# CUTANEOUS T-CELL LYMPHOMAS

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# CUTANEOUS T-CELL LYMPHOMAS

Topic Editors:

**Basem M. William**, The Ohio State University, United States **Catherine Grace Chung**, The Ohio State University, United States

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# Editorial: Cutaneous T-Cell Lymphomas

Catherine G. Chung<sup>1,2\*</sup> and Basem M. William<sup>3</sup>

<sup>1</sup> Departments of Pathology, The Ohio State University Wexner Medical Center and The James and Solove Cancer Hospital, Columbus, OH, United States, <sup>2</sup> Medicine, Division of Dermatology, The Ohio State University Wexner Medical Center and The James and Solove Cancer Hospital, Columbus, OH, United States, <sup>3</sup> Division of Hematology, The Ohio State University Wexner Medical Center and The James and Solove Cancer Hospital, Columbus, OH, United States

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Editorial on the Research Topic

Cutaneous T-Cell Lymphomas

Cutaneous T-cell lymphomas (CTCL), mycosis fungodies (MF) and its leukemic counterpart Sezary syndrome (SS), remain incurable malignancies with significant impact on patients' quality of life (1). These are however exciting times for clinicians caring for patients with CTCL with approval of two highly effective agents in the past few years. Brentuximab vedotin (BV), a chimeric anti-CD30 monoclonal antibody conjugated to monmethyl auristatin E (MMAE) toxin, was approved by the US Food and Drug Administration (FDA) in 2017 based on the results of the phase III study (ALCANZA) that showed a superior overall response, lasting 4 months of 56.3% as compared to 12.5% for physician's choice of methotrexate or bexarotene in patients with relapsed CTCL (2). Mogamulizumab, a humanized IgG1 anti-CCR4 monoclonal antibody, was also approved by the FDA in 2018, based on the phase III study (MAVORIC) that showed superior progression-free survival of mogamulizumab of 7.7 compared to 3.1 months with vorinostat in patients with relapsed CTCL (3).

In this Research Topic of *Frontiers in Oncology* focused on CTCL, Khan and Sawas review ongoing studies of antibody-directed therapies for MF/SS and the rationale for their use; among them are PD-1 and PD-L1 inhibitors nivolumab and pembrolizumab, both of which have had increasing applications in the treatment of various solid and hematologic malignancies. PD-L1 is expressed in a subset of patients with MF/SS, and pembrolizumab has shown a promising ORR of 38% in patients, in CITN-10 trial, with relapsed CTCL with a subset of patients experiencing very durable responses (4). Despite the emergence of multiple new targeted agents for the treatment of CTCL, skin-directed therapy remains the mainstay of treatment for early disease, and is reviewed in this issue by Tarabadkar and Shinohara, including current evidence and updated management recommendations of United States Cutaneous Lymphoma Consortium (USCLC).

The pathogenesis of CTCL remains incompletely understood and largely unknown. In this issue, several authors lend insights to our current understanding of lymphomagenesis in MF/SS. Ghazawi et al. reviews recent epidemiological data evaluating risk factors in the development of CTCL, including sex, age, race and various environmental, infectious, and iatrogenic exposures that shed light on possible mechanisms of malignancy in MF/SS. Gantchev et al. reports on the aberrant activation of meiosis genes in cells undergoing mitosis (a process termed "meiomitosis") in the setting of CTCL, and demonstrate that there is differential gene expression of meiosis-specific cancer testis (meiCT) genes in a cohort of SS patients compared to healthy controls; these findings suggest that malignant cells in SS undergo meiomitosis, which may allow for the development of

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Edited and reviewed by:

Pierluigi Porcu, Sidney Kimmel Cancer Center, United States

#### \*Correspondence:

Catherine G. Chung catherine.chung@osumc.edu

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Editorial: Cutaneous T-Cell Lymphoma

novel diagnostic tests that accurately distinguish CTCL from benign inflammatory conditions, as well as targeted therapies. Ferranti et al. discuss immunomodulation in CTCLs, and note that in a recent investigation of HIV-infected and non-HIVinfected patients with MF/SS, individuals with HIV demonstrated significantly higher survival and decreased risk of overall mortality compared to those without HIV. The authors also discuss exploiting retrovirus infection mechanisms for gene therapy in the treatment of CTCL, and review current studies to this end. In addition to identifying specific genetic aberrations in MF/SS involving TP53, the NFkB pathway, and the JAK3/ STAT3 signal transduction pathways that may provide specific targets for future therapies, these technologies have led to the identification of multiple distinct subpopulations with different drug sensitivities within a single patient (5), suggesting that the ideal treatment for CTCL may involve combination therapies informed by a patient's unique malignant T-cell population. Phyo et al. summarizes new findings in the understanding of the biology of CTCL based on newer technologies that allow more precise molecular investigations of malignant T-cells including whole genome and whole exome sequencing and single cell RNA sequencing The evolving role of chimeric

antigen receptor (CAR) T-cell therapy is summarized by Scarfo et al. highlighting the unique challenges in applying CAR-T cell therapy in the setting of T-cell malignancies including the consequences of T-cell aplasia and the killing of CARexpressing cells by each other; a phenomenon described as "fratricide."

This Research Topic of *Frontiers in Oncology* provides an overview of key issues relating to the pathobiology, current, and future, management of CTCL and should help identify areas of common interest between dermatologist, hematologists, and cutaneous biologists thus encouraging collaboration in both basic science research and translation into practice through national and international clinical trials. We hope you find this issue interesting and informative.

#### AUTHOR CONTRIBUTIONS

All authors listed have made a substantial, direct, and intellectual contribution to the work and approved it for publication.

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# **Skin Directed Therapy in Cutaneous T-Cell Lymphoma**

Erica S. Tarabadkar<sup>†</sup> and Michi M. Shinohara<sup>\*†</sup>

Division of Dermatology, University of Washington, Seattle, WA, United States

Skin directed therapies (SDTs) serve important roles in the treatment of early stage cutaneous T-cell lymphoma (CTCL)/mycosis fungoides (MF), as well as managing symptoms and improving quality of life of all stages. There are now numerous options for topical therapies that demonstrate high response rates, particularly in early/limited MF. Phototherapy retains an important role in treating MF, with increasing data supporting efficacy and long-term safety of both UVB and PUVA as well as some newer/targeted methodologies. Radiation therapy, including localized radiation and total skin electron beam therapy, continues to be a cornerstone of therapy for all stages of MF.

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> \*Correspondence: Michi M. Shinohara mshinoha@uw.edu

<sup>†</sup>These authors have contributed equally to this work

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## **INTRODUCTION**

Skin directed therapies (SDTs) in cutaneous T-cell lymphoma (CTCL)/mycosis fungoides (MF) serve important roles in treating disease, but also in treating symptoms. Although SDTs can be used to cure CTCL in some patients with limited or early stage MF (stage 1A, 1B), they are most often used with palliative intent at all stages (1), with adjunct roles for both treatment and symptom management in more advanced MF, particularly managing pruritus and maintaining the skin barrier. The current National Comprehensive Cancer Network (NCCN) guidelines (1) recommend a general list of SDTs, but do not dictate the order in which they should be selected, allowing flexibility for selection based on both practitioner and patient factors.

One of the most important considerations when selecting a SDT is the extent of skin involvement (T stage). Although most SDT are appropriate for patients with any stage MF, topical preparations may be most practical (and therefore utilized with the highest compliance and best response) for those with limited skin body surface area involvement compared to patients with generalized skin involvement. In addition, a patient's ability to use a treatment as prescribed must be considered; a patient who lives alone may not be able to apply a topical therapy to their back, and someone who cannot stand without assistance may not be able to safely comply with phototherapy. Lastly, there are regional differences in preference for particular SDTs, and not all therapies are available in all regions worldwide.

Many of the studies evaluating SDTs were performed before standard definitions of clinical end points and response criteria were defined for CTCL/MF (2). As such, the difficulty comparing efficacy rates of different therapies should be considered when evaluating treatment options. A summary of the significant studies supporting the use of the various SDTs reviewed in this article is included in **Table 1**.

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# **TOPICAL THERAPIES**

#### **Topical Corticosteroids**

Topical corticosteroids have been reported in CTCL since the 1960s (16). A prospective study of 79 patients demonstrated overall response rates (ORR) of 94% for T1 (IA) disease and 82% for T2 (IB) disease, with complete response (CR) rates of 63% and 25%, respectively (3). In an updated report, 200 patients with early MF were treated with class I corticosteroids, with ORR of 80–90% (17). Less potent topical steroids may also be effective when used under occlusion (18).

Cutaneous side effects to topical corticosteroids in CTCL are common. In Zackheim et al.'s series, 10–20% of patients using class I steroids for  $\geq$ 3 months developed irritant dermatitis or purpura, and some developed atrophy and striae (17). Reversible suppression of cortisol levels can also occur with topical steroids in CTCL (3).

# Imidazoquinolines (Imiquimod and Resiquimod)

Imiquimod is a toll-like receptor-7 (TLR) agonist with antiviral and antitumor properties. Topical imiquimod leads to local production of interferon (IFN)- $\alpha$ , tumor necrosis factor- $\alpha$ , interleukin (IL)-1, and IL-6, (19–21) and induces direct tumor cell death and apoptosis (19, 22).

Published data on imiquimod in CTCL is limited. In a case series of 20 patients with IA-IIB mycosis fungoides (MF), treatment with imiquimod 5% yielded an ORR of 80%, with 45% CR and 35% partial response (PR). Twenty percent of patients did not respond (4). Side effects of imiquimod are typically skinlimited, including pain, redness, local irritation, ulceration, and pruritus (4). There are rare reports of patients experiencing flu like symptoms and fatigue (23, 24). Most adverse events resolve after the first few weeks of treatment, or with short treatment interruption (25, 26).

Resiquimod is a potent agonist of TLR-7 and TLR-8, leading to production of IFN- $\alpha$ , IL-12, and IL-15 (21). In a Phase I trial of 12 patients with IA-IIA CTCL, treatment with 0.03 and 0.06% topical resiquimod gel resulted in clinical improvement in 75% of treated lesions. Three patients had responses in untreated lesions, suggesting systemic activity. Adverse events were mostly skin limited, but 2 patients developed fever. Ninety percent of patients had a decrease in malignant T cell clones in treated lesions (5).

# Mechlorethamine Hydrochloride (Nitrogen Mustard)

Mechlorethamine hydrochloride [nitrogen mustard (NM)] is one of the most studied treatments for CTCL, with studies reporting on the topical use of NM for CTCL from the late 1950s onward (27, 28). NM is a cytotoxic alkylating agent thought to act directly on malignant cells by causing apoptosis, though the exact mechanism of action of topical NM in CTCL is unknown (22, 29).

Topical NM is a first line treatment for localized and generalized MF (1). NM can be used as a compounded solution or ointment, or a commercial gel formulation. Data on efficacy of NM vary by study and preparation, but all topical preparations have high response rates in MF, including significant rates of CR. For NM solution, 50-60% CR rates are reported (6–8). Responses are similar with aqueous solution and ointment (8). Median time to CR with NM is on the order of 6–12 months (7, 8).

The phase 2 trial leading to FDA approval of topical mechlorethamine gel (Valchlor) included 260 stage IA to IIA MF patients treated with 0.02% gel daily for up to 12 months. The ORR (CR + PR) using Composite Assessment of Index Lesion Severity (CAILS) was 58.5%, with 13.8% CR. Responses were durable; 85.5% had ongoing responses at 12 months (9).

Overall, topical NM is well tolerated, with side effects minor and skin limited (8, 9, 30). Common side effects include contact dermatitis, which is most frequent with the aqueous solution (64.7% in one series) (31). The rate of allergic contact dermatitis (ACD) with ointment preparations is significantly lower (<10%), though irritant reactions still occur in about 25% (particularly on the face and intertriginous areas). Decreasing frequency or concentration of NM may improve tolerability (8, 29). The rate of ACD with commercial NM gel was 16.4%, though 25% experienced skin irritation (9). The use of topical steroids and NM together may improve tolerability and allow for less frequent NM application (30).

The risk of secondary malignancies in patients treated with topical NM is conflicting and difficult to assess, as many patients also receive concurrent treatments (29). There are reports of MF patients with no significant risk factors developing skin cancer after topical NM (6, 32), while at least one study has showed no significant increase in secondary skin cancers with long duration of NM therapy (33).

## Carmustine

Carmustine (bis-chloroethyl-nitrosourea; BCNU) is an alkylating agent that cross-links DNA, causing apoptosis (34, 35). Zackheim reported 143 patients with CTCL treated with topical carmustine solution at variable dosing (from 2 mg/mL local up to 60 mg total body daily) (10). The ORR in patients with T1 (IA) disease was 98%, with 86% CR and 12% PR. In patients with T2 (IB) disease, ORR was 84%, with 47% CR and 37% PR. The median time to CR for all patients was 11.5 weeks (range 3–104 weeks) (10).

Cutaneous adverse events with BCNU are common, with frequent erythema, particularly in the intertriginous skin. Contact dermatitis can also occur. Telangiectasias can develop in areas of prior erythema, and may be permanent. Mild bone marrow suppression (leukopenia and anemia) can occur with widespread BCNU therapy; monthly monitoring of complete blood counts is recommended for these patients (10).

# **Topical Retinoids**

#### Bexarotene

Bexarotene is a retinoid X receptor agonist. Topical bexarotene 1% gel is FDA approved for the treatment of stage IA and IB persistent or refractory CTCL. The mode of action of bexarotene in CTCL is unclear, but it has been reported to cause apoptosis in CTCL cell lines (36, 37).

Topical bexarotene demonstrates benefit for early MF, however, adverse events and intolerance are common. The Phase 1/2 trial of bexarotene gel enrolled 67 patients with stage IA-IIA CTCL/MF. ORR was 63%, with CR in 21% and PR in 42%. Local

Treatment	Study	Study design	Stage	Response rate	Adverse events
Topical steroids (class I-III)	Zackheim et al. (3)	Prospective	n = 79, IA and IB, patch and plaque	T1: ORR 94%, CR 63%, PR 31% T2: ORR 82%, CR 25%, PR 57%	Temporary depression of cortisol levels ( $n = 10$ ), minor skin irritation, reversible skin atrophy
Imiquimod	Shipman et al. (4)	Case series and review	n = 20,IA–IIB	ORR 80%, CR 45%, PR 35%	Application site reaction, rare fatigue, flu-like symptoms
Resiquimod gel	Rook et al. (5)	Open label, phase 1 trial	n = 12, IA–IIA patch, plaque, folliculotropic	ORR 75%, CR 33%, PR 42%	Local skin irritation, low grade fever
Mechlorethamine solution	Vonderheid et al. (6)	Retrospective	n = 324, I–IV or Sezary syndrome <sup>§</sup>	T1 CR 80% T2 CR 62%	Allergic contact dermatitis, increased risk of cSCC
Mechlorethamine solution	Ramsay et al. (7)	Retrospective	n = 117, Stage I–III*	60.8% CR (all stages) stage I CR 75.8% stage II CR 44.6%	Delayed hypersensitivity reaction
Mechlorethamine ointment or solution	Kim et al. (8)	Retrospective	n = 203, T1-T4§	ORR 83%, CR 50%, PR 33%	Irritant or allergic contact dermatitis
Mechlorethamine	Lessin et al. (9)	Randomized, controlled, trial	n = 260, IA–IIA	ORR 58.5%, CR 13.8%, PR 44.6%	Skin irritation, pruritus, contact dermatitis
Carmustine	Zackheim et al. (10)	Retrospective	n = 143, IA-IVA <sup>§</sup>	IA: ORR 98%, CR 86%, PR 12% IB: ORR 84%, CR 47%, PR 37%	Mild bone marrow suppression (<10%), local skin erythema and tenderness, telangiectasia
Bexarotene gel	Breneman et al. (11)	Phase 1/2 dose escalation trial	n = 67,IA–IIA	ORR 63%, CR 21%, PR 42%	Local rash, pruritus, pain, rash
Bexarotene gel	Heald et al. (12)	Phase III trial	n = 50,IA–IIA	ORR 54%, CR 10%, PR 44%	Irritant dermatitis
Tazarotene cream	Morin et al. (13)	Open-label, prospective study	n = 10,IA–IIA	CR 60%	Pruritus, burning, erythema, desquamation
Tazarotene gel	Apisarnthanarax et al. (14)	Open-label pilot study	n = 19, Patch or plaque (<20% BSA)	ORR 58%, index lesions cleared in 35%	Skin irritation (erythema, burning, peeling)

ORR, objective response rate; CR, complete response; PR, partial response; cSCC, cutaneous squamous cell carcinoma.

§Staging according to 1978 Mycosis Fungoides.

Cooperative Group-National Cancer Institute Workshop staging classification (15).

\*Other staging system used (7).

adverse events occurred in 87% of patients, and included rash, pruritus, pain, and vesiculobullous rash (11). A phase III trial of topical bexarotene 1% gel showed similar findings, with an ORR of 54%, clinical CR in 10%, and frequent dose related irritant dermatitis (12).

#### Tazarotene

Tazarotenic acid binds retinoic acid receptors (RAR)- $\beta$  and RAR- $\gamma$ , exerting anti-proliferative and anti-inflammatory affects in the skin (38, 39). The first study of topical tazarotene as monotherapy in CTCL was published in 2016. Ten patients with early stage CTCL/MF were treated with tazarotene 0.1% cream to index lesions every other day for 2 weeks, then once daily for 6 months. Sixty percent of patients had a CR, with mean time to CR 3.8 months. Seventy percent of patients reported grade I or II side effects including pruritus, burning, erythema, and desquamation, and two patients withdrew from study because adverse events (13). Topical tazarotene 0.1% gel has also been evaluated in 19 patients as adjuvant therapy, with an ORR of 58% with once daily

application for 24 weeks. Local skin irritation was reported in 84% (14).

