth/stem cells) abnormality phenomena due to ATF3 deficiency emphasizes the legitimacy of ATF3 to maintain the homeostasis and functionality of the crypt niche.

Next, we used a colon epithelial cell line, CMT93, to investigate whether ATF3 is involved in wound healing and epithelial regeneration in response to injury. Colitis-associated mucosal damage requires active and regulated processes of tissue healing and regeneration, which is facilitated by intestinal stem cells (ISCs). Accordingly, we performed wound healing assay in dextran sulfate sodium (DSS)-treated CMT93 cells, which mimics cell migration and tissue repair during regeneration *in vivo* after DSS-introduced epithelial damage. By monitoring wound closure after DSS exposure, we found that ATF3^{-/-} CMT93 cells reseal the open wound much slower compared to wild-type cells in both untreated and DSS-treated conditions (**Figures 2E–G**). Cell proliferation rate is a critical factor that contributes to barrier homeostasis. Notably, we found proliferation rate of ATF3^{-/-} CMT93 cells is significantly lower than wild-type cells in both untreated and DSS-treated conditions (**Figure 2H**), indicating that proliferative capability of ATF3^{-/-} epithelial cells was compromised, consistent to those results observed in organoid culture (**Figures 1D,E**). Collectively, we concluded ATF3 regulates barrier maintenance which involves epithelial cell regeneration and tissue repair.

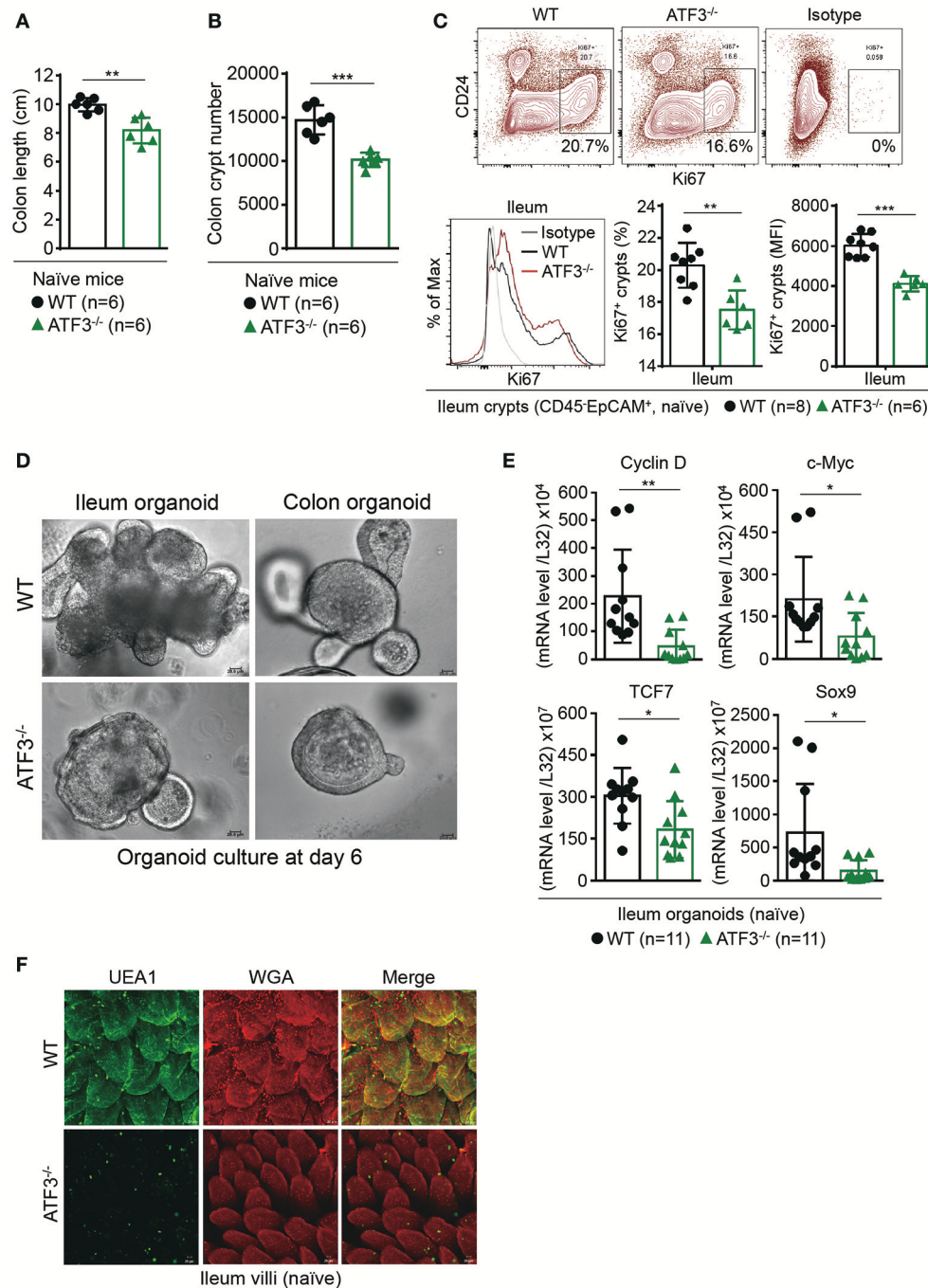


FIGURE 1 | ATF3 maintains intestinal homeostasis. **(A)** Comparison of colon length between naïve mice as indicated. **(B)** Colon crypts from mice were isolated by shaking colon fragments in EDTA and counted under light microscopy. **(C)** Flow cytometry analysis of Ki67 and CD24 expression in ileum crypts, gated on the CD45⁻EpCAM⁺ populations, from the indicated naïve mice. **(D)** Representative micrographs showing intestinal organoids derived from naïve mice. **(E)** Quantitative real-time PCR analysis of cell cycle genes in naïve ileum organoids at day 6 of culture ("n" indicates organoids derived from 4 mice each group). **(F)** Representative confocal images of whole mount tissues with co-immunofluorescence staining of UEA-1 and WGA in naïve ileum villi. Results were from at least two independent experiments and "n" refers to the number of mice unless indicated otherwise. All mice were at the age of 2~3 months old when analyzed. Statistical analysis was done using Multiple *T*-test on Prism software. **P* < 0.05, ***P* < 0.005, ****P* < 0.0005.

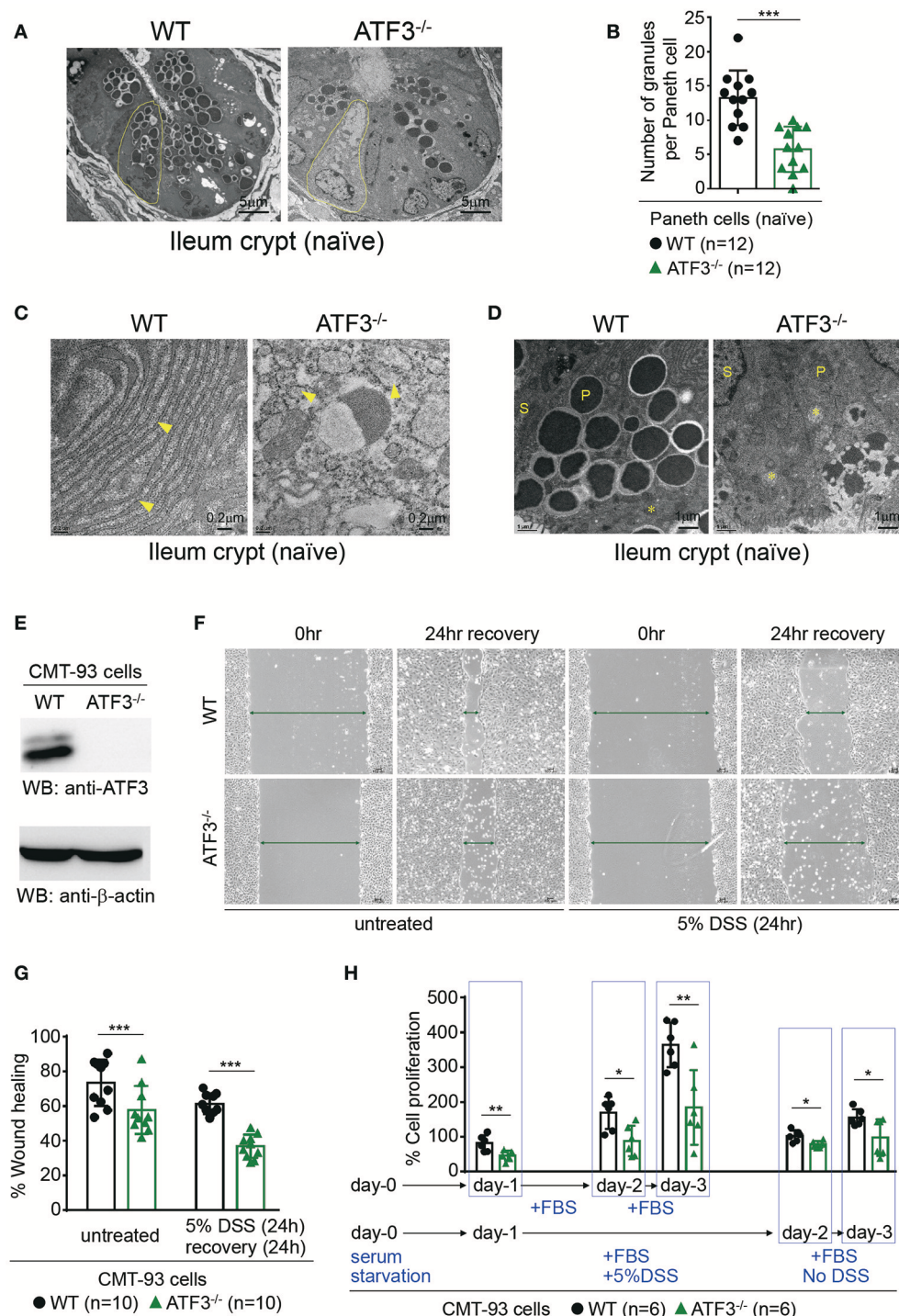


FIGURE 2 | ATF3 regulates Paneth cell homeostasis and promotes wound healing and proliferation of epithelial cells. **(A–D)** Transmission electron microscopy images of naïve ileum crypts showing the structural appearance of Paneth cells. **(A)** Degeneration of Paneth cells (outlined in yellow) and loss of their apical granules in ATF3^{-/-} mice compared to wild-type mice. **(B)** Total number of granules in Paneth cells was counted manually in crypts ($n = 12$) imaged from 4 mice each group. **(C)** ER (indicated by arrowheads) fragmentation into cytoplasmic vesicles and **(D)** mitochondria (indicated by asterisks) swelling with damaged cristae in naïve ATF3^{-/-} mice were shown. P= Paneth cells, S= Stem cells. **(E–H)** Assays of wound healing and proliferation in CMT93 cells and “n” refers to number of CMT93 samples. **(E)** Western blot analysis of ATF3 ablation in CMT93 cells. **(F,G)** Wound healing assay of CMT93 cells with or without DSS treatment for injury. Wound was introduced by scratch and % of wound closure was normalized and calculated after 24 h of recovery. **(H)** Cell proliferation assay of CMT93 cells. Cells were serum starved, then proliferation was compared with or without injury introduced by DSS treatment as indicated. Total cell proliferation was expressed as percentage relative to the number of cells seeded. Results were representative of two independent experiments. Statistical analysis was done using Multiple T-test on Prism software. * $P < 0.05$, ** $P < 0.005$, *** $P < 0.0005$.

Epithelial ATF3 Is Protective During DSS-Induced Colitis

We next investigated whether disrupted Paneth/stem cell niche, due to loss of ATF3, will lead to clinical manifestation during intestinal stress such as inflammation. DSS-induced colitis was selected because it is well documented that DSS administration in mice causes epithelial barrier dysfunction which we believe ATF3 has a major regulatory role (46). Groups of wild-type and ATF3^{-/-} mice were given two cycles of 2% DSS in drinking water (Figure 3A), and monitored daily for disease activity index (DAI), by measuring body weight, stool consistency, and rectal bleeding as previously described (29). While naïve ATF3^{-/-} mice showed no signs of disease activity, notably, DSS-treated ATF3^{-/-} mice developed more severe colitis with lethal disease activity leading to reduced survival rate and much shortened colon length compared to wild-type mice (Figures 3B,C, and Supplementary Figures 3A,B). Since increased shortening of the colon during DSS colitis suggests impaired epithelial regeneration, we sacrificed mice for further analysis at day-8 after the first DSS cycle when DAI was highest in mice. Consistent to clinical manifestation, we found colon crypts are less proliferative by Ki67 staining in ATF3^{-/-} mice, which likely leads to decreased crypt numbers and increased colon tissue pathology (Figure 3D, and Supplementary Figure 3C–E). This indicates that wild-type mice can subdue DSS-induced inflammation by enhancing barrier repair through active epithelial cell proliferation, while ATF3^{-/-} mice exhibit fatal damage of epithelial barrier in terms of loss of cellular proliferation accompanied by increased apoptosis, as evidenced by increased TUNEL⁺ cells (Figure 3E). Collectively, the observations that DSS treatment in ATF3^{-/-} mice introduced more mucosal epithelial damage, extensive inflammation, loss of epithelial architecture, loss of crypts, and enhanced immune cell infiltrates, provide evidence that ATF3 is a pillar base for a balanced intestinal stress response to maintain mucosal integrity during colitis. Impaired AMP production and elevated levels of endoplasmic reticulum (ER) stress are believed to be prominently involved in the pathogenesis of IBD (41, 47). Notably, core intestinal AMPs, such as Reg3, defensin-β3, and the calcium binding proteins S100A8/A9, were markedly reduced in ATF3^{-/-} mice (Figure 3F). Abnormally active ER machinery, as evidenced by increased mRNA levels of ER stress-related genes including GRP78, sXBP1 and CHOP, was also detected in the colons of ATF3^{-/-} mice (Figure 3G). Taken together, we concluded ATF3^{-/-} mice suffer lethal colitis due to impaired epithelial regeneration and immunity, as well as enhanced cellular stress.

To further strengthen our conclusion that epithelial ATF3 mediates protection during DSS colitis, we obtained ATF3 flox mice and generated epithelium-specific ATF3 conditional (Vil-Cre⁺ATF3^{F/F}) knockout mice (28, 48). Similar to global ATF3^{-/-} mice, at 3 months of age, naïve Vil-Cre⁺ATF3^{F/F} mice showed more shortened colon length and severe Paneth cell degeneration, compared to ATF3^{F/F} littermate mice (Figure 4A and data not shown). We found epithelium-specific ATF3 conditional knockout mice also recapitulate most

phenotypes of DSS colitis observed in global ATF3^{-/-} mice, including increased disease activity index, more shortened colon length, reduced total crypt numbers and impaired epithelial regeneration (Figures 4B–F). Together, these results exclude the potential contribution of non-epithelial ATF3 from other cell types to colitis pathogenesis in our experimental model.

Rectal Organoid Transfer INTO DSS-Treated ATF3^{-/-} Mice Ameliorates Colitis

To determine whether ATF3-mediated protection during colitis is intrinsic or cell-autonomous to epithelial cells, rectal organoid transplantation after DSS induction of colitis was performed as previously described (33). Colon organoids, derived from wild-type or ATF3^{-/-} mice and cultured for 6 days, were used as donor cells (Figure 5A). Rectal transfer of donor organoids into ATF3^{-/-} recipient mice was performed at day-6 and day-8 post DSS treatment. Notably, ATF3^{-/-} recipient mice transplanted with wild-type, but not ATF3^{-/-} donor organoids, showed ameliorated inflammatory conditions, including increased survival and decreased disease activity (Figures 5B,C). At the day-14 after two organoid transfers, we found that ATF3^{-/-} mice receiving wild-type organoids recover from injury and improve colon integrity more rapidly, as evidenced by colon length and healthy appearance of both colon and cecum compared to those mice receiving ATF3^{-/-} organoids (Figure 5D). Correlated to this, total colon crypt numbers in mice receiving wild-type organoids was significantly higher than those of mice with ATF3^{-/-} organoid transfer (Figure 5E). Accordingly, colon pathology was decreased, likely due to improved tissue repair, in mice receiving wild-type organoids (Figures 5E,G). Together, these results indicate that restoring epithelial ATF3 signaling through wild-type organoid transplantation can effectively attenuate inflammatory conditions after DSS-induced colitis. These findings not only emphasize the protective role of intestinal epithelial barrier during colitis but also highlight the indispensable role for endogenous ATF3 in recovering and maintaining epithelial barrier functionality.

ATF3 Is a Downstream Target of IL-22 Signaling and Is Required for IL-22-Mediated AMP Production

In the intestine, IL-22 binds to IL-22 receptor (IL-22R) to transduce signaling exclusively in epithelial cells leading to STAT3 activation, epithelial proliferation and immunity (15). Direct stimulation of *ex vivo* cultured colon fragments with IL-22 induces AMP production that has been linked to host defense and protection of stem cell niche (11, 49). Nevertheless, the downstream IL-22-mediated genetic circuits are still largely unexplored. Although ATF3 is a stress-response molecule to extracellular stimuli such as DNA damage or Toll-like receptors (17), unexpectedly; we found ATF3 was upregulated in IL-22-stimulated ileum organoids or colon fragments (Figures 6A–C), indicating that ATF3 is a downstream target of IL-22 signaling.

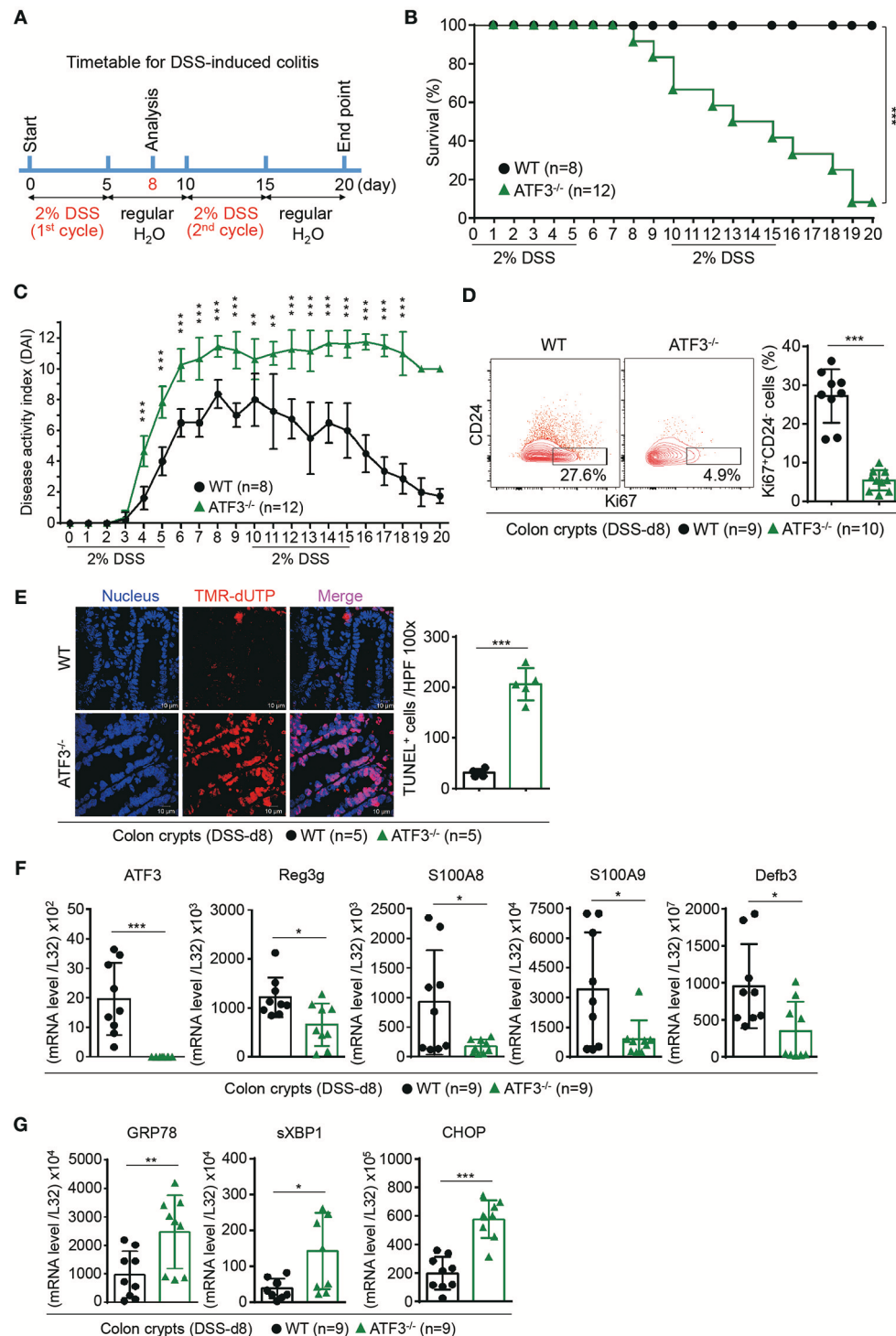


FIGURE 3 | ATF3 protects mice from DSS-induced colitis. **(A)** Experimental protocol of DSS-induced colitis was shown. **(B)** Survival rate in mice after DSS treatment. **(C)** Disease activity index (DAI), a composite measurement of weight loss percentage, stool consistency, and blood in stools, was indicated in each group of mice during DSS colitis. **(D–G)** Analysis of colitis severity at day-8 post DSS treatment. **(D)** Flow cytometry of Ki67⁺ proliferating crypt cells in CD24^{low/-} cell population. **(E)** TUNEL assay showing apoptotic cells in colon tissues. Magenta positive apoptotic cells were quantified per 100x high-power field (HPF) from 10 different views of colon section from each mouse. **(F–G)** Quantitative real-time PCR analysis of crypt cells at day-8 post DSS. **(F)** Expression of ATF3 and anti-microbial peptide-related genes. **(G)** Expression of ER stress-related genes. Results were from two independent experiments. “n” refers to the number of mice analyzed. Survival curve was calculated using the Kaplan–Meier method and statistical significance was calculated using Log rank (Mantel–Cox) test. Statistical analysis was done using Multiple T-test on Prism software. **P* < 0.05, ***P* < 0.005, ****P* < 0.0005.

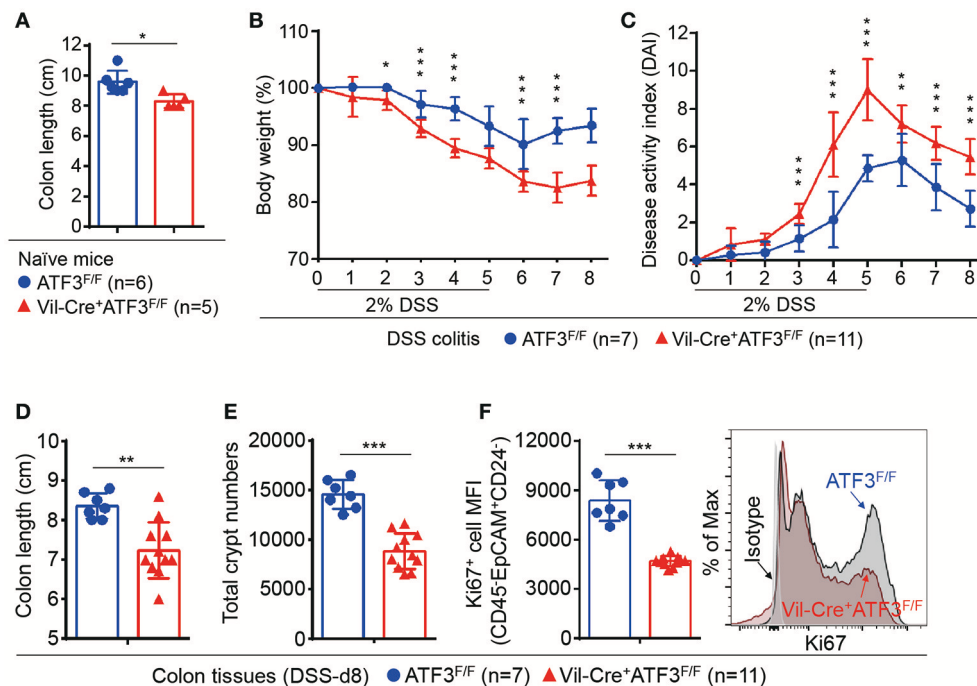


FIGURE 4 | Epithelial ATF3 is required for protection against DSS colitis. **(A)** Comparison of colon length between 3-month-old naïve mice as indicated. **(B–F)** Analysis of colitis severity during DSS treatment. **(B)** Percentage of body weight loss during DSS colitis. **(C)** Disease activity index (weight loss percentage, stool consistency, and blood in stools) was indicated in each group of mice during DSS colitis. **(D)** Colon length, **(E)** total colon crypt numbers, and **(F)** Ki67⁺ proliferating crypt cells by flow cytometry analysis, were measured at day-8 post DSS treatment. Results were from two independent experiments. “n” refers to the number of mice analyzed. Statistical analysis was done using Multiple *T*-test on Prism software. **P* < 0.05, ***P* < 0.005, ****P* < 0.0005.

This finding also pinpoints the unique role of ATF3 in epithelial cells since IL-22R signaling is exclusive to epithelium in the gut. To further investigate whether ATF3 is functionally involved in IL-22 signaling, we analyzed the IL-22-induced AMP production in colon fragments, freshly isolated from wild-type or global ATF3^{-/-} mice (11). Notably, ATF3^{-/-} colon fragments, compared to wild-type colon fragments, showed reduced AMP production (Reg3γ and S100A8) after IL-22 stimulation (**Figure 6D**). To address concerns that other immune cells in ATF3^{-/-} colon fragments could also contribute to defective IL-22-induced AMP induction because of the use of global ATF3 knockout mice, we used colon fragments isolated from epithelium-specific ATF3 conditional knockout (Vil-Cre⁺ATF3^{F/F}) mice or their littermates (ATF3^{F/F}) for AMP production analysis and confirmed the results (**Figure 6E**). Similar observation was also obtained using CMT93 colon epithelial cells (**Figure 6F**). The defects of IL-22-induced AMP production in Vil-Cre⁺ATF3^{F/F} colon fragments further support why Vil-Cre⁺ATF3^{F/F} mice were also more susceptible to DSS-induced colitis compared to ATF3^{F/F} littermates (**Figure 4**). Taken together, we concluded that ATF3 is required to relay IL-22 signaling in epithelial cells for the induction of immunity and for epithelial regeneration as well, and that loss of ATF3 leads to compromised intestinal homeostasis and impaired recovery from mucosal damage due to lack of tissue-protective IL-22 signaling.

ATF3 Regulates IL-22-Induced STAT3 Phosphorylation via Targeting Phosphatases

In epithelial cells, STAT3 is the prime downstream target of IL-22 signaling and has been shown to be essential for intestinal organoid growth (7). IL-22 induces STAT3 phosphorylation on tyrosine-705, then subsequent dimerization and nuclear translocation of phosphorylated STAT3 initiate its transcriptional activity for programming sets of genes associated with AMP production, cell proliferation, tissue repair, and survival (8, 15). Mutations in STAT3 have been identified as susceptibility factors for IBD and loss of epithelial STAT3 rendered mice more susceptible to DSS colitis (9, 50, 51). Given that the expression of AMP such as Reg3β/γ is dependent on STAT3 signaling (9), we reasoned that ATF3-mediated AMP induction during IL-22 signaling might be through an action on STAT3 activation. Indeed, we found IL-22-induced STAT3 phosphorylation is dramatically abolished in the absence of ATF3, in freshly isolated ileum crypts, cultured ileum organoids, or CMT93 cells (**Figures 7A–C**). We further confirmed that IL-22-induced, ATF3-mediated STAT3 activation is epithelium-specific (**Figure 7D**). In addition, decreased IL-22 signaling was not due to downregulation of the IL-22 receptor complex, composed of IL-22R1 and IL-10R2 subunits (**Figures 7E,F**), in ATF3^{-/-} epithelial cells, indicative of an intrinsic cellular defect.

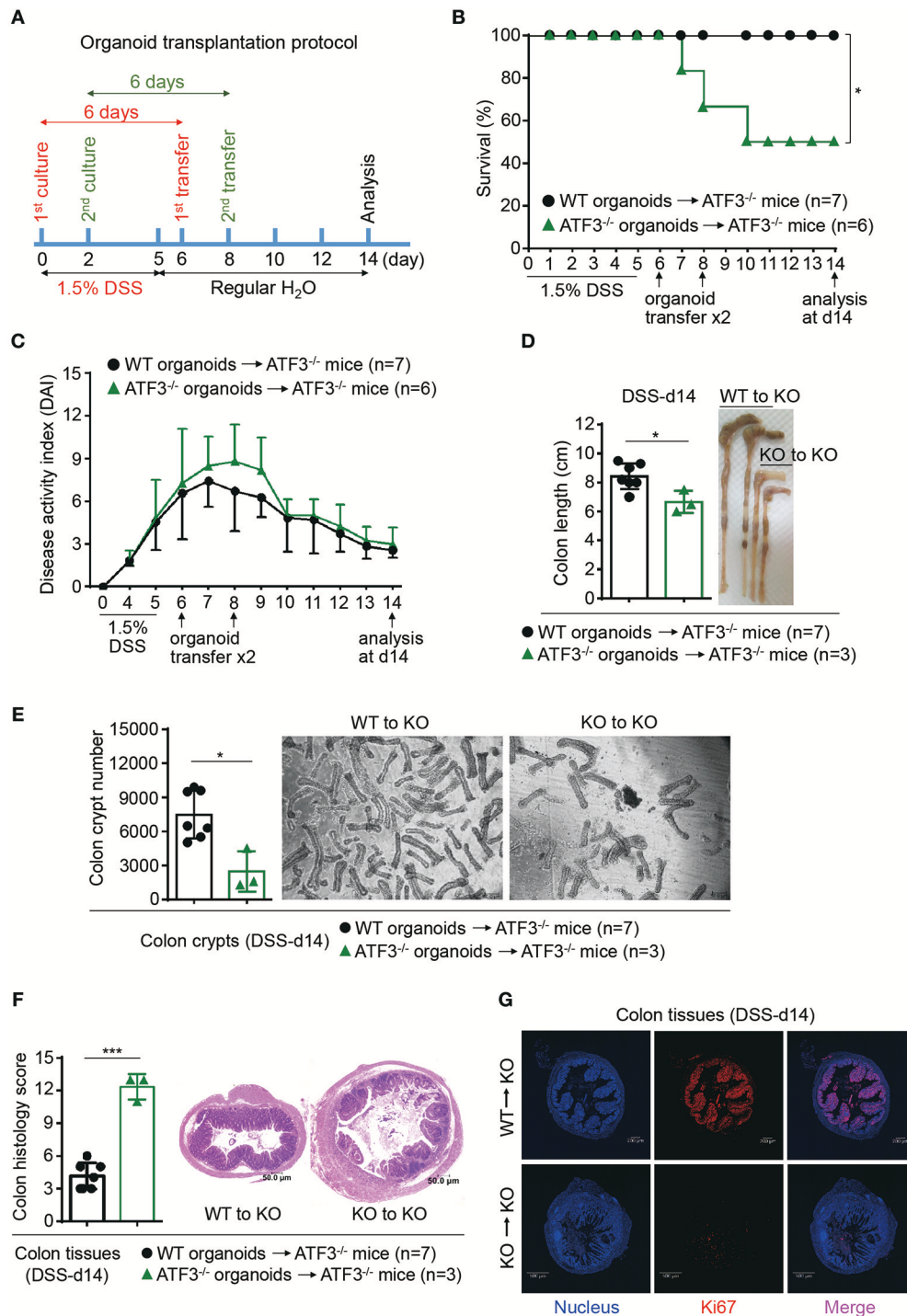


FIGURE 5 | Rectal transplantation of wild-type organoids ameliorates colitis in ATF3-deficient mice. **(A)** Experimental protocol of organoid transfer post DSS colitis was shown. **(B–G)** Analysis of DSS colitis severity after organoid transfer. **(B)** Survival rate of mice after organoid transfer. **(C)** Disease activity index was indicated in each group of mice after organoid transfer. **(D)** Colon length, **(E)** total colon crypt numbers, **(F)** colon tissue histology scores (images were taken and scored at magnification of 10x) based on hematoxylin and eosin (H and E) staining, and **(G)** confocal images of colon tissues immunostained with the Ki67 proliferation marker at day-14 post DSS treatment were shown. Results were from two independent experiments. “n” refers to the number of mice analyzed. Survival curve was calculated using the Kaplan–Meier method and statistical significance was calculated using Log rank (Mantel–Cox) test. Statistical analysis was done using Multiple T-test on Prism software. * $P < 0.05$, ** $P < 0.005$, *** $P < 0.0005$.

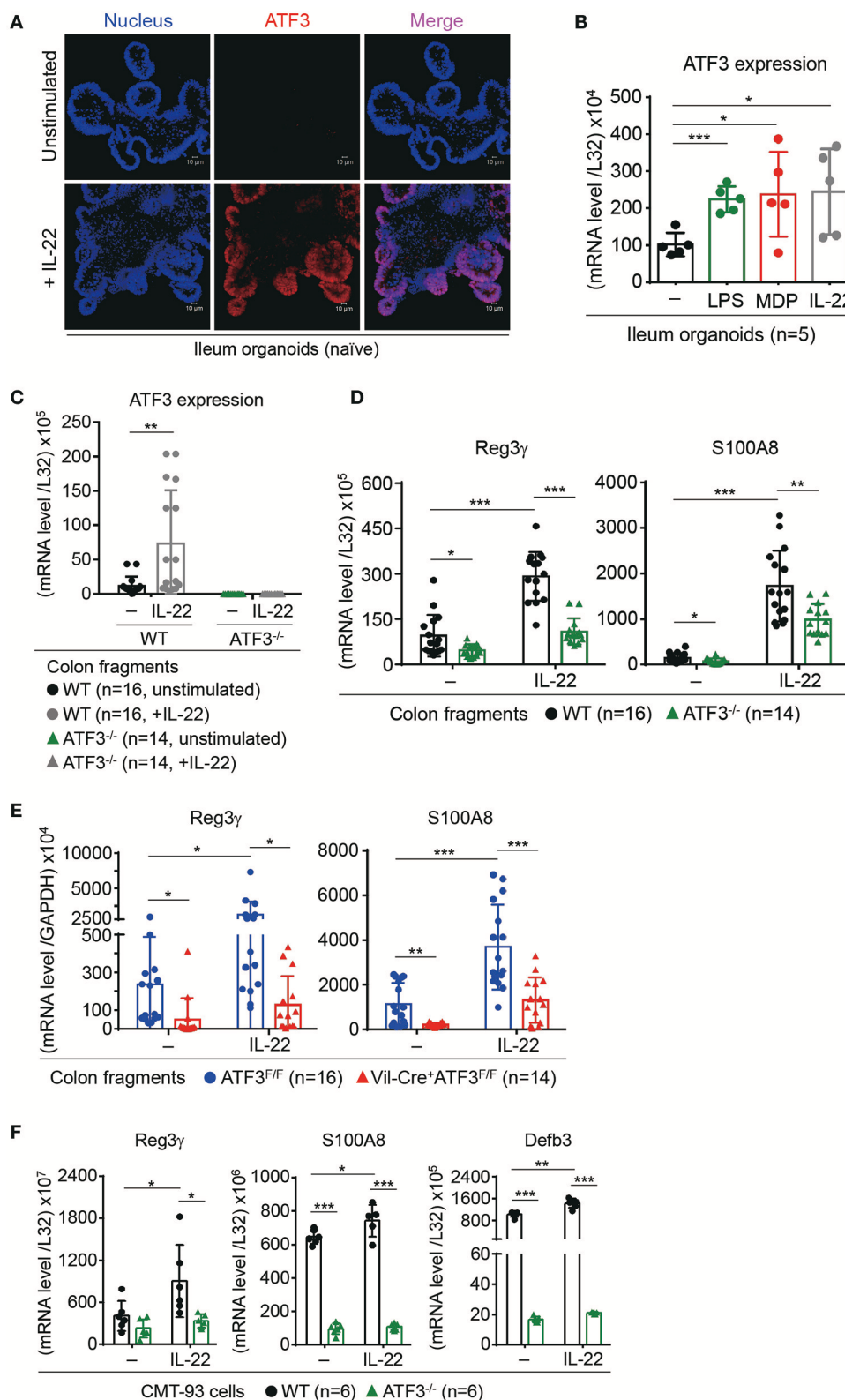


FIGURE 6 | ATF3 mediates IL-22-induced production of anti-microbial peptides in epithelial cells. **(A,B)** Induction of ATF3 by IL-22 in organoids. **(A)** Representative three-dimensional confocal images of ATF3 expression in ileum organoids at day 6 of culture with or without IL-22 stimulation for overnight. **(B)** Quantitative real-time (Continued)

FIGURE 6 | PCR analysis of ATF3 mRNA levels in ileum organoids at day 6 of culture, stimulated with lipopolysaccharide (LPS), muramyl dipeptide (MDP), or IL-22. “n” indicates organoids derived from 5 wild-type mice. **(C–E)** Quantitative real-time PCR analysis of genes in IL-22-stimulated colon fragments. Pieces of colon fragments (~0.5 cm) were cultured in complete DMEM with or without IL-22 for 5 h and mRNA levels of **(C)** ATF3, or **(D,E)** anti-microbial peptide Reg3y and S100A8, were determined. “n” refers to the number of colon fragments obtained from 7 wild-type mice and 6 ATF3^{-/-} mice **(C,D)**, or 8 ATF3^{F/F} mice and 7 VIL-Cre⁺ ATF3^{F/F} **(E)** mice. **(F)** Quantitative real-time PCR analysis of anti-microbial genes in IL-22-stimulated CMT93 cells. “n” refers to number of CMT93 samples analyzed. Results were from two independent experiments. Statistical analysis: Multiple *T*-test **B,C**, untreated control samples were used as the standard control for other stimulated samples), Two-way ANOVA test **(D–F)**, for multiple comparison of samples). **P* < 0.05, ***P* < 0.005, ****P* < 0.0005.

We next sought to determine whether ATF3, as a transcription factor, can directly bind to the STAT3 promoter to regulate its expression or activation during IL-22 signaling. Biotinylated DNA probe containing ATF/CRE binding site within the STAT3 promoter was used for the electrophoretic mobility shift assay (EMSA) (**Supplementary Figure 4A**). Notably, we were not able to detect a shift of the DNA-protein complex consisting of nuclear ATF3 and the STAT3 promoter probe (**Supplementary Figure 4B**) (52), indicating that ATF3 does not target STAT3 directly during IL-22 signaling in epithelial cells. We then explored the possibility whether ATF3 targets negative regulators of STAT3, such as protein tyrosine phosphatases (PTPs), to promote STAT3 activation (53). We tested several PTPs and identified two PTPs, SHP2 and PTP-Meg2, both known to dephosphorylate STAT3 (54, 55). Notably, loss of ATF3 in CMT93 cells led to increased levels of SHP2 and PTP-Meg2 at the steady state and much higher levels after IL-22 stimulation (**Figure 7G**), suggesting ATF3 negatively regulates these PTPs. To provide evidence that PTPs are upstream regulators of STAT3 phosphorylation during IL-22-ATF3 signaling, SHP2 was knockdown (SHP2^{KD}) by shRNA in ATF3^{-/-} cells (namely ATF3^{-/-}-SHP2^{KD}). Notably, we found that impaired STAT3 phosphorylation in ATF3^{-/-} cells was comparably restored in ATF3^{-/-}-SHP2^{KD} cells (**Figure 7H**), indicating that SHP2 is acting upstream of STAT3 as an inhibitor via de-phosphorylation in gut epithelial cells (53). Taken together, we concluded that ATF3 functions as a repressor to inhibit PTPs such that those PTPs are not functionally targeting STAT3 for suppression.

ATF3 Targets Intestinal Th17 Cell Functionality via IL-6-pSTAT3 Signaling

Because IL-6 could be produced by epithelial cells and it is also a strong STAT3 inducer, particularly in the context of inflammation (56, 57), we first examined whether IL-6 activates STAT3 in freshly isolated epithelial cells. Consistent to a study showing that loss of IL-6 did not affect epithelial STAT3 phosphorylation (9), we found IL-6 does not activate STAT3 in gut epithelial cells (**Figure 8A**). Intriguingly, IL-6 induces STAT3 activation in CD45⁺ mononuclear cells and we found ATF3 also positively regulates IL-6-induced STAT3 activation in CD45⁺ cells (**Figures 8A,B**). A recent study reported that in the gut, the dual-specificity phosphatase 2 (DUSP2) targets and catalyzes STAT3 de-phosphorylation leading to impaired Th17 cell development (58). Therefore, given that IL-6 drives Th17 cell differentiation whose regulation is tightly linked to IBD pathogenesis (1, 59), we next investigated whether loss of ATF3 in mice affects intestinal Th17 cell development. Notably,

while mRNA and protein levels of both IL-22 and IL-17A were not much changed at the steady state, the capability of lamina propria Th17 cells to produce IL-22 or IL-17A, after ionomycin and PMA stimulation, was significantly compromised in ATF3^{-/-} cells (**Figures 8C,D**). In addition, we found in ATF3^{-/-} mice that mRNA levels of IL-6, IL-6R1, and gp130 (the signaling subunit of the IL-6 receptor complex) were not altered in various intestinal tissues (**Supplementary Figure 5**), excluding the possibility that compromised Th17 accumulation in the gut was due to decreased IL-6 or IL-6 receptor complex. Because increased expression of IL-6 and IL-22 are associated with IBD in patients (60, 61), our findings here pinpoint a cross-regulation of ATF3 for intestinal immunity maintenance, between IL-22-induced STAT3 activation in gut epithelial cells and IL-6-induced STAT3 activation in CD45⁺ cells especially Th17 cells (summarized in **Figure 8E**).

DISCUSSION

In light of a recent microarray analysis showing up-regulation of ATF3 in patients with active IBD (21), while lacking evidence supporting a role for ATF3 in intestinal homeostasis and IBD pathogenesis, we have performed in-depth analyses here, using primary intestinal organoids and animal models, to reveal how ATF3 is acting as a critical downstream regulator of the IL-22 signaling cascade in intestinal epithelial cells. We further identified a novel IL-22-mediated circuit in epithelial cells where ATF3 relays IL-22 signaling to inhibit PTPs to prevent them from inactivating STAT3 phosphorylation. Given that IL-22 itself could also induce ATF3, our results therefore illustrate a delicate mechanism where ATF3 facilitates functionality and amplification of IL-22 signaling. In addition, we provide evidence that ATF3 influences IL-6-mediated STAT3 activation which might be involved in intestinal Th17 accumulation, survival or development. As both IL-6 and IL-22 controls STAT3 activation which is associated with cell homeostasis, host defense, inflammation and tumorigenesis (56), our findings of ATF3-mediated cross-regulation between lymphoid cells and epithelial cells in the intestine support ATF3 as a novel and critical gatekeeper for intestinal immunity.

The intestinal barrier is composed of a versatile and dynamic layer of epithelial cells which is, to a great extent, maintained by a niche between intestinal stem cells and Paneth cells located at the crypt base (45, 62). Intriguingly, loss of ATF3 disrupts this niche leading to decreased Ki67⁺ proliferating transit-amplifying (TA) cells and total crypt numbers (i.e., regeneration capability) at the steady state or during colitis. The disrupted Paneth/stem

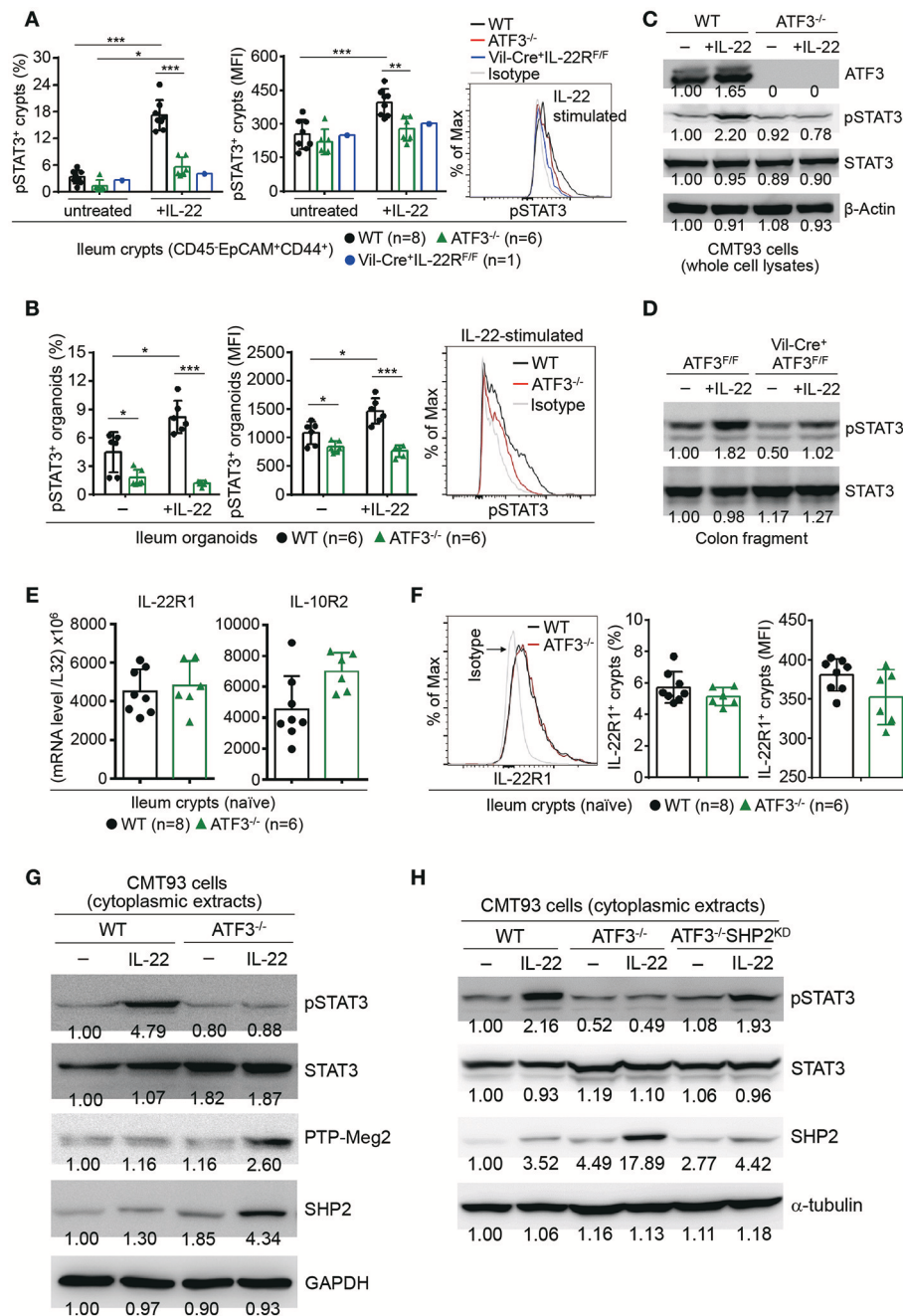


FIGURE 7 | ATF3 promotes IL-22-induced STAT3 phosphorylation by suppressing phosphatases. **(A)** Freshly isolated ileum crypts, or **(B)** ileum organoids at day 6 of culture, were stimulated with IL-22, followed by fixation and intracellular staining of phospho-STAT3, and analyzed by flow cytometry. Western blot analysis of **(C)** IL-22-stimulated CMT93 cells, or **(D)** IL-22-stimulated colon fragments isolated from the indicated mice, for the expression of the indicated proteins. **(E)** Quantitative real-time PCR analysis of IL-22R1 and IL-10R2 mRNA levels in freshly isolated ileum crypts from mice. **(F)** Flow cytometry analysis of IL-22R1 in freshly isolated ileum crypt cells gated on the CD45⁺EpCAM⁺ population. **(G,H)** Western blot analysis of unstimulated or IL-22-stimulated CMT93 cells for the indicated proteins. ATF3^{-/-} CMT93 cells with SHP2 knockdown (ATF3^{-/-}SHP2^{KD}) were indicated. Images were representative of four independent experiments **(G–H)**. Results were from two independent experiments **(A–F)**. “n” refers to the number of mice analyzed **(A,B,E,F)**. Statistical analysis was done by multiple comparison in Two-way ANOVA test using Prism software. **P* < 0.05, ***P* < 0.005, ****P* < 0.0005.

cell homeostasis is likely due to compromised IL-22-induced STAT3 activation in ATF3^{-/-} stem cells, as is also supported by a recent study showing IL-22 activates STAT3 signaling in

intestinal organoids and promotes stem cell regeneration (7). Notably, while IL-22 does not enhance STAT3 phosphorylation in Paneth cells (7), we found that ATF3 deficiency *in vivo*

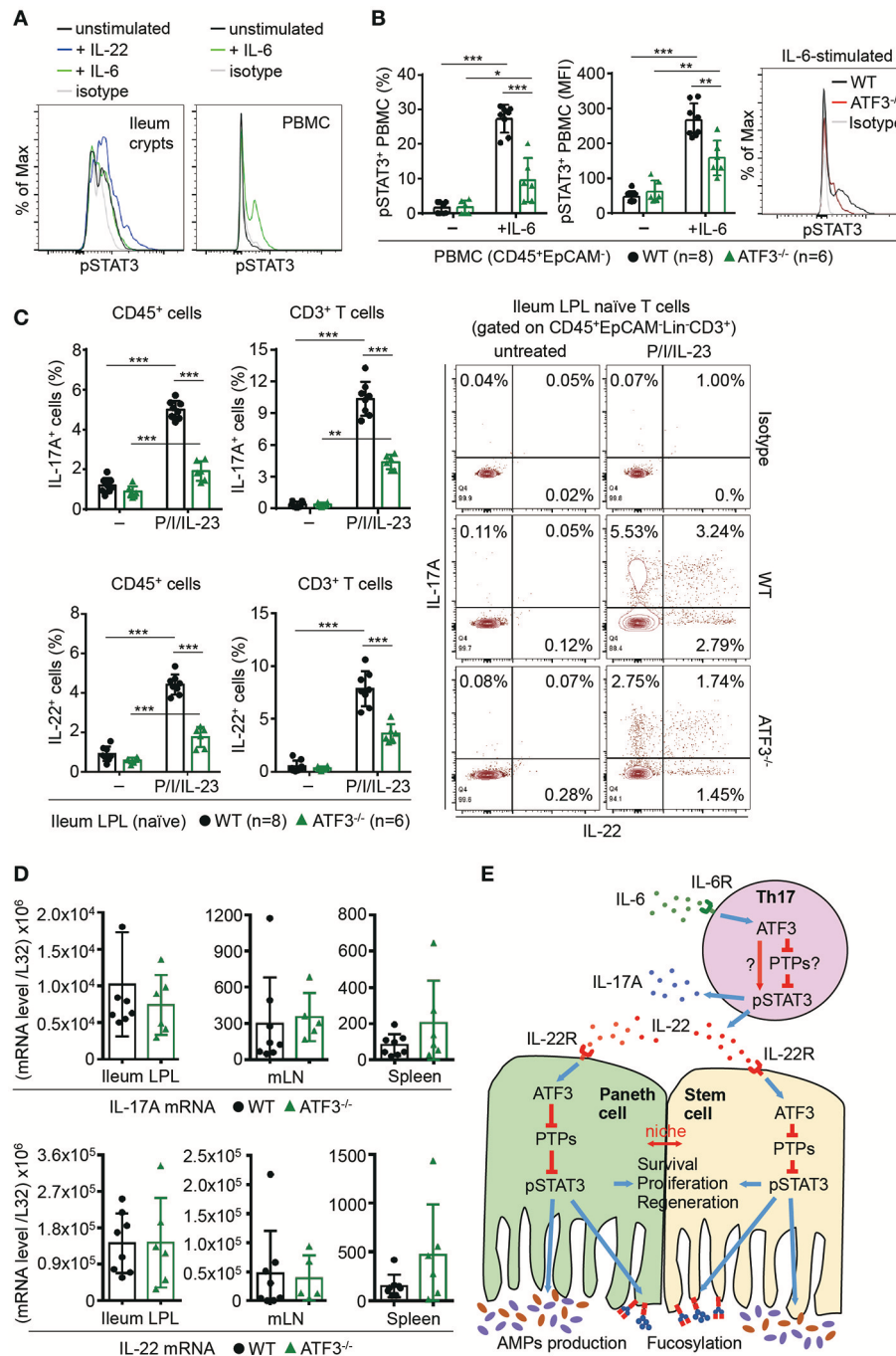


FIGURE 8 | ATF3 regulates IL-6-pSTAT3 signaling in intestinal Th17 cells. Flow cytometry analysis of phospho-STAT3 in (A) IL-6 or IL-22 stimulated freshly isolated ileum crypts or IL-6-stimulated peripheral blood mononuclear cell (PBMC) from wild-type mice, or in (B) IL-6-stimulated PBMC from wild-type and ATF3-deficient mice. (C) Flow cytometry analysis of intracellular IL-17A and IL-22 expression in naïve lamina propria T cells from the indicated mice. Cells were treated with PMA, ionomycin and IL-23 in the presence of BFA for 4 h before analysis and gated on live CD45⁺EpCAM⁻Lin⁻CD3⁺ population as shown. (D) Quantitative real-time PCR analysis of IL-17A and IL-22 mRNA levels in freshly isolated lamina propria (LPL) cells, mesenteric lymph nodes (mLN), or splenocytes. (E) Model of ATF3-mediated mucosal immunity via cross-regulation between IL-22-pSTAT3 signaling in epithelium (associated with AMP production and epithelial fucosylation) and IL-6-pSTAT3 signaling in Th17 cells (associated with signature IL-17A and IL-22 production). "n" refers to the number of mice analyzed. Statistical analysis was done by multiple comparison in Two-way ANOVA test using Prism software. *P < 0.05, **P < 0.005, ***P < 0.0005.

leads to Paneth cell degeneration featured by loss of AMP-producing granules. Although genetic depletion of Paneth cells *in vivo* results in the concomitant loss of Lgr5⁺ stem cells (45),

we reason that ATF3 is primarily targeting stem cell homeostasis and regeneration, but not Paneth cells in the niche, as organoid transplantation effectively ameliorates colitis and restores total

crypt numbers in DSS-treated ATF3^{-/-} mice. Thus, at the cellular level, ATF3-regulated epithelial niche homeostasis (but not ATF3-regulated Th17 cell functionality, see below) primarily determines intestinal immunity and susceptibility to colitis, while at the molecular level, ATF3-regulated IL-22-STAT3 activation determines full functionality of intestinal stem cells.

Clinical relevance of IL-22 and IL-6 signaling to IBD pathogenesis has been established (1, 10, 26, 61). STAT3 activation could be induced by IL-6R, IL-23R, or IL-22R signaling in Th17 cells (for IL-6R, IL-23R) and epithelial cells (for IL-22R) (1, 9, 56, 63). Components within these three signaling pathways are mostly overlapping and associated with IBD signaling modules by genome-wide association studies (1, 51). While we revealed a role for ATF3 in the IBD gene network of IL-22-STAT3, a function for ATF3 in IL-6-STAT3 or even IL-23-STAT3 activation in Th17 cell network has also been observed in our study. As an upstream regulator, ATF3 could suppress IL-6 transcription or even IL-22 transcription via NF- κ B (18, 64). However, as a downstream regulator, we showed ATF3 is required to relay IL-6 and IL-22 signaling for the induction of STAT3 phosphorylation. Intriguingly, loss of ATF3 *in vivo* appears to compromise epithelial function via IL-22-ATF3-STAT3 and impair Th17 function via IL-6-ATF3-STAT3, without affecting overall levels of IL-6 and IL-22 in the gut. Thus, ATF3 seems to play a more dominant role in the downstream of IL-22 signaling circuit *in vivo*, compared to a role in IL-6 signaling, as global ATF3^{-/-} mice were more susceptible to DSS colitis and *Citrobacter* infection. In light of this cross-regulation by ATF3 in different cell types, more dedicated genetic studies remain needed to untangle complicated ATF3-mediated function in intestinal cell activation and disease pathogenesis.

Toll-like receptor 4 (TLR4) signaling by LPS in macrophages induces ATF3-mediated IL-6 suppression via ATF3 binding to the ATF/CRE site of STAT3 promoter in the IL-6 gene (18). Binding of ATF3 to the STAT3 promoter for gene inactivation has also been shown in human hepatocellular carcinoma (25). However, we found ATF3 itself does not bind to the STAT3 promoter directly, at least in CMT93 epithelial cells, excluding the possibility of direct suppression of STAT3 by ATF3. Protein tyrosine phosphatases (PTPs) are known negative regulators of STAT3 signaling (53). A recent study showed phosphatase DUSP2 (PAC1) interacts with STAT3 and catalyzes de-phosphorylation of STAT3 (58). During our screening of PTPs for potential ATF3 targets, we found loss of ATF3 in CMT93 cells does not affect DUSP2 levels (data not shown), while levels of PTP-SHP2 and PTP-Meg2 are increased. Although restoration of STAT3 phosphorylation in ATF3^{-/-}-SHP2^{KD} cells confirms IL-22-induced, ATF3-mediated SHP2 is acting upstream of STAT3 in epithelial cells, the same signaling cascade (i.e., IL-6-ATF3-PTP-STAT3) was not validated in IL-6-induced, ATF3-mediated STAT3 activation in T cells. Whether ATF3 targets STAT3 directly, or indirectly via PTPs in Th17 cells, needs further investigation, as requirement for transcriptional regulation by ATF3 is cell type-dependent. Collectively, our study here revealed unique and protective roles of ATF3 in intestinal immunity, where ATF3 links IL-22 signaling to STAT3 activation via targeting PTPs for epithelial immunity, while ATF3 links IL-6 signaling to STAT3 activation for Th17-mediated immunity.

ETHICS STATEMENT

John T. Kung, Ph.D., IACUC Chair, The Institutional Animal Care and Use Committee of Academia Sinica All animal studies described in the manuscript were approved and adhered to the guidelines and policies of the Institutional Animal Care and Use Committee of Academia Sinica (AS IACUC). *In vivo* animal studies were designed and performed based on the 3R (to replace, to reduce, to refine) principles and animal rights and welfare defined by the IACUC of Academia Sinica.

For experimental ethics, the authors claimed all data reported in the manuscript has no research misconduct, including fabricating or falsifying the results, plagiarism, or data duplication. We agree all original results described in the manuscript, once published, would be open for data sharing to the public. Conduct of research is in full compliance to the guidelines and policies of the Institution of Biomedical Sciences, Academia Sinica in Taiwan.

AUTHOR CONTRIBUTIONS

DG designed, performed the experiments, analyzed the data, and wrote the manuscript. JS, M-CL, C-FC, and J-WS helped in generating ideas, designing the experiments, and troubleshooting for this study. H-HL and H-YC helped in designing and performing critical experiments for revised manuscript. Y-CL helped mouse husbandry and genotyping. J-WS wrote the manuscript and did the critical revision of the manuscript.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fimmu.2018.02522/full#supplementary-material>

Supplementary Figure 1 | ATF3 regulates intestinal homeostasis. **(A)** Isolation of ileum villi by scraping and crypts by fragment shaking in 30 mM EDTA for 30 min. **(B)** Quantitative real-time PCR analysis of ATF3 mRNA levels in freshly isolated tissues from different intestinal compartments and abdominal organs. **(C)** Comparison of body weight of the indicated mice at the age of 2–3 months old. **(D)** Flow cytometry analysis of Ki67 and CD24 expression in colon crypts, gated

on the CD45⁺EpCAM⁺ populations, from the indicated naive mice. **(E)** H&E sections of naive colon tissues showing healthy colon structure in WT mice while increased cell infiltration in ATF3-deficient colon. **(F)** Quantitative real-time PCR analysis of Fut2 mRNA levels in naive ileum fragments ("n" refers to the number of fragments obtained from 7 wild-type mice and 7 ATF3^{-/-} mice). Results were from two independent experiments and "n" refers to the number of mice **(B–D)**, unless indicated otherwise. Statistical analysis was done using Multiple *T*-test on Prism software. **P* < 0.05, ***P* < 0.005, ****P* < 0.0005.

Supplementary Figure 2 | ATF3^{-/-} mice were more susceptible to Citrobacter infection. Groups of mice were infected with a single dose (8 × 10⁸ CFU) of Citrobacter rodentium by oral gavage. **(A)** Fecal colony-forming unit (CFU) was measured and compared at the indicated days post Citrobacter infection. **(B)** Colonoscopy view showing ulceration/bleeding in the colon of ATF3^{-/-} mice at day 7 (Citro-d7) post infection. **(C)** Colon CFU and **(D)** colon length at day 12 post infection were measured and compared. Results were representative of two independent experiments. "n" refers to the number of mice used for analysis. Statistical analysis was done using Multiple *T*-test on Prism software. **P* < 0.05, ***P* < 0.005.

Supplementary Figure 3 | ATF3^{-/-} mice were more susceptible to DSS colitis. Analysis of colitis severity during DSS treatment. **(A)** Percentage of body weight loss during DSS colitis. **(B)** Colon length, **(C)** total colon crypt numbers, **(D)** colon tissue histology scores based on hematoxylin and eosin (H and E) staining, and **(E)** colonoscopic appearance were analyzed at the indicated day post DSS treatment. Results shown were from two independent experiments and "n" refers

to the number of mice used for analysis. Statistical analysis was done using Multiple *T*-test on Prism software. **P* < 0.05, ***P* < 0.005, ****P* < 0.0005.

Supplementary Figure 4 | ATF3 does not target the STAT3 promoter during IL-22 signaling in CMT93 epithelial cells. **(A)** Sequence of the mouse STAT3 promoter. Oligonucleotide probe (underlined), containing ATF/CRE binding site (shown in red) and STAT-binding element (SBE, shown in green) in the STAT3 promoter, was used for EMSA experiment. CTG (indicated in purple) is the transcriptional initiation site. GC box (shown in blue) is indicated. **(B)** EMSA assay, control system: Lane #1, only biotin-labeled 60 bp duplex bearing the EBNA-1 binding sequence showing only free DNA. Lane #2, biotin-labeled 60 bp duplex bearing the EBNA-1 binding sequence and EBNA extract showing DNA-protein complex shift. In assay with CMT93 cells, EMSA was performed with biotinylated STAT3 promoter probe and nuclear extracts prepared from WT or ATF3^{-/-} CMT93 cells with or without IL-22 stimulation (50 ng/ml, 10 min after 5 h of serum starvation). EBNA: Epstein-Barr Nuclear Antigen. Results shown were representative of two independent experiments.

Supplementary Figure 5 | ATF3 deficiency in mice does not affect mRNA levels of IL-6, IL-6R1 and gp130 in intestinal compartments. Quantitative real-time PCR analysis of **(A)** IL-6, **(B)** IL-6R1, and **(C)** gp130 mRNA levels in freshly isolated tissues from different intestinal compartments and abdominal organs. Samples of mesenteric lymph nodes (mLN) and spleen were used for comparison. Results shown were combined from two independent experiments and "n" refers to the number of mice used for analysis. No statistical difference between wild-type and ATF3^{-/-} mice was detected.

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Commensal Bacteria-Specific CD4⁺ T Cell Responses in Health and Disease

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Over the course of evolution, mammalian body surfaces have adapted their complex immune system to allow a harmless coexistence with the commensal microbiota. The adaptive immune response, in particular CD4⁺ T cell-mediated, is crucial to maintain intestinal immune homeostasis by discriminating between harmless (e.g., dietary compounds and intestinal microbes) and harmful stimuli (e.g., pathogens). To tolerate food molecules and microbial components, CD4⁺ T cells establish a finely tuned crosstalk with the environment whereas breakdown of these mechanisms might lead to chronic disease associated with mucosal barriers and beyond. How commensal-specific immune responses are regulated and how these molecular and cellular mechanisms can be manipulated to treat chronic disorders is yet poorly understood. In this review, we discuss current knowledge of the regulation of commensal bacteria-specific CD4⁺ T cells. We place particular focus on the key role of commensal-specific CD4⁺ T cells in maintaining tolerance while efficiently eradicating local and systemic infections, with a focus on factors that trigger their aberrant activation.

Keywords: commensals, microbiota, CD4⁺ T cells, inflammatory bowel disease (IBD), bacteria–host interaction, immune education, intestinal immunity, autoimmunity

INTRODUCTION

The human body is composed of a myriad of microbes that outnumber the whole body human cells (1, 2). The highest density of commensal bacteria is located in the gastrointestinal tract, which is the largest immune organ in the body (3). The vast capabilities of the microbiota have led scientists to call for a “second human genome project” to account for the effect of microbial genes in human health (4). Commensal bacteria serve various functions, from catabolizing certain food molecules to promoting tissue repair. Among other functions, the microbiota controls enteric infections by competing for common resources as well as inducing the production of antimicrobial peptides by gut epithelial cells (5, 6). Importantly, the gut microbiota shapes both local and systemic immune responses. This is most evident in the case of chronic inflammation in the intestines, as this can lead to an unbalance of immune cells as well as dysbiosis of commensals and can result in various diseases, including local inflammatory bowel disease (IBD) and systemic diseases such as diabetes, asthma, and cancer (7). While many immune cells play a role in maintaining homeostasis with the microbiota (8), both effector and regulatory CD4⁺ T cells are highly enriched in intestinal tissues and represent a major immune cell in mediating homeostatic responses. Moreover, CD4⁺ T cells are believed to be the main drivers of pathogenicity in IBD and as such are considered a main therapeutic target (9).

Commensals have been shown to play a crucial role in driving physiological CD4⁺ T cell differentiation at barrier sites. T cells recognize immunological epitopes through engagement of their T cell receptors (TCRs) with cognate antigens presented on major histocompatibility (MHC) molecules on antigen-presenting cells (APCs) (10). Unlike T cells specific for self-antigens expressed by thymic epithelial cells, commensal-specific T cells do not undergo negative selection in the thymus and are present in healthy individuals despite the constant presence of their cognate antigens (11). Importantly, T cell transfer studies have shown the ability of these cells to drive pathogenesis. In this review, we will therefore discuss the multifaceted role of commensal bacteria-specific CD4⁺ T cells with special emphasis on the regulation and fate of these cells. More in detail, we will illustrate the mechanisms at the basis of commensal-host mutualism and how their disturbance affects local and systemic health. A detailed description of the state-of-the-art models available for the study of microbiota-specific T cell responses will provide major insights into the variety of CD4⁺ T cell responses that are elicited by selected commensal bacterial species.

Commensal-Host Mutualism

The relationship between humans and microbes is multifaceted; while several bacterial species can result in pathogenesis upon colonization, the vast majority are innocuous and even beneficial to the host (12). Mutualism between hosts and microbes benefits both parties but relies on a delicate balance between the antimicrobial and tolerogenic effector functions of the immune system as well as the control of pathogenicity of commensals. Commensals aid their hosts by inactivating toxins and catabolizing host-indigestible nutrients, such as some complex carbohydrates, to useful metabolites such as short chain fatty acids (SCFAs) (13–15). The commensal bacteria also control pathogen overgrowth through competition for the same biological niches and release of molecular mediators (16–22). Beyond nutrient breakdown and protection from infections, commensal bacteria also play a role in the development of anatomical structures in the intestines, angiogenesis, maturation of the epithelial layer, and leukocyte imprinting (5, 23, 24).