## PHOTOTHERAPY

Several groups have reviewed the existing studies and published guidelines for the use of phototherapy in CTCL/MF (40, 41). The United States Cutaneous Lymphoma Consortium (USCLC) recommends phototherapy as monotherapy for patients with early (stages IA–IIA) CTCL/MF, and in combination with systemic therapies for refractory early disease or advanced disease (41). The choice of nbUVB vs. PUVA as the initial therapy may be dictated by patient preference or by access issues. UVA has better skin penetration than UVB, and patients with thicker plaques or folliculotropic disease (41) or darker skin (42) may get more benefit from PUVA.

The USCLC offers expert consensus recommendations for phototherapy treatment protocols (41). In general, phototherapy for CTCL includes clearance, consolidation, and maintenance phases. The USCLC defines the goal of the clearance phase as 100% clearance (41). The clearance phase for CTCL may take longer than for other skin diseases that are treated with phototherapy. Patients with CTCL may also benefit from a 1-3 month long "consolidation phase" between clearance and maintenance phases, in which the frequency and dose of treatments is held constant (41). The consolidation phase may maximize the potential of histologic and molecular clearance (including loss of the dominant T-cell clone), which can lag behind clinical clearance (43, 44). Inclusion of a prolonged maintenance phase after clearance of MF may prolong the time to relapse (45) and reduce relapse rates (46), but remains controversial given the potential for increased UV exposure and a lack of significant data supporting a decrease in relapse (40).

# Contraindications and Side Effects of Phototherapy

General contraindications to phototherapy include photosensitive disorders, including xeroderma pigmentosa, lupus erythematosus, and porphyrias. PUVA should not be used in pregnant or lactating women, or those with a history of melanoma or multiple non-melanoma skin cancers. Relative contraindications to phototherapy in general include chronic actinic dermatitis and claustrophobia. Phototherapy should be used cautiously in those who are immunosuppressed, in children, or those who take photosensitizing medications (41).

Common side effects during phototherapy for CTCL include erythema and pruritus. Pruritus can be a particularly bothersome issue after starting phototherapy, particularly during PUVA ("PUVA itch") (47). Photodamage is also a common side effect of phototherapy, particularly with PUVA, in which at least 27% of CTCL/MF patients show signs of photodamage (48).

One of the most serious potential side effects of phototherapy is secondary skin cancer. There are meta-analyses and large studies of patients assessing the risk of skin cancer with psoriasis and other skin disorders treated with UVB. In general, the risk of skin cancer overall does not appear to be significantly increased with UVB phototherapy alone (49, 50), though there may be an increase in basal cell carcinomas (BCCs) and genital skin cancers in patients who have received both UVB and PUVA (50). Patients treated with PUVA do have an increased risk of skin cancer, particularly squamous cell carcinoma (SCC) and BCC (51). In a long term study of a cohort of CTCL patients that received PUVA, the incidence of skin cancer was 26% (48).

Ultraviolet radiation induces UV-signature DNA mutations, and there is a theoretical concern that phototherapy itself could induce progression of CTCL. Hoot et al analyzed their cohort of 345 MF patients, and found that patients treated with phototherapy had a longer time to tumor progression (3.5 years) compared to those who did not receive phototherapy (1.2 years), arguing that phototherapy does not appear to increase the risk of tumor progression (52).

## **Psoralen Plus UVA (PUVA)**

PUVA was the first type of phototherapy used to treat CTCL (53), and is still the initial phototherapy choice preferred by many CTCL experts (54). PUVA is effective for early MF, with estimated response rates of 85% for stage IA, and 65% for stage

IB (41). Patients with phototypes I or II may respond better to PUVA compared to patients with skin of color (45). Time to CR with PUVA therapy is reported in the 2–4 month range when patients are treated 2–3 times weekly (48). Those with thicker or infiltrated plaques may require longer times to clearance compared to thin plaques or patches, and PUVA is not as effective for tumor stage MF (55). Patients with hand and/or foot lesions can be treated with hand/foot bath PUVA alone or in addition to whole body treatment.

Evidence supports the use of maintenance therapy with PUVA after attaining complete clearance. Inclusion of a maintenance period is associated with longer time until relapse (45), though doesn't appear to impact overall relapse rates or survival (48).

In addition to the general contraindications and side effects of phototherapy listed above, 8-methoxypsoralen (8-MOP; methoxsalen, Oxsoralen Ultra), the most commonly used psoralen in the United States, can cause nausea and abdominal pain. 5-methoxypsoralen (5-MOP) shows similar efficacy to 8-MOP and with fewer side effects (56), but is not currently available in the United States. Psoralens can accumulate in the lens of the eye and theoretically increase the likelihood of cataracts. When eye protection is used at the current recommendation of 12–24 h after ingestion of psoralens, the risk of cataracts with PUVA therapy does not appear to be significantly increased (57).

#### UVB

Broadband UVB (bbUVB) was historically used to treat CTCL/MF, with high clearance rates (71% for patients with stage IA and 44% of patients with IB), but frequent (70%) relapses (46). Among lymphoma experts, bbUVB has largely been replaced by nbUVB (54).

Narrowband UVB (nbUVB) has complete response rates in the 54–90% range (41), with patches responding better than plaques (44). Among CTCL experts, nbUVB is the initial phototherapy treatment of choice for patients with stage IA disease and fair (phototypes I and II) skin (45). Patients with the hypopigmented variant of MF may not respond as well compared to other variants of MF (42).

Whether maintenance therapy with nbUVB delays relapse is unclear. The USCLC recommends maintenance therapy given that there does seem to be decrease in relapse rate when patients undergo maintenance after complete clearance with nbUVB compared to those who do not receive maintenance (41).

In general, nbUVB is better tolerated than PUVA, with fewer reported side effects (45).

#### Other Types of Phototherapy Excimer (MEL)

There are several reports supporting the use of the monochromatic excimer light (308 nm) ("MEL") for patch MF. Two series reported high response rates to MEL, with one study reporting 4/4 patients with complete clinical and histologic response (58) and another with complete clinical response in 4/5 patients (59). Follow up times were short. MEL may have a particularly useful role for sanctuary sites or sites that are not as

easily accessible by phototherapy or topical preparations, such as acral surfaces (60) or intertriginous areas.

#### UVA1

Longwave or narrowband UVA (UVA1) penetrates the skin at the level of the dermis, and does not require adjunct psoralen, resulting in lower phototoxicity compared to PUVA (61). Several small series have reported on the use of UVA1 for early (stage IA– IIA) MF. The largest included 19 patients, with CR in 63% and PR in 37% with a treatment protocol of 30 J/cm<sup>2</sup> 5 times a week for 5 weeks. Relapses occurred in 58% within 3 months of completing therapy (62). Prior reports have suggested higher response rates, but with low clarity on the definition of response and no follow up interval reported (63). Given low toxicity, UVA1 therapy may be a safe alternative or adjunct to other skin directed therapies.

#### Photodynamic Therapy

Photodynamic therapy (PDT) uses visible light to activate a topically applied photosensitizing agent, leading to the generation of reactive oxygen species and cell death in the affected cells. PDT demonstrates activity treating actinic keratosis and non-melanoma skin cancers (64). There are several reports supporting the use of PDT for MF. The most commonly reported photosensitizer used in MF is 5-aminolevulinic acid (ALA). In one series, plaque and tumor lesions from 10 patients were treated. Seven out of nine treated plaques had complete clinical response; tumors did not respond. A commonly reported side effect of PDT is pain; in this series, one patient dropped out because of pain, and most patients reported erythema and local edema (65).

## **RADIATION THERAPY**

MF is highly radiosensitive, and radiation therapy is effective in most stages of MF. Radiotherapy can be used with curative intent in patients with single (unilesional) early stage MF, and with palliative intent in all stages, with the goal of improving symptoms and cosmesis (66).

Electron beam (e-beam) is the preferred type of radiation therapy for MF, except in certain instances such as exophytic tumors or complex surfaces, in which X-rays or photons may be superior (67). The International Lymphoma Radiation Oncology Group (ILROG) recommends guidelines for radiation therapy for MF (67). When patients with single lesions of MF are treated with the intent to cure, CR rates can be as high as 100%, with no recurrences at treated sites (68). Radiation therapy is utilized most frequently as a palliative treatment in MF. Palliative doses of 8–12 Gy are recommended, given CR rates of >90% at doses  $\geq$ 8 Gy, and higher non-response rates at lower doses (1, 69). Higher doses may be required for tumor stage or large cell

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transformed MF (69). For patients traveling long distances or with difficulty accessing radiation therapy, treatment can occur in a single fraction, while retaining a high CR rate (94.4%) and at significant cost savings (70). Localized radiotherapy can be especially helpful for otherwise difficult to treat sites, such as the eyelids, and the hands and feet (71).

Side effects of local radiation therapy are dose dependent, and at very low doses (2 Gy) radiotherapy to the skin can have essentially no side effects (69). Even at higher doses, side effects can be minimal (72); commonly reported side effects include erythema, desquamation, atrophy, and skin dryness (68).

Total skin electron beam (TSEBT) is a technique of delivering electron beam radiation to the entire skin surface, usually by positioning patients and exposing to multiple intersecting beams (73). TSEBT has been described as the single most effective SDT for MF (74). Traditionally, doses as high as 36 Gy ("conventional dose") have been used, with complete response rates in the 75–95% range (73). Relapses are frequent after TSEBT, occurring in the majority of patients. Common side effects of conventional dosing TSEBT include erythema, desquamation, alopecia, nail changes, and lower extremity edema (73). Higher dose TSEBT is also associated with irreversible alopecia and low sperm counts in men (73).

Hoppe et al. published pooled data on the use of low dose (12 Gy) TSEBT for CTCL. Potential advantages to the use of low dose TSEBT over conventional dose TSEBT include lower toxicity and the potential for repeat treatment courses. ORR with low dose TSEBT was 88%, with 27% CR. Side effects were mild, reversible and less severe than reported with 36 Gy dosing. Of note, 12% of patients developed skin infections with *Staphylococcus aureus* during treatment (74); coverage with anti-staphylococcal antibiotics during TSEBT may be warranted.

The use of adjunct treatments to "consolidate" TSEBT may improve the durability. Data from the Stanford group suggest that following a TSEBT-induced CR with topical nitrogen mustard allows for longer freedom from relapse (but did not impact survival) (75). The addition of extracoroporeal photopheresis (ECP) concurrently or immediately after TSEBT has also been shown to be helpful, improving survival for patients with erythrodermic MF (76).

The literature evaluating the risk of secondary skin malignancy in CTCL is limited, but some reports suggest a possible increase in both melanoma non-melanoma skin cancer in CTCL patients with prior TSEBT (77).

## **AUTHOR CONTRIBUTIONS**

All authors listed have made a substantial, direct and intellectual contribution to the work, and approved it for publication.

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**Conflict of Interest Statement:** MS has served as principle investigator for Actelion and Soligenix.

The remaining author declares that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# CAR-Based Approaches to Cutaneous T-Cell Lymphoma

#### Irene Scarfò<sup>1,2</sup>, Matthew J. Frigault<sup>1,2</sup> and Marcela V. Maus<sup>1,2,3\*</sup>

<sup>1</sup> Cellular Immunotherapy Program, Massachusetts General Hospital Cancer Center, Charlestown, MA, United States, <sup>2</sup> Harvard Medical School, Boston, MA, United States, <sup>3</sup> Broad Institute of Harvard and MIT, Cambridge, MA, United States

Cutaneous T cell lymphomas (CTCL) are a heterogeneous group of malignancies characterized by the expansion of a malignant T cell clone. Chimeric Antigen Receptor (CAR) T cell therapy has shown impressive results for the treatment of B-cell tumors, but several challenges have prevented this approach in the context of T cell lymphoma. These challenges include the possibilities of fratricide due to shared T-cell antigens, T cell immunodeficiency, and CAR transduction of malignant cells if CAR T are manufactured in the autologous setting. In this review, we discuss these and other challenges in detail and summarize the approaches currently in development to overcome these challenges and offer cellular targeting of T cell lymphomas.

Keywords: T cell lymphomas/leukemias, CAR T cells, adoptive cell therapy, immunotherapy, cutaneous

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> \*Correspondence: Marcela V. Maus mvmaus@mgh.harvard.edu

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Cutaneous T-cell lymphomas (CTCL) are a heterogeneous group of malignancies of T-cell origin that occur primarily in the skin. They are the second most common form of extranodal Non-Hodgkin lymphomas (NHL) and their incidence has been increasing over the time (1). Mycosis fungoides (MF) and Sézary syndrome (SS) are the most common subtypes, which represent about 70–75% of CTCL (2, 3); other frequent types include primary cutaneous CD30+T-cell lymphoproliferative disorders, adult T-cell leukemia/lymphoma and a portion of peripheral T-cell lymphoma not otherwise specified (PTCL-NOS). Although both cutaneous and/or systemic therapies have been developed for these tumors, long-term outcomes are characterized by high relapse rates with advanced forms of CTCL considered incurable. Recent progress has been made using immunotherapy approaches. These include the development of monoclonal antibodies, such as brentuximab vedotin, which has shown clinical efficacy leading to FDA approval in certain disease sub-types (4–6). Here, we discuss recent advances in adoptive Chimeric Antigen Receptor (CAR) T cell therapy and summarize the challenges and opportunities for the treatment of CTCL.

# HURDLES IN THE DEVELOPMENT OF ADOPTIVE CELL THERAPY FOR THE TREATMENT OF CTCL

Immunotherapy has emerged as groundbreaking approach for the treatment of cancer and includes monoclonal antibodies, immune checkpoint blockade, tumor vaccines, and most recently, CAR T cell therapy. CAR-T cell therapy redirects a poly-clonal T-cell population against a tumor specific antigen in an MHC independent fashion. Based on impressive phase I/II data, CAR-T cell therapies are now approved for CD19+ B-cell malignancies including pediatric acute lymphoblastic leukemia (B-ALL) and large cell lymphoma (7, 8). Although B-cell aplasia is a common and manageable side effect of CD19 directed CAR-T cell therapy, T-cell aplasia is less tolerable in the long term, and can

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be life-threatening if it is not reversed or ameliorated. Furthermore, in the case of CTCL, malignant and normal T cells express many shared surface markers, limiting our ability to differentially target these populations. For this reason, special considerations have to be made when designing CARs for the treatment of T-cell lineage malignancies. First, permanent T cell aplasia is not acceptable, but approaches using either transient CAR T cell expression or persistence or suicide genes could be used to eliminate the CAR cells and allow for T cell immune reconstitution (Figure 1A). Second, killing of CAR-expressing cells by each other, known as fratricide, can undermine the ex/in vivo expansion of modified T cells and the generation of CAR T cell products (Figure 1B). Third, circulating tumor cells can contaminate leukapheresis products and be transduced with CARs during manufacturing, which could be associated with a growth advantage for the transduced tumor cells or resistance to CAR-T cell mediated cytotoxicity (Figure 1C). This phenomenon has been recently documented in a B-ALL patient relapsed after CTL019 treatment (9), whereby transduction of the tumor cells with the CAR led to "masking" the expression of the CD19 target antigen and therefore resistance to the CAR T cell-mediated killing. All these aspects need to be considered for the development of CAR T cell therapy against CTCL. However, the unmet need in T cell lymphomas is great, and effective treatments would represent a significant therapeutic advance.

## **CAR T CELLS AGAINST T CELL ANTIGENS**

It has been difficult to identify targets uniquely expressed on malignant but not on normal T cells. One strategy has been to target molecules expressed by a subpopulation of T cells, or which are downregulated when T cells are activated. This approach has been adopted for the design of CAR against CD4, CD5, CD7, CD30, CD37, CCR4, and the 2 alleles of the T cell receptor beta chains (TRBC1/TRBC2) (**Table 1**).

#### CD4

CD4 was one of the first molecules selected as target for CAR T cell therapy design. It is highly and uniformly expressed by T cell lymphomas, including CTCL which are predominantly comprised of peripheral CD4 positive T cells. In 2016, Pinz and colleagues showed preclinical efficacy of anti-CD4 CAR T cells *in vitro* and in a xenograft mouse model of ALCL (10). Although this approach demonstrated the potential for CAR-T cell immunodeficiency similar to that observed in the acquired immunodeficiency virus (HIV).

#### CD5

CD5 is another highly expressed antigen on malignant T cells (24, 25). In normal mature T cells, it has a costimulatory role in synergy with CD28 and TCR/CD3 (26–28); previous studies have shown that its expression is post-transnationally regulated (29). Anti-CD5 CAR T cells have been tested in two configurations. The first, designed by Mamonkin et al. included

CD28 as costimulatory domain and showed a transient fratricide and a limited bystander killing of normal T cells due indeed to surface downregulation of CD5 protein (11). These CAR T cells demonstrated preclinical activity in vitro against different TCL and T-ALL cell lines, including the HUT78 Sézary syndrome cells, but only partial clearance of T-ALL xenograft tumor, suggesting a lack of CAR-T cell persistence. For this reason, Mamonkin and colleagues designed a second version of the CAR using 4-1BB as costimulatory domain. Interestingly, they reported a higher fratricide when expanding 4-1BB CAR T cells compared to CD28 CAR T cells. The authors demonstrated that 4-1BB upregulates ICAM-1 molecule increasing the stability of the immunological synapse and consequent killing (12). In order to regulate CD5 targeted killing, the authors put their 4-1BB CAR under an inducible promoter allowing for transient expression and therefore killing. This approach demonstrated complete elimination of T-ALL xenograft tumors, but raised concerns about the clinical safety and the immunogenicity of transactivator proteins. Moreover, CD5 is not expressed by many malignant T cell clones and can be easily down regulated, potentially leading to antigen escape.