The gut microbiota has a vast effect on the local immune system. Accordingly, antibiotic-mediated clearance of commensal microbes has been associated with susceptibility to infections, likely due to an unfit immune system (25). Importantly, commensal bacteria can induce both pro- and anti-inflammatory CD4⁺ T cell responses via different mechanisms. For example, segmented filamentous bacteria (SFB) efficiently induce ileal IL-17 producer T helper (T_H17) cell expansion, whereas the production of SCFAs by colonic bacteria promotes the differentiation of colon-resident regulatory T cells (26). The delicate balance between pro-inflammatory and regulatory T helper cells is believed to play a pivotal role for the maintenance of the symbiotic relationship between commensal bacteria and their host.

Regulation of Bacteria-Host Interaction by the Mucosal Barrier

The maintenance of a symbiotic relationship between commensal microbes and their host relies both on physical segregation of

the microbiota to the intestinal lumen and on active sampling of bacteria by the immune system under steady-state conditions. This physical segregation is achieved through a single layer of columnar intestinal epithelial cells (IECs) kept together by tight junctions, that regulate paracellular permeability. In addition, the epithelium is covered by mucous layers of varying thickness and structure along the intestinal tract (27, 28). Disturbance of the inner colonic mucus layer structure, which can be achieved by genetic depletion of Muc2 or a short-term treatment with dextran sulfate sodium (DSS), is sufficient to allow bacteria to relocate to the epithelial layer and cause gut inflammation in mice (29–31). In CA-MLCK mice, which are characterized by constitutive tight junction barrier loss due to transgenic expression of constitutively active myosin light chain kinase, expansion of intestinal CD4⁺ T cells depends on the presence of commensal bacteria. This response resulted in protection against early *Salmonella Typhimurium* infection but exacerbated inflammation during chronic infection (32). Similarly, defective mucin production and aberrant expression of epithelial junctional proteins associated with early colorectal neoplastic lesions promoted permeability to commensal bacteria in humans, furthering inflammation and tumorigenesis (33).

The mucosal barrier is far from being a passive defense mechanism against microbial translocation. Immunoglobulins A (IgA), the most abundant immunoglobulin class in the body, are produced by B cells and plasma cells that reside in the Peyer's patches and intestinal lamina propria, respectively. Functional importance of this molecule in limiting commensal-specific T cell activation has been demonstrated in studies using the CBir1 TCR transgenic mouse model (Table 1). Activation of adoptively-transferred CBir1 Tg cells in response to orally-administered CBir1 flagellin was specifically blocked in WT mice, while selective impairment of IgA production or mucosal secretion unleashed CBir1 antigen-dependent T cell proliferation (48). Interestingly, IgA-mediated compartmentalization of the mucosal T cell response to the commensal microbiota does not apply to all bacteria, as activation of SFB or *Helicobacter hepaticus*-specific T cell clones occurs in immunocompetent mice (39, 42) (Figure 1). Furthermore, by the secretion of microbial peptides, epithelial cells actively contribute to the segregation of selected commensal bacteria to the intestinal lumen. These peptides are critical regulators of bacterial immunity and their impaired production is associated with intestinal and systemic inflammation. Mutations in Nod2, which are highly correlated with Crohn's disease, were found to negatively affect the production of a subgroup of intestinal anti-microbial peptides known as cryptidins (56). RegIII lectins are produced by Paneth cells in response to MyD88-dependent recognition of gut microbial patterns (57). Their depletion in RegIIIγ^{-/-} and RegIIIβ^{-/-} mice resulted in increased colonization of the intestinal epithelial surface and bacterial translocation to the liver, respectively, with consequent extra-intestinal inflammation (58, 59). Interestingly, depletion of retinoic acid (RA) receptor alpha specifically in epithelial cells resulted in increased numbers of goblet and Paneth cells and increased RegIIIγ production. In agreement with the role of RegIIIγ in regulating bacterial colonization at the epithelium vicinity, these mice did not have any detectable bacteria as seen by 16S FISH staining (60).

TABLE 1 | Models to study commensal-specific T cell responses.

Model	Description	References
Gnotobiotic mice	• Germ-free (GF) mice, which lack commensal microbes, have immunological defects that extend beyond the intestinal mucosa, with hypoplastic lymphoid tissues lacking B and T-cell compartmentalization	(23, 34)
	• GF mice colonized with defined bacterial species are useful to study the effects of selected microbes on the development of the immune system	(35)
	• GF mice colonized at birth with rat or human microbiota maintain an immature gut immune system compared to specific pathogen-free (SPF) mice. The immune-educating effect of the microbiota is host-specific	(36)
Tetramers	• Soluble MHC/peptide multimers with the capability of binding selected TCRs, useful for the <i>ex vivo</i> study of low-frequency endogenous antigen-specific CD4 ⁺ or CD8 ⁺ T cell populations	(37, 38)
	• I-Ab/3340-A6 tetramer allows recognition of segmented filamentous bacteria (SFB)-specific T cells	(39, 40)
	• I-Ab-CBir1p tetramer selectively stains cells that recognize CBir1 flagellin, an immunodominant microbiota antigen	(41)
TCR transgenic mice	• HH1713172–86 and HH1713230–44 tetramers stain <i>Helicobacter</i> -specific T cells	(42)
	• SFB, a unique member of Clostridiales that inhabits the terminal ileum, has been shown to specifically induce T _H 17 cell differentiation <i>in vivo</i>	(39, 43, 44)
	• In 7B8 TCR transgenic mice , CD4 T cells recognize SFB-specific antigens	
	• Upon transfer of naïve 7B8 cells to SFB-colonized congenic mice, these cells proliferate and are detected in the small intestinal lamina propria as T _H 17 cells	
	• Commensal Clostridia induce the accumulation of T _{reg} cells in the intestine	(45–47)
	• CT2 and CT6 mouse lines express microbiota-dependent colonic T _{reg} TCRs	
	• Upon adoptive transfer into young immunocompetent hosts, these naïve TCR Tg cells spontaneously acquired a T _{reg} cell phenotype	
	• Increased antibodies against microbial antigens were detected in both Crohn's disease patients and animal models of gut inflammation. The Cbir1 epitope of bacterial flagellin protein was immunodominant in both settings	(48–52)
	• CBir1 TCR transgenic mice have CD4 ⁺ T cells capable of recognizing bacterial flagellin and other commensal microbes	
	• Activation of adoptively transferred naïve Cbir1 TCR cells does not occur under steady-state conditions but can be achieved via disturbance of the intestinal mucosal barrier or lack of immunoregulatory mechanisms	
	• HH7-2tg and HH5-1tg mouse strains are transgenic for clonotypic TCRs that recognize <i>Helicobacter hepaticus</i>	(42, 53)
	• HH7-2tg cells acquired a Foxp3 ⁺ RORγt ⁺ regulatory phenotype under steady state conditions	
	• Intestinal inflammation skews the <i>H. hepaticus</i> -specific response toward a pro-inflammatory T _H 17/T _H 1 cell phenotype	

Altogether, these data demonstrate that tight regulation of the mucosal barrier is crucial in maintaining microbial homeostasis.

Regulation of CD4⁺ T Cell Responses Against Commensal Bacteria

CD4⁺ T cells orchestrate the immune response through the release of pro- and anti-inflammatory cytokines and expression of co-stimulatory molecules. To this end, they play crucial roles in driving or repressing the response of macrophages, CD8⁺ T cells, and B cells toward both pathogens and autoimmune antigens [reviewed in (61)]. CD4⁺ T cells can differentiate into various T helper (T_H) subsets with differing effector functions [reviewed in (62, 63)]. The most extensively characterized T_H subsets include: T_H1 cells, which are characterized by the production of interferon gamma (IFNγ), tumor necrosis factor alpha (TNFα), and expression of the transcription factor T-box expressed in T cells (T-bet); T_H2 cells, which produce IL-4 and IL-13 and express the transcription factor GATA-binding protein 3 (GATA-3); and T_H17 cells, which express IL-17A/F and IL-22 and the transcription factor RA receptor-related orphan nuclear receptor

RORγt. Anti-inflammatory T cell subsets include “natural” CD4⁺CD25⁺FoxP3⁺ regulatory (T_{reg}) cells that develop in the thymus as well as “inducible” regulatory cells, such as FoxP3⁺T_{reg} and FoxP3[−]T_R1 cells, which arise in the periphery (64–66). In addition, Bcl6-expressing T follicular helper (T_{FH}) cells reside in germinal centers and coordinate B cells responses through regulation of B cell recruitment, expansion, survival, antibody class-switching, and somatic hypermutation [reviewed in (67)]. Differentiation of T cells into certain T_H subsets can be fostered by specific features of the microenvironment. *In vitro* studies have shown that neutralization of IFNγ reduces the development of T_H1 cells, while transforming growth factor beta (TGFβ) promotes the differentiation of T_H17 and T_{reg} cells (61, 68).

Adherence of selective microbes to the gut epithelium or intestinal damage can expose commensal bacterial antigens to APCs, which can then initiate commensal-specific T cell responses. Several subsets of APCs inhabit the intestinal lamina propria and have been shown to respond to fluctuations of the commensal microbiota composition (69, 70). For instance, CX3CR1^{hi} mononuclear phagocytes residing in the small

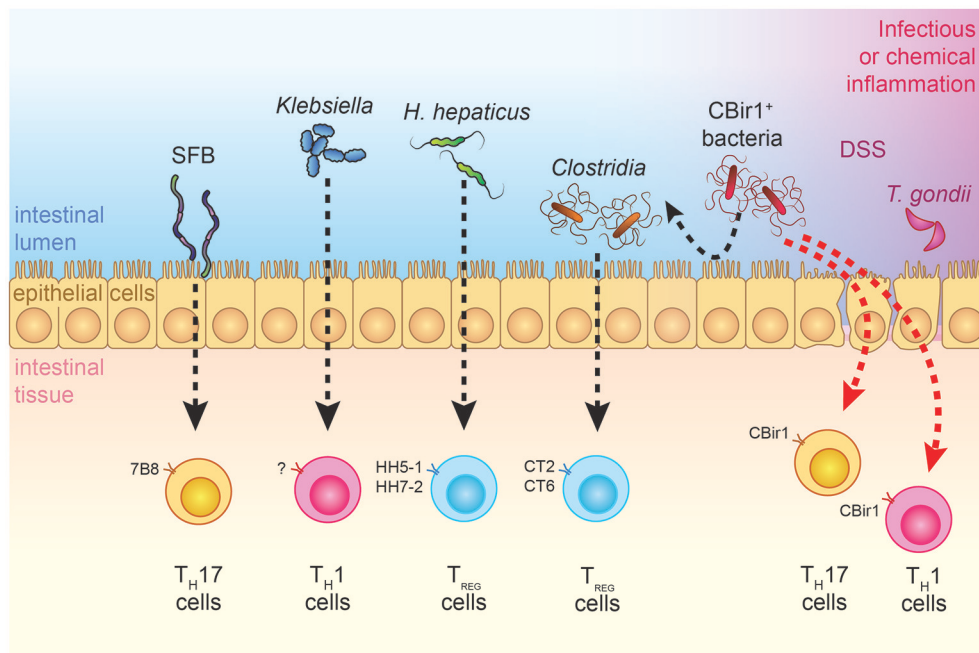


FIGURE 1 | Effects of commensal bacteria on T cell differentiation during steady-state and inflammatory conditions. TCR transgenic models that are available for the study of bacteria-specific immune responses are depicted. SFB-specific 7B8 cells are preferentially skewed toward a T_H17 cell phenotype, while *H. hepaticus*-specific TCR transgenic cells and *Clostridia*-specific CT2-CT6 cells acquire a T_{reg} fate under steady-state conditions (39, 42, 47). Physiological encounter of CBir1 T cells with their cognate antigen does not occur in adult individuals but can be triggered by different infectious or barrier-disrupting events, which shape the type of CD4⁺ T cell response (48, 49, 54). Ectopic colonization of oral *Klebsiella* in the intestines has T_H1 -inducing and pro-inflammatory effects on the gut, although antigen specificity has yet to be investigated (55).

intestine were reported to express tight junction proteins that allow them to extend dendrites through the intact intestinal epithelium and sample microbial antigens (71, 72). Moreover, despite being non-migratory under steady state, these APCs were able to migrate to the MLNs and trigger *Salmonella*-specific T cell responses upon dysbiotic conditions (73). Both IRF4-dependent CD103⁺CD11b⁺ dendritic cells (DCs) and CX₃CR1⁺ intestinal macrophages have been shown to play a role in the induction of intestinal T_H17 cells in response to epithelium-associated SFB (74, 75). A number of reports demonstrate the ability of CD103⁺CD11b⁺ DCs to produce pro-inflammatory cytokines IL-6 and IL-23 in response to detection of microbial patterns, therefore making them good candidates for the induction of T_H17 cells (74, 76). Cytokine production by innate immune cells may synergize with or be neutralized by TCR specificity in determining the fate of the T_H17 cell response. For instance, joint colonization with SFB and T_H1 -inducer *Listeria monocytogenes* does not prevent SFB-specific T cells from acquiring T_H17 features (39).

Intestinal innate lymphoid cells (ILCs) represent another innate immune population with a high degree of functional compartmentalization that can be finely shaped by the composition of the commensal microbiota (77). It has been recently demonstrated that some group 3 ILCs (ILC3s) have the capacity to present antigens through MHC class II molecules, and this feature allows them to regulate the commensal-specific T cell response (78). Loss of MHCII expression within this

ILC subset resulted in the accumulation and pro-inflammatory activation of commensal microbiota-specific CBir1 Tg T cells in the MLN and colonic lamina propria. In addition, the regulatory function of ILC3 was shown to rely on MHCII- and antigen-dependent induction of CBir1 T cell apoptosis, thus uncovering a novel regulatory mechanism of the commensal-specific T cell response (40).

T Cell Tolerance Toward Commensals

Activation of T_H cells in the gut-associated lymphoid tissues is subjected to a delicate control, whereby T cells specific for commensal bacteria are either ignorant of their cognate antigens (e.g., CBir1 flagellin-specific cells) or physiologically skewed toward a regulatory function (e.g., CT2 and CT6 commensal-specific T cells) (45, 49) (Figure 1). Understanding the physiological mechanisms that are in place to maintain T cell homeostasis toward commensal antigens is therefore fundamental to understand why inflammation occurs and to potentially revert pathogenic activation of commensal-specific T cells.

The peculiar microenvironment present at mucosal surfaces—largely influenced by microbial metabolic products and soluble factors either digested or produced by IECs—promotes tolerance toward foreign antigens (26, 60, 79). Peripheral tolerance prevents the development of immune-mediated inflammatory diseases (IMIDs) (11, 80) and can be achieved by different mechanisms. The high frequency of T_{reg} cells in the gut,

which has been shown to depend at least in part on TCR-mediated recognition of some bacteria residing in the colonic mucosa, namely *Clostridia*, provides an important mechanism for the maintenance of tolerance to commensals (45). CD4⁺ regulatory T cells dampen inflammation through the release of cytokines such as interleukin 10 (IL-10) and TGFβ. Several non-CD4⁺ T cell subsets such as CD8⁺ T cells and double negative T regulatory cells can also promote tolerance through the expression of anti-inflammatory cytokines or through direct killing of effector cells (81, 82). All of these regulatory cell types can be induced in the context of oral tolerance, which is defined as the active establishment of local and systemic unresponsiveness to antigens acquired via the oral route (83).

The gut-draining mesenteric lymph nodes (MLNs) and CD103⁺ DCs play a critical role in the induction of antigen-specific T_{reg} cells (84, 85). One mechanism of T_{reg} cell induction involves the ability of CD103⁺ DCs to metabolize dietary vitamin A to RA (86–90). The difference in T_H cell subsets, and in particular percentages of T_{reg} cells, along the intestinal tract could therefore, be ascribed to a differential uptake of vitamin A and other nutrients in selected anatomical locations, as well as to the presence of different consortia of commensal bacteria (45, 46, 91). While the process of tolerance toward food antigens shares some features with tolerance to commensals, the two mechanisms are fundamentally different. Indeed, tolerance to microbes is largely limited to the gut whereas oral tolerance has systemic consequences (92).

Regulatory T cells can be implicated in the maintenance of the host-microbiota commensalism at different levels. First of all, T cells that are specific for selected bacteria, i.e., *Clostridium* species or *H. hepaticus*, acquire a T_{reg} phenotype under steady-state conditions (42, 45, 47). For instance, the preferential conversion of *H. hepaticus*-specific HH7-2tg cells into functional RORγt⁺Foxp3⁺ iT_{reg} cells depends on the intrinsic expression of the transcription factor c-MAF, which is known to promote a cellular anti-inflammatory program including production of the cytokine IL-10. Selective depletion of c-MAF in T_{reg} cells resulted in the accumulation of both polyclonal and *H. hepaticus*-specific T_H17 cells in the large intestine (42). Additionally, T_{reg} cells participate indirectly in the restriction of CBir1 flagellin-specific T cell responses by providing help to B cells which, in turn, produce CBir1-specific IgA molecules (48). Thus, T_{reg} cells contribute to tolerance of commensals by various mechanisms.

Commensal-Specific T Cells in Homeostasis

While the general effect of commensals on the immune system is established, it is only of recent that focus has been directed toward the specific T cells that recognize them. Antibiotic treatment has not only been shown to affect T_{reg} cell populations as a whole, but also to alter the TCR repertoire of T_{reg} cells (93). Moreover, enhanced T_{reg} suppressive activity has been observed in the presence of their cognate antigen (93). Indeed, the existence of colonic T_{reg} cells appears to be regulated by TCR specificity and antigen availability, and *in vitro* stimulation assays of colonic

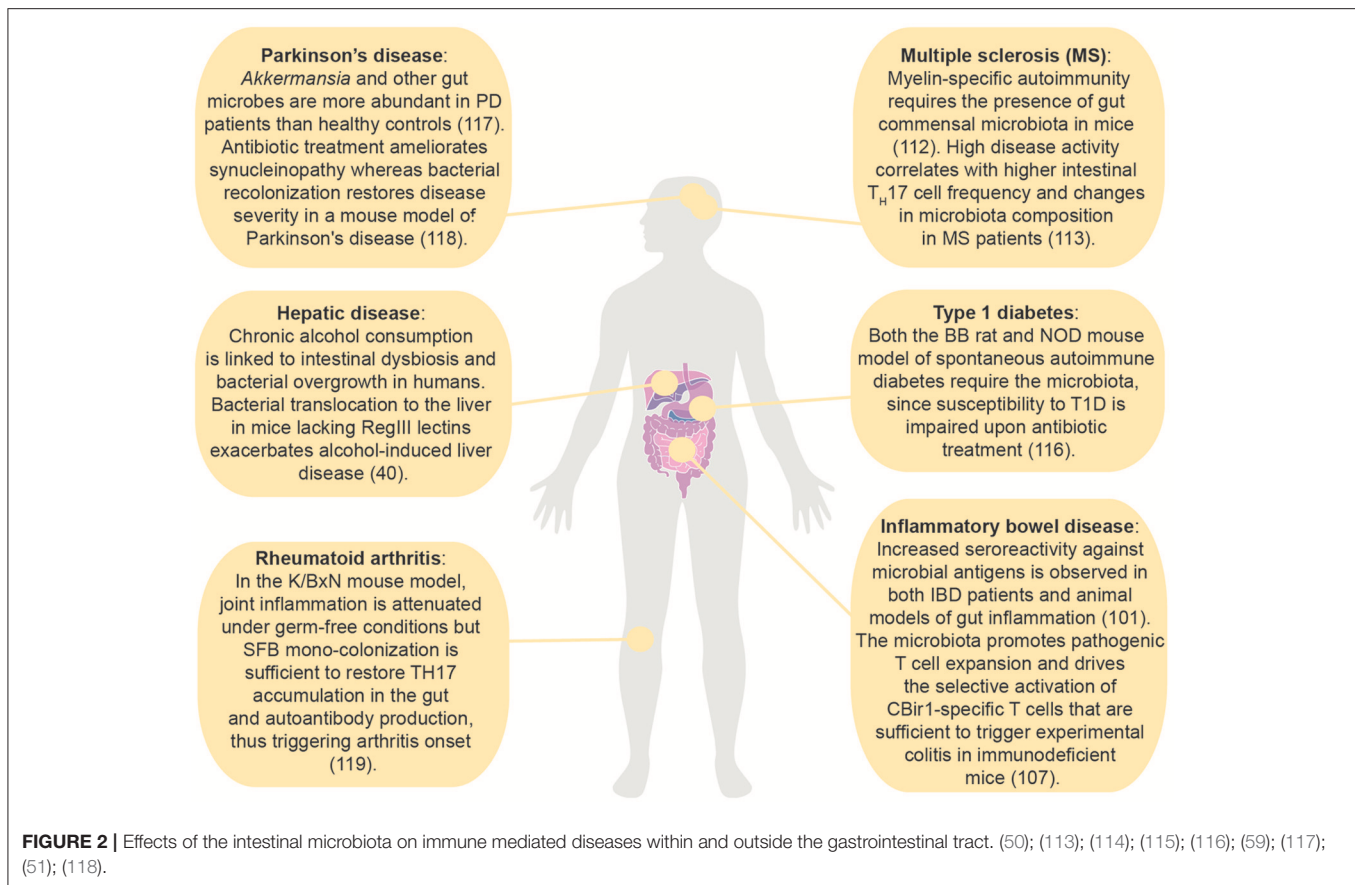
T_{reg}-associated TCRs with host-derived commensals show that many of the local antigens these cells react to are microbiota-derived (45–47). Despite this, the microbiota does not appear to be essential to induce T_{reg} differentiation, since germ-free (GF) mice, which are devoid of microbiota, do not lack this T cell subset. The variable ontogeny of T_{reg} cells inhabiting the intestinal mucosa, either thymic-derived or peripherally induced, might explain the controversial observations regarding the effects of the presence of commensals on T_{reg} cell frequencies [reviewed in (94)]. The effect of the microbiota on T_H1 and T_H17 induction is more evident, as their numbers are greatly reduced in GF animals (95, 96). In particular, it has been demonstrated that the majority of T_H17 cells in the intestinal lumen are specific for commensals (43, 46, 97).

Mouse studies reveal that commensal-specific T cells in the gut mucosa typically develop into either T_H17 or T_{reg} cells during steady state and these subsets collectively help maintain homeostasis in these tissues. The fate of commensal-specific T cells is dependent on the microbe they are specific to. For instance, the presence of SFB, a commensal that resides in the ileum, has been shown to drive T_H17 differentiation, whereas T cells that are specific to some *Clostridia* species develop into colonic T_{reg} cells under homeostatic conditions (43, 45, 46, 98). A comprehensive analysis of T cells from human peripheral blood and mucosal samples recently revealed that commensal-specific T cells are similarly present in healthy individuals, mainly have a memory phenotype and are capable to express different cytokines according to their immune specificities (9). In memory phase, commensal-specific CD4⁺ T cells behave like pathogen-specific CD4⁺ T cells, persisting after clearance of infection but declining in numbers over time (49, 99). Understanding the mechanisms behind the reduction of commensal-specific T cell numbers despite the persistence of their cognate antigen could shed light on the different capacity of individuals to recover from intestinal challenges (100).

Interestingly, despite the large antigenic load of commensal bacteria present within the intestinal lumen, T cells specific for certain bacterial antigens remain naïve in mice under homeostatic conditions (48). Upon disturbance of the barrier-mediated segregation of bacteria to the intestinal lumen, however, activation of T cells toward such antigens may take place. Whether activation of CD4⁺ T cell responses toward such antigens is directly contributing to the pathogenesis of inflammation or represents a mere epiphenomenon in the broader context of barrier disruption is still an open question.

PATHOGENESIS OF COMMENSAL-SPECIFIC T CELLS

Local passage of either pathogenic or normally tolerated bacteria through the mucosal barrier and their systemic translocation can cause disease. As described above, despite the fact that commensal antigens are non-self, their coexistence within the host is finely regulated via multiple immune tolerance



mechanisms, spanning from an efficient epithelium and mucus-mediated segregation to the secretion of antimicrobial peptides, and specific antibodies (101–103). Contact between host epithelial cells and commensals within the intestinal lumen is not in itself problematic since some bacterial species have been described to colonize the epithelial surface and induce protective immune responses in an adherence-dependent manner (43, 104).

Mice with genetic defects in the barrier develop spontaneous intestinal inflammation (105, 106) but gut-resident macrophages have been shown to efficiently clear invading pathogens thus preventing their systemic translocation (107). Intestinal immune responses are normally limited to the intestines and associated lymphoid tissue, since DCs that pick up commensal antigens migrate from the gut mucosa to the gut-draining MLNs, but not further into the body (108). However, antibodies against skin- and gut-resident commensals have been identified in humans, suggesting that we are challenged throughout life with commensal antigens and some of these immune responses have systemic effects (109). As a result of a mucosal firewall-breaching pathogen, commensal-specific T cells are activated and expand in the gastrointestinal tract (49, 110, 111) and this may result in the persistent disruption of migratory DC trafficking and impairment of tissue-specific adaptive immunity and tolerance (112). Furthermore, an acute enteric infection model has been shown to result in the differentiation of normally quiescent

commensal-specific T cells into pro-inflammatory T_H1 cells (49).

Emerging evidence points toward the intestinal microbiota as a major factor in the establishment, persistence and/or resolution of intestinal, and extra-intestinal immune diseases (Figure 2). Although the definition of a “healthy” microbiota is still under discussion, a balanced microbiota composition is necessary to maintain intestinal immune homeostasis (36). By contrast, dysbiosis has been associated with disease by promoting immune dysregulation and inflammation in the gut and beyond. This unbalance is reflected by modifications of the immune response to physiologically-recognized bacterial antigens and novel recognition of bacteria to which the immune system is normally ignorant. For instance, pro-inflammatory T cell responses are observed toward classical T_{reg}-inducer *Clostridium* species during gastrointestinal infection (49). Furthermore, immunoglobulins reactive to commensal antigens can be found in patients with active gut inflammation (50).

While the microbiota plays an important role in the determination of T cell fate, its effects are buffered by the genetic background of the host. For example, T_{reg} induction following the introduction of altered Schaedler flora (ASF) was shown to be mouse strain-dependent (119). Indeed, while IBD is recognized as a disease caused by an aberrant immune response against commensals in the intestinal mucosa, it is unknown why some

people develop chronic inflammation upon barrier damage while others recover (50, 100).

Intestinal Disorders

The intestinal mucosa and its immune system, by providing the major interface between gut bacteria and the host, are susceptible to a number of different pathological conditions upon disturbance of their delicate symbiotic relationship. IBD is considered an intestinal disorder characterized by an aberrant immune response against the commensal microbiota in genetically-susceptible hosts, most likely triggered by the environment. Commensal-specific T cells are thought to play a direct role in IBD pathogenesis. For instance, transfer of naïve T cells in lymphopenic mice is sufficient to induce chronic intestinal inflammation in a microbiota-dependent manner (120, 121). Furthermore, increased seroreactivity against a commensal-derived flagellin expressed by a subset of *Clostridia* (CBir1) was found to induce a systemic adaptive immune response in both Crohn's disease patients and colitic mice, suggesting a microbiota-specific immune response (50, 122, 123). Indeed, the microbiota has been shown to promote pathogenic T cell expansion and drive the selective activation of CBir1-specific T cells that are sufficient to trigger experimental colitis in immunodeficient mice (51). Recent studies suggest that during colitis an effector T cell response is specifically activated against other microbial antigens, e.g., those provided by the mucosal-associated *Helicobacter* spp. that elicit T_{reg} responses during homeostatic conditions (53, 122). Today, several pathogenic T cells have been identified in mouse and humans, such as the IL-17 and IFN γ double-producer T cells as well as the recently described IL-22BP-producer T cells (124, 125). Whether these T cells are microbiota-specific needs to be demonstrated.

Evidence also suggests that commensal-specific T cells may play a role in the pathogenesis of colorectal cancer (CRC) and dietary conditions. Epidemiological studies reveal that chronic inflammation, such as the one resulting from IBD, increases the risk of CRC (126). The gut microbiota was shown to play a role in both stages of CRC-associated inflammation. Studies in IL-10 knockout mice showed that alteration of the microbiota by introduction of probiotic *Lactobacilli* can reduce the incidence of both mucosal inflammation and spontaneous CRC development in these mice (127). In addition, commensals have been linked to dietary conditions. For example, through their distinct metabolic patterns, some commensal bacteria are able to modify immunogenicity of dietary proteins, thus triggering gluten-specific T-cell responses and celiac disease (128). Whether bacteria-targeted therapy is effective in treating or preventing these conditions remains to be determined.

Extra-Intestinal Disorders

Remarkably, gut commensal-specific T cells circulate systemically (9) and the gut microbiota affects the balance between pro-inflammatory and anti-inflammatory T cell responses also in extra-intestinal autoimmune diseases. For example, myelin-specific autoimmunity requires the presence of gut commensal microbiota, as confirmed by lack of spontaneous

EAE in GF compared to SPF susceptible mice (113). Similarly, in multiple sclerosis patients, high disease activity correlated with higher intestinal T_H17 cell frequency, and changes in microbiota composition (114). Furthermore, recent evidence unveiled the importance of the microbiota for the development of spontaneous RA (129, 130). The association between the intestinal environment and type 1 diabetes (T1D) relies on a constantly increasing number of studies both in T1D patients and mouse models of the disease. The requirement for the gut microbiota in the pathogenesis of T1D has been proven in both the BB rat and NOD mouse model of spontaneous autoimmune diabetes, whose susceptibility to the disease is impaired upon antibiotic treatment (117). Unlike in MS and RA models, however, the effect of the microbiota on the induction of pathogenic or protective immune cell responses is not unanimously accepted in T1D. However, since the antigen-specificity of microbiota-induced T cells has been poorly characterized in these disease settings, further studies are required to understand the role of commensal-specific T cells in tissue-specific autoimmune diseases. A functional link between the microbiota and Parkinson's disease (PD), a neurodegenerative disorder, was also recently delineated. *Akkermansia* and other gut microbes were more abundant in PD patients than healthy controls (115). Antibiotic treatment was capable of ameliorating synucleinopathy (motor dysfunctions derived from the aggregation of the protein α -synuclein) in a mouse model of Parkinson's disease, and recolonization of adult mice with commensal bacteria derived from PD patients or administration of selected bacterial metabolites, namely SCFAs, was sufficient to restore disease penetrance (116). Whether or not reestablishment of a normal microbiota composition can revert PD and other neurodegenerative conditions remains to be determined.

CONCLUDING REMARKS

The use of experimental mouse models has provided key insights into the complex regulation of intestinal immune homeostasis by the microbiota. Descriptive studies comparing IBD patients and healthy individuals have implicated CD4⁺ T cell-mediated immune responses against commensal bacteria as a potential key factor in the progression of the disease. Moreover, emerging evidence points toward far-reaching consequences of altered microbiota-mediated T cell responses originated in the gut. For instance, aberrant T cell differentiation in the gut have shown effects in skin tumor growth, the onset of T1D as well as MS. Hence, it is broadly accepted that, besides its gut-centric effects, the microbiota has also extra-intestinal repercussions on its host. The role of commensal-specific T cells in various disease settings is yet to be determined and only with deeper understanding of the molecular and cellular mechanism driving commensal specific T cell differentiation and function will the goal of targeting T cell responses to cure IBD become feasible. Toward this goal, the advances in microbiota analysis, use of gnotobiotic mice and TCR transgenic experimental models provides the potential to discern such mechanisms. Moreover, progress in available tools to track commensal specific T cells in humans

are urgently needed. Accomplishing these goals will require tight collaboration between researchers and the clinics.

AUTHOR CONTRIBUTIONS

CS, RFC, and EJv outlined and wrote the manuscript. CS and EJv designed and made the figures. NG revised the manuscript. All authors approved the manuscript for publication.

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Origin, Differentiation, and Function of Intestinal Macrophages

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Macrophages are increasingly recognized as essential players in the maintenance of intestinal homeostasis and as key sentinels of the intestinal immune system. However, somewhat paradoxically, they are also implicated in chronic pathologies of the gastrointestinal tract, such as inflammatory bowel disease (IBD) and are therefore considered potential targets for novel therapies. In this review, we will discuss recent advances in our understanding of intestinal macrophage heterogeneity, their ontogeny and the potential factors that regulate their origin. We will describe how the local environment of the intestine imprints the phenotypic and functional identity of the macrophage compartment, and how this changes during intestinal inflammation and infection. Finally, we highlight key outstanding questions that should be the focus of future research.

Keywords: macrophage, monocyte, intestine, inflammation, colitis, ontogeny

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INTRODUCTION

The gastrointestinal tract faces an unrivaled exposure to foreign antigens and, as a result, is home to the largest compartment of the immune system. This includes a network of mononuclear phagocytes (MPs), including macrophages and conventional dendritic cells (cDCs), that play distinct yet complementary roles in discriminating between innocuous antigens and potential pathogens, ensuring that the appropriate response is mounted to each. While this is a highly efficient process, it can break down in some individuals, leading to the development of chronic inflammation, such as inflammatory bowel disease (IBD) in which inappropriate immune responses are mounted against the commensal microbiota. Thus, there is great interest in understanding the biology of intestinal MPs. The role of macrophages in health and disease has attracted particular attention, as their plasticity and wound healing capabilities make them attractive targets for potential novel therapies to treat IBD. In this article, we will first discuss the current understanding of macrophage heterogeneity in the gut wall, before describing the roles macrophages play in intestinal homeostasis and how this may depend on their anatomical positioning. We will then review the recent developments in intestinal macrophage ontogeny, discussing how the local environment of the gut imprints the phenotypic and functional identity of macrophages, before finally describing the changes that occur when homeostasis is perturbed by inflammation.

IDENTIFYING MACROPHAGES IN THE GUT WALL

One of the major issues that has stifled our progress on understanding the immunobiology of intestinal macrophages is their inaccurate identification. For instance, although murine macrophages have traditionally been identified based on their expression of the pan-macrophage

marker F4/80 (1), it is clear that other cells, such as conventional dendritic cells (cDCs) and eosinophils can express F4/80 to some extent (2, 3). Furthermore, many macrophages, including those in the intestine, express high levels of CD11c and MHCII, markers that have classically been used to identify cDCs (3). Thus, the identification of intestinal macrophages requires a multi-parameter approach. The Mer tyrosine kinase (MerTK) and the high affinity FcγR1 (CD64), have emerged as superior markers for the identification of macrophages across different tissues (4–6), the latter also being useful across species (7–10). When used in combination with CD11c and MHCII, CD64 expression distinguishes macrophages from *bona fide* cDC in the gut wall (5, 10, 11). This is corroborated by the distinct growth factor dependency and migration patterns of CD64-defined MPs. Whereas CD64⁺ MPs are highly dependent on colony stimulating factor 1 (CSF1; also known as M-CSF) for their development and/or survival, CD64[−] CD11c⁺ MHCII⁺ MPs, but not CD64⁺ MPs, are highly dependent on the cDC-specific growth factor Flt3L (10, 11). Consistently, CD64[−] CD11c⁺ MHCII⁺ MPs have been shown to continually migrate to the mesenteric lymph nodes in a CCR7-dependent manner to participate in T cell priming (10, 12–14), defining features of cDC. In contrast, CD64⁺ MPs are non-migratory and display characteristic macrophage morphology, with abundant cytoplasm and cytoplasmic vacuoles (9, 15, 16). Thus, by multiple criteria, CD64[−] CD11c⁺ MHCII⁺ MPs and CD64⁺ MPs fit the definition of cDC and macrophages, respectively. One additional feature of murine intestinal macrophages that distinguishes them from cDC is their high expression of the chemokine receptor CX3CR1 (9, 15, 17–20). Indeed, by using *Cx3cr1*^{+/gfp} knock-in mice (21), mature CX3CR1^{hi} macrophages can be visualized throughout the lamina propria (LP), the large layer of connective tissue underlying the epithelium, as well as in the deeper layers of the gut wall, such as the submucosa and muscularis (17, 19, 20). Macrophages in these distinct sites are reported to express differential levels of CD11c, with CD11c⁺ and CD11c^{−/lo} CX3CR1^{hi} macrophages enriched in the LP and muscularis, respectively (18, 20, 22). As discussed in more detail below, additional heterogeneity has been unmasked recently by transcriptional profiling, with discrete subsets of CX3CR1^{hi} macrophages identifiable based on their expression of CD4 and Tim4 (23, 24).

The recent advances in multi-parameter analysis have also led to the much-needed alignment of analysis of murine and human tissue macrophages. The use of markers such as CD64 and CD14 has meant that the same cells can be characterized across species (7, 9, 10, 25). This has highlighted similarities, but also important differences between mouse and man. For instance, expression of CD4, CD163, CD172a (SIRPα), and CD206 are conserved features of intestinal macrophages across species (7, 9, 23, 24, 26). However, mature intestinal macrophages in humans express only low levels of the CX3CR1 and CD11c markers found on their murine equivalents. Very recent work has also described potential phenotypic heterogeneity between human LP and muscularis macrophages, with the latter expressing higher levels of CD14 and CD11b (7).

FUNCTIONS OF MACROPHAGES IN INTESTINAL HOMEOSTASIS

Macrophages play a variety of roles to maintain intestinal homeostasis (Figure 1). Like their counterparts in other tissues, macrophages in the gut wall are avidly phagocytic. However, while being highly bactericidal, phagocytosis by intestinal macrophages does not result in an overt inflammatory response in both mouse and man (see below) (7, 9, 25, 27, 28). Consistent with this role, intestinal macrophages display high expression of genes associated with phagocytosis, such as *Mertk*, *Cd206*, *Gas6*, *Axl*, *Cd36*, *Itgav*, and *Itgb5* (23, 29). Integrins αv and β5 dimerise to form αvβ5, which is involved in the uptake of apoptotic cells (29), a process known as efferocytosis (30). Notably, *Lys2*-directed deletion of integrin αv results in the accumulation of apoptotic cells in the intestine (31), and *Itgb5* deficiency predisposes to increased susceptibility to DSS-induced colitis (29), highlighting a particularly important role for this pathway in this process.

The sub-epithelial positioning of LP macrophages means they are ideally placed to capture and eliminate any bacteria that cross the epithelial barrier. In addition, murine studies have shown that they are able to sample luminal bacteria, involving the formation of transepithelial dendrites (TEDs), cellular processes that cross the epithelial barrier without perturbing tight junctions and epithelial integrity and depend on the CX3CL1-CX3CR1 axis (32–34). A similar process may allow mature CX3CR1^{hi} macrophages in the upper small bowel to capture dietary materials and is suggested to be involved in the generation of oral tolerance to dietary antigens (35). This requires the induction of antigen specific Tregs in the gut draining mesenteric lymph nodes with gut homing properties (36, 37). Given that CX3CR1^{hi} macrophages do not migrate to draining lymph nodes under normal conditions and naïve T cells are essentially absent in the LP (13, 15, 38), they are unlikely to play a major role in this process. However, they may contribute to the induction of oral tolerance through antigen transfer to migratory CD103⁺ DC via connexin-43-dependent gap junctions (35). Indeed, mice that lack connexin-43 in CD11c⁺ cells fail to develop oral tolerance (35). Macrophages have also been proposed to regulate oral tolerance development by supporting Treg maintenance locally in the mucosa (37, 39, 40). This is thought to involve macrophage-derived IL10, as *Cx3cr1*-mediated deletion of IL10 reduces antigen specific Treg frequencies in a model of oral tolerance (37, 40). Interestingly however, deletion of IL10 in macrophages appears to have no impact on the overall abundance of endogenous Treg (40, 41). In addition to Tregs, macrophages may also support the induction/maintenance of commensal-specific Th17 cells through IL1β secretion (42, 43). Notably, whether maintenance of mucosal T cells also involves cognate interactions remains to be determined with certainty. Although macrophages are very poor in activating naïve T cells compared to DCs (15), their high expression of MHCII suggests that they might be involved in antigen presentation to previously activated T cells locally in the intestine. By doing so, they could maintain or promote further differentiation of antigen-specific T

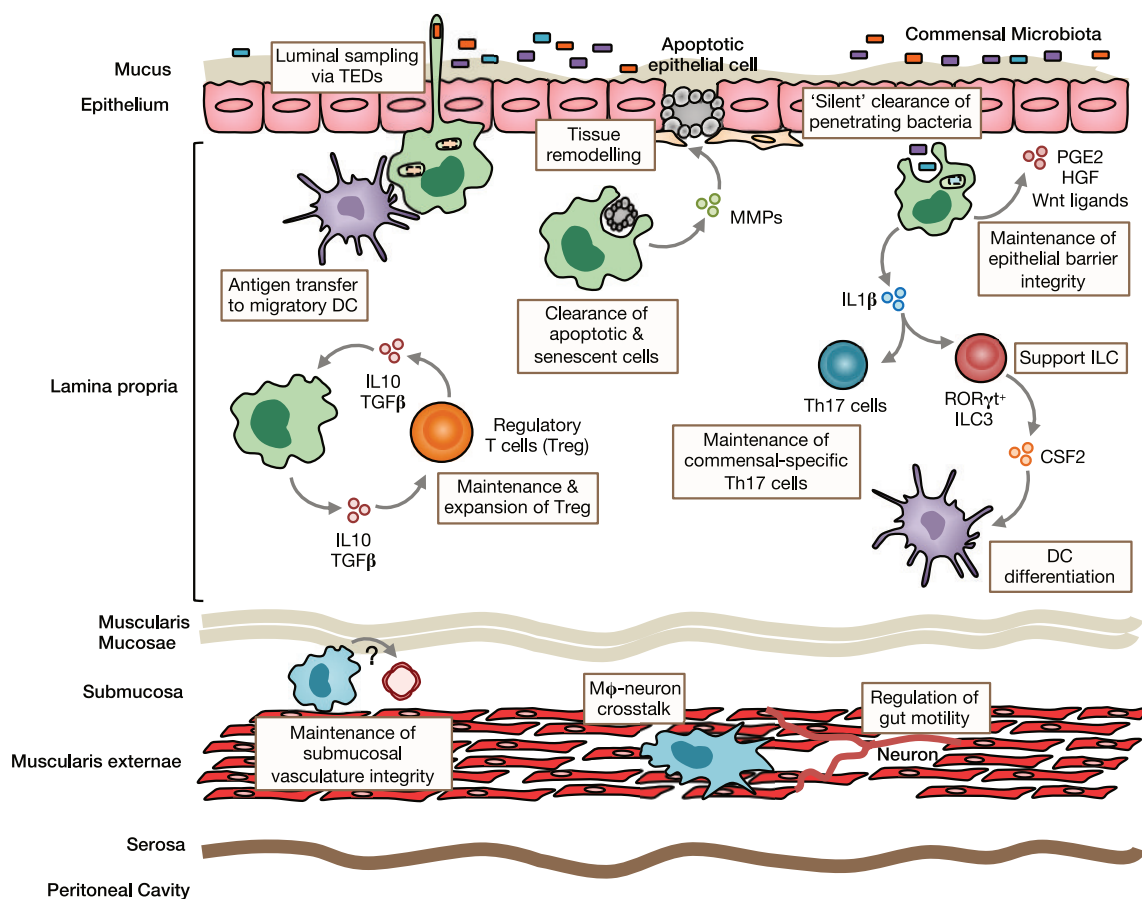


FIGURE 1 | Homeostatic functions of intestinal macrophages. Intestinal lamina propria (LP) macrophages are highly phagocytic and are responsible for clearing apoptotic and senescent epithelial cells. Through their expression of tissue-remodeling metalloproteinases and secretion of factors that stimulate epithelial stem cell renewal, such as prostaglandin E2 (PGE2), hepatocyte growth factor (HGF) and Wnt ligands, they promote epithelial integrity. Their position under the epithelial monolayer and their bactericidal activity, mean LP macrophages are ideally placed to capture and destroy any bacteria that breach the barrier. They may also send cellular processes across the epithelial barrier to sample luminal contents. Macrophages can transfer acquired antigen to migratory dendritic cells (DCs) for presentation to T cells in the draining mesenteric lymph nodes. Through their production of immunoregulatory cytokines, such as IL10 and TGFβ, they maintain and facilitate secondary expansion of regulatory T cells (Tregs) locally in the LP. In a similar manner, they support Th17 cells and ILC3s through their production of IL1β, which is induced by exposure to the microbiota or its derivatives. Macrophages are also present in deeper layers of the gut wall, including the submucosa and muscularis externae. Submucosa macrophages are thought to support the integrity of the submucosal vasculature, although the factors involved in this interaction remain unclear. Muscularis macrophages participate in bidirectional crosstalk with sympathetic neurons of the enteric nervous system and influence gut motility.

cells. Consistent with this, *Cx3cr1*-mediated deletion of MHCII retards the generation/maintenance of antigen-specific Treg after feeding of ovalbumin (OVA) (40). Macrophages may also influence T cell priming indirectly through their effects on cDC differentiation. For instance, secretion of IL1β has been shown to enhance ILC3 production of CSF2 (44), which is known to control cDC differentiation in the intestinal LP (45). Notably, most functional analyses have been performed in mice and whether human intestinal macrophages carry out the same roles remains unclear.

While it has been known for many years that macrophages are present in deeper layers of the gut wall (46), only recently has work begun to interrogate their role in intestinal homeostasis. Macrophages in the muscularis are intimately

associated with the enteric nervous system and, in mice, appear morphologically and transcriptionally distinct (47). There is bidirectional crosstalk between muscularis macrophages and neurons, where macrophage-derived bone morphogenic protein 2 (BMP2) acts on the BMP receptor (BMPR) expressed by enteric neurons to induce secretion of CSF1, which maintains the muscularis macrophage compartment and stimulates further BMP2 expression (20, 22). These interactions regulate smooth muscle contractions, thereby controlling peristalsis, and can be disrupted by broad spectrum antibiotics (22), suggesting the microbiota may regulate gut motility to some extent (48). Macrophages are also found in the submucosa and recent depletion studies have revealed a role for these cells in maintaining the integrity of the submucosal vasculature (47).

Thus, macrophages fulfill niche-specific functions to meet the local demands of their microenvironment.

ORIGIN OF INTESTINAL MACROPHAGES

There have been major developments in our understanding of macrophage ontogeny in recent years [see (49) for review]. Traditionally, it was proposed that tissue macrophages were derived from blood monocytes that were replenished in turn by highly proliferative bone marrow (BM) progenitors as part of a linear mononuclear phagocyte system (MPS) (50, 51). Geissmann et al. (52) then refined this model demonstrating that the murine monocyte compartment, like its human counterpart (53), is heterogeneous, with subsets defined on the basis of Ly6C expression. In this scheme, Ly6C^{hi} monocytes were shown to preferentially enter tissues under inflammatory conditions, leading to them being described as “inflammatory” monocytes (52). However, as discussed below, Ly6C^{hi} monocytes can also be found in healthy tissues and tend to fulfill the functions classically ascribed to monocytes, therefore these are now referred to as “classical” monocytes. Because they did not enter inflamed tissues, it was proposed that Ly6C^{lo} monocytes were the precursors of tissue resident macrophages (52). However, adoptively transferred Ly6C^{lo} monocytes rarely enter healthy tissues, even following diphtheria toxin (DT)-mediated depletion of resident macrophages (9, 17). Moreover, recent work has shown that a major function of ‘non-classical’ Ly6C^{lo} monocytes is to patrol the vasculature and scavenge necrotic endothelial cells (54) rather than acting as a circulating intermediate. Thus, in some respects, Ly6C^{lo} monocytes could be thought of as macrophages of the circulatory system.

Rather than deriving from blood monocytes, recent elegant fate mapping techniques have shown that many tissue macrophages exist independently from conventional haematopoiesis and instead derive from embryonic precursors arising from the yolk sac and/or fetal liver (55–59). For instance, microglia of the central nervous system and epidermal Langerhans cells appear to maintain themselves autonomously through intrinsic longevity and *in situ* self-renewal throughout adult life (60–64). In contrast, we have shown that although the intestine is initially seeded by embryo-derived macrophages, these are subsequently displaced with age by cells deriving from conventional haematopoiesis (16). Consistently, colonic macrophages, but not microglia or Langerhans cells, are labeled in genetic fate mapping studies exploiting *Flt3* or *Kit* expression to fate map cells deriving from haematopoietic stem cells (HSCs) (16, 55, 59, 65). Moreover, intestinal macrophages are largely replaced by donor cells in the setting of parabiosis and in tissue-protected bone marrow chimeric mice, unlike many other tissue macrophages (16, 56, 66–69). The finding that macrophage numbers are reduced in the gut wall of unmanipulated adult *Ccr2*^{-/-} mice (9), in whom classical Ly6C^{hi} monocyte egress from BM is defective (70), implies that Ly6C^{hi} and not Ly6C^{lo} monocytes are the main precursors of intestinal macrophages in adulthood. In line with this, adoptively transferred classical Ly6C^{hi} monocytes, but not Ly6C^{lo}

monocytes, give rise to fully mature intestinal macrophages (9, 17, 19, 71). Furthermore, intestinal macrophages are eliminated by repetitive administration of DT to CCR2-DTR transgenic mice (43, 72), again indicating that the macrophage pool relies on CCR2-dependent replenishment. Notably, as well as its role in BM egress, homeostatic extravasation of Ly6C^{hi} monocytes from the bloodstream into the intestinal mucosa relies on the CCL2-CCR2 axis. This is demonstrated by the failure of both WT monocytes to enter the colonic mucosa of *Ccl2*-deficient mice and *Ccr2*-deficient monocytes to enter the mucosa of WT mice in mixed BM chimeras or in the setting of parabiosis (5, 16, 73).

It is now clear that a monocyte to macrophage differentiation continuum exists in the intestinal LP, a process that has become known as the monocyte “waterfall” (5, 9) (**Figure 2**). At one end are Ly6C^{hi} CX3CR1^{int} MHCII⁻ (“P1”) monocytes that appear phenotypically and morphologically similar to their counterparts in blood. Indeed, monocytes in the mucosa retain expression of molecules involved in chemotaxis and extravasation from the circulation, such as CCR2, CD62L, VLA-1, LFA-1, and of course Ly6C (23). These monocytes first acquire MHCII expression (‘P2’ monocytes), before downregulating Ly6C, and the other markers of extravasation (‘P3’ macrophages), and finally upregulating CX3CR1 to give rise to fully mature (‘P4’) macrophages; this process takes around 5–6 days and involves major gene expression changes (5, 9, 23). Importantly, there is mounting evidence that an analogous “waterfall” is present in the human intestinal mucosa, with classical CD14^{hi}CCR2⁺CD11c^{hi} monocytes at one end and mature CD14^{lo}CCR2⁻CD11c^{lo} macrophages at the other (7, 9, 25) (**Figure 2**). In support of this, Bujko et al. have recently used HLA-mismatched duodenal transplants to measure turnover of intestinal macrophages in man, showing that donor CD14^{hi}CCR2⁺CD11c^{hi} cells in the graft, which are analogous to P1/P2 cells in mouse, are rapidly replaced by recipient cells. Mature macrophages are also replaced by recipient-derived cells, albeit at slower rates (7). This contrasts markedly with Langerhans cells of the skin epidermis, which have been shown to remain of graft origin in transplanted skin for at least up to 10 years (74). Similarly, alveolar macrophages persist for up to 2 years in transplanted lungs (75). Thus, the limited data available from human transplant studies support the findings from fate mapping studies in mice (64, 65, 69, 76).

Despite the evidence that intestinal macrophages are derived from continuous replenishment by extravasating monocytes, this idea may need to be refined on the basis of very recent findings that long-lived macrophages may be present in the adult intestine (24, 47). Longitudinal fate-mapping using *Cx3cr1*-based strategies and tissue-protected BM chimeric mice have identified macrophages that persist for longer than 8 months in the gut wall (47). Two independent studies showed that long-lived macrophages can be identified by Tim4 and CD4 expression (24, 47), which are unaffected by *Ccr2* deficiency, unlike most of their Tim4⁻ counterparts (24). This is consistent with the long-lived nature of Tim4-expressing macrophages in other tissues, such as the liver and the peritoneal cavity (69, 77). Notably, De Schepper and colleagues (47) showed that long-lived macrophages were predominantly found in the deeper

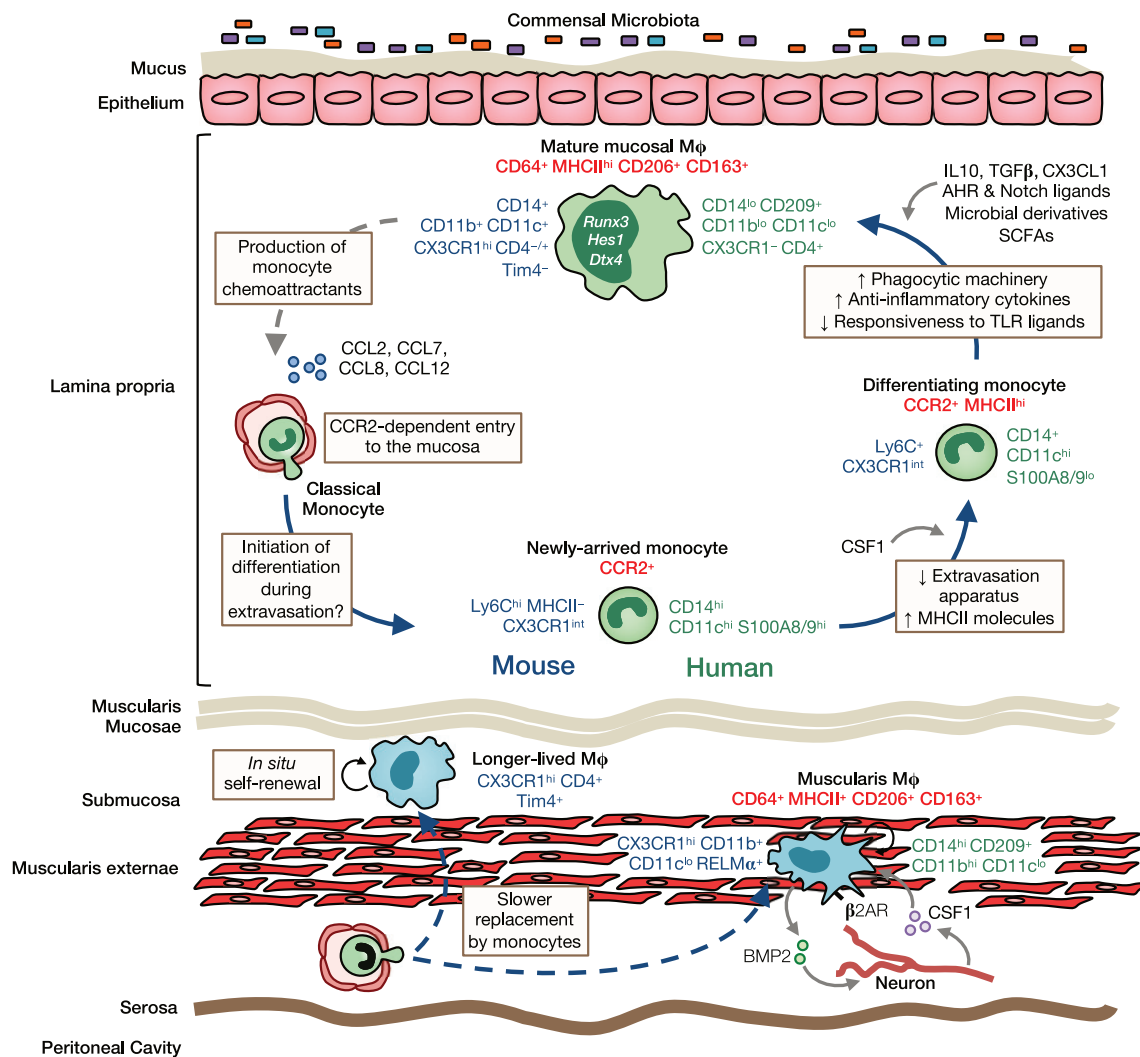


FIGURE 2 | Heterogeneity, origin and differentiation of intestinal macrophages. The majority of mucosal macrophages are replenished by classical monocytes that enter the mucosa in a CCR2-dependent manner and differentiate through a series of intermediaries (mouse- and human-specific markers denoted in blue and green, respectively) to give rise to mature macrophages, which can be identified in both mouse and man as CD64⁺MHCII^{hi} CD206⁺CD163⁺ cells (common markers denoted in red). In addition, high levels of CD11b, CD11c, CD14, and CX3CR1 are characteristic features of murine LP macrophages. In contrast, human LP macrophages express only low levels of most of these markers but express high levels of CD209. Once in the mucosa and under cues from the local environment, monocytes first upregulate MHCII and downregulate molecules involved in extravasation, such as CCR2, LFA-1 and CD62L. They then upregulate phagocytic receptors and increase their production of anti-inflammatory cytokines, as well as becoming hyporesponsive to stimulation through e.g., TLRs. Studies in mice have identified IL10, TGFβ, and CX3CL1 as key factors in promoting macrophage differentiation in the healthy mucosa. Furthermore, exposure to the microbiota and its metabolites is known to influence macrophage differentiation and the rate of their turnover in the LP. Mature macrophages may also regulate their own turnover through secretion of monocyte chemoattractants, such as CCL2, CCL7, CCL8, and CCL12. Longer-lived macrophages may also exist in the murine intestinal mucosa and submucosa, and can be identified by their expression of the phagocytic receptor Tim4. While sharing certain features with their LP counterparts, such as CD64, MHCII, CD206, and CD163 expression, muscularis macrophages have a relatively distinct phenotype. In mouse, they express low levels of CD11c but high levels of the immunoregulatory cytokine RELMα, whereas in man, they have high levels of CD14 and CD11b. Muscularis macrophages are acutely dependent on CSF1 and norepinephrine signaling by sympathetic neurons via β2 adrenergic receptors (β2AR) shapes their differentiation. Monocytes also replenish macrophages of the muscularis, although the rate of replenishment is slower than in the mucosa and a larger proportion of these macrophages are long-lived.

layers of the gut wall, such as the muscularis and submucosa, whereas mucosal macrophages showed high levels of turnover from BM. Importantly, this group also showed that long-lived macrophages derived from both embryonic and BM-derived cells, demonstrating that intrinsic longevity is not an exclusive property of embryo-derived macrophages (47). Thus, in light of

these findings, it is clear that the origin of intestinal macrophages is highly dynamic, with embryonic and BM-derived macrophages present alongside one another in each layer of the gut wall, the proportions of which change markedly with age and microbial colonization in a niche-specific manner (see below). Indeed, this brings the gut into line with other tissues, such as the heart

(58, 78, 79), lung (65, 69, 80), dermis (67), and the peritoneal cavity (69, 81, 82) where short-lived and long-lived macrophages co-exist.

Here it should also be noted that it has never been shown definitely that patrolling Ly6C^{lo} monocytes cannot contribute to gut macrophage replenishment. One approach has been to assess macrophage abundance in the gut wall of mice in whom Ly6C^{lo} blood monocytes are markedly reduced, for instance those deficient in *Cx3cr1* (37, 83). However, different groups have reached discordant conclusions on the effect of *Cx3cr1* deficiency on intestinal macrophage numbers (37, 83). Furthermore, given the high expression of CX3CR1 by intestinal macrophages themselves, effects of *Cx3cr1* deficiency may be due to altered differentiation and/or survival of mature macrophages rather than indicating derivation from Ly6C^{lo} monocytes (see below). More recent work has identified *Nr4a1* as a master regulator of Ly6C^{lo} monocyte differentiation and survival (84). New tools that specifically target *Nr4a1* deficiency to monocytes, while sparing its roles in macrophage function, such as those described recently by Hedrick and colleagues (85), will be critical to assess the role of Ly6C^{lo} monocytes in tissue macrophage replenishment under normal physiological conditions and if this changes in the context of disease.

What Controls the Origin of Intestinal Macrophages?

The exact factors that determine why different tissues contain macrophages of distinct origins remain very poorly understood. Specifically, it is unknown how and why embryonic-derived macrophages persist in the CNS and the epidermis, but fail to persist in significant numbers in the gut mucosa. It has been proposed that this could simply reflect niche accessibility and availability (86) and indeed, there is free accessibility to the mucosa throughout life, whereas the brain and epidermis are separated from the vasculature during development by the blood brain barrier and basement membrane, respectively. However, other tissue macrophages that are not separated from the vasculature by a physical barrier, such as liver Kupffer cells, also exist relatively autonomously, suggesting that tissue accessibility may not be the main factor influencing replacement by blood monocytes (65). In the intestine, monocyte recruitment may be driven by the “physiological inflammation” generated by exposure to antigenic material from the diet or commensal bacteria (87). Indeed, there are now several lines of evidence to demonstrate a key role for the microbiota in influencing macrophage population dynamics in the mucosa. First, major changes in the colonic macrophage compartment are seen following microbial colonization, particularly at the point of weaning where monocyte differentiation through the monocyte “waterfall” becomes established (16). Secondly, macrophage turnover can be reduced by administration of broad spectrum antibiotics, further indicating a role of the commensal microbiota in controlling macrophage turnover (16). Moreover, fewer macrophages are found in the gut wall of germ free mice compared with their SPF counterparts (16, 24, 88). The mucosal microenvironment may actually programme

macrophages to orchestrate their own replacement. This is supported by the findings that as intestinal macrophages mature, they progressively upregulate monocyte chemoattractants, such as CCL7, CCL8, and CCL12 (23). As noted above, the microbiota may constitute one stimulus for this differentiation and additional possibilities could include dietary metabolites or the continual mechanical stress generated by peristalsis. Mechanical stress has been suggested to explain the replacement of embryo-derived macrophages in the heart (89) and as well as generating low grade “inflammation,” it could simply prevent long term macrophage residence. That differential turnover rates of macrophages are observed in distinct anatomical locales of the gut wall could reflect the fact that particular niches do not support macrophage self-renewal. However, whether distinct macrophage subpopulations display differential rates of proliferation has not been tested experimentally. Thus, while some progress has been made in understanding macrophage turnover dynamics, more work is needed to identify the factors that govern this process.

ENVIRONMENTAL PROGRAMMING OF INTESTINAL MACROPHAGES

While it is clear that monocytes progress through a defined series of intermediaries to replenish the majority of macrophages in the gut, the molecular factors in the gut environment that imprint the unique phenotypic and functional profile of intestinal macrophages are only starting to be understood. CSF1 is clearly involved in the differentiation and/or survival of intestinal macrophages, as demonstrated by their reduction in *Csf1*^{OP/OP} mice, which have a naturally occurring inactivating mutation in the CSF1 gene (90, 91), their inability to arise from *Csf1r*^{-/-} precursors in a competitive BM chimeric setting (11) and their depletion by anti-CSF1R antibody treatment (22, 92, 93). As described above, upregulation of MHCII is one of the first features of monocyte differentiation in the mucosa of mouse and man (5, 7, 9). Although it is clear that this occurs independently of the IFN γ -STAT1 pathway (94), the exact factors that drive upregulation of MHCII remain unclear. Given that this appears to be a common feature of monocytes entering a variety of tissues (16, 59, 67, 68, 95), it is plausible that MHCII expression may be triggered by extravasation through the vascular endothelium (68). Indeed monocytes in the colonic mucosa already display major transcriptional differences compared with their counterparts in blood (23), despite appearing phenotypically similar. This is tissue specific, because recently arrived colonic monocytes are also transcriptionally distinct from their phenotypic counterparts in the dermis (23). Once in the mucosa, we have shown that TGF β R signaling is essential for the terminal differentiation of macrophages. In particular, upregulation of genes associated with the homeostatic profile of gut macrophages, such as CX3CR1, IL10, and $\alpha\beta$ 5 integrin relies on the TGF β -TGF β R axis (23). Consistent with this, expression of the Runt-related transcription factor 3 (RUNX3), which regulates TGF β signaling, is a unique feature of intestinal macrophages (96). The TGF β -TGF β R axis may

also regulate macrophage turnover by dampening expression of the monocyte chemoattractant CCL8 by colonic macrophages (23). Although many sources of TGF β exist in the mucosa, macrophages themselves may be important, since efferocytosis is known to induce TGF β expression in macrophages (97) and, at least in man, macrophages may activate TGF β through their expression of integrin β 8 (98). Indeed, uptake of apoptotic epithelial cells induces an anti-inflammatory programme in intestinal macrophages (99). The epithelium may also support macrophage differentiation through expression of Notch ligands, such as Delta-like and Jagged family members, as mature macrophages express high levels of *Hes1* (23), a downstream target of Notch signaling, and their differentiation is disrupted when Notch signaling is ablated (100).

A characteristic feature of mature intestinal macrophages is their hyporesponsiveness to exogenous stimulation (9, 27, 101–105), a functional adaptation that allows these cells to exist in this microbe-rich environment. Interestingly, TGF β does not appear to be responsible for the unresponsiveness of intestinal macrophages to TLR stimulation in mice (23), whereas this is proposed to be a key role of TGF β in the human mucosa (102, 105). In contrast, the IL10-IL10R axis plays a fundamental role in the control of macrophage responsiveness in both species. Colonic macrophages from mice in which this axis has been disrupted, either globally or specifically in myeloid cells, have heightened expression of proinflammatory mediators, such as iNOS, IL23, and IL12. As a result, they display overt responsiveness to TLR stimulation and an altered metabolic profile, leading to the development of spontaneous intestinal inflammation (41, 103, 106–109) that can be rescued by rendering macrophages unresponsive to TLR stimulation through cell specific deletion of the TLR adaptor molecule MyD88 (110). Early onset IBD occurs in patients with polymorphisms in *IL10RA* and *IL10RB* genes (111), and *in vitro* generated monocyte-derived macrophages from these patients respond aggressively to LPS stimulation (108). The exaggerated pro-inflammatory responses in the absence of IL10R signaling may result from a failure to downregulate inflammation potentiating molecules such as TREM-1 and STAT1 (41, 112) and/or altered accessibility to pro-inflammatory genes that is normally restricted by IL10-dependent chromatin remodeling (113, 114). IL10 can also limit pro-inflammatory responses by inducing expression of negative regulators of NF- κ B, such as IBNS (115).

The high expression of CX3CR1 by murine intestinal macrophages and their positioning adjacent to CX3CL1-producing epithelial cells suggests that the CX3CL1-CX3CR1 axis could also control macrophage differentiation. For instance, CX3CR1 is indispensable for the formation of TEDs that permit luminal sampling by LP macrophages (32–34). Furthermore, *Cx3cr1*-deficient macrophages produce less IL10 (37), suggesting the CX3CL1-CX3CR1 axis promotes the regulatory features of gut macrophages. In line with this, *Cx3cr1*-deficient mice have been shown to be more susceptible to chemically-induced colitis (83), although this has been contested by other reports showing that *Cx3cr1* deficiency suppresses DSS-induced and T cell transfer colitis (32, 34). However, as noted above, human intestinal macrophages do not express

CX3CR1, raising questions about the general significance of its role.

In addition to influencing their turnover (16, 24), the microbiota is required for optimal production of IL1 β (42) and IL10 by intestinal macrophages (40, 71, 103), with the latter proposed to rely on autocrine type 1 IFNs (116). Microbial colonization may also contribute to the anergic phenotype of colonic macrophages, since some studies have shown them to display TLR hyperresponsiveness when isolated from germ free mice (103), although this is disputed by others (104). The microbiota may act directly on macrophages, for example through the release of as yet unidentified polysaccharides, such as that recently identified by the Powrie group to be released by *H. hepaticus* (117) or via metabolism of dietary fiber to provide short chain fatty acids (SCFAs), which are known to have wide ranging effects on immune cell function (118). In particular, the SCFA butyrate can repress *Il6*, *Il12b*, and *Nos2* expression by colonic macrophages (119) and alter their metabolic profile (120), while propionate can dampen macrophage activation *in vitro* (121). Aryl hydrocarbon receptor (Ahr) ligands derived from the microbiome or the diet may also control macrophage behavior. Consistent with this idea, CD11c^{Cre}-*Ahr*^{fl/fl} mice display heightened susceptibility to DSS-induced colitis, which is attributed to altered Wnt ligand expression by Ahr-deficient macrophages and impaired epithelial barrier integrity (122). Thus, multiple environmental factors act in concert to control macrophage differentiation and function in the mucosa.

The equivalent factors controlling macrophage differentiation in the muscularis remain relatively unexplored, although it is clear they are acutely dependent on CSF1R signaling (22). In addition, norepinephrine signaling by sympathetic neurons via β 2 adrenergic receptors on muscularis macrophages has been reported to shape their tissue protective phenotype (20). Notably, the abundance and patterning of macrophages in the muscularis is not dependent on neuronal signals because they are normal in *Ret*^{-/-} mice, which lack an enteric nervous system, as well as in patients with Hirschsprung disease (HSCR), where the enteric nervous system is absent from the distal bowel (123).

MONOCYTES AND MACROPHAGES IN INTESTINAL INFLAMMATION

The monocyte/macrophage compartment alters markedly in both CD and UC, with accumulation of CD14^{hi}CD11c^{hi} monocytes/immature macrophages that come to outnumber CD64⁺HLA-DR^{hi}CD14^{lo} resident macrophages (9, 27, 124–127). In contrast to their homeostatic counterparts, these CD14^{hi} cells in the gut produce pro-inflammatory cytokines and chemokines, such as TNF α , IL1 β , IL6, IL12, IL23, and CCL11 (125, 127), display respiratory burst activity (128) and respond in an aberrant manner to commensal bacteria (125). In addition, they express high levels of TREM1, which can potentially amplify pro-inflammatory responses (129). Importantly, mucosal healing in IBD patients receiving anti-TNF has been shown to be accompanied by loss of these CD14^{hi} cells and accumulation of CD206⁺ macrophages, which are thought to

be pro-reparative (130). Although anti-TNF (adalimumab) has been shown to bind membrane-bound TNF on CD14⁺ intestinal macrophages in CD patients (131, 132), whether this triggers a phenotypic switch of existing pro-inflammatory macrophages or if these are replaced by CD206⁺ macrophages remains unclear. Thus, much of the recent work in this field has focussed on understanding the relationship between homeostatic and pro-inflammatory macrophages and the nature of their precursors, with the ultimate aim of identifying novel therapeutic targets.

Several models of intestinal inflammation, including T cell transfer, *Helicobacter hepaticus*-induced and DSS-induced colitis have been used to dissect these processes experimentally. As in humans, all these models show intense accumulation of classical (Ly6C^{hi}) monocytes, together with their immediate progeny that express intermediate levels of CX3CR1 (P1, P2, P3 subsets—see above) (5, 9, 19, 71, 93, 133–135). These cells respond in a highly pro-inflammatory manner to TLR stimulation, express reactive oxygen intermediates, produce high levels of IL1 β , IL6, IL12, IL23, and TNF α and express high levels of TREM1 (5, 9, 17, 19, 71, 135), again mirroring the processes seen in human IBD. In contrast to these effects on monocytes, the CX3CR1^{hi} resident macrophages that persist in colitis retain their anti-inflammatory signature (9, 19, 134), suggesting they may continue to play an immunoregulatory role even during inflammation (136).

Multiple lines of evidence indicate that Ly6C^{hi} monocytes and their derivatives are of crucial importance in the intestinal pathology. Firstly, neutralization of IL1 β , which is thought to arise predominantly from elicited monocytes, reduces susceptibility to chemically-induced colitis (137). Secondly, colitis development is reduced by selective ablation of *Tnfa* in Ly6C^{hi} monocytes (17). Whether this reflects direct effects of monocyte-derived TNF α in tissue pathology is uncertain, as monocyte survival appears to require autocrine TNF α (138), suggesting that reduced monocyte accumulation in the gut may be the mechanism underlying protection by depletion of TNF α . Finally, mice in whom monocyte recruitment to the inflamed mucosa is defective due to deletion or neutralization of CCL2, CCR2 or β 7 integrin are protected from DSS-induced colitis (9, 19, 73, 133, 139, 140). Importantly, the CCL2-CCR2 axis may also govern monocyte migration in man, where classical monocytes also express CCR2 (141) and elevated levels of its ligands CCL2 and CCL4 are found in IBD mucosa (142). Furthermore, radio-labeled CD14^{hi} classical monocytes have been shown to migrate to actively inflamed regions of IBD mucosa (124). As in the healthy gut, resident macrophages may contribute to this recruitment of monocytes through the release of CCR2 ligands. Nevertheless, it is important to note that CCR2 may not govern monocyte migration in all contexts, as accumulation of Ly6C^{hi} monocytes and their progeny is unaffected by CCR2 deficiency in *H. hepaticus* induced colitis (110) and CCR1 plays a key role in monocyte migration during acute toxoplasmosis (143). Moreover, circulating monocytes in mouse and man express CCR5 (144), which is known to navigate monocytes in certain contexts of inflammation (145), and CCR5 deficient mice develop less inflammation when administered DSS (146). In addition, a unique CD169⁺ subset of CX3CR1^{hi} macrophages, located preferentially around intestinal crypts, is expanded

during experimental colitis and is important for pathogenesis via its ability to recruit monocytes through secretion of the CCR2/CCR3/CCR5 ligand CCL8 (147, 148).

As well as direct effects of elicited monocytes and their products, these cells can recruit and support other innate and adaptive immune effector cells that are important in pathology. For instance, CD14^{hi} monocytes/macrophages in the IBD mucosa are thought to support pathogenic T cell function through IL23 production and their expression of CD40 and CD80 (125, 149, 150). Consistent with this idea, CX3CR1^{int} monocyte/macrophage-derived IL23 supports effector T cell differentiation during *H. hepaticus*-induced colitis (93, 110, 134), assisting the generation of highly pathogenic Th17 cells that co-express IFN γ (93, 151). Elicited monocytes/macrophages may also recruit eosinophils to the inflamed mucosa through the production of CCL11, although whether these play a pro-inflammatory or pro-resolution function remains unclear (127, 152–154).

Macrophages in Intestinal Infection

Despite their pathogenic role in sterile intestinal inflammation, Ly6C^{hi} monocytes and their progeny are vital for protective immunity against enteric pathogens. For instance, *Ccr2*^{-/-} mice are more susceptible to infection with *Citrobacter rodentium*, a model of enteropathogenic and enterohaemorrhagic *E. coli* infection in man, and the protozoan parasite *Toxoplasma gondii* (155, 156). This can be restored by transfer of wild type Ly6C^{hi} monocytes. Although depletion of CCR2⁺ cells in the CCR2-DTR mouse leads to enhanced susceptibility to *C. rodentium* (157), it should be noted that this approach deletes both elicited and resident macrophages in the intestine (43, 72, 157), meaning the specific roles of these individual subsets cannot be distinguished in this model. Nevertheless, macrophages play an important protective role in *C. rodentium* infection via the production of IL1 β , IL23, and TNF-like ligand 1A (TL1A), triggering IL22 production by ILC3s, which in turn augments local production of the anti-microbial proteins RegIII β and RegIII γ (157–159), known to be necessary for *C. rodentium* clearance (160). Moreover, through their production of IL12 and IL23, macrophages support the differentiation of IFN γ and IL17-producing effector T cells (161). Whether this occurs exclusively in the mucosa or if Ly6C^{hi} monocyte-derived cells leave the mucosa to contribute to T cell priming in the lymph nodes remains a matter of debate and may depend on the nature of the inflammatory insult (162, 163). In addition to their pro-inflammatory roles, elegant work from the Belkaid lab has shown that Ly6C^{hi} monocytes can also exert regulatory functions. During acute toxoplasmosis, elicited Ly6C^{hi} monocytes respond to the microbiota by producing PGE2 and IL10 that protect against immunopathology by inhibiting neutrophil function (164). As a result, *Ccr2*^{-/-} mice show enhanced susceptibility to this model of infection (156). Thus, it is clear that monocytes play a multifaceted role in the inflamed mucosa.

Until now, we have considered macrophage function in Th1 and/or Th17-dominated forms of inflammation, but they also participate in the Th2-mediated protective immune responses generated against intestinal helminth parasites. However, the

exact role macrophages play may depend on the parasite in question. For instance, while arginase producing, alternatively activated macrophages are critical for the expulsion of the gastrointestinal nematode *Heligmosomoides polygyrus bakeri* (165–167), inhibition of arginase has no effect on expulsion of *Trichuris muris* (168). Instead, macrophages are considered to play a more central role in the tissue repair that occurs after *T. muris* has been expelled. The role of alternatively activated macrophages in expulsion of the nematode *Nippostrongylus brasiliensis* also remains contentious (169, 170). Interestingly, although macrophage accumulation in Th2 type settings in other tissues is now typically thought to involve *in situ* proliferation of resident cells under the control of IL4 (171), accumulation of ‘alternatively activated’ macrophages in the gut of mice with *T. muris* is dependent on monocyte infiltration (172). Thus, regardless of the nature of the insult, monocyte recruitment appears to be the principal mechanism of bolstering the macrophage reservoir in the gut mucosa.

Monocyte Differentiation in the Inflamed Mucosa

Why monocytes accumulate during colitis and do not differentiate into anti-inflammatory macrophages as they do in healthy tissue remains unclear. Based on adoptive transfer studies in the DSS-induced model of colitis, we proposed that immature monocytes accumulate due to a breakdown in the normal differentiation process (9). The exact cause of this remains elusive, but may reflect both a loss of factors that normally promote monocyte differentiation, such as IL10 and TGF β , together with increased levels of pro-inflammatory cytokines that block this process or reduce monocyte half-life. Indeed, high levels of IFN γ are found in the IBD mucosa and have been shown to promote the pro-inflammatory features of CD14⁺ monocyte/macrophages (125). Consistent with this, deletion of IFN γ R1 or its downstream signaling molecule STAT1 in mice limits the differentiation of pro-inflammatory Ly6C⁺MHCII⁺ monocytes in the colon and provides relative protection from DSS-induced colitis (94). IFN γ may also act by upregulating negative regulators of the TGF β R pathway, such as Smad7 (173), thus disrupting the pathway by which monocytes normally differentiate into mature, anti-inflammatory macrophages (see above). Finally, the hypoxic nature of the inflamed mucosa may support the differentiation of pro-inflammatory monocytes/macrophages, as myeloid-specific deletion of the hypoxia inducible factor (HIF)-1 α also protects mice from DSS-induced colitis (174).

As well as local programming by the intestinal microenvironment, there is increasing evidence that monocytes arriving in the inflamed mucosa may be inherently different to those during health. Monocytosis is a feature of human and experimental IBD (175, 176), and monocytes arriving in the inflamed mucosa already have higher expression of TNF α , iNOS, IL6 and STAT1 compared with their homeostatic counterparts (9, 94). This may involve ‘priming’ of monocytes in the BM by IFN γ derived from NK cells responding to IL12 released from the inflamed intestine, as has been shown to occur in acute

toxoplasmosis (177), or through as yet unidentified pathways. Thus, the inflamed mucosal environment may control monocyte fate both locally and through long-range conditioning of BM precursors.

MONOCYTES/MACROPHAGES DURING RESOLUTION OF INFLAMMATION

Experimental models of colitis have also allowed characterization of the monocyte/macrophage compartment during the resolution of pathology. Cessation of DSS administration is accompanied by major changes in the macrophage pool, with a massive reduction in CX3CR1^{int} monocytes/macrophages and restoration of the CX3CR1^{hi} macrophage subset, together with loss of granulocytes (19). A similar contraction of inflammatory cells is seen following the infectious phase of *H. hepaticus*-induced colitis, although interestingly, eosinophils persist at elevated levels in this model, suggesting they may play a pro-resolution role (134). Resident intestinal macrophages promote mucosal healing, as colitis is worsened by their depletion (136) or if they are rendered unresponsive to anti-inflammatory cytokines, such as TGF β (178). However, whether macrophages elicited by an inflammatory agent also play a pro-restorative role following removal its clearance remains unclear. Interestingly, resolution of inflammation in post-operative ileus is delayed in *Ccr2*^{-/-} mice, suggesting that recruited Ly6C^{hi} monocytes and their derivatives are important for restoration of homeostasis in the muscularis (179).

The fate of the monocytes elicited during inflammation in the repairing mucosa is unclear, although it is assumed that they are cleared by apoptosis, as in other tissues (180). This would be consistent with the increased numbers of apoptotic CD68⁺ cells seen in the healing mucosa of CD and UC patients treated with infliximab (181). An alternative fate of elicited monocytes is that they subsequently convert into mature resident macrophages under the guidance of local cues. While this has been shown to occur during the resolution of inflammation in other tissues, such as the peritoneal cavity (57), it is not known whether it occurs in the repairing mucosa. Moreover, just like in the setting of infection described above, long-range conditioning of monocytes may also occur during inflammation resolution meaning the nature of the monocytes arriving at the repairing mucosa may be intrinsically-distinct. Consistent with this idea, Ikeda et al. (182) have recently shown that a specific subset of Ly6C^{hi} monocytes expressing the regulatory molecule Ym1 can be found during the resolution phase of DSS-induced colitis and their depletion hinders effective mucosal healing.

CONCLUSIONS AND FUTURE PERSPECTIVES

Several major advances have been made over the last few years in our understanding of intestinal macrophage ontogeny and development, including the identification of some of environmental signals that regulate tissue-specific phenotypes

and functions. Nevertheless, many aspects of intestinal macrophage biology remain poorly understood. For instance, our understanding of heterogeneity within the intestinal macrophage compartment remains incomplete. The application of single cell technologies, such as single cell RNA sequencing, will continue to provide further insights into macrophage heterogeneity in both mouse and man. This should also allow for further alignment of the ways in which murine and human MPs are characterized and lead to better translation between systems. With the discovery of macrophage subpopulations, it will be important to determine the environmental signals that shape the phenotype, function and longevity of these niche-specific macrophages. Why do some niches promote the longevity of macrophages (e.g., the muscularis externa) whereas others (e.g., the LP) mainly rely on the constant replenishment by BM-derived monocytes? Understanding the cellular interactions between macrophages and their neighboring cells (e.g., stromal cells), the environmental challenges (e.g., antigenic exposure) in particular niches, as well as niche accessibility will be pivotal in answering this question. Importantly, macrophage longevity is not an exclusive property of embryo-derived macrophages and it remains to be determined whether long-lived embryo-derived and BM-derived macrophages perform analogous functions or if they have discrete roles in intestinal homeostasis. Another area warranting further investigation is how differences along the intestinal tract, for instance antigenic exposure and

commensal microbiota composition, might impact macrophage development and function. A major effort must be placed on understanding how the monocyte/macrophage compartment changes during acute and chronic inflammation, as well as during inflammation resolution. Do long-lived macrophages persist during and following an inflammatory insult? If so, do they perform specific roles? Given that accumulation of pro-inflammatory monocytes/macrophages is a characteristic feature of IBD, it is vital to understand the precise nature of the molecular factors controlling monocyte/macrophage differentiation under normal physiological conditions, how these change during disease and the relative contribution of local conditioning vs. long-range effects on haematopoiesis. Providing answers to these questions will be vital if macrophages are to be realized as therapeutic targets in IBD.

AUTHOR CONTRIBUTIONS

Both authors made a substantial, direct and intellectual contribution to the work, and approved it for publication.

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Intestinal Dendritic Cells in Health and Gut Inflammation

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Dendritic cells (DCs) mediate tolerance to food antigens, limit reactivity to the gut microbiota and are required for optimal response to intestinal pathogens. Intestinal DCs are heterogeneous but collectively generate both regulatory and effector T cell responses. The balance of outcomes is determined by the activity of functionally distinct DC subsets and their modulation by environmental cues. DCs constantly sample luminal content to monitor for pathogens; the significance of the various pathways by which this occurs is incompletely understood. Intestinal DC have distinctive properties shaped by local host, dietary and microbial signals. These properties include the ability to produce all-trans retinoic acid (RA) and imprint gut tropism on T cells they activate. In the steady-state, subsets of intestinal DC are potent generators of inducible Treg, aided by their ability to activate TGF β and produce RA. However, responses induced by steady-state intestinal DCs are not exclusively regulatory in nature; effector T cells with specificity for commensal bacterial can be found in the healthy mucosa and these can be locally controlled to prevent inflammation. The ability of intestinal DCs to enhance effector responses in infection or sustain inflammation in disease is likely to involve both modulation of the local DC population and recruitment of additional populations. Immune pathways in the pathogenesis of inflammatory bowel disease can be mapped to DCs and in inflamed intestinal tissue, DCs show increased expression of microbial recognition machinery, activation, and production of key immunological mediators. Intestinal DCs may be targeted for disease therapy or to improve vaccine responses.

Keywords: Dendritic cells, intestinal inflammation, antigen sampling, lymphocyte homing, inflammatory bowel disease

INTRODUCTION

Dendritic cells (DCs) are bone marrow-derived antigen presenting cells which comprise two major subsets: conventional (or classical) DCs (cDCs) and plasmacytoid DC (pDC). They are developmentally distinct from both tissue resident macrophages and monocyte-derived populations (1) but share many phenotypic markers with these populations. Historically this has led to confusion and apparently conflicting data in analyses of DCs in the intestine. However, recent development of better strategies for DC identification, together with improved cell isolation techniques that help maintain DCs in their native state (2, 3), and genetic tools that enable specific deletion of DC populations *in vivo*, have enabled a clearer picture of the role of DCs in the intestine to emerge.

cDCs play critical roles in immune regulation in the intestine and are the focus of this review. The reader is referred to a recent review article (4) for more information on the role of pDC in the

intestine. cDCs are required for induction of oral tolerance (5) and the generation of regulatory T cells (Treg) recognizing soluble antigens (5) and commensal microbes (6, 7). They are also required for optimal protective immune responses against diverse pathogens (8–12). The balance of regulatory and effector responses is influenced by the contribution of functionally distinct cDC subsets as well as their modulation by environmental cues.

INTESTINAL DENDRITIC CELLS IN THE STEADY-STATE

Heterogeneity of Intestinal DCs

Intestinal cDCs are found within organized lymphoid tissue, including Peyer's patches (PP) (13) and draining lymph nodes, as well as in the lamina propria (LP) of small intestine (SI) and colon (14–16). As in other tissues, LP cDCs comprise cDC1 and cDC2 which can be defined in mice and humans by expression of X-C motif chemokine receptor 1 (XCR1) or signal regulatory protein α (SIRP α /CD172a), respectively, (1, 17).

Additional cell surface markers are used in particular species to define intestinal cDC subsets further. In mice, cDC1 are CD103+CD11b–, whereas cDC2 comprise CD103–CD11b+ cells and a gut-specific CD103+CD11b+ population (18) (**Figure 1**). The two CD11b+ populations are closely related; CD103–CD11b+ cDC give rise to CD103+CD11b+ cells under the influence of TGF β (23). There are more CD103+CD11b+ in the SI than in the colon (24). Equivalent cDC populations are present in the human intestine (25) and are often identified based on expression of CD103 in conjunction with SIRP α rather than CD11b.

LP DC populations are dependent on FLT3L for development and are derived from a committed pre-cDC progenitor (**Figure 1**). Some pre-cDC can acquire expression of $\alpha 4\beta 7$ integrin and thereby commit to an intestinal cDC fate (16, 19, 20). LP cDCs express the cDC-specific transcription factor Zbtb46 (11) but not the macrophage marker CD64. Critically, all have the capacity to migrate in a CCR7-dependent manner to draining LN to interact with recirculating T cells (26, 27). LP cDC subsets require different transcription factors for development and their selective deletion has enabled some of their functions to be defined (**Figure 1**). Detailed discussion of these experiments is beyond the scope of this review but the reader is referred to other recent authoritative articles (21, 22).

Antigen Sampling

To protect against luminal pathogens and establish regulatory response to innocuous antigens, DCs continuously sample intestinal contents (28, 29). In PP, microfold (M) cells in the follicle associated epithelium internalize bacteria and other particulates and deliver them to underlying DCs [reviewed in (30)]. PP cDCs can also capture translocated IgA immune complexes (31) and extend dendrites through M cell specific transcellular pores (32). cDCs cross-present viral antigen captured from infected epithelial cells (33, 34). Ileal CD103+ cDCs in the epithelium (**Figure 1**) sample soluble and particulate antigen (35). Transport of low molecular weight soluble material

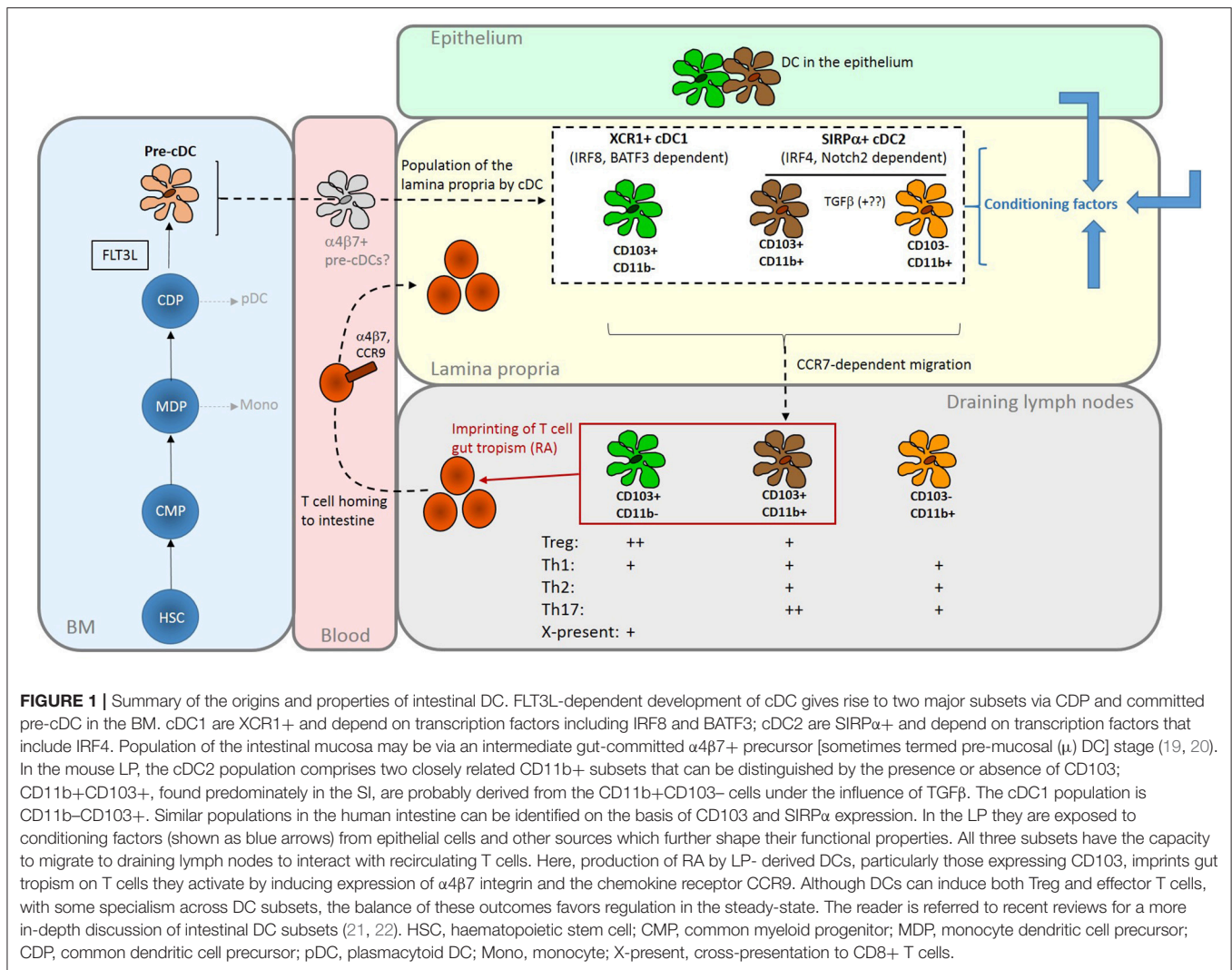
by SI goblet cells (36) and retro-transport of IgG immune complexes across the epithelium (37) can also deliver antigen to cDCs. Non-migratory CX3CR1+ macrophages in the SI sample antigens via trans-epithelial processes (38–41) and hand on to migratory CD103+ cDCs (38). The functional significance of different modes of sampling and the impact of inflammation are poorly understood.

Imprinting of Gut Tropism

Lymphocytes activated in gut lymphoid tissue traffic to the intestinal mucosa because they express specific adhesion molecules and chemokine receptors. The integrin $\alpha 4\beta 7$ binds MAdCAM-1 expressed by intestinal vascular endothelium and facilitates entry to both the colon and the SI. The chemokine receptor CCR9 is required for entry to the SI, where its ligand CCL25 is expressed (42). GPR15 is implicated in homing to the colon but there may be important differences between mice and humans with regard to its role in the trafficking of effector vs. regulatory T cell populations (43–45). These mechanisms facilitate lymphocyte homing to the intestine in the absence of overt inflammation and enable intestinal responses to be regulated independently of the systemic response. In mice, cDCs that have migrated from LP to mesenteric lymph nodes induce expression of $\alpha 4\beta 7$ and CCR9 on T cells they activate (46–49) via production of all-trans retinoic acid (RA) (50). Only cDC from the intestine express the enzyme RALDH2 (encoded by *aldh1a2*), required for the generation of RA from dietary vitamin A, explaining the site-specific induction of gut tropism (50). Initial data suggested that only CD103+ cDC had imprinting activity (15, 46, 51, 52) but it has subsequently been detected in mouse CD103– cDCs (26). Also, both CD103+ and CD103– cDCs in the human colon express *ALDH1A2* (53). Stromal cells in mesenteric lymph nodes can also produce RA to reinforce the imprinting activity of migratory intestinal cDCs (54–56).

Induction of Regulatory and Effector T Cell Responses

In the steady-state, intestinal DCs can induce Treg. In the mouse SI, induction of gut tropic Treg directed against soluble antigens, by both CD103+CD11b+ and CD103+CD11b– DCs, occurs in the mesenteric LN (52) and underlies the long-recognized phenomenon of oral tolerance generated to such antigens (57). The ability of SI CD103+ cDC to generate Treg is dependent on their expression of the integrin $\alpha \nu \beta 8$, which activates latent TGF β , and is enhanced by their production of RA (58–62). PD-L1 and PD-L2 have also been implicated in generation of Treg by MLN cDC (63). It is notable that induction of tolerance to colonic antigens differs from tolerance in the SI in that it is induced in the iliac, not mesenteric, nodes, is mediated by CD103–CD11b+ cDC and is independent DC-generated RA (16). The generation of Treg directed against commensal bacteria has been less studied. Nonetheless, in a cell transfer model, the rapid generation of Treg from naïve commensal-reactive transgenic CD4 T cells required Notch2-dependent but not Batf3-dependent cDC, suggesting that SIRP α + cDC2, possibly CD103+CD11b+ cells, play a dominant role (7).



T cell responses stimulated by DCs in the steady-state are not exclusively regulatory. Effector T cells are present in the lamina propria of healthy mice and humans; although some of these may reflect past pathogen encounter others are specific for the commensal microbiota (64, 65). Effector cells in the healthy intestine enhance the epithelial barrier (66) and protect against translocation of pathogens (67). Their activity can be locally controlled by regulatory CX3CR1^{hi} mucosal myeloid populations (68), anti-inflammatory cytokines such as TGFβ (69) as well as Treg. CD103- cDC migrating from the mouse SI can prime effector T cells in the absence of stimulation (26) indicating one mechanism by which these responses can be generated.

Conditioning of Intestinal DC

The ability of intestinal cDC to generate RA and promote tolerance requires active Wnt/β-catenin signaling with the cDCs (70) and is determined in part by local environment cues (71). Epithelial cells promote the ability of DC to generate both regulatory (72, 73) and Type 2 responses (74). In the mouse, epithelial TSLP, with IL-25 and IL-33, inhibits IL-12 production

by DCs and promotes their ability to generate Th2 responses that clear *Trichuris muris* infection (74). RA and TGFβ from human epithelial cells promote regulatory DC function (72). Exposure to RA can induce characteristics of SI DCs *in vitro* (75) and is required for *aldh1a2* expression (76). Sources of RA include epithelial cells (77), LP stromal cells (78), and bile retinoids (79). In contrast, prostaglandin E2 has been reported to negatively regulate the expression of RA generating enzymes in DC (80). Dietary and microbial products, including ligands of the aryl hydrocarbon receptor [AhR (81)] and butyrate (82), also affect intestinal DCs.

INTESTINAL DENDRITIC CELLS IN THE PROMOTION OF EFFECTOR FUNCTION AND INFLAMMATION

Promotion of Effector Function

The balance of responses induced by DC can change in the context of infection to favor effector mechanisms. Signaling

through p38 MAPK in CD103+ mouse DC regulates the balance of Treg and Th1 development from naïve T cells (83); in its absence, expression of RALDH2 and generation of Treg are reduced but Th1 responses enhanced.

A change in the balance of T cell responses induced by intestinal cDC may result from direct modulation by danger signals, altered conditioning of the resident cDC population or recruitment of distinct pro-inflammatory DC (**Figure 2**). Intestinal cDC express pattern recognition receptors and respond to microbial products (84, 85). Subsets of mouse and human DC differentially express Toll-like receptors (TLRs) suggesting specialization for direct recognition of particular microbes (25, 86). Mouse CD103+CD11b+ cDCs express TLR5 and their ability to induce Th17 responses is enhanced following activation with flagellin (24, 85, 87, 88). Activation of CD103+CD11b+ cDC results in increased production of IL-6 and IL-23 which promote Th17 development and production of the anti-microbial peptide RegIIIγ (85, 89). Administration of a TLR7 agonist *in vivo* results in activation of a CD103+CD11b- cDC migratory subset with the ability to generate effector CD8+ T cell responses to cross-presented antigen (90).

In most tissues, exposure to microbial products is sufficient to convert immature cDCs to mature cells which generate potent effector responses. However, exposure to PAMPs from the commensal microbiota is likely to be a common occurrence in the healthy intestine and therefore a second signal may also be required. Indeed, mouse CD103+ SI cDCs can induce Treg even in the presence of the high level of costimulatory molecule expression characteristic of mature cDC (58). The nature of this second signal is not known but IgA-containing immune complexes, normally restricted to the lumen, but present in significant quantities in damaged tissues, can enhance the pro-inflammatory activity of DCs (91).

Intestinal cDCs reside in the mucosa for a few days (92) during which time they are conditioned to acquire regulatory properties. cDCs that escape conditioning in the steady-state may facilitate the generation of “tonic” protective effector T cell responses. An increase in turnover following exposure to TLR ligands (93, 94) or inflammatory cytokines could shorten residence time, reduce exposure to conditioning factors and increase cDC-generated effector responses.

Intestinal cDC function may also be influenced remotely during their development in the bone marrow. Intestinal inflammation alters hematopoiesis to influence the development of monocytes and subsequently the intestinal populations derived from them (95). These concepts remain to be explored for cDCs but changes in blood DCs have been described in IBD (96, 97).

Alternative precursors may also be recruited into the tissue under inflammatory conditions to provide cells with the ability to generate effector responses. Monocytes can give rise to DC-like cells (monocyte-derived DC; moDC) under inflammatory conditions (98, 99). In healthy mice, monocytes recruited into the intestine differentiate into anti-inflammatory macrophages (100–103). However, under inflammatory conditions this differentiation process is interrupted, generating a population with some DC-like properties including the ability to activate naïve T cells and generate Th1 cells (102). Similarly, cells

expressing the monocyte marker CD14 accumulate in the inflamed mucosa of inflammatory bowel disease (IBD) patients (104, 105) and these too have a high capacity to naïve stimulate T cells and generate gut tropic Th1 cells and Th17 cells (104). However, it is unclear if monocyte derived-cells can migrate to lymphnodes or act solely within the mucosa.

Effector cells generated by DCs could also be released from local control within the tissues where diverse antigen presenting cell (APC) populations exert a local influence (68, 106–110). In the context of chronic inflammation, T cells lose responsiveness to regulatory TGFβ due to over-expression of SMAD7, an inhibitor of TGFβR signaling (69). In addition, inflammatory cytokines can change the repertoire of peptides presented by DCs (111) and the emergence of “cryptic” determinants may allow escape from Treg control.

Irrespective of the combination of mechanisms which allow effector responses to be enhanced to deal with infections, a key unanswered question remains how these responses are confined to the pathogen and do not normally extend to co-sampled commensal organisms.

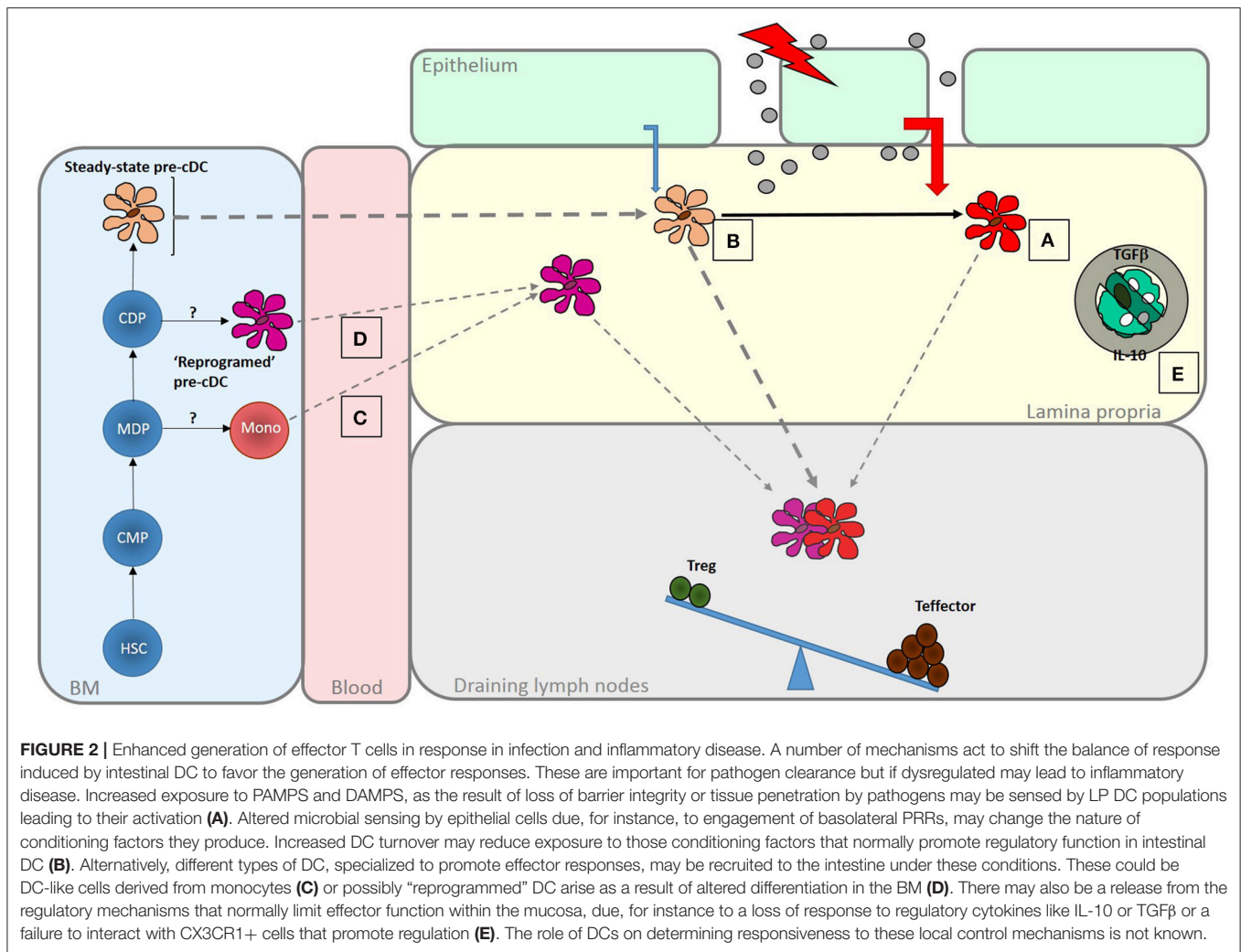
DC and Inflammatory Intestinal Disease

Many immune pathways key to IBD pathogenesis, highlighted by the identification of genetic variants associated with disease susceptibility, can be mapped to DCs. Nucleotide-binding oligomerization domain-containing-2 (NOD2), loss of function variants of which are associated with Crohn's disease (CD), is a bacterial sensor in DCs; its engagement impacts upon bacterial handling, cytokine production and antigen presentation (112, 113). DCs that express CD-associated variants are defective in these pathways (112, 113). The IL-23 axis is implicated in CD and DCs are a major source of bacterially driven intestinal IL-23 (85, 87, 89). Moreover, expression of genes associated with variation in CD prognosis, rather than susceptibility, can also be mapped to DCs (114).

Mouse models of colitis provide direct evidence for the importance of DCs. Transfer of bone marrow derived DCs increases inflammation whereas depletion of DCs reduces it (115, 116). Colitis develops in mice in which TGFβ receptor signaling is non-functional in DCs (23, 117) and, in T cell deficient mice, administration of an agonistic anti-CD40 antibody activates DCs and induces IL-23-dependent intestinal inflammation (118).

DCs isolated from inflamed intestinal tissue show evidence of enhanced microbial recognition and heightened activation. More colonic cDCs from inflamed tissue of IBD patients express TLR2 and TLR4 (119, 120) and they also express higher levels of activation-associated CD40 (119). In CD, mature CCR7+ DCs, retained in the mucosa by locally produced ligands, cluster with proliferating T cells (121).

In CD, more colonic LP cDCs produce IL-12/23p40 and IL-6 but the proportion of cDCs that produce IL-10 is similar to healthy controls (119). Production of pro-inflammatory cytokines by colonic cDCs in CD correlates with disease activity, levels of inflammation and aspects of the intestinal microbiota (122). In UC, the frequency of cDCs producing IL-12/23p40 and IL-10 has been reported to be greater (122) or similar (119) to healthy controls in different studies. Production of IL-6 by cDC



from UC patients was not increased in either study. In coeliac disease, activated DC producing Th1-promoting cytokines accumulate in the duodenal mucosa (123). In the MLN of CD patients, DCs release more IL-23 upon bacterial stimulation and CD4+ T cells produce more IL-17 and IFNγ (124).

MicroRNAs are short non-coding RNA molecules that function in RNA silencing and post-transcriptional regulation of gene expression. Expression of microRNA (miR)-10a, which acts in DC to limit their ability to produce IL-23/23p40 and generate Th1 and Th17 responses, is reduced in the inflamed mucosa of IBD patients (125). The IBD-associated reduction in mir-10a is accompanied by increased expression of IL-12/23. In UC, immature colonic DCs are poorly stimulatory but induce an atypical T cell response characterized by increased IL-4 but reduced IFNγ and IL-22 (120, 126) possibly indicating a reduced ability to induce barrier protective T cell responses.

Numbers of CD103+ cDCs are reduced in mice with chronic ileitis (127) and in the colon of UC patients (128). Whether these differences reflect a change in phenotype or altered cDC populations is not clear. Unlike equivalent cDCs from normal

human colon, CD103+ colonic cDCs from UC patients do not generate Foxp3+ Treg but do generate IFNγ-, IL-13-, and IL-17-producing CD4 T cells. CD103+ cDCs from UC patients also have higher expression of IL-6, IL-12p40, IL-12p35, and TNFα (128).

RA is required for optimal Treg induction by intestinal CD103+ cDCs in the steady-state but these cells have reduced expression of RA-generating enzymes in mice with colitis (127, 129). The effects of RA on the immune system are context dependent [reviewed in (130, 131)] and it can promote pro-inflammatory as well as regulatory responses (132). In the presence of IL-15, RA induces the release of pro-inflammatory IL-12 and IL-23 by cDC and promotes intestinal inflammation (133). There is conflicting data on the production of RA by DC in the inflamed human colon: increased (53) and decreased (134) expression of RA-generating enzymes have both been reported in IBD. It should be borne in mind that neither study measured RA itself nor assessed other factors that regulate RA availability, such as expression of CYP26 enzymes that degrade RA (135).

CONCLUDING REMARKS

Antibodies which target $\alpha 4\beta 7$, an integrin which can be imprinted by intestinal DCs to facilitate T cell entry to the intestine, are already used to treat IBD. DCs also control the balance between regulatory and effector T cell responses in the intestine. Understanding the mechanisms involved and their regulation will facilitate rational manipulation of DCs to promote effector responses in the context of infection and vaccination or to re-establish regulation in the context of inflammatory disease.

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mPGES-1-Mediated Production of PGE₂ and EP4 Receptor Sensing Regulate T Cell Colonic Inflammation

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PGE₂ is a lipid mediator of the initiation and resolution phases of inflammation, as well as a regulator of immune system responses to inflammatory events. PGE₂ is produced and sensed by T cells, and autocrine or paracrine PGE₂ can affect T cell phenotype and function. In this study, we use a T cell-dependent model of colitis to evaluate the role of PGE₂ on pathological outcome and T-cell phenotypes. CD4⁺ T effector cells either deficient in mPGES-1 or the PGE₂ receptor EP4 are less colitogenic. Absence of T cell autocrine mPGES1-dependent PGE₂ reduces colitogenicity in association with an increase in CD4⁺RORγt⁺ cells in the lamina propria. In contrast, recipient mice deficient in mPGES-1 exhibit more severe colitis that corresponds with a reduced capacity to generate FoxP3⁺ T cells, especially in mesenteric lymph nodes. Thus, our research defines how mPGES-1-driven production of PGE₂ by different cell types in distinct intestinal locations impacts T cell function during colitis. We conclude that PGE₂ has profound effects on T cell phenotype that are dependent on the microenvironment.

Keywords: IBD-inflammatory bowel diseases, T cell, PGE₂, colitis, Th17 & Tregs cells, inflammation immunomodulation, Th17 activation, Treg = regulatory T cell

INTRODUCTION

Prostaglandin E₂ (PGE₂) is an eicosanoid that modulates diverse physiologic and pathologic functions. To avoid undesired effects associated with dysregulated inflammation, PGE₂ tissue concentrations are tightly regulated by expression of constitutive (COX1) and inducible (COX2, mPGES1) biosynthetic enzymes, as well as degradative enzymes (15-PGDH) (1, 2) and the transporters multiple drug resistance-associated protein 4 (MRP4) and prostaglandin transporter (PGT). The COX1 and COX2 enzymes are responsible for the catabolism of arachidonic acid into PGH₂, the precursor of PGI₂, PGD₂, PGF_{2α}, TxA₂, and PGE₂. COX1 and 2 expression differs strongly among different tissues, and distinct inflammatory triggers markedly upregulate the inducible isoform, COX2 (2, 3). mPGES1, also an inducible enzyme often co-regulated with COX-2, acts downstream of COX enzymes to specifically generate PGE₂, and does not directly generate other prostaglandins that are derived from the shared PGH₂ intermediate metabolite. Part of the variety of effects that can be triggered by PGE₂ are due to its 4 known receptors (EP1-4), which display different PGE₂ binding affinities and a range of tissue and cell-specific expression

patterns (2, 4). PGE₂ signaling in T cells is almost exclusively transduced through the EP2 and EP4 receptors (5, 6).

Immune responses can be highly sensitive to PGE₂, as it acts in a pleiotropic manner affecting many cells of the immune system. With respect to the innate immune system, PGE₂ promotes neutrophil, macrophage, and mast cell activation and migration into inflamed sites, and can also influence dendritic cells (DC) with both pro- and anti-inflammatory results (7–9). Cells belonging to the adaptive immune system can also be influenced by PGE₂, and T cells display an array of diverse responses to PGE₂ that include effects on T cell lineage commitment and cytokine secretion (10–13). In general, PGE₂ can differentially expand Th1 and Th17 cells via expression of the PGE₂ receptors EP2 and EP4 when IL1 β and IL-23 are part of the inflammatory response. However, some of the reported effects are contradictory, as PGE₂ can either increase IL-17 and reduce IFN γ production in human memory T cells (14) and inhibit Th1 responses (15), or enhance antigen-specific Th1 function and expansion (11). We recently found that production and sensing of PGE₂ in CD4⁺ T cells controls antigen-specific regulatory T cell (Treg) and Th17 expansion, and that PGE₂ can re-direct T cells undergoing Th17 polarization to inhibit IL-17A production in lieu of IFN γ (13).

In the gastrointestinal tract, PGE₂ is implicated in the modulation of autoimmune and inflammatory diseases as well as in the control of carcinogenesis (16–18). Expression of COX-2 is greatly increased during active phases in patients with ulcerative colitis (19), and non-steroidal anti-inflammatory drugs (NSAIDs) are associated with significant gastrointestinal adverse events and exacerbate symptoms of inflammatory bowel disease (IBD) (20). COX-2 is partially responsible for intestinal damage in the DSS colitis model in mice, with presence of COX-2 being required specifically in myeloid and endothelial cells but not epithelial cells (21) to increase intestinal pathology. However, although PGE₂ is generally regarded as a pro-inflammatory molecule, it is also critical for the resolution of inflammation and restoration of tissue homeostasis (2, 22).

It is unclear how PGE₂ affects pathogenic T cell responses during colitis, and what the relative contribution of T cell intrinsic or extrinsic sources might be to clinical disease. Given the effects of PGE₂ on T cells, PGE₂ could constitute a critical regulator of Th17 cells in the colon, which can either enhance protective responses from gut pathogens and epithelial regeneration, but also potentially serving to mediate IBD (23–25). In this study we evaluated the impact of mPGES1-driven PGE₂ and PGE₂ sensing through EP4 in a T-cell driven colitis model. We found that signaling through EP4 on CD4⁺ cells strongly controlled colitogenicity and colonic T cell expansion. Moreover, our studies comparing the origin of PGE₂ production in T cells compared with non-lymphoid cells demonstrate that T cell autocrine mPGES1-mediated PGE₂ contributes to colitogenesis by reducing a protective CD4⁺ROR γ t⁺ cell response, while paracrine mPGES1-driven PGE₂ inhibits colon inflammation by favoring the expansion of FoxP3⁺ Treg cells. We conclude that site-specific PGE₂ production and PGE₂ sensing by CD4⁺ T cells play important roles in intestinal inflammation.

MATERIALS AND METHODS

Mice and Colitis Induction

WT and mPGES1^{−/−} mice in a BL/6 background were bred in house and maintained under SPF conditions in the same MCN II facility and room at Vanderbilt University. mPGES1 mice were originally obtained from Pfizer and their generation has been previously described (26). EP4^{fl/fl} transgenic mice were a kind gift of Dr. Richard Breyer (27), and they were crossed with either C57BL/6 or C57BL/6 CD4^{Cre} mice obtained from Jackson Laboratories. Rag1^{−/−} mice were obtained from Jackson Laboratories and crossed with the mPGES1^{−/−} line to obtain double knock-outs. All mice were bred in a specific pathogen-free barrier facility and used at 8–14 weeks of age. The Vanderbilt University Animal Care and Use Committee approved all studies performed for the preparation of this manuscript.

Colitis was induced by adoptive transfer of 1×10^6 purified (>99% purity) CD4⁺CD25[−]CD45RB^{hi} cells i.p., and in the indicated cases co-injection of 0.5×10^6 CD4⁺CD25[−] cells was performed to study Treg function. Spleen and lymph nodes suspensions were used first to purify untouched CD4⁺ cells using magnetic bead cell separation with a StemCell Kit and these cells were stained with anti-CD4, anti-CD25, and anti-CD45RB for further flow sorting using a FACS Diva flow cytometer (Becton-Dickinson) with purities over 95% of the indicated populations. Mice that received adoptive transfers of different cell genotypes were always cohoused in the same cages to avoid differences due to microbiota composition divergence during colitis development.

Cell Preparation and Flow Cytometry

Single cell suspensions were prepared from spleen, colon LP, and mesenteric lymph nodes, and stained on ice using predetermined optimal concentrations of each antibody (Ab) for 20–30 min, washed, and fixed using 1.5% PFA or eBioscience FoxP3 fixation reagent. Colon lamina propria was obtained as previously described (28). Cells with the light scatter properties of singlet lymphocytes were analyzed by multicolor immunofluorescence staining and a BD FACS Fortessa II flow cytometer (Becton Dickinson, San Jose, CA). Fc γ receptors blockade was performed (2.4G2; BD PharMingen) prior to surface staining of cell surface markers. The anti-mouse mAbs used in this study included CD4-PE.Cy7/FITC (GK1.5), CD45.1-AF700/Pacific Blue (A20), CD45RB-AF647 (C363-16A) from BioLegend, ROR γ t-PE (Q31-378) from BD PharMingen, FoxP3-APC (FJK-16s) from eBioscience, and CD45.2-PE (104) from Tonbo. The LIVE/DEAD[®] fixable cell death stain kit (Invitrogen) was used to remove dead cells from all analysis and avoid background staining noise of dead cells. All flow cytometry analysis and data display were performed using FlowJo software.

Tissue Culture and PGE₂ Measure

For all *in vitro* experiments IMDM medium was supplemented with 10% FCS, Pen/Strep at 50 UI/ml and 50 μ g/ml respectively, and 2-beta-ME at 10 μ M. Colon explant cultures were performed

in 48-well round-bottom plates and supernatants were collected 12 h after initiation, spin down at $>12,000g$ in Eppendorf tubes, and clear supernatants used for further analysis. NS-398 was purchased from Cayman Chemicals, and stored aliquots were freshly reconstituted before every use.

The PGE₂ ELISA Kit from Cayman chemical was used to evaluate PGE₂ supernatant concentrations.

Histology and Pathological Scoring

Colon Swiss rolls were generated from mice undergoing colitis at the indicated time-points. Fresh colon tissue was washed with cold PBS, cut longitudinally to prepare Swiss rolls and fixed in 10% Formaldehyde for 3 days before transfer to 70% Ethanol. Paraffin blocks were generated with these fixed samples and 10 μm sections placed in slides for further H&E processing. Pathological severity and features were evaluated according to the following scoring system: Lamina Propria Infiltrate (LPI, 0–3), Neutrophilic Infiltrate (NI, 0–2), Goblet Cell Loss (GCL, 0–3), Abnormal Crypts (Ab.Cr., 0–3), Crypt Abscesses (Cr. Ab., 0–1), Erosion and Ulcers (Er.+Ulc, 0–2), and Depth of Inflammation (DOI, 0–3). Scale bars on the images correspond to 100 μm length. For detection of COX2 and mPGES-1 in colon tissue, we used rabbit polyclonal anti-mouse COX2 ab52237 and anti-mouse mPGES-1 ab62050 from Abcam following manufacturer's instructions.

Microscopy Analysis, Immunofluorescence and Signal Quantification

Paraffin-embedded colonic tissues were sectioned (5 μm) prior to deparaffinization, rehydration, and antigen retrieval using a citrate buffer (pH 6.0) for 20 min in a pressure cooker at 105°C, followed by a 20 min cool down at room temperature (RT). Endogenous background signal was quenched by incubating tissue slides in 3% hydrogen peroxide for 10 min at RT. Tissues were blocked in 3% BSA/10% donkey serum for 1 h before primary Ab staining. Antibodies used for immunofluorescence were: rat anti-FoxP3-APC (1:100, eBioScience FJK-16a), mouse anti-ROR γ t-PE (1:100, BD Q31-378), goat anti-CD3 ϵ (1:100, Santa Cruz M-20), rabbit anti-pSTAT3 (Tyr705) (1:100, Cell Signaling D3A7), and AF-647-conjugated secondary antibodies (Life Technologies). Sequential staining and fluorescent dye inactivation was performed as previously described (29, 30). Immunofluorescent imaging was performed using an Olympus X81 inverted microscope with an UPlanSAPO UIS2 (20X/0.75NA) objective lens and filter sets specific for DAPI, GFP, CY3, CY5, and Cy7. Images were acquired at 20X magnification and image exposure for each Ab stain was set manually (<800 ms). Initial surveying of the tissue was performed at 2X magnification on the DAPI channel to establish 10–15 regions per Swiss roll for subsequent analysis. Primary Ab staining was performed overnight at RT and secondary Ab staining for 1 h at RT before slide imaging. Complete inactivation of fluorochromes was performed as described previously (29). Final image processing and layering was performed using ImageJ.

Microscopy Imaging Processing, Single-Cell Quantification, and Data Analysis

Images acquired for each stain round were processed as previously described (29). For each stain round, DAPI images were aligned to those from the first round using rigid transformation. Autofluorescence removal and correction was performed by background subtraction of registered images. Autofluorescence removed images for each stain were used for single-cell segmentation using Mathworks MATLAB software. Expression values of transcription factors were quantified by median intensity levels within a given mask-generated nuclear segmentation using combination of all nuclear markers available. CD3 ϵ ⁺ cell numbers were estimated by the total area of coverage per field of the CD3 ϵ ⁺ mask, divided by the average area of a single CD3 ϵ ⁺ cell. This estimate was verified manually by counting CD3 ϵ ⁺ cells in selected fields of view and comparing to estimated values. All analyses were performed in a blind fashion without phenotype identifiers. Cytobank was utilized to analyze single-cell intensity values and quantify cell populations.

RESULTS

Production of PGE₂ in the Colon Is Regulated by mPGES-1 With the Contribution of T and B Cells

The intestines are well-known to generate PGE₂, and inhibition of COX enzymes reduces PGE₂. However, it has not been investigated to which extent different components of the intestines contribute to this PGE₂ pool. To address this and to evaluate how baseline production of PGE₂ is influenced by both biosynthetic enzymes and by the presence of lymphocytes, we cultured colon explants of untreated Rag1^{-/-}, mPGES-1^{-/-}, or Rag1^{-/-} \times mPGES-1^{-/-} double knockout mice overnight and their supernatants were assessed for PGE₂ concentration. As expected, colons of WT animals produced the highest amounts of PGE₂. Absence of mPGES-1 significantly impaired PGE₂ production, but so did too the lack of adaptive immune cells in the Rag1^{-/-} group (Figure 1A). Interestingly, lack of both the mPGES-1 enzyme and lymphocytes demonstrated an additive effect, with Rag1^{-/-} \times mPGES-1^{-/-} double knockouts exhibiting the lowest levels of PGE₂. Specific inhibition of COX-2 activity using NS-398 in these explant cultures resulted in a reduction of nearly half of the secreted PGE₂ under most conditions. However, a significant decrease in PGE₂ was not observed following COX-2 inhibition in colons of Rag1^{-/-} \times mPGES-1^{-/-} double knockout mice likely due to the already low basal levels produced.

We hypothesized that colonic inflammation would increase the PGE₂ production capacity in the colon. To test this, we assessed PGE₂ concentration in colon explants of WT untreated mice or Rag1^{-/-} mice undergoing colitis induced by adoptive transfer of effector CD4⁺ cells. Colons of mice undergoing colitis at week 10 post-transfer depicted a 5-fold increase of PGE₂ compared to healthy untreated colons (Figure 1B).

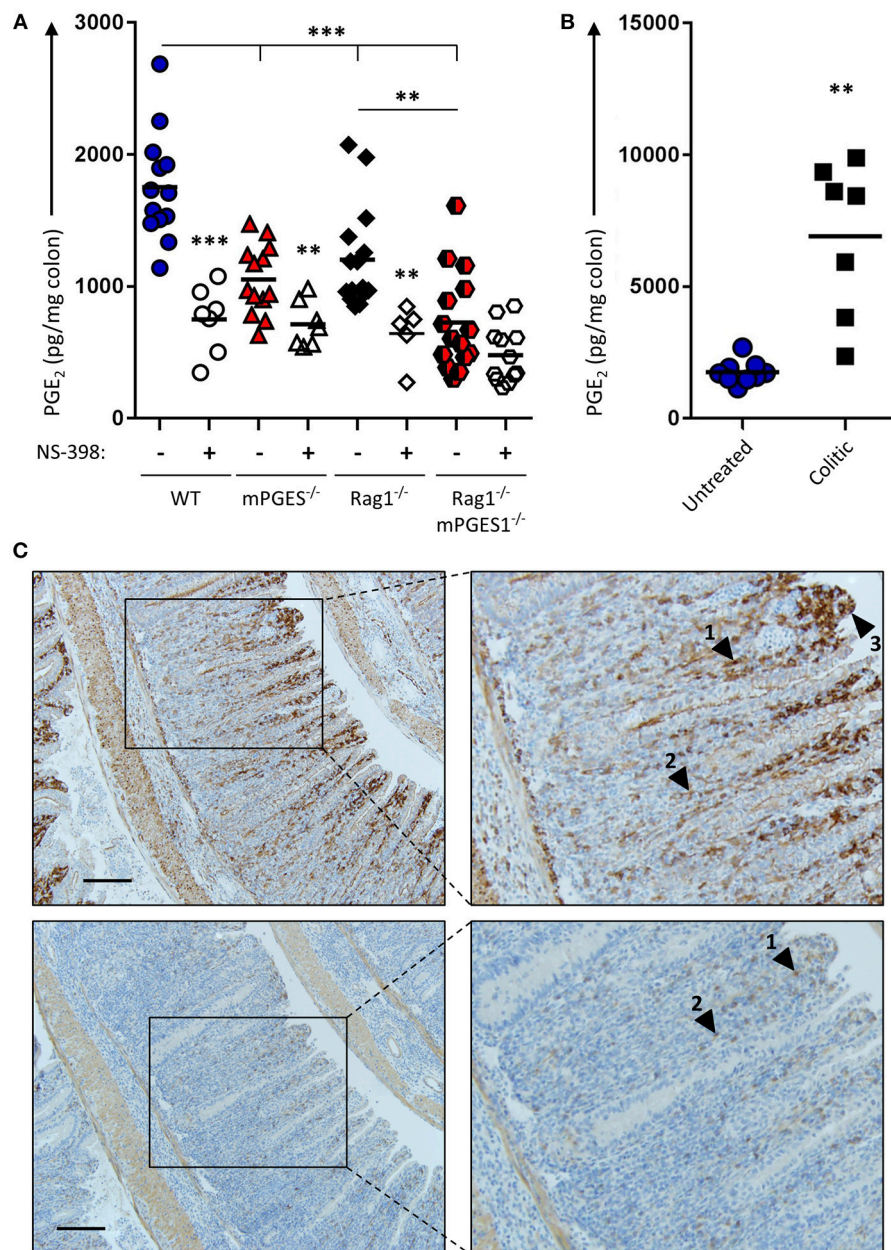


FIGURE 1 | Basal production of PGE₂ in the colon is regulated by COX-2 and mPGES-1 with significant contribution from cells of the adaptive immune system. **(A)** Colon explants from WT (blue), mPGES-1^{-/-} (red), Rag1^{-/-} (black) or Rag1^{-/-} x mPGES-1^{-/-} (red/black) mice were cultured for 12 h and their supernatants were analyzed for basal PGE₂ production. The specific COX2 inhibitor NS-398 was added to evaluate the differential contribution of COX2 and COX1 to the PGH₂ pool prior to PGE₂ biosynthesis. **(B)** PGE₂ production in colon explants from untreated WT mice ("Untreated," blue circles) or Rag1^{-/-} mice undergoing WT Teff cell-driven colitis at week 10 post-transfer ("Colitic," black squares). ** indicates a *P* value < 0.05 using a 2-tailed heteroscedastic Student's *T*-test. **(C)** Immunohistochemistry of COX2 (upper row) and mPGES-1 (lower row) in the colon of mice that transferred with WT Teff cells (CD4⁺CD25⁻CD45RB^{hi}). Scale bar is 100 μm long. Arrowheads 1 and 2 point to localization within the LP, while arrowhead 3 indicates localization in the brush border. ** Significant at *P* < 0.05 and *** at *P* < 0.01 in a one-way ANOVA with Welch's correction.

Previous studies have shown that the COX-2 and mPGES-1 enzymes are especially abundant in monocyte/macrophage cells, and COX-2 expression is restricted in the absence of inflammation to the kidney, gastrointestinal tract, brain, and thymus (31). To better understand the increase of PGE₂ observed

during colitis, we collected, fixed and stained colitic colons to detect both enzymes. COX-2 expression was maximal in the lamina propria (LP) at the apical side of hyperplastic villi, and mPGES-1 expression was found to mimic that pattern to some extent (**Figure 1C**, arrowheads #1-2), although strong COX-2,

but not mPGES-1 expression, was observed in the brush border of the villi (arrowhead #3).

CD4⁺ Effector T Cells Lacking mPGES-1 Have Impaired Colitogenic Potential

We have recently demonstrated that T cell autocrine mPGES-1 expression in CD4⁺ cells contributes to the cytokine profile that CD4⁺ cells can acquire during antigen-specific stimulation. This T cell intrinsic effect is synergic with the ability to secrete PGE₂ by interacting APC cells, and it impacts especially IL-17A and IFN γ production by CD4⁺ cells (13). We asked ourselves if this T cell intrinsic mPGES-1-driven PGE₂ effect was also contributing to the phenotype and pathogenic potential of T cells in a colitis model. Rag1^{-/-} mice received an adoptive transfer of CD4⁺CD25⁻CD45B^{hi} cells (Teff) from WT or mPGES-1^{-/-} donor mice, and were monitored and evaluated for colitis progression for 10 subsequent weeks after transfer. Mice that received mPGES-1^{-/-} Teff CD4⁺ cells demonstrated a less severe weight loss than mice receiving WT Teff CD4⁺ cells at the latter phases of disease (Figure 2A). Co-transfer of WT Treg cells with WT Teff cells resulted in suppression of colitis as expected (Figure 2A, empty circles), and mPGES-1^{-/-} Tregs also displayed full protective function indistinguishable from WT Tregs in terms of weight loss (empty squares). Analysis of the pathological characteristics in the colons of both groups transferred with Teff cells revealed that mice that received mPGES-1^{-/-} Teff CD4⁺ cells lost less goblet cells and developed less crypt abscesses (Figure 2B). To investigate if the attenuation of colitis was due to an altered phenotype of the transferred T cells, we analyzed by flow cytometry the expression of FoxP3 and ROR γ t in CD4⁺ cells in the mesenteric lymph nodes (mLN) and colonic lamina propria (cLP) of the mice belonging to the same colitis cohorts described above. mPGES-1^{-/-} Teff CD4⁺ cells were able to generate moderately but significantly increased numbers of CD4⁺ROR γ t⁺ T cells in the mLN and the cLP (Figures 2C,D) with the percent being increased only in the cLP. CD4⁺FoxP3⁺ cells and total CD4⁺ cells were not altered.

To determine if the reduced colitogenic potential observed in absence of mPGES-1 Teff was due to a reduced proliferation advantage, we co-transferred Teff cells from CD45.1⁺ WT and CD45.2⁺ mPGES-1^{-/-} animals in a 1:1 ratio into Rag1^{-/-} recipient animals. Ten weeks after transfer, the mLN (Figure 3A) and cLP (Figure 3B) were analyzed. Under these competitive circumstances, WT T cells were clearly able to expand more than T cells deficient in mPGES-1. This translated into significantly more CD4⁺ROR γ t⁺ cells derived from WT T cells. However, we observed that mPGES-1^{-/-} Teff CD4⁺ cells were capable of generating a proportion of ROR γ t^{hi} T cells in the LP that was absent in the WT donor T cells (Figure 3B, shaded box). It is known that colonic CD4⁺ cells display unique characteristics like high co-expression of ROR γ t in their FoxP3⁺ population (32, 33). This prompted us to investigate if mPGES-1 sufficiency would impact ROR γ t expression in Tregs in the colon. For this purpose, a mix of either CD45.1⁺ WT Teff and CD45.2⁺ mPGES-1^{-/-} Treg cells or a reciprocal CD45.1⁺ WT Treg and CD45.2⁺ mPGES-1^{-/-} Teff cells mix were injected in a 2:1

(Teff:Treg) ratio into Rag1^{-/-} recipient animals, and mLN and cLP were examined as previously described. Total WT Treg cells were found in much larger proportions than mPGES-1^{-/-} Treg in the mLN, independently of whether they expressed ROR γ t or not (Figure 3C). In stark contrast, mPGES-1^{-/-} Treg cells showed a much larger proportion of ROR γ t⁺ cells in the cLP. Furthermore, mPGES-1^{-/-} Treg cells showed greater relative expression levels of ROR γ t than WT Tregs in the cLP (Figure 3C shaded boxes). In summary, we demonstrate that mPGES-1 deficiency in T cells reduces their expansion capacity and colitogenic potential. Additionally, absence of T cell intrinsic mPGES-1 strongly promotes the localization of Tregs into the cLP to the detriment of the draining mLN, and this effect is simultaneous with acquisition of high expression levels of ROR γ t. These data suggest unique characteristics of mPGES-1 deficient T cells that protect from colitis.

Inability to Sense PGE₂ Through EP4 by CD4⁺ Effector T Cells Impairs Their Colitogenicity

Detection of PGE₂ through EP4 increases Th1 responses when IL-12 is present (12) but also amplifies Th17 expansion in synergy with IL1 β /IL-23 (10, 11). To examine if sensing of PGE₂ produced during inflammation of the intestines was mediated through EP4 on T cells, we injected either EP4^{fl/fl} (virtual WT) and CD4^{Cre}xEP4^{fl/fl} (EP4 ^{Δ CD4}) Teff cells into Rag1^{-/-} recipient animals and evaluated colitis development and T cell phenotype as previously described. Mice that received Teff cells deficient in EP4 were significantly protected from colitis development, with little to no weight loss, and significantly less overall LP infiltration and neutrophils (Figures 4A,B). Examination of mLN and cLP infiltrates by microscopy and flow cytometry revealed a decrease in total CD4⁺ numbers that directly translated into less CD4⁺FoxP3⁺ and CD4⁺ROR γ t⁺ cells, but with no alteration of CD4⁺ subsets proportions (Figures 4C,D) on either examined anatomical location. Microscopic analysis of CD3 ϵ , ROR γ t, and FoxP3 distribution within the cLP revealed that WT Teff cells accumulated in larger conglomerates compared to EP4 ^{Δ CD4} Teff cells (Figure 4E). We conclude that EP4 expression in CD4⁺ Teff cells is critical for their proliferative capacity without T cell subset specificity.