## CD7

CD7 is a transmembrane glycoprotein which is a primary marker for acute T-ALL and is highly expressed in a subset of T cell lymphomas (24, 30, 31). In normal tissues, CD7 expression is confined to T and natural killer (NK) cells. Recently, various groups have independently shown the potential of targeting CD7, however, all the studies reported a lack of CD7 downregulation on effector T-cells which resulted in extensive fratricide. Given the near universal expression of CD7 on normal T-cells, Gomes-Silva et al. used CRISPR/Cas9 system to disrupt the CD7 locus. Genetic knockout (KO) of CD7 led to normal expansion of CD7 specific CAR T cells without detectible fratricide of gene disrupted T cells. More importantly, they also demonstrated that anti-CD7 CAR T cells retained anti-viral activity in vitro which may provide protection in the context of T and NK ablation (13). These data led to the opening of a first in human phase I clinical trial (NCT03690011) of CD7 specific CAR-T cells in T cell leukemia and lymphoma.

A second group designed an elegant method to prevent membrane expression of CD7 protein called protein expression blocker (PEBL) by coupling an intracellular retention domain KDEL to an anti-CD7 single chain variable fragment. Transduction of anti-CD7 PEBL lead to abrogation of CD7 expression and inhibition of fratricide of PEBL CAR T cells. These modified T cells showed anti leukemic activity in cell-lines and patient derived xenograft (PDX) models of T-ALL (14). An additional advantage of this approach would be the possible direct translation to the clinic using existing current good manufacturing practice (cGMP) manufacturing processes.

Finally, Cooper and colleagues designed the first allogeneic CAR T cell product for the treatment of CD7 positive T cell tumors (15). They developed a CRISPR/Cas9 multiplex system targeting the T cell receptor alpha chain (TRAC) and CD7 genes. These UCART7 T cells were fratricide-resistant and killed PDX



model of T-ALL *in vivo* without inducing xenogeneic graft-vs.-host disease (GvHD).

#### **CD30**

CD30 is a more selectively expressed T lineage marker, present in all anaplastic large cell lymphomas (ALCLs) and some T-ALL (32, 33). Antibody drug conjugates such as brentuximab vedotin have been used to treat cutaneous lymphomas and advanced stage mycosis fungoides with clinical success (34, 35). Despite this success, significant drug conjugate mediated toxicities, including neuropathy (62%) and cytopenias (upwards of 78%), as well as limited durability of response, have led to the development of anti-CD30 CAR T cells. Although the majority of CD30. CAR-T cell trials have focused on Hodgkin lymphomas, results of 2 ALCL patients treated with CD30.CAR-Ts are promising and include one complete remission for 9 months without impaired virus-specific immunity (16).

# CCR4

CCR4 is a chemokine receptor abundantly expressed on the surface of T cell tumors including T-ALL, PTCL, and CTCL and is responsible for the homing of malignant cells to the skin. Various anti-CCR4 monoclonal antibodies are in development including mogamulizumab which already has approval in Japan for the treatment of relapsed/refractory adult T-cell leukemia/lymphoma. CCR4 has been validated as a CAR-T target as Perera and colleagues have demonstrated the ability of an anti-CCR4-CAR to lyse multiple T-cell lines *in vitro* and clear T-ALL tumor in a xenograft mouse model (18). Furthermore, since CCR4 is also expressed by T regulatory (Tregs) cells, the authors speculate that anti-CCR4 CAR T cells would be able to eliminate Tregs cells which have typically an immunosuppressive role in the tumor microenvironment. Nevertheless, given the wide expression of CCR4, safety questions about the toxicity profile of these cells still need to be addressed.

# **CD37**

CD37 is a four-passage transmembrane protein belonging to the tetraspanin superfamily. Our group and others have shown that it is expressed not only on B-cell tumors but on T cell malignancies as well (17). We generated CAR37 T cells and demonstrated specific *in vitro* killing of T cell lines, including HUT78 cells. We did not detect significant fratricide of CAR+ and/or untransduced T-cells *in vitro* lessening the concern for CAR-T mediated T cell aplasia. *In vivo* experiments and an upcoming phase I trial of CAR-37 will further evaluate CD37 as a therapeutic target.

## **TRBC1 and TRBC2**

Another promising approach is the targeting of the Tcell receptor beta-chain constant domain, type 1(TRBC1) or 2 (TRBC2). Maciocia and colleagues showed that Tcell malignancies are clonally restricted to either TRBC1 or TRBC2, akin to kappa/lambda clonality in multiple myeloma. They subsequently developed anti-TRBC1 CAR T cells and demonstrated specific killing of TRBC1 expressing normal and malignant T cells while sparing TRBC2 restricted cells (19). Given the near 50:50 distribution of TRBC1:TRBC2 expression, this approach offers the potential to spare an adequate number of normal T cells to maintain polyclonal T-cell immunity. An ongoing phase I/II clinical trial is evaluating the safety and efficacy of TRBC1 specific CAR-T cells in TRBC1 positive malignancies (NCT03590574). This group is also developing a novel TRBC2 binder and anti-TRBC2 CAR for TRBC2 restricted clones (20). These strategies open new opportunities for the treatment of T cell malignancies.

TABLE 1 | CAR T/NK cells for the treatment of CTCL.

	Target antigen	CAR construct	Modifications	Models	Clinical trials	References
CAR T cells	CD4	αCD4 CD28 41BB CAR	-	in vitro: KARPAS-299; Sézary syndrome, PTCL in vivo: KARPAS-299	-	(10)
	CD5	αCD5 CD28 CAR	-	<i>in vitro</i> : MOLT-4, CCRF-CEM, Jurkat, HuT78, SUP-T1; T-ALL <i>in vivo</i> : Jurkat	-	(11)
		αCD5 41BB CAR	-	<i>in vitro</i> : CCRF-CEM, Jurkat <i>in vivo</i> : Jurkat	-	(12)
	CD7	αCD7 CD28 CAR	CD7 CRISPR/Cas9 KO	in vitro: MOLT-4, CCRF, Jurkat, HuT78, SUP-T1; T-ALL in vivo: CCRF-CEM	NCT03690011	(13)
		αCD7 41BB CAR	CD7 protein expression blocker (PEBL)	in vitro: MOLT-4, CCRF-CEM, Jurkat, Loucy, KG1a in vivo: CCRF-CEM; ETP-ALL PDX		(14)
		αCD7 C CD28 41BB AR	CD7, TRAC CRISPR/Cas9 KO	in vitro: MOLT-4, MOLT-3, HSB-2, T-ALL in vivo: CCRF-CEM; T-ALL PDX		(15)
	CD30	αCD30 CD28 CAR	-	in vitro: KARPAS-299, HDLM-2, L428, L540, KH-M2, L1236 in vivo: KARPAS-299	NCT03049449, NCT01316146, NCT02690545, NCT02917083	(16)
	CD37	αCD37 41BB CAR	_	in vitro: FEPD, HuT78, PTCL	-	(17)
	CCR4	αCCR4 41BB CAR	-	<i>in vitr</i> o: HH, HuT78, ML, HuT102, JB-6, Karpas299, SUDHL-1, SR-786, SUP-M2, DEL, Mac-1, Mac-2A, Mac-2B <i>in vivo</i> : ATL41214	-	(18)
	TRBC1	αTRBC1 CD28-OX40 CAR	-	<i>in vitro</i> : T-PLL, PTCL-NOS, ATLL <i>in vivo</i> : Jurkat	NCT0359054	(19)
	TRBC2	αTRBC2 CD28-OX40 CAR	-	<i>in vitro</i> : Loucy, MOLT13, BE13 <i>in vivo</i> : Loucy	-	(20)
CAR NK cells	CD3	αCD3 41BB CD28 CAR	NK-92 cells	<i>in vitro</i> : KARPAS-299, CCRF-CEM, Jurkat; PTCL, Sézary syndrome <i>in vivo</i> : Jurkat	-	(21)
	CD4	αCD4 CD28 41BB CAR	NK-92 cells	in vitro: KARPAS-299, HL60, CCRF-CEM; Sézary syndrome, T-ALL in vivo: KARPS-299	-	(22)
	CD5	αCD5 41BB CD28 CAR	NK-92 cells	<i>in vitro</i> : MOLT-4, CCRF-CEM, Jurkat; T-ALL, Sézary syndrome <i>in vivo</i> : Jurkat	NCT03081910	(23)
	CD7	αCD7 CD28 41BB CAR	NK-92 cells		NCT02742727	

#### CAR NK CELLS AGAINST T CELL ANTIGENS

There is an expanding interest in using natural killer cells as source of autologous and allogeneic cellular therapy. NK cells are cytotoxic immune cells which represent our first line of defense against pathogens and malignant cells. NK cells express CD56, CD16, and CD7 but lack TCR, CD3, and CD5 expression (36). Notably, they are able to kill target cells in a non-antigen dependent fashion, do not cause GVHD and are short-lived relative to their T-cell counterparts. All these characteristics make them attractive candidates for genetic engineering with CAR molecules, especially in the context of T cell malignancies. As NK cells do not share as many common T-cell antigens, fratricide would not be expected during cellular manufacturing. Additionally, their short lifespan may prevent long-term T cell aplasia while their lack of a of TCR make them a potential source of allogeneic products.

For these reasons CAR NK cells targeting CD3, CD4, CD5, and CD7 have been explored (**Table 1**). Chen et al. utilized a NK-92 human cell line transduced with a 3rd generation anti-CD3 CAR and demonstrated *in vitro* activity against T-ALL cell lines and primary PTCL samples. Although these NK-92 CAR cells were unable to completely eradicate leukemic cells in a xenograft mouse model, improvements *in vivo* persistence may improve on the short lived nature of CD3CAR NK-92 cells (21). This same approach utilizing the NK-92 cell line for CAR therapy has been demonstrated for CD4 and CD5 positive lymphomas as well as with improvements in both *in vivo* persistence as well as activity in other disease such as T-ALL and PTCL (22, 23). Although NK-92 CARs carry promise, there is a significant safety concern regarding the use of a transformed human NK lymphoma cell line as

an NK cell source. Approaches utilizing irradiated CAR NK-92 cells are being evaluated in clinical trials (NCT03081910, NCT02742727), however any approach meant to limit the persistence and expansion of an immortalized cell line *in vivo* will have similarly detrimental effects on CAR persistence and efficacy.

#### CONCLUSIONS

The major challenges in the development of adoptive cell therapy for T cell tumors, as mentioned above, remain fratricide, T cell aplasia and the potential for leukemic transduction or poor T cell function if used in the autologous setting. Approaches to overcome fratricide include the genetic modification and deletion of the T cell antigen in the case of long-term CAR-T cell persistence or regulated CAR-T expression. To ensure restoration of T cell immunity, transient CAR expression can be achieved incorporation of a CAR suicide gene, transient CAR expression using mRNA electroporation, or short-lived NK cell lines. Finally, given that these toxicities may be tolerable initially, CAR-T cells followed by an ablative hematopoietic stem cell transplant may allow for hematologic rescue following

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CAR-T mediated disease clearance. As most of the models to date have utilized normal donor human T cells for CAR manufacturing, we must also consider the underlying fitness of the starting cell product. Peripheral T-cells from patients with underlying T cell malignancy routinely demonstrate impairments in T cell function as well as reduced quantities in peripheral blood, given the extensive prior treatment burden and immune-dysregulation. Approaches utilizing allogeneic donors and gene-editing techniques to remove the endogenous TCR, or CAR products generated from autologous or allogeneic NK cells may offer creative solutions. These include the potential for multiple allogeneic sources, such as peripheral blood, umbilical cord blood, or effector cells generated from induced pluripotent stem cells (iPCSs). Regardless of cell source, target antigen, and the challenges and obstacles each approach may carry, CAR-effector cells as a treatment option for T-cell lymphomas may provide an exciting opportunity for these diseases.

#### **AUTHOR CONTRIBUTIONS**

IS wrote and edited the manuscript. MF and MM contributed to manuscript planning and editing.

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# Environmental and Other Extrinsic Risk Factors Contributing to the Pathogenesis of Cutaneous T Cell Lymphoma (CTCL)

Feras M. Ghazawi<sup>1</sup>, Nebras Alghazawi<sup>2</sup>, Michelle Le<sup>2</sup>, Elena Netchiporouk<sup>2</sup>, Steven J. Glassman<sup>1</sup>, Denis Sasseville<sup>2</sup> and Ivan V. Litvinov<sup>2\*</sup>

<sup>1</sup> Division of Dermatology, University of Ottawa, Ottawa, ON, Canada, <sup>2</sup> Division of Dermatology, McGill University, Montréal, QC, Canada

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> \*Correspondence: Ivan V. Litvinov ivan.litvinov@mcgill.ca

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The applications of disease cluster investigations in medicine have developed rather rapidly in recent decades. Analyzing the epidemiology of non-random aggregation of patients with a particular disease fostered identification of environmental and external exposures as disease triggers and promoters. Observation of patient clusters and their association with nearby exposures, such as Dr. John Snow's astute mapping analysis in the mid-1800's, which revealed proximity of cholera patients in London to a contaminated water pump infected with Vibrio cholerae, have paved the way for the field of epidemiology. This approach enabled the identification of triggers for many human diseases including infections and cancers. Cutaneous T-cell lymphomas (CTCL) represent a group of non-Hodgkin lymphomas that primarily affect the skin. The detailed pathogenesis by which CTCL develops remains largely unknown. Notably, non-random clustering of CTCL patients was reported in several areas worldwide and this rare malignancy was also described to affect multiple members of the same family. These observations indicate that external factors are possibly implicated in promoting CTCL lymphomagenesis. Here, we review the epidemiology of CTCL worldwide and the clinical characteristics of CTCL patients, as revealed by global epidemiological data. Further, we review the known risk factors including sex, age, race as well as environmental, infectious, iatrogenic and other exposures, that are implicated in CTCL lymphomagenesis and discuss conceivable mechanisms by which these factors may trigger this malignancy.

Keywords: cutaneous T-cell lymphoma, CTCL, epidemiology, incidence, environmental risk factors

# **CUTANEOUS T-CELL LYMPHOMA**

Cutaneous T-cell lymphomas (CTCL) are a class of non-Hodgkin lymphomas. CTCL represents a heterogeneous group of lymphoproliferative disorders that are characterized by the infiltration of activated bystander and malignant  $CLA^+$   $CCR4^+$  T cells into the skin (1, 2). Patients with CTCL, depending on the disease subtype, can present with a spectrum of clinical morphologies including erythematous, hyper- or hypo-pigmented patches with or without atrophy, or present with thickened plaques, that may mimic benign, inflammatory, or autoimmune disorders such as

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eczema, psoriasis, morphea, pityriasis lichenoides chronica, pityriasis rubra pilaris, drug eruptions, poikiloderma, panniculitis, vitiligo, and pigmented purpuric dermatoses. Thus, CTCL is considered a "great mimicker" in dermatology. In fact, CTCL is often challenging to diagnose, especially in early and erythrodermic stages, and on average it takes  $\sim$ 6 years to establish a definitive diagnosis since its initial presentation (3). As the malignancy progresses in a subset of patients, the disease can spread to lymph nodes and other organs. While mycosis fungoides (MF) and Sézary syndrome (SS) are the most commonly recognized subtypes, other variants of CTCL were documented by the 2016 World Health Organization classification of primary cutaneous lymphomas (4) and include angioimmunoblastic T-cell lymphoma, subcutaneous panniculitis-like T-cell lymphoma, adult T-cell leukemia/lymphoma (ATLL), mature T-cell lymphoma not otherwise specified (NOS), cluster of differentiation 30 positive (CD30<sup>+</sup>) T-cell lymphoproliferative disorders of the skin and extranodal natural killer (NK)/T-cell lymphoma, nasal type (ENKL). Despite the aforementioned variability in clinical presentation, the majority of MF lesions develop on the trunk and lower extremities often asymmetrically and follow a "1930's bathing suit" distribution on skin not commonly exposed to the sun.

## DEMOGRAPHICS AND CLINICAL CHARACTERISTICS OF CTCL PATIENTS

While CTCL has been shown to affect individuals of almost all ethnicities and age groups in both sexes, extensive epidemiological studies have consistently reported that CTCL preferentially affects patients of "typical" profiles and in classic body sites. Indeed, the mean age at the time of diagnosis is in the mid- to late-fifties. The mean age of diagnosis was reported in Canada as 59.4 years (5) and the median age of diagnosis in the United States, United Kingdom and Switzerland is in the range of 54-57.5 years, with marked differences between different ethnicities (6-9). In addition, more males are diagnosed with CTCL than females. In fact, the reported incidence-rate-ratio (IRR) of males-to-female patients in the United States during 2001-2005 and 2005-2009 years were 1.7:1 and 1.6:1, respectively (10, 11). The IRR of males-to-females in Canada during 1992-2010 was 1.4:1 (5). There are also important differences in CTCL incidence and prognosis between different ethnic backgrounds. The average age of CTCL diagnosis in African-Americans is significantly lower compared to Caucasians (6-9, 12). In one study that examined the clinical characteristics of 4,496 patients diagnosed with cutaneous lymphoma between 2004 and 2008 in the United States, the mean age at the time of diagnosis for Caucasian, African-American Asian/Pacific Islander, and Native American/other/unknown patients were 59.2, 51.5, 51.3, and 53.8 years, respectively (9). In fact, CTCL was reported to occur at a significantly higher rate in individuals of African-American descent, when compared to individuals of European or Asian origin. In a population-based study in the United States, the age-adjusted incidence rates of CTCL in 8 states from 2001 to 2005 were the highest among African-Americans (10.0/1,000,000 person-years), followed by non-Hispanic whites (8.1), Hispanic whites (5.1), and Asian/Pacific Islander (5.1) (10). Further, in these individuals, the disease was often associated with worse prognosis (9, 12).