Paracrine mPGES-1-deficiency in Non-lymphoid Cells Facilitates Colitis by Inhibiting Generation of CD4⁺FoxP3⁺ Cells

Non-lymphoid cells have the ability to produce large amounts of PGE₂ in a COX-2-dependent manner (8, 13, 34). The net effect of PGE₂ during intestinal inflammation is however hard to discern, as PGE₂ has been reported to display both pro-inflammatory effects, and also result in protection from intestinal damage. We sought to determine whether production of PGE₂ mediated by mPGES-1 in the cLP non-lymphoid cell compartment would alter T cell pathogenicity. For that purpose, we transferred WT Teff CD4⁺ cells into either Rag1^{-/-} or Rag1^{-/-} \times mPGES-1^{-/-} recipients. Recipient mice lacking mPGES-1 developed colitis faster, lost more weight, and displayed more overall cell

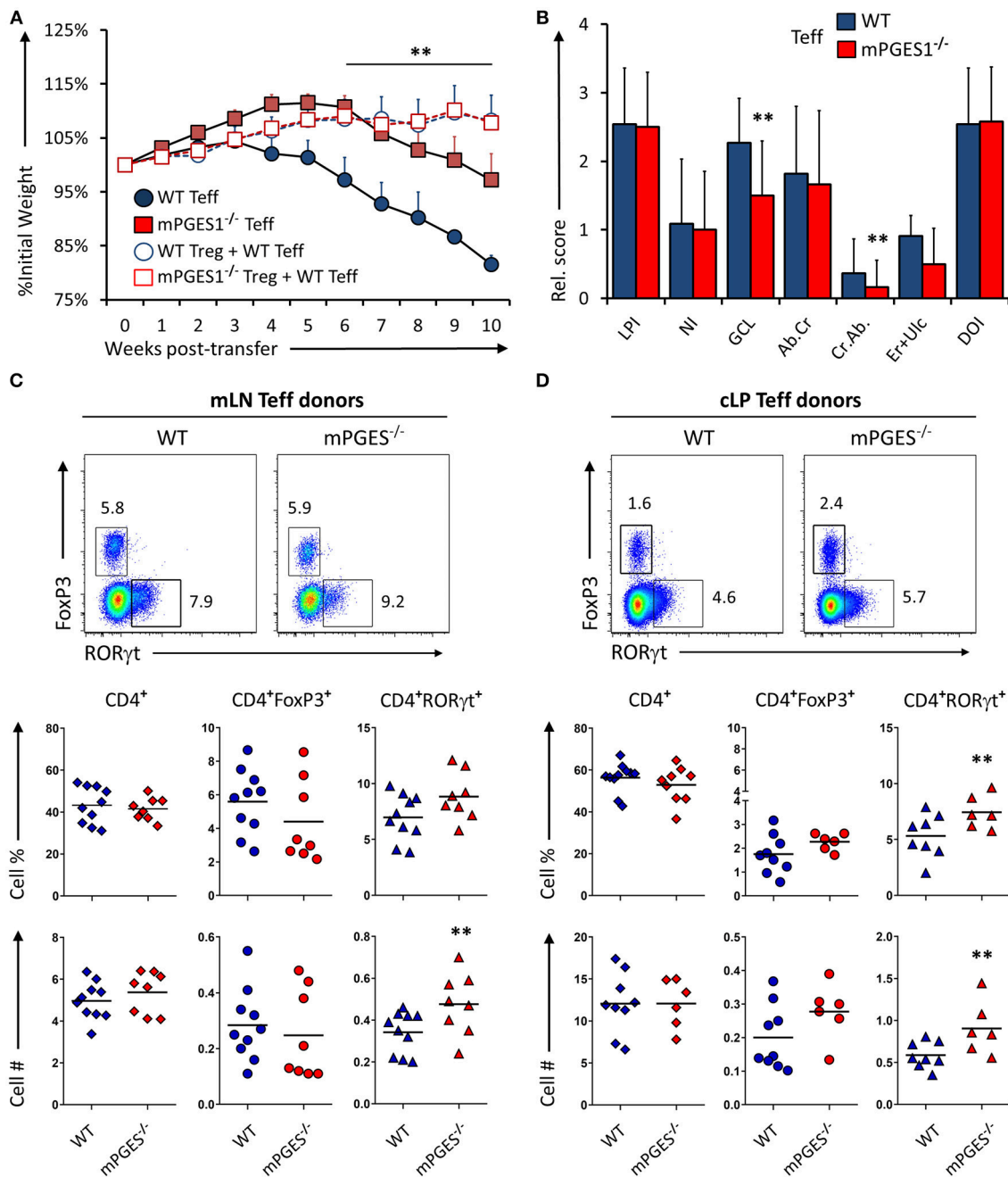


FIGURE 2 | mPGES-1 deficiency in T effector cells protects against colitis. **(A)** Weight loss in Rag1^{-/-} mice that received transfer of 1×10^6 CD4⁺CD25⁻CD45RB^{hi} T cells (Teff) from WT or mPGES-1^{-/-} donors. The dashed lines correspond to mice that received WT Teff and WT or mPGES-1^{-/-} CD4⁺CD25⁺ (Treg) co-transfers. **(B)** Colon pathology scores from cohorts receiving transfers of WT or mPGES-1^{-/-} Teff cells. Flow cytometry analysis of the **(C)** mesenteric lymph nodes (mLN) and **(D)** colon lamina propria (cLP) CD4⁺ populations at the end of the experiment (week 10), with representative dot plots indicating intracellular expression of RORγt and FoxP3 and graphs below indicating summarized results from 4 experiments. ** indicates a significant difference with $P < 0.05$ using a two-tailed heteroscedastic Student's *T*-test between the WT and mPGES-1^{-/-} Teff donor groups.

infiltration and neutrophilic content (**Figures 5A,B**). Analysis of the mLN and cLP of colitic mice revealed that absence of non-lymphoid mPGES-1 impaired the generation of CD4⁺FoxP3⁺ T cells in both tissues (**Figures 5C-E**), but more strikingly in

the mLN where CD4⁺FoxP3⁺ T cell proportions and numbers were markedly decreased. The proportion of CD4⁺RORγt⁺ cells was also significantly reduced in absence of mPGES-1 in the mLN. However, in the cLP, total CD4⁺ and RORγt⁺CD4⁺

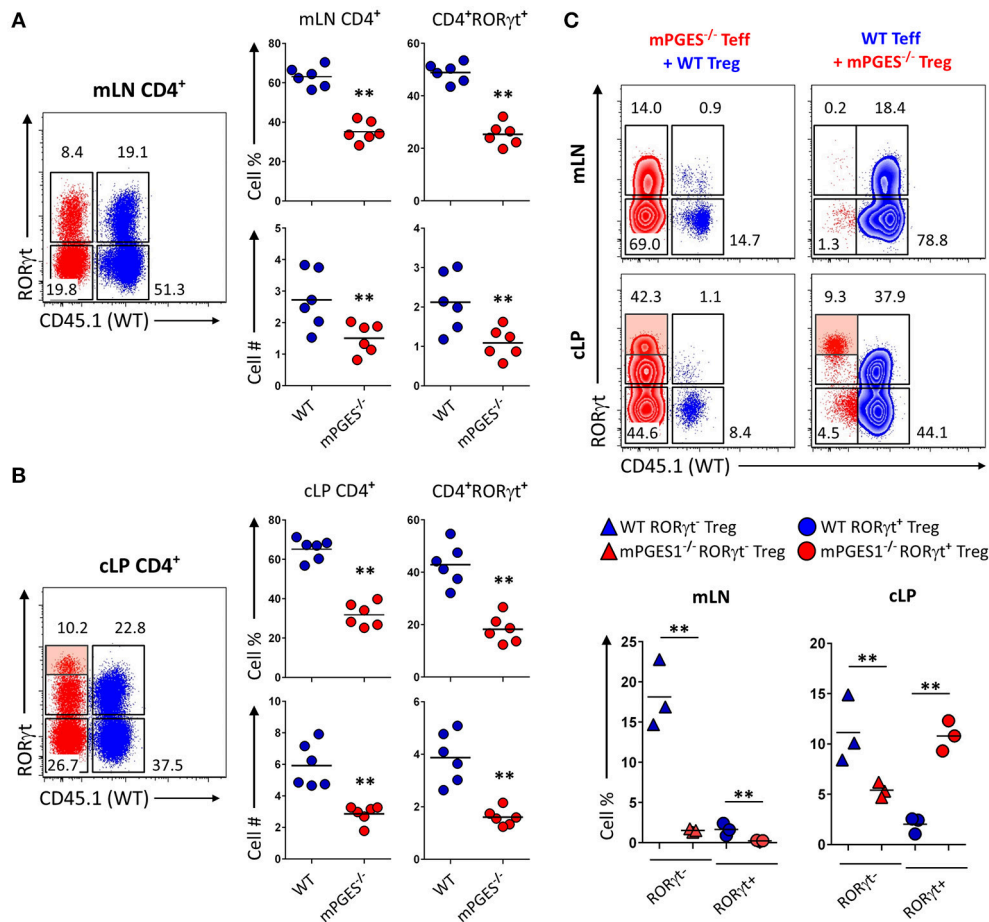


FIGURE 3 | Deficiency in CD4-intrinsic mPGES-1 impairs Teff CD4⁺ cell expansion but enhances Treg localization and RORyt expression in the colonic lamina propria. **(A,B)** Rag1^{-/-} recipient mice received a co-transfer of a 1:1 mix of CD45.1⁺ WT (blue) and CD45.2⁺ mPGES-1^{-/-} (red) Teff cells. Flow cytometric analysis of the **(A)** mLN and **(B)** cLP CD4⁺ populations, with representative dot plots indicating intracellular expression of CD45.1 or CD45.2 congenic marker expression together with RORyt. In the cLP plot **(B)**, the shaded box indicates a unique RORyt^{hi} population of mPGES-1 deficient cells in the cLP. Graphs on the right indicate the proportions and total numbers for each group. **(C)** Co-transfer of either CD45.1⁺ WT Treg with CD45.2⁺ mPGES-1^{-/-} Teff cells or CD45.2⁺ mPGES-1^{-/-} Treg cells with CD45.1⁺ WT Teff into Rag1^{-/-} recipients. Transfers were always performed with a 2:1 Teff:Treg ratio. In the cLP, mPGES-1^{-/-} CD4⁺ T cells are able to acquire higher RORyt expression than WT cells (shaded boxes). These CD4⁺RORyt^{hi} cells arise from both mPGES-1^{-/-} Teff cells and mature Treg cells. Graphs on the bottom show the proportions of WT or mPGES-1^{-/-} Treg cells that are either RORyt⁻ or RORyt⁺ in the mLN or the cLP. ***P* < 0.05 using a one-way ANOVA with Welch's correction.

cells numbers were increased in the mPGES-1-deficient Rag1^{-/-} mice. Additionally, CD4⁺ cells from the cLP of Rag1^{-/-} × mPGES-1^{-/-} recipients were found to form more densely aggregated foci than in Rag1^{-/-} recipients (**Figure 5E**). We conclude that deficiency of mPGES-1 in non-lymphoid tissues enhances colitis by reducing the generation of CD4⁺FoxP3⁺ T cells in the mLN and increasing pathogenic CD4⁺ T cells in the cLP. These data suggest that paracrine mPGES-1-derived PGE₂ may help to limit immune-mediated colitis by facilitating generation of Tregs in mLN and reducing pathogenic T cells in the cLP.

PGE₂ Controls Phosphorylation of STAT3 in Colon CD4⁺ Cells During Colitis

We have previously described that PGE₂ can diminish phosphorylation of STAT3 during Th17 polarization (13). This led us to hypothesize that phosphorylation of STAT3 in

colonic T cells could be altered by local PGE₂. We quantified the numbers of pSTAT3⁺ cells in colon samples of our previous experiments to determine the relative contribution of either PGE₂ signaling through EP4 (EP4^{ΔCD4}, group 2) or host non-lymphoid mPGES-1-mediated PGE₂ (Rag1^{-/-} × mPGES-1^{-/-} recipients, group 3). We focused on these groups as they displayed the biggest differences when compared to a standard colon pathogenic response induced by WT Teff (group 1).

We first validated the quantification of different T cell populations by microscopy and computational analysis in whole colon tissue samples (**Figures 6A,B**). The percentages of CD3ε⁺ cells, as well as the CD3ε⁺FoxP3⁺, and CD3ε⁺RORyt⁺ subsets, were consistent with the previous data observed by flow cytometry analysis of the cLP. Thus, the percentage of CD3ε⁺ cells was lowest when EP4 null cells were used as donor Teff and was highest when recipient mice were deficient in mPGES-1 (**Figure 6A**). Additionally, there were significantly

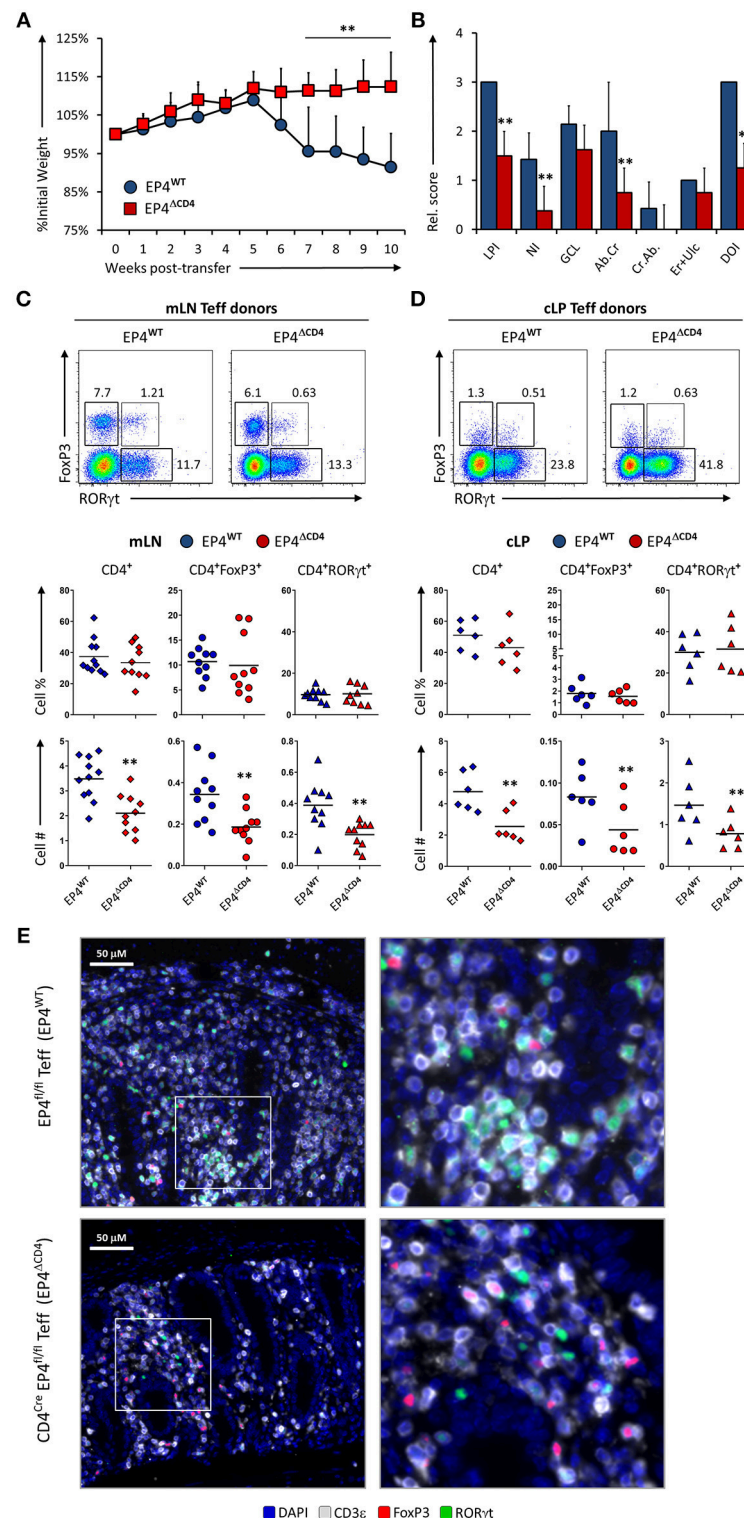


FIGURE 4 | EP4 deficient CD4⁺ T effector cells have severely blunted colitogenic potential due to impaired proliferative capacity. **(A)** Weight loss in Rag1^{-/-} mice that received transfer of Teff cells from EP4^{fl/fl} (EP4^{WT}) or CD4^{Cre} × EP4^{fl/fl} (EP4^{ΔCD4}) donor mice. **(B)** Colon pathology scores from both cohorts. Flow cytometry analysis of the **(C)** mLN and **(D)** cLP CD4⁺ populations at the end of the experiment (week 10), indicating intracellular expression of RORγt and FoxP3 in summarized results from 4 experiments. **(E)** Colon images detailing lamina propria T cell infiltrates in both groups, with magnified inserts on the right-hand side. Blue = DAPI, Gray = CD3ε, Green = RORγt, and Red = FoxP3. ***P* < 0.01 using in a one-way ANOVA with Welch's correction.

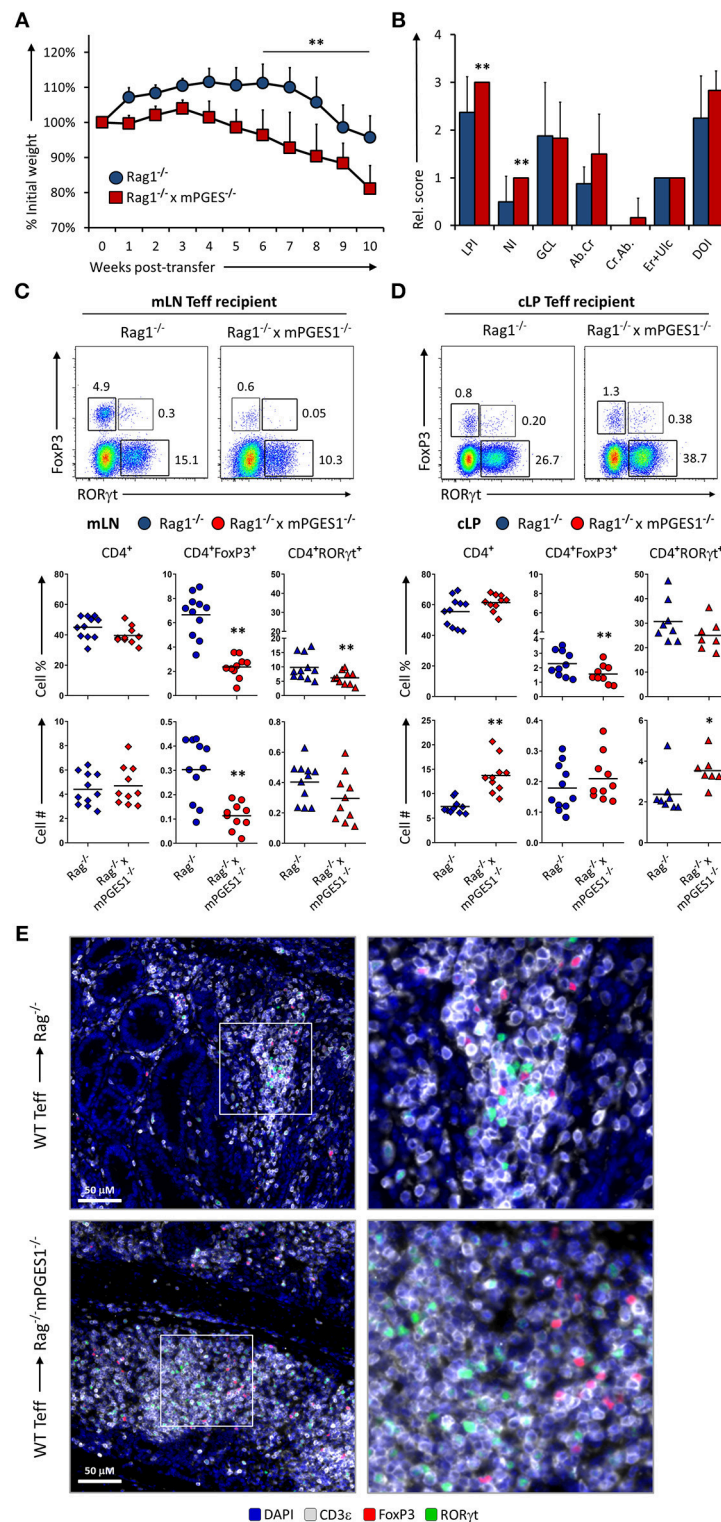


FIGURE 5 | Paracrine mPGES-1 deficiency in non-lymphoid cells facilitates colitis by inhibiting generation of CD4⁺FoxP3⁺ cells. **(A)** Weight loss in $Rag1^{-/-}$ or $Rag1^{-/-} \times mPGES1^{-/-}$ mice that received a transfer of WT Teff donor cells. **(B)** Segregated colon pathology scores from both cohorts. Flow cytometry analysis of **(C)** mLN and **(D)** cLP CD4⁺ populations at the end of the experiment (10 weeks), indicating intracellular expression of RORyt and FoxP3 in summarized results from 3 experiments. **(E)** Fluorescence microscopy analysis of colon sections denoting CD4⁺ cell infiltrates. Blue = DAPI, Gray = CD3e, Green = RORyt, and Red = FoxP3. ** $P < 0.01$ and * $P < 0.05$ using in a one-way ANOVA with Welch's correction.

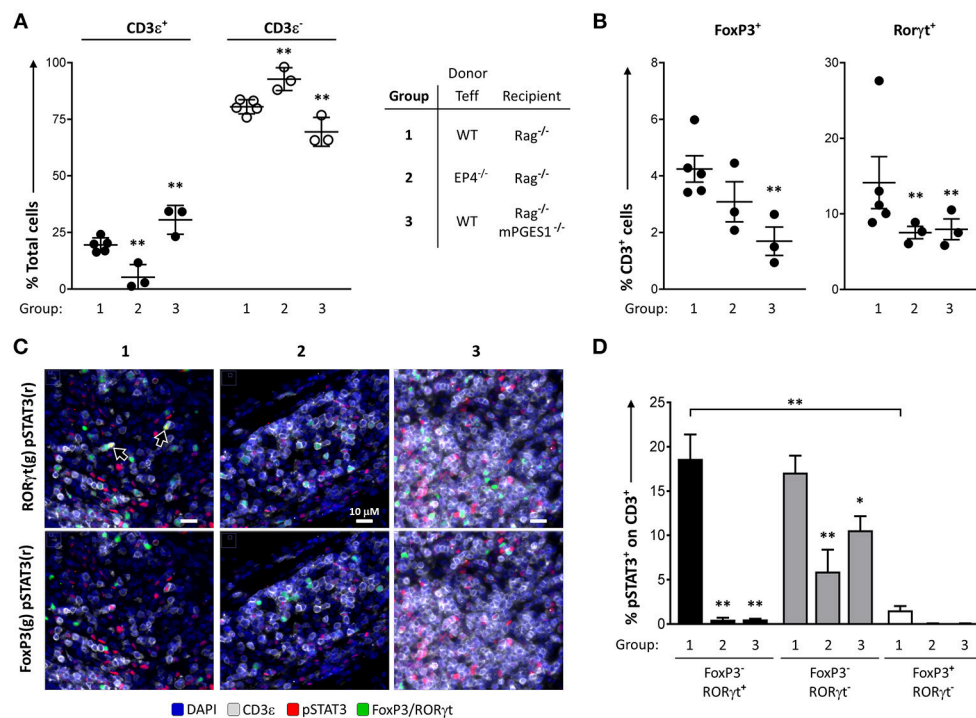


FIGURE 6 | PGE₂ controls phosphorylation of STAT3 in colon-infiltrating T cells. Individual colons from the 3 different groups of colitic mice indicated (1 = WT Teff → Rag1^{-/-}, 2 = EP4^{ΔCD4} Teff → Rag1^{-/-}, 3 = WT Teff → Rag1^{-/-} × mPGES-1^{-/-}) were processed and stained for fluorescence microscopy evaluation DAPI, CD3ε, RORγt, FoxP3, and pSTAT3. **(A)** Quantification of total CD3ε⁺ and CD3ε⁻ cells in 15 regions/colon containing a total of 15–30K cells. **(B)** Quantification of total CD3ε⁺FoxP3⁺ and CD3ε⁺RORγt⁺ cells in the same groups. **(C)** Representative colon sections depicting co-localization of RORγt (green), pSTAT3 (red) and CD3 (gray) in the upper row, or of FoxP3 (green), pSTAT3 (red) with CD3 (gray) in the lower row in the same 3 groups. **(D)** Summary of the quantification of pSTAT3⁺ cells within the indicated CD3ε⁺ subsets (single RORγt⁺, RORγt⁻FoxP3⁻ or single FoxP3⁺). **P* < 0.05 and ***P* < 0.01 using a one-way ANOVA with Welch's correction.

lower CD3ε⁺FoxP3⁺ cell percentages in mPGES-1-deficient recipient mice compared to WT recipient mice, and lower CD3ε⁺RORγt⁺ percentages with EP4 deficiency transferred Teff (**Figure 6B**). Of note, the percentages of CD3ε⁺RORγt⁺ in the colon were not fully consistent when we compare the flow cytometry data (**Figures 4C, 5C**) with the results obtained with microscopy fluorescent quantification (**Figure 6B**). We explain this discrepancy due to a combination of differences in sample size (number of cells and coverage/colon), sample preparation-antigen reactivity, and technique (microscopy vs. flow cytometry). Co-expression of pSTAT3 was observed with RORγt (**Figure 6C**, arrowheads), but it was almost fully absent in FoxP3⁺ cells. Additionally, pSTAT3 expression was harder to detect in absence of EP4 on transferred Teff cells (group 2) or in absence of mPGES-1 in the recipient colon (group 3). We then quantified pSTAT3 positivity within each of the different T cell subsets that were previously evaluated in all the T cells present in 10 combined sections per colon (**Figure 6D**). WT (group 1) CD4⁺RORγt⁺ and RORγt⁻ showed similar proportions of pSTAT3⁺ cells, while CD4⁺FoxP3⁺ cells showed a very reduced percentage comparatively (**Figure 6D**). Interestingly, both lack of T cell intrinsic EP4 deficiency and mPGES-1-derived PGE₂ in the recipient colon niche abrogated almost all pSTAT3 in CD4⁺RORγt⁺ and CD4⁺FoxP3⁺ cells (black and

white bars, **Figure 6D**). However, CD4⁺RORγt⁻FoxP3⁻ cells exhibited different sensitivities to PGE₂: Inability to detect PGE₂ with EP4 decreased phosphorylation of STAT3 by more than 50% (group 2), while absence of paracrine PGE₂ more modestly reduced pSTAT3 percentages (group 3) (gray bars, **Figure 6D**). We conclude that upregulation of pSTAT3 in colonic T cells is strongly influenced by PGE₂, and abrogating either EP4 sensing or paracrine PGE₂ production inhibits STAT3 phosphorylation.

DISCUSSION

This study is the first to demonstrate the divergent effects of T cell-derived PGE₂ compared with non-lymphocyte-derived PGE₂ on T cell phenotypes during colitis. Using a T cell dependent model of colitis, we show that deficient production or sensing of PGE₂ reduces the colitogenic potential of transferred CD4⁺ T cells. Deficient production of PGE₂ due to deletion of mPGES-1 in transferred T cells reduces colitis in association with developing CD4⁺ cells expressing high levels of RORγt. Deficient sensing by deletion of the EP4 receptor almost completely abolishes clinical colitis likely due to reduced T cell proliferation in the colonic lamina propria. In contrast, transfer of WT T effector cells into recipient mice deficient in mPGES-1 results in

a marked increase in colitogenesis. mPGES-1 deficiency in non-lymphoid cells impairs the development of FoxP3⁺ cells in mLN and increases infiltration of total CD4⁺ cells in the cLP. This finding suggests that PGE₂ is required for proper development of Th17 and Treg cells that restrain colitis.

PGE₂ has been classically regarded as a driver and perpetuator of inflammation (2, 16, 35), but it is also clear that it has potent protective roles (36). In the intestines, the COX1, COX2, and mPGES-1 enzymes control PGE₂ levels, but they differ in their tissue expression pattern. Indeed, indiscriminate inhibition of COX enzymatic activity with piroxicam accelerates colitis in both IL10^{-/-} mice (37) and during transfer of CD4⁺ cells from IL10^{-/-} mice into Rag1^{-/-} mice (38). However, the role of mPGES-1 during intestinal inflammation has not been as deeply studied. mPGES-1-deficient animals are partially protected from dextran sulfate sodium (DSS)-induced colitis (35), and PGE₂ treatment alleviates mucosal injury and induces EP4 expression during DSS-induced colitis in WT mice (39). These data suggest a protective role of mPGES-1-derived PGE₂ during intestinal injuries, but the mechanisms describing how mPGES-1 competence on tissue damaging cells like lymphocytes affects colitis is unknown. In the current study we show that in absence of inflammatory stimuli, nearly 50% of production of PGE₂ in colon explants relies on mPGES-1 competence (**Figure 1A**). Lymphocytes present in the colon contribute less dramatically to this basal PGE₂ pool, but colons void of lymphocytes that also lack mPGES-1 (Rag1^{-/-} × mPGES-1^{-/-}) show further reduced PGE₂ concentrations, indicating some synergy of environmental mPGES-1 expression with lymphocytes. A significant proportion of this basal PGE₂ can be inhibited by specifically inhibiting COX-2 when either mPGES-1 and/or lymphocytes are present, but not when colons lack both components.

Dysregulation of T cell activity during colitis leads to harmful responses incapacitating Tregs at the same time that pathogenic Th1 and Th17 responses are heightened (40, 41). The pathogenic potential of Th1 and Th17 cells actually depends on the colitis model studied (42, 43), and it has recently been shown that IFNγ production is necessary in Th17 cells to cause colitis (24). Indeed, although Th17 cells are generally associated with a pro-inflammatory response in autoimmunity, IL-17A can have very different effects during colitis (44, 45). The potential protective function of Th17-driven IL-17A in IBD is also exemplified by the recent failure of mAb therapies that target IL-17 such as secukinumab (46). In this study, we show that T cell intrinsic mPGES-1 deficiency confers partial protection from colitis development, and this is associated with increased RORγt-expressing cells in the mLN and cLP (**Figures 2C–E**). Additionally, mPGES-1 deficiency also impairs the capacity of CD4⁺ Teff cells to more efficiently expand compared to WT CD4⁺ Teff cells (**Figures 3A,B**). This aspect of T cell biology contrasts with the lack of T cell-intrinsic mPGES-1 effect that we recently found during a proinflammatory T cell responses in a mouse model of collagen-induced arthritis (CIA) (13). These differences are likely due to several factors related to the characteristics of each model. Most of the T cell expansion in a Rag-deficient mouse are due to homeostatic expansion that is not controlled by Tregs, while the CIA model involves a more

moderate and antigen-specific and adjuvant-boosted expansion. Furthermore, the T cell responses we observed in the CIA model happened early on, while T cell homeostatic expansion in the Rag-deficient model takes weeks to develop, and additionally, the specific anatomical locations where expansion occurs are very different (draining lymph nodes vs. lamina propria). Interestingly, we found that the frequencies of CD4⁺FoxP3⁺ cells were consistently reduced in the cLP compared to the mLN when only Teff cells were transferred, while CD4⁺RORγt⁺ cells were generally increased, as it would be expected by a preferential microbiota-driven migration and expansion of Th17 cells in the intestines. It is hence important to reflect on the fact that T cell expansion can strongly vary due to the distinct inflammatory conditions and microenvironment-imposed constraints, and thus the impact of local concentrations of PGE₂ mediated by mPGES-1 or other enzymes contributing to the PGE₂ pool can also render different results.

Our results demonstrate the paradoxical finding that mPGES-1^{-/-} Teff cells are partially protective compared to WT Teff, associated with an increase in CD4⁺RORγt⁺ cells (**Figure 2**), while EP4^{-/-} Teff cells also exert a protective effect, but in this case with a decrease in CD4⁺RORγt⁺ cells (**Figure 4**). Whether the phenotype or the expansion of T cells are more affected by PGE₂ and how this is related to colitis is a complex question. PGE₂ sensing through EP4 controls *in vivo* expansion of the total numbers of T cells. This is a straightforward result that we interpret as related to T cell numbers regardless of their phenotype. mPGES-1-mediated PGE₂ production has differing effects depending on whether the source is autocrine, examined here by transfer of mPGES-1-deficient Teff cells (**Figures 2, 3**), or paracrine, examined here using Rag1 and mPGES-1 double deficient recipients of WT Teff cells (**Figures 5, 6**). Autocrine T cell intrinsic PGE₂ production appears to control the balance of T cell phenotypes while paracrine PGE₂ appears to be protective of colitis and may facilitate development of Treg cells. Therefore, depending on the specific inflammatory response and location, PGE₂ can induce T cell expansion through EP4 but also control intestinal damage by altering Th17 and Treg phenotypes. The effect of PGE₂ on T cell phenotype is likely to be complex and dependent on the overall inflammatory milieu. Our results also point to the plastic nature of Th17 and Treg responses, and how these classically defined phenotypes should be constantly re-evaluated based on context.

mPGES1^{-/-} CD4⁺ Teff and Treg cells can acquire higher levels of RORγt expression exclusively in the cLP (**Figures 3B,C**), a phenotype that was especially apparent when mPGES-1-deficient Tregs were transferred (**Figure 3C**). It has previously been shown that a proportion of intestinal FoxP3⁺ Treg cells co-express RORγt, and their presence is dependent on intestinal microbiota (33, 47). The suppressive capacities of CD4⁺FoxP3⁺RORγt⁺ cells have been reported to be superior to their FoxP3⁺RORγt⁻ counterparts (32), and generally geared toward controlling intestinal Th2 and Th17 responses (48, 49). It is tempting to speculate that the RORγt^{hi} cells we observed might be responsible for the reduction in clinical colitis when mPGES-1-deficient cells were transferred. STAT3 is necessary for the Th17 lineage to develop (48), and is also a master regulator of Treg

and Th17 lineage commitment (50). STAT3 phosphorylation mediates resistance of human T cells to regulatory T cell suppression (51). We have previously reported that exogenous addition of PGE₂ can downregulate pSTAT3 of CD4⁺ cells during Th17 polarization *in vitro* (13). We now show that during the late phases of induced colitis, phosphorylation of STAT3 is present on nearly 20% of all cLP CD3⁺FoxP3[−]RORγt⁺ and CD3⁺FoxP3[−]RORγt[−] cells (**Figures 6C,D**), while it is barely expressed in CD3⁺FoxP3⁺RORγt[−] regulatory T cells. Moreover, ablation of mPGES-1 in recipient mice or EP4 expression in Teff cells dramatically reduced pSTAT3 signal specifically in CD3⁺FoxP3[−]RORγt⁺ cells, while this reduction was significant but more modest in the CD3⁺FoxP3[−]RORγt[−] compartment. These data imply that the context of exposure to different PGE₂ levels and signals is critically important for phosphorylation of STAT3 in different T cell populations.

We have also identified that deficiency of paracrine mPGES1-driven PGE₂ exacerbates T cell-driven colitis by selectively decreasing CD4⁺FoxP3⁺ cells in the mLN (**Figure 5C**), with a concomitant increase of total number of CD4⁺ infiltration in the cLP (**Figure 5D**). Classical *ex vivo* suppression assays with WT or mPGES1-deficient Treg showed no difference in suppression capacity (**Figure S1**) suggesting that effects of PGE₂ are related to changes in differentiation to Treg or migration to the colon. Additionally, no differences in disease progression were found when comparing WT and mPGES1-deficient Treg-intrinsic suppressive capacity *in vivo* (**Figure 2A**, discontinuous lines). The specific effects that we observed in mLN or cLP due to mPGES1-derived PGE₂ in recipient mice could be explained by differences in gut tropism, which we are currently investigating. In this regard, PGE₂ has been shown to inhibit the production of retinoic acid by intestinal CD103⁺ DCs and their capacity to express CCR9 upon T cell priming (52).

Signaling through EP2 can induce proliferation and cytokine secretion in Th17 cells (10), but human Th17 cells show specific downregulation of EP2 expression through RORC-dependent silencing (6). In the context of IBD, certain polymorphisms have been found in patients with Crohn's Disease that lead to increased expression of EP4 (53). From all EPs, only EP4 is critical to prevent mucosal damage in murine colitis induced with DSS (5). EP4 signaling is also critical for *in vitro* generation of Th1 cells and for expansion of Th17 cells upon IL-23 exposure (11), but surprisingly EP4 is downregulated during murine Th17 polarization in absence of IL-23 (13). Hemizigosity in EP4 during T cell induced colitis in the adoptive T cell transfer colitis model is partially protective, and also affects IFNγ and IL2 production by MLN CD4⁺ cells (12). In our study we show that EP4 controls expansion of all phenotypes derived from Teff transfers during colitis (**Figure 4**), and therefore EP4-deficiency confers protection.

Th17 cell generation relies on sensing IL-23 signals generated during intestinal inflammation (54), and IL-23 also restrains Treg cells (55). PGE₂ can stimulate IL-23 production by DCs, myeloid cells, and other cells present in the intestines (2, 10, 36). It is hence possible that PGE₂ potentiates IL-23-mediated intestinal inflammation through enhancing EP4-dependent pathogenic

CD4⁺RORγt⁺ responses, while in other instances and locations (like the mLN) it can induce CD4⁺FoxP3⁺ cells that protect from colitis development. However, Treg and Th17 cell lineage commitment can display significant plasticity, a feature especially patent in mucosal sites (24, 56, 57). In this context, PGE₂ could act inducing shifts in T cell lineage commitment, either directly on T cells or by altering the cytokine milieu generated by surrounding APCs: PGE₂ could hence act in mature Tregs by contributing to acquire FoxP3 expression in first place, but also to gain RORγt expression on FoxP3⁺ cells. PGE₂ can also inhibit IL-17A and induce IFNγ production *in vitro* during Th17 polarization (13), so a different effect of PGE₂ could be its impact on promoting IFNγ in effector T cells.

In summary, we provide evidence that supports both pro- and anti-inflammatory effects of PGE₂ on T cells and colitis. We show that PGE₂ can exert opposite effects on T cell colitogenicity depending on the source of such PGE₂ and how it is sensed. Our results imply that extreme caution should be considered when using drugs that modulate PGE₂ production in a non-cell specific manner, as they can have disparate net effects. Our research also suggests that cell specificity and spatio-temporal considerations of PGE₂ production within the colon can be exploited to promote regulatory vs. pathogenic T cell function.

AUTHOR CONTRIBUTIONS

DM, KL, and LC were responsible for experimental design, data interpretation, and manuscript preparation. DM, AB, and EJ performed the experiments. DM, AB, HK, KL, and LC contributed to data analysis, MW performed the patho-histological scoring. DM and AB performed the preparation, labeling, and imaging of colon tissues. AB and HK performed the colon fluorescent signal quantifications.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fimmu.2018.02954/full#supplementary-material>

Figure S1 | *In vitro* T reg suppression assays with WT and mPGES-1-deficient Tregs Conventional CD4⁺ cells (Tconv, CD4⁺CD25[−]) were cocultured with either WT or mPGES1-deficient Tregs (Treg, CD4⁺CD25⁺) isolated and pooled from 3 different mice in the presence of plate bound anti-CD3ε (0.5 mg/ml) and soluble anti-CD28 (0.5 mg/ml) for 4 days in different Tconv:Treg ratios to address the Treg suppressive capacities. **(A)** Representative histogram depicting a CFSE dilution assay to evaluate Tconv proliferation in presence of either WT or mPGES-1-deficient Treg cells (1:2 Tconv:Treg ratio) and Tconv alone. **(B)** Summary of proliferating percentages at different Tconv:Treg ratios. Shown is one of two representative experiments.

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The Role of Dietary Nutrients in Inflammatory Bowel Disease

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Inflammatory bowel disease (IBD) is a chronic and relapsing inflammatory disease of the gastrointestinal tract. Although the precise etiology of IBD remains incompletely understood, accumulating evidence suggests that various environmental factors, including dietary nutrients, contribute to its pathogenesis. Dietary nutrients are known to have an impact on host physiology and diseases. The interactions between dietary nutrients and intestinal immunity are complex. Dietary nutrients directly regulate the immuno-modulatory function of gut-resident immune cells. Likewise, dietary nutrients shape the composition of the gut microbiota. Therefore, a well-balanced diet is crucial for good health. In contrast, the relationships among dietary nutrients, host immunity and/or the gut microbiota may be perturbed in the context of IBD. Genetic predispositions and gut dysbiosis may affect the utilization of dietary nutrients. Moreover, the metabolism of nutrients in host cells and the gut microbiota may be altered by intestinal inflammation, thereby increasing or decreasing the demand for certain nutrients necessary for the maintenance of immune and microbial homeostasis. Herein, we review the current knowledge of the role dietary nutrients play in the development and the treatment of IBD, focusing on the interplay among dietary nutrients, the gut microbiota and host immune cells. We also discuss alterations in the nutritional metabolism of the gut microbiota and host cells in IBD that can influence the outcome of nutritional intervention. A better understanding of the diet-host-microbiota interactions may lead to new therapeutic approaches for the treatment of IBD.

Keywords: inflammatory bowel disease (IBD), diet, microbiota, immunity, intestinal barrier

INTRODUCTION

Inflammatory bowel disease (IBD) is a chronic and relapsing inflammatory condition of the gastrointestinal tract. Crohn's disease (CD) and ulcerative colitis (UC) are the two principal types of IBD. The prevalence of IBD has been increasing worldwide with the highest incidence found in Western countries (1). Although the precise etiology of IBD remains unclear, interactions between genetic and environmental factors are associated with its pathogenesis (2, 3). Advances in next-generation gene sequencing technology have led to the identification of over 160 genetic polymorphisms associated with the risk for IBD (4). Since the number of IBD patients in developing countries has rapidly increased in the past several decades, in concert with industrialization and westernization of lifestyle, it is unlikely that susceptibility genes are the primary driver of rising rates of IBD (5). Therefore, it is likely that environmental exposure is the most significant risk factor in IBD.

Among environmental factors, accumulating evidence suggests that dietary nutrients contribute to the pathogenesis of IBD (6, 7). Specifically, diets rich in fat and protein, common in the western world and countries with similar lifestyles, have been identified as risk factors for the development of IBD. Hence, nutritional intervention, which aims to reduce the intake of potential nutritional hazards, is a treatment option in IBD that induces and extends disease remission (8). Moreover, some dietary nutrients can potentiate the host immune system and intestinal barrier function, which in turn protect the host from disease. Thus, providing beneficial nutrients, while limiting nutritional hazards, is a key strategy for successful dietary therapies designed for the treatment of IBD. In addition to affecting host immunity and intestinal barrier function, dietary nutrients have an impact on the composition and function of the gut microbiota. The altered gut microbiota can, in turn, influence host physiology and disease. Furthermore, the metabolism of host immune and non-immune cells, as well as that of the gut microbiota, are known to change during inflammation. Hence, the demand for certain nutrients by the host and/or the microbiota may be changed in IBD (9–11). A more thorough understating of the complex interplay among dietary nutrients, host immunity, and the gut microbiota is required to increase the effectiveness of dietary interventions used to treat IBD. Herein, we review the current knowledge of the role of dietary nutrients in the development and the treatment of IBD.

DIETARY AMINO ACIDS AND IBD

Dietary amino acids act as key regulatory factors in cellular and microbial metabolic pathways. They also play important roles in gut homeostasis. Intestinal inflammation affects several metabolic pathways and disturbances in amino acid metabolism are observed in IBD patients. Amino acid metabolic profiles in the blood, urine, feces, and intestinal tissues are also altered in IBD patients and correlate with the severity of disease (Table 1). Additionally, metagenomic studies have revealed that amino acid biosynthesis genes are downregulated and amino acid transporter genes are upregulated in the gut microbiome of IBD patients, indicating that the gut microbiota lessens the production of amino acids and increases the rate of their utilization (11, 25). In addition to bacteria, host immune cells also utilize amino acids differently during inflammation. For instance, certain amino acids are critical for T cell effector function as well as the proliferation of macrophages (26, 27). Thus, the demand for certain amino acids by host cells and the gut microbiota may increase as a result of inflammation.

Tryptophan

Tryptophan is an essential amino acid and a common constituent of protein-based foods, such as fish, meat, and cheese. It is utilized in the synthesis of nicotinamide derivatives, indole derivatives and serotonin (28). Tryptophan metabolites, such as kynurenine, indole-3-aldehyde, and indole-3-acetic acid, can act as ligands for the aryl hydrocarbon receptor (AhR), a critical regulator of immunity and inflammation involved in adaptive immunity

and intestinal barrier function (Figure 1) (29, 30). In a murine model of colitis, mice fed a tryptophan-deficient diet showed exacerbation of colitis accompanied by increased weight loss and reduced levels of antimicrobial peptides (31). Conversely, dietary supplementation with tryptophan and tryptophan metabolites ameliorated intestinal inflammation in experimental colitis (31–35).

Indoleamine 2,3 dioxygenase-1 (IDO1) is ubiquitously expressed in epithelial cells, dendritic cells and macrophages. IDO1 is the first step in the kynurenine pathway, a major route for tryptophan catabolism (28). IDO1 regulates the differentiation and maturation of adaptive immune cells (36). Kynurenine is an initial metabolite of IDO1-mediated tryptophan catabolism and the kynurenine/tryptophan ratio is a surrogate marker of IDO1 activity. A recent clinical study has shown that serum tryptophan levels are lower and the kynurenine/tryptophan ratio is elevated in IBD patients compared to healthy controls (37). Additionally, IDO1 mRNA expression in colonic tissues is significantly higher in IBD and correlates with disease severity, suggesting the kynurenine pathway is upregulated in IBD. The expression of IDO1 is also higher in murine models of experimental colitis and has been shown to regulate inflammatory response (38). Since kynurenine is known to have anti-inflammatory properties, elevated levels of IDO1 in IBD may be a counter reaction to inflammation. Indeed, in the 2,4,6-trinitrobenzene sulfonic acid (TNBS)-induced colitis model, disease severity is exacerbated in IDO1 deficient mice or mice receiving an IDO inhibitor (38, 39). IDO1 also attenuates intestinal inflammation in other models of colitis, including graft vs. host disease (40) and the *Citrobacter rodentium* infection model (41). Kynurenine promotes the differentiation of regulatory T cell (Treg) and induces IL-10 production by Treg cells through AhR activation (30, 42). Likewise, kynurenine-mediated AhR activation increases expression of the IL-10 receptor in intestinal epithelial cells (35). IL-10 signaling regulates mucosal wound repair through WNT1-inducible signaling pathway protein 1, suggesting that kynurenine plays a role in mucosal wound repair (43). Additionally, kynurenine supplementation ameliorates body weight loss, intestinal permeability and histology in the model of dextran sodium sulfate (DSS)-induced colitis (35).

Peripheral immune activation can affect the systemic metabolism of tryptophan and emotional behavior. Activation of T cells in *Pdcd1*^{-/-} mice, which lack the inhibitory programmed cell death protein 1 (PD-1), has been shown to affect the blood metabolic profile (44). Specifically, it results in an increase of amino acid uptake and intracellular accumulation of free amino acids, resulting in the reduction of amino acid levels (especially tryptophan) in the blood. Tryptophan is essential for the synthesis of the neurotransmitter serotonin, which regulates many aspects of animal behavior, including anxiety, aggression and fear (45). Brain serotonin levels are lower in *Pdcd1*^{-/-} mice. Accordingly, these animals are prone to anxiety and exhibit enhanced fear responses. Collectively, this evidence suggests that activation of T cells causes a systemic metabolic shift, resulting in abnormal emotional behavior.

TABLE 1 | Comparison of amino acid levels between healthy subjects and IBD patients.

Sample	Patients	Increase	Decrease	References
Serum	HC: 60, UC: 60		UC: Asn, Asp, Gln, His, Trp, Val, Ile, Thr, Pro, Ser, Met, Glu, Tyr, Lys,	(10)
Serum	HC: 20, UC: 20, CD: 20		CD: Leu, Lys, Val, Arg, Ser, Gln	(12)
Serum	HC: 40, UC: 20, CD: 20	UC: Lys, Ile CD: Pro, Arg, Gly, Ile	UC: Tyr, Val, Ser CD: Val	(13)
Serum	HC: 17, UC: 24, CD: 19	IBDa: Leu, Ile, Gly, Phe,	IBDa: His	(14)
Serum	HC: 17, UC: 22, CD: 21	UC: Asp, Gly CD: Ala, Asp, Gly, Met, Pro	UC: Asn, Gln, Glu, His, Trp CD: Gln, His, Trp	(15)
Serum	HC: 29, UC: 25, CD: 26	UC: Arg, Ile, Ser, Pro CD: Arg, Ile, Ser, Pro	UC: Trp CD: Trp	(9)
Plasma	HC: 102, UC: 102, CD: 102	UC: Pro	UC: Val, Leu, Met, His, Trp, Phe, Asn, Tyr CD: Val, Leu, Ile, Thr, Lys, Met, His, Phe, Asn, Gln, Gly, Tyr	(16)
Urine	HC: 21, IBD: 21	IBD: Gly, Asp, Cys, Glu, Ile, Met, Pro, Val		(17)
Urine	HC: 60, UC: 30, CD: 30		IBD: His, Lys, Asp	(18)
Urine	HC: 40, UC: 20, CD: 20	UC: Trp, Thr, Arg CD: Thr	UC: Ser, Phe	(13)
Urine	HC: 17, UC: 24, CD: 19	IBDa: Gly	IBDa: Ala	(14)
Feces	HC: 29, UC: 25, CD: 26	UC: Asp, Gly, Trp, Ser, Thr, Asn, His, Phe, Ala CD: Gly, Trp, Ser, Phe, Ala	CD: Asp, Thr, Asn, His	(9)
Feces	UC: 15, CD: 15, HV: 15	UC: Ala, Gly, His, Ile, Leu, Lys, Phe, Pro, Ser, Thr, Trp, Tyr, Val CD: Ala, His, Leu, Phe, Trp, Tyr, Val		(19)
Feces	HC: 13, UC: 10, CD: 10	UC: Gln, Lys CD: Ala, Ile, Leu, Lys, Val		(20)
Feces	HC: 21, UC: 41, CD: 44	UCa: Ile, Leu, Val, Lys, Ala CDa: Ile, Leu, Val, Lys, Ala, Tyr, Phe, Gly		(21)
Feces	HC: 51, UC: 82, CD: 50	UC: Gly, Phe CD: Ala, Phe	UC: Glu	(22)
Colonic biopsies	HC: 17, UC: 22, CD: 21		Inflamed: Ala, Asp, Glu, Gln, Gly, Ile, Leu, Lys, Met, Phe, Pro, Ser, Thr, Tyr, Val	(15)
Colonic biopsies	HC: 26, UC: 31, CD: 26	UCa: Arg/Leu/Lys	UCa: Ile/Leu/Val, Ala, Glu/Gln CDa: Ile/Leu/Val, Ala, Glu/Gln	(23)
Colonic biopsies	HC: 25, UC: 68	UCa: Glu, Gln, Asp		(24)

HC, healthy control; IBD, inflammatory bowel disease; UC, ulcerative colitis; CD, Crohn's disease; UCa, active ulcerative colitis; CDa, active Crohn's disease.

Importantly, dietary supplementation of tryptophan ameliorates these behavioral abnormalities. Anxiety and depression are more common in patients with IBD and symptoms stemming from these conditions tend to be more severe during periods of active disease (46). Thus, tryptophan is an important nutrient that plays a role in the regulation of inflammation and maintenance of mental health.

In addition to tryptophan metabolism in the host, tryptophan metabolites generated by the gut microbiota also contribute to the regulation of mucosal homeostasis (47). A genome-wide association study has found that caspase recruitment domain-containing protein 9 (CARD9), an adaptor protein involved in apoptosis and antifungal immunity, is a susceptibility gene

for IBD (4). CARD9 deficient mice produce reduced amounts of IL-22, a cytokine with an important role in maintaining mucosal immunity and integrity (48), and are more susceptible to colitis (49). Interestingly, the gut microbiota of CARD9 deficient mice lack certain bacteria, such as *Lactobacillus reuteri* and *Allobaculum*, that are capable of catabolizing tryptophan into indole derivatives (49). Hence, dysbiotic microbiotas in CARD9 deficient mice do not generate indole derivatives, which promote mucosal IL-22 production through AhR activation (49). Consistently, reduced levels of AhR ligands are also typically observed in the microbiotas found in IBD patients, particularly in those with the CARD9 risk alleles associated with IBD (49). Thus, gut dysbiosis in the context of IBD may lead to compromised

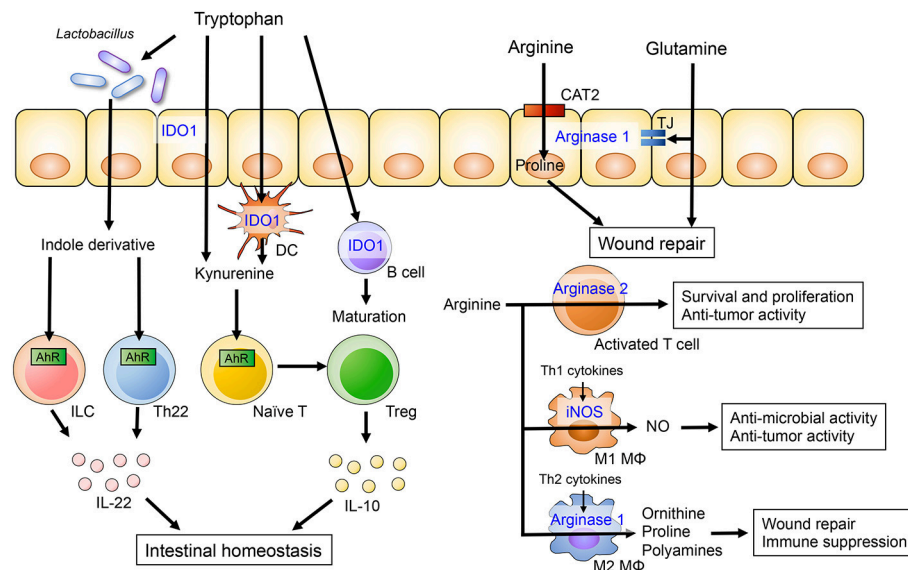


FIGURE 1 | The role of dietary amino acids in intestinal homeostasis. Dietary tryptophan is metabolized to kynurenine or indole derivatives by host cells or the gut microbiota, respectively. Kynurenine promotes the differentiation of Treg and induces IL-10 production by Treg cells through AhR. *Lactobacillus* species are capable of catabolizing tryptophan into indole derivatives that are ligands for the AhR. Activation of AhR in gut-resident T cells and ILC enhances production of IL-22, which in turn potentiates mucosal barrier integrity. Arginine and glutamine metabolism in intestinal epithelial cells is associated with epithelial barrier and intestinal wound repair. Arginine also plays an important role of the immune system. Arginine is catabolized by iNOS in M1 macrophages and by arginase II in M2 macrophages. The arginine metabolisms regulate anti-microbial and -tumor activity and immune suppression in M1 and M2 macrophages, respectively.

tryptophan catabolism, which in turn influences IL-22-mediated mucosal protection.

Arginine

Arginine is a semi-essential amino acid and a substrate for 4 enzymes including arginases, nitric oxide synthases (NOS), arginine-glycine amidinotransferase and arginine decarboxylase (50). Arginases have two isoforms, arginase I and arginase II, both of which metabolize L-arginine to NO and citrulline. Arginase I is highly expressed in the liver, whereas arginase II is expressed in the brain, kidneys, mammary glands and intestine. Nitric oxide synthases (NOS) catalyzes the synthesis of NO from arginine. There are three NOS isoenzymes: neuronal nitric oxide synthase (nNOS), inducible nitric oxide synthase (iNOS), and endothelial nitric oxide synthase (eNOS). Arginine uptake into cells is mainly mediated by the cationic amino acid transporter (CAT) family of proteins encoded by the solute carrier (SLC).

Alterations in arginine metabolism have been reported in animal models of colitis as well as IBD patients, and arginine supplementation has been shown to ameliorate experimental colitis (51–53). A prospective cohort study has demonstrated that colonic arginine levels are decreased in UC patients and they correlate with disease severity (51). Altered levels of arginine in the colonic tissue are associated with increased mRNA expression of the arginine metabolic enzymes arginase II and iNOS. Although previous animal and clinical studies have revealed contradictory findings regarding arginine transporter expression during inflammation, arginine transport is critical for the maintenance of gut homeostasis (51–53). Wounding of

intestinal epithelial cells has been shown to alter the expression of genes related to arginine transport and metabolism *in vitro* (52). CAT2, unlike CAT1, mediates intestinal wound repair and cell migration (54). Therefore, a CAT2 deficiency renders mice susceptible to DSS-induced colitis (53). Arginine catabolism also promotes wound repair. L-arginine metabolites, including proline and ornithine, are associated with restitution of intestinal epithelial integrity. Hence, supplementation of arginine during the recovery phase of DSS-induced colitis alleviates intestinal inflammation and promotes the migration of colonic epithelial cells (52). Thus, arginine metabolism plays a crucial role in the resolution of inflammation and, therefore, arginine supplementation could be used to promote mucosal healing in IBD.

Arginine has also been suggested to be crucial for immune cell activation and function. Arginase II is up-regulated in activated T cell, resulting in enhanced CD4⁺ and CD8⁺ T cell survival and anti-tumor activity (55). Depletion of extracellular arginine has been found to impair aerobic glycolysis, T cell proliferation and cytokine production (56–58). Additionally, the deletion of argininosuccinate 1 (ASS1), an enzyme utilized in the *de novo* synthesis of arginine, blunts Th1 and Th17 cell polarization even in the presence of extracellular arginine (59). Similarly, arginine metabolism may play a role in the regulation of macrophage functions. It has been reported that macrophages can undergo polarization toward the M1 or M2 phenotype based on environmental polarization cues. Stimulation with LPS plus IFN- γ induces M1 polarization, whereas Th2 cytokines, such as IL-4, IL-10, and IL-13, induce M2 polarization (60,

61). Interestingly, these two types of macrophages catabolize arginine differently. M1 macrophages express iNOS, which converts arginine to NO and citrulline. Both of these arginine metabolites are involved in the elimination of intracellular pathogens and tumor cells (62). In contrast, M2 macrophages express arginase I, which regulates the synthesis of proline and polyamines from ornithine, and is critical for wound healing and immune suppression (63). While a number of studies have demonstrated the importance of arginine metabolism in immune cells, the role of arginine in intestinal immunity is poorly understood. Thus, more research is necessary to understand how arginine metabolism shapes intestinal immune response during inflammation.

Glutamine

Glutamine is considered a conditionally essential amino acid because its consumption is increased during conditions of catabolic stress, including trauma, sepsis and post-surgery recovery (64). Glutamine is the most important fuel for enterocytes and immune cells, and has beneficial effects on clinical outcomes (65). Activation of lymphocytes results in a metabolic reprogramming that switches energy metabolism from oxidative phosphorylation to aerobic glycolysis and glutaminolysis, the process by which cells convert glutamine into TCA cycle metabolites (66, 67). Thus, glutamine metabolism and the demand for glutamine during inflammation may be increased in certain cells. Indeed, glutamine levels in the colonic tissue of IBD patients in remission are decreased compared to control subjects. This difference is even more considerable during active disease (15, 68). In addition to the colonic tissue, blood glutamine levels are also diminished in IBD patients and experimental animal models of colitis (10, 69). Oral or rectal supplementation of glutamine attenuates intestinal inflammation, intestinal fibrosis, and colitis-associated colon tumorigenesis in various models of colitis (69–73). Glutamine treatment significantly improves histology results, reduces oxidative stress and cytokine production via downregulation of the NF- κ B and STAT signaling pathways (72). Glutamine also regulates epithelial integrity. Glutamine has been implicated in the preservation of gut barrier function, maintenance of epithelial tight junction integrity (74) and modulating paracellular permeability (75). In contrast, glutamine deprivation or inhibition of glutamine synthase significantly increase paracellular permeability and decrease the expression of tight junction proteins (76, 77). Glutamine is also associated with the proliferation of Lgr5-positive intestinal stem cell and subsequent crypt expansion. In intestinal organoid culture, the deprivation of glutamine suppresses epithelial proliferation (78). Replenishment of culture medium with supplementation of glutamine rescues the proliferation of epithelial cells via the activation of mammalian target of rapamycin (mTOR) (78). However, glutamine deprivation does not affect the proportions of Paneth and goblet cells, indicating that certain amino acids may support the differentiation and proliferation of intestinal epithelial cells in a lineage-specific manner. Although glutamine supplementation has been shown to be beneficial in murine models of experimental colitis, the utility of this amino acid

in IBD patients remains poorly understood. Clinical studies showed that glutamine-supplemented enteral nutrition and total parenteral nutrition did not improve therapeutic outcomes for pediatric CD, adult CD, and adult UC patients (79, 80).

Other Amino Acids

Metabolome analyses have shown that the levels of other amino acids, such as histidine, glycine, and threonine, are altered in the serum and intestinal tissues of IBD patients (10, 13, 15). These amino acids have also been reported to be beneficial to IBD patients and in models of experimental colitis. Histidine is a natural amino acid and is one of conditionally essential amino acids. Histidine acts as a scavenger of hydroxyl radicals and singlet oxygen (81), and hence can suppress oxidative stress in intestinal epithelial cells (82). Moreover, dietary histidine ameliorates intestinal inflammation in the IL-10-deficient cell transfer model of colitis through the inhibition of NF- κ B activation, following the down-regulation of pro-inflammatory cytokine production in macrophages (83). In IBD patients, the levels of histidine in the blood and intestinal tissues are markedly decreased compared to control subjects (10, 15). A recent clinical study has shown that the plasma histidine level is a predictor of relapse risk in UC patients (84). Glycine has been reported to be protective against various kinds of organ injuries as well as intestinal inflammation. Dietary glycine improves histology and dampens the expression of pro-inflammatory cytokines in TNBS- and DSS-induced colitis (85). Threonine is an essential amino acid with roles in maintaining intestinal homeostasis. It is important for mucosal barrier function, which prevents luminal bacteria from penetrating the mucosal tissue (86). Defects in intestinal mucosal barrier function are observed in IBD patients and experimental colitis, enabling gut bacteria to penetrate the intestinal mucosa (87, 88). Mucins contain high levels of threonine, serine and proline (89). Consequently, mucin synthesis requires robust amounts of these amino acids and restriction of dietary threonine impairs intestinal mucin synthesis (89). In contrast, dietary supplementation of threonine, serine, proline and cysteine ameliorates intestinal damage in DSS-treated rats, presumably by improving mucosal barrier function (90).

Intestinal inflammation changes the metabolic requirements of host cells and the gut microbiota. The up-regulation of amino acid metabolic pathways is one of the major inflammation-related metabolic shifts in the host and the microbiota (11, 44, 55). In other words, host cells and resident microbes have an increased demand for certain amino acids in the context of IBD. Thus, dietary supplementation of these sought-after amino acids is a key strategy for the treatment of IBD. However, it is noteworthy to mention that other amino acids may fuel pro-inflammatory responses in IBD and restriction of certain amino acids can attenuate intestinal inflammation. Amino acid deficiency is sensed by a serine/threonine-protein kinase called general control non-derepressible 2 (GCN2) (91). GCN2 suppresses pathogenic Th17 responses by inhibiting ROS-mediated activation of the inflammasome (92). Therefore, when GCN2 is deleted in a tissue-specific manner from intestinal epithelial cells and CD11c⁺ antigen-presenting cells,

the animals experienced more severe weight loss and intestinal inflammation, indicating that both intestinal epithelial cells and antigen-presenting cells mediate the protective effects of GCN2 during colitis. Notably, amino acid starvation, induced by the consumption of a low-protein diet or a leucine-deficient diet, can suppress DSS-induced colitis through the activation of GCN2 (92). Since deprivation of other amino acids, such as tryptophan, tends to enhance intestinal inflammation (31), it is likely that each amino acid plays a distinct role in the promotion and/or suppression of intestinal inflammation. Thus, a more thorough understanding of the specific roles different amino acids play in IBD and supplying the optimal amount of anti-inflammatory amino acids while limiting the consumption of pro-inflammatory amino acids can lead to the development of effective amino acid-based dietary interventions.

CARBOHYDRATE

Carbohydrates are an important source of nutrients for both host cells and the gut bacteria. However, host cells largely lack the capacity to digest complex poly-saccharides (93). Rather, dietary fibers and other carbohydrate polymers are degraded and fermented by the gut microbiota into mono-saccharides as well as various by-products. Recent accumulating evidence indicates these gut microbial byproducts can modulate the host immune system and intestinal barrier function, thus playing a crucial role in intestinal homeostasis (94). Therefore, the intake of indigestible carbohydrates (dietary fibers) benefits the host. On the other hand, gut dysbiosis may compromise the metabolic activities of the gut microbiota, resulting in impaired generation of the protective microbial byproducts. Furthermore, high intake of undigested and fermentable carbohydrates, such as mono- and di-saccharides, induces various gastrointestinal symptoms. In this section, we summarize the roles of dietary fiber and fermentable carbohydrates in intestinal homeostasis and the management of IBD symptoms.

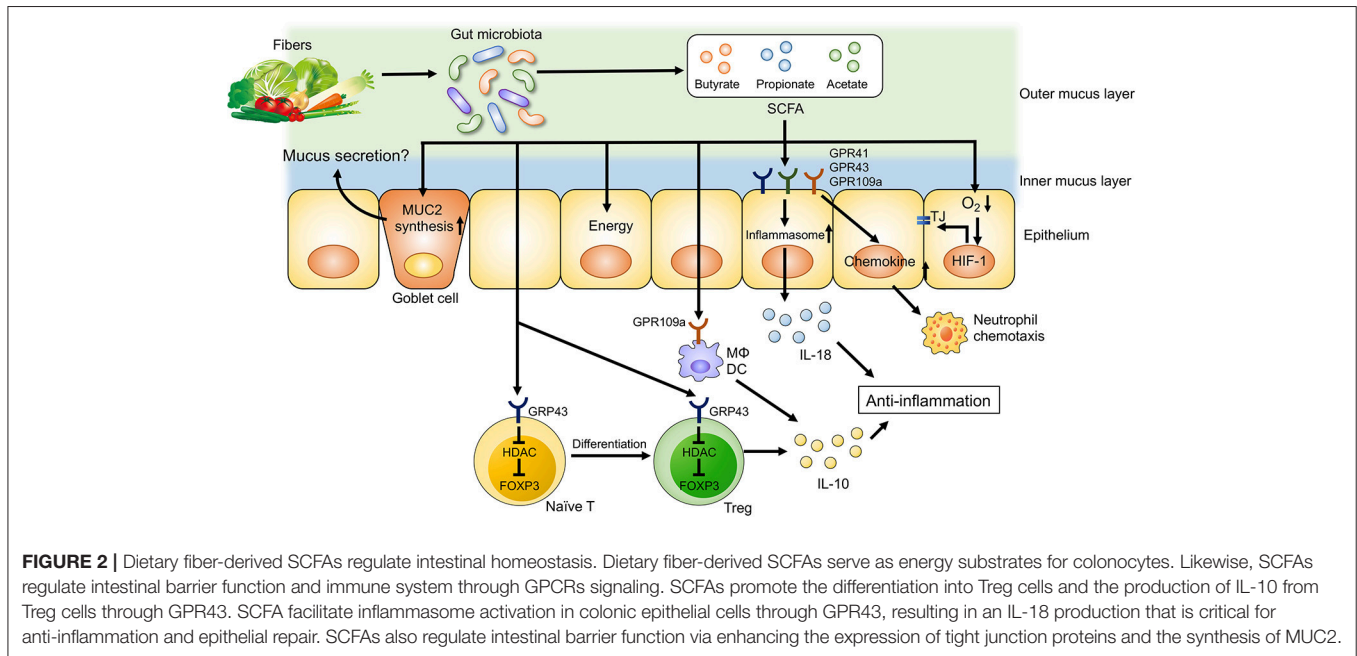
Fibers

Dietary fibers are complex carbohydrates consisting of both soluble and insoluble components. Dietary fibers are not digested or absorbed by host cells, as mammalian cells largely lack the enzymes necessary to degrade them. Instead, dietary fibers are subjected to bacterial fermentation in the gastrointestinal tract. Although a wide range of bacteria ferment dietary fibers, each bacterium has a substrate preference based on its enzymatic activity. For example, the human gut symbionts *Bacteroides thetaiotaomicron* and *B. ovatus* can degrade a wide variety of glycans, whereas some *Bacteroides* species are restricted to one or only a few types (95). Thus, dietary intervention can remodel the gut microbial composition by customizing the content of dietary fibers. Also, bacterial byproducts generated through the fermentation of dietary fibers have various effects on host immune cells and intestinal barrier function. Short-chain fatty acids (SCFAs), such as acetate, propionate and butyrate, are the major end products of microbial fermentation of dietary fibers (Figure 2) (93). Dietary fiber-derived SCFAs are key energy substrates utilized by colonocytes (96). Additionally, SCFAs act

as signaling molecules via G-protein-coupled receptors (GPRs), such as GPR41, GPR43, and GPR109a. SCFAs can regulate the differentiation of immune cells and immune responses through GPRs. SCFAs have been shown to promote anti-inflammatory responses through the activation of GPRs, as mice deficient in GPR43 and GPR109a develop more severe DSS-induced colitis (97, 98). Furthermore, GPR109a signaling promotes anti-inflammatory properties in colonic macrophages and dendritic cells as well as inducing the differentiation of regulatory type T cells, such as Treg cells and IL-10-producing T cells (97). Since SCFAs are generated by the gut microbiota through fermentation of dietary fibers, germ-free (GF) mice display lower levels of colonic Foxp3⁺ Treg cells (99). Butyrate is known to regulate histone acetylation, which in turn up-regulates the expression of Foxp3. Transcriptional factor Foxp3 is a lineage specification factor of Tregs that has been shown to prevent the development of colitis in a T cell transfer model of colitis (100). Collectively, SCFAs are important immunomodulatory molecules that exert numerous beneficial effects on host metabolism and immunity.