In terms of subtype prevalence, MF, SS, CD30<sup>+</sup> lymphoproliferative disorders and primary cutaneous peripheral T cell lymphomas not otherwise specified (PCTCL-NOS) are the most common and well-recognized variants of CTCL. Indeed, MF is the predominant subtype of CTCL and is corroborated by several studies showing that it accounts for 44–60% of all CTCL cases (1, 8).

# OVERALL INCIDENCE PATTERNS FOR CTCL

The incidence of CTCL worldwide has demonstrated a 2 to 3-fold increase during the last 25–30 years (9, 13), and ranged between  $\sim$ 5 and 11 cases per million individuals per year depending on the CTCL subtypes and the examined year range. In the United States, incidence rates of CTCL were on the rise from 1973 until 1998 and stabilized at 10.2 cases per million individuals per year (11). In Canada, we recently reported that the incidence rate of CTCL increased steadily during the 1990s and then stabilized at  $\sim$ 11 cases per million individuals per year, demonstrating geographical continuity between the two North American countries (5). The incidence rates of CTCL in other parts of the world including Norway, Wales, France, Kuwait, and Japan are summarized in **Table 1**.

# **CLUSTERING OF CTCL PATIENTS**

Despite the rarity of this malignancy, clustering of CTCL patients was reported in several regions around the world including the Västernorrland county of Sweden (21) as well as in Pittsburgh, Pennsylvania (22) and in Texas (23) in the United States. Based on the analysis of 1990 patients using two cancer registries (MD Anderson Cancer Center and Texas Cancer Registry), we previously reported geographic clustering of patients in several communities in the state of Texas including in cities of Katy, Beaumont, and Spring, where CTCL incidence rates were 3-20 times higher than the US national average (23, 24). Notably clustering of patients was observed in our recent study in Canada in the areas of heavy industrial presence (e.g., in Winnipeg, MB, Hamilton and Oakville, ON, etc.), while cities with limited industrial presence including Ottawa, Gatineau and Quebec City were relatively spared by CTCL (5). Similarly, Moreau et al. demonstrated CTCL case clustering in certain location of the Pittsburgh metropolitan area (22). Further, familial aggregation of this rare malignancy were reported among Jewish nationals in Israel (25). The non-random distribution of CTCL patients argues that external environmental, occupational, behavioral, and communicable triggers may indeed exist for this malignancy.

Country	Incidence of CTCL	Incidence of CTCL subtypes	Study year range	Data source	References
USA	2.8–10.5		1973–2009	SEER (6,230 patients from Los Angeles, California; San Jose, California; Alaska and rural Georgia)	(11)
USA		MF = 5.5 SS = 0.1	2005–2008	SEER program (17 data sets representing ~10% of the US population: Connecticut, Hawaii, Iowa, New Mexico, Utah, Detroit, San Francisco/Oakland, Seattle/Puget Sound and Atlanta)	(14)
USA	6.4		1973–2002	SEER; 13 registries covering ~14% of the US population (Los Angeles and San Jose, California; Alaska; and rural Georgia).	(13)
USA	7.7	MF = 4.1 SS = 0.1 CD30 + LPD = 1.1 SPTC = 0.1 pcPTL = 2.2	2001–2005	16 SEER registries representing ~26% of the US population (Connecticut, Hawaii, Iowa, Kentucky, Louisiana, New Jersey, New Mexico, and Utah), greater California, rural Georgia, and 6 metropolitan areas (Atlanta, Detroit, Los Angeles, San Francisco–Oakland, San Jose–Monterrey, and Seattle–Puget Sound)	(10)
USA		MF $1973-1974 = 1.8$ $1975-1976 = 2.3$ $1977-1978 = 2.8$ $1979-1980 = 2.8$ $1981-1982 = 4.1$ $1983-1984 = 4.8$ $1985-1986 = 4.3$ $1987-1988 = 4.2$ $1989-1990 = 4.9$ $1991-1992 = 4.5$	1973–92	SEER (specific states not mentioned)	(15)
Canada	11.3		1992–2010	Canadian Cancer Registry and Le Registre Québécois du Cancer	(5)
Norway	1.6 (1980–84) 2.9 (2000–03)	MF/SS = 1.5-1.8	1980–2003	Cancer Registry of Norway on non-Hodgkin lymphomas	(16)
France	2.0-5.7	MF = 1.3 - 2.5	1980-2003	Doubs cancer registry (France)	(17)
Kuwait		MF = 4.3	1991–2006	National Dermatology Department (193 patients)	(18)
Wales	4.8		2003-2011	All Wales Lymphoma Panel (120 Patients)	(19)
Japan		MF/SS = 1.0-1.5	2008–2015	National Cutaneous Lymphoma Registry (391 patients)	(20)

TABLE 1 | Reported CTCL incidence rates worldwide (rates are denoted as cases per 1 million individuals per year).

SEER, Surveillance, Epidemiology, and End Results; MF, mycosis fungoides; SS, Sézary syndrome; CD30<sup>+</sup> LPD, CD30<sup>+</sup> T-cell lymphoproliferative disorders of the skin; SPTCL, Subcutaneous panniculitis-like T-cell lymphoma; pcPTL, Primary cutaneous peripheral T-cell lymphoma; AITCL, Angioimmunoblastic T-cell lymphoma; MTCL-NOS, Mature T-cell lymphoma NOS; CD30<sup>+</sup>ALCL, CD30-positive anaplastic large-cell lymphoma; ENKTL-NT, Extranodal NK/T-cell lymphoma, nasal type; ATCLL, Adult T-cell leukemia/lymphoma; EBVANK/TCL, Epstein-Barr virus-associated natural-killer/T-cell lymphoma.

# ENVIRONMENTAL AND EXTERNAL RISK FACTORS OF CTCL

Analysis of the epidemiology and spatial distribution of diseases enabled the identification of occupational, communicable and environmental exposures as initiators/promoters of many malignancies, such as arsenic triggering cutaneous squamous cell carcinomas, benzene exposure contributing to the carcinogenesis of Acute Myelogenous Leukemia, and asbestos accounting for the majority of mesothelioma cases, reviewed in Ghazawi et al. (26, 27).

A number of external triggers/disease promoting factors such as hydrochlorothiazide diuretics, immunosuppression, as well as several putative bacterial and viral agents have been proposed for CTCL (28) and are summarized in **Supplementary Table 1**. Early evidence shows that air pollution and chemical exposure, including pesticides (e.g., Glyphosate in Roundup<sup>®</sup>, Monsanto Inc.) and detergents may increase one's risk of developing MF, SS, and other Non-Hodgkin Lymphomas (29, 30). Indeed, as mentioned above, our previous analysis on the distribution of CTCL patient clusters in Texas revealed that many of the patients were residing along the same streets/highways and/or rivers/streams. Similarly, analysis of CTCL distribution by postal codes in Canada identified several regions with elevated CTCL incidence rates that were located in the proximity to heavy industrial factories and major transportation hubs (5, 31). The same trend was observed by Moreau et al. in Pittsburgh, PA area (22). These combined results argue that common exposures may be promoting this cancer in certain communities. Furthermore, our study in Texas demonstrated that two densely populated

adjacent zip codes located in a sunny desert climate near El Paso, Texas were completely spared by CTCL (23, 24).

In other population-based studies in the United States, low household income, types of owner-occupied housing units and foreign birth (although, the countries of birth were not detailed in the cited study) were correlated with increased incidence of CTCL (11). Incidence of CTCL has also been correlated with high physician density (correlation coefficient (r) = 0.6; p = 0.04) and high family income (r = 0.7; p = 0.01) (13). In addition, body mass index, tobacco use, personal history of eczema, family history of multiple myeloma, crop, and vegetable farming activities, painting, woodworking and carpentering occupations have all been linked to an increased risk of MF and SS. Alcohol use and sun exposure were also reported as exacerbating and protective lifestyle risk factors for MF, respectively (32). Regarding sun exposure being a protective factor, one plausible hypothesis is centered on low vitamin D levels in CTCL patients. A study by Talpur et al. reported that low vitamin D levels were present in 76.9% of the MF/SS patients, comparable to the levels in other cancer patients (75.2%) (33).

As mentioned earlier, iatrogenic immunosuppression with conventional systemic or newer biologic (i.e., anti TNF- $\alpha$ ) therapies increases ones likelihood of developing MF/SS and other lymphomas (28). The use of hydrochlorothiazide was also evaluated in MF and SS patients with hypertension and was found to be a possible trigger of disease in a subset of patients with early MF (34). Although not proving causality, hydrochlorothiazide use has been linked to increased severity in MF and SS cases. The discontinuation of hydrochlorothiazide in these patients has led to the clearing or amelioration of their MF; when re-challenged with this medication, a subset of these patients had a reoccurrence of their MF lesions (34). Other medications that were proposed as possible triggers for MF include antihistamines, antiepileptics, antihypertensives, and serotonin reuptake inhibitors (28).

Familial clustering studies showed an increased incidence of CTCL by analyzing the allele frequency of HLA DQB1\*03 in first-degree relatives (25). Furthermore, a few cases have reported that organ transplant recipients (albeit these patients are on immunosuppressive drugs) (35) and patients with HIVrelated immunodeficiency had an increased risk of developing CTCL (36).

Based on current literature, infections may play more than one role in natural CTCL disease course. Specifically, some infections were proposed to trigger/promote the disease. At the same time, as the malignancy progresses to more advanced stages the host becomes susceptible to an increasing number of infections that ultimately lead to a demise of a patient. Several studies reported a significant incidence of skin infections in CTCL patients with an association between the pathogenic burden and disease severity (37, 38). *Staphylococcus aureus, Mycobacterium leprae, Chlamydophila pneumoniae*, and dermatophytes are among some of the infectious agents implicated as triggers/promoters of CTCL. Moreover, certain viruses investigated for their role in triggering CTCL include *Human T-cell leukemia/lymphotropic virus type 1 (HTLV-1)*, which was consistently associated with Adult T-Cell Lymphoma (39), but not MF/SS (40-46). Further, HTLV-2 (41, 47), HIV (36, 48, 49), *Epstein-Barr virus* (50-61), *Cytomegalovirus* (62, 63), *Human Herpesvirus* (*HHV*)-6, *HHV-7* (57, 64-66), *HHV-8* (67) and even *Polyomaviruses* including *Merkel cell polyomavirus* (*MCV*) (68-70) were also proposed to play an important role in disease pathogenesis. However, some of these studies have yielded conflicting results, as highlighted by Mirvish et al. (71), and ultimately failed to report a clear explanation for CTCL pathogenesis (71).

## HOW COULD EXTERNAL FACTORS PROMOTE OR TRIGGER CTCL?

While the precise triggers are not yet identified/confirmed, and the mechanism of lymphomagenesis remains enigmatic, several studies have investigated a number of different hypotheses (72). Chromosomal instability as well as dysregulated expression of many genes such as cancer testis and meiomitosis genes, Suppressor of cytokine signaling 3 (SOCS3), B-Raf protooncogene, serine/threonine kinase (BRAF), Interleukin-2 receptor common gamma chain, Thymocyte selection-associated high-mobility group box (TOX), among others [reviewed in (72, 73)] were reported in CTCL patients. Aberrant expression of SOCS3, a regulator of the Jak-3/STAT disrupts the normal expression of several cytokines including IL-5, IL-10, IL-17A, and IL-17F and tumor suppressor microRNAs such as miR-22 further highlighting the important role of the cytokine milieu in disease pathogenesis (74). As disease progresses, an important switch from a Th1 to Th2 profile immune response is observed in patients with subsequent eosinophilia and superinfections with S. aureus (75, 76). On the other hand, a recent study by Fanok et al. demonstrated that T-cell receptor engagement is critical for malignant transformation of T lymphocytes in the setting of presumed bacterial trigger (77). S. aureus, being a common pathogen residing on the skin, is thought to promote malignant inflammation. Lack of Th1 immune response is a driving factor for the growth of S. aureus on the CTCL skin. Following this event, a Th2 immune-mediated response is precipitated by the downregulation of STAT4 and upregulation of STAT5 and STAT3 by oncomiRs (miR-155) making CTCL patients more susceptible to S. aureus colonization and prolonged antigenic stimulation (75, 76, 78, 79).

Further, a recent study described the mechanism by which *S. aureus* activates oncogenic STAT3 signaling in malignant T cells (80). Staphylococcal enterotoxin A (SEA) was shown to impact malignant T cells in an indirect mechanism by promoting infiltrating bystander non-malignant T cells to produce IL-2 and other regulatory cytokines, thus upregulating JAK3/STAT3 signaling and leading to proliferation of malignant T cells in the skin (28, 80). Finally, Staphylococcal toxins have been implicated in the activation of malignant T cells in SS patients by acting as superantigens and binding to a TCR-v  $\beta$  chain, leading to T cell activation and proliferation (81–83). Collectively, it is likely that patients' genetic profiles and skewed cytokine milieu in response to select infectious agents may predispose individuals to develop MF/SS.

Also, central to CTCL pathogenesis remains chronic and persistent antigen stimulation of skin-homing CD4<sup>+</sup> memory T-cells by external factors. The resultant chronic inflammation drives the immune system toward the proliferation and expansion of specific T cell malignant clone(s) (84). This was reinforced by a collection of different observations. For instance, it was reported that one patient, who had implanted gravel in the hip from a traumatic injury developed a chronic plaque, which histologically appeared as parapsoriasis and progressed in 15 years to MF (85). The fact that, after about 10 years on average, some patients developed MF at the sites previously inoculated with foreign substances also supports the notion that CTCL may develop after chronic antigen stimulation (86). Consistent with these observations, it is well-established that implant associated-Anaplastic Lage Cell Lymphoma (ALCL), an indolent disease similar to primary cutaneous ALCL, can arise as a result of breast implants, tibial implants, dental implants, chest injection ports, gluteal implants, shoulder repairs, and a gastric band placement (87). It is now standard procedure to include a discussion of ALCL risk before any breast implant procedures and inform patients of a possible onset of ALCL within a median time frame of 8 to 10 years, albeit this disease affects 1 in 2,000 to 1 in 70,000 implant recipients (87). Notably, textured prostheses have higher risk of ALCL then smooth implants (87).

The reviewed implicated factors in **Supplementary Table 1** clearly indicate that more than one antigen may stimulate CTCL. Additional evidence of this comes from studies that established a widespread repertoire of the stimulated CTCL clones (or single-cell heterogeneity in Sézary Syndrome) between different patients (88). Therefore, particular antigen engagement, coupled with the combination of aberrant cytokine milieu and chronicity of antigenic-stimulation, may collectively play critical roles in the development of cutaneous lymphoma.

# CONCLUSIONS

Epidemiological studies have repeatedly helped identify definitive triggers for several diseases. As highlighted in this perspective report, previous studies strongly argue for the interplay between intrinsic factors and putative preventable extrinsic triggers/promoters for CTCL. Given the evidence of geographical regional clustering of CTCL patients, CTCL occurrence in unrelated family members and recent evidence implicating *S. aureus* in the pathogenesis/progression of CTCL, more research is needed to decipher the precise mechanism by which specific environmental exposures may be driving the pathogenesis

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of this malignancy. Hopefully, such knowledge of potential triggers and perpetuating factors for this cancer would enable us at some point to significantly decrease CTCL incidence. Therefore, it is important to take into consideration the effects of hydrochlorothiazide diuretics and immunosuppressive medications in patients with definite or suspected diagnosis of CTCL (e.g., recalcitrant eczematous patches/plaques that appear in a bathing-suit distribution) (89). Patients living near heavy industrial factories may consider an air filtration system, if feasible, to decrease their risk of developing a malignancy. Minimizing daily exposure to pesticides/herbicides containing chemicals that are listed as probable or possible (Groups 1-2B) carcinogens on the International Agency for Research on Cancer (IARC) database, such as glyphosate, is also important. Any traumatically implanted in the skin or subcutaneous tissue foreign objects (especially textured objects) should be surgically removed. Furthermore, given our further understanding of S. aureus in the pathogenesis of CTCL progression, clinicians may consider decolonizing patients using various techniques already used for patients with atopic dermatitis such as bleach baths as well as topical and systemic antibiotics. Similarly, patients with detectable dermatophytes may similarly benefit from anti-fungal treatments to avoid developing CTCL.

# **AUTHOR CONTRIBUTIONS**

FG, NA, ML, EN, SG, DS, and IL have contributed by participating in reviewing the literature on the topic, preparing the tables summarizing existing evidence, writing and critically reviewing the manuscript.