In addition to their regulatory function, SCFAs and, by extension, dietary fibers are also important for mucosal barrier function. Butyrate enhances intestinal epithelial barrier function via hypoxia inducible factor-1 (HIF-1) that regulates the integrity of epithelial tight junctions (101, 102). Likewise, butyrate treatment in mice facilitates the assembly of tight junction proteins and increases the synthesis of MUC2 protein, the main component of intestinal mucus (103, 104). Thus, a lack of dietary fibers may compromise epithelial integrity and mucus production due to insufficient SCFA generation, resulting in impaired intestinal barrier function (100, 101). In contrast, butyrate is known to suppress the proliferation of intestinal stem cells. Butyrate inhibits histone acetylation and enhances the promoter activity for the negative cell-cycle regulator Foxo3 in intestinal stem cells (105). Increased expression of Foxo3 in intestinal stem cells results in delayed wound repair after mucosal injuries (105). Notably, a recent report has unveiled that consumption of a low fiber diet leads to the disruption of intestinal barrier function through a mechanism that is independent of SCFAs. In the absence of dietary fibers, some commensal bacteria, such as *Akkermansia muciniphila*, utilize host mucus glycans to meet their energy needs (106). As a result, these mucolytic bacteria become the predominant species within the gut microbiota (106). Importantly, a bloom of mucolytic bacteria results in the degradation of the colonic mucus layer that renders the host susceptible to enteric pathogens, such as *C. rodentium* (106).

Gut dysbiosis observed in IBD patients is primarily characterized by reduced bacterial diversity, the enrichment of the phylum of *Proteobacteria* and a lower abundance of *Firmicutes* and *Bacteroidetes* phyla (107). IBD-associated gut dysbiosis is accompanied by functional changes in the gut microbiota that affect its ability to ferment dietary fibers. The abundance of butyrate-producing bacteria, such as *Roseburia hominis* and *Faecalibacterium prausnitzii*, is decreased in IBD patients (11, 108, 109) and, therefore, fecal SCFAs levels are lower in IBD patients compared to controls (20). On



the other hand, recent clinical trials did not demonstrate overt therapeutic benefits associated with butyrate enemas in UC patients (110, 111). These results suggest that IBD patients might have functional impairments involving butyrate utilization in addition to its impaired generation, likely due to gut dysbiosis. For instance, several studies have reported that butyrate oxidation and the expression of genes involved in butyrate oxidation are diminished in the intestinal mucosa of IBD patients (112–115), indicating that butyrate cannot be used properly in the inflamed gut. Moreover, butyrate uptake by colonocytes is impaired in IBD patients. Although butyrate uptake is mediated by monocarboxylate transporter 1 (MCT1), the transcription of *Mct1* is downregulated upon stimulation with IFN- γ and TNF- α , thereby reducing the uptake of butyrate (116). Consistently, expression levels of *Mct1* mRNA negatively correlate with the degree of intestinal inflammation in IBD (116).

Although numerous animal studies have reported that dietary fiber supplementation attenuates intestinal inflammation (117–119), only limited evidence is available from clinical trials involving IBD patients (120). Prebiotic fibers might promote the growth of protective members of the gut microbiota. However, there is no study that provides statistically significant evidence for the efficacy of prebiotic fibers as treatment for IBD (121). It implies that fiber treatment is not effective for active IBD. Regarding this notion, it has been reported that intestinal inflammation down-regulates carbohydrate metabolism in the gut microbiota (11, 25). Thus, it is possible that gut microbes are not able to utilize supplied fibers efficiently in the inflamed gut. Consistently, consumption of a high fiber diet does not attenuate colitis, while pretreatment with the same diet can prevent the development of colitis (119). Thus, metabolic activities of the microbiota, which might be altered as a result of disease, may determine the usefulness of administering prebiotic fibers to IBD

patients. In addition to disease status, the initial composition of the microbiota may influence the efficacy of dietary fiber treatment. For example, dietary fiber can improve postprandial glucose metabolism in healthy individuals, but they are divided into responders and non-responders (122). The abundance of *Prevotella*, a bacterial genus capable of digesting fibers, is higher in responders compared to non-responders. In other words, a high fiber diet is not beneficial in individuals who do not have sufficient numbers of fiber-degrading bacteria in their gut microbiota. Taken together, personalized nutritional management will likely be a key component of any successful therapeutic approach for IBD since intestinal inflammation results in both compositional and functional changes of the gut microbiota.

Elimination of Fermentable Carbohydrate

Several dietary therapies that eliminate specific carbohydrates have been developed and evaluated for induction of remission, maintenance and improvement of functional symptoms in IBD. Symptoms of IBD commonly include abdominal pain, discomfort, rectal bleeding, and a change in stool consistency and frequency during active periods. However, similar gastrointestinal symptoms can also be observed during periods of remission, even in the absence of clinical inflammation (123). These functional-like gastrointestinal symptoms are negatively correlated with the quality of life (QOL) experienced by IBD patients (124). Naturally, a more effective control of functional-like gastrointestinal symptoms is needed to improve the QOL of IBD patients. Dietary therapies for these functional-like gastrointestinal symptoms have recently been developed. These therapies include a low Fermentable Oligo-saccharides, Di-saccharides, Mono-saccharides And Polyols (FODMAP) diet and a Specific Carbohydrate Diet (SCD) (8). We will review the

effects of a low-FODMAP diet on IBD symptoms, because there is emerging clinical evidence suggesting the usefulness of this approach.

A diet low in FODMAP is used to manage symptoms in patients with irritable bowel syndrome (IBS) (125). A randomized, double-blind, placebo-controlled, cross-over study has revealed that the administration of fructans, unlike galacto-oligosaccharides or sorbitol, exacerbates functional-like gastrointestinal symptoms in quiescent IBD (126). A recent meta-analysis has shown that a low FODMAP diet can reduce gastrointestinal symptoms in patients with quiescent IBD (127). Although the precise mechanism by which FODMAPs promotes functional-like gastrointestinal symptoms is unclear, FODMAP intake is associated with increased luminal water content and colonic gas production (125). Whereas, most carbohydrates are completely digested and absorbed in the small intestine, some carbohydrates are not. Unabsorbed carbohydrates, such as fructose, polyols, and lactose, alter intestinal osmolality and increase water content in the small intestine. Other unabsorbed carbohydrates, including fructans and galacto-oligosaccharides, are fermented by the bacteria in the colon, leading to gas production. High levels of luminal water and gas result in luminal distension, thereby causing functional-like gastrointestinal symptoms. In an animal study, a high FODMAP diet increased intestinal permeability and altered the composition of microbial communities, leading to increased luminal levels of LPS (128). Inhibition of Toll-like receptor (TLR) activation by LPS ameliorates intestinal barrier function and visceral nociception. Moreover, since fecal LPS is higher in IBS patients compared to healthy subjects, a low-FODMAP diet improves IBS symptoms by reducing the levels of fecal LPS. Dietary intervention is an exciting topic in the current IBD research. Further studies are needed to unravel the mechanism of action and the long-term effects of a low FODMAP diet.

FATTY ACIDS

The Western diet is characterized by high intake of fats. There is evidence that links increased fat intake to the pathogenesis of IBD. Dietary fat comprises saturated fatty acids (SFAs), monounsaturated fatty acids (MUFAs), and polyunsaturated fatty acids (PUFAs). Most fatty acids, except for linoleic acid and alpha-linolenic acid which are essential fatty acids, can synthesize in the body. Fatty acids are important energy sources and membrane constituents, and their biological activities influence both the immune system and the gut microbiota. A number of studies have revealed that high-fat diets change the composition of the gut microbiota and impair intestinal barrier function, resulting in intestinal and systemic inflammation (129–131). Furthermore, consumption of a diet containing high levels of fat and sugar promotes the colonization of adherent-invasive *E. coli* (AIEC), an IBD-associated pathobiont (132). A recent systematic literature review of epidemiological data has shown that high dietary intakes of total fats, SFAs, omega-6 (n-6) and PUFAs are associated with an increased risk of both CD and UC (133). Similar to amino acids and carbohydrates, abnormal

fatty acid profiles and metabolism are observed in the blood and intestinal mucosa of IBD patients (134). On the other hand, dietary supplementation with specific fatty acids can improve perturbed fatty acids profiles and intestinal inflammation (135). We summarize the roles of fatty acids in the pathogenesis and treatment of IBD.

Saturated Fatty Acids (SFAs)

SFAs, mainly myristic acid, palmitic acid, and stearic acid, are found in animal fat-containing products, such as meat, butter, whole milk, and other dairy products. Although a connection between saturated fats and the risk of IBD has been uncovered by small case-control studies, prospective cohorts have not identified a statistical association, suggesting the relationship is more complex. In animal studies, a diet high in SFAs induces colonic inflammation, including increased expression of pro-inflammatory cytokines and enhanced intestinal permeability in a microbiota- or TLR4-dependent manner (129–131). Similarly, a diet high in SFAs exacerbates intestinal inflammation in experimental models of colitis (130, 136). A milk-derived diet high in saturated fats, unlike a diet high in polyunsaturated fats, promotes the expansion of a sulphite-reducing pathobiont, *Bilophila wadsworthia*, and aggravates Th1-mediated colitis in IL-10 deficient mice (136). Milk-derived fat promotes taurine conjugation of hepatic bile acids, thereby leading to the accumulation of sulfur that aids the growth of *B. wadsworthia*.

In a murine model of DSS-induced colitis, the level of a saturated fat 1-stearoyl-sn-glycero-3-phosphorylcholine (stearyl-LPC) in the blood is increased compared to control mice, whereas the unsaturated fat 1-oleoyl-sn-glycero-3-phosphorylcholine (oleoyl-LPC) is decreased following DSS treatment. Thus, intestinal inflammation shifts the balance between saturated LPCs and monounsaturated LPCs (135). The perturbation of the lipid serum profile is caused by decreased expression of stearyl-CoA desaturase 1 (SCD1) in the liver. SCD1 is an enzyme found in the endoplasmic reticulum. It is responsible for the biosynthesis of oleic acid (18:1) and palmitoleic acid (16:1) from stearic acid (18:0), and palmitic acid (16:0), respectively (137). Saturated LPCs, unlike their monounsaturated counterparts, increase IL-1 β production in human monocytes (138). Consistently, SCD1-deficient mice are more susceptible to DSS and exacerbated colitis can be ameliorated by means of oleic acid supplementation (135).

Monounsaturated Fatty Acids (MUFAs)

MUFAs are classified as fatty acids containing a single double bond. Oleic acid, the most common omega-9 MUFA, is found in various vegetable oils, such as olive oil and canola oil. The levels of MUFAs, including oleic acid, are significantly reduced in IBD patients compared to control subjects, both systemically (blood) and locally (intestinal mucosa) (139, 140). Epidemiologically, the Mediterranean diet containing high levels of MUFAs, especially oleic acid, is well-recognized for its beneficial effects on metabolic syndrome and cardiovascular health (141). The effects of MUFAs on IBD remain unresolved. There are conflicting reports regarding the therapeutic potential of MUFAs in IBD. A large prospective cohort study has demonstrated that dietary

oleic acid intake is inversely associated with UC development (142). In contrast, other studies have shown that a high intake of MUFAs increases the risk for the development of UC and CD. Several animal studies have consistently demonstrated that supplementation of oleic acid or olive oil attenuates intestinal inflammation in DSS-induced colitis (135, 143).

Polyunsaturated Fatty Acids (PUFAs)

PUFAs are classified into omega-3 (n-3) and n-6 families. These two families are thought to have different effects on inflammation. Conventionally, n-6 PUFAs are considered to be pro-inflammatory. Linoleic acid, the major PUFA in the diet, is converted to arachidonic acid, a precursor of inflammatory mediators such as prostaglandins and leukotrienes (134). N-6 PUFAs are incorporated into phospholipids found in cellular membranes. Multiple members of the phospholipase family of enzymes, such as phospholipase A2 (PLA2), hydrolyze n-6 PUFAs during inflammation. Arachidonic acid is a primary target for PLA2 and is converted to inflammatory mediators involved in pro-inflammatory cell signaling events. The levels of PLA2 are elevated in the mucosal biopsies of CD and UC patients (144), resulting in increased hydrolysis of arachidonic acid and the subsequent generation of inflammatory mediators. In contrast, n-3 PUFAs appear to be critical for the suppression of inflammation. α -linolenic acid, an essential n-3 PUFA, is a precursor of eicosapentaenoic acid (EPA) and docosahexaenoic acid (DHA), both of which are contained in fish oils. N-3 PUFAs compete with n-6 PUFAs in the substrate pool of the lipoxygenase pathway, inhibiting the production of inflammatory mediators downstream of n-6 PUFAs (134). Likewise, pro-resolving mediators are generated from n-3 fatty acids via several enzymatic reactions (145). Resolvin E1 (RvE1) is an anti-inflammatory lipid mediator derived from EPA through aspirin-acetylated cyclooxygenase-2 (COX2) and microbial cytochrome P450 (146, 147). RvE1 inhibits leukocyte infiltration and IL-12 production by dendritic cells and macrophages (148, 149). Consistent with the anti-inflammatory effects on immune cells, the administration of RvE1 attenuates TNBS- and DSS-induced colitis in mice (146, 149). Moreover, n-3 PUFAs can directly inhibit the TLR4 and NF- κ B signaling pathways, leading to the down-regulation of pro-inflammatory genes, such as COX2 and TNF- α (150, 151). Thus, an appropriate balance of n-6/n-3 PUFAs is important for the regulation of inflammation.

A Western diet typically contains high levels of n-6 PUFAs and low levels of n-3 PUFAs (152). The skewed ratio between the intake of n-6 PUFAs and n-3 PUFAs is considered a risk factor for IBD (133). Although previous studies have examined the effect of n-3 fatty acid supplementation in prevention and treatment of IBD, the outcomes were inconsistent in both human IBD and animal models of colitis. In the animal studies, supplementation with n-3 fatty acids found in fish oil attenuated intestinal inflammation (153, 154), whereas another study showed that n-3 fatty acids exacerbated colitis (155). This disparity may have been caused by various confounding factors, such as control diet, administration period and sensitivity to oxidation. Another report demonstrated that fat-1 transgenic mice are protected against DSS-induced colitis (156). Since fat-1

transgenic mice have increased endogenous levels of n-3 PUFAs, the protective phenotype observed in this mouse strain may be due to n-3 PUFAs (156). In human IBD, a systematic review does not support the notion that supplementation of n-3 fatty acids can induce and maintain remission of IBD (157). The latest Cochrane review has reached a similar conclusion (158). Interestingly, several studies have demonstrated that different genotypes may be associated with the variable response to nutritional intervention with n-3 PUFAs. For example, genetic polymorphisms of TNF- α and PPAR α have been associated with an altered response to nutritional intervention with n-3 PUFAs (159, 160). In IBD, genetic polymorphisms, such as CYP4F3 and Caspase 9+93C/T, modify the association between dietary fatty acid intake and risk of IBD (161, 162). Since fatty acid utilization may vary depending on the genetic background, personalized nutritional management is likely required to maximize the efficacy of n-3 PUFAs supplementation in IBD.

PHYTOCHEMICALS

Phytochemicals are a wide range of natural compounds found in plants. Accumulating evidence suggest that phytochemicals have beneficial effect on several chronic diseases (163). A number of polyphenols, including flavonoids, phenolic acids, stilbenes, and lignans, have been shown to influence the gut microbiota and intestinal immunity. For example, curcumin, a hydrophobic polyphenol derived from *Curcuma longa*, displays a wide variety of biological functions, such as anti-inflammatory, anti-cancer, and anti-oxidant activities (164). Curcumin suppresses cytokine production by macrophages and intestinal epithelial cells via the inhibition of NF- κ B activation (165, 166), and thus administration of curcumin ameliorates DSS- and TNBS-induced colitis (167, 168). Polyphenols including curcumin are not efficiently absorbed from the small intestine, and therefore polyphenol complexes contained in diet have limited bioavailability (169). Given this evidence, a large portion of unabsorbed polyphenols are likely transported to the large intestine where they interact with the colonic gut microbiota. Of note, the gut resident bacteria are capable of catabolizing polyphenols and degrading them into small fragments (170). For instance, curcumin is converted into tetrahydrocurcumin by curcumin-converting enzyme purified from *E. coli* (171). Curcumin-derived tetrahydrocurcumin can prevent colitis and colitis-associated colon cancer in mice (167, 172). Recent epidemiological study reported a potential association of polyphenol in CD (173). Moreover, clinical trials show that curcumin supplementation is effective for the induction and maintenance of remission in UC patients (174, 175).

FOOD ADDITIVES

Recent widespread use of processed food increases consumption of food additives. Emulsifiers are complex molecules that are incorporated into most processed foods to enhance texture and stability. It has been implied that the increased consumption of emulsifier is associated with IBD pathogenesis

(176). In recent studies, the consumption of dietary emulsifier disrupted host-microbiota interaction and promoted intestinal inflammation and colon carcinogenesis (177, 178). Although precise mechanism remains incompletely understood, dietary emulsifiers foster the blooms of mucolytic bacteria, such as *Ruminococcus gnavus* and *Akkermansia muciniphila* (177). Importantly, the negative impact of dietary emulsifiers is not observed in GF mice, suggesting that the compositional and functional modulation of the gut microbiota by emulsifiers play a key role in their adverse effect (177). Other food additives, for example artificial sweeteners, also induce gut dysbiosis (179, 180). The consumption of artificial sweeteners promotes the expansion of *Proteobacteria* and increases the infiltration of bacteria into the ileal lamina propria in CD-like ileitis model mice (180). Moreover, dietary phosphate, the main component of many food additives, enhances intestinal inflammation through the activation of NF- κ B in macrophages (181). These studies suggest that many food additives may be associated with the risk of IBD. In fact, epidemiological and clinical studies reported that processed meats and beverages are risk factors for the onset and relapse of IBD (182, 183).

However, it is difficult to ascertain whether food additives are risk factors for developing IBD, because most food frequency questionnaires cannot fully evaluate the consumption of food additives.

CONCLUSION AND FUTURE DIRECTION

The relationship between dietary nutrients and intestinal homeostasis is complex. It is influenced by a myriad of interactions between host immunity, the intestinal epithelium and the gut microbiota. Moreover, intestinal inflammation changes cellular and microbial metabolisms, adding another layer of complexity to an already complex system (Table 2). The demand for certain nutrients necessary for the maintenance of intestinal homeostasis is likely altered in IBD. The scarcity or overabundance of some nutrients can disturb intestinal homeostasis even further, thus exacerbating the disease. Genetic polymorphisms also influence the efficacy of nutritional intervention. Thus, an in-depth knowledge of a patient's genetic predispositions, gut microbial compositions, disease activity

TABLE 2 | Changes of dietary nutrient availability in the host and gut microbiota of IBD.

Host or gut microbiota	Nutrient availability	Mediator	IBD	Related nutrient	References
Host	Metabolic enzyme	IDO1	UCa, CDa > UCia, CDia, Control	Tryptophan	(37)
		Arginase II, iNOS	UCa > UCia, Control	Arginine	(51)
		ACSM3, ACADS, ECHS1	UC, CD > Control	SCFA	(113, 115)
	Transporter	MCT1 (SLC16A1)	UC, CD < Control	SCFA	(116)
		B0AT1 (SLC6A19)	UCa, CDa < UCia, CDia, Control	Tryptophan, Neutral amino acid	(37)
		CAT2 (SLC7A2)	UCa < Control	Arginine, Cationic amino acids	(51)
	SNP	CARD9	UC, CD	Tryptophan	(49)
		CYP4F3	UC	Fatty acids	(162)
		Caspase 9	CD	Fatty acids	(161)
		PPAR- γ	CD	Fatty acids	(161)
		Fas ligand	CD	Fatty acids	(161)
Gut microbiota	Microbial composition	Butyrate producing bacteria	UC, CDa < Control	Fiber, SCFA	(25, 108, 109)
	Metabolic pathway	Carbohydrate metabolism	CDi > Control	Carbohydrates	(11)
			UC Inflamed < UC normal	Carbohydrates	(25)
		Amino acid metabolism	UC Inflamed > UC normal	Amino acids	(25)
		Lysine/Arginine biosynthesis	UC, CD < Control	Amino acids	(11)
		Lipid metabolism	CDi < Control	Fatty acids	(11)
			UC Inflamed > UC normal	Fatty acids	(25)
		Butyrate and propionate metabolism	CDi < Control	SCFA	(11)
	Transport system	Carbohydrate transport	CDi > Control	Amino acids	(11)
		Lysine/arginine/ornithine transport	UC, CD > Control	Amino acids	(11)

UCa, active ulcerative colitis; UCia, inactive ulcerative colitis; CDa, active Crohn's disease; CDia, inactive Crohn's disease; CDi, CD with ileal; UC inflamed, tissues of inflamed lesion from UC; UC tissues of normal lesion from UC; Normal DO1, Indoleamine 2,3 dioxygenase-1; iNOS, inducible nitric oxide synthase; ACSM3, acyl-CoA synthetase medium chain family member 3; ACADS, Acyl-CoA dehydrogenase; ECHS1, enoyl-CoA hydratase, short chain 1; MCT1, monocarboxylic acid transporter 1; B0AT1, systemB(0) neutral amino acid transporter 1; CAT2, cationic amino acid transporter 2; CARD9, caspase recruitment domain 9; PPAR- γ , Peroxisome Proliferator-Activated Receptor gamma.

and type (i.e., ileal, colonic, etc.) will be required to maximize the effectiveness of nutritional intervention approaches in IBD.

AUTHOR CONTRIBUTIONS

All authors listed have made a substantial, direct and intellectual contribution to the work, and approved it for publication.

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Dietary Habits and Intestinal Immunity: From Food Intake to CD4⁺ T_H Cells

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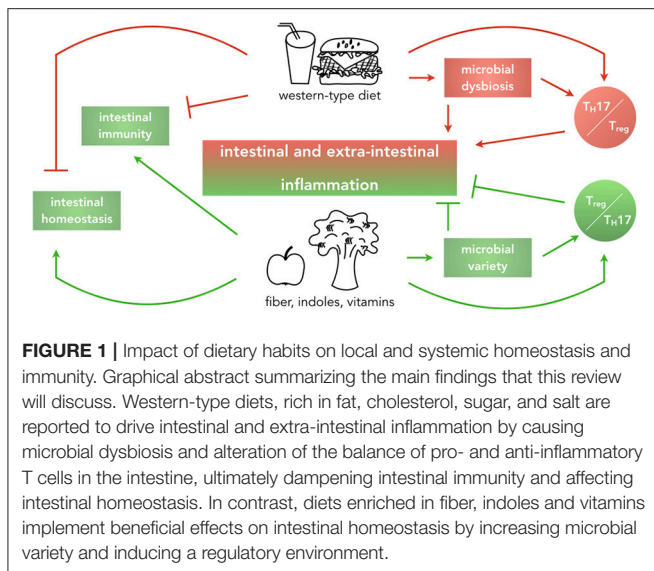
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Dietary habits have a profound impact on intestinal homeostasis and in general on human health. In Western countries, high intake of calories derived from fried products, butter and processed meat is favored over dietary regimens rich in fruits and vegetables. This type of diet is usually referred to as Western-type diet (WTD) and it has been associated with several metabolic and chronic inflammatory conditions of the gastrointestinal tract. In this review, we describe how WTD promotes intestinal and extra-intestinal inflammation and alters mucosal immunity acting on CD4⁺ T cells in a microbiota-dependent or -independent fashion, ultimately leading to higher susceptibility to infectious and autoimmune diseases. Moreover, summarizing recent findings, we propose how dietary supplementation with fiber and vitamins could be used as a tool to modulate CD4⁺ T cell phenotype and function, ameliorating inflammation and restoring mucosal homeostasis.

Keywords: inflammation, mucosal immunity, CD4 T cells, western diet, fat, salt, fiber, microbiota

INTRODUCTION

In the gastrointestinal (GI) tract, the immune system is constantly under great environmental pressure, continuously facing a wide variety of antigens derived both from intestinal microbiota and from food. Intestinal CD4⁺ T helper (T_H) cells are key mediators of mucosal immunity, and according to their effector functions, they can be divided into different populations, namely T_H1, T_H2, and T_H17, with the T_H17 cells being relatively abundant within the GI tract (1–4). Here, different bacterial species dictate whether intestinal CD4⁺ T cells acquire pro- or anti-inflammatory effector phenotypes (5, 6), highlighting the crucial function of the intestinal microbiota in maintaining mucosal homeostasis. Pro-inflammatory responses driven by T_H cells are controlled by different subsets of CD4⁺ T cells with regulatory capacities, namely T_{reg} and T_R1 cells, key players in promoting and maintaining mucosal tolerance to self- and food-related antigens (7–9). However, when mucosal tolerance fails to limit pro-inflammatory immune responses, this results in intestinal inflammation which can lead to the development of immune-mediated inflammatory diseases (IMIDs) such as inflammatory bowel diseases (IBDs). IBDs are among the leading diseases in Western countries (10, 11) and the observation that T_H17 cells and T_H17-associated cytokines such as IL-17A, IL-17F, and IL-22 are generally enriched in the inflamed mucosa of IBD patients, suggests that T_H17 cells drive intestinal inflammation (12, 13). Interestingly, CD4⁺ T cells, especially T_H17 cells, are highly susceptible to components of Western-type diet (WTD)



(14, 15), and WTD has been associated with higher incidence of IBDs (16). Moreover, high intake of calories derived from processed meat, butter and fried products, all components of WTD, have been described to instantly alter the composition of the intestinal microbiota, a phenomenon called dysbiosis, toward a lower *Bacteroidetes* to *Firmicutes* ratio (17–19). Dysbiosis is also commonly found among patients suffering from IMIDs, including IBDs (20–23). However, despite these strong associations, a direct cause/effect link between WTD and development of IBDs has not yet been proven.

In this review, we discuss how changes in dietary habits favoring WTD affect intestinal immunity by altering composition of the intestinal microbiota and phenotype and functions of effector and regulatory CD4⁺ T cells. Furthermore, we propose that WTD leads both to higher susceptibility to infections and higher incidence of chronic autoimmune diseases, thus exacerbating intestinal and extra-intestinal inflammation. We support the hypothesis that supplementation of diets with defined products of bacterial or dietary origin can ameliorate WTD-induced inflammation, acting on the effector/regulatory T cell axis and, in turn, restoring intestinal homeostasis (Figure 1). The findings presented in this review are mostly based on murine experiments and are cross-validated in humans, where possible.

THE INTESTINAL IMMUNE SYSTEM AND THE MICROBIOTA

The intestinal immune system promotes mucosal immunity and maintains tolerance to dietary and microbial antigens, both through its innate and adaptive components located within intestinal epithelia and lamina propria.

In addition to M cells and intraepithelial lymphocytes (IELs), goblet cells, Paneth cells and innate lymphoid type 3 cells (ILC3s) constitute the innate arm of the intestinal immune system. On the other hand, antibody-secreting plasma cells, CD4⁺

and CD8⁺ T cells represent the intestinal adaptive immune system. Mucins secreted by goblet cells form the single mucus layer of the small intestine and the two-layered mucus of the colon with the inner layer being impermeable to bacteria (24). ILC3s efficiently contribute to intestinal homeostasis through secretion of IL-17 and IL-22 (25, 26) that instruct Paneth cells to secrete antimicrobial peptides (AMPs) into the intestinal lumen. Although the innate components of the intestinal immune system are fundamental in providing a first line of protection from invading microbes, this review focuses on CD4⁺ T_H cells given their unique role in orchestrating adaptive immune responses, protecting from infections.

Among the different CD4 T_H cell subsets, T_H17 cells are relatively abundant within the GI tract (27). They are characterized by the expression of the master transcription factor RORγt, the chemokine receptor CCR6 and the transcription factor aryl hydrocarbon receptor (AhR) (28, 29). T_H17 cells secrete the highest amount of IL-17 and IL-22, contributing to protection against fungal and bacterial infections, ultimately maintaining mucosal immunity (1). However, the observation that high levels of IL-17 and IL-22 are found in the inflamed mucosa of patients suffering from IBDs, highlights their dualistic role in limiting or promoting intestinal inflammation (12, 13). Complete blockage of IL-17A failed to ameliorate intestinal inflammation in Crohn's disease, which might be explained by preventing the beneficial actions of IL-17A, such as promotion of AMP production that ultimately protects the host against invading microbes (30). In line with this, it has been also shown that IL-17-secreting TCRγδ⁺ T cells mediate gut permeability and exert a protective function on epithelial barrier integrity (31). At the same time IL-17A-deficient T cells have been shown to induce a more aggressive disease outcome in a mouse model of transfer colitis (32). Taken together, these findings suggest that the cellular source of IL-17A production might determine the beneficial or detrimental role of the cytokine itself. Therefore, cell-specific targeting of IL-17A could open new therapeutic approaches. Furthermore, it has been described that T_H17 cells are a highly plastic cell population, able to acquire properties typical of other CD4⁺ T cell subsets (33). Due to their high plasticity, T_H17 cells can be either beneficial or detrimental to the host according to the cytokine profile they exhibit in response to inflammatory stimuli. While IL-12 and IL-23 drive the conversion of T_H17 cells into pro-inflammatory T_H1 cells, inducing acquisition of T-bet and CXCR3 and secretion of IFN-γ (33, 34), exposure to TGF-β and AhR ligands mediate the acquisition of IL-10 secretion from T_H17, thus converting them into anti-inflammatory T_R1^{exTH17} cells (35, 36). Characterized by lack of Foxp3 and expression of the co-inhibitory receptors CD49b and LAG-3 (37), both *bona fide* and T_H17-derived T_R1 cells (i.e., T_R1^{exTH17}) limit expansion of pro-inflammatory T_H17 cells in an IL-10-dependent manner (38). In addition, pathogenicity of T_H17 cells is also controlled by Foxp3⁺ T_{reg} cells (38), which, similarly to T_R1 and T_H17 cells, are relatively abundant in the small intestine, where most of the dietary products are absorbed (9). In summary, pro- and anti-inflammatory CD4⁺ T cells co-exist within the GI tract being subject to a highly dynamic microenvironment.

An additional layer of complexity to this tight balance of pro- and anti-inflammatory cells is added by the microbiota, whose composition and abundance vary along the GI tract (39–41). It is increasingly recognized that the intestinal microbiota exerts non-redundant functions in the maintenance of homeostasis of the host, ranging from synthesis of nutrients to protection against invading pathogens and modulation of immune responses (42–44). Indeed, studies on germ-free (GF) mice have underlined a higher susceptibility to viral or bacterial infections of mice deprived of their intestinal microbiota as compared to mice housed under specific pathogen free (SPF) conditions (45, 46). This is probably due to the fact that bacterial species dictate the phenotype of CD4⁺ T cells. For example, *Bacteroides fragilis* favor differentiation of naïve CD4⁺ T cells toward IFN- γ -producing T_H1 cells (6), while *Segmented Filamentous Bacteria* (SFB) drives differentiation toward IL-17-secreting T_H17 cells (5). Of note, presence of SFB within the intestinal microbiota prevents the growth of pathogenic *Citrobacter rodentium*, probably due to T_H17 induction, ameliorating colonic inflammation (5). These findings highlight once more the dualistic nature of T_H17 cells in preventing or exacerbating intestinal inflammation.

Composition of the intestinal microbiota and in turn, intestinal CD4⁺ T cells are therefore key players in promoting mucosal homeostasis. On the one hand, bacterial species are able to shape intestinal immune functions by modulating CD4⁺ T cell responses. On the other hand, while T_H17 cells mediate immunity to invading microbes, Foxp3⁺ T_{reg} and T_R1 cells maintain tolerance to self and dietary antigens, preventing, as well, uncontrolled T_H17 cell-mediated immune responses. Failure to suppress uncontrolled CD4⁺ T_H cell-mediated immune responses may lead to IBDs, as seen in mice lacking critical immunosuppression-associated genes, such as IL-10, which develop spontaneous colitis (47). In agreement, IBDs have been defined by aberrant CD4⁺ T_H cell responses against the commensal microbiota in genetically susceptible hosts (48). How commensal-specific CD4⁺ T_H cell responses develop has been reviewed elsewhere (49).

DIETARY HABITS IN WESTERN COUNTRIES

Dietary habits have a profound impact on the lifestyle of individuals. High lipid content in WTD often derived from saturated fatty acids and cholesterol, in addition to excess intake of sugar is linked to higher incidence of colorectal cancer and IMIDs (50–52). Additionally, elevated salt intake and consumption of medium (MCFA) and long chain fatty acids (LCFA), such as lauric and palmitic acids, induce or exacerbate inflammation, acting on the intestinal microbiota, as well as on the innate and adaptive components of the intestinal immune system (53–55).

WTD-favoring dietary habits are also in line with a reduced absorption of vitamins and intake of vegetables and fruits rich in fiber. Dietary fiber consists of non-starch polysaccharides, cellulose, lignin and other plant-derived oligo- or polysaccharides that are not digestible or absorbable in the small intestine

(56). It is accepted that diets rich in fiber are beneficial to the host, and dietary regimens favoring consumption of fiber have been associated with a decreased risk of type 2 diabetes (T2D), cardiovascular diseases and intestinal inflammation (57–59). This suggests that fiber can potentially modulate intestinal related and unrelated immune responses. However, how the fiber ameliorates inflammation remains poorly understood. One possible mechanism could reside in its fermentation by bacteria within the colon, which results in the production of short-chain fatty acids (SCFAs) (60, 61). Indeed, acetate, butyrate and propionate, all SCFAs, mediate beneficial effects on the host by engagement of G protein-coupled receptors (GPRs) expressed by a variety of cells, including intestinal CD4⁺ T cells (62). In addition, recent evidences suggest that the beneficial effects of fiber consumption on the host might reside in the changes it induces in the composition of the intestinal microbiota itself (63).

In short, dietary habits greatly influence human health, modulating function of CD4⁺ T cells and composition of intestinal microbiota.

WTD INDUCES MUCOSAL INFLAMMATION ALTERING IMMUNITY

Lipids, Cholesterol, and Salt

In this part of the review, we describe the effects that high intake of lipids, cholesterol and salt have on the intestinal immune system, dissecting the complex interplay between adaptive immune cells and the intestinal microbiota. We then summarize recent findings on how WTD-favoring dietary regimens increase susceptibility to chronic autoimmune diseases and infections with commensal bacteria (**Table 1**). Ultimately, we propose how intestinal and extra-intestinal inflammation driven by WTD can be modulated by supplementation of defined bio-products of microbial or dietary origin, which in turn act on CD4⁺ T cells.

In addition to inducing systemic low-grade chronic inflammation typical of obesity (76, 77), WTD promotes local intestinal inflammation through a variety of mechanisms often linked to alteration of the intestinal microbiota composition (i.e., dysbiosis). **Figure 2** provides a graphical summary.

High intake of fat increases the levels of IL-1 β , IL-6, TNF- α , and NF- κ B in the colon (64, 65), resulting in higher concentration of lipocalin 2 (Lcn2) in the feces, a biomarker of intestinal inflammation (78). Thinning of the mucus layers of the small intestine and colon (67, 68) and higher gut permeability (66) lead to increased presence of invading Gram-negative bacteria and higher plasma levels of LPS (79, 80), exacerbating local and systemic inflammation. These findings indicate that the high lipid content of WTD affects mucosal homeostasis, inducing thinning of the protective intestinal mucus layers and thus, increasing gut permeability and levels of pro-inflammatory cytokines.

Similarly, high intake of cholesterol increases levels of IL-1 β in the small intestine of mice and frequencies of CD11b⁺ and CD11c⁺ cells (74). Along the same line, liver X receptor (LXR)-deficient mice (LXR $\alpha\beta$ ^{-/-}), which lack the receptor for oxysterols (i.e. cholesterol metabolites), fed for 8 or 16 weeks with WTD showed higher titers of antinuclear antibodies (ANA),

TABLE 1 | Table showing how different components of WTD drive cellular and functional phenotypes associated with intestinal and extra-intestinal inflammation.

Components of WTD	Intestinal inflammation	Effects on CD4 ⁺ T cells	Effects on gut microbiota	Susceptibility to infection/diseases
High fat	↑ colonic IL-1 β , IL-6, TNF- α (64, 65) ↑ gut permeability (66) ↓ mucus layers (67, 68)	↑ Th1 cells (69)	↑ Proteobacteria ↑ Firmicutes ↓ Bacteroidetes (17–19, 70)	↑ <i>Bilophila wadsworthia</i> (69) ↑ invasive <i>E. coli</i> (71, 72)
High salt	↑ colitis (54, 55)	↑ Th17 cells (15) ↓ inhibitory Treg cells (73)	↓ <i>Lactobacillus</i> spp. (14, 54)	↑ colitis (54, 55) ↑ EAE (15) ↑ GVHD (73)
High cholesterol	↑ small intestine IL-1 β , CD11b ⁺ myeloid cells (74)	Not reported	↑ <i>Bilophila wadsworthia</i> (69)	↑ ANA ↑ T cell priming ↑ B cell expansion (75)
High LCFA	Not reported	↑ Th1, Th17 cells (53)	↓ <i>Prevotellaceae</i> ↓ S24-7 families (53)	↑ EAE (53)

ANA, Anti-Nuclear Antibodies; EAE, Experimental Autoimmune Encephalomyelitis; GVHD, Graft-vs.-Host Disease; LCFA, Long-Chain Fatty Acid.

increased B cell numbers and augmented T_H cell priming, developing a lupus-like autoimmune disease (75). While these findings suggest that metabolism of cholesterol through LXR is crucial for preventing autoimmunity, the effect of excess intake of dietary cholesterol on T_H cells still remains unclear. Indeed, oxysterols have been shown to both favor and inhibit T_H17 cell differentiation via direct binding to ROR γ t (81) or engagement of LXR (82) respectively, ascribing a context-dependent beneficial or detrimental role to cholesterol. High cholesterol levels might also increase the production of bile acids (BAs) and high BA concentration in the colon, together with BA malabsorption, has been suggested as a possible cause of diarrhea (83), a condition that is commonly present in patients suffering from IBDs. However, the etiology of diarrhea in IBD patients is still under debate and it can be ascribed to a sum of factors, including intestinal inflammation and disruption of barrier integrity, rather than to one single factor.

In addition to direct effects on CD4⁺ T cells, diets rich in lipids and cholesterol have been shown to drastically alter the composition of the intestinal microbiota. Of note, phenotype and effector functions of intestinal CD4⁺ T cells are strictly associated with the different bacterial species of the microbiota, and the intestinal microbiota quickly responds to changes in dietary regimens. Indeed, long-term feeding of mice with WTD shifts the composition of intestinal microbiota toward a higher ratio of *Proteobacteria* and *Firmicutes* species over *Bacteroidetes* (19, 70), leading to higher susceptibility to pathobiont infections by invasive *E. coli* (71, 72). Similarly, diets rich in saturated milk-derived fat favor the growth of the pathobiont *Bilophila wadsworthia* (BW) in the colon of IL-10^{-/-} mice, increasing the incidence of spontaneous colitis (69), and SPF mice colonized with BW present higher expression of IL-6 and Serum Amyloid A (SAA), exhibiting systemic inflammation (84). Of note, BW requires bile in its medium to be cultured (85), and diets rich in saturated milk-derived fat increased the amount of taurine conjugated bile acids (TCA) (69), indicating an as-of-yet undefined cross-talk between bile acid composition and intestinal microbiota.

These findings not only show that the intestinal microbiota is highly susceptible to perturbations of dietary regimes, but they also reveal a direct link between WTD, dysbiosis and increased susceptibility to infections and colitis. Further studies are needed to dissect how specific bacterial species act on the different CD4⁺ T cell populations.

Interestingly, dysbiosis is a common feature of patients suffering from IBDs, which is reflected by lower complexity of microbial species (86) and also exhibit increased frequencies of T_H17 cells and amounts of IL-17 and IL-22 as compared to healthy individuals (12, 13).

It is however still unclear whether WTD first alters the composition of the intestinal microbiota that in turn induces pro-inflammatory T_H17 cells and promotes intestinal inflammation, or vice versa. Moreover, the exact components of WTD able to target microbiota and/or T_H17 cells still remain to be fully identified.

In the last years salt has been given a lot of attention. Wu *et al.* have shown that serum glucocorticoid kinase-1 (SGK1) drives the expression of IL-23R in T_H17 cells via inactivation of Foxo1 (15), and it is known that IL-23R expression on T_H17 cells defines their pathogenicity (87, 88). Interestingly, SGK1 has been shown to regulate salt sensing by different cell types, including epithelial colonic cells (89, 90). In their work, Wu *et al.* showed that mice fed with high salt diet (HSD) for 3 weeks exhibited higher frequencies of lamina propria (LP) T_H17 cells as compared to normal chow-fed mice. Furthermore, HSD-fed mice were more susceptible to experimental autoimmune encephalomyelitis (EAE), showing prominent infiltration of T_H17 in their central nervous system (CNS) (15). A similar phenotype has been described in mice fed with diets rich in lauric acid (53). Along the same line, HSD-fed mice presented increased intestinal inflammation in IL-10^{-/-} mice (55) and increased severity of Dextran Sulfate Sodium (DSS)- and 2,4-Dinitrobenzene Sulfonic Acid (DNBS)-induced colitis (54). These studies not only indicate that dietary habits favoring WTD act locally inducing intestinal inflammation, but they also suggest a link between dietary regimes and extra-intestinal inflammation. Furthermore, recent

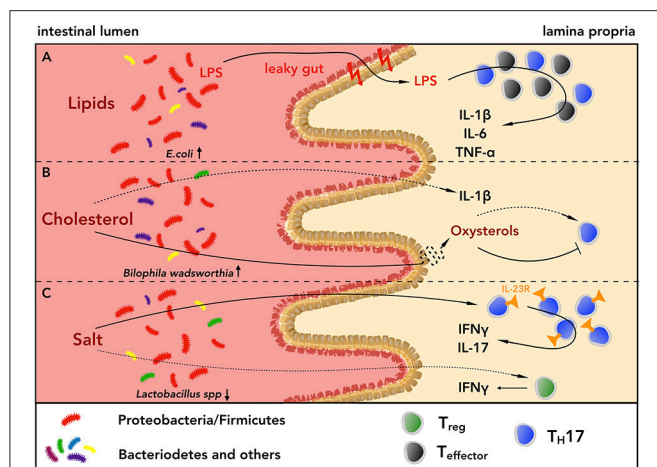


FIGURE 2 | Lipids, cholesterol and salt shape the intestinal CD4⁺ T cell phenotype in a microbiota-dependent or independent manner. **(A)** High intake of lipids induces dysbiosis, shifting the composition of the intestinal microbiota toward a higher ratio of *Proteobacteria* and *Firmicutes* to *Bacteroidetes*. This can lead to higher susceptibility to pathobiont infections, e.g. from invasive *E. coli* or *Bilophila wadsworthia* (BW). The mucus layers of both small intestine and colon get thinned, leading to higher gut permeability, which in turn favors the invasion of Gram-negative bacteria, and exacerbates intestinal inflammation. Furthermore, diets rich in saturated fatty acids increase the levels of pro-inflammatory cytokines, such as IL-1 β , IL-6, TNF- α , within the gastrointestinal (GI) tract, contributing to the inflammatory state. **(B)** Diets rich in cholesterol alter the composition of bile acids and increase the levels of IL-1 β in the small intestine, creating an inflammatory environment, which can lead to higher susceptibility to infections with BW, a pathogen known to require bile acids to outgrow. Cholesterol metabolites can also modulate intestinal inflammation inhibiting or promoting T_H17 cell development through interaction with LXR or ROR γ t, respectively. **(C)** Within the WTD-driven intestinal inflammation, salt can alter the phenotype of CD4⁺ T_H17 and T_{reg} cells either directly or indirectly, worsening mucosal homeostasis. Via triggering of serum glucocorticoid kinase-1 (SGK1), salt drives the expression of IL-23R on T_H17 cells, inducing their pathogenicity, and it promotes IFN- γ secretion from T_{reg} cells, attenuating their suppressive capacities. Furthermore, high intake of salt can increase the frequencies of pathogenic T_H17 cells reducing the amount of *Lactobacillus* spp. within the GI tract.

evidence in a pilot human study showed that 14 days high salt challenge increased the number of circulating IL-17A- and TNF- α -secreting T_H17 cells. This was associated with higher blood pressure, which is a risk factor for atherosclerosis (14). High salt consumption has also been described to induce IFN- γ secretion from human T_{reg} cells, inhibiting their suppressive function both *in vitro* and *in vivo* in a SGK1-dependent fashion (73). Taken together, these studies show that salt directly alters phenotype and effector functions of CD4⁺ T cells in a microbiota-independent manner.

However, salt has also been reported to have a profound impact on the composition of intestinal microbiota, and only indirectly on the effector functions of intestinal T_H cells (91).

Two research groups have independently reported that high salt intake decreases the levels of *Lactobacillus* spp., ultimately favoring inflammation (14, 54). HSD-driven depletion of *Lactobacillus murinus* (*L. murinus*) increased the frequencies of LP T_H17 cells within small intestine and colon and *L.*

TABLE 2 | Table showing effects that diet supplementation with defined bio-products can have on CD4⁺ T cell phenotype and on intestinal and extra-intestinal inflammation.

Dietary supplements	Intestinal and extra-intestinal inflammation	Effects on CD4 ⁺ T cells
SCFAs	↓ colitis (93) ↓ small intestinal tumors (<i>K-ras</i> ^{G12Din}) (94)	↑ T _{reg} cells (93, 95) ↑ T _H 17 cells (96)
Indoles/AhR ligands	↑ Resolution of inflammation (35)	↑ T _H 17 cells (97) ↑ T _R ^{1exTH17} cells (35)
Vitamin A	↓ DSS- or TNBS-induced colitis (98, 99)	↓ T _H 17 cells ↑ T _{reg} cells (100, 101) ↑ LP T _{reg} cells (7, 102)
Vitamin D	↓ EAE (103) ↓ clinical symptoms in UC patients* (104)	↓ T _H 17 cells (103)

AhR, Aryl hydrocarbon Receptor; DSS, Dextran Sulfate Sodium; EAE, Experimental Autoimmune Encephalomyelitis; LP, Lamina Propria; SCFAs, Short-Chain Fatty Acids; TNBS, 2,4,6-Trinitrobenzene Sulfonic Acid; UC, Ulcerative Colitis.

*The study showed no amelioration of inflammation.

murinus supplementation ameliorated EAE by reducing numbers of T_H17 cells within the spinal cord of mice fed with HSD (14). Importantly, colonization of GF mice either with SFB alone or SFB and *L. murinus* resulted in high or low frequencies of LP T_H17 cells, suggesting that *L. murinus* presence modulates T_H17 cells. These findings suggest that dietary habits influence T cell phenotype and their effector functions both in a microbiota-dependent and -independent fashion, determining whether they exhibit protective or pathogenic roles in intestinal immunity.

In addition, HSD has been reported to decrease luminal levels of indole-3-lactic acid (ILA) and butyrate (14, 54). Butyrate promotes the expression of Foxp3, stabilizing the LP T_{reg} phenotype, therefore, its reduction induced by HSD can alter intestinal homeostasis (92).

Taken together, favoring increased lipid, cholesterol and salt consumption leads to alterations of the composition of the intestinal microbiota that in turn affect phenotype and effector function of intestinal CD4⁺ T cells. This can ultimately result in higher susceptibility to both intestinal and extra-intestinal infections and an increased risk of developing chronic autoimmune diseases.

Fiber, Indoles, and Vitamins

In this part of the review, we describe the effects that WTD-associated low contents of fiber, indoles and vitamins have on adaptive components of the intestinal immune system. Then we propose how supplementation of diets with defined bio-products of bacterial and dietary origin can restore the perturbed intestinal homeostasis. These findings are briefly summarized in **Table 2**.

Individuals with low bacterial species diversity have been shown to exhibit higher body mass index (BMI), serum triglyceride, hemoglobin A1c (HbA1c) and C-reactive protein levels as compared to those with higher diversity, indicating

a pivotal role of the intestinal microbiota in maintaining metabolic homeostasis (105, 106). Provision of fiber to the microbial community supports its species diversity (107) and diets low in dietary fiber have been associated with intestinal inflammation (108, 109). The beneficial effects of dietary fiber on mucosal homeostasis are graphically summarized in **Figure 3**. Deprivation of fiber induces proximity of intestinal bacteria to the epithelium by thinning of mucus layers (110), predisposing to pathogenic infections with *Citrobacter rodentium* (63). Along the same line, mice fed with fiber or inulin alone showed enrichment of SCFA-producing bacteria species, limiting *Clostridium difficile* growth, thus highlighting the therapeutic potential of fiber supplementation (111). In addition, Kim *et al.* showed that mice lacking the G-protein coupled receptor GPR43, one of the main receptors for SCFAs in the intestine, exhibited higher susceptibility to pathogenic infections, DSS-induced colitis and Azoxymethane (AOM)/DSS-induced carcinogenesis, all associated with increased frequencies of colonic LP T_H17 and decreased frequencies of T_{reg} cells (112, 113). Similarly, in a transfer-colitis model, SCFA supplementation ameliorated intestinal inflammation, increasing T_{reg} cell population in a GPR43-dependent manner (93). Among SCFAs, butyrate has indeed been shown to increase the generation of extra-thymic T_{reg} cells via promoting acetylation of the Foxp3 promoter and the conserved non-coding sequence 1 (CNS1), an enhancer element within Foxp3 locus (95). Butyrate supplementation protected also mice fed with HFD from developing spontaneous small intestinal tumors in the *K-ras*^{G12Din} model (94). Similarly, acetate administration increased the frequencies of IL-17-producing cells during an active immune response to *Citrobacter rodentium*, resulting in augmented bacterial clearance (96).

Taken together, these findings reveal not only the crucial role of SCFAs as mediators of intestinal immunity and mucosal homeostasis through their direct effect on CD4⁺ T cells, but they indirectly point out also the importance of the presence of SCFA-producing bacteria species within the intestinal microbiota.

Besides being characterized by a low content of fiber, WTD is also poor in fruits and vegetables, which have been shown to have a positive impact on human health (114). Green vegetables, especially belonging to the genus *Brassica*, contain indoles that are converted to biologically active ligands of AhR (115). AhR is expressed by various intestinal cell types, including IELs, ILC3s and T_H17 cells (116, 117). Lack of AhR impairs expression of AMPs, increases gut permeability in DSS-induced colitis and exacerbates immune activation (115). Furthermore, AhR^{-/-} mice exhibit reduction of IL-22-producing ILC3s, leading to higher fitness of SFB that in turn promotes intestinal T_H17 cells (117, 118). At the same time, the observation that AhR supports T_H17 cell differentiation through interaction with STAT1 (97), suggests that LP T_H17 cell development can be mediated by an AhR dependent mechanism. Interestingly, engagement of AhR via 6-Formylindolo[3,2-b]carbazole (FICZ) in *in vitro* differentiated T_H17 cells induces acquisition of IL-10, favoring their conversion into T_R1 cells. This indicates that AhR ligands could promote resolution of immune responses (35). It is therefore interesting to speculate that diets rich in green vegetables could limit intestinal inflammation of

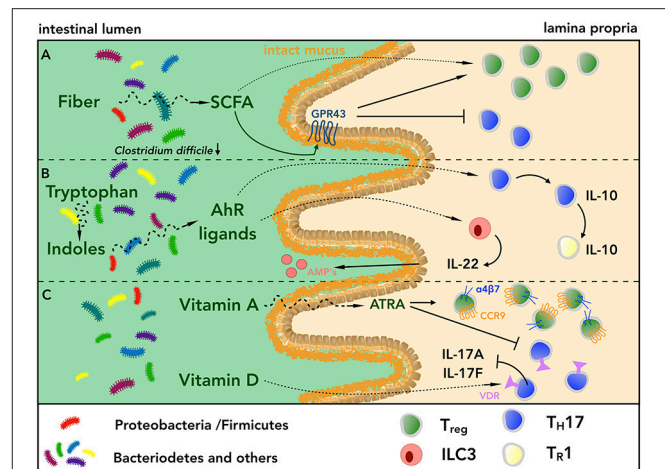


FIGURE 3 | Fiber, indoles and vitamins act in a synergistic fashion promoting intestinal homeostasis.

(A) Diets rich in fiber promote bacterial species diversity and short chain fatty acid (SCFA)-producing bacteria, which in turn limit pathogen growth, e.g. *Clostridium difficile*, and promote barrier integrity. SCFAs also support intestinal homeostasis upon engagement of GPR43 expressed on intestinal epithelial cells. Furthermore, SCFAs can directly interact with intestinal CD4⁺ T cells, shifting the balance of T_{reg}/T_H17 cells toward T_{reg} cells, thus inducing a regulatory microenvironment. (B) Within the lumen of the GI tract, the conversion of indoles to biologically active ligands of AhR contributes to maintain intestinal homeostasis acting on innate and adaptive immune cells. On the one hand, engagement of AhR by its ligands induces secretion of IL-22 from ILC3s, which in turn promotes the production of antimicrobial peptides (AMPs) from Paneth cells. On the other hand, triggering of AhR axis on T_H17 cells mediates their conversion into IL-10-secreting T_R1 cells, which are in turn able to terminate immune responses. (C) The biologically active form all-*trans* retinoic acid (ATRA) of vitamin A induces the expression of the gut homing receptors CCR9 and α4β7 integrin on T_{reg} cells, contributing to create a regulatory microenvironment within the GI tract, which is further augmented by the reduction of intestinal T_H17 cells. Similarly, engagement of VDR by active vitamin D metabolites dampens IL-17 secretion by T_H17 cells.

patients suffering from IBDs via AhR-driven conversion of pro-inflammatory T_H17 cells into regulatory T_R1 cells. However, this remains to be proven.

Differentiation and stability of the T_H17 cell phenotype can also be modulated by vitamins, especially A and D, the contents of which are reduced in WTD. Within the small intestine, dietary vitamin A is converted by CD103⁺ DCs into the biologically active form all-*trans* retinoic acid (ATRA) (119, 120). The detailed roles of ATRA in shaping intestinal immunity have been reviewed elsewhere (121). Administration of ATRA has been shown to ameliorate intestinal inflammation in mice suffering from DSS- or TNBS-induced colitis (98, 99), likely by shifting the balance T_{reg}/T_H17 in favor of T_{reg} cells (100, 101). Addition of ATRA to TGF-β during T_{reg} cell differentiation has also been shown to augment their capacity to migrate to the LP (7) and their *in vivo* suppressive capacities in a murine model of transfer-colitis (100). Of note, mice fed with vitamin A-deficient diet (VAD) showed a substantial decrease in the number of LP CD4⁺ T cells within the small intestine, due to the crucial role of vitamin A in mediating the induction of CCR9 and

$\alpha 4\beta 7$ integrin, key gut homing molecules (102). Along the same line, *Tejon et al.* showed that during intestinal inflammation, *in vitro* differentiated T_{reg} cells were able to efficiently convert into T_H17 cells when transferred into VAD-fed mice, suggesting an anti-inflammatory effect of ATRA (122).

On the one hand, inducing a more regulatory environment within the inflamed mucosa of patients suffering from IBDs via vitamin A supplementation could seem tempting. On the other hand, however, translating its effects in the clinics has been shown to be problematic, and as for now there is no evidence showing beneficial effects of vitamin A supplementation for the health of IBD patients.

Similar to vitamin A, vitamin D content is low in WTD and polymorphisms in the vitamin D receptor (VDR) gene have been associated with higher incidence of IBDs (123). Of note, T_H17 cells can be sensitive to vitamin D levels given their expression of VDR. In line with this, high intake of vitamin D has been shown to dampen IL-17A and IL-17F secretion of T_H17 cells, ultimately ameliorating clinical manifestations of EAE (103). Similarly, it has been recently reported that clinical disease activity of patients with active ulcerative colitis (UC) improved after weekly supplementation of cholecalciferol (104). However, no changes in intestinal and systemic inflammation were observed, and other clinical trials involving vitamin D supplementation to patients suffering from IBDs did not show substantial improvement of clinical parameters (124).

Taken together, while these findings question a possible therapeutic role of vitamin supplementation alone in ameliorating intestinal inflammation, they highlight the potential of dietary components in modulating the $CD4^+$ T cell phenotype. Among them, SCFAs and AhR ligands could promote intestinal homeostasis and favor mucosal immunity.

Translational studies are however required and will eventually shed the light on their efficacy in the clinics.

CONCLUSIONS AND PERSPECTIVES

Evidences on the impact of biologically active dietary components in modulating mucosal immunity and homeostasis are starting to emerge. Western dietary habits favoring high intake of lipids, cholesterol and salt promote local intestinal and extra-intestinal inflammation shaping phenotype and effector functions of $CD4^+$ T cells in a microbiota-dependent or -independent fashion. This can result in altered intestinal immunity, ultimately leading to higher susceptibility to infections caused by intestinal pathogens and increasing the risk for chronic inflammatory autoimmune diseases. The WTD-induced inflammatory state could, however, be potentially reverted by supplementing diets with food rich in fiber and indoles, which represent a promising therapeutic tool to modulate intestinal homeostasis by acting on the T_H17/T_{reg} cell axis and restoring SCFA-producing bacteria species.

AUTHOR CONTRIBUTIONS

FS and NS wrote the manuscript and prepared tables and figures. EV and SH edited the manuscript. NG supervised and edited the manuscript.

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Reduced CD27⁺IgD⁺ B Cells in Blood and Raised CD27⁺IgD⁺ B Cells in Gut-Associated Lymphoid Tissue in Inflammatory Bowel Disease

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The intestinal mucosa in inflammatory bowel disease (IBD) contains increased frequencies of lymphocytes and a disproportionate increase in plasma cells secreting immunoglobulin (Ig)G relative to other isotypes compared to healthy controls. Despite consistent evidence of B lineage cells in the mucosa in IBD, little is known of B cell recruitment to the gut in IBD. Here we analyzed B cells in blood of patients with Crohn's disease (CD) and ulcerative colitis (UC) with a range of disease activities. We analyzed the frequencies of known B cell subsets in blood and observed a consistent reduction in the proportion of CD27⁺IgD⁺ B cells expressing all Ig isotypes in the blood in IBD (independent of severity of disease and treatment) compared to healthy controls. Successful treatment of patients with biologic therapies did not change the profile of B cell subsets in blood. By mass cytometry we demonstrated that CD27⁺IgD⁺ B cells were proportionately enriched in the gut-associated lymphoid tissue (GALT) in IBD. Since production of TNF α is a feature of IBD relevant to therapies, we sought to determine whether B cells in GALT or the CD27⁺IgD⁺ subset in particular could contribute to pathology by secretion of TNF α or IL-10. We found that donor matched GALT and blood B cells are capable of producing TNF α as well as IL-10, but we saw no evidence that CD27⁺IgD⁺ B cells from blood expressed more TNF α compared to other subsets. The reduced proportion of CD27⁺IgD⁺ B cells in blood and the increased proportion in the gut implies that CD27⁺IgD⁺ B cells are recruited from the blood to the gut in IBD. CD27⁺IgD⁺ B cells have been implicated in immune responses to intestinal bacteria and recruitment to GALT, and may contribute to the intestinal inflammatory milieu in IBD.

Keywords: memory B cells, inflammatory bowel disease, GALT, mass cytometry, biologics, ustekinumab, infliximab

INTRODUCTION

Inflammatory bowel disease (IBD) encompasses two clinical entities: Crohn's disease (CD) and ulcerative colitis (UC). Both are chronic debilitating diseases characterized by relapsing intestinal inflammation. While the exact etiology of both diseases is still not clearly understood, current literature suggests that an aberrant immune response to the intestinal flora contributes to pathology in genetically susceptible individuals (1–3). The evidence for this is however stronger for CD than for UC (4), which can be associated with autoimmune conditions like primary sclerosing cholangitis (PSC) in a small subgroup of patients (5). CD is a condition hallmarked by granulomatous transmural inflammation that can affect the entire gastrointestinal tract and is frequently complicated by fistulae and strictures. In contrast, UC is predominantly a disease affecting the rectum and distal colon, where it manifests as ulceration limited to the mucosal layer. However, rectum-sparing forms of UC are known to exist and in rare cases the pathology can extend into the terminal ileum (6, 7). Whilst big strides have been made in developing biologic therapies for both diseases, a significant proportion of patients with CD and UC remain treatment refractory, highlighting the unmet need for a better understanding of the mechanisms contributing to the pathogenesis of both diseases (8, 9).

Most immunological research in CD and UC has focused on T lymphocytes and macrophages that infiltrate the mucosa in active disease (10–12). Th1 and Th17 cells have been implicated especially in the pathogenesis of CD, whilst their role in UC is still not fully understood (13, 14). UC is also characterized by the infiltration of neutrophils into the inflamed mucosa, where they are proposed to have a pathogenic role by the maintenance of inflammation (15). However, in both CD and UC, a significant increase in the number of plasma cells in the lamina propria has been observed and linked to disease pathogenesis (16–18).

The gut-associated lymphoid tissue (GALT) comprises the appendix, Peyer's patches in the ileum and isolated lymphoid follicles in the small and large intestine. GALT is the inductive site for the generation of protective immune responses against enteric pathogens as well as the tolerogenic response to commensal species (19). Interestingly, the appendectomy of the (inflamed) appendix at a young age has been correlated with a reduced risk of developing UC later in life (20). The underlying mechanism of this protective effect is unknown; however, it strongly suggests that an aberrant immune response in the appendix can predispose to UC. In addition, several reports have described appendiceal inflammation in patients with UC affecting only the distal parts of the colon (21, 22). Based on these studies that suggest that plasma cells, B cells and GALT could be implicated in the development of IBD, we sought to study the B cell populations in blood and GALT of CD and UC patients. In addition, we aimed to study the gut-homing capacity of the different populations in blood by investigating the expression of

the $\beta 7$ subunit of $\alpha 4\beta 7$ integrin in active disease vs. remission. We further looked at how the three main biologic treatments for IBD, the anti-TNF treatment infliximab, the anti- $\alpha 4\beta 7$ integrin treatment vedolizumab, and the anti-IL12/IL23 p40 antibody ustekinumab affect peripheral B cell subsets in IBD. While several B cell aberrations in blood and GALT in IBD were observed, we focus this report on the poorly understood CD27⁺IgD⁺ population that are likely to be unconventional memory B cells (23–27), that we found to be consistently depleted in CD and UC blood, but enriched in GALT.

MATERIALS AND METHODS

Sample Collection

All recruited IBD patients had a confirmed diagnosis of either CD or UC and took part with informed written consent. The study was approved by the local ethics committee (REC 10/H0704 and REC 15/LO/2127). Blood samples were obtained from patients who attended the biologics infusion clinic or the outpatient IBD clinic for a routine review. Controls for the study of blood cells were healthy donors who were age and gender matched to the patients in each group. Endoscopic samples were taken from IBD patients undergoing routine colonoscopies to assess disease activity, and from patients undergoing polypectomy who had no signs of intestinal inflammation, serving as controls. GALT samples were obtained by targeted biopsies of ileal Peyer's patches and the appendiceal orifice. Mononuclear cells from biopsies were isolated using a collagenase digest as previously described (28). Blood samples were collected in sodium heparin tubes and peripheral blood mononuclear cells (PBMCs) were isolated using Ficoll-Paque (GE Healthcare, Amersham, UK) density gradient centrifugation as previously described (28). PBMCs were cryopreserved using fetal bovine serum (FBS)/10% dimethyl sulfoxide (DMSO; both Sigma Aldrich, Gillingham, UK) and stored in the vapor phase of liquid nitrogen until use. An overview of recruited patients is provided in **Supplemental Tables 1, 2**. For cell sorting experiments blood cones from healthy donors were purchased from NHS Blood and Transplant (Tooting, UK).

Patient Stratification

We included 10 patients receiving infliximab (8 CD and 2 UC; 55% female, median age 41); six patients receiving vedolizumab (1 CD and 5 UC, 50% female, median age 32), and 14 CD patients receiving ustekinumab (50% female, median age 32). Patients received:

- Intravenous (IV) ustekinumab (Stelara[®]) 6 mg/kg (induction) and 90 mg subcutaneously every 8 weeks from week 8.
- IV infliximab (Remsima[®]) 5 mg/kg at week 0, 2, and 6 thereafter every 8 weeks.
- IV vedolizumab (Entyvio[®]) 300 mg at week 0, 2, and 6 and thereafter every 8 weeks.

Blood samples were prospectively collected pre-treatment (week 0), at 6 weeks (infliximab and vedolizumab) or 8 weeks (ustekinumab). Clinical response/remission was assessed at week 14 (infliximab and vedolizumab) or week 16 (ustekinumab).

Abbreviations: IBD, inflammatory bowel disease; UC, ulcerative colitis; CD, Crohn's disease; HC, healthy control; CRP, C-reactive peptide; GALT, gut-associated lymphoid tissue.

Clinical remission was defined as Harvey-Bradshaw index (HBI) <5 or partial Mayo score 0–1 at week 14/16 (29, 30). Clinical response was defined as HBI reduction ≥ 3 or partial Mayo score reduction ≥ 2 for patients with inactive disease at baseline, or >30% reduction if disease activity index was abnormal (HBI ≥ 5 , partial Mayo ≥ 2) at week 0. Biological response was defined as a 50% reduction in CRP, if baseline CRP >5 mg/l. Biological remission was defined as CRP <5 mg/l (31).

Multi-Parameter Flow Cytometry

Frozen PBMCs from healthy volunteers and IBD patients were thawed, washed and rested for 45 min in RPMI-1640 containing 10% FBS, 100 U/ml penicillin/100 μ g/ml streptomycin (all Gibco/Thermo Fisher Scientific, Waltham, MA, USA), with DNase I (0.1 mg/ml; from Roche, Welwyn Garden City, UK). Cells were resuspended in PBS prior to live dead staining with Zombie Aqua (Biolegend, San Diego, CA, USA). Cells were then stained with fluorochrome conjugated monoclonal antibodies to CD19, CD27, CD10, IgA, IgD, IgM, and integrin $\beta 7$ (Supplemental Table 3). Cells were analyzed using a BD Biosciences LSR Fortessa flow cytometer (Wokingham, UK) before determination of B cell populations using the gating strategy shown in Figure 1. Further detail and explanation are provided in Supplemental Figure 1 and Supplemental Table 4. IgG was not used in the flow cytometry panel, but preliminary experiments demonstrated that cells lacking IgM, IgA (and IgD for the CD27⁺ subset) could be reasonably classified as IgG⁺, so this method was used to reduce antibodies in the panel. One of five test experiments is illustrated in Supplemental Figure 2. Although theoretically IgE expressing B cells could have been included, these are known to be very small in number and are difficult to detect due to the possibility of IgE binding to Fc receptors.