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# SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fonc. 2019.00300/full#supplementary-material

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# The Ectopic Expression of Meiosis Regulatory Genes in Cutaneous T-Cell Lymphomas (CTCL)

Jennifer Gantchev<sup>†</sup>, Amelia Martínez Villarreal<sup>†</sup>, Pingxing Xie<sup>†</sup>, Philippe Lefrançois, Scott Gunn, Elena Netchiporouk, Denis Sasseville and Ivan V. Litvinov<sup>\*</sup>

Division of Dermatology, McGill University, Montréal, QC, Canada

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> \*Correspondence: Ivan V. Litvinov ivan.litvinov@mcgill.ca

<sup>†</sup>These authors have contributed equally to this work

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Cancer testis (CT) antigens, under normal circumstances are uniquely expressed in testicular germ cells. Recent research has shown that meiosis-specific CT (meiCT) antigens are ectopically expressed in cutaneous T-cell lymphoma (CTCL) and may contribute to increased genomic instability. The aberrant activation of meiosis genes in a mitotic cell is now recognized as a distinctive process, "meiomitosis." We have previously demonstrated the ectopic expression of several meiCT antigens in nine patient-derived CTCL cell lines and in expanded peripheral T lymphocytes isolated from Sézary Syndrome patients. In this study we analyzed the transcriptional expression of meiCT genes in Sézary Syndrome patients and healthy controls using publicly-available RNA sequencing (RNA-Seq) data. We corroborated our in silico analysis by examining the expression of 5 meiCT proteins in formalin-fixed, paraffin-embedded (FFPE) lesional samples from CTCL patients. Our results show significant differential gene expression of STAG3, SGO2, SYCP3, and DMC1 in a cohort of Sézary Syndrome patients when compared to healthy controls. Additionally, our study demonstrates a heterogenous expression of meiCT genes involved in initiation (STRA8), sister chromatin cohesion (STAG3, SGO2), homologous chromosome synapsis (SYCP3) and homologous recombination (DMC1) in atypical lymphocytes in FFPE samples. Our results further confirm the ectopic expression of meiCT genes in CTCL which indicates that CTCL malignant cells likely undergo the process of cancer meiomitosis, as opposed to a typical mitotic division. The ectopic expression of meiCT genes together with investigations into the functional mechanisms of cancer meiomitosis will help provide a foundation to develop novel diagnostic tests to distinguish CTCL from benign inflammatory dermatoses and may enable us to develop additional targeted therapies for patients with this malignancy.

Keywords: mycosis fungoides (MF), Sézary Syndrome (SS), cutaneous T-cell lymphomas (CTCL), cancer/testis antigens, germ cell proteins, meiCT, meiomitosis

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# INTRODUCTION

Cutaneous T-cell lymphoma (CTCL) is an extranodal non-Hodgkin's lymphoproliferative disease characterized by the infiltration of malignant T-cells into the skin (1). The two most commonly recognized forms of CTCL are mycosis fungoides (MF) and a leukemic variant, Sézary Syndrome (SS). MF, the predominant subtype of cutaneous lymphomas, presents with localized erythematous scaly patches and plaques on the trunk. Overall, this CTCL subtype has an indolent course and a good prognosis. MF patients often live >10-15 years with no further progression until the later stages of the disease. Histologically, the epidermis may show hyperkeratosis, acanthosis, the presence of Pautrier's microabscesses and a notable lack of significant spongiosis. Additionally, a dermal lichenoid infiltrate of atypical T lymphocytes (with atypical/cerebriform nuclei) and minimal papillary dermal fibrosis are often observed (2-4). SS is an aggressive subtype of CTCL with a poor prognosis and a mean disease-specific survival of only 2-4 years (5). SS patients present with erythroderma, pruritus, generalized lymphadenopathy, and circulating malignant T cells (6). The disease develops either de novo or evolves from an idiopathic erythroderma (7).

Genomic CTCL studies have been steadily increasing in number and revealed extensive chromosomal instability in malignant T cells from patients and patient-derived cell lines (8–10). In fact, an essential criterion for SS diagnosis is the presence of a chromosomally abnormal malignant T cell clone (11). Consistent with prior studies, we have characterized distinct genomic and transcriptional heterogeneity in MF and SS, that were demonstrated by widespread genomic alterations including translocations, insertions, deletions, and extensive copy number variations (9, 10, 12–16).

Current research has demonstrated the ectopic expression of a variety of CT antigens in CTCL, including the MAGE (17, 18), CAGE (19), and GAGE proteins (20) that confer several hallmarks of cancer including sustained growth, angiogenesis, evasion of apoptosis, tissue invasion, and metastasis (21). With regards to genomic instability, the family of CT antigens involved in meiosis is of particular interest. Genes that regulate meiosis are exclusively expressed during oocyte development/spermatogenesis and become transcriptionally silent in normal somatic tissues. Our lab has shown that several meiCT genes including SPO11, REC8, SYCP3, and SYCP1 are ectopically expressed in CTCL (10, 22). We propose that the malignant lymphocytes in this cancer are undergoing meiomitosis, a process defined by the clashing of the mitotic and meiotic molecular machineries in malignancy. It has been proposed that the partial re-expression of meiotic genes could cause the increased genomic instability seen in dividing cancer cells (10, 23), but studies demonstrating this statement in human cancers are lacking.

The mechanisms driving meiotic gene expression in CTCL are largely unknown. The ectopic expression of meiotic proteins involved in crossing over, meiotic DSB formation and repair, may promote genomic rearrangements (21, 24), Moreover, mitotic expression of meiotic cohesins could promote aneuploidy by eliciting an aberrantly assembled mitotic spindle that can result

in the shearing and mis-segregation of DNA (23, 25, 26). We have recently demonstrated the ectopic expression and function of meiotic genes in patient-derived CTCL cell lines representing both MF and SS, and in lymphocytes isolated from stage IV SS patients (10). We demonstrated that the ectopic expression of STRA8, SYCP1, 3, and other proteins in CTCL is tightly regulated throughout the cell cycle and that their expression is the result of a loss of epigenetic transcriptional repression. Moreover, we proposed that the extensive chromosomal instability seen in CTCL may result from the aforementioned ectopic expression of these normally restricted meiotic CT antigens (10). The current study aims to broaden the understanding of ectopic expression patterns of meiCT genes in CTCL by analyzing RNA-Seq expression data from Sézary Syndrome patients and corroborating these results in 32 histologically-confirmed CTCL skin samples.

# METHODS

## Patients and Tissues

All patients were enrolled in this study with written informed consent and in accordance with the Declaration of Helsinki from The Ottawa Hospital (REB study #20150896-01H), McGill University Health Centre and affiliated hospitals (REB study #A09-M81-10A) and Laval University (REB study # 2011HES-22808). For immunohistochemistry, we analyzed a total of 32 samples from 29 patients with CTCL.

Diagnosis was histologically verified for all patients by a dermatopathologist and patients were staged according to the most recent consensus of the WHO-EORTC/ISCL staging system (5). Tissue samples were obtained by punch biopsy of the skin and were immediately frozen in liquid nitrogen, as previously described (22, 27, 28).

## Histology

Human skin biopsies were processed into formalin-fixed, paraffin-embedded (FFPE) tissue blocks using standard fixation and embedding techniques. All tissue samples were sectioned and prepared on positively charged slides. Immunohistochemistry staining was performed on FFPE tissue sections using the Leica Bond<sup>TM</sup> system and the standard protocol F. Sectioned slides were stained with the following anti-human antibodies: rabbit anti-STRA8 (Novus Biologicals), rabbit anti-STAG3 (Proteintech), rabbit anti-DMC1 (Proteintech), rabbit anti-SGO2 (Novus Biologicals), and rabbit anti-SYCP3 (Abcam) using either heat mediated antigen retrieval with sodium citrate buffer (pH 6, epitope retrieval solution 1 ER1) for 20 min or with an EDTA buffer (pH 9, epitope retrieval solution 2 ER2) for 20 min. The sections were then incubated for 30 min at room temperature using the dilutions listed in Table S1 and detected using an HRP conjugated compact polymer system. Slides were then stained using DAB as the chromogen, counterstained with Hematoxylin, mounted, and cover slipped.

Slides were analyzed by a team of four investigators on a multihead microscope (Nikon Eclipse Ni-U) with a 20X objective lens using a consensus scoring method. The investigators were blind to diagnosis and clinical stage of the sample. Positive cells were verified (using a 40x lens) for enlarged/atypical nuclei or cerebriform nuclear contours, characteristic of malignant T lymphocytes. Nuclear and/or cytoplasmic staining of irregular cells with characteristic malignant T cell morphology was considered positive staining. The percentage of positive cells were assigned as Zero-0% (negative); I = <5%; II = 5-10% and III = >10%. The intensity of staining was scored as 1 (weak), 2 (moderate), and 3 (strong). The scores for percentage and intensity were multiplied for each sample to give a final expression score of 1-4 (weak-moderate staining with 10% or less positive cells) or 6-9 (strong staining with >10% positive cells).

# Sézary Syndrome Patient Gene Expression and Analysis

Gene expression data for Sézary Syndrome patients was obtained from publicly-available RNA-Seq data (12, 16). The data from Choi et al. (12) and Ungewickell et al. (16) were pooled together to increase the sample size for analysis. Datasets were converted from TPM (transcripts per million) to a normalized rank. A non-parametric resampling method (Bootstrapping) was used to compute *p*-values comparing the means of non-parametric rank fraction values between Sézary patients and normal controls, as previously described (29). *P*-values were corrected for multiple hypothesis testing using a Bonferroni correction.

### **Statistical Analysis**

Fisher's Exact test (FET) was used to analyze categorical outcomes in the histological analysis for gene expression scoring results between MF Stage I, StageII-III and aggressive forms of CTCL (i.e., SS, primary cutaneous CD8<sup>+</sup> positive aggressive epidermotropic T- cell lymphoma, peripheral T-cell lymphoma, or primary cutaneous gamma-delta T-cell lymphoma). All values were calculated using RStudio version 1.1.456. All statistical significance tests were two-sided. *P*-values <0.05 were considered statistically significant.

# RESULTS

### **Expression of meiCT Genes in Sézary Syndrome Patients From External Cohorts**

Using RT-PCR, western blot analysis and immunofluorescence, we have previously shown that meiCT genes and proteins are ectopically expressed in nine patient-derived cell lines representing both MF and SS, and in lymphocytes isolated from three SS patients (10, 22). We wanted to expand those observations with a different method to attain patient data. We used publicly-available RNA-Seq datasets from two studies focusing on Sézary Syndrome patients (12, 16), as previously described (29). Mean transcripts per million (TPM) values were calculated for each gene for the SS and control groups for Choi et al. (12) and Ungewickell et al. (16) cohorts and then combined the values as one dataset for analysis. Mean TPM values were plotted on bar graphs to compare gene expression levels for Sézary Syndrome vs. normal control patients. Overall, we observed increased gene expression in Sézary patients for all tested genes (Figure 1). Using a rank-based non-parametric approach, these genes showed higher relative expression levels in SS patients compared to controls (**Table 1**;  $p < 10^{-5}$  for all genes except for *SGO2*; p = 0.035 for *SGO2*).

# Expression of meiCT Genes in CTCL Lesional Biopsies

To further support our RNA-Seq based statistical analysis of meiCT gene expression in SS patients, we used immunohistochemical staining of FFPE skin tissues biopsied from patients with different stages of CTCL, including SS. We analyzed IHC staining for 5 meiCT genes that are expressed at various stages of meiosis, ranging from initiation to homologous recombination, in 32 CTCL samples isolated form 29 patients. Patient age ranged from 21 to 90 years with a median of  $65 \pm 18$  years with a male to female ratio of 1:1.9. Control samples included normal human skin, and human testis.

The percentage and intensity of positively stained cells of the atypical lymphoid infiltrate was recorded for each sample and for all five genes (**Table 2**). Positive cells displayed characteristic features of malignant T-lymphocytes including atypical/cerebriform nuclear contours and enlarged and/or hyperchromatic nuclei within the papillary dermis (mixed lichenoid infiltrate).

*STRA8* is an important of initiator of meiosis. Positive control expression of this gene in human testis shows intense nuclear expression pattern (**Figure 2A**). As expected, its expression is not detectable in normal skin except for diffuse non-specific background staining (**Figure 2B**). MF early stage samples and CD8<sup>+</sup> MF (**Figures 2C,D,F**) show appreciable nuclear staining in a number of dermal atypical lymphocytes abutting the dermal-epidermal junction, while a number of atypical T cells in Sézary Syndrome patients exhibit specific nucleolar staining (**Figure 2E**).

Similarly, meiotic cohesion proteins *STAG3* (Figures 3A,B) and *SGO2* (Figures 4A,B) demonstrate mostly nuclear staining in the testis and background non-specific staining in the epidermal keratinocytes, respectively. More precisely, *STAG3* shows intense non-specific staining in the granular layer, while *SGO2* shows diffuse staining throughout the epidermis and intense staining in the corneal layer of normal skin. Overall, staining for *STAG3* was mostly weak and ranged from pancellular expression pattern (Figure 3C) to mostly cytoplasmic (Figure 3D). In some cases, only faint nucleolar staining was observed (Figures 3E,F). In contrast, *SGO2* staining was more robust and demonstrated specific nuclear expression in a number of atypical cells (Figures 4C-F).

Synaptonemal complex protein *SYCP3* is expressed predominantly in the nuclei>cytoplasm of spermatogonia (**Figure 5A**) and only non-specific background staining is observed in normal skin (**Figure 5B**). Notably, atypical lymphocytes in CTCL express *SYCP3* in a nuclear and in some cases mis-localized pan-cellular pattern (**Figures 5C-F**).

Finally, for *DMC1*, meiotic recombination protein, nucelar>cytoplasmic expression is seen in spermatogonia (**Figure 6A**), while malignant lymphocytes in CTCL demonstrate strong nuclear >>> cytoplasmic expression for this protein (**Figures 6C-F**).



FIGURE 1 | RNA-Seq gene expression of meiCT genes (A) STRA8, (B) STAG3, (C) SGO2, (D) SYCP3, and (E) DMC1 in Sézary Syndrome patients compared to normal/control subjects based on pooled data from Choi et al. (12) and Ungewickell et al. (16) datasets. Data was normalized to a mean TPM value for each cohort. Asterisks indicate statistical significance.

Genes	Ratio mean TPM SS/mean TPM Normal	Fraction Genes with TPM > GOI-Normal	Fraction Genes with TPM > GOI-Sézary	p-value
STRA8	$\infty$	0	0.95	<10 <sup>-5</sup>
STAG3	20.52	0.40	0.17	<10 <sup>-5</sup>
SGO2	6.96	0.55	0.51	0.035
SYCP3	7.04	0.62	0.41	<10 <sup>-5</sup>
DMC1	43.33	0.67	0.41	<10 <sup>-5</sup>

TABLE 1 | RNA-sequencing results for differentially expressed meiCT genes in two pooled independent cohorts of Sézary Syndrome patients (12, 16).

P-values were determined using non-parametric testing and are corrected for multiple hypothesis testing using Bonferroni's correlation. TPM, Transcripts Per Million; SS, Sézary Syndrome; GOI, Gene Of Interest.

Detailed scoring for all genes across all lesional CTCL skin samples is presented in **Table 2**. While most proteins appear to be upregulated only weakly across different stages, *DMC1* shows robust staining across samples from all clinical stages of CTCL. Unfortunately, due to limited sample size, we did not observe meaningful differences between protein expression and clinical staging of patients (**Table 2**).

# DISCUSSION

There is a unique set of meiosis specific genes that are temporally activated in germ cell development and are subsequently silenced in somatic cells. In this study we expanded our previous research by performing statistical analysis on a combined RNA-Seq dataset from Choi et al. (12) and Ungewickell et al. (16) that confirmed the differential expression of *STRA8*, *STAG3*, *SGO2*, *SYCP3*, and *DMC1* genes in Sézary patients when compared to healthy controls. We further corroborated our analysis with protein expression patterns of the meiCT genes in stained FFPE lesional skin samples isolated from patients diagnosed with various stages and subtypes of CTCL. We have shown that most of these proteins are expressed in atypical lymphocytes, albeit at weak to moderate levels. However, this pattern is expected for tightly-regulated meiCT genes whose expression occurs at specific time points in actively dividing cells. Furthermore, *DMC1* showed robust strong expression in biopsy samples

Gene	Disease	Expression score <sup>a</sup> 1–4 <10% cells positive; weak-moderate staining	Expression score 6–9 ≥10% cells positive; moderate -strong staining	p-value
STRA8	MF Stage I	17/18	0/18	0.05
	MF Stage II/III	3/6	1/6	
	Aggressive <sup>b</sup>	5/7	1/7	
STAG3	MF Stage I	11/19	1/19	0.6
	MF Stage II/III	3/6	0/6	
	Aggressive <sup>b</sup>	2/7	0/7	
SGO2	MF Stage I	12/19	1/19	0.9
	MF Stage II/III	3/6	0/6	
	Aggressive <sup>b</sup>	5/7	0/7	
SYCP3	MF Stage I	16/19	0/19	1
	MF Stage II/III	5/6	0/6	
	Aggressive <sup>b</sup>	6/7	0/7	
DMC1	MF Stage I	9/19	10/19	0.2
	MF Stage II/III	4/6	2/6	
	Aggressive <sup>b</sup>	6/7	1/7	

Expression score 1–4 corresponds to weak-moderate staining with <10% of positive cells. Expression score 6–9 corresponds to strong staining with ≥10% positive cells. <sup>a</sup>Intensity<sup>\*</sup> Percentage = Expression score.

Intensity: 1 mild, 2 weak, 3 strong.

Percentage positive: 0, 0%; 1, ± and <5%; 2, 5–10%; 3 >10%.

<sup>b</sup>Aggressive disease includes SS (n = 2), primary cutaneous gamma/delta T-cell lymphoma (n = 2), Primary cutaneous CD8<sup>+</sup> positive aggressive epidermotropic T- cell lymphoma (n = 2) and Peripheral T-cell Lymphoma (n = 1).

representing different stages of CTCL. Our findings corroborate and extend our recent work regarding the exploration of the ectopic expression and functional analysis of meiCT antigens in CTCL (10).