Cell Sorting

Frozen blood cone derived PBMCs were thawed, washed and rested in RPMI-1640 containing 10% FBS, 100 U/ml penicillin/100 μ g/ml streptomycin, and DNase I (0.1 mg/ml) for 45 min. Cells were stained with DAPI, anti-CD19, CD27, and IgD prior to sorting using a BD Biosciences FACS Aria II Cell Sorter. B cells were sorted initially by CD19 expression, with four populations isolated based on CD27 and IgD expression: CD27⁺IgD⁺, CD27⁺IgD[−], CD27[−]IgD⁺, and CD27[−]IgD[−] (Supplemental Figure 3A).

Analysis of Intracellular Cytokines

Unsorted cell suspensions or B cell populations sorted by FACS were suspended in RPMI-1640 containing 10% FBS, 100 U/ml penicillin/100 μ g/ml streptomycin and seeded into 96 well plates prior to stimulation with 250 ng/ml ionomycin, 50 ng/ml PMA (both Sigma) and GolgiStop (1:1,000; BD Biosciences) for 4 h. Cells were washed and resuspended with PBS prior to live dead staining with Zombie Aqua. Subsequently, cells were washed and fixed with 2% paraformaldehyde, prior to treatment with permeabilization buffer (both eBioscience/Thermo Fisher Scientific). Cells were stained with anti-TNF α (Mab11,

BioLegend, 1:50) and anti-IL10 (JES3-19F1, BioLegend, 1:20) and data acquired using a FACS Canto II instrument (BD Biosciences). See Supplemental Figure 4 for gating strategies for analysis of cytokine production by whole CD19⁺ populations from GALT and blood and Supplemental Figure 3 for sorting CD27⁺IgD[−], CD27[−]IgD[−], CD27⁺IgD⁺, and CD27[−]IgD⁺ populations and for analysis of cytokine production by cultured sorted cells.

Mass Cytometry

Cells isolated from healthy control mucosal biopsy samples ($n = 6$) and IBD (UC: $n = 6$ and CD: $n = 1$) were washed and rested in RPMI-1640 10% FBS, 100 U/ml penicillin/100 μ g/ml streptomycin and DNase I (0.1 mg/ml) for 30 min. Cell counts were obtained using trypan blue (Sigma) on a Countess II FL cell counter (Thermo Fisher Scientific). From each sample two million live cells were stained with Cell-ID Intercalator-103 Rh (1:500; Fluidigm, San Francisco, CA, USA) for DNA for 15 min. After washing cells were stained with metal-conjugated antibodies (Supplemental Table 5) for 30 min, then washed and fixed in 2% paraformaldehyde overnight at 4°C. Cells were pelleted and frozen in FBS/10% DMSO at −80°C for no longer than 14 days. On the day of the mass cytometry run, cells were thawed and washed prior to incubation with 0.3% saponin with Cell-ID Intercalator-Ir for 20 min to permeabilize and live/dead stain, respectively (32). Cells were then washed with PBS followed by two water washes before being run into a Fluidigm Helios mass cytometry instrument.

Data Analysis

Flow cytometry data was analyzed in FlowJo software (FlowJo LCC, Ashland, Oregon, USA) to identify nine B cell populations, as described in Figure 1, Supplemental Figures 1, 2, and Supplemental Table 4. The designation of cells that do not express IgM or IgA (or IgD for CD27[−] cells) as IgG⁺ was based on preliminary experiments Supplemental Figure 2.

Mass cytometry data was analyzed using cloud-based cytometry platform, Cytobank (Santa Clara, CA USA <https://mrc.cytobank.org>). Bead-based normalization of the individual mass cytometry data was performed with the Normalizer v0.3 software from Garry P. Nolan Laboratory (downloaded from <https://github.com/nolanlab/bead-normalization/releases/tag/v0.3>). Supplemental Figure 5A shows pre and post-normalization plots. Normalized files were gated as shown in Supplemental Figures 5B,C after uploading onto Cytobank to remove doublets by gating on DNA and removing cells with implausible marker combinations. Data was generated by further subset gating in Cytobank.

Statistical Analysis

Statistical testing was performed using GraphPad software (La Jolla, CA, USA). Individual groups were compared using Mann-Whitney U non-parametric test. Multiple groups were compared using Kruskal-Wallis ANOVA. A $p < 0.05$ was considered significant. Identifiers of statistical values derived from Kruskal-Wallis ANOVA are identified with red lines and asterisks in the

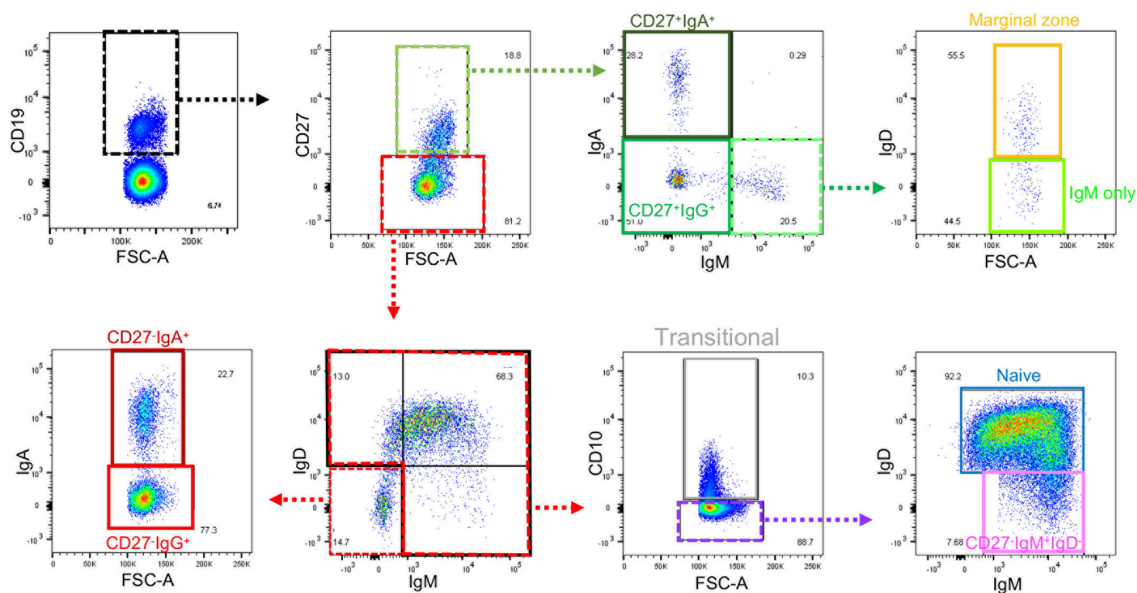


FIGURE 1 | Gating strategy for B cell subsets from blood. Gating strategy to define nine subsets of B cells using the same color code as the gating plan in **Supplemental Table 4**. Further details of gating prior to the selection of CD19⁺ cells are in **Supplemental Figure 1**. Gates that define intermediate populations are dotted. Gates that define final populations studied are solid.

figures. Identifiers of statistical values derived using the Mann-Whitney *U* test are identified with black lines and asterisks in the figures.

RESULTS

Altered B Cell Subset Frequencies in Peripheral Blood of IBD Patients

In order to assess relative frequencies of the main B cell subsets in peripheral blood of CD and UC patients, we subjected the PBMCs of patients with various disease activities and treatments (see **Supplemental Table 1**) to six color flow cytometry. B cell subsets were gated as described in **Figure 1**, **Supplemental Figure 1** and **Supplemental Table 4**. In UC blood we observed a moderate reduction of transitional B cells (CD27⁺IgM⁺IgD⁺CD10⁺) and an increase in marginal zone B cells (CD27⁺IgM⁺IgD⁺) compared to controls (**Figure 2**). The frequencies of naive, CD27⁺ IgA⁺, and CD27⁺IgM⁺ subsets did not differ between IBD and controls (**Figure 2**). In UC blood, the frequency of CD27⁺IgG⁺ was increased compared to both HC and CD (**Figure 2**). Interestingly, the most striking difference compared to controls was the overall reduction of CD27⁺IgD⁺ populations expressing IgM, IgA or IgG in the blood of both UC and CD patients (**Figure 2**).

Increased Frequencies of CD27⁺IgD⁺ B Cells in IBD GALT

Since the proportion of CD27⁺IgD⁺ B cells of total CD19 B cells was reduced in blood of patients with IBD, we asked whether this is also a feature of B cells in GALT of patients with IBD. To answer this specific question, we interrogated a large dataset

available in our lab that had been generated by mass cytometric analysis of cells isolated from GALT of healthy individuals and patients with IBD. Following initial normalization and quality control we analyzed the data by manually gating the .fcs files in Cytobank (**Supplemental Figure 5**, **Supplemental Table 4** and **Figure 3**). We initially gated on CD19⁺ cells and then excluded CD10⁺ cells since these would include germinal center cells that are not comparable with blood. We then analyzed the frequencies of CD27⁺IgD⁺, CD27⁺IgD⁺, CD27⁺IgD⁺, and CD27⁺IgD⁺ B cells and observed an increase in the proportion of CD27⁺IgD⁺ cells and a reduction in CD27⁺IgD⁺ cells in GALT in IBD. This demonstrated that the CD27⁺IgD⁺ B cell subset is not globally depleted in patients with IBD.

Expression of Gut-Homing Marker Beta7 Integrin on CD27⁺IgD⁺ B Cells

The enrichment of CD27⁺IgD⁺ cells in GALT in IBD, and the reduction of all subsets of this population expressing IgM, IgA, and IgG in blood in IBD, implies that CD27⁺IgD⁺ cells may be recruited from the blood to the gut in IBD. Therefore, we went on to investigate the gut-homing capacity of those cells in blood of UC and CD patients by analyzing the expression of $\alpha 4\beta 7$ integrin (by staining the $\beta 7$ sub-unit). In addition, we were interested in determining whether this might differ in active disease compared to remission state. For the analysis samples were retrospectively stratified into raised C-reactive peptide (CRP >5 mg/l, indicating active inflammation) and normal CRP (CRP <5 mg/l). Samples were also stratified by a global clinical assessment of the patients being having active disease compared to being in remission.

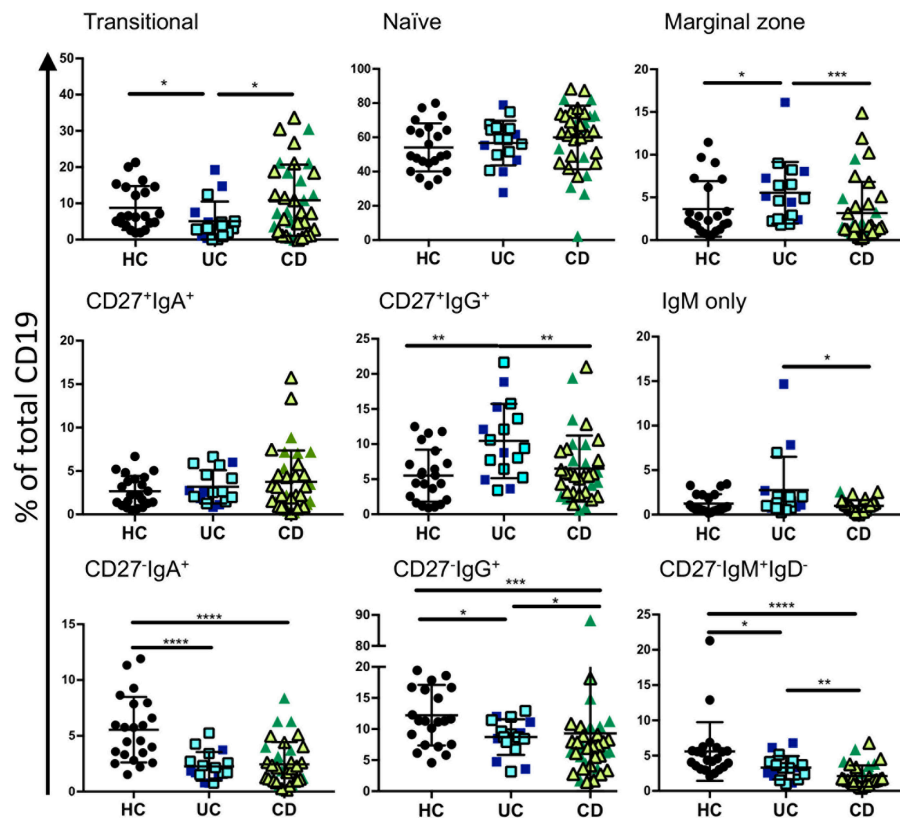


FIGURE 2 | Analysis of B cell subsets in healthy controls and IBD patients. Peripheral blood mononuclear cells from IBD and HC patients were stained using fluorescent antibodies and gated according to the strategy in **Figure 1** and **Supplemental Table 4**. Data is presented as percentage of total CD19 positive cells from each individual HC ($n = 22$), UC patient ($n = 17$), and CD patient ($n = 35$). Patients with UC in are represented with blue symbols. Symbols that are lighter blue with black borders are patients in remission. Patients with CD in are represented with green symbols. Symbols that are lighter green with black borders are patients in remission. Differences between groups were analyzed by Mann-Whitney U test where $p < 0.05 = *$, $p < 0.01 = **$, $p < 0.001 = ***$, and $p < 0.0001 = ****$.

The frequency of CD27⁺IgA⁺ cells was slightly raised in UC patients with CRP >5 compared to CRP <5 and the expression of $\beta 7$ integrin increased when CRP was elevated. A similar trend was observed for the CD samples but did not reach significance (**Figures 4A,B**). In UC with CRP >5, the frequencies of CD27⁺IgG⁺ cells and CD27⁺IgM⁺IgD⁺ cells showed a non-significant trend toward being raised with increased $\beta 7$ expression. No differences were seen for those two subsets and $\beta 7$ in CD with a CRP >5 in terms of % positive cells (**Figure 4B**), however MFI of $\beta 7$ integrin expression was lower in CD27⁺IgM⁺IgD⁺ cells in patients with CD when CRP was >5 compared to <5 (**Supplemental Figure 6**). When patients were stratified clinically into either active disease or in remission, we still observed a bias toward reduced proportion of CD27⁺IgD⁺ cells. The reduction of CD27⁺IgD⁺ was significantly reduced in blood from CD patients compared to HC independently of disease activity (**Figure 4C**). For the UC samples we observed a non-significant trend of such a reduction. The expression of $\beta 7$ integrin on CD27⁺ cells in CD blood as either % positive cells or MFI tended to be raised but values did not reach statistical significance (**Figure 4D** and **Supplemental Figure 6**).

Treatment With Biologics has Little Impact on Blood CD27⁺IgD⁺ Cells in IBD

Treatment escalation in advanced CD and UC cases involves the use of biologic treatments. The most frequently used are the anti-TNF antibody infliximab and the anti- $\alpha 4\beta 7$ antibody vedolizumab for UC and CD. The latter disease can also be treated with the anti-p40 antibody ustekinumab. Little is known how those antibodies affect blood B cells populations. While we did not anticipate a direct effect of these drugs on B cells, we wondered whether the induction of remission and hence improved gut barrier function could have an impact. CD27⁺IgD⁺ cells have been shown to be responsive to bacterial antigens (33). Therefore, we hypothesized that mucosal healing during the course of treatment might affect the proportion of CD27⁺IgD⁺ B cells in the blood stream of IBD patients. Infliximab treatment (sample obtained at week 6; “post”) did not change the frequencies of CD27⁺IgA⁺, CD27⁺IgG⁺, or CD27⁺IgM⁺IgD⁺ populations compared to the corresponding sample taken before treatment commenced (**Figure 5A**). The effect of vedolizumab was also assessed at week 6 (“post”) and, interestingly, only the CD27⁺IgA⁺ population was increased compared to the sample taken before treatment

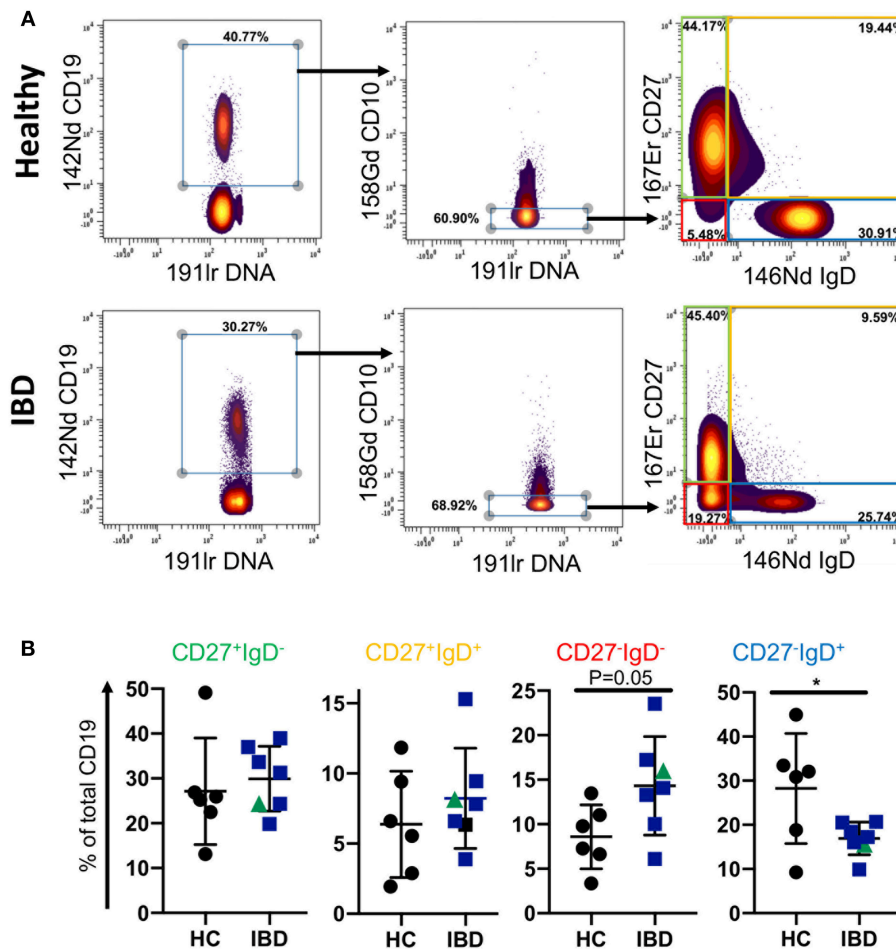


FIGURE 3 | B cell subsets in GALT of healthy control and IBD patients. Data was gated on the Cytobank platform. Following selection of CD19⁺ cells and elimination of CD10⁺ cells (since these would include germinal center cells in tissues and therefore not be equivalent to CD10⁺ subsets in blood), cells were gated according to their expression of CD27 and IgD. Examples of a HC and an IBD sample are illustrated in (A). In (B) dotplots represent individually gated data points for HC ($n = 6$), patients with UC ($n = 6$ in blue) and a patient with CD in green, groups together as IBD. Groups were compared by Mann-Whitney U test where $p < 0.05 = *$.

(“pre”; **Figure 5B**), suggesting that vedolizumab retains this population in the blood by blocking gut homing via $\alpha 4\beta 7$. The CD27⁻IgG⁻, and CD27⁻IgM⁺IgD⁻ populations were lower in IBD blood compared to controls but did not change during treatment (**Figure 5B**). Ustekinumab treatment mildly lowered the frequency of CD27⁻IgA⁺ cells (week 16; post, compared to treatment start; pre. **Figure 5C**) but did not affect the frequencies of CD27⁻IgG⁺, or CD27⁻IgM⁺IgD⁻ cells (**Figure 5C**).

B Cells in GALT Produce TNF α and IL-10

Since our data show that B cell subsets are represented differently in blood and GALT, we wondered whether those B cells might be capable of producing cytokines such as TNF α and IL-10 (34). We analyzed B cells isolated from blood and two GALT sites: the Peyer’s patches in the terminal ileum and colonic follicles in the rectum from the same donors for their production of intracellular TNF α and IL10 (**Supplemental Figure 4**). Compared to the donor-matched PBMCs, the B cells in healthy GALT showed greater tendency to produce TNF α (~25% compared to 10%).

Interestingly, slightly more B cells isolated from colonic follicles produced TNF α than their counterparts isolated from Peyer’s patches. B cells in GALT from CD patients also tended to produce more TNF α than the corresponding PBMCs (**Figure 6A**). In both health and CD, PBMCs and matching GALT B cells were able to produce IL-10 (around 20% of all B cells). There was a non-significant trend for more B cells producing IL-10 in blood compared to GALT (**Figure 6B**).

To determine if CD27⁻IgD⁻ B cells might differ in cytokine production and therefore might differ in their potential to drive inflammation compared to other subsets, FACS sorted CD27⁺IgD⁻, CD27⁻IgD⁻, CD27⁺IgD⁺, and CD27⁻IgD⁺ B cells underwent intracellular staining and flow cytometry for intracytoplasmic TNF α and IL-10 (**Supplemental Figure 3**). CD27⁺IgD⁻, CD27⁻IgD⁻, CD27⁺IgD⁺ populations but fewer CD27⁻IgD⁺ B cells had intracytoplasmic TNF α (**Figure 6C**). In contrast, neither CD27⁺IgD⁻, CD27⁻IgD⁻ nor CD27⁺IgD⁺ cells produced IL10 following stimulation, while between 5 and 20% CD27⁻IgD⁺ cells produced IL-10 (**Figure 6D**).

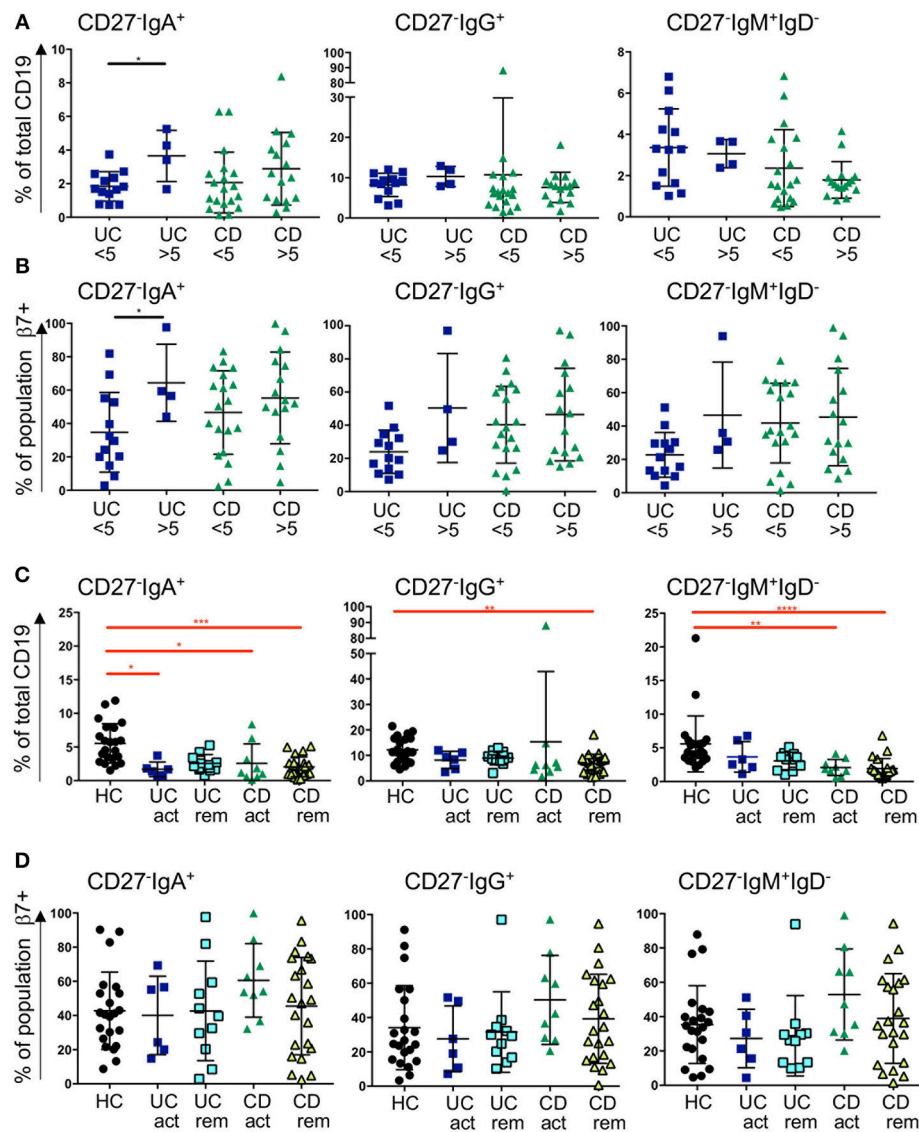


FIGURE 4 | Frequency of blood CD27⁺IgD[−] subsets and $\beta 7$ integrin expression in CD and UC patients stratified according to CRP levels and remission status. Three subsets of CD27⁺IgD[−] B cells (CD27⁺IgA⁺, CD27⁺IgG⁺, and CD27⁺IgM⁺IgD[−]) are presented as percentage of CD19⁺ cells in (A) and in (B) the percentage of individual populations expressing of $\beta 7$ integrin. For (A,B), UC < 5 n = 13, UC > 5 n = 4, CD < 5 n = 19, CD > 5 n = 16; for (C,D) HC n = 22, UC active n = 6, UC remission n = 11, CD active n = 9 and CD remission n = 22. Data is analyzed by Mann-Whitney U test (black bars and asterisks) or Kruskal-Wallis ANOVA test (red bars and asterisks) where p < 0.05 = *, p < 0.01 = **, p < 0.001 = *** and p < 0.0001 = ****.

DISCUSSION

In this study we aimed to gain insights into the peripheral B cell subsets in IBD and how those subsets might differ between active disease and the state of remission. We observed a consistent proportionate reduction of CD27⁺IgD[−] B cells expressing IgM, IgA, and IgG in the blood in IBD and an enrichment of the CD27⁺IgD[−] subset in GALT in IBD, consistent with recruitment of CD27⁺IgD[−] B cells from the blood to the gut in IBD. Depletion of CD27⁺IgD[−] B cells from the blood is seen in patients with both CD and UC even when patients are in remission and when disease activity is low. Although, differences

in transitional B cells, marginal zone B cells and CD27⁺IgG⁺ B cells were observed, mainly between UC and controls, this could be in compensation for the relative fall in the CD27⁺IgD[−] subset, as populations were quantified with CD19⁺ cells remaining at 100% and total B cell counts were not determined. We propose that the major feature of the data is the depletion of CD27⁺IgD[−] subsets of B cells because this was observed consistently across all isotypes and despite the different gating strategies required for their identification from the blood of patients with UC and CD.

The white blood count in IBD can be altered during a disease flare or lowered by treatments like azathioprine and methotrexate (35). However, we also observed our findings in

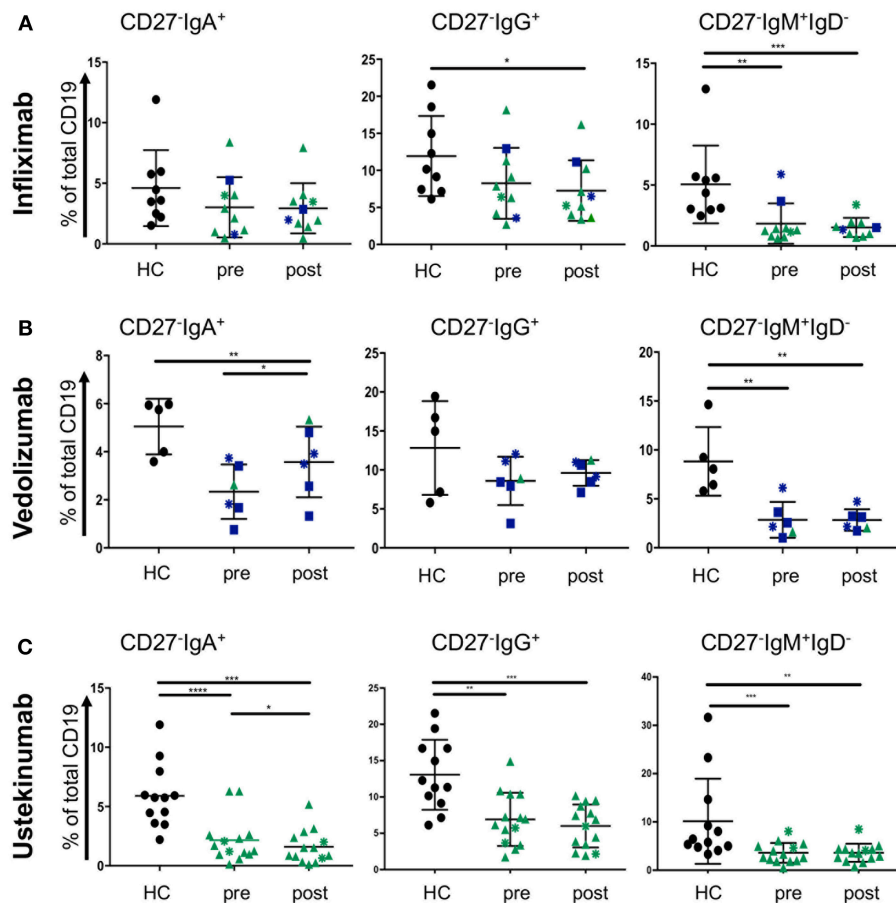


FIGURE 5 | CD27⁺ IgD⁺ B cells subsets in blood of CD and UC patients treated with biologics. Patients were treated with either **(A)** infliximab ($n = 10$, HC $n = 9$), **(B)** vedolizumab ($n = 6$, HC $n = 5$), or **(C)** ustekinumab ($n = 14$, HC $n = 12$) with a baseline (pre) and a post-treatment (6 weeks for infliximab and vedolizumab, and 8 weeks for ustekinumab) sample obtained. Subsets of CD27⁺ IgD⁺ cells were compared to age and gender matched healthy controls (Dark blue squares: UC patients responsive to treatment; Dark blue asterisks: UC patients non-responsive to treatment; Green triangles: CD patients responsive to treatment; Green asterisks: CD patients non-responsive to treatment). Data was analyzed by Mann-Whitney U tests where $p < 0.05 = *$, $p < 0.01 = **$, $p < 0.001 = ***$ and $p < 0.0001 = ****$.

patients with only mild disease or remission, and most patients were not on treatments that cause lymphopenia, suggesting the observed reduction of CD27⁺ IgD⁺ subsets is not directly linked to treatment or a flare.

As we were interested in whether peripheral B cell subsets are affected by disease activity in CD and UC, we stratified patients based on their CRP values (CRP > 5 mg/l as a marker of ongoing inflammation) and based on clinical scoring by a clinical gastroenterologist. Interestingly, we observed higher frequencies of CD27⁺ IgA⁺ cells and an increase in $\beta 7$ integrin on those cells in blood of UC patients with raised CRP levels. This was not significant for UC patients stratified clinically (**Figure 4**). Treatment with the antibody vedolizumab significantly raised the frequency of this subset during treatment (measured at 6 weeks; **Figure 5B**), suggesting that blood CD27⁺ IgA⁺ B cells are retained in blood by vedolizumab which hinders gut homing by blocking $\alpha 4\beta 7$. Interestingly, treatment with infliximab and ustekinumab did not have much effect on blood frequencies of CD27⁺ IgD⁺ B cells. We only noticed a mild reduction in CD27⁺

IgA⁺ cells at 8 weeks of ustekinumab treatment (**Figure 5C**). Whether this finding is of therapeutic relevance remains to be determined.

CD27⁺ IgD⁺ B cells comprise $\sim 5\%$ of blood B cells in healthy individuals and are considered to be part of the memory B cell pool because they have mutations in their Ig heavy chain genes consistent with having transited through a germinal center (23–27). However, the role of these cells is not understood. They have been associated with aging and inflammatory diseases including rheumatoid arthritis (RA), systemic lupus erythematosus (SLE) and Alzheimer's disease, in which increased frequencies are present in the blood (36–39). Whilst the cause of the increase is not known, this double negative subset has been described as responsive to anti-inflammatory treatments; anti-TNF therapy in RA reduced the cell frequency (40), and conventional treatment in SLE increased it (36). It is therefore very interesting that the change in frequency of CD27⁺ IgD⁺ B cells in IBD is in the opposite direction to that observed in aging and other disease settings, and that we do not observe changes in response

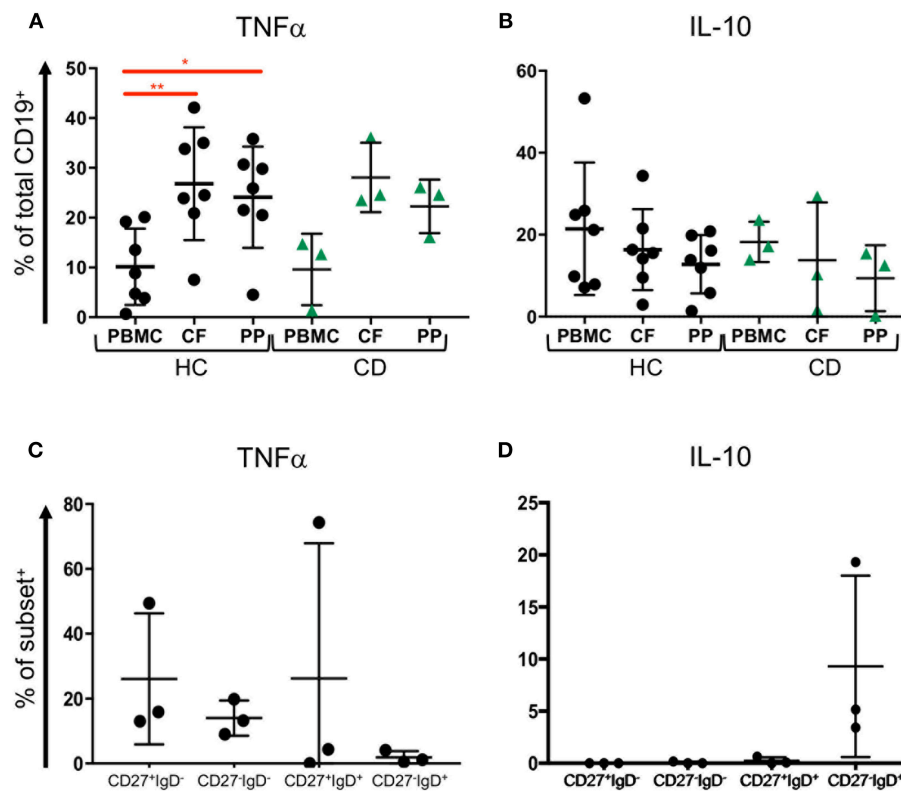


FIGURE 6 | TNF α and IL-10 expression by blood and tissue B cells. Blood (PBMC) and GALT (CF, colonic follicles; PP, Peyer's patches) samples were obtained from HC ($n = 7$) and CD patients ($n = 3$) and isolated CD19⁺ cells were analyzed for (A) TNF α and (B) IL-10 expression according to the strategy in **Supplemental Figure 4**. Subsets of B cells were sorted from blood as in **Supplemental Figure 3**, and expression of (C) TNF α and (D) IL-10 measured. Data was analyzed by Kruskal Wallis ANOVA test, where $p < 0.05 = *$ and $p < 0.01 = **$.

to biologic therapies. As is often the case with studies of inflammatory diseases, we cannot rule out the possibility that medication given at some time may have induced the changes we see. However, other inflammatory diseases may share the same therapeutic strategies, suggesting that the reduced frequency of CD27⁺IgD⁻ B cells in IBD is a feature of the condition rather than its treatment.

It has been suggested previously that CD27⁺ memory B cells are associated with intestinal B cell responses (25, 33). They are increased in frequency in healthy GALT compared to other lymphoid tissues (41), CD27⁺IgA⁺ memory cells have been shown to have a distinctive repertoire and a bias toward lambda light chain usage. They are polyspecific and able to bind multiple bacterial species in health (33). CD27⁺IgA⁺ memory B cells have also been reported to be generated by T cell independent immune responses since they are present in the blood of patients with deficient CD40/CD40L interactions (25). The increased frequency of CD27⁺IgD⁻ B cells we observe in GALT in IBD in our study may be due to local proliferation of this subset in response to local challenge rather than selective recruitment from the blood. On the other hand, and in contrast, it has also been suggested that CD27⁺ memory B cells are part of the normal spectrum of conventional memory B cells since clones of B cells can span the CD27⁺ and CD27⁻ subsets

(26). In this case, loss or gain of CD27 from members of a clone may reflect time or tissue context rather than lineage or clone specificity.

Our data linking CD27⁺IgD⁻ B cells with intestinal inflammation and their relative depletion from the blood and enhanced frequencies in gut would be consistent with the concept that they are a distinct population with a role in antibacterial immunity. The mucosal barrier is known to be disrupted to varying degrees in IBD so that the local bacterial challenge is likely to be higher (42). UC and CD have markedly different pathogeneses and are impacted by different genetic predispositions and environmental drivers. It is interesting therefore that they share the feature of depletion of CD27⁺ B cells from the blood. This suggests that recruitment of CD27⁺ B cells to the gut is more likely a response to an intestinal challenge rather than a feature of the disease process *per se*. This is potentially also the case in quiescent IBD as persistent changes to epithelial barrier function have been described in UC patients who had no mucosal defects (43, 44).

Since our data shows that GALT B cells and blood B cells, including CD27⁺IgD⁻ memory cells, are able to produce TNF α , we suggest that increased recruitment of these cells to the gut might contribute to the inflammatory milieu in IBD.

AUTHOR CONTRIBUTIONS

JS and AV designed the study and wrote the manuscript. CP performed flow cytometric analysis and mass cytometry. NZ, TT, and JaS performed experimental design, mass cytometry, data analysis, and data visualization. MC performed sample preparation and flow cytometry. WG and KC analyzed B cell cytokine production. KK, AV, JeS, and LL provided clinical samples and stratified patients by treatment response. All authors contributed to the final manuscript.

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Short Chain Fatty Acids (SCFAs)-Mediated Gut Epithelial and Immune Regulation and Its Relevance for Inflammatory Bowel Diseases

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Ulcerative colitis (UC) and Crohn's disease (CD), collectively known as Inflammatory Bowel Diseases (IBD), are caused by a complex interplay between genetic, immunologic, microbial and environmental factors. Dysbiosis of the gut microbiome is increasingly considered to be causatively related to IBD and is strongly affected by components of a Western life style. Bacteria that ferment fibers and produce short chain fatty acids (SCFAs) are typically reduced in mucosa and feces of patients with IBD, as compared to healthy individuals. SCFAs, such as acetate, propionate and butyrate, are important metabolites in maintaining intestinal homeostasis. Several studies have indeed shown that fecal SCFAs levels are reduced in active IBD. SCFAs are an important fuel for intestinal epithelial cells and are known to strengthen the gut barrier function. Recent findings, however, show that SCFAs, and in particular butyrate, also have important immunomodulatory functions. Absorption of SCFAs is facilitated by substrate transporters like MCT1 and SMCT1 to promote cellular metabolism. Moreover, SCFAs may signal through cell surface G-protein coupled receptors (GPCRs), like GPR41, GPR43, and GPR109A, to activate signaling cascades that control immune functions. Transgenic mouse models support the key role of these GPCRs in controlling intestinal inflammation. Here, we present an overview of microbial SCFAs production and their effects on the intestinal mucosa with specific emphasis on their relevance for IBD. Moreover, we discuss the therapeutic potential of SCFAs for IBD, either applied directly or by stimulating SCFAs-producing bacteria through pre- or probiotic approaches.

Keywords: SCFAs, IBD, immune cells, IECs, intestinal mucosa, dysbiosis

INTRODUCTION

Inflammatory Bowel Diseases (IBD), comprising mainly ulcerative colitis (UC) and Crohn's disease (CD), are characterized by chronic and recurrent inflammation in the gastrointestinal tract. Symptoms such as diarrhea, abdominal cramps, weight loss, fatigue, anemia, and extra-intestinal signs (arthralgia or arthritis among others), have major impact on quality of life. Both disorders are characterized by intermittent active (mild, moderate, or severe) and inactive periods (remission or quiescence). The incidence and prevalence of UC and CD have increased worldwide in the last 50 years, especially in developing/Western countries. IBD is a result of a complex interplay between genetic, immunologic, microbial, and environmental factors, making development of a subtype-specific treatment a challenging task. Thus, increasing efforts are ongoing to develop personalized therapies to induce remission of these diseases and improve the patient's quality of life (1–3).

The gut microbiome has gained increasing attention as a factor that controls intestinal homeostasis in healthy individuals. Various lifestyle and environmental factors, such as hygiene and the use of antibiotics, together with the consumption of a “Western diet” low in fiber and high in fat and sugar are associated with an imbalanced intestinal microbiota, or dysbiosis, which may lead to chronic inflammation and metabolic dysfunction (4, 5). The perturbation of the microbiota can create an inflammatory environment in the gastrointestinal tract, altering intestinal homeostasis (6, 7), as seen in IBD. Innate and adaptive inflammatory cells infiltrating the lamina propria (LP) can produce pro-inflammatory cytokines (such as IFN- γ , IL-17, TNF- α , or IL-1 β) exacerbating the inflammatory process, causing epithelial damage and intestinal and extra-intestinal symptoms (3, 8). However, it remains unclear whether dysbiosis is a cause or a consequence of IBD (9).

The intestinal microbiome of a healthy individual is a balanced community of different microorganisms, including bacteria, bacteriophages, viruses, archaea, and fungi (10). The bacterial community participates in maintaining intestinal homeostasis through the “training” of the immune system and inhibiting growth of pathogens and pathobionts (11, 12). Intestinal inflammatory responses are modulated by the gut microbiome. This may go either way, e.g., IL-10 deficient mice show less severe chronic bowel inflammation in germ-free (GF) conditions (13, 14), while acute chemically-induced colitis is exacerbated in GF mice compared to mice with a normal microbiome (15). Also in humans the importance of microbiota in controlling inflammation, for instance when a bowel segment is excluded from the fecal stream leading to diversion colitis/pouchitis (16). Particularly important appear to be bacterial species that feed on non-digestible dietary fibers (DF) and produce metabolites that exert positive effects on the intestinal mucosa; examples being short-chain fatty acids (SCFAs), mainly acetate, propionate, and butyrate. Butyrate is a primary energy source for colonocytes and also maintains intestinal homeostasis through anti-inflammatory actions (17, 18). At the cellular level, SCFAs can have direct or indirect effects on processes such as cell proliferation, differentiation,

and gene expression. They may be absorbed by passive diffusion, but uptake by intestinal epithelial cells is greatly enhanced by dedicated transporters, e.g., the monocarboxylate transporter 1 (MCT1; encoded by *SLC16A1*) and the sodium-coupled monocarboxylate transporter 1 (SMCT1; encoded by *SLC5A8*). Moreover, SCFAs act as ligands for G-protein coupled receptors (GPCRs), including GPR109A, GPR43, and GPR41, thereby activating anti-inflammatory signaling cascades (5, 19–24). Importantly, IBD patients not only show reduced levels of dominant SCFAs-producing bacteria (like *Faecalibacterium prausnitzii* and *Roseburia intestinalis*) in intestinal mucosa and feces, but the actual steady state levels of SCFAs herein also appear to be lower compared to healthy controls (25–29).

IBD patients show dysbiosis and loss of microbiome diversity, most prominently in CD patients (28), and the associated alterations in SCFA levels might be restored by new treatment strategies. One method currently evaluated is fecal microbiota transplantation (FMT) obtained from healthy donors, which effectively induces remission in UC (30). However, long-term durability and safety still needs to be established. Other strategies for microbiome restitution are the use of prebiotics or fiber-rich diets combined with probiotics, as SCFAs-producing single microorganism or combinations may alleviate symptoms by improving butyrate levels.

Here, we aim to provide an overview of microbial SCFAs production in the intestine and their effect on intestinal cells and the immune response. Moreover, gut microbiome changes in IBD are reviewed and how they are related to impaired intestinal SCFAs production and associate to cell metabolism and signaling pathways controlling mucosal homeostasis. Finally, the therapeutic potential of SCFAs for IBD will be discussed; either applied directly or through activation of SCFAs-producing bacteria by prebiotic or probiotic approaches.

SHORT CHAIN FATTY ACIDS (SCFAs) BACTERIAL PRODUCTION

Intestinal SCFAs Production

SCFAs are carboxylic acids with aliphatic tails of 1–6 carbons of which acetate (C2), propionate (C3), and butyrate (C4) are the most abundant produced by anaerobic fermentation of dietary fibers (DF) in the intestine. DF were defined in 2009 as “carbohydrate polymers with three or more monomeric units, which are neither digested nor absorbed in the small intestine of humans” by the Codex Alimentarius (“Food Code”) Commission (CAC), which is part of the Food and Agriculture Organization of the United Nations and the World Health Organization (FAO/WHO) Food Standards Programme (31). From the non-digestible DF, the main substrates for bacterial fermentation and SCFA production are resistant starch (RS), inulin, oat bran, wheat bran, cellulose, Guar gum, and pectin. In particular, RS is an important source for butyrate production (32). Bacteroidetes (gram-negative) and Firmicutes (gram-positive) are the most abundant phyla in the intestine, with members of the Bacteroidetes mainly producing acetate and propionate, while Firmicutes mostly produce butyrate in the human gut (33, 34).

Butyrate and propionate formation in the gut occurs mainly from carbohydrate metabolism in glycolysis, but can also take place from organic acids and amino acids metabolism (34). In addition, acetate is the most abundant SCFA in the gut produced from acetyl-CoA derived from glycolysis and can also be transformed into butyrate by the enzyme butyryl-CoA:acetyl-CoA transferase (**Figure 1**) (35–38).

Quantification of human intestinal SCFAs only provides steady state levels and may not accurately reflect the level of bacterial production as most SCFAs produced in the colonic lumen (90–95%) are absorbed by the gut mucosa (39). Nevertheless, the analysis of SCFAs in fecal samples is used as an approximation of gut levels, since excreted SCFA concentrations are associated with RS enriched diets (substrates of SCFAs-producing bacteria), inferring the relationship between intestinal SCFAs production and fecal levels (40, 41).

SCFAs concentrations (expressed as molality or molarity) have been measured in intestinal tissue and fecal samples from individuals of different ethnicity (42–45). In the human gastrointestinal tract, the highest SCFA concentration is found in colon at a molar ratio of approximately 60:20:20 for acetate:propionate:butyrate (**Table 1**), taken from *post mortem* human subjects (42).

In contrast, the molar ratio of acetate:propionate:butyrate in fecal samples of healthy subjects varies among cohorts, while propionate and butyrate content are similar with an estimated concentration of 20 and 15 mM, respectively (**Table 1**).

SCFAs concentrations were found higher in proximal colon (around 70–140 mM) than distal colon (around 20–70 mM) in pigs, although this varies depending on the intake of DF (43).

Finally, SCFAs levels in other tissues such as liver or blood (**Table 1**) are much lower than in the intestine (42), demonstrating that SCFAs signaling, uptake and/or metabolism mainly occur at the intestinal mucosa. However, detection of extra intestinal levels implies that these metabolites have systemic functions, as established for central nervous system autoimmunity (46).

Main SCFAs Producers

The main butyrate producing-bacteria in the human gut belong to the phylum Firmicutes, in particular *Faecalibacterium prausnitzii* and *Clostridium leptum* of the family Ruminococcaceae, and *Eubacterium rectale* and *Roseburia* spp. of the family Lachnospiraceae (33, 34). In addition, sugar-and/or lactate-utilizing bacteria produce butyrate from lactate and acetate, such as *Eubacterium hallii* and *Anaerostipes* spp. (33).

Still, the list of butyrate-producing bacteria may be much longer as members of Actinobacteria, Bacteroidetes, Fusobacteria, Proteobacteria, Spirochaetes, and Thermotogae are potential butyrate producers according to the genes they express, including those that encode enzymes that synthesize butyrate, such as butyryl-CoA dehydrogenase, butyryl-CoA transferase and/or butyrate kinase (47). Moreover, apart from butyrate, the production of other SCFAs is mediated by bacteria such as *Bifidobacterium* species (belonging to the Phylum Actinobacteria) that produce acetate and lactate during

carbohydrate fermentation (48). Also, the mucin-degrading bacteria *Akkermansia muciniphila* (Phylum Verrucomicrobia) produces both propionate and acetate (34, 49).

The main butyrate-producing bacteria are anaerobes, including the Bacteroidetes and Clostridia, and the low O₂ concentrations in the colon create a favorable niche for them. Moreover, butyrate absorbed and metabolized by the epithelium consumes (local) O₂ and thereby stabilizes the hypoxia-inducible factor (HIF, a transcription factor coordinating barrier protection) (50). These data are consistent with studies demonstrating that streptomycin-treated mice show relapse of gastroenteritis by *Salmonella* (51) as well as the expansion of potentially pathogenic *E. coli* (52). The susceptibility due to the depletion of anaerobic bacteria (induced by antibiotics) is associated to a reduction in butyrate levels, thus promoting an aerobic environment and the expansion of aerobic bacteria such as *Salmonella typhimurium* (51, 52). In addition, depletion of butyrate-producing bacteria by antibiotic treatment reduces the intracellular butyrate/PPAR γ signaling, increasing iNOS and nitrate levels, favoring Enterobacteriaceae expansion (52).

SCFAs FUNCTIONS IN THE INTESTINAL MUCOSA

In the intestinal mucosa; acetate, propionate and butyrate exert beneficial effects over intestinal epithelial cells (IECs) and immune cells through induction of intracellular or extracellular processes (see **Figure 2** for more details). SCFA may permeate through the cell membrane by passive diffusion (19). However, their absorption is greatly enhanced by two different solute transporters, the proton-coupled monocarboxylate-transporter 1 (MCT1/*SLC16A1*) and the sodium-coupled monocarboxylate-transporter 1 (SMCT1/*SLC5A8*) (20, 21). Alternatively, SCFA may activate signaling pathways via at least 3 different GPCRs: GPR41 (free fatty acid receptor 3; *FFAR3*), GPR43 (free fatty acid receptor 2; *FFAR2*), and GPR109A (hydroxycarboxylic acid receptor 2; *HCAR2*). These receptors are pertussis toxin (PTX)-sensitive, thus coupled to G_{i/o} type G proteins mediate the inhibition of adenylyl cyclase whilst activating AMP-dependent and, to a lesser extent, the phospholipase C (PLC) pathway. In addition, GPR43 mediates G_q protein whilst signaling through the PLC pathway (5, 22–24) (see **Table 2** for transporters and GPCRs tissue and cell expression). The main cellular functions of SCFAs in the intestinal mucosa are described below.

SCFAs and Cell Proliferation

Small intestinal IECs show a reduced proliferative activity and turnover in GF or antibiotic-treated specific pathogen-free (SPF) mice (69). This is reversed, however, when GF or SPF mice treated with Gram-positive commensal bacteria or a mix of SCFA (acetate, propionate and butyrate) (69). These observations demonstrate the role of the commensal microbiota and their products maintaining the intestinal homeostasis and IECs turnover. In line, SCFAs regulate epithelial gene expression involved in energy metabolism (e.g., lipid metabolism), and

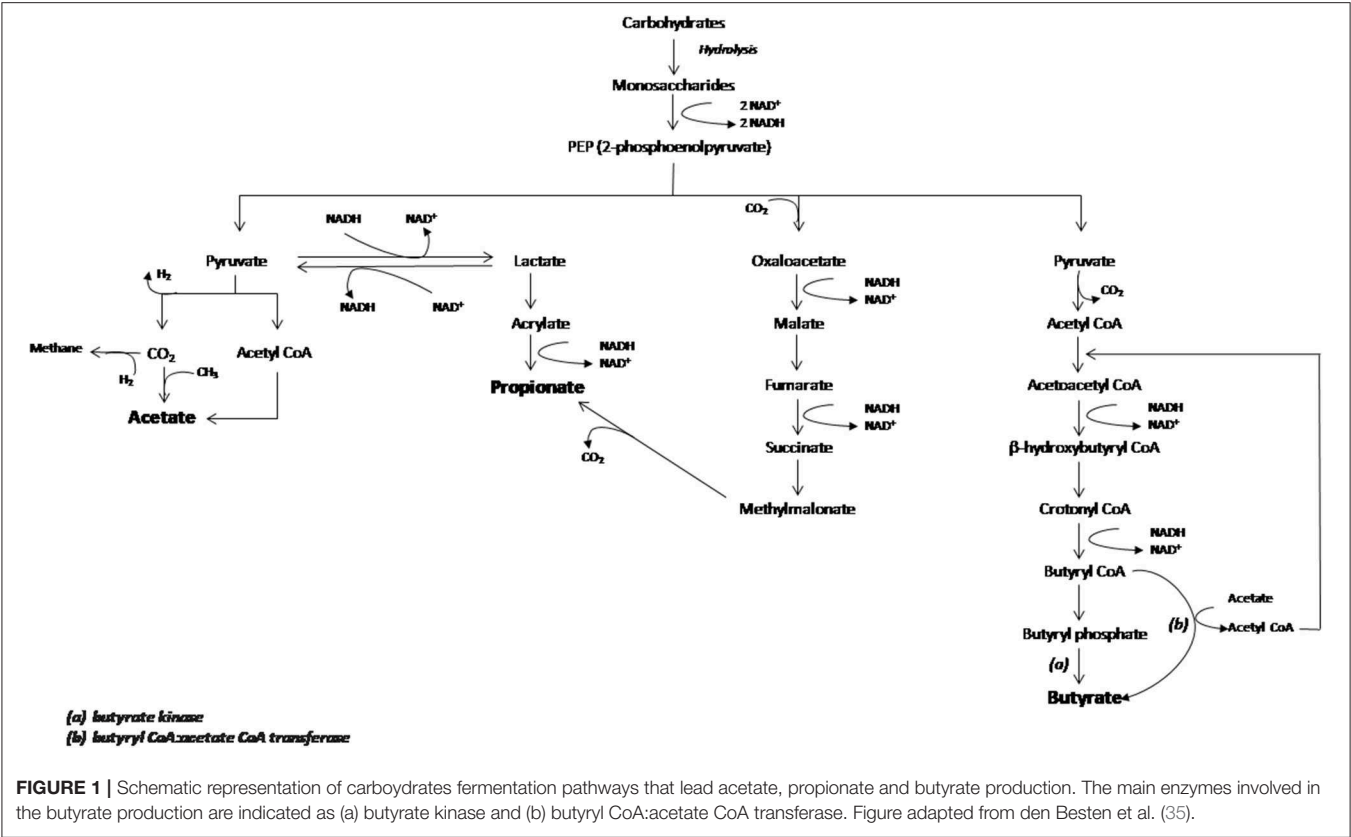


FIGURE 1 | Schematic representation of carbohydrates fermentation pathways that lead acetate, propionate and butyrate production. The main enzymes involved in the butyrate production are indicated as (a) butyrate kinase and (b) butyryl CoA:acetate CoA transferase. Figure adapted from den Besten et al. (35).

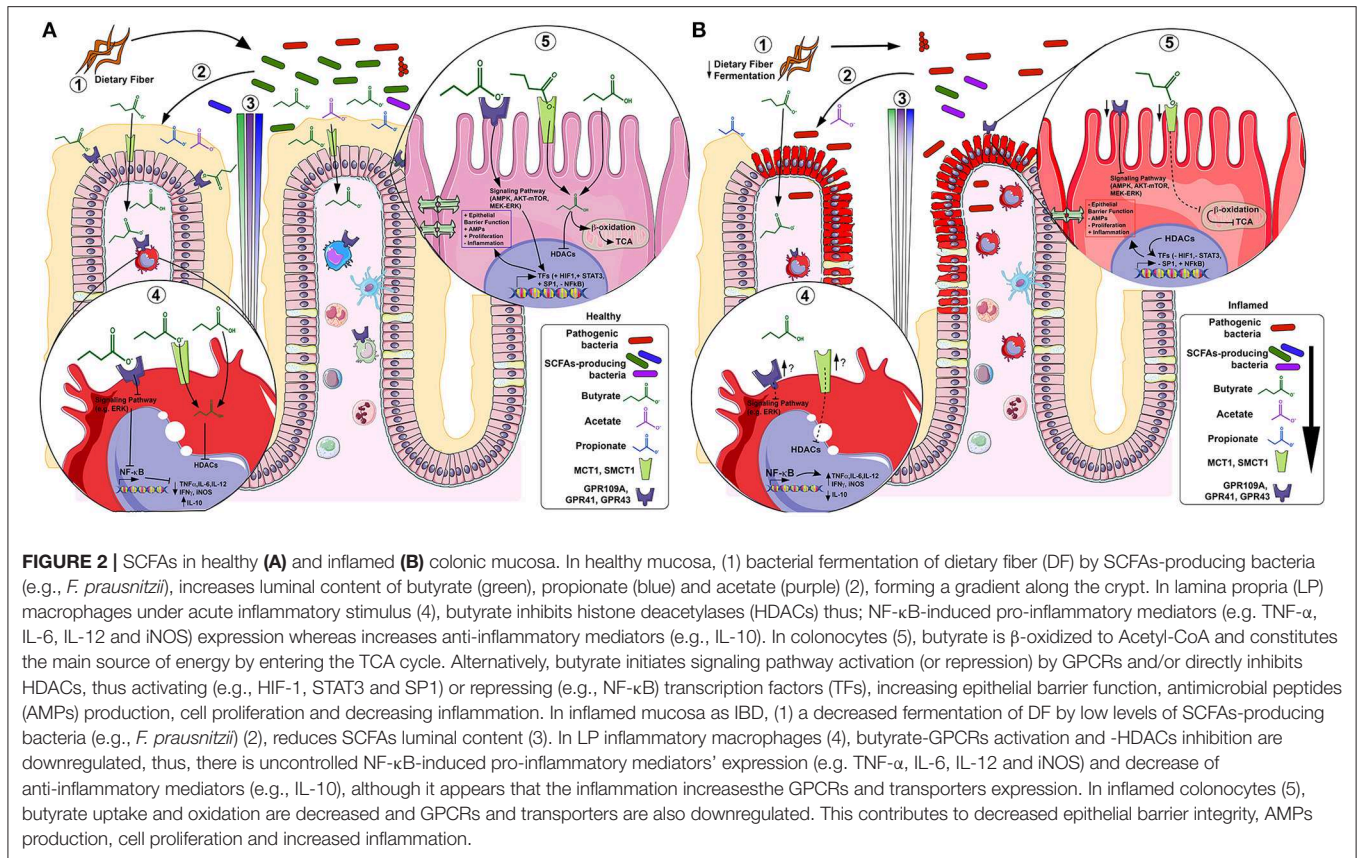
TABLE 1 | SCFAs concentration in human samples.

Samples		Concentration			Cohort	References
Total SCFA	Cecum (mmol/kg)	131 ± 9			English	(42)
	Descending colon (mmol/kg)	80 ± 11				
	Ileum (mmol/kg)	13 ± 6				
	Portal blood (mM)	0.375 ± 0.070				
	Liver (mM)	0.148 ± 0.42				
	Peripheral blood (mM)	0.079 ± 0.022				
Samples		Acetate	Propionate	Butyrate	Cohort	References
SCFAs variants	Colon molar ratio	60	20	20	English	(42)
	Fecal concentration (μmol/g = mmol/kg)	209.7 ± 14.0	93.3 ± 5.3	176.0 ± 16.0	Malaysian	(44)
	Fecal concentration (mM)	87 (58.4–114.9)	21.6 (16.5–27.2)	14.7 (10.3–24.6)	Belgian	(45)
	Fecal concentration (mM)	39.9–56.1	12.8–23.6	12.2–19.0	Japanese, Chinese and Australian	(43)

promote the development of mouse intestinal organoids (69, 70), further reinforcing their role in supporting epithelial cell proliferation.

On the other hand, recently it was shown that butyrate appears to have a different effect on intestinal stem/progenitor cells, inhibiting their proliferation and delaying wound repair through the transcription factor Foxo3 (71). This suggests that SCFAs and particularly butyrate has cell type-specific effects in the intestinal epithelium and may be linked to local SCFA concentrations, where differentiated IECs in the villus are exposed to higher

concentrations of microbial metabolites compared to the stem cells in the crypt (71). As part of the maintenance of intestinal homeostasis, and in contrast to the effect on IECs in healthy conditions, SCFAs suppress cancer cell proliferation and induce apoptotic cell death (72). Moreover, SCFAs induce autophagy in colon cancer cell lines (HCT-116, SW480, and HT-29) (73, 74), as a protective response against apoptosis. These observations are interesting in the context of host-microbe interaction in healthy and colorectal cancer (75) though this is beyond the scope of this review.



SCFAs and the Epithelial Barrier

The SCFA butyrate promotes the epithelial barrier function, being a main stabilizing mechanism for HIF-1 (as previously mentioned in Main SCFAs producers). Both HIF-1 α expression and butyrate levels are reduced in antibiotic-treated or GF mice, but HIF-1 α expression is restored after butyrate supplementation (76). Importantly, butyrate induces the barrier function (measured by FITC-dextran flux) in T84 cells, but not in the absence of HIF-1 β , demonstrating a crucial role for HIF-1 in maintaining barrier integrity (76). Furthermore, butyrate promotes the epithelial barrier function through induction of genes encoding tight-junctions (TJ) components and protein reassembly through the activation of other transcription factors, including STAT3 and SP1 (Table 3). As a result, butyrate maintains and/or increases transepithelial electrical resistance (TEER) in human colonic Caco-2 and T84 cells (77–80), rat small intestine cdx2-IEC cells (81) and small intestine porcine IPEC-J2 cells even when exposed to inflammatory conditions (82). Such effect can also be achieved in Caco-2 cells by supplementing a supernatant of CD microbiota with probiotic *Butyricicoccus pullicaecorum* 25-3^T or a mix of six butyrate-producers when compared to the treatment of CD microbiota-supernatant alone (87). These results reinforce the evidence that the metabolite butyrate restores intestinal barrier function in inflammatory conditions *in vitro* (82), being relevant in the context of IBD, where intestinal epithelial healing is an important therapeutic target. Another important mechanism involved in the epithelial

barrier function is the production of antimicrobial peptides (AMPs) by IECs. Recently it was shown that the expression of the AMPs RegIIIy and β -defensins is strongly impaired in Gpr43 KO mice, while butyrate/Gpr43 activation induced AMP production in *in vitro*, *ex vivo*, and *in vivo* models (88). This indicates that the effects of SCFAs are not only restricted to inter-epithelial junctions, but also involve regulation of epithelium/luminal bacteria interaction through the production of AMPs as first line defense effectors against pathogens.

SCFAs as Energy Source

Butyrate is the main energy source of colonocytes (48), as demonstrated for primary colonocytes from the human ascending and descending colon, which consume more than 70% of oxygen due to butyrate oxidation (89). Interestingly, an energy-deprived state (reflected by decrease of enzymes involved in tricarboxylic acid cycle) leads to lower ATP levels and, ultimately autophagy, observed in GF mice colonocytes. Recolonization of GF mice with butyrate-producing bacteria and butyrate treatment of GF colonocytes *ex-vivo*, increases oxidative phosphorylation and suppresses autophagy to normal levels (17), implying the importance of host-microbe interaction in energy metabolism of colonic epithelium.

Anti-inflammatory Effects of SCFAs

Apart from the physiological functions of SCFAs detailed above, they also exert anti-inflammatory effects in intestinal mucosa

TABLE 2 | SCFAs transporters and receptors.

	Ligands	Tissue or cell expression	Species	References
TRANSPORTERS				
MCT1	Butyrate, lactate, pyruvate	Distal colon> proximal colon>ileum>jejunum	H	(53)
		Transverse colon>ascending and descending colon>sigmoid colon	H	(54)
		Cecum>colon>stomach and small intestine	M, R	(55, 56)
		Monocytes, granulocytes and, lymphocytes	H	(57, 58)
		Peritoneal macrophages	M	(59)
SMCT1	Butyrate > propionate > lactate >> acetate	From terminal ileum to distal colon	M	(55)
		Distal colon>proximal colon and ileum	H, M	(60)
G-PROTEIN COUPLED RECEPTORS				
GPR41	Propionate=pentanoate =butyrate>acetate>formate	Adipose tissue > PBMCs, pancreas, spleen, and placenta	H	(22)
		Monocytes, neutrophils and, monocyte-derived DCs	H	(61, 62)
GPR43	Acetate=propionate= butyrate>pentanoate> hexanoate>formate	Intestinal epithelium	M, H	(6)
		Monocytes, neutrophils and PBMCs and B/T-lymphocytes	H	(22, 61, 63)
		Treg (colon > spleen and MLN) and colonic myeloid cells	H	(64)
GPR109A	D-beta-hydroxybutyrate, butyrate and nicotinic acid	Adipose tissue (>lung, adrenal gland, and spleen)	H, M	(65)
		Colon (> ileum, jejunum, and duodenum)	H, M	(66)
		Monocytes, monocyte-derived DCs, DCs (blood, splenic and colonic), macrophages (splenic and colonic), and BMDM	H, M	(62, 67, 68)

PBMCs, peripheral blood mononuclear cells; DCs, dendritic cells; BMDM, bone marrow-derived macrophages; H, human; M, mouse; R, rat.

Main SCFAs (acetate, propionate, and butyrate) are highlighted in bold font.

by histone deacetylases (HDACs) inhibition and activating the GPCRs present in IECs and immune cells (Table 3). In IECs models, butyrate suppresses lipopolysaccharide (LPS)-induced NF- κ B activation via GPR109A *in vitro* in colonic cell lines and *ex vivo* in mouse colon (66). In addition, the acetate/GPR43 pathway stimulates potassium efflux and hyperpolarization in HT-29 and NMC460 colonic cells leading to NLRP3 inflammasome activation (90). In concordance with these observations, IL-18 is activated in colonic epithelial cells from mice fed on high fiber diet following dextran sulfate sodium (DSS)-colitis (90). These results confirm an important role of GPR109A and GPR43 activation by SCFAs in controlling inflammation and promoting epithelial repair in the colon. Interestingly, butyrate enhances the MCT1 surface expression in the colonic cell line C2BBel in a GPR109A-dependent manner (91), suggesting a “cooperative role” between these proteins in mediating butyrate effects.

With respect to innate immune functions, SCFAs induce prostaglandin E₂ release and expression of the anti-inflammatory cytokine IL-10 through PTX-sensitive GPCRs, thereby inhibiting inflammatory responses in human monocytes (61). The molecular mechanism involved in pro-inflammatory mediator suppression (e.g., LPS-induced chemokines and cytokines) by SCFAs has not been completely determined in other human/mouse mononuclear cell models (62, 67, 83). In addition to the anti-inflammatory effects of the microbial metabolism of dietary fibers to SCFAs, it is important to note

that such fibers may also modulate the intestinal immune system directly. For instance, DF pectin (with low degree of methyl esterification) blocks the pro-inflammatory Toll-like receptor (TLR) 2-1 pathway in human dendritic cells (DCs) and mouse macrophage cell lines as well as in an ileitis *in vivo* mouse model (92). These results show that DF regulates inflammatory reactions in intestinal immune and epithelial cells not only after being metabolized by gut bacteria.