Under normal circumstances, STRA8 is a vital protein to initiate meiosis in spermatocytes/oocytes in response to RA (retinoic acid) signaling (30-36). STRA8 expression is upregulated when germ cells switch from a mitotic state to meiosis (35) and spermatocytes that are depleted of STRA8 fail to enter meiosis. However, the role of STRA8 in cancer is yet to be defined. In germ cells, STRA8 protein is primarily localized to the cytoplasm. In this study, we demonstrated that STRA8 is heterogeneously expressed in the nucleus of malignant T lymphocytes from CTCL patients. Other studies have shown expression of this protein in the nucleus with potential double stranded DNA binding (37, 38). Hence, STRA8 may have transcription regulatory activity (38) given reports that STRA8 expression is found in the nucleus of primordial germ cells and teratocarcinoma cell lines (37, 38). Additionally, two studies have shown that STRA8 binds double-stranded DNA (37, 38) and may have a role in transcription (38).

Throughout meiosis, a number of proteins form complexes along sister chromatids to orchestrate cohesion and release in a tightly regulated process to ensure the proper distribution of chromatids into gametes. The meiosis-specific cohesin subunit protein, *STAG3* (*Stromal antigen 3*), binds sister chromatids arms in meiosis I (39) and provides stability of meiosis-specific cohesion complexes (40). The cohesion complex is prudently protected by *SGO2* (*Shugoshin 2*) to avoid untimely degradation by separases. Although *SGO2* can also be expressed in mitotic cells, *SGO2* deficient mice form an euploid gametes that result in infertility (41). However, lack of *SGO2* does not affect cohesion formation or development in cultured adult somatic cells and fibroblasts (41). We observed positive IHC staining of the two cohesion molecules in <5% of the atypical lymphoid infiltrate present in the papillary dermis. These results are not surprising since few T-cells are actively undergoing cell division at any given time in CTCL.

Similar results were found for the synaptonemal complex protein *SYCP3*. *SYCP3* forms the synaptonemal complex (SC) which is considered one of the cardinal features of meiosis. The SC a highly ordered assembly of proteins that comprise the interface between paired homologous chromosomes in meiosis. This meticulous complex stabilizes the interactions between homologs through a process called synapsis and promotes recombination (42). Staining of *SYCP3* was found in <5% of atypical lymphocytes in the papillary dermis.

As meiosis progresses, *DMC1* (Disrupted Meiotic cDNA 1) catalyzes strand exchange by localizing to double-stranded DNA (DSB) break sites and facilitates efficient homologous recombination DNA repair (43). *DMC1* localizes to meiotic DNA break sites to initiates strand exchange (43) and was expressed in 10–15% of the lymphoid infiltrate. We hypothesize that the expression of meiotic genes is tightly regulated and temporally expressed by the malignant T-cell in CTCL patients. Based on our previous work, we have demonstrated the temporal expression of meiCT genes at the onset of the cell cycle in patient-derived cell lines (10). Therefore, our IHC positive cells have the potential to undergo meiomitotic cell division.



The transcriptional activation of meiCT genes is not fully understood, however studies have demonstrated two mechanisms of reactivation: the hypomethylation of meiCT gene promoter regions in lung adenocarcinoma (44), and increased expression of select meiCT genes following a genotoxic stress in cervical cancer, melanoma and lymphoma cell lines (25, 45, 46). Studies have demonstrated the ectopic expression of meiCT genes in polyploid lymphoma and cervical cells during depolyploidization (25, 45). CTCL cells may express meiCT genes to promote survival by maintaining stable ploidy and the proliferative states of the malignant T cells. Additionally, the concurrent activation of both the meiotic and mitotic



pathways may represent an adapted regulatory pathway for a reductional division.

The expression of the homologous recombination *DMC1*gene and cohesin *SGO2* factor have the propensity to contribute to genomic rearrangements and increase aneuploidy, another potential mechanism for survival in

a dynamic lesional/tumor environment. Previous studies have shown that CT antigens have the potential to modulate chromosomal aberrations and contribute to increased genomic instability (10, 47). Deregulation or even the presence of the meiotic proteins like DMC1 may initiate a partial meiotic program in mitotic cells and have the potential to influence



**FIGURE 4** | Immunohistochemistry staining of SGO2 in (A) normal human testis (positive control), (B) normal skin (C) stage IIA MF lesional skin, (D) CD8<sup>+</sup> MF lesional skin, (E) Sézary Syndrome (F) peripheral T-Cell Lymphoma. Scale bars are 50  $\mu$ m. Nuclear staining in malignant lymphocytes is highlighted (red arrow).

genomic translocations, insertions and deletions during cell division.

We would like to address an important limitation, that due to a relatively small sample size of biopsy samples, we did not detect a correlation between CTCL clinical disease stage and protein expression from IHC staining. Future studies with a larger sample size would be able to estimate expression levels more precisely across different CTCL stages for each protein. In addition, another limitation is the semi-quantitative nature of IHC staining. Due to the variable staining patterns we adopted a semi-quantitative consensus scoring method. Unfortunately, we were not able to provide a quantitative analysis of the analyzed IHC stained slides.



**FIGURE 5** | Immunohistochemistry staining of *SYCP3* in **(A)** normal human testis (positive control), **(B)** normal skin **(C)** stage IB MF lesional skin, **(D)** stage IB MF lesional skin, **(E)** Sézary Syndrome **(F)** stage IA MF lesional skin. Scale bars are 50  $\mu$ m. Nuclear>cytoplasmic staining in malignant lymphocytes is highlighted (red arrow).

In conclusion, the ectopic expression of meiCT genes in CTCL raises the possibility that malignant infiltrating T lymphocytes are undergoing a process termed meiomitosis. Further studies of the functional mechanisms of meiCT genes might shed light on the role of meiomitosis in carcinogenesis for various malignancies

including CTCL. Further investigation of meiCT protein roles in carcinogenesis may lead to the development of small molecule inhibitors targeting this aberrant meiomitosis cell division. This can ultimately lead to the development of biomarkers for CTCL and novel targeted therapies.


FIGURE 6 | Immunohistochemistry staining of *DMC1* in (A) normal human testis (positive control), (B) normal skin (C) stage IA MF lesional skin, (D) stage IA MF lesional skin, (E) Sézary Syndrome (F) CD8<sup>+</sup> MF lesional skin. Scale bars are 50  $\mu$ m. Nuclear staining in malignant lymphocytes is highlighted (red arrow).

## **ETHICS STATEMENT**

All patients were enrolled in this study with written informed consent and in accordance with the Declaration of Helsinki from The Ottawa Hospital (REB study #20150896-01H), McGill University Health Centre and affiliated hospitals (REB study #A09-M81-10A) and Laval University (REB study # 2011HES-22808).

# **AUTHOR CONTRIBUTIONS**

JG, AM, SG, and PL performed histological scoring. PL and PX performed the data acquisition and analysis of Sézary patient cohort. PL, PX, and JG performed statistical analyses. AM and JG prepared the figures. JG wrote the first draft of the manuscript. All authors contributed to the revision and approval of the submitted manuscript. IV, EN, and DS contributed to the conception and design of the study.

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# SUPPLEMENTARY MATERIAL

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Antibody-Directed Therapies: Toward a Durable and Tolerable Treatment Platform for CTCL

Shaheer Khan and Ahmed Sawas\*

Department of Medicine, Center for Lymphoid Malignancies, Columbia University Medical Center, The New York Presbyterian Hospital, College of Physician and Surgeons, New York, NY, United States

Cutaneous T-cell lymphomas (CTCL) are a rare group of heterogeneous disorders characterized by cutaneous involvement of monoclonal T-lymphocytes. Although indolent at early stages, CTCL can confer significant morbidity, and mortality when advanced. There is an unmet need for tolerable and durable treatments with antibodies recently gaining promise. Here we review approved systemic therapies and discuss select antibodies in development.

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> \***Correspondence:** Ahmed Sawas as4386@columbia.edu

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# INTRODUCTION

Cutaneous T-Cell lymphomas (CTCL) are a heterogeneous group of disorders that are characterized by cutaneous involvement of monoclonal T-lymphocytes. Mycosis fungoides (MF) is the most common form, accounting for  $\sim$ 50% of cases. Primary cutaneous CD30+ anaplastic large cell lymphoma, lymphomatoid papulosis, and Sezary syndrome (SS) constitute the other most common forms (1). There are  $\sim$ 2,500 new cases of MF and SS per year in the United States (2).

MF usually presents as erythematous patches that occur predominantly in sun-shielded areas including the torso and lower extremities. In about two thirds of patients, the disease is diagnosed at an early stage (stage IA-IIB) with a relatively indolent course. In patients with early stage MF, median survival can exceed 25 years (3). Progression to advanced stage (IIB-IV) occurs in 30% of MF cases. Sezary syndrome exhibits a more aggressive course in which systemic involvement of neoplastic T-lymphocytes results in leukemic involvement, exfoliative dermatitis, and lymph node enlargement. In advanced MF and SS, median survival has been described as short as 1.5 years (4).

Currently, there is no cure for CTCL and it is characterized by a chronic, relapsing course that requires repeat treatment regimens. Patients with early stage disease are treated with skin-directed therapies which include topical corticosteroids, phototherapy, topical chemotherapy, topical bexarotene, and radiotherapy. Patients with advanced stage disease are offered palliative systemic therapies to control symptoms and achieve disease control. Systemic therapies include bexarotene, Interferon-alpha, extracorporeal photopheresis, histone deacetylase inhibitors, chemotherapy, monoclonal antibodies (Mab), and allogeneic transplantation (5).

Most studies examining systemic treatments in CTCL have been small, single-arm studies with various response criteria. Most commonly, overall response rate (ORR) has been the primary endpoint, resulting in studies with little to no information on extended durability and tolerability. There remains a substantial need for treatments that are both tolerable and offer durable disease control.

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Among therapies that have been studied in this setting, antibodies have recently gained prominence with the recent approval of two agents, increasing the limited number of approved systemic therapies in CTCL (see **Table 1**). In this review, we will discuss the approved antibodies as well as select agents in development.

## **APPROVED ANTIBODY THERAPIES**

## **Brentuximab Vedotin**

Brentuximab vedotin (Bv) is a chimeric monoclonal antibodydrug conjugate consisting of an anti-CD30 antibody linked to an anti-microtubule agent, monomethyl auristatin E (MMAE). CD30 is relatively specific for activated leukocytes and certain hematological malignancies including Hodgkin lymphoma and ALCL. CD30 is variably expressed in MF and SS, with higher expression noted in 24% of patients with advanced MF (15, 16). Binding of the antibody to CD30 results in endocytosis of MMAE and cell death ensues via cell cycle arrest and apoptosis. Based on the positive results seen in the SG035-003 study, brentuximab vedotin was approved in 2011 in patients with relapsed/refractory Hodgkin lymphoma and systemic ALCL (17).

Based on the benefit seen in patients with Hodgkin lymphoma and ALCL, two phase II studies of Bv in CTCL were initiated. In the first study, 30 patients with MF or SS with variable CD30 expression were included with objective global response as the primary endpoint. Patients received Bv 1.8 mg/kg IV every 3 weeks for a maximum of 16 doses. Objective global response was seen in 21 patients (70% in all patients, 50% in SS patients), with one patient achieving a complete response (CR). There was no statistically significant difference in response rates between early or advanced stages. However, patients with a CD30 expression of <5% had a much lower likelihood of response compared to higher CD30 levels. The 12-month progression free survival (PFS) rate was 54% including 5 patients who remained on therapy at time of analysis. Median duration of response was not reported. The most common adverse events were peripheral neuropathy (66%), fatigue (47%), nausea (28%), alopecia (22%), and neutropenia (19%). Ten patients had a dose delay and/or reduction, and six patients (19%) were terminated from the study prematurely due to toxicities, with peripheral neuropathy as the most likely cause (18).

In the second phase II study, 48 patients with CTCL and lymphomatoid papulosis were treated with Bv 1.8 mg/kg IV every 3 weeks with ORR as the primary endpoint (19). An ORR of 73% with a CR rate of 35% was observed. In the MF and SS cohorts, ORR was >50% regardless of the degree of CD30 expression. Median duration of response (DoR) in all patients was 7.4 months (<1–23 months). Peripheral neuropathy was the most common side effect with grade 1 to 2 peripheral neuropathy observed in 65% of patients. Grade 3–4 events included neutropenia, nausea, chest pain, deep vein thrombosis, transaminitis, and dehydration. Dose reductions to 1.2 mg/kg were instituted due to neuropathy, transaminitis, arthralgias, and fatigue.

In the first frontline phase III study comparing a novel systemic therapy with standard therapies in CTCL, the randomized, open-label brentuximAb vedotin phase III trial for Cutaneous T-cell lymphomA aNalyZing pAtient outcomes (ALCANZA) study compared Bv to physician's choice of methotrexate or bexarotene in previously untreated CD30 positive CTCL (13). The primary endpoint was objective response lasting at least 4 months (ORR4). A total of 131 patients were randomized, with 128 patients in the intent-to-treat population and 64 patients assigned to each arm. Results demonstrated a clear benefit in ORR4 of 56.3 vs. 12.5% and in CR of 16 vs. 2%. Median PFS was also significantly longer (16.7 vs. 3.5 months). In patients with MF, the ORR4 rate was 50 vs. 10%. This benefit extended across all disease compartments including patients with skin-only disease (67.7 vs. 16.7%) and skin and other involvement (45.5 vs. 8.8%). CD30 expression ranged between 12 and 67.5% with responses seen at all levels. Median PFS in the MF arm was 15.9 vs. 3.5 months.

Overall, toxicity of Bv was comparable to standard therapies. Serious drug related adverse events (grade  $\geq$ 3) were seen in 29% in both groups. Toxicities specific to the Bv arm included peripheral neuropathy (67 vs. 4%) resulting in discontinuation of treatment in 9 patients. Discontinuation due to adverse events occurred in 24% patients in the BV group vs. 8% in the PC group.

In November 2017, the FDA approved brentuximab vedotin for use in relapsed/refractory CD30+ mycoises fungoides or primary cutaneous ALCL (20).

Brentuximab vedotin is a durable and effective targeted treatment for patients with CD30+ CTCL with a response rate <50%. Importantly, this benefit was seen across all levels of CD30 expression above 10%. Peripheral neuropathy is the most significant toxicity.

## Mogamulizumab

Mogamulizumab is a humanized IgG1 monoclonal antibody which selectively binds to CCR4 (21). CCR4 is normally expressed on type 2 helper and regulatory (Treg) T-cells and has been found to be overexpressed on the surface of tumor cells in various T-cell malignancies, including in up to 52% of patients with different stages of MF and SS (22, 23). In addition to the stimulation of antibody-dependent cellular cytotoxicity, the suppression of Treg cells is thought to play an important role in the efficacy of mogamulizumab (24).

In a phase II study performed in Japan, 37 patients with relapsed/refractory CCR4+ peripheral T-cell lymphoma (n = 29) and MF (n = 7) received mogamulizumab at a dose of 1 mg/ kg IV once per week for 8 weeks with ORR as the primary endpoint. The ORR was 34% and median OS was 14.2 months. Amongst the MF subgroup, 2/7 patients had a response (25). Median PFS for the group was not reached, however, for the PTCL group it was 14.2 months. Based on these results, mogamulizumab was approved in Japan in 2014 for relapsed/refractory CCR4-positive peripheral T-cell lymphoma and cutaneous T-cell lymphoma (26).

Subsequently, a multi-center phase I/II study was performed in the US which enrolled 41 patients with CTCL. Patients received mogamulizumab at a dose of 1 mg/kg IV once per week

Class	Drug	Response rate %	Median DoR	Major toxicities	
Immunomodulatory	Extracorporeal photopheresis (6)	5 Not well-defined Fluid shifts, hypotension, infection, anemia		Fluid shifts, hypotension, infection, anemia	
Antimetabolite	Methotrexate (7)	33	15 months	mucositis, myelosuppression, hepatic, renal toxicity	
Retinoid	Bexarotene (8)	45-55	10–13 months	hyperlipemia, hypercholesterolemia hypothyroidism	
HDAC inhibitor	Vorinostat (9)	30	6 months	fatigue, diarrhea, nausea, thrombocytopenia, anorexia, taste abnormalities, weight loss, and muscle spasms	
HDAC inhibitor	Romidepsin (10, 11)	34	13.7–15 months	nausea vomiting, fatigue, myelosuppression, QT interval changes	
IL-2 Fusion Protein	Denileukin diftitox (12)	44	7.8 months	nausea, pyrexia, fatigue, rash, LFT abnormalities, vision changes, and capillary leak syndrome	
Antibody-Drug conjugate	Brentuximab vedotin (13)	56	15.1 months	peripheral neuropathy, Gl upset (nausea, diarrhea, vomiting), alopecia, pruritus, pyrexia, decreased appetite, and fatigue	
Monoclonal antibody	Mogamulizumab (14)	28	15.5–25.5 months	infusion-related reactions, drug rash, diarrhea, and fatigue	

#### TABLE 1 | Approved systemic therapies.

for 4 weeks and then every 2 weeks until disease progression. Among 38 evaluable patients (21 MF, 17 SS), no dose-limiting toxicities were observed, and the maximum tolerated dose was not reached. In the phase II component the observed ORR was 37%. Patients with SS had a higher response rate (48%) compared with MF (28.6%). Responses were noted in all compartments in both MF and SS. Median PFS and duration of response (DOR) for the entire group were 11.4 and 10.4 months, respectively. The majority of toxicities were grade 1 or 2 with nausea, chills, infusion reaction, pyrexia, and fatigue being the most common. 7 patients (17%) developed a cutaneous skin eruption necessitating study withdrawal (27).