The inhibition or reversal of the immune cell inflammatory profile (M1-like macrophages toward a M0-like non-polarized) or polarization toward M2-like anti-inflammatory macrophages is a therapeutic target in the context of IBD. In this way, butyrate effects on mouse IL-4-polarized M2 macrophages are contradictory, as it enhances or suppresses *Arg-1* and *Ym1* expression (M2-profile markers) (93, 94). Therefore, clarification is needed of SCFAs effect on macrophage polarization including the evaluation of human *ex vivo* models and other markers that could ensure stronger conclusions.

Also, SCFAs (mainly butyrate) have inhibitory effect over HDACs activity promoting histone acetylation, affecting gene regulation of cell proliferation, differentiation, and inflammatory response, contributing to intestinal homeostasis and cancer protection (67, 95–99). HDACs regulate innate immunity pathways, controlling myeloid cell differentiation and inflammatory response mediated by TLR- and IFN-inducible gene expression (100). Furthermore, the use of HDACs inhibitors

TABLE 3 | Impact of SCFAs on intestinal homeostasis.

Cell type		Model	SCFAs	Effect	Mechanism	References
Epithelial cells	Cell lines	Caco-2	Butyrate 2 mM	↑ TEER, ZO-1, occludin	Activation of AMPK Inhibition of MLCK/MLC2 pathway and phosphorylation of PKC β 2	(77, 78)
			Butyrate 5 mM	↑ TEER, claudin-7, claudin-2	Not determined	(79)
		Caco-2, T84	Butyrate 5 mM	↑ TEER,	Induction of IL-10RA through STAT3 activation and HDAC inhibition	(80)
			Propionate 20 mM	↓ Claudin-2		
		Cdx2-IEC	Butyrate 4 mM	↑ TEER, claudin-1, ZO-1, occludin	Induction of Claudin-1 transcription through SP1	(81)
	Primary cells	IPEC-J2	Butyrate 1 mM	↓ LPS impairment of intestinal barrier ↑ Claudin 3 and claudin 4	Activation of Akt/mTOR signaling	(82)
		CCD841, KM12L4, and HCT116	Butyrate 1 mM	Blockade of LPS-induced NF- κ B	Activation of GPR109A	(66)
		Colon culture	Butyrate 0.5 mM	↑ IL-18 mRNA and protein	Activation of GPR109A	(68)
		Mouse small intestine organoids	Acetate, propionate and butyrate 5 mM	↑ <i>Fiaf</i> , Hdac3, Hdac5 ↓ Gpr43, Ppary	Not determined	(70)
			Acetate, propionate and butyrate 0.5 mM	↑ Promotion of organoids development	Activation of GPR41 or GPR43 and MEK-ERK signaling	(69)
Immune cells	Cell lines	RAW 264.7	Sodium butyrate (NaB), sodium phenylbutyrate (NaPB) and sodium phenylacetate (NaPA) 0.5–1 mM	↑ IL-10 ↓ IFN- γ -induced iNOS, TNF- α , IL-6	Inhibition of NF- κ B and ERK signaling pathways	(83)
	Primary cells	Human LP macrophages	Butyrate enemas 100 mM	↓ Inhibition of NF- κ B translocation	Not determined	(84)
		Human monocytes	Acetate, propionate and butyrate 0.2–20 mM	↑ PGE ₂ ↓ MCP-1, IL-10	Activation of PTX-sensitive GPCRs	(61)
		PBMC	Acetate, propionate and butyrate 0.2–20 mM	↓ LPS-induced TNF- α and IFN- γ	Not determined	
		Human monocyte-derived DCs	Propionate and butyrate 1 mM	↓ LPS-induced chemokines and cytokines (CXCL9-CXCL11), cytokines (IL-6 and IL-12p40)	Not determined	(62)
		Mouse LP macrophages and BMDM	Butyrate 0.1–2 mM	↓ LPS-induced mediators NO, IL-6, IL-12p40	Inhibition of HDACs	(67)
		Mouse DCs	Butyrate 0.125–2 mM	↑ Foxp3 ⁺ CD4 ⁺ T cells	Inhibition of HDACs	(85)
		Mouse LP macrophages and DCs	Butyrate 0.5 mM	↑ Foxp3 ⁺ CD4 ⁺ T cells	Activation of GPR109A	(68)
		Mouse T cells	Propionate butyrate 0.1 mM	↑ Foxp3 and IL-10 in naïve CD4 ⁺ T cells	Activation of GPR43 and Inhibition of HDACs	(64, 86)

(e.g., valproic acid) reduce disease severity and inhibit colonic proinflammatory cytokines (TNF- α , IFN- γ , and IL-6) in experimental murine colitis (101). These results are promising in regard to the search of alternatives for IBD therapy and support the importance of butyrate as an HDAC inhibitor.

REGULATION OF SCFA TRANSPORTERS AND RECEPTORS IN THE INTESTINAL MUCOSA

Physiological Regulation of Transporters and GPCRs by Ligands

In line with the SCFAs production in the gut, prominent expression of MCT1 and SMCT1 is observed in the colon of

humans, mice and rats, while much lower levels are detected in ileum (see **Table 2**). Effective absorption of SCFAs from the gut lumen requires an apical location of MCT1, however, depending on experimental approaches it has also been detected in basolateral membranes of the human colonic epithelium (53–55). SMCT1, on the other hand, has been mainly detected in the apical membranes in proximal and distal colon (55, 60), as well as in the ileal enterocytes (55, 60, 102). Interestingly, GF mice show a decreased expression of SMCT1 in colon and ileum, which is recovered by recolonization of the gut with bacteria (102).

MCT1 is considered to be the primary transporter for butyrate uptake in intestinal epithelial cells and its expression is induced by butyrate and fermentable carbohydrates, as demonstrated in *in vitro*, *ex vivo*, and *in vivo* models, as described below.

Butyrate induces *SLC16A1* (encoding MCT1) mRNA expression coinciding with enhanced protein expression in Caco-2 cells and in the apical membrane of human colonic AA/C1 and C2BBel cells (91, 103, 104). In addition, the direct effect of butyrate studied in *ex vivo* pig colonic mucosa culture showed an up-regulation of *SLC16A1* mRNA expression (103).

These *in vitro/ex vivo* observation are confirmed *in vivo* where gastrointestinal levels of MCT1 are enhanced in rats receiving a pectin-supplemented diet, particularly in the apical membrane of colonic mucosa, and increases the transepithelial flux of butyrate (56, 91). Similar observations were made in pigs, where *SLC16A1* mRNA levels increased in cecal and colonic mucosa after dietary supplementation with RS compared to digestible starch (DS) (41). In contrast, *SLC5A8* mRNA levels (encoding SMCT1) were not affected by RS or DS diet (41), showing a specific regulation of MCT1 expression by RS in comparison to SMCT1. On the other hand, a high-protein diet (with or without fermentable carbohydrates) lead to a reduction in colonic MCT1/*SLC16A1* expression in pigs without affecting the butyrate levels (103). This was accompanied by an induction of *TNF- α* , *IL-8*, and *IFN- γ* mRNA expression, suggesting that the inflammatory environment influences in the expression of the butyrate transporter. Similar as described for SCFA transporters, epithelial GPR43 and GPR109A expression in mouse and human intestinal mucosa is related to the proximity to bacterial metabolite production in the colonic lumen (6, 66, 68), suggesting that the levels of these proteins are controlled by their own substrates. In line, GPR109A protein and gene levels are reduced in the ileum and colon of GF mice compared to conventional mice, recovering their normal levels after bacterial re-colonization (102). Moreover, GPR43 expression is reduced in intestinal mucosa of mice fed a “Western-like diet” high in fat and sugar (6). These observations suggest that a reduction in colonic SCFAs as a result of deficiency in specific bacteria or a high fiber diet leads to down-regulation of these SCFA-sensitive GPCRs. In contrast, intestinal mucosal levels of *FFAR2* and *FFAR3* (encoding GPR43 and GPR41, respectively) were not different in pigs fed either a DS- or a RS-containing diet (41), suggesting that dietary fiber does not regulate the gene expression of its metabolite-sensing receptors in these animals. Future studies need to address whether this is truly a species difference or may be caused by experimental differences.

Taken together, it appears that particularly SCFA transporters in the intestinal mucosa, especially MCT1, are highly regulated by their substrates in healthy/non-inflammatory conditions, while this is less well-established for the SCFA-sensing GPCRs. The effect of inflammatory conditions on these mediators of SCFA uptake and signaling is described next.

Interaction of SCFA Uptake and Signaling With the Intestinal Mucosa in the IBD Context

Among the deregulations detected in the intestinal mucosa of IBD patients, it has been found that the *SLC16A1* gene and MCT1 protein expression is reduced in inflamed mucosa of UC and CD patients (105–107). This may be direct effect of the

inflammation or caused by a reduction in butyrate-producing bacteria (see for more details Microbiome changes in CD and UC in relation to SCFAs-producers). In addition, butyrate uptake, and oxidation is inhibited in UC patients compared to healthy individuals (106). Most notably, a significant inverse correlation is observed between butyrate uptake/oxidation and the Mayo endoscopic subscore and Geboes histological score (106). In particular, genes encoding enzymes involved in butyrate metabolism/oxidation (such as *ACSM3*, *ACADS*, *ECHS1*, *HSD17B10*, *ACAT1*, *ACAT2*, *ABAT*, *ALDH1A1*, *ALDH2*, *ALDH9A1*, *EHHADH*, *HADHA*, *HMGCL*, and *PDHA1*) are down-regulated in inflamed mucosa of UC patients (105–108), revealing a specific inflammation-driven gene regulation in the intestine. Interestingly, gene expression of *ACSM3*, *ACADS*, *ECHS1*, *HSD17B10* and *ACAT2* (all enzymes involved in butyrate oxidation), but not *SLC16A1*, increased in mucosa of UC patients that responded to infliximab (human anti-TNF- α antibody) therapy (although only *ACSM3* mRNA levels were higher after therapy than in healthy controls) (106). This suggests that butyrate oxidation is impaired by mucosal inflammation and butyrate supplementation alone would be insufficient to regain homeostasis (106). Hence, these results show that inflammation is tightly linked to the inhibition of genes related to SCFAs uptake and metabolism.

The pro-inflammatory cytokine TNF- α inhibits butyrate oxidation in normal colonic mucosa culture (109), reinforcing the role of inflammatory mediators as part of the intestinal SCFA uptake regulation. Similar observations were made *in vitro* in intestinal HT-29 and (IEC)-6 cell lines showing that inflammatory cytokines inhibit butyrate uptake (60), oxidation and MCT1/*SLC16A1* expression (105). Additionally, MCT1 was downregulated in Caco-2 cells and *ex vivo* porcine colonic tissue culture, exposed to TNF- α (103).

Regulation of MCT1 expression has mostly been studied in IECs, although it also modulates immune cell functions (Table 2). Interestingly, pro-inflammatory stimuli like lipopolysaccharide (LPS) and TNF- α induce *Slc16a1* mRNA and protein expression in mouse peritoneal and J774.1 macrophages, suggesting inflammatory macrophages are sensitive to butyrate (59), but possible respond differently than intestinal epithelial cells. However, more studies are needed to understand how MCT1 is regulated in inflammatory macrophages and its implications for IBD, as they are innate immune cells exacerbating inflammation in intestinal mucosa.

In CD, GPR43 protein expression was lower in ileum of patients either in acute/active or in the quiescent/remissive phase when compared to control subjects (6), suggesting that CD-specific factors are involved in the downregulation of this SCFA receptor, where inflammation seems not to be a crucial determinant.

Animal models have demonstrated the importance of the SCFA/GPCR pathway in IBD. Acute and chronic DSS-induced colitis leads to higher disease activity and colonic inflammation in *Gpr43* KO mice compared to WT littermates, as characterized by increased histological score, neutrophil infiltration together with TNF- α and IL-17 protein levels in the colonic mucosa (15, 90, 110).

Interestingly, high fiber diet or acetate/GPR43 activation suppresses colonic inflammation through NLRP3 inflammasome or cytokine/mediator regulation in DSS-treated GF and WT mice, but not in *Gpr43* KO mice (15, 90, 110), indicating that GPR43 mediates the anti-inflammatory effects of SCFAs in intestinal mucosa. In the same way, LPS-induced TNF- α secretion in mouse-derived peripheral blood mononuclear cells (PBMCs) was suppressed by acetate and reversed by an anti-GPR43 antibody, confirming that acetate/GPR43 signaling mediates anti-inflammatory effects (110). In support of a role for GPR43 in the prevention of intestinal inflammation, mice treated with a GPR43 agonist appear less susceptible to DSS-induced colitis than WT controls (6).

However, not all GPR43-focussed studies appear to give consistent results. A study by Sina et al. reported that *Gpr43* KO mice actually showed less colonic mucosal damage and inflammatory cell infiltration after acute or chronic DSS exposure compared to WT littermates (111). Future studies need to address whether these apparent contradicting results may be explained by the use of different DSS concentrations, time of treatment and/or transgenic mouse services.

Propionate and butyrate treatment increases the chemotactic migration of *ex vivo*-cultured polymorphonuclear leukocytes (PMN) from WT, but not from *Gpr43* KO mice, suggesting that GPR43 activation is relevant in PMN recruitment (111). These observations support the fact that GPR43 activation by SCFAs is important in mounting prompt immune responses.

Gpr41 and *Gpr43* KO mice show an impaired immune response when exposed to ethanol-induced gut barrier disruption, 2, 4, 6-trinitrobenzene sulfonic-acid (TNBS)-induced colitis, or oral infection with the mucosal pathogen *Citrobacter rodentium*, which is characterized by a decreased neutrophil frequency and expression of inflammatory-associated genes (112). The activation of the acetate/GPCRs pathway accelerates the immune response to *C. rodentium* infection in WT mice demonstrating that IECs mediate the fast immune response dependent on GPR41 and GPR43 activation (112). These observations reveal differences between colonic inflammation models in GPCR KO mice, as acute DSS-induced colitis is characterized by a T_{H1} - T_{H17} immune response and in chronic phase is predominantly T_{H2} -mediated (113). In TNBS-induced colitis the immune response can be T_{H1} , T_{H17} , or T_{H2} depending on the mouse strain (113, 114), with *C. rodentium* infection inducing a T_{H1} immune response (115).

As described for *Gpr43* deficient mice, *Gpr109a* KO mice are more susceptible to chemically-induced colonic inflammation and inflammation-associated colon cancer (68). However, the butyrate/GPR109A pathway activates colonic homeostasis by suppressing inflammation in colonocytes (mediated by IL-18 expression and NLRP3 inflammasome activation) (68, 90) and LP macrophages / DCs by differentiating naïve T cells to Foxp3⁺ Treg cells and IL-10-producing T cells in WT, but not in *Gpr109a* KO mice (68).

Among the multiple factors involved in IBD pathogenesis, the imbalance between Treg and T effector cells has been the subject of considerable attention to improve IBD therapy. Therefore, in addition to Treg induction mediated by butyrate-induced

macrophages and DCs (68), propionate also directly stimulates Treg proliferation and function through GPR43 and HDAC inhibition (64). Also, propionate and butyrate induce colonic Treg differentiation from naïve CD4⁺ T cells upregulating *Foxp3* transcription through histone acetylation (85, 86).

In addition, butyrate increases IL-10 production by *ex vivo*-differentiated human Tregs with GPR43-agonists further increasing the suppressive capacity of human Tregs (116), reinforcing the previous evidence of tolerance induction by SCFA in animal models.

As a side note, it is important to mention that depending on the SCFA concentration and cytokine milieu the effects can be 2-fold, either stimulating IL-10-producing T and Treg expansion or T naïve differentiation into effector T cells (expressing T-bet transcription factor and IFN- γ ; T_{H1} cells, or IL-17; T_{H17}) independent of GPR41, GPR43, or SMCT1, but dependent on direct HDAC inhibitor activity (117, 118).

These findings generate new research questions in IBD patient's therapy, such as what is the best formulation of a DF-enriched diet to induce gut immune tolerance? or what is the effect of a high fiber diet or SCFA supplementation on Treg function in IBD patients within an acute or chronic phase? In summary, pharmacokinetic studies, high fiber diet design, and another approach need to be explored to clarify novel therapeutic options for IBD.

Mononuclear cells and neutrophils are innate immune cells mediating the protection against pathogens through recognition and elimination of antigens that cross the epithelial barrier and cytokine/chemokine secretion, thus activating the adaptive immune response. In these cells, expression of SCFA-activated GPCRs is induced by inflammation; thus sensitivity to potential anti-inflammatory actions of SCFAs is increased. Examples are LPS from *E. coli* O55:B5 increases *Gpr109a* mRNA levels in mouse macrophages (119), and also *GPR43* mRNA expression induced by TNF- α , GM-CSF (63) and TLRs (Toll-like receptors) ligands (61, 63) in human monocytes. Moreover, the effect of *E. coli* LPS on *GPR43* mRNA expression in human monocytes may be strain-dependent, as *E. coli* O55:B5 LPS induces *GPR43* mRNA expression (63) whereas *E. coli* O127:B8 LPS does not (61).

Taken together, these studies provide strong evidence for the role of SCFAs/GPCRs (particularly GPR43 and GPR109A), in maintaining colon integrity by inducing mucosa healing and suppressing inflammation. These are relevant therapeutic targets for numerous diseases, but in particular for IBD.

MICROBIOME CHANGES IN CD AND UC IN RELATION TO SCFAs-PRODUCERS

Various changes occur in the intestinal mucosa of IBD patients in active or quiescent status compared to healthy individuals, one being the composition and function of the microbiota, a change often referred to as dysbiosis. In general, dysbiosis in IBD patients is associated with a decrease in the number of SCFAs/butyrate-producing bacteria, in particular members of the phylum Firmicutes. In addition, more specific studies show that a decrease in *F. prausnitzii*, a butyrate producing-bacteria

from the *Clostridium* cluster IV, is a hallmark of active IBD patients, as reviewed previously covering different populations (25, 26, 120–122). Additionally, CD appears to have a more pronounced dysbiosis than UC, with lower diversity, altered composition and an unstable microbial community (28). Thus, CD and UC are being recognized as distinct diseases even at the microbiome level.

At the species level, alterations in other butyrate-producing species have been detected in UC patients, such as *Roseburia intestinalis* and *Roseburia hominis* (25, 45). Alternatively, stool samples of CD patients show an increase of *Ruminococcus gnavus* and decrease of *F. prausnitzii*, *Bifidobacterium adolescentis*, *Dialister invisus*, an uncharacterized species of *Clostridium* cluster XIVa, and other SCFAs-producing bacteria (*Blautia faecis*, *Roseburia inulinivorans*, *Clostridium lavalense*, and *Bacteroides uniformis*) (27, 29).

The microbiome diversity is affected by geography, ethnicity and lifestyle even in the healthy population (123), which also includes the abundance of SCFAs/butyrate-producing bacteria. However, the “environmental” factors in IBD remain unclear. Reported dysbiosis in IBD patients from different populations might be due to sample size, patient selection criteria or genetic heterogeneity, therefore, further studies are required to clarify differences in microbiome diversity among IBD patients.

As a consequence of the reduction in SCFAs-producers, SCFA levels are often found to be decreased in fecal samples of IBD patients. One study showed that acetate and propionate, but not butyrate, are reduced in fecal samples of UC patients (45). In another study, a reduction of butyrate and propionate in stool samples of IBD patients was found (44). Similarly, a low content of n-butyrate, iso-butyrate and acetate was detected in feces from patients with severe UC. The reduction in SCFAs levels might be related to disease activity, as a higher n-butyrate level was detected in UC patients in remission compared to ones with active disease (25).

THERAPEUTIC APPROACHES OF SCFAs IN IBD AND DIVERSION COLITIS

SCFAs are considered a promising supplementary treatment in the current clinical management of active IBD patients and diversion colitis. Different approaches, including enemas of butyrate and/or mixtures of SCFAs (acetate, propionate, and butyrate) have resulted in diverse clinical outcomes (16, 124, 125).

The direct effects of butyrate or mixtures of SCFAs in enemas showed clinical and histological improvement in active UC patients and diversion colitis (84, 125–127). At the molecular level, butyrate enemas decrease NF- κ B nuclear translocation in LP macrophages in tissue sections from distal UC patients (84), as well as in LPS-induced cytokine expression and NF- κ B activation in LP mononuclear cells and PBMCs from CD patients (128).

Alternatively, SCFAs enemas (100 ml of 80 mM acetate, 30 mM propionate, and 40 mM butyrate twice a day for 6 weeks) produced clinical remission only in a subset of UC patients (129). Butyrate enemas (60 ml of 100 mM once daily for 20 days) do not affect daily symptoms score, stool consistency and

frequency (Bristol scale), and oxidative stress in UC patients in clinical remission, although they have a small effect on inflammation parameters (130). Moreover, no endoscopic or histological changes were observed in diversion colitis patients treated with SCFAs enemas (60 ml of 60 mM acetate, 30 mM propionate, and 40 mM butyrate twice a day for 2 weeks) (131).

Inconsistent effects of SCFAs intervention in murine models undergoing colonic inflammation have been reported. For example, SCFAs enemas did not prevent or reduce intestinal damage in TNBS-induced colitis in rats (132), while butyrate reduced colonic mucosal damage and serum inflammatory cytokines (IL-6, TNF- α , and IL-1 β) in DSS-treated mice (93). In contrast, butyrate did not revert/prevent DSS-induced intestinal damage in mice exposed to antibiotics (67). Similarly, butyrate was less effective in eliciting an anti-inflammatory response in the TNBS-induced colitis mouse model, vs. an injection of live *F. prausnitzii* or *F. prausnitzii* supernatant, while they both induced IL-10 and decreased IL-12 and TNF- α (133).

Interestingly, oral treatment with the spent medium of a culture of the SCFA-producer *Clostridium butyricum* (“supernatant”) decreased DSS-induced colonic mucosal damage (134). These contradictory effects of butyrate or SCFAs might be species-specific or due to the colitis model (DSS vs. TNBS), commensal bacteria depletion, butyrate dosing and route of administration. Still, these results suggest that, by itself, butyrate or SCFAs are probably not as effective as administering direct live SCFAs-producing bacteria to the mucosa. As mentioned earlier, there needs to be a constant production and delivery of SCFA to the mucosa to have anti-inflammatory effects. Nevertheless, anti-inflammatory effects of SCFAs seem (also) to be directed to immune cells. Therefore, the success of SCFAs in restoring intestinal mucosa homeostasis might be achieved by enriching or recovering SCFAs-producing bacteria through the use of pre- or probiotics.

Use of Prebiotics for SCFAs Production in IBD

The definition of prebiotic is “a substrate that is selectively utilized by host microorganisms conferring a health benefit” (135). Typically, these substrates are not digested in the human small bowel, thus promoting selective growth of beneficial bacteria in the colon (136). It is therefore sensible to explore the possible therapeutic role of different supplementary DF as substrates for gut bacteria and SCFA production in order to suppress inflammatory pathways in IBD patients, animal, and *in vitro* models.

A 4- and 12-weeks “intervention” with an oat bran-supplemented diet resulted in an increase of fecal butyrate concentrations and a decrease of abdominal pain or reflux in UC patients (137). Moreover, a double-blind pilot trial demonstrated that oral inulin (oligofructose)-supplementation was well-tolerated by UC patients, with active disease and decreased dyspeptic symptoms and, more importantly, a reduction in fecal calprotectin, as an important marker of intestinal inflammation (138). In contrast, the use of prebiotics has been associated to side effects in CD patients, such as abdominal pain, flatulence,

bloating, and diarrhea (139–141). Consequently, the adherence to this supplementation may be compromised in clinical trials, hindering an objective evaluation of the effect of the prebiotic in IBD patients. It remains to be determined whether the difference in patients' response might be related to the specific pathophysiology of both forms of IBD.

Neutrophils may play a dual role in IBD pathophysiology (142). Over activation of neutrophils may cause excessive tissue damage in UC patients, while defective neutrophil recruitment fails to control microorganism invasion in CD, subsequently leading to uncontrolled inflammation and formation of macrophage-containing granulomas in an attempt to contain the microorganism.

This aspect was addressed in CD patients receiving DF supplementation to their enteral nutrition (143), which resulted in an increase in GPR43⁺ neutrophil infiltration when compared to enteral nutrition alone or patients in remission. Thus, prebiotics may be used to improve intestinal neutrophil recruitment.

In pigs fed an RS-supplemented diet, SCFAs concentrations and abundance of butyrate- (*F. prausnitzii*) or propionate-producing (*Propionibacterium*, *Veillonella*, *Phascolarctobacterium*) bacteria were increased in the luminal part of cecum and colon, while potentially pathogenic bacteria (*Escherichia coli* and *Pseudomonas* spp.) were decreased (41). Similarly, a high fiber diet protects mice against DSS-induced colitis, increasing protective Bacteroidetes (families *Porphyromonadaceae* and *Rikenellaceae*) and Firmicutes (family *Lachnospiraceae*), compared to a zero fiber diet (90).

Recently, also other prebiotics have been tested to promote intestinal SCFA production, including non-digestible dextrin (DEX), α -cyclodextrin (α -CD), and dextran (DXR) that increased acetate and propionate production in an *in vitro* fecal fermentation model of human colonic microbiota (144). Thus, non-digestible fibers may be a complementary therapy for IBD to increase intestinal butyrate production, especially in UC patients, as supporting evidence in animal and *in vitro* models suggests their benefit in promoting SCFAs-producing bacteria. Nevertheless, well-controlled randomized placebo-controlled trials (RCT) are needed to fine tune a prebiotics supplementation plan to manage gastrointestinal tolerance in IBD patients, especially in CD, before rigorously confirming an actual clinical improvement.

Use of Probiotics for SCFAs Production in IBD

A probiotic is defined as “live microorganisms that, when administered in adequate amounts, confer a health benefit on the host” (145). In IBD patients, the potential effect of probiotics in inducing or maintaining remission, showed encouraging benefits mainly in UC, as described below.

Two meta-analyses and systematic reviews of RCT of IBD with probiotics showed that they have significant effects in achieving remission, particularly for VSL#3 (mixture of four strains of *Lactobacillus*, three strains of *Bifidobacterium*, and one strain of *Streptococcus salivarius* subsp. *thermophilus*), being safe and effective in achieving remission in UC patients (146, 147). Moreover, the treatment with the probiotic preparation VSL#3

induced remission, as determined by a decrease in Ulcerative Colitis Disease Activity Index (UCDAI) in 50–53% UC patients with mild to moderately active disease (148, 149). In addition, VSL#3 combined with *Lactobacillus* have a significant effect in achieving clinical response in children with IBD (146). In an alternative approach, UC patients benefitted from a *Lactobacillus* probiotic when combined with prebiotics (146). Similarly, an oral treatment with the non-pathogenic *Escherichia coli* strain Nissle 1917 (EcN) (for 12 months), reduced relapses of UC patients in clinical remission, as compared to the standard treatment with mesalazine (150). Also, *Bifidobacterium infantis* 35,624 supplementation (for 6 weeks) reduced plasma C-reactive protein levels and tended to decrease IL-6 levels in mild to moderately active UC under treatment with mesalazine, compared to placebo-supplemented patients (151).

So far, probiotic treatments have not shown a significant effect in inducing or maintaining remission of active or quiescent CD, or in preventing relapse of CD after surgically-induced remission (146, 147). However, probiotics evaluated in these studies were not butyrate-producing bacteria. Interestingly, a recent proof-of-concept study explored the effect of six butyrate producers (*B. pullicaecorum* 25-3^T, *F. prausnitzii*, *Roseburia hominis*, *Roseburia inulinivorans*, *Anaerostipes caccae*, and *Eubacterium hallii*) in an *in vitro* fed batch system that simulates the mucus- and lumen-associated microbiota. A co-culture of these bacteria with fecal microbiota derived from CD patients with active disease showed increased butyrate production and improved epithelial barrier function *in vitro* (87).

These results encourage the exploration of pre- and probiotic therapies for specific SCFAs/butyrate production in restoring intestinal homeostasis and providing resolution and remission in IBD patients. Such approaches may complement alternative strategies to modulate microbiota, such as fecal microbiome transplantation (FMT), which has generated inconsistent results so far. As such, a detailed description of FMT is outside the scope of this review. As promising these results seem, more robust pre-clinical and further RCT studies are still necessary to test safety and efficacy of new SCFAs- or butyrate-producing bacteria (mixtures) with potential to be tested in association with FMT for reconstituting a healthy microbiome.

CONCLUSIONS AND FUTURE PERSPECTIVES

IBD is characterized by gastrointestinal dysbiosis, both in patients and in animal models, which particularly impairs SCFA production, thereby restraining energy supply to colonocytes and local control of mucosal inflammation. UC and CD patients show decreased butyrate-producing bacteria, especially *F. prausnitzii*, and consequently, SCFAs are reduced in feces, as well as butyrate uptake and oxidation, a process dependent on the mucosal inflammatory context. Empirical modulation of the microbiota using prebiotics or probiotics can increase SCFAs-producing bacteria *in vitro* and *in vivo*, enriching microbiome diversity in animal models and UC patients, demonstrating clinical and histological improvement. However, limited evidence exists indicating clinical improvement through these

therapeutics in CD patients; nevertheless, supplementation with specific probiotics for butyrate formation may still provide new avenues to manage disease activity. The mechanisms involved in IBD pathophysiology are still not resolved, nor how butyrate regulates inflammation, influences metabolism and transcription in colonic mucosa. Future studies are needed to understand how to specifically modulate the microbiota and thus predict possible responses to therapy with personalized strategies in intestinal inflammation.

AUTHOR CONTRIBUTIONS

DPV wrote most of the review. MD and GL contributed to writing and correcting the manuscript. MG, RQ, GD, HH, KF,

and MH participated reviewing and critically correcting the manuscript. KF and MH contributed to manuscript structure and supervised the work.

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Corrigendum: Short Chain Fatty Acids (SCFAs)-Mediated Gut Epithelial and Immune Regulation and Its Relevance for Inflammatory Bowel Diseases

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The authors apologize for this error and state that this does not change the scientific conclusions of the article in any way. The original article has been updated.

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Soybean Meal-Induced Intestinal Inflammation in Zebrafish Is T Cell-Dependent and Has a Th17 Cytokine Profile

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Currently, inflammatory bowel disease (IBD) is a serious public health problem on the rise worldwide. In this work, we utilized the zebrafish to introduce a new model of intestinal inflammation triggered by food intake. Taking advantage of the translucency of the larvae and the availability of transgenic zebrafish lines with fluorescently labeled macrophages, neutrophils, or lymphocytes, we studied the behavior of these cell types *in vivo* during the course of inflammation. We established two feeding strategies, the first using fish that were not previously exposed to food (naïve strategy) and the second in which fish were initially exposed to normal food (developed strategy). In both strategies, we analyzed the effect of subsequent intake of a control or a soybean meal diet. Our results showed increased numbers of innate immune cells in the gut in both the naïve or developed protocols. Likewise, macrophages underwent drastic morphological changes after feeding, switching from a small and rounded contour to a larger and dendritic shape. Lymphocytes colonized the intestine as early as 5 days post fertilization and increased in numbers during the inflammatory process. Gene expression analysis indicated that lymphocytes present in the intestine correspond to T helper cells. Interestingly, control diet only induced a regulatory T cell profile in the developed model. On the contrary, soybean meal diet induced a Th17 response both in naïve and developed model. In addition, when feeding was performed in *rag1*-deficient fish, intestinal inflammation was not induced indicating that inflammation induced by soybean meal is T cell-dependent.

Keywords: intestinal inflammation, zebrafish, innate immune, adaptive immunity, Th17 T cells, macrophage, lymphocyte

INTRODUCTION

Intestinal inflammation (or enteritis) is a feature of several chronic pathologies such as inflammatory bowel disease (IBD) in humans as well as of similar pathologies in fish (1–3). One of the earliest signs of intestinal inflammation is the infiltration of neutrophils into the gut mucosa and the epithelial layer, in addition to polarization of macrophages and dendritic cells toward an inflammatory phenotype (4–6). Enteritis is also characterized by a drastic increase of natural killer cells in the gut as well as activation of mast cells (7). Each of these cell types secretes specific cytokines that trigger several pathways characteristic of enteritis (7). On the other hand, CD4⁺ T helper (Th) cells are critical for proper immune cell homeostasis and

host defense but are also major contributors to the pathology of autoimmune and inflammatory diseases (8). Depending on the cytokine milieu, different Th subsets can be induced, such as Th1, Th2, Th17, Th22, and Th9, each with specific functional outcomes (9). Likewise, regulatory T cells (Tregs) are essential for the development of tolerance to self and non-self antigens. Activation of Tregs inhibits the inflammatory response to commensal bacteria and is central for mucosal tolerance (10). Conversely, functional defects in Tregs underlie infectious, autoimmune and chronic inflammatory conditions, including IBD (11, 12).

Several models for IBD have been developed in the mouse model. Based on how inflammation is brought about, they can be categorized into four groups: chemical models, genetically engineered models, cell transfer models, and congenic models. Although each of them covers a specific aspect of this pathological condition, none of them encompasses the spontaneous and fluctuating nature of the human disease (13). Thus, in order to extrapolate the experimental findings from mouse studies toward the improvement of knowledge and therapy in IBD pathogenesis in humans, it is necessary to understand the specific advantages and limitations of each model (14, 15). One of the key limitations shared between all models is the difficulty to follow cell behavior and gene function *in vivo*. To overcome this situation, we propose the use of the zebrafish (*Danio rerio*) for studying the contribution of different immune cell types to intestinal inflammation *in vivo*. This teleost fish constitutes a unique tool that allows to combine live imaging of specific fluorescently-labeled cell types with molecular strategies to manipulate gene function to monitor the course of an inflammatory process in real-time and at the whole organism level (16–19). Moreover, the anatomy and architecture of the zebrafish intestine closely resembles the one of mammals, and all main immune cell lineages have been described in this vertebrate model (20–22). Importantly, most chemical-induced intestinal inflammation models used in mice have also been used in zebrafish with similar results (23–25). In order to obtain a more physiological intestinal inflammation model, we established a novel strategy in zebrafish larvae based on the intake of a soybean meal-based diet (26). Using this approach, we have reported that, as early as 2 days after feeding, the number of neutrophils increased in the gut, as well as the mRNA levels of several proinflammatory cytokines such as *il1b* and *cxcl8*. Conversely, no changes in intestinal architecture were detected, suggestive of an early stage in the inflammatory process (26). In this new study, we have compared the behavior of innate cells such as neutrophils, macrophages, and mast cells, in addition to T cells, between two conditions: naïve intestine (not previously exposed to food) and developed intestine (already exposed to regular food). Our findings show that innate immune responses were similarly triggered after fish maintained under both conditions are afterwards exposed to a control or inflammatory diet. T cells, in contrast, responded differently. In the case of naïve intestines, an inflammatory process with increased numbers of helper T cells was induced under both control and inflammatory diet (soybean meal-based diet), the latter with a Th17 profile. Conversely, in developed intestines, the control diet triggered a tolerogenic response with abundant Treg cells, and the inflammatory diet

a Th17 profile with decreased presence of Treg cells. When *rag1*^{−/−} fish were fed with inflammatory diet, no increase in neutrophils, or lymphocytes was observed, indicating that T cells are needed to trigger immune responses to soybean meal. These results demonstrate, for the first time, functional adaptive immune response in zebrafish as early as 5 days post-fertilization. They also reveal an evolutionarily conserved response between zebrafish and mammals, supporting the suitability of the zebrafish model to study intestinal inflammation with biomedical purposes.

MATERIALS AND METHODS

Zebrafish Strains and Maintenance

Zebrafish were maintained and bred in our facility according to standard protocols (27). The following strains of fish were used in this study: Tab5, Tg(lck:lck-eGFP) (28), Tg(lysC:DsRed) (29), Tg(mpeg1:Dendra2) (30), and *rag1*^{−/−} mutants (31). All embryos were collected by natural spawning and maintained at 28°C in E3 medium (5 mM NaCl, 0.17 mM KCl, 0.33 mM CaCl₂, 0.33 mM MgSO₄, with methylene blue, equilibrated to pH 7.0) in petri dishes (32). Embryonic and larval ages are expressed in hours post fertilization (hpf) or days post fertilization (dpf).

Feeding Strategies

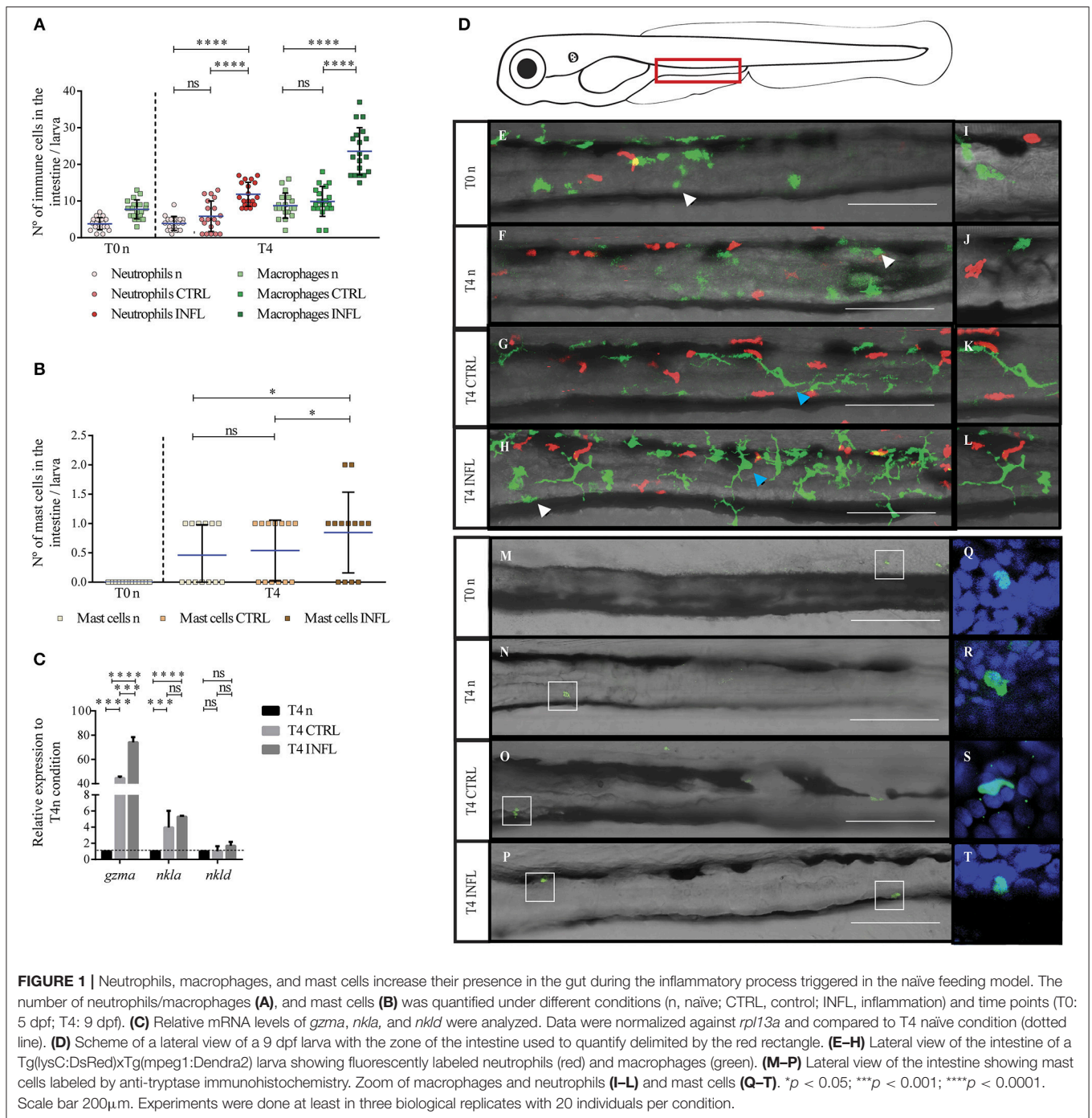
Two feeding strategies were used; the naïve feeding strategy and the developed feeding strategy (Supplementary Figure 1A). The naïve feeding protocol comprised larval feeding from 5 dpf (T0n) to 9 dpf (T4) with a control diet (fishmeal-based diet) or an inflammatory diet (soybean meal-based diet). Diets were prepared as described previously (26). As controls we included non-fed larvae from 9 dpf (T4n). The developed feeding protocol consisted of two parts; the maintenance feeding regime where larvae were fed from 5 to 17 dpf with regular food (gemma micron 300) and the experimental feeding phase where larvae were fed from 18 to 25 dpf with control or inflammatory diet. In all cases larvae were fed *ad libitum* and maintained in 100 ml fish water in a 200 ml tank at 28°C. The last feeding was performed 19 h before processed for the different analyses performed, qPCR, immunohistochemistry or imaging.

Immunohistochemistry

Immunohistochemistry was performed essentially as previously described (33). Briefly, larvae were fixed for 1 h in 4% paraformaldehyde in phosphate-buffered saline (PBS), then rinsed in PBS + 1% Tween 20 (PBS-Tween), dehydrated in 100% methanol and stored at −20°C until use. The following antibodies were used: rabbit anti-GFP (Invitrogen cat: A11122), anti-MPO (Genetex cat: GTX128379) and anti-tryptase (Abcam cat: ab2378). Quantification of neutrophils, mast and lymphoid cells in the intestine was performed in whole-mount larvae; for this purpose, a region in the midgut was defined (red rectangle in Figure 1). Thirty larvae per condition were analyzed in three different experiments.

Proliferation Assay

Tg(lck:lck-eGFP) larvae were exposed to the nucleotide analog 5-ethynyl-2'-deoxyuridine (EdU) as was previously described (34)



with some modifications. Larvae were immersed in 100 $\mu\text{g}/\text{ml}$ EdU (Invitrogen) with 0.5% DMSO for 16 h and fixed 1 h at RT with 4% PFA in PBS. Larvae were then processed using the Click-iT EdU Imaging Kit (Invitrogen) according to manufacturer's instructions.

Histological Section

Twenty-five dpf larvae were fixed in Bouin's solution for 3 h at RT, washed twice in water and mounted in 3% low melting agarose (Clever) for paraffin embedding and sectioning as previously

described (26). Sections of 5 μm were obtained and stained with hematoxylin & eosin (Merck.) The quantification of the number of intestinal folds was analyzed as previously described by Hedra et al. (26).

Confocal Microscopy

For time-lapse and photography, larvae were anesthetized with tricaine 4% (Fluka, cat: 1001011075), mounted in low-melting agarose 1% in E3 and registered under a Leica TCS Sp8 microscope, with Leica application suite X (LAS X software

version 3.1.5) and using an optical zoom of 40 and 60x. The images were analyzed with Image J 1.44, representing at least 70% of each population.

Intestine Dissection and RT-qPCR

Intestines were dissected at different times points according to **Supplementary Figure 1A**. Dissections were performed with entomological pins in RNAase free conditions. One hundred intestines per condition were directly stored in TRIzol reagent (Invitrogen, cat: 15596-026) for total RNA extraction. Extraction was performed according to the manufacturer's instructions. Synthesis of cDNA was performed with 1 µg RNA and SuperScript II RT (Invitrogen, cat: 100004925), according to manufacturer's instructions and using oligo-dT primers. Real-time PCR was performed following the methodology described previously (35). The mean Ct values from each sample were normalized against the mean Ct value of a reference gene (*rpl13a*, housekeeping gene). The genes analyzed are detailed in **Table 1**. All experiments were performed on at least three biological replicates.

Statistics

Statistical analysis for quantification of cell numbers was performed using unpaired *t*-test or a non-parametric test, the Kruskal–Walls one-way ANOVA test. RT-qPCR analyses were performed using Kruskal–Walls one-way ANOVA test. All analyses were made using Prim 6 (GraphPad Software). The significance level was set to $P < 0.05$.

RESULTS

To analyze mucosal immune responses in intestines of fish exposed for first time to food, we used a well-established inflammation model (26), which consists of 4 days of feeding with soybean meal-based diet, from 5 to 9 dpf (hereafter, naïve model) (**Supplementary Figure 1A**). To address if inflammation induced by this model remits after treatment, we carried out the naïve model and after that, fed larvae with control diet during 4 more days. We then quantified the number of neutrophils present in the intestine. As expected, larvae fed the inflammatory diet had significantly more neutrophils than larvae fed the control diet at 4 days of treatment (9 dpf, T4); 9.5 and 4.3, respectively. Then, 4 days after feeding with the inflammatory diet (T4+4) the number of neutrophils in the intestine of control and inflamed larvae was similar: 4.7 and 5.9, respectively (**Supplementary Figure 1B**). In order to provide a model more comparable to mammalian intestinal inflammatory models, in which the intestine has been exposed to food for a considerable period of time, we established a new intestinal inflammation model, hereafter “developed model.” This new strategy comprised a first step of 12 days of feeding with regular food (from 5 to 17 dpf), followed by the second step of 8 days of experimental feeding (from 18 to 25 dpf) (**Supplementary Figure 1A**). In this approach, the gut is first exposed to a regular diet for a few days, allowing the differentiation and functionality of intestinal cell types as well as the colonization of commensal microbes (36). To determine if the inflammation triggered in the developed model is sufficient to induce changes in the morphology of the intestine, we analyzed histological cross sections of the midgut of larvae fed with

TABLE 1 | Sequences of primers used in qPCR.

Gene	Forward primer	Reverse primer	Accession number
<i>rpl13a</i>	TCTGGAGGACTGTAAGAGGTATGC	AGACGCACAATCTTGAGAGCAG	NM_212784
<i>il17a/f1</i>	CATTCCGTGCTGAGGGGG	AGCCGGTATGAATGATCTGC	NM_001020787
<i>il17a/f3</i>	AAGATGTTCTGGTGTGAAGAAGTG	ACCCAAGCTGTCTTTCTTTGAC	NM_001020790
<i>il22</i>	GATGACTGATACAGCACGAA	CATTGATGCAGCAGGAACCT	NM_001020792
<i>rorca</i>	TCTTTTCCTATCCAACCTCTCTACA	GAGTGGTCTCTTTATGTGAGCGTA	XM_001344013
<i>gzma</i>	GATTTTGGCTGAGAGGACGG	ACGTCAGAGCAAAGTGTCACT	XM_001335130
<i>nkla</i>	GATGACGAATGACGGAGTAAAC	TCTCATTACAGCCCGGT	NM_001311794
<i>nkld</i>	TGTGATCAGATCGGGTTCCT	AGCACAGATGGTTCTGGCAT	NM_212741
<i>il10</i>	TCACGTCATGAACGAGATCC	CCTCTTGCAATTCACCATATCC	NM_001020785
<i>foxp3a</i>	CTCGGCTCATCTCGCAATCA	CGGTGTCCACAACCCAATCA	NM_001329567
<i>ccr9a</i>	TGCACCATGGTCTACTGGAA	ATAACCCGAAGTGCCTTGTG	NM_001244716
<i>ccl25</i>	ACATCCCAGCCATTGTCTTC	GCTGAAATGAGCCCTCGTAG	XM_002660965
<i>lck</i>	GCCGAAGAAGATCTCGATGGT	TCCCCATGTTACGTATTTGTCTG	NM_001001596
<i>trac</i>	TCGTTTTCAATGTGCTGGTG	GATGATCTGGAATGGGATGC	NM_001199372
<i>cd4.1</i>	AAGAGTTGAGAAAGCTCCAGTG	CTGGTCTTGCGTCGTCTGTA	NM_001135096
<i>mhc2ea</i>	GGCTGTTTTTGCCGCTCTG	GTGGACAGGTCTGGATAAAG	NM_001089550
<i>mhc2ab</i>	CTCTGTGGGGAAGTTTGTG	CCAGATCCGAGCATTATGTC	NM_131476
<i>hamp</i>	GCCGTTCCCTTCATACAGCA	CCTGAACAGAACCCAGAGGGTC	NM_205583
<i>leap2</i>	TGTGGGTACTAAACCACACGG	GCCCATCCTGCATATTCCTGT	NM_001128777
<i>trim33</i>	GAACCCGAACCTCAGAGCAA	AGCATTAGTAGCACCCGCTC	NM_001002871

control and experimental diets (**Supplementary Figure 1C**). We found that the number of folds present in the intestine of larvae fed the inflammatory diet was significantly lower than the number of folds present in control larvae, 9.12 and 9.78, respectively, (**Supplementary Figure 1D**), indicating that after 8 days of feeding, the inflammation caused by the developed model is detectable at a morphological level.

Neutrophils, Macrophages, Mast Cells, and Natural Killer Cells Respond Similarly to Innocuous and Harmful Food During Naïve Feeding Model

To analyze innate immune responses triggered upon inflammation in the naïve model, we monitored neutrophil, macrophage, and mast cell behavior. We took advantage of the double transgenic line Tg(lysC:DsRed)xTg(mpeg1:Dendra2), in which neutrophils and macrophages are fluorescently labeled in red and green, respectively. To evaluate mast cells, we performed immunofluorescence with an anti-tryptase antibody, which has been shown to label specifically mast cells (37). Using confocal microscopy, we determined cell morphology and the number of infiltrated cells in the intestine at two different time points: T0n (5 dpf, before feeding) and T4 (9 dpf, after feeding) (**Figure 1**). Also, we included a control with larvae without feeding at 9 dpf (T4n). We found that in both unfed conditions, T0n and T4n, there were 4 to 5 neutrophils in the intestine (**Figure 1A**). Later, at T4, we found a similar number of neutrophils. Conversely, inflammatory diet induced an increase to an average of 12 neutrophils per intestine (**Figure 1A**). In the case of macrophages, both naïve conditions (T0n and T4n) displayed a mean of 9 cells in the intestine. Similarly, to neutrophils, the number of macrophages at T4 only increased in larvae fed with the inflammatory diet, with 23 cells per intestine (**Figure 1A**). Remarkably, the number of neutrophils and macrophages did not increase due to larval development, T0 vs. T4n, suggesting that the increase of both types of immune cells is due to the inflammatory response. Finally, we did not observe mast cells in intestine at T0 and T4n and after feeding, in T4, larvae fed with control and inflammatory diet displayed a mean of 1 mast cell per intestine (**Figure 1B**).

To further characterize the participation of other innate immune cells during the naïve model of inflammation, we analyzed the natural killer cell markers Granzyme A (*gzma*), NK lysin-a (*nkla*), and NK lysin-d (*nkld*) by RT-qPCR (38). We found increased expression of *gzma* and *nkla* in both control and inflammatory diet compared to the naïve situation (T4n), suggesting the participation of NK cells in the response triggered by both the inflammatory and the control diet (**Figure 1C**).

Macrophage Morphology Changes After the Intestine Is Exposed to Food

Cell morphology can change when cell function changes, we thus evaluated if the inflammatory diet induces alterations in the morphology of myeloid cells. To this end, we analyzed the shape of neutrophils, macrophages and mast cells by confocal microscopy before (T0n, T4n) and after feeding (T4). At T0n

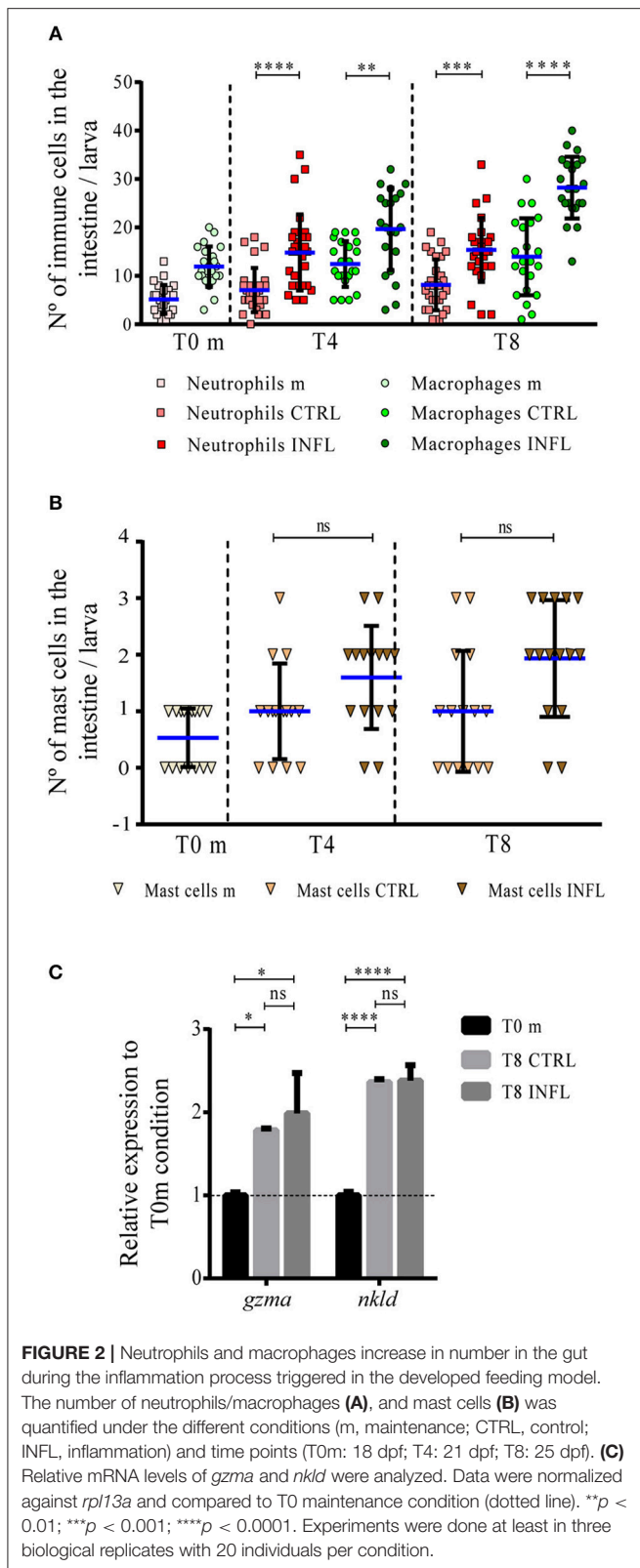
and T4n, neutrophils displayed a rounded shape that switched to an elongated morphology (**Figures 1E,F,I,J**, red cells), probably due to increased motility. Later, at T4, neutrophil morphology was similar to that observed before feeding, both under control and inflammatory conditions (**Figures 1G,H,K,L**, red cells). Likewise, mast cells displayed a rounded shape at T0 and T4n, which remained unaltered among the different conditions at T4 (**Figures 1M–P,Q–T**). Macrophages, on the other hand, displayed a rounded shape at T0 and T4n (**Figures 1E,F,I,J**, green cells) and a size similar to neutrophils. Conversely, after food intake, either under the control or the inflammatory diet, morphology drastically changed, showing cells with several long protrusions and increased size compared to the naïve situation (**Figures 1G,H,K,L**). Thus, these results suggest that the activation and/or function of macrophages change upon feeding, regardless if the food is innocuous or inflammatory.

Neutrophils, Macrophages, Mast Cells, and Natural Killer Cells Respond Similarly to Food Antigens, Either Innocuous or Inflammatory Upon the Developed Feeding Model

In the case of the developed feeding model, the number of neutrophils, macrophages and mast cells in the gut at T0 was similar to that observed at the same time-point in the naïve feeding protocol, with 5, 9, and 1 cells, respectively, (**Figures 2A,B**). During the inflammatory condition, both neutrophils and macrophages increased in the gut from T0 to T4, with neutrophils remaining constant up to T8 and macrophages maintaining their increment (**Figure 2A**). Meanwhile, the number of mast cells did not vary during the entire period, as observed in the naïve feeding model (**Figure 2B**). Finally, mRNA levels of NK cell markers increased under control and inflammatory conditions, compared to the regular maintenance condition (**Figure 2C**). In summary, these results indicate that if the intestine is exposed to antigens for first time or after continuous exposure to food, the response exerted by innate immune cells is similar.

Lymphoid Cells Colonize the Gut at Early Larval Stages and Are Able to Respond to Inflammatory Stimuli

Currently available literature indicates that zebrafish develop a functional adaptive immune system only after 3 weeks of development (18). Since we observed that the inflammatory diet triggered a strong myeloid cell response at 9 dpf, we decided to investigate if lymphoid cells are present at this developmental stage and if they are able to respond to the different food antigens. To this end, we used the Tg(lck:lck-eGFP) transgenic line with fluorescently labeled lymphoid cells (28). At 5 dpf, before feeding, we found few lymphoid cells (1 or 2) in the gut (**Figures 3A,I**). At this developmental stage, the intestine has a freshly formed lumen (39). Later, at 9 dpf (T4n), the number of lymphoid cells present in the gut of naïve larvae remained unchanged (**Figures 3B,I**). On the other hand, the intake of both diets, control and inflammatory, triggered an increase of lymphoid cells in the



intestine, 3 and 4 cells, respectively, (Figures 3C,D,I). Regarding morphology, we compared lymphoid cell shape between all conditions using confocal microscopy. We found that in both

naïve conditions, T0 and T4n, and after feeding either control or inflammatory diet, *lck*⁺ cells displayed a rounded shape with a lower proportion of cytoplasm and a prominent nucleus (Figures 3E–H). Interestingly, in the inflammatory condition, we found few *lck*⁺ cells with long protrusions (Figure 3H).

To determine if the increase in *lck*⁺ cells observed in larvae fed the inflammatory diet is due to new cells recruitment or due to proliferation, we incubated larvae with thymidine nucleoside (EdU) for 16 h and then immunodetected it. We did not observe any colocalization between *lck*⁺ cells and EdU⁺ cells (Figures 3J–O). To corroborate this results we performed immunofluorescence against phosphorylated Histone 3 (H3P) in Tg(*lck:lck-eGFP*). As in the EdU assay, we did not find any colocalization between H3P⁺ cells and *lck*⁺ cells (data not shown).

To analyze the behavior of lymphoid cells during the control and the inflammatory condition, we performed time-lapse analysis (Figures 3P,Q). We found that GFP⁺ cells did not display motility at steady-state, they only moved their protrusions but without displacement (Figure 3P, Supplementary Movie 1). Interestingly, during inflammation, GFP⁺ cells showed substantial and continuous motility along the intestine (Figure 3Q, Supplementary Movie 2). This behavioral change could be an indicator of presence of T cells in an activated state. Finally, and to determine if lymphoid cell recruitment to the intestine is regulated by similar signaling pathways than in mammals, we quantified mRNA levels of the *ccr9a* receptor and its ligand *ccl25*. Our results showed that in both feeding conditions, *ccl25* mRNA increased upon inflammation (Figure 3R), suggesting evolutionary conservation of signaling pathways regulating T cell recruitment to the intestine.

Lymphoid Cells Present in the Intestine Are Helper T Cells With a Th17 Transcriptional Profile

To determine if the lymphoid cells present in the intestine were T cells and if they have a specific Th profile, we evaluated general T cell markers (*lck*; *trac*; *cd4.1*; *cd8*), as well as Treg (*foxp3a* and *il10*), and Th17 (*il17a/f1*; *il17a/f3*; *il22*; *rorca*) markers. We compared the expression levels of all these genes between naïve (or maintenance) condition vs. control or inflammatory diet. In the case of the naïve feeding strategy, we observed an increase in the mRNA levels of *lck* and *trac* in the control and inflammatory conditions compared with the naïve situation. In contrast, there was no difference in the mRNA levels between the control with the inflammatory condition (Figure 4A). Also, we evaluated *cd4-1* expression, which strongly increased in the control and inflammatory conditions compared to the naïve situation (Figure 4A). It is important to highlight that, although the mRNA levels of *cd8* were analyzed, expression was not detected (data not shown). These results suggest that lymphoid cells increase in fed larvae, regardless of the type of food and that at least part of them are helper T cells. Similarly, in the developed feeding model, *lck*, *trac*, and *cd4.1* expression increased in control and inflammatory conditions at T8 when compared to T0m (Figure 4D). In the

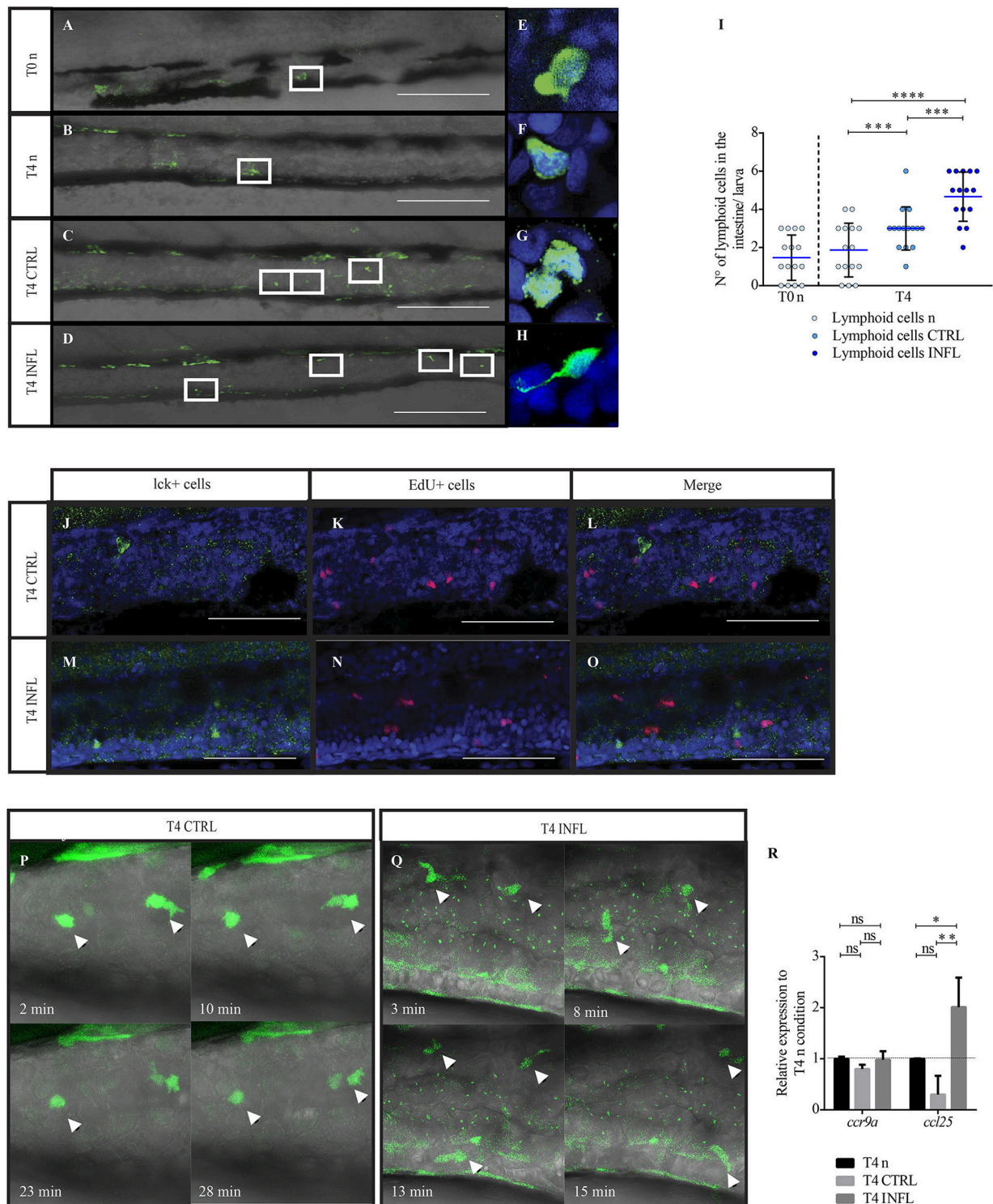
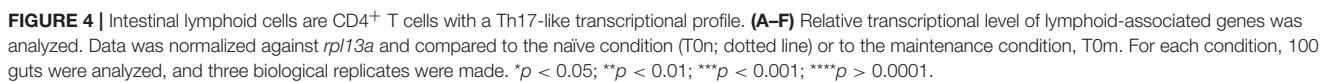


FIGURE 3 | Lymphoid cells are present in the intestine as early as 5 dpf and are able to respond to food antigens. **(A–D)** Lateral view of the intestine from a Tg(lck:lck-eGFP) larva showing fluorescently labelled lymphoid cells (green). **(E–H)** Higher magnification of lymphocytes under the different conditions. **(I)** Quantification of lymphoid cells under the different conditions (n: naïve; CTRL: control; INFL: inflammation) and time points (T0: 5 dpf; T4: 9 dpf). **(J–O)** T cells in control **(J)** or inflamed **(M)** intestine do not colocalize with EdU+ cells **(K,L,N,O)**. **(P)** Example of migration of T cells (arrowhead) in a Tg(lck:lck-eGFP) larvae fed with control diet (derived from **Supplementary Movie 1**). **(Q)** Example of the migration of T cells (arrowheads) in a Tg(lck:lck-eGFP) larva fed with inflammatory diet (derived from **Supplementary Movie 2**). **(R)** Relative mRNA levels of *ccl25* and *ccr9a* were analyzed in the intestine of control and inflamed larvae. Data was normalized against *rpl13a* and compared to naïve or maintenance condition (dotted line). * $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$; **** $p < 0.0001$. Scale bar for **A–D** 200 μm and **J–O** 100 μm . Experiments were done at least in three biological replicates with 20 individuals per condition.



naïve model, we also evaluated if *mhc1zea*, *mhc2ab*, *hamp*, *leap2*, and *trim33* genes changed their mRNA level between control and inflammatory conditions. We found an increase in *mhc1zea* and *mhc2ab* genes in larvae fed the inflammatory diet. On the contrary, none of the antimicrobial peptide markers genes, *hamp*, *leap2*, and *trim33*, altered their transcription level (Supplementary Figure 2).

To determine the type of Th profile acquired by helper T cells after intake of the control or inflammatory diet, we quantified the mRNA levels of the Th17 markers *il17a/f1*, *il17a/f3*, *il22*, and *rorca*, and the Treg markers *foxp3a* and *il10*. After the naïve feeding model, larvae displayed increased numbers of intestinal T cells, however, they did not show a defined Treg or Th17 profile since only *il17a/f1* was increased (Figures 4B,C). In contrast, in the developed feeding model, T cells displayed a Treg profile with an increase in the mRNA levels of *foxp3a* and *il10* (Figure 4E). In the case of the inflammatory diet, the mRNA levels of Th17 gene markers were increased while Treg markers decreased compared to control conditions, both in the naïve and developed feeding models (Figures 4B,C,E,F). In summary, these results show that in a naïve intestine, helper T cells do not polarize to a specific Th profile in response to innocuous and noxious

food antigens, responding similarly in both cases. Conversely, in an intestine already exposed to food, helper T cells selectively detect innocuous or noxious antigens, triggering a tolerogenic or inflammatory response, respectively.

Soybean Meal Based Inflammatory Diet Triggered Intestinal Inflammation in a T Cell-Dependent Manner

To determine if the inflammation triggered by the intake of inflammatory diet was T cell-dependent, we carried out the naïve model in *rag1*^{-/-} larvae, which lack adaptive lymphocytes. First, we quantified number of neutrophils in intestines of larvae without feeding as well as in larvae fed with control and inflammatory diet. We found no significant difference between these three conditions; in naïve larvae, the average of neutrophils per intestine was 2.65 cells; in larvae fed with control diet was 2.95 cells and in larvae fed with the inflammatory diet was 3.2 cells (Figure 5A). Likewise, we performed the naïve model in *rag1*^{-/-} Tg(lck:lck-eGFP), and evaluated if the number of lck⁺ cells (innate lymphocytes and/or natural killer cells) increase in the intestine of larvae fed the inflammatory diet compared to control

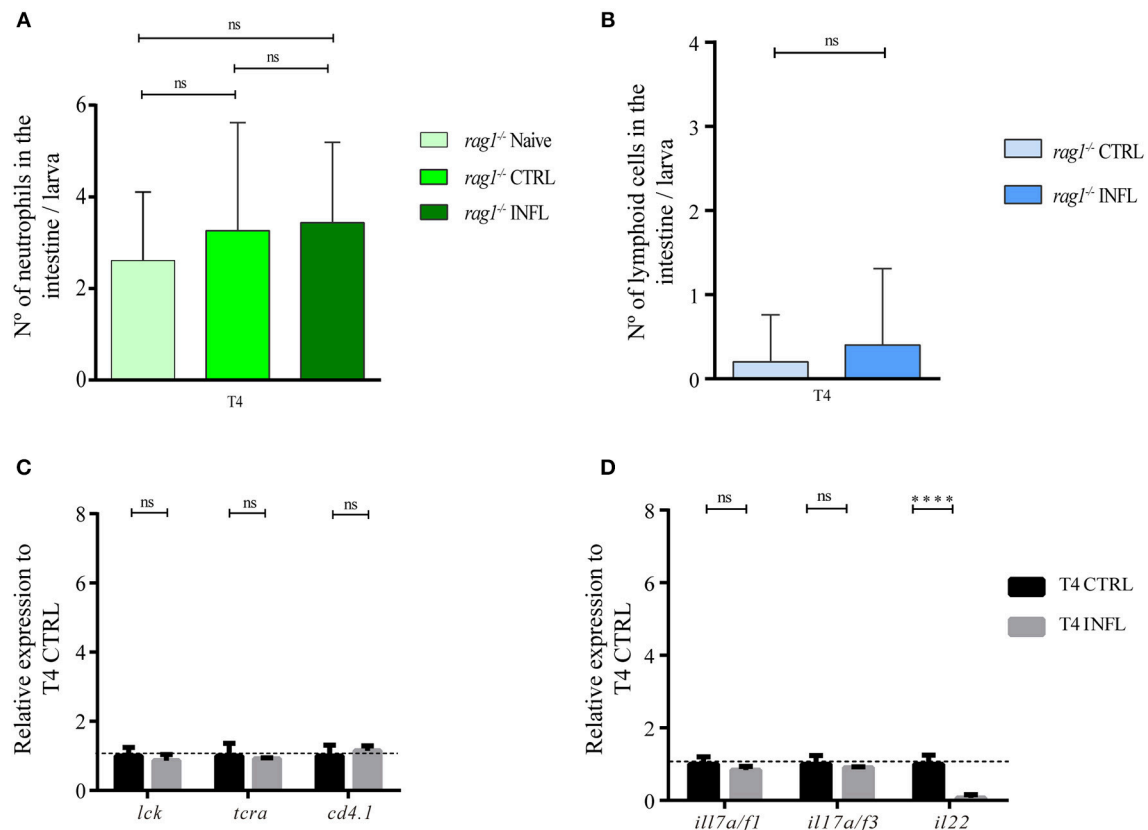


FIGURE 5 | Soybean meal based inflammatory diet triggered intestinal inflammation in a T cell dependent manner. **(A)** Neutrophils quantification in naïve, control and inflamed *rag1*^{-/-} Tg(lck:lck-eGFP) larvae fed according to naïve model. **(B)** Lymphoid cells (lck⁺ cells) quantification in control and inflamed *rag1*^{-/-} Tg(lck:lck-eGFP) larvae fed according to naïve model. **(C–D)** Relative transcriptional level of lymphoid-associated genes were analyzed. Data was normalized against *rpl13a* and compared to the control condition (T4; dotted line). Neutrophils and lymphoid cells quantification were done at least in three biological replicates and with 20 individuals per condition. For each condition in RT-qPCR, 100 guts were analyzed, and three biological replicates were made. *****p* > 0.0001.

larvae. Our results showed a very low presence of lck^{+} cells in intestines in both conditions, with an average of 0.2 lck^{+} cells in larvae fed the control diet and 0.4 in larvae fed the inflammatory diet (**Figure 5B**). Regarding mRNA levels of other lymphoid markers, neither *lck*, *trac*, nor *cd4.1* increased expression level in intestines of larvae fed the inflammatory diet compared to those fed the control diet (**Figure 5C**). In the case of mRNA level of *mhc1zea* and *mhc2ab*, the first decrease their level and the latter increase them (**Supplementary Figure 2**). Likewise, the Th17 makers, *il17/f1* and *il17/f3*, did not change between the control and inflammatory conditions (**Figure 5D**). Altogether, these results indicate that the inflammatory process triggered by the intake of soybean meal-based diet is T cell-dependent.

DISCUSSION

The understanding of biological processes associated with intestinal inflammatory diseases such IBD has historically been a very active research focus due to the high prevalence of these pathologies worldwide. Most of these investigations are based on inflammation models developed in mice which, despite having allowed important advances, are not able to completely encompass the hallmarks of this disease. Thus, in this work we used an intestinal inflammation model established in zebrafish larvae based on the intake of soybean meal in which we monitored *in vivo* the participation of innate and adaptive cells. Specifically, we studied the recruitment of neutrophils, macrophages, mast cells, and T cells to the gut. We show here for the first time that, as early as 5 dpf, T cells are present in the intestine of zebrafish during homeostasis and that they increase in numbers upon soybean meal-induced inflammation. Thus, our results demonstrate that adaptive immune response is already functional at the end of the first week of zebrafish development.

In the case of myeloid cells, we observed a strong increase of macrophages numbers in the intestine in both models of inflammation. A similar phenomenon was observed for neutrophils. On the other hand, we did not detect an increase in the number of mast cells in any of the conditions studied. In humans, disorders such as IBD develop with a large infiltration of neutrophils, macrophages and monocytes to the gut (40, 41). Meanwhile, mast cells undergo activation, leading to a substantial release of mediators such as histamine and proteases (42). Surprisingly, we detected not only an increase in the number of macrophages present in the gut, but also drastic changes in their morphology between conditions where intestines have not yet faced food antigens compared to intestines that have experienced antigen encounter. In the first, all macrophages displayed a rounded shape and, in the latter, a combination of two morphologies was observed: rounded macrophages were accompanied by others with a clear increase in size and presence of long protrusions. Importantly, both macrophage types were observed in the control situation or under inflammatory conditions. Our RT-qPCR analysis indicated that in the control situation of the naïve model, the intestinal response was not tolerogenic but

inflammatory. Thus, we speculate that these two morphologies could correspond to M0/homeostatic (round) and M1/pro-inflammatory (with protrusions) states. In mammals, different macrophage populations can be found. In a steady state gut, macrophages are characterized by very high levels of CX3CR1 expression, are avidly phagocytic and MHCII^{hi}, but are resistant to Toll-like receptor stimulation, produce interleukin 10 constitutively, and express CD163 and CD206. Also, these cells have a round morphology (43). On the contrary, during an inflammatory process, macrophages express intermediate levels of CX3CR1, are Toll-like receptor responsive and pro-inflammatory, expressing IL6 and iNOS (43). Another possibility, based on the morphology of both macrophage populations in zebrafish larvae, is that the rounded cells are indeed monocyte and the cells with protrusions correspond to monocyte-derived dendritic cells (DCs). It has been shown that human CD16⁺ monocytes differentiate into migratory DCs during the inflammatory process (44). Likewise, and using CX3CR1 GFP⁺ mice in which monocytes and their daughter cells were tracked by analyzing GFP⁺ cells, Qu et al. (45) demonstrated that the Ly6C⁺ inflammatory monocyte subset gives rise to dendritic cells that migrate to lymph nodes and express Gr1.

Regarding lymphoid cells, we detected transcripts from a very early developmental stage (5 dpf) of *lck*, *trac*, and *cd4.1* genes, suggesting that the lymphoid cells observed in the intestine were helper T cells. Detection of *cd4.1* mRNA at early larval stages has been described before (46). Importantly, we and others (46) did not detect transcription of *cd8*, suggesting absence of Cd8⁺ T cells at this developmental stage. The *lck* gene has been recently shown to be expressed by zebrafish intestinal innate lymphoid cells (ILCs) (47). Within the ILC family, the ILC3 subset also expresses Th17 cytokines such as IL-22 and IL-17. Furthermore, mouse ILCs populate the intestine at earlier developmental stages than T cells, being crucial in inflammatory responses against enteric viruses in neonatal mice (48). We observed very low numbers of *rag1*^{-/-} *lck*-eGFP⁺ cells, thus ILCs, in the intestines of larvae in both control and soybean meal diet fed fish. In addition, we found lack of inflammation upon soybean meal diet in T-cell deficient fish (*rag1*^{-/-}). Altogether, our data suggests that zebrafish ILCs do not play a role in soybean meal-induced inflammation. It remains to be determined the developmental stage at which ILCs populate the intestine of zebrafish as well as their participation in intestinal inflammation.

At the molecular level, it is interesting that in the control condition, the intestine displayed opposite responses in the two models used. In the case of the naïve model, the intestine faced food antigens for the first time, triggering an inflammation with increased expression levels of *il17a/f3*, and more importantly, without a tolerogenic response. Treg cell markers were unaltered and even decreased compared to the situation prior to feeding. Conversely, when the intestine had been previously exposed to food antigens, as in the developed model, we observed polarization of T cells toward a tolerogenic profile showing increased levels of *foxp3* and *il10* transcripts. These results suggest that the intestine must

be educated to develop food tolerance even in the case of an innocuous antigen.

On the other hand, the inflammatory condition, both in the naïve and the developed models, elicited a clear Th17 response, with increased mRNA levels of the markers *il17a/f1*, *il17a/f3*, and *il22*, and decreased levels of the Treg markers *foxp3* and *il10*. Studies in mice and humans indicate that Th17 cells play a major role in the pathogenesis of Crohn's disease and ulcerative colitis (49–51). Furthermore, a correlation between disease severity and levels of IL-17 secreted by peripheral blood mononuclear cells from ulcerative colitis patients has been observed (52).

Regarding the signaling controlling T cell homing to the intestine during inflammation, our results suggest implication of Ccl25. As in mammals (53), we observed a considerable increase in the transcriptional level of *ccl25* in the intestine during inflammation. It remains to be determinate if Ccr9 is the cognate receptor of Ccl25 in zebrafish. Finally, we observed increased motility of lck-eGFP+ cells under inflammatory conditions, suggesting a functional change of these cells. The role of increased motility as well as the signals regulating this phenomenon remain to be explored. Importantly, *in vivo* imaging of intestinal lymphocytes upon different conditions, highlights the utility of the zebrafish model to analyze behavioral changes of different cell types *in situ* and in a non-invasively manner.

An important aspect we did not cover in this work is the participation of the microbiota in the induction of the soybean meal-induced intestinal inflammation. It is widely accepted is that IBD is triggered only under the presence of microbes, thus it remains to be determined whether our inflammation model is dependent on the presence of intestinal microbiota.

In summary, these two new intestinal inflammation models recapitulate many of the hallmarks of IBD observed in mice and humans, offering opposite situations that allow to generate a broad vision of the intestinal inflammatory process. This fact, added to the key advantages offered by the zebrafish model, positions our inflammatory model in a favorable position to offer a complementary alternative to the currently available IBD murine models.

ETHICS STATEMENT

All animals were handled in strict accordance with good animal practice as defined by the European Union guidelines for the handling of laboratory animals and the Bioethics Committee of the Universidad Andres Bello, which approved this study, certificate number 007-2016.

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AUTHOR CONTRIBUTIONS

MC, PH, and CF contributed to the conception and design of the study. MC and CS developed the experiments and performed the statistical analysis. CF wrote the first draft of the manuscript. All authors contributed to revising the manuscript, reading, and approving the submitted version.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fimmu.2019.00610/full#supplementary-material>

Supplementary Figure 1 | (A) Experimental strategy for naïve and developed feeding model. In the case of the naïve feeding model, 5 dpf larvae were fed with control diet (fishmeal-based diet) or inflammatory diet (soybean meal-based diet) during 4 days until 9 dpf. Samples were collected before and after feeding and behavior of neutrophils, macrophages, mast cells, and lymphocytes was analyzed. Also, transcriptional levels of different immune gene markers were evaluated. In the case of the developed feeding model, larvae were fed from 5 to 17 dpf with a commercial diet and then changed to control or inflammatory diet for 8 days (18–25 dpf). Before, at half time and after feeding, samples were collected and analyzed as for the naïve feeding model. **(B)** The amount of neutrophils was quantified in control and inflammatory conditions at T4 and at T4+4. **(C)** Transversal paraffin cross sections of the intestine of larvae fed according to the developed model stained with hematoxylin and eosin. **(D)** Quantification of the number of intestinal folds in control and inflamed larvae fed according to the developed model. * $p < 0.05$; *** $p < 0.001$.

Supplementary Figure 2 | Relative transcriptional level of *mhc1ze*, *mhc2ab*, *hamp*, *leap2*, *trim33*, and *il23* genes were analyzed in wild type and *rag1*^{−/−} larvae after performed the naïve model. Data was normalized against *rpl13a* and compared to the control condition. For each condition, 100 guts were analyzed, and three biological replicates was made. ** $p < 0.01$; *** $p < 0.001$; **** $p > 0.0001$.

Supplementary Movie 1 | Time lapse showing lck⁺ cells, lymphocytes, in the intestine of a Tg(lck:lck-eGFP) larva fed the control diet in the naïve model.

Supplementary Movie 2 | Time lapse showing lck⁺ cells, lymphocytes, in the intestine of a Tg(lck:lck-eGFP) larva fed the inflammatory diet in the naïve model.

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Group 3 ILCs: Peacekeepers or Troublemakers? What's Your Gut Telling You?!

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A complex network of interactions exists between the microbiome, the epithelium, and immune cells that reside along the walls of the gastrointestinal tract. The intestinal immune system has been assigned with the difficult task of discriminating between commensal, harmless bacteria, and invading pathogens that translocate across the epithelial monolayer. Importantly, it is trained to maintain tolerance against commensals, and initiate protective immune responses against pathogens to secure intestinal homeostasis. Breakdown of this fine balance between the host and its intestinal microbiota can lead to intestinal inflammation and subsequently to development of inflammatory bowel disease (IBD). A decade since their discovery, innate lymphoid cells (ILCs) are now recognized as important regulators of intestinal homeostasis. ILC3s have emerged as a critical subset in the gut. They are the most phenotypically diverse ILC population and interact directly with numerous different cell types (haematopoietic and non-haematopoietic), as well as interface with the bacterial flora. In addition to their contribution to intestinal pathogen immunity, they also mitigate against tissue damage occurring following acute injury, by facilitating tissue repair and regeneration, a key function in the maintenance of intestinal homeostasis. However, in chronic inflammation the tables are turned and ILC3s may acquire a pro-inflammatory phenotype in the gut. Chronic ILC activation can lead to persistent inflammation contributing to IBD and/or colorectal cancer. In this review, we discuss current knowledge of group 3 ILCs and their contributions to intestinal homeostasis and disease leading to novel therapeutic targets and clinical approaches that may inform novel treatment strategies for immune-mediated disorders, including IBD.

Keywords: group 3 innate lymphoid cells, symbiosis, intestinal inflammation, IBD, Crohn's disease, ulcerative colitis

INTRODUCTION

With more than 10^{13} microorganisms residing in the human gastrointestinal tract (1), our mucosal immune system has excelled in preserving intestinal homeostasis by generating protective immune responses against invading, harmful pathogens whilst maintaining tolerance toward commensals. However, breakdown of this fine balance may lead to excessive immune activation, persistent inflammation and ultimately to the development of inflammatory bowel diseases (IBD). The most common IBD phenotypes, Crohn's disease (CD) and ulcerative colitis (UC) are characterized by

alternating phases of clinical relapse and remission. In CD inflammation can occur in any part of the gastrointestinal tract, whereas in UC inflammation is restricted to the colon (2). Despite the increasing incidence of IBD in the Western world (3), its complex etiology is yet to be fully understood. In general, it is thought that IBD is caused by a dysregulated immune response against the commensal bacterial flora in a genetically predisposed host (4). In accordance with this notion, genome-wide association studies (GWAS) have so far associated single nucleotide polymorphisms (SNPs) in more than 200 genetic loci with IBD susceptibility (5) including genes involved in bacterial recognition, epithelial barrier integrity and immune activation (6) highlighting the importance of microbiota-host interactions and the role of the intestinal immune system, in particular the innate one, in IBD pathogenesis.

Innate Lymphoid Cells—A New Recruit to Mucosal Sentinel Duty

Defense against intestinal pathogens is multifaceted. The intestinal epithelium comprises a physical barrier, which together with the mucus layer and production of anti-microbial peptides provides a containment barrier, which confines microbes in the lumen. Cells of the innate immune compartment residing in the lamina propria are key early warning sentinels detecting invading pathogens through conserved pattern recognition receptors, such as toll-like receptors. Pathogen detecting populations, include cells of the mononuclear phagocyte system, including macrophages and dendritic cells (DCs), which engulf and process microbial antigens and then shape adaptive immune responses by providing initial signals to adaptive lymphocytes to engage potent antigen-specific T and B cell responses. Innate lymphoid cells (ILCs) are recent additions to the innate immune cell family (7–9). They are distributed throughout the human body in lymphoid and non-lymphoid tissues, but are especially enriched at the mucosal barrier surfaces (7), where they directly interact with a number of different cell types; hematopoietic or other (10–12). ILCs have lymphoid-like morphology, but lack any antigen-specific receptors. Arising from a common lymphoid progenitor and similarly to T cells, they can be further subdivided into phenotypically and functionally distinct populations that produce different combinations of effector cytokines to mediate their functions (9–11). Their development depends on different transcription factors, which are also used to help divide ILCs into 3 main groups; group 1 ILCs that includes the well characterized NK cells, as well as the non-cytotoxic ILC1s, group 2 ILCs or ILC2s, and finally group 3 ILCs including ILC3s and lymphoid tissue inducer (LTi) cells. However, recently discovered regulatory ILCs or ILCregs (13) may now represent an additional, distinct ILC family member generating a potential new 4th group of ILCs.

Much of the early work describing ILCs focused on their developmental requirements and capacity for plasticity. An early ILC progenitor (EILCP) arising from a common lymphoid progenitor, which has lost T and B potential, gives rise to NK cells, as well as all ILC lineages (14–16). Downstream of EILCP, Id2 expressing common helper-like ILC precursor (CHILP) gives rise to all ILCs, but not to NK cells (14), whereas all ILCs (except lymphoid tissue inducer cells, LTis), arise from an

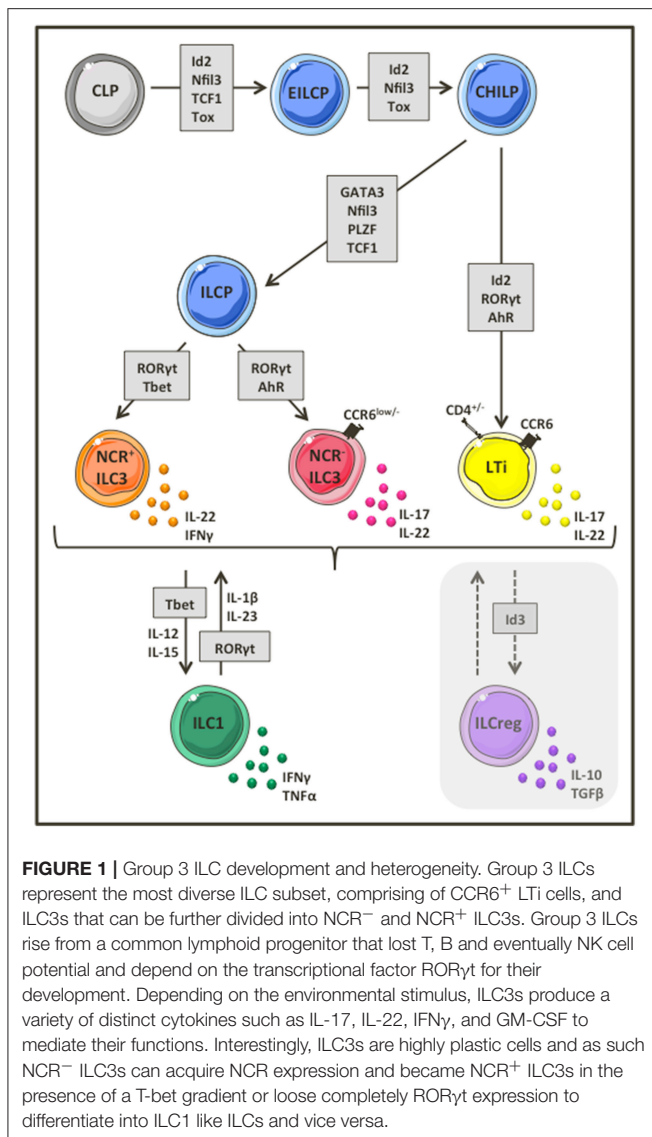
ILC precursor (ILCP) that expresses both Id2, and PLZF (17) (**Figure 1**). Group 3 ILCs that represent the most diverse and possibly best-characterized ILC populations both in humans and mice (12), require ROR γ t for their development (18–20), while the transcriptional factor aryl hydrocarbon receptor (AhR) is essential for their maintenance (21, 22). In general, group 3 ILCs, can be further divided into CCR6⁺ LTi cells that may or may not express CD4, and CCR6^{low/-} ILC3s. Based on whether or not CCR6^{low/-} ILC3s express natural cytotoxicity receptors (NCRs), they are additionally subdivided into NCR⁺ and NCR⁻ ILC3s (**Figure 1**). Upon activation, NCR⁻ ILC3s and LTi cells produce mostly interleukin (IL)-17A, IL-17F and IL-22, while NCR⁺ ILC3s produce mainly IL-22 (20, 23–27). Several independent studies have provided evidence suggesting plasticity within group 3 ILCs (28, 29). NCR⁻ ILC3s can differentiate into NCR⁺ ILC3s in the presence of increasing expression of T-bet (30, 31), or even acquire an ILC1-like phenotype by completely losing ROR γ t expression (29, 32). Similarly, ILC1-like ILC3s may also differentiate *in vivo* into NCR⁻ ILC3s in the presence of a ROR γ t gradient (32, 33) (**Figure 1**). Given the enriched numbers of group 3 ILCs in the gut mucosa and their role in preserving intestinal homeostasis, additional studies are required to clarify whether this profound, finely tuned plasticity within group 3 ILCs is a mechanism to regulate intestinal inflammation, and whether this plasticity may also be extended to recently identified ILCregs (13).

Although group 3 ILCs are primarily known for their role in anti-bacterial immunity (20, 27), they emerge as key effector cells at barrier surfaces. Recent studies describe their involvement in several immune-mediated diseases such as psoriasis (34, 35), multiple sclerosis (36), or cancer (37, 38), improving our understanding of these highly plastic cells in controlling inflammation, while suggesting new ways of therapeutic immune intervention. In this review, we focus on the role of group 3 ILCs in the gut, during intestinal homeostasis and disease.

GROUP 3 ILCs PROMOTE INTESTINAL PEACE

Microbiota-ILC3 Interactions—A Key Partnership for a Finely Tuned Intestinal Immune System

Group 3 ILCs accumulate in the gastrointestinal tract and gut-associated lymphoid tissues in a microbiota-independent manner (21, 39–41). They directly interact with the bacterial flora, as well as with immune and non-hematopoietic cells creating a dynamic network between the host and its resident microbiota that favors symbiosis and preserves intestinal homeostasis (**Figure 2**). An important aspect of this partnership is containment of commensals to the lumen allowing for controlled bacterial sampling by lamina propria mononuclear phagocytes. ILC3s are key regulators of this process. Loss of ILC3s in the intestine leads to diminished IL-22 production (a key cytokine produced by ILC3s), and impaired production of antimicrobial peptides by intestinal epithelial cells, culminating in peripheral dissemination of *Alcaligenes* bacteria and systemic inflammation that could be prevented by exogenous replacement of IL-22



(41). ILC3s also play a key role in shaping adaptive immunity. Importantly, Hepworth et al. showed for the first time that loss of RORγt⁺ ILCs in immunocompetent mice lead to dysregulated adaptive immune responses against commensals, an effect that was not mediated by known ILC3 associated cytokines such as IL-17A, IL-22 and IL-23, but through MHC II:TCR interactions instead (42). In particular, selective deletion of MHC II expression on RORγt⁺ ILCs resulted in enhanced antigen-specific Th17 responses against bacterial flora, promoting spontaneous intestinal inflammation (42). Others have also reported contribution of ILC3s in the generation of Th17 responses against commensals (43), while antigen presenting capacities through MHC II and expression of co-stimulatory molecules have also been described for splenic ILC3s using *in vitro* systems of T cell priming (44). Although ILC3s can uptake, process and present antigen, they don't express co-stimulatory molecules and as such they can potentially result in T cell energy. Thus, when absent there is uncontrolled T

cell activation and exaggerated T cell responses to commensals that would otherwise be regulated. Establishing a balanced, two-way relationship between ILC3s and T cells in the gut, T cells have proven to be crucial for keeping ILC3 numbers and functions in check. Absence of CD4⁺ T cells resulted in elevated ILC numbers, increased IL-22 production and subsequently enhanced secretion of anti-microbial peptides by epithelial cells (45). Other more complex, dynamic interactions between ILC3s, T cells and the microbiota are important at different developmental stages of the host. While ILC3s have an important role in influencing bacterial composition at early developmental stages, most likely to prevent unnecessary inflammatory responses, as bacteria burden expands, CD4⁺ T cells accumulate to establish tolerance using distinct mechanisms compared to their innate counterparts, that may become quiescent (46).

Although IL-22 plays a central role regulating mucosal immunity, the microbiota also play an important role fine-tuning the ILC3/IL-22 axis. Segmented filamentous bacteria (SFB) are commensal bacteria that selectively colonize the terminal ileum of mice, a key inductive site of mucosal immunity. They play a central role in the differentiation of Th17 cells (47, 48), but also regulate innate IL-22 production. Mono-association of germ free mice with SFB results in marked augmentation of IL-22 production by intestinal ILC3s. In this system IL-22 induced production of serum amyloid A proteins 1 and 2 from the intestinal epithelial cells, which in turn played a key role promoting the differentiation of Th17 cells (49). Other commensal bacteria may have an opposing role on IL-22 production, through induction of IL-25 by intestinal epithelial cells, which suppresses IL-22 production by RORγt⁺ ILCs (50).

The microbiota ILC3 partnership extends its branches to B cells too, the other major adaptive immune cell. In 2008, Tsuji et al. showed that LTi cells are essential for the formation of isolated lymphoid follicles (ILFs) and T cell independent generation of immunoglobulin A (IgA) by B cells in the gut (51). Later that year, a study published in Nature complemented these findings by showing that peptidoglycans from Gram⁻ bacteria activate LTi cells in the gut, which then induce chemokine production by stromal cells resulting in B cell recruitment and subsequently formation of ILFs (52). Kruglov et al. showed that RORγt⁺ ILCs mediate both T cell dependent and independent IgA production by B cells through secretion of soluble lymphotoxin α (sLTα3) and membrane-bound lymphotoxin β (LTα1β2), respectively (53). Beneficial interactions have also been described between group 3 ILCs and mononuclear phagocytes (54). Bacterial sampling by intestinal macrophages and DCs induces IL-1β secretion that activates ILC3s, which in turn produce GM-CSF that feeds back to mononuclear phagocytes to produce anti-inflammatory mediators such as IL-10 and retinoic acid eventually leading to Treg expansion and immune tolerance (54). Surprisingly, Ibiza et al. showed that group 3 ILCs also interact with the enteric nervous system in an attempt to maintain intestinal homeostasis (55). Interestingly, it was shown that glial cells in the lamina propria sense bacterial presence and secrete neurotrophic factors that induce IL-22 production by ILC3s through the neuroregulatory receptor RET (55), providing the first evidence

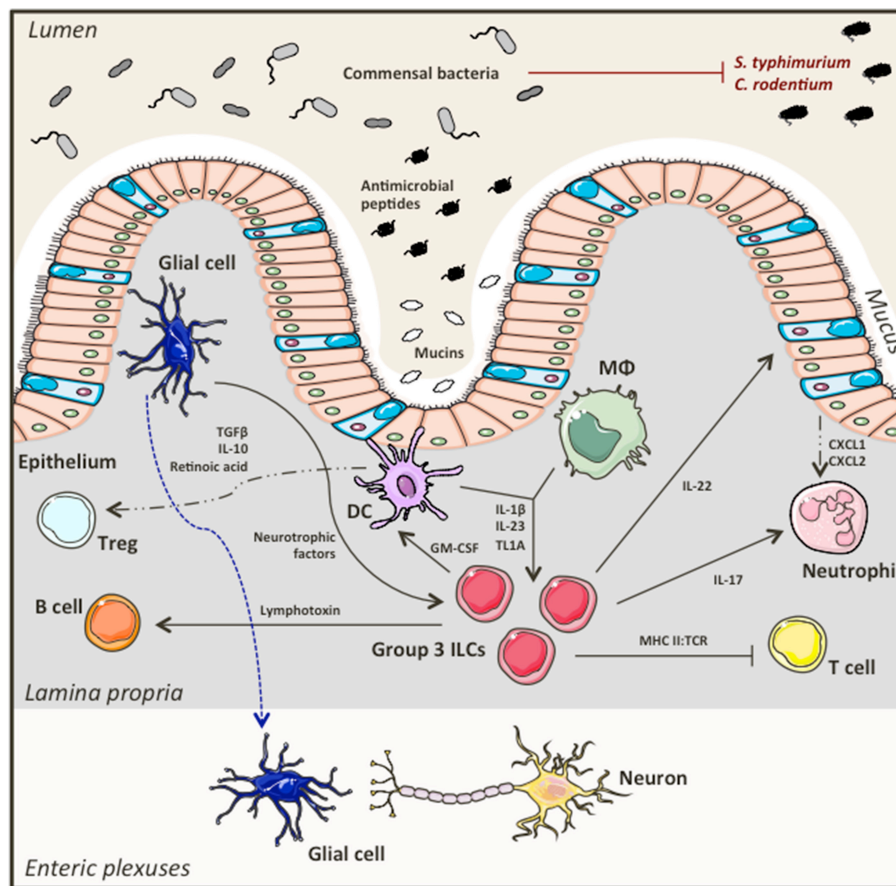


FIGURE 2 | Group 3 ILCs in intestinal homeostasis. Group 3 ILCs including ILC3s and LTi cells directly interact with the commensal bacteria in the lumen, the intestinal epithelium, as well as with other immune cells and neurons in the lamina propria forming a finely tuned network that secures and maintains intestinal homeostasis.

of direct neuron involvement in innate immune regulation in the gut.

ILC3s, Important Soldiers Fighting Foreign Pathogens

In accordance with studies showing how group 3 ILCs directly interact with the bacterial flora while working closely with other hematopoietic and non-immune cells to secure and maintain intestinal homeostasis, ILC3s have also been described as key effector cells in immunity against pathogens. Even prior to acquiring their official name, group 3 ILCs were associated with protection against *Proteobacteria* (20). Loss of NKp46⁺ RORγt⁺ IL-22 producing innate immune cells is linked to increased susceptibility to *Citrobacter rodentium* infection, which is a model for enteropathic *E.coli* infection (20). ILC3s were especially important as early producers of IL-22 (56). Lymphotoxin produced by RORγt expressing innate immune cells was necessary to control *C. rodentium* infection as lymphotoxin acted on the intestinal epithelium inducing CXCL1 and CXCL2 chemokine production and subsequently neutrophil recruitment at the early stages of infection (57). Sonnenberg et al. showed that infection with *C. rodentium* induced IL-23 mediated IL-22 production by CD4⁺ LTi cells, and that this subset of

ILC3 was sufficient to promote immunity in immunodeficient hosts (27). More recent studies showed that expression of the G-protein-coupled receptor 183 (GPR183) on LTi cells is not only essential for their migration to cryptopatches and ILFs (58), but also required for ILC3-mediated protection against *C. rodentium* infection (59). Notably, immunity to *Citrobacter* is STAT3 dependent. STAT3 deficiency was associated with impaired IL-22 production, and increased disease severity, which could be rescued with exogenous IL-22 (60). STAT3 expression was only required in ILC3s and T cells to induce protection (60).

One of the mechanisms of IL-22 mediated regulation of the microbiota is through regulation of the glycosylation pattern of epithelial cells. IL-22 and lymphotoxin expressed by ILC3s control the expression of fucosyltransferase 2 (Fut2), which triggers fucosylation of epithelial cells, which in turn can be utilized as a nutrient source by luminal commensals (61). IL-22 mediated fucosylation of the epithelium was dependent on colonization of the GI tract with bacteria, and in a positive circuit, fucosylation promoted colonization with symbiotic bacteria, presumably by providing a favorable nutrient supply. Disruption of this system resulted in loss of host-microbe mutualism and rendered the host susceptible to *Salmonella typhimurium* infection (61). In accordance with these findings, Pickard et al.

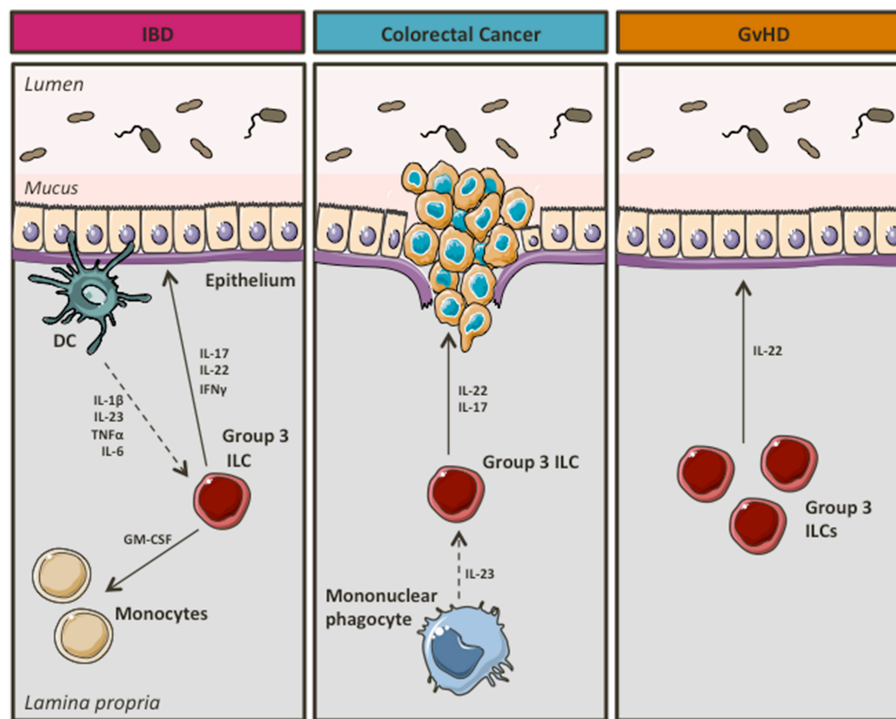


FIGURE 3 | Group 3 ILCs during intestinal disease. In chronic inflammation group 3 ILCs seem to acquire a pro-inflammatory phenotype, thus contributing to the development of IBD and/or colorectal cancer. However, they appear to have a beneficial role in GvHD.

showed that IL-22 induced fucosylation is mediated by ILC3s upon activation with IL-23 produced by DCs during pathogen-induced stress (62). In this setting, rapid fucosylation could also improve tolerance to *C. rodentium* infection (62).

Klose et al. demonstrated that Tbet expressing; IFN γ producing ROR γ t⁺ ILCs are key drivers of immunity against *Salmonella* infections (30). Other work supports a role for ILC3s in protective immunity against *E. coli*, or *Klebsiella pneumoniae* and *Toxoplasma gondii* infection (63, 64). ILC3s also contribute to host resistance to Rotavirus. ILC3 derived IL-22 synergizes with IFN λ to minimize viral replication (65).

These studies point to an important role of group 3 ILCs in promoting symbiosis with commensals and protection against pathogens. ILC3s form a dynamic network of interactions with the microbiome, other immune cells, the intestinal epithelium and surprisingly with neuro-glial cells to secure intestinal homeostasis (Figure 2).

GROUP 3 ILCs IN INTESTINAL DISEASE: THE OTHER SIDE OF THE COIN

In a landmark study, Buonocore et al. showed that chronic infection of immunodeficient mice with *Helicobacter hepaticus* resulted in ROR γ t⁺ ILC3 mediated gut inflammation. ILC3s produced high levels of IL-17 and IFN γ in response to IL-23, thus contributing to the development of T-cell independent inflammation (26). Similarly, ILC3s were crucial for the

development of innate mediated colitis using the anti-CD40 model of IBD (26). In support of a pathogenic role of ILC3s in intestinal inflammation (Figure 3), Geremia et al. showed that IL-23 responsive ILC3s were increased in the intestines of patients with CD, where in response to IL-23 they produced high levels of IBD-relevant cytokines such as IL-17 and IL-22 (66). Shedding more light into the mechanisms underlying ILC3-driven intestinal pathology, Pearson et al. showed that ILC3s use GM-CSF to attract inflammatory monocytes on site, whereas mobility of ILC3s in and out of cryptopatches appeared to induce inflammation at non-inflamed sites (67). Recently, Bauché et al. showed that Tregs were able to prevent ILC3-induced colitis (68). In particular, latent activation gene 3 (LAG3) expressing Tregs reduced IL-1 β and IL-23 production by CX3CR1⁺ macrophages resulting in impaired IL-22 production by ILC3s and therefore attenuated disease (68). Moreover, using *Tbx21*^{-/-} *Rag2*^{-/-} Ulcerative Colitis (TRUC) mice, which develop spontaneous colitis with clinical features that resemble aspects of human UC (69), Powell et al. showed that IL-23 and TNF α produced by CD103⁻CD11b⁺ mononuclear phagocytes activated ILC3s to drive intestinal inflammation through production of IL-17 (70). In particular, NCR⁻ ILC3s, the major ILC subset present in the colon of TRUC mice, were potent producers of IL-17 and IL-22 in response to IL-1 α and IL-23, an effect that was more profound in the presence of IL-6 (71). Interestingly, *in vivo* blockade of IL-6 using neutralizing antibodies significantly attenuated colonic inflammation in TRUC mice (71).

Interestingly, group 3 ILCs have also been associated with a pro-inflammatory, pathogenic role in cancer (Figure 3), a feared complication of unopposed inflammation in patients with UC (72, 73). IL-17⁺IL-22⁺ ILCs accumulate in colorectal cancer occurring in *Helicobacter hepaticus* associated colorectal cancer. ILC depletion alleviated invasive cancer in this model (74). Importantly, IL-22, but not IL-17, was necessary for cancer maintenance (74). In support of ILC3s having a role in promoting colorectal cancer, Chan et al. showed that ILC3s were key drivers of IL-23 induced tumorigenesis, however in this setting ILC3 actions were mediated by IL-17 (75).

Intestinal inflammation can also occur as a result of graft vs. host disease (GvHD) in recipients of allogeneic hematopoietic stem cell transplants (AHSCT) as a treatment of hematopoietic cell disorders including blood cancers (76). Although several studies suggest that ILC3s may contribute to intestinal inflammation promoting the development of IBD or colorectal cancer, in GvHD they might be beneficial (Figure 3). Hanash et al. showed that IL-22 production by ILC3s was increased in patients following pretransplant conditioning, whereas IL-22 levels were reduced upon emergence of GvHD (77). Notably, IL-22 deficiency in recipients resulted in significant intestinal inflammation and tissue damage (77). Moreover, Munneke et al. suggested that elevated numbers of NCR⁺ ILC3s in peripheral blood of leukemia patients following AHSCT were associated with reduced risk of GvHD (78).

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CONCLUDING REMARKS

Although they are phenotypically diverse, and exist as multiple different subsets group 3 ILCs are probably the best-characterized ILC lineage, and they appear to play an important role regulating the balance between maintenance and loss of intestinal homeostasis. A decade after their discovery, ILC3s have emerged as important regulators of inflammation at mucosal surfaces, and in the gut in particular ILC3s form a dynamic network of interactions with the microbiome, other immune cells, the intestinal epithelium and enteric neurons to secure intestinal homeostasis. However, ILC3s may also promote inflammation leading to the development of IBD and/or colorectal cancer. Additional work is needed to scrutinize ILC3 biology and their contributions to intestinal disease. Targeting ILCs, their key upstream activating mediators (e.g., IL-23, IL-1 β , or IL6), their survival factors (e.g., IL-7), or their effector cytokines (IL-22, IL-17, and IFN γ) hold promise for treating inflammatory diseases such as IBD.

AUTHOR CONTRIBUTIONS

EP wrote the manuscript and designed the figures. NP critically reviewed the manuscript.

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Contribution of Non-immune Cells to Activation and Modulation of the Intestinal Inflammation

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The mucosal immune system constitutes a physical and dynamic barrier against foreign antigens and pathogens and exerts control mechanisms to maintain intestinal tolerance to the microbiota and food antigens. Chronic alterations of the intestinal homeostasis predispose to inflammatory diseases of the gastrointestinal tract, such as Inflammatory Bowel Diseases (IBD). There is growing evidence that the frequency and severity of these diseases are increasing worldwide, which may be probably due to changes in environmental factors. Several stromal and immune cells are involved in this delicate equilibrium that dictates homeostasis. In this review we aimed to summarize the role of epithelial cells and fibroblasts in the induction of mucosal inflammation in the context of IBD. It has been extensively described that environmental factors are key players in this process, and the microbiome of the gastrointestinal tract is currently being intensively investigated due to its profound impact the immune response. Recent findings have demonstrated the interplay between dietary and environmental components, the gut microbiome, and immune cells. “Western” dietary patterns, such as high caloric diets, and pollution can induce alterations in the gut microbiome that in turn affect the intestinal and systemic homeostasis. Here we summarize current knowledge on the influence of dietary components and air particulate matters on gut microbiome composition, and the impact on stromal and immune cells, with a particular focus on promoting local inflammation.

Keywords: gut inflammation, inflammatory bowel disease, intestinal epithelial cells, intestinal fibroblasts, immune cell activation/modulation, intestinal microbiota

GUT INFLAMMATION

Inflammation is a central component of innate immunity, comprising the physiopathological response to infection or tissue damage. As a local response to cellular injury, it is initiated when tissue-resident cells of the innate immune system detect the damaging insult and alarm resident cells and circulating neutrophils. These cells migrate to the inflamed tissue, promote recruitment of inflammatory monocytes and potentiate the pro-inflammatory environment, allowing to deal with the harmful agent (1). Hence, the acute inflammatory response is marked by increased blood flow, capillary dilatation, leukocyte infiltration, and the localized production of chemical mediators, which serves to initiate the elimination of toxic agents and the repair of the damaged tissue. Hence, the acute inflammatory response is a physiological process committed to control an offending stimulus.

The intestinal mucosa has evolved as a well-structured barrier against physical, chemical, and microbial insults. The epithelial layer, mucus, antimicrobial peptides, secreted immunoglobulin A, and innate and adaptive immune cells, together help to establish a beneficial environment to tolerate the diverse community of microbes of the microbiota and food antigens. The intestinal mucosal surface constitutes the major interface between the internal tissues and a potentially hostile outer environment. To deal with this universe of antigenic components, intestinal homeostasis has been evolutionary developed through a constant crosstalk between metabolites and microbes of the microbiota, intestinal stromal cells, and the mucosal immune system. Perturbations of the homeostatic state can result in severe inflammatory conditions in the gut that may lead to tissue damage. Therefore, intestinal inflammation is a double-edge sword that should be tightly regulated. Although it is an essential component for immunosurveillance and host defense, chronic inflammatory processes may promote pathology such as inflammatory bowel diseases (IBD) (2), irritable bowel syndrome (IBS) (3), diverticular disease (4), food allergy (5), celiac disease (4), etc.

In this homeostatic scenario, the controlled and physiological inflammation of the gut promotes a barrier permeability that allows the penetration of luminal antigens to the underlying mucosal tissue. In chronic inflammatory disorders, such as IBD, microbial components of the microbiota are translocated through the damaged mucosal barrier, and trigger and maintain a sustained inflammatory response, as it is represented in **Figure 1**. Epithelial cells, dendritic cells (DCs), macrophages, and innate lymphocytes (ILCs), which sense the presence of microbes or an altered tissue environment, are activated and promote the induction of the adaptive immune response. As it is summarized in **Figure 1**, the pro-inflammatory cytokines secreted by innate cells and activated T cells are key to amplify and perpetuate mucosal inflammation. Therefore, the mucosal immune system is responsible for the induction of the inflammatory process, while tissue damage results from continuous activation and differentiation of local cells, such as myofibroblasts, that release noxious mediators (2, 6).

NON-IMMUNE CELLS INVOLVED IN GUT INFLAMMATION

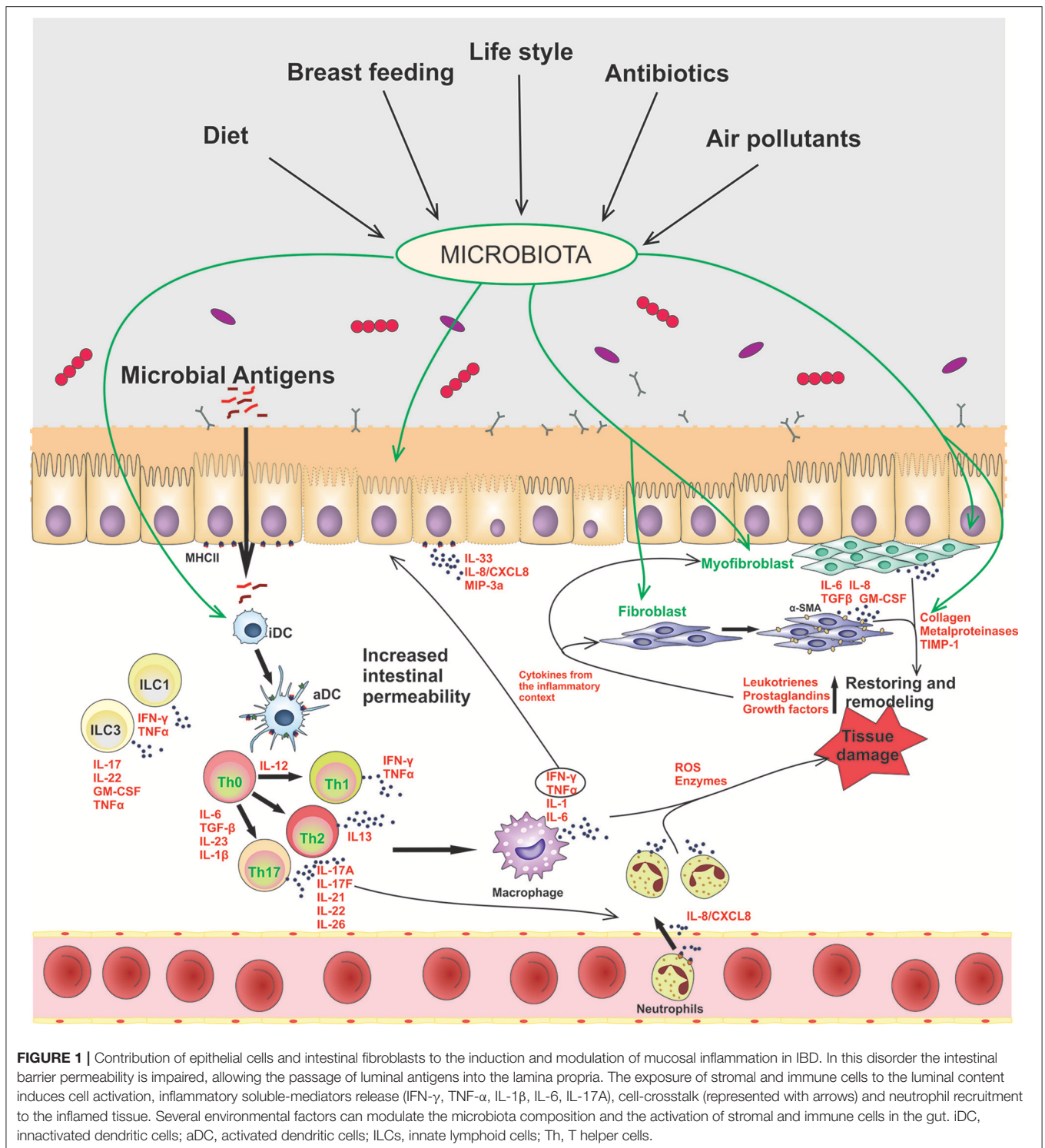
Epithelial Cells

The alteration of the intestinal epithelial barrier permeability leads to local inflammation. The fact that mice expressing dominant negative N-cadherin adherent junction protein suffer from spontaneous inflammatory bowel disease, clearly highlights the fundamental role of barrier integrity in the development of colitis and, probably, IBD (7). Many cytokines that are increased in IBD favor gut permeability, such as TNF- α . Indeed, impaired barrier function has been described for Crohn's disease (CD) and ulcerative colitis (UC) patients and it is a marker for predicting the course of these relapsing diseases (8, 9). Intestinal pro-inflammatory cytokines have been documented to modify the expression of different tight junction proteins. Claudins showed

deregulation and differential expression in human active IBD (8, 9). However, studies in mice null for claudins and other tight junction proteins were controversial, demonstrating that other factors may contribute to gut permeability (10). Overall, these reported evidences highlight the relevance of epithelial cells in intestinal inflammation. Amongst these, Paneth cells secrete antimicrobial peptides that modulate the gut microbial composition (11), and it has been recently described that metabolites produced by luminal microbes control the secretion of these peptides (12). In other words, there is a mutual monitoring of the microbiota composition and the mucosal immune system. Defects in defensin production have been found in patients with CD and NOD-2 mutations (13). Taking into account the role of the microbiome in intestinal homeostasis, these findings should not be underestimated. Recently, a study involving Paneth cell deficient mice showed that they develop dysbiosis and visceral hypersensitivity (14). Other relevant epithelial cell type are Goblet cells, responsible for secretion of mucus and sampling of luminal antigens (15, 16). These cells showed a protective role in gut inflammation since MUC2-null mice developed spontaneous colitis (17), and patients with UC showed polymorphism in MUC2 in the Dutch population (18). Goblet cell loss and decreased mucous levels are commonly observed in UC patients, with endoplasmic reticulum stress and accumulation of MUC2 precursors (17, 19). In addition, micro-fold cells, or M cells, found in the follicle-associated epithelium of the gut, have a key function in the immunosurveillance of the luminal content (16, 20, 21). There is no report showing M cell dysfunction in colitis or any other inflammatory condition. Enteroendocrine cells, which are also sensors of gut luminal content, were found to be altered in mouse models of colitis and in patients with active IBD (22).

The most abundant cells in the epithelial compartment are the absorptive cells, which not only constitute a physical barrier against luminal antigens, but mediate the crosstalk between intestinal microbiome and the host immune system, mainly through innate immune receptors. Conserved molecular patterns are mainly recognized by Toll-like receptors (TLRs) and Nod-like receptors (NLR), among others, which are expressed along the intestinal tract (23–26). Healthy human small intestine expresses TLR-2 and -4 proteins, whereas high levels of TLR-5 are found in the colon. These receptors show a polarized distribution, being located in the basolateral membranes, ensuring that commensal bacteria do not trigger an inflammatory response in homeostasis (27). These particular expression patterns of innate receptors are modified in an inflammatory setting. IBD patients have increased levels of TLR4 expression, and lower level of TLR2 and TLR5 in epithelial cells, while TLR4 was shown to be expressed in the apical surface of epithelial cells (28). Current studies have demonstrated the importance of TLR1 in the prevention of gut inflammation (29). In addition to NOD-2 mutations, abnormal mucosal NLRP3 activity has been reported in IBD and in experimental colitis; GWAS studies revealed polymorphisms in these receptors (30–33).

Intestinal epithelial cells (IECs) also contribute to intestinal homeostasis through interaction with microbiota and secretion of TGF- β and IL-10 (34, 35). Although IECs crosstalk with T



cells through cell-cell interactions (36), the role of these cells as antigen presenting cells *in vivo* is controversial (37). They express class 2 MHC, but no co-stimulatory molecules, though they do express the ICOS-L; of note, variants in this gene have been related to the IBD early onset clinical entity (38).

Colonic epithelial cell isolated from active IBD patients have shown to secrete the neutrophil-attracting IL-8/CXCL8 (39, 40) and IL-33 (41–44), which contribute to inflammation (Figure 1). IL-1 β , produced through activation of the inflammasome, and IL-17 are also secreted (45, 46), contributing to Th1 and Th17

responses in IBD. IL-21R expression is up-regulated in IECs of IBD patients, which leads to increased CCL20 synthesis, a T cell and DC chemo attractant (47, 48). IL-22, IL-31, and IL-33 have been also described to be augmented in IBD, which leads to deregulation of IEC proliferation and migration functions, whereas they stimulate IL-1, TNF- α , IL-6, and IL-8 secretion (49–51). Cytokines generated by innate and adaptive responses, on the other hand, interact with IECs, activating them and altering barrier permeability. It is well known that TNF- α induces IECs apoptosis (52) and that IFN- γ alters epithelial permeability by affecting tight junctions and bacterial translocation (53), as represented in **Figure 1**. All these factors contribute to immune cell activation and cytokine secretion which, in the context of IBD, favor an inflammation and damage perpetuation.

In conclusion, IECs are relevant cell sources of pro-inflammatory and regulatory mediators, which should be tightly controlled to achieve intestinal homeostasis.

Fibroblasts

Intestinal myofibroblasts are localized beneath the epithelial compartment, particularly around the crypts of the small intestine and the colon, and participate in the repair process. Fibroblasts, which are involved in formation of the extracellular matrix (ECM), differentiate into contractile myofibroblasts, which are also involved in the inflammatory response to injury through the secretion of cytokines (54–56). Furthermore, the origin of myofibroblasts in chronic inflammation is not clear, and they may have an epithelial origin through an epithelial-mesenchymal transition (57).

Myofibroblast activation is associated with tissue injury and inflammation (**Figure 1**). They have the ability to migrate to the injured sites, where they contract the wound area and produce extracellular matrix components that restore and remodel the damaged mucosal tissue. However, persistent myofibroblast activation in an inflammatory environment may promote an irreversible damage of the affected tissue with fibrosis and cell proliferation in the submucosa (58). Cells can secrete a number of soluble cytokines (IL-6), chemokines (IL-8, MCP-1), growth factors (TGF- β , GM-CSF), collagen, metalloproteinases (MMP-1, MMP-3, MMP-9, MMP-12), and MMPs' inhibitor (TIMP-1), that attract other cells to perpetuate the inflammation. Depending on the balance of MMPs and TIMP-1, and collagen deposition, it will be the consequence of tissue remodeling in IBD, thus resulting in fibrosis, stricture formation or ulceration (59–62). It is unknown why in some cases the intestinal inflammation induces penetrating damage with perforation and fistulae, and with increased risk of colorectal cancer in UC patients, whereas in CD patients the long-term complications include abscesses, granuloma, strictures, obstruction, fibrosis, and stenosis. Overall, the control of myofibroblast differentiation is critical to prevent or reverse complications in CD and UC patients.

INFLAMMATION IS MODULATED BY DIFFERENT FACTORS

It has been previously described that the immune system is regulated at different points for homeostasis, while aberrant or

inappropriate regulation may result in failure to protect the body from pathogens or any injury.

Environmental factors influence the incidence and development of IBD in many ways that are not fully understood, with a higher incidence in developed countries and urban populations, compared to rural areas and underdeveloped regions of the world. It has been shown that young migrants from low incidence countries have a similar incidence of IBD compared to non-immigrants, highlighting the importance of the environment in these diseases (63). Several studies discuss associations of different dietary components in gut inflammation and IBD. High meat/fat (64) consumption has been linked to a higher risk of IBD onset, and studies in animal models correlate this finding with the high heme intake (65). Also, trans- and poly-unsaturated long-chain fatty acids have been related to the disease (66, 67), while unsaturated fatty acids seem to exert a preventive role (67). Emulsifiers, which are commonly found in processed foods, have a pro-inflammatory effect in the gut (68). On the other hand, dietary fiber, especially from fruits, has been associated to a lower IBD incidence (69). Also, vitamin consumption is thought to be beneficial (70). These results should now be reevaluated after the recently published study in which the effect of different dietary ingredients was addressed in the context of IBD, using experimental colitis models in pathogen-free and germ-free mice in order to identify specific triggers (71).

More recently, the microbiota has been targeted as a critical player in establishing and sustaining the tight equilibrium of the immune system that is constantly exposed to a myriad of antigens in the mucosal surfaces. The intestinal microbiota is key for maintaining intestinal homeostasis, and it may be involved in inflammatory disorders when the composition and diversity is modified, which is called dysbiosis. In all mucosa an associated microbiota has been described and it is known that the gut microbiota might be the most complex and dynamic one. It is established during the intrauterine life and modified after birth. Several factors have been described as contributing to condition the composition of the microbiota throughout life. There is a link between diet, gut composition and gut metabolism which undoubtedly impact on the gastrointestinal health. There is growing evidence that a dysbiotic intestinal microbiota is associated with immune and non-immune disorders. However, it is debatable whether dysbiosis is a cause or a consequence of the inflammatory process (72, 73). Commonly, dysbiosis implies a change from a diverse anaerobe community, rich in *Firmicutes* and *Bacteroidetes* to a lower diversity community with enrichment of facultative anaerobes including *Proteobacteria* and *Bacilli*, although it depends on the pathology (74). There is broad consensus that pathology-associated dysbiosis is accompanied with a restriction in the diversity of species (75).

Microbiota composition may be affected by different external factors: diet (fiber, calories, etc.), urbanization, use of antibiotics, age, mode of birth, exposure to air pollutants, etc. (76–78). A westernized diet with high red meat-high fat and processed carbohydrates content, is associated with a loss in gut microbial diversity, with an increase of pathogenic adherent-invasive *E. coli* (AIEC), as it has been reported in IBD patients (79). It has been demonstrated that a high-fat/high-sugar diet leads to dysbiosis

with increased *Bacteroides* spp and *Ruminococcus torques* in mice (79). On the other hand, digestible fibers, which are fermented by bacteria in the gut, generate beneficial, anti-inflammatory short-chain fatty acids (SCFA). Modern diet components such as artificial sweeteners and emulsifiers are being subjected to investigation as they are suspected to induce dysbiosis (80–82). Human and murine studies have demonstrated that the use of antibiotics generates variations in gut microbiota mostly according to the type and the period of time used (78). Antibiotics directed to anaerobes, such as vancomycin, seem to have a more severe impact on gut microbiota composition (76). The contamination of food with particulate matter, as occurs in a contaminated environment, has shown to impair intestinal permeability and to generate inflammation, altering microbial gut composition (77, 83).

There are several evidences that highlight the relevance of the microbiota in IBD: germ-free animals do not develop experimental colitis (84), and antibiotic therapy has been successful in the treatment of CD, while it has given promising results in some forms of UC (84), etc. Dysbiosis has been well characterized in CD, with a decrease in *Clostridiales* and an increase in *Enterobacteriales* (85), while a diminished, but less defined, microbial diversity has been described for UC (85). Emerging insights on intestinal dysbiosis during immune and non-immune disorders has attracted the attention to target the microbiota composition as a novel therapeutic approach to control intestinal and extra-intestinal inflammation. However, it should be considered that the microbiota-derived metabolites are the true messengers that control the development, differentiation, and activity of the immune system associated to the local, and also, distant mucosa. Microbiota transfer strategies, or fecal microbiota transplant (FMT), have been used in Chinese ancestral medicine for centuries and have proven to be useful for *Clostridium difficile* recurrent infections (86). Several clinical trials have demonstrated that FMT restores gut microbial diversity, diminishing dysbiosis and controlling mucosal inflammation. More recently, FMT has been explored in IBD (87). Most clinical trials and randomized controlled studies have been made in UC, with promising results when they were performed early in the disease course (88). Results in CD have been variable and rigorous randomized controlled trials are needed (89). More studies are mandatory to confirm the beneficial effect of this therapy.

Another therapeutic approach aimed to modify the gut microbiome has been the use of probiotics. Numerous studies using animal models (90–93) and *in vitro* approaches (94–96) provide evidence on the beneficial use of probiotics in colitis. Results depend on the specific strain of probiotics used, and vary with the experimental model used. In particular, *Bifidobacterium* and *Lactobacillus* are the most widely used probiotic bacteria (97), although strains of *E. coli* (98–101), *Propionibacterium* (102–105), *Bacillus* (106–108), and *Saccharomyces* (109, 110) are amongst those mostly studied.

Different mechanisms of action have been described for probiotics, including binding to IECs and thus preventing the binding of pathogenic microorganisms (109, 110), acidification of the lumen of the colon by nutrient fermentation, production

of SCFA like acetate, propionate, and butyric acid as a source of energy, but also with immune modulating properties and anti-inflammatory effects (109, 110), as enhancement of epithelial barrier integrity (97), etc. Mack et al. (111) described an increased production of MUC2 and MUC3 secretion by epithelial cells stimulated with *Lactobacillus plantarum* and *Lactobacillus rhamnosus* in HT-29 intestinal cell line, while Anderson et al. described that *L. plantarum* MB452 increased the trans-epithelial electrical resistance of Caco-2 cells monolayer through the induction of tight junction proteins (112). Recently, components of *L. amylovorus* DSM 16698 cell wall demonstrated to have protective effects toward *E. coli* induced damage on Caco-2/TC7 cells, protecting membrane leakage and reducing the phosphorylation of the p65 component of the NF- κ B intracellular signaling pathway (113). The inhibition of NF- κ B activation has also been described for other *Lactobacilli* strains and for *Bifidobacterium* (114–116). The increased expression of tight junction proteins is linked to an activation of the ERK and p38 MAPK signaling cascades, via Toll like receptors (114). Probiotics have also been described for preventing IECs apoptosis by reducing oxidative stress, as in the case of *L. amylovorus* DSM16698 (117), and to inhibit apoptosis through the activation of the epidermal growth factor receptor by the release of a soluble protein known as p40 by *L. rhamnosus* GG (114, 118). In addition, probiotics act by diminishing pro-inflammatory responses and contributing to tolerogenic responses, modulating TLR-2 and TLR-4 signaling (114), and driving DCs to a suppressive phenotype, which further promote the generation of Tregs (119, 120).

It has been demonstrated in the inflamed gut, that the mucosal damage not only affects the epithelial compartment, but also colonic myofibroblasts located beneath the epithelium. It has been reported that the exposure to colonic microbiota products promotes cell activation through TLR (121). Beswick and col. demonstrated that isolated myofibroblasts from normal human colonic mucosa respond to TLR4 stimulation by LPS with the induction of PD-L1, which mediates the suppression of activated CD4⁺ PD-1⁺ T cell response and inhibition of IFN- γ secretion *in vitro*. Authors also showed that *in vivo* up-regulation of PD-L1 in colonic myofibroblast is MyD88-dependent in colitis (122). This provides evidence that colonic myofibroblasts might help to maintain the equilibrium between tolerance and immunity to protect the colonic mucosa against inflammatory responses toward the microbiota. In agreement with these findings, Scheibe and col. reported that IL-36R ligands released upon mucosal damage activate IL-36R⁺ colonic fibroblasts via MyD88, thereby inducing expression of chemokines, granulocyte-macrophage colony-stimulating factor and IL-6. These mediators induce the migration and recruitment of leukocytes and neutrophils to the inflamed colon and contribute to control mucosal healing (123).

On the other hand, dysbiosis and specific bacterial taxa correlated with fibrostenosis in a CD cohort study (32), although there are no studies showing the contribution of the microbiome to fibrosis and fibroblasts modulation in the gut. It has recently been published the first evidence that fibroblast activation and the intestinal fibrosis require specific microbial cues provided by the mouse microbiota. Authors reported that intestinal

fibrosis is microbiota-dependent, by giving gavages of feces from germ-free mice, pathogen-free feces or healthy human donor feces, in TL1A-overexpressing mice. In addition, they found that the microbial composition affects fibroblast differentiation into myofibroblasts. Furthermore, they identified several candidates that correlated directly with the fibrosis degree in mice (123, 124). Although the key role of TL1A in inducing fibrostenosis is known (125, 126), the interplay between microbiota, TL1A and fibroblasts is novel (124).

Given that there are a number of chronic inflammatory disorders with fibrotic evolution, such as rheumatoid arthritis, cirrhosis, IBD, and pulmonary fibrosis, there is great effort to develop therapies that could control or reverse fibrosis. Particularly, intestinal fibrosis has been exclusively associated to IBD, mostly CD. The use of probiotics is becoming increasingly important for the prevention and treatment of gastrointestinal conditions. In a mouse model of DSS-induced colitis, administration of *Lactobacillus acidophilus* ameliorated collagen mucosal deposition and improved intestinal fibrosis. Indeed, *L. acidophilus* treated mice showed decreased α -SMA and collagen I expression levels, compared to untreated mice (127). Alternatively to probiotics, a role for Vitamin D in modulating the immune system and the integrity of intestinal epithelium and gut microbioma has also been proposed. In this sense, vitamin D supplementation improves IBD patient's condition (128) and regarding intestinal fibrosis, it was demonstrated that vitamin D exerted protective effects on colonic fibrosis caused by TNBS-induced chronic colitis, through direct inhibition of TGF β -1/Smad3 pathway and up/regulation of vitamin D receptor in sub-epithelial myofibroblasts (129). More recently, the overexpression of fibroblasts activation protein (FAP), an inducible surface glycoprotein, has been associated with fibrosis in strictured CD patients. *In vitro* assays with anti-FAP treatment have shown promising results in controlling ECM deposition (130). Overall, despite the widely described contribution of fibroblasts to intestinal inflammation, the control of fibrosis in chronic intestinal inflammatory disorders still remains a big challenge for therapeutic purposes.

CONCLUSIONS

As the intestinal mucosa surface constitutes the major surface of the body which is in direct contact with the outer environment, intestinal immune homeostasis must be accurately regulated. The interplay between commensal microbiota, intestinal stromal cells, and the mucosal immune system components should guarantee the intestinal homeostasis to avoid a sustained

inflammation that could induce tissue damage. However, several factors can lead to inflammation through homeostasis breakdown. **Figure 1** summarizes the main points that have been reviewed here. We have described what it is known so far about the role of epithelial cells and intestinal fibroblasts in the induction and modulation of mucosal inflammation in IBD. In this chronic inflammatory disorders the intestinal barrier permeability is compromised and the selective passage of luminal antigens into the lamina propria is altered, triggering cell activation, and inflammation. A plethora of evidences demonstrate the impact of dietary and environmental factors on the gut microbioma and on the modulation of the intestinal immunity. Notwithstanding the efforts made to find alternatives to conventional anti-inflammatory treatments (steroids, antibiotics, immunosuppressive drugs and biologics) by modulation of non-immune cells response, no current evidences arise that support the replacement of conventional therapies. Regarding probiotics, for instance, it has recently been demonstrated that the use of probiotics to achieve effective mucosal protection should be personalized according to the individual affection (131–133).

In conclusion, in this review we summarized the most recent findings in animal models and cohort studies, that show the contribution of epithelial cells and fibroblasts to gut inflammation with the influence of different environmental and dietary factors. Considering that the frequency and severity of IBD are increasing worldwide, changes on environmental factors and dietary habits should not be underestimated. Based on these observations, and those regarding the modulation of the intestinal microbioma and mucosal immune cells, it has been prompted to develop novel therapeutic interventions to prevent, control or reverse gut inflammation.

AUTHOR CONTRIBUTIONS

RC, CIM, KEC, and GHD conceptualized the review. RC and CIM provided an initial draft of the manuscript and KEC provided the figure. RC, CIM, and GHD performed the final editions.

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