This led to the randomized, open-label phase 3 study comparing Mogamulizumab vs. VORinostat In patients with previously treated CTCL (MAVORIC). In this study, 372 patients with relapsed/refractory MF or SS who had failed at least one line of systemic therapy were randomized to receive 1 mg/kg of mogamulizumab IV weekly for the first 4 weeks and then every 2 weeks, or vorinostat 400 mg po daily. PFS was the primary endpoint. Results demonstrated superior PFS in the mogamulizumab arm with a median PFS of 7.7 months compared to 3.1 months in the vorinostat group. The hazard ratio for patients with MF was 0.72 (0.51-1.01) compared to 0.32 in patients with SS (0.21-0.49). Also noted was an increased ORR of 28% with mogamulizamab compared to 4.8% with vorinostat. The response rate was higher amongst patients with SS (37%) than in patients with MF (21%). Duration of response also differed, with median of 13.1 months in MF compared with 17.3 months in SS. Patients achieved responses in skin (42%), blood (68%), and lymph nodes (17%). Adverse events were higher with mogamulizumab, with the most common being infusion reaction (34%), and skin eruption (24%). Discontinuation of treatment due to drug rash occurred in 7% of patients. There were also two reported cases of Stevens-Johnson syndrome, including one that resulted in death. These reactions are thought to be the result of dramatic decreases in the number of Treg cells resulting in suppression of their immunosuppressive properties (14).

Based on the results of these studies, mogamulizumab received FDA approval in August, 2018 for previously treated patients with mycosis fungoides or Sézary syndrome (28).

Mogamulizumab is a generally tolerable and effective therapy in CTCL with particularly high response in leukemic disease and well-demonstrated durability that is applicable to the majority of patients with CTCL. Skin toxicity remains a prominent concern and careful monitoring and assessment of skin rashes on therapy is important to distinguish toxicity against progression of disease.

## **Denileukin Diftitox**

Denileukin diftitox or DAB389IL-2, is a genetically engineered IL-2 fusion toxin fusion protein designed to direct the cell-killing action of diphtheria toxin to cells which express the IL-2 receptor, of which CD25 is a component. CD25 expression occurs in approximately 50% of patients with CTCL. DAB389IL-2 binds selectively to CD25 after which it is internalized and eventually released in the cytosol where it inhibits protein synthesis and leads to cell death (29).

A phase I trial of an initial version of denileukin diftitox (DAB486IL-2) was conducted in patients with CD25 expressing hematological malignancies with demonstration of safety and tolerability and a ORR of 17% in CTCL patients, including 1 CR (30). A subsequent phase II study involving 14 patients with advanced CTCL resulted in 1 partial response (PR) and 2 patients with SS who demonstrated major cutaneous improvement without change in circulating Sezary cells (31).

A form of the fusion protein DAB389IL-2 with higher affinity to CD25 was then developed. In a phase I/II clinical trial of patients with NHL, HL, or CTCL with IL-2R expression (>20% by IHC), 35 patients received DAB389IL-2 in a dose escalation manner on days 1–5 of each 21-day cycle. Doses of <31 mcg/kg/day were well tolerated. DAB389IL-2 demonstrated significant activity in patients with CTCL with an ORR of 37%, including 5 CR. Infusion-related reactions developed in 74% of patients. Fever, chills, nausea, asthenia, and mild hypotension were noted in 50% of patients (32). Following these results, a randomized phase III multicenter trial compared two different doses of DAB389IL-2 in 73 patients with advanced stage recurrent CTCL who had received a median of 5 lines of previous therapy. Patients were randomly assigned to receive 9 or 18 mcg/kg/day IV for five consecutive days and treatment was repeated every 21 days for up to 8 cycles. Results demonstrated an ORR of 30% with 10% of patients achieving a CR and a mDOR of 4.4 months. There was no association between response and dose. Infusion reactions were the most common side effect (74%) with a significant number of patients developing vascular leak syndrome (23%), skin rash (42%), and grade 3/4 transaminitis (38%) (33).

A subsequent placebo-controlled phase III clinical trial was conducted in 144 patients with stage IA to III CD25+ CTCL, 123 of which had MF and 9 with SS (12). Patients received either 9 or 18  $\mu$ g/kg/day for 5 days every 21 days. ORR was significantly higher in the 18 mcg/kg/day arm at 44 (10% CR) vs. 15.9%. Median PFS was also significantly longer compared with placebo (26.1 vs. 4 months). Median DOR was 7.8 months compared to 2.7 months. Significant reported adverse events included nausea (10%), pyrexia (11%), fatigue (12%), and capillary leak syndrome (4%). Discontinuation due to treatment was seen in 17% of patients.

DAB389IL-2 received full FDA approval in 2008 for patients with resistant and recurrent CTCL (34). Unfortunately, production of DAB389IL-2 was discontinued in 2014 due to production issues related to the bacterial expression system and it is currently not available for clinical use.

Denileukin diffitox (Ontak) is an active and durable treatment option for patients with CD-25 positive CTCL, although currently unavailable. Given the substantial potential benefit, however, novel agents targeting the IL2-R mechanism are worthy of further development.

# EXPERIMENTAL ANTIBODY THERAPIES PD-1/PD-L1

Following the demonstration of Programmed Death-ligand 1 (PD-L1) expression in a subset of patients with MF and SS (35), a phase 2 study of pembrolizumab in 24 patients with MF (n = 9) and SS (n = 15) who were previously treated with at least 1 systemic therapy was initiated. Patients received 2 mg/kg IV every 3 weeks for up to 2 years. In preliminary results published in abstract form after a median follow up of 51 weeks, the ORR was 38% with 1 CR and 8 PR (56% RR in MF, 27% in SS). The median duration of response was 14 months and 2-year PFS rate was 69%. The toxicity profile was consistent with immunemediated adverse events seen in other studies with one case of grade 2 pneumonitis and one case of grade 3 diarrhea (36). More recently, a phase II study assessed pembrolizumab in 18 patients with relapsed or refractory systemic T-cell lymphomas. In 13 evaluable patients (7 with PTCL-NOS, 3 with MF), the ORR was 33% with 4 patients achieving complete response. The median PFS was 3.2 months and median OS was 10.6 months. The trial was halted early after a preplanned futility analysis for PFS. Of note, 1 out of the 3 patients with MF had an ongoing complete response (37). Nivolumab has been studied in a phase Ib study in patients with relapsed or refractory hematologic malignancies, including 13 patients with MF. An ORR of 15% (2/13) was noted with both being partial responses. Median PFS was 10 weeks (38). There is an ongoing phase II study combining pembrolizumab with IFN-gamma in patients with previously treated MF or SS (NCT03063632).

Early data suggests that immunotherapy with anti-PD1/PD-L1 agents offers a promising approach in CTCL with ongoing research combining these agents with other immunomodulatory agents in an effort to achieve a synergistic response.

# IL-2 Fusion Toxin

After the discontinuation of denileukin diftitox, several novel IL-2 fusion proteins have been developed and are currently in preclinical testing. E777 is a recombinant cytotoxic fusion protein composed of diphtheria toxin fragments A and B and human IL-2. In a phase 1 open-label study in 17 patients with CTCL (13 with MF, 4 with SS) patients received doses ranging from 6 to 15 mcg/kg IV for the first 5 days of every 21-day cycle. Results of the lead-in portion demonstrated an ORR in 5 patients including 3 patients with stage IV disease (39). A phase 1 study of E7777 was conducted in Japan in 13 patients with relapsed/refractory PTCL (n = 10) and CTCL (n = 3, all with MF). Patients received 6, 12, and 9 mcg/kg IV with the same schedule. 9 mcg/kg/day was the maximum tolerated dose. Partial response was observed in 5/13 patients including 1/3 patients with MF. It was generally well tolerated at the recommended dose and with steroid pretreatment with decreased rates of capillary leak syndrome, and infusion reaction compared to denileukin diffitox (40). There is an ongoing phase 2 study assessing the safety and efficacy of E7777 in persistent/recurrent CTCL (NCT01871727).

E777 represents a new class of IL-2 fusion toxin agents which may offer the benefit of denileukin diffitox with an improved toxicity profile.

# Alemtuzumab

Alemtuzumab is a humanized IgG1 antibody directed against CD52, which is expressed on most malignant lymphocytes but is not expressed on hematopoietic stem cells. Binding of the antibody results in cell death via various proposed mechanism including antibody-dependent cellular cytotoxicity, complement-mediated cell lysis, and apoptosis (41) (28). In a phase 2 study of 22 patients with advanced MF (n = 15) and SS (n = 7), patients were treated with a rapidly escalating dose regimen, followed by 30 mg 3 times a week for up to 12 weeks. This resulted in an ORR of 52% in all patients, of which 32% achieved CR. In addition, 6/7 patients with blood involvement had a response. Median time to treatment failure was 12 months (5-32+ months). CMV reactivation occurred in 18% of patients and another 27% of patients had other infections (42). A retrospective study in 39 patients with MF (n = 16) and SS (n = 23) who received alemtuzumab 30 mg two to three times per week for a median duration of 12 weeks (range 1-35)

demonstrated an ORR of 51% in all patients, with a 70% response rate in patients with SS compared to 25% in patients with MF (43). A small number of patients exhibited a durable response. However, severe infectious complications were common. 62% of patients had a grade 3 or higher infectious adverse event resulting in treatment discontinuation in 44% and 2 deaths.

In 2012, alemtuzumab was withdrawn from market in the US and Europe and has since been reintroduced as a treatment for multiple sclerosis with availability on a compassionate use basis in hematologic and oncologic indications.

Alemtuzumab is an effective therapeutic option, especially in patients with leukemic disease however it's use is limited due to high rates of serious infectious complications.

## IPH4102

KIR3DL2 negatively modulates immune effector cell functions through binding to HLA Class-1 ligands (44). Normally, KIR3DL2 expression is restricted to minor subpopulations of NK cells and T cells. However, KIR3DL2 has been found to be expressed in all subtypes of CTCL, with the highest prevalence of expression in SS and transformed MF (45). IPH4102 is an anti-KIR3DL2 antibody developed for the treatment of cutaneous T-cell lymphoma (46).

Preliminary results in the SS subset of a phase I dose-escalation and expansion study of IPH4102 in advanced CTCL have been published in abstract form (47). Patients received 10 dose levels up to 10 mg/kg in an accelerated 3 + 3 design with recommended cohort expansion dose of 750 mg. Results demonstrate excellent tolerability and an ORR of 42.9% with median duration of response of 13.8 months and median progression-free survival of 11.7 months (47, 48). Clinical activity was associated with a substantial improvement in quality of life and displayed a favorable safety profile.

Based on these results, the FDA has granted fast track designation to IPH4102 for the treatment of adult patients with relapsed or refractory Sézary syndrome who have received at least two prior systemic therapies. A multi-cohort phase II study in T-cell lymphoma subtypes will be initiated in the first half of 2019 (NCT03902184).

IPH4102 is an immune NK cell engager with a CTCL specific target that has demonstrated significant promise toward offering a durable and tolerable treatment response.

## TTI-621

CD47 is expressed on all normal cells and interacts with SIRP $\alpha$  on the surface of myeloid cells, resulting in inhibition of macrophage phagocytosis. CD47 has been found to be overexpressed on cancer cells, suggesting CD47 antagonists may offer a new potential therapy targeted toward malignancies (49). Recent studies of anti-CD47 antibodies have been proven to have therapeutic effects against the tumor microenvironment in CTCL and there are several CD47 antagonists in active phase I clinical trials (50).

TTI-621 is a recombinant fusion protein composed of human SIRPa fused to the Fc receptor of IgG1 (51). In preliminary results of a phase 1 study (52), nine adult patients with mycosis fungoides and Sezary syndrome were treated with a single intratumoral injection of TTI-621 at a dose of 1, 3, or 10mg. TTI-621 was well tolerated with fatigue, chills, and decreased appetite being the main adverse effects and no dose-limiting toxicities noted. All patients experienced declines in tumor size and had decreased circulating Sezary cells with one patient achieving a CR that was ongoing at time of publication (4.2 months). These results suggest that direct antitumoral injection of TTI-621 can elicit local and systemic anti-tumoral activity. There is also an ongoing phase I study of systemic TTI-621 in hematologic malignancies and select solid tumors, including a cohort with CTCL, which is currently recruiting patients (NCT02663518).

Checkpoint inhibition with anti-CD47 antibodies offer an exciting new potential therapy in many malignancies including CTCL, with evidence of durable and tolerable responses seen with intratumoral administration.

## AFM13

AFM13 is a bispecific antibody designed to bind to CD30 and to CD16A, a receptor on NK cells that results in NK-mediated killing of tumor cells (53).

A phase 1 study assessed the safety and tolerability of AFM13 in 28 patients with Hodgkin Lymphoma in the relapsed/refractory setting (54). Patients received doses of 0.01–7 mg/kg. Most adverse events were mild to moderate and the maximum tolerated dose was not reached. Of the 26 evaluable patients, 11.5% had a partial remission and 50% had stable disease. There are multiple additional studies ongoing in patients with relapsed/refractory HL.

An investigator-sponsored phase 1/2 study in patients with relapsed/refractory CD30+ CTCL is also ongoing (NCT03192202). Initial results of the first three dose cohorts (9 patients dosed at 1.5–7.0 mg/kg) demonstrated that AFM13 was well tolerated and showed therapeutic activity as a single agent, with an ORR of 44% (4/9) including one CR, three PR, and two patients with SD (55).

AFM13 is an exciting new immunotherapy that is well-tolerated and in preliminary data has demonstrated activity in patients who have failed standard therapies and have limited to no remaining treatment options.

## **ARGX-110**

Overexpression of CD70 has been documented in a variety of cancers, including PTCL and CTCL (56). Signaling mediated by CD70-CD27 is thought to induce proliferation and survival of tumor cells via activation of the NF- $\kappa$ B pathway. In addition, T-reg cells expressing CD27 can be activated by CD70 cells and contribute to an immunosuppressive tumor microenvironment

Class	Drug	ORR	# of patients	Study phase
IL-2 fusion protein	E777	29%	17	Phase I (39)
		38%	13	Phase I (40)
Anti-CD52 Mab	Alemtuzumab	52%	22	Phase II (42)
		51%	39	Retrospective (43)
Anti-KIR3DL2 Mab	IPH4102	43%	13	Phase I (47)
Anti-PD-1/PD-L1 Mab	Pembrolizumab	38%	24	Phase II (36)
	Nivolumab	15%	13	Phase I (38)
Anti-CD47 Mab	TTI-621	Not reported	9	Phase I (52)
Bispecific CD30-CD16 ab	AFM13	44%	9	Phase I (55)
Anti-CD70 Mab	ARGX-110	56%	16	Phase I (60)

#### TABLE 2 | Experimental antibody therapies.

(57, 58). ARGX-110 is a human, defucosylated IgG<sub>1</sub> monoclonal antibody that binds to CD70 and blocks signaling mediated by its interaction with CD27. In addition to interrupting CD70-CD27 signaling, ARGX-110 also induces antibody-dependent cellular cytotoxicity in CD70+ tumor cells (58). A phase 1b trial focused on the use of ARGX-110 in T-cell malignancies is currently ongoing (NCT01813539). Initial results have demonstrated overexpression of CD70 in 28/26 patients. ARGX-110 has been administered to 16 patients with CTCL with disease control and response seen in 9 patients, including 3 PR. Treatment has been well tolerated with no grade 3 toxicities noted to date (59, 60).

ARG-110X offers an attractive potential therapy with early evidence of antitumor activity that is well tolerated.

## CONCLUSION

There is a significant need for new systemic treatments that offer durable and tolerable responses in patients with

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CTCL. Antibody-directed therapies offer significant potential in these incurable illnesses with the potential for lasting responses and manageable toxicity. The recent approval of the CD30 directed antibody-drug conjugate brentuximab vedotin and anti-CCR4 antibody mogamulizumab represent groundbreaking developments in this process. There are additional promising modalities currently under study including anti-PD-1/PD-L1 therapy, KIR3DL2 inhibition, and novel IL-2 fusion toxins, amongst others (see Table 2). These advances have been based on extensive work understanding the molecular characteristics, intracellular signaling pathways, and interaction with the tumor microenvironment in CTCL, which continue to offer new targets of potential treatment. Toxicities remain a prominent concern with these therapies and will need to be closely examined in the evaluation of novel agents and combination regimens.

## AUTHOR CONTRIBUTIONS

All authors listed have made a substantial, direct and intellectual contribution to the work, and approved it for publication.

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# Immunomodulation in Cutaneous T Cell Lymphoma

Martina Ferranti, Giulia Tadiotto Cicogna, Irene Russo and Mauro Alaibac\*

Unit of Dermatology, University of Padua, Padua, Italy

Keywords: cutaneous T-cell lymphoma, immunosuppression, HIV, CD30 cutaneous T-cell lymphoma, mycosis fungoides

Primary cutaneous lymphomas (PCL), defined as lymphomas limited to the skin with no evidence of extracutaneous disease at the time of diagnosis, are a heterogeneous group of lymphoproliferative disorders. They encompass cutaneous T-cell lymphomas (CTCL) (65% of all cases), cutaneous B-cell lymphomas (CBCL) (25% of all cases) and other rarer variants (1, 2).

The most common CTCL subtype is mycosis fungoides (MF) which together with Sézary syndrome (SS) accounts for about 65% of all CTCLs (1). The neoplastic cells of CTCL usually express a CD3+CD4+ mature helper T-cell phenotype. CTCLs include also the group of primary cutaneous CD30+ lymphoproliferative disorders which represent at least 25% of all CTCLs (1–3). These conditions are generally characterized by expression of the CD30 molecule by more than 75% of neoplastic lymphocytes. In particular, the group of CD30+ lymphoproliferative disorders of the skin includes two subtypes: lymphomatoid papulosis (LyP) and primary anaplastic large cell lymphoma (PALCL) (4). However, these two entities differ significantly in several aspects. In contrast to LyP, PALCL may spread to extracutaneous sites in 10-20% of the patients, whereas the classical type of LyP is characterized clinically by recurrent self-healing lesions and there is no risk of spread to extracutaneous sites. The presence of clonality by TCR gene rearrangements is detected only in about 40% LyP cases in contrast to ALCL where it is observed in about 90% of cases (4). Moreover, in a study investigating the prevalence of PCLs in solid organ transplant recipients it has been demonstrated a significant increase of PALCL in this group of patients whereas only one case of LyP was reported (5). This is consistent with view that LyP is probably a reactive conditions whose onset is hampered by the immunosuppressive therapy, whereas ALCL is a true lymphoma and consequently its development is favored by the immunosuppressive regimen. On the other hand, there are data demonstrating an increased risk of MF in patients with LvP (6). Gene expression analysis in these disorders may help to shed light on this issue.

The role of viral agents in CTCLs is still debated, but it has not possible to date to convincingly establish a causal correlation between a viral infection and CTCL development (7). A recent study investigated CTCLs in HIV-infected and non-HIV-infected patients (8). Data were obtained from the Surveillance, Epidemiology, and End Results program, 1973–2013, of the U.S. National Cancer Institute and showed that HIV-infected patients with CTCL experienced significantly higher survival and a decreased risk of overall mortality than non-HIV-infected patients. Moreover, these results indicated that HIV infection was an independent protective factor. These epidemiological data suggest that a HIV-based therapeutic approach could be appropriate for CTCL. To this regard, previous studies generated a retroviral vector which specifically transfers genes into CD4+ cells by pseudotyping of murine leukemia virus (MLV) capsid particles with a variant of the HIV-1 envelope protein (9, 10). These vectors are suitable for gene therapy of CTCL, because they are able to deliver therapeutic genes exclusively to target cells. A subsequent study developed a xenograft mouse model to study CTCL and generated MLV/HIV-pseudotyped vectors encoding the herpes simplex virus thymidine kinase suicide gene (HSV-TK) (11). Vector particles were administered intratumorally into human CTCL xenografts in nude mice which then underwent systemic

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Catherine Grace Chung, The Ohio State University, United States

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Brian Poligone, Rochester General Hospital Research Institute (RGHRI), United States

> \*Correspondence: Mauro Alaibac mauro.alaibac@unipd.it

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treatment with ganciclovir (GCV). Tumor development was significantly delayed in HSV-TK-transduced and GCV-treated tumors. These data demonstrate that the use of MLV/HIVpseudotyped vectors could be an appropriate approach for the treatment of CTCLs.

Interferons (IFNs) are naturally occurring proteins released by host cells which act as a part of the innate immune response (12). Although IFNs were first identified for their capacity to induce resistance to virus, they also exert a wide range of immunomodulatory and antitumor effects (11). In particular IFN-alpha is an effective treatment for the two main subtypes of CTCL, MF and SS (13). To this regard, IFN alpha promotes the anti-tumor activity of NK cells and CD8+ T lymphocytes (12). However, the efficacy of IFN-alpha is limited by loss of response in a significant proportion of patients. An alternative or adjunctive strategy could be the utilization of the immune checkpoint inhibitors, such as anti-CTLA4 and anti-PD1/PDL1, which have become a new promising way of immunotherapy (14). CTLA4 and PD1 are two immunomodulatory receptors expressed on T cell and involved in the inhibition of immune system. The interaction between the receptors PD1 and CTLA4 and their ligands (PDL1 and CD80/CD86, respectively) induces a downregulation of T cell effector functions leading to the inhibition of the antitumor immune response (14). Thus, PD1 inhibitors (nivolumab and pembrolizumab) and CTLA4 inhibitors (ipilimumab) enhance anti-tumor immune response delaying tumor growth and facilitating tumor rejection. The relationship between immune checkpoint inhibitors and CTCL has not yet been clarified. A significant increase of PD1 expression in peripheral blood malignant T cells has been observed in SS, whereas data regarding overexpression of PD1 and CTLA4 in MF patients are conflicting (15). To this regard, the inhibition of PD1 and CTLA4 may have an important role in controlling the progression of some CTCL and could be investigated as a potential immunotherapy for SS and MF (16). Currently clinical trials over the use of pembrolizumab and

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nivolumab for the treatment of MF/SS are ongoing (17, 18). Promising results in the use of ipilimumab for the treatment of CTCL, specifically SS, have also been observed (19). Interestingly a case of complete regression of MF after ipilimumab therapy for advanced melanoma has been reported (20). On the other hand, a case of cutaneous CD56 + T cell lymphoma that developed during pembrolizumab treatment for metastatic melanoma has been described by Zheng et al. (21). Recently, the approval of mogamulizumab, a humanized defucosylated anti-CC chemokine receptor 4 (CCR4) monoclonal antibody, has expanded the landscape of drugs for the treatment of advanced CTCL (22). CCR4 is expressed in the vast majority of CTCLs, especially when peripheral blood involvement is present. This receptor plays an essential role in T-lymphocyte migration into the skin. In a recent study mogamulizumab significantly improved progression-free survival in advanced CTCL, especially in those with SS which is a subtype of CTCL characterized by peripheral blood involvement (23). Furthermore, a number of clinical trials are currently focusing on evaluating mogamulizumab with checkpoint inhibitors (NCT03309878, NCT02476123) as a means of improving antitumor immunity. For less advanced MF, topical resiguimod gel, a Toll-like receptor 7/8 agonist, has been used (24). This topical approach triggers innate immune responses that in turn support the induction of tumor-specific immunity. Lesions of MF treated with resiquimod gel significantly improved in 75% of patients and 30% of patients showed complete resolution of all treated lesions (25). Furthermore, in some patients resiguimod promoted distant response of untreated lesions indicating a systemic antitumor effect of the gel (25).

## **AUTHOR CONTRIBUTIONS**

MA conceived the idea. MF, GT, IR, and MA contributed to the design of the article and to the writing of the manuscript.

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# Update on Biology of Cutaneous T-Cell Lymphoma

## Zaw H. Phyo<sup>1</sup>, Satish Shanbhag<sup>2</sup> and Sima Rozati<sup>3\*</sup>

<sup>1</sup> Johns Hopkins University School of Medicine, Baltimore, MD, United States, <sup>2</sup> Departments of Oncology and Medicine, Johns Hopkins University, Baltimore, MD, United States, <sup>3</sup> Department of Dermatology, Johns Hopkins University, Baltimore, MD, United States

Cutaneous T cell lymphomas (CTCL) comprise of a heterogeneous group of non-Hodgkin lymphomas derived from skin-homing T cells. Variation in clinical presentation and lack of definitive molecular markers make diagnosis especially challenging. The biology of CTCL remains elusive and clear links between genetic aberrations and epigenetic modifications that would result in clonal T cell expansion have not yet been identified. Nevertheless, in recent years, next generation sequencing (NGS) has enabled a much deeper understanding of the genomic landscape of CTCL by uncovering aberrant genetic pathways and epigenetic dysregulations. Additionally, single cell profiling is rapidly advancing our understanding of patients-specific tumor landscape and its interaction with the surrounding microenvironment. These studies have paved the road for future investigations that will explore the functional relevance of genetic alterations in the progression of disease. The ultimate goal of elucidating the pathogenesis of CTCL is to establish effective therapeutic targets with more durable clinical response and treat relapsing and refractory CTCL.

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## Reviewed by:

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> \*Correspondence: Sima Rozati srozati1@jhmi.edu

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# INTRODUCTION

Cutaneous T cell lymphomas (CTCL) are a heterogeneous group of lymphoid malignancies derived from skin-homing T cells. The incidence rate of CTCL is 6.4 per million persons and has experienced increases in recent years in part due to better diagnosis (1). Highest incidence rates are seen among African Americans and older individuals, with a four-fold increase in incidence of patients over 70 (2, 3). Mycosis fungoides (MF) is the most common form of CTCL characterized by skin-homing CD4+ T cells. Sezary syndrome (SS) is an aggressive CTCL variant with varying levels of clonal lymphocytes in the blood. MF and SS together account for more than 50% of all CTCL cases (4). Diagnosis of CTCL is challenging due to the polymorphic nature of disease presentation, and a lack of a single definitive diagnostic procedure. Management of limited-stage MF involves more conservative approaches such as skin directed therapies while advanced-stage MF/SS patients are often treated with targeted and systemic chemotherapies, which are often short-lived and associated with adverse reactions (1). Large cell transformation (LCT) is a complication found in advanced-stage MF/SS that is histologically defined by the presence of large, atypical lymphocytes exceeding 25% of lymphoid infiltrate and is historically associated with significantly lower median survival compared to non-transformed MF (5). In addition, expression of CD30 antigen does not manifest in significant prognostic significant differences (6). However, brentuximab vedotin, a CD30 targeting antibody-drug conjugate, has demonstrated significant clinical response in variable  $CD30^+$  CTCL patients (7, 8).

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Beyond differences in clinical presentation, the biology of malignant T cells in MF and SS are thought to be at least in part distinct due to expression of cell surface markers consistent with skin resident effector memory T cells and central memory T cells, respectively (9). However, recent single-cell profiling studies reveal phenotypic plasticity and tumor heterogeneity, suggesting that MF and SS may belong to the same disease spectrum. The molecular and cellular biology of this spectrum of malignancies has yet to be fully decoded. In this review, we will discuss advances in understanding the genomic landscape of CTCL with emphasis on recent NGS studies in further elucidating the pathogenesis of MF and SS. We acknowledge that the fast pace of evolving technologies, such as single-cell profiling, will provide further insights to patient-specific tumor biology.

# **ORIGIN OF MALIGNANT T CELLS**

## **External Risk Factors**

Despite isolated case series of familial mycosis fungoides (10) and links to potential HLA alleles (11, 12), there is no concrete evidence for genetic predisposition. Additionally, infectious agents such as viruses, viral particles (13–15), environmental, geographical (16) or occupational exposure (17) have been contemplated to be triggers for the rise of CTCL but a strong link has not been identified.

Bacterial infections, particularly with staphylococcus aureus, are frequently noted in patients with CTCL and antibiotic therapy usually results in clinical improvement (18–20) Housing mice with CTCL-like features in germ-free conditions led to attenuated tumor burden which was reversible when co-housed with conventional mice (21). A recent prospective study examining 8 patients with advanced-stage CTCL found that transient antibiotic treatment is associated with a decrease in fraction of neoplastic T cells, decreased cell proliferation and a decreased in STAT3 signaling (22). While the mechanistic role is unclear, these data indicate that the commensal microbiome may play a role in malignant T cell transformation and consequently may serve as a therapeutic target.

# Tumor Microenvironment and Dysregulated Cytokine Signaling

T cell receptors (TCRs) recognize and bind to a specific antigen presented by the major histocompatibility complex molecule (MHC); this interaction induces a cascade of phosphorylation and gene expression that results in T cell survival and proliferation. The hyperactivity of the TCR pathway, either by genetic alteration (23-25) and/or continuous contact with antigen presenting cells (26, 27) can result in robust proliferation and continuous activation of T cells leading to disease progression. Malignant T cell clones in MF can be traced to peripheral blood by TCR sequencing and the heterogeneity of malignant T cells between skin lesions might be partially attributed to variation in seeding patterns by peripheral blood (28). The growth and viability of the CTCL cell is partly dependent on direct contact with immature dendritic cells (DC), through interaction between CD40 located on DC and CD40 ligand located on CTCL cells (26). Macrophages and mast

cells are also investigated for the roles they play in the tumor microenvironment. In vivo mouse studies demonstrated slower rates of progression of human CTCL tumor cells in mice depleted of mast cells (29) and macrophages (30). The malignant T cells also facilitate shaping the tumor microenvironment that is supportive of disease progression. Multiple ligand/receptor interactions, including VEGF/VEGFR (31) and CXCR4/CXCL12 (32), have been characterized for their role in development of a vascular niche conducive to growth of neoplastic T cells. Further research is needed for potential utilization of these vascular niche factors in improving diagnosis and targeted antiangiogenic therapy. Malignant T cells also secrete galectin-1 and-3, which have been linked to decreased skin barrier function and uncontrolled epidermal proliferation (33), which explains the increased incidence of bacterial skin infections observed in CTCL patients. The functional state of T cell is crucial in the dynamic state of tumor microenvironment. In cancer, T cells operate in a chronic inflammatory state and ultimately enter a hypo-responsive state called T cell exhaustion which is in part characterized by expression of inhibitory receptors (34). Indeed, malignant T cells derived from patients across all CTCL stages display increased expression of inhibitory receptors including PD-1 (35-37), CTLA-4 (38), and LAG-3 (37). The role of inhibitory receptors in T cell exhaustion implies that they can be targeted to effectively reinvigorate effector T cells. Nivolumab (anti-PD-1) was found to be well-tolerated patients with relapsed or refractory hematologic malignancy, which included patients with MF (39). More recently, a multicenter phase II trial of pembrolizumab (anti-PD-L1) led to favorable outcomes in patients with advanced MF or SS (40). In 2018, the FDA approved Mogamulizumab (anti-CTLA-4) for treatment of relapsed and refractory MF and SS, after a randomized, multicenter phase III clinical trial revealed superior investigator-assessed progressionfree survival compared to vorinostat (41).

Investigation of the role of cytokine profile in CTCL stemmed from the observation that atopic dermatitis, a classically Th2skewed disease, is more prevalent in family history of MF patients (42). PBMCs from SS patients of various stages revealed decreased IL-4, IL-2, and IFN-y, suggesting that malignant T cells in CTCL resemble the cytokine profile found in Th2 cells (43). Th1 pattern, found to be prevalent in early stage of the disease, may allow antitumor response to local disease. In later stage, there is Th2 and Th17 bias with global depression in cytokine expression, which may signify loss of immune function and T cell exhaustion (44). Gata-3 and JunB, Th2 cells-specific transcription factors, are expressed starting in early disease (45). Induction of Th2-dominant biology is partially linked to expression of extracellular matrix proteins periostin and thymic stromal lymphopoietin (TSLP) by dermal fibroblasts, which subsequently activates release of Th2-specific cytokines in CTCL cells (46).

The immune responses that dictate CTCL progression or inhibition are largely unknown and our understanding is complicated by conflicting results in the literature. For example, pro-inflammatory responses, such as Th17 are thought to promote tumor progression and limit anti-cancer Th1 response (47). Recently, a few case series have shown that TNF-inhibitors and IL-17 inhibitors promoted the development or progression of MF in patients with inflammatory bowel disease, rheumatoid arthritis or misdiagnosed psoriasis (48). Contrary to previous reports, these results suggest that inhibition of Th17 mediated immune responses lead to CTCL disease progression.

On the other hand, regulatory T cells (Tregs) have been associated with Sezary syndrome and are thought to be an indicator of poor outcome (49). However, a recent single-cell profiling study of CTCL identified Treg transcription factor Foxp3 as the strongest predictor of early rather than late-stage Sezary syndrome (50). These data indicate that tumor FoxP3 expression may suppress CTCL disease rather than promote progression as previously thought. Therefore, it is crucial to investigate the factors that drive Th17 and Treg immunity in CTCL to better understand the mechanisms that affect disease outcome.

Our current knowledge on CTCL immunophenotype, cytokine profile and its interactions within the host immune system denote an intricate tumor microenvironment and present numerous potential targets for therapy.

## **GENOMIC LANDSCAPE OF CTCL**

## **Genetic Aberrations**

In the past few years, multiple groups have applied deep sequencing techniques including whole genome and whole exome sequencing to explore the genomic landscape alterations in cutaneous lymphoma (23–25, 51–53). These results have broadened our horizon in the understanding of the pathogenesis of this heterogeneous group of malignancies by identification of new somatic mutations, and common mutagenic pathways.

TP53 is a tumor suppressor, which responds to DNA damage and other stress signals and is often dysregulated in cancer (54). While a unifying oncogenic driver is absent, TP53 is a notable tumor suppressor in CTCL with a somatic mutation (23) and gene deletion (24, 25) on chromosome 17p detected in 19 and 37% of studied CTCL patients, respectively (55). However, TP53 mutation status does not endow any changes in prognosis in primary SS patients (56). The constitutive activation of nuclear factor kappa B (NF-KB) pathway, located downstream of TCR signaling, has been implicated to play a key role in tumor resistance to apoptosis in CTCL (57). Recent genomic sequencing by multiple groups have reported on alterations in PLCG1 (58), CARD11 (25), TNFRSF1B (23) and KIT (55) that are involved in NF-KB pathway. These alterations are involved in regulating T cell survival and proliferation and/or control transcriptional programs downstream of key T cell signaling. The involvement of the NF-kB pathway served as a rationale for the potential use of bortezomib, an inhibitor of NF-kB signaling, which exhibited 67% overall response rate with acceptable drug tolerability in a phase II clinical trial (59).

To address the low incidence rate of CTCL and small patient cohorts with variations in geographic or subtype origin found in most NGS studies, Chang et al. created an integrated CTCL genomic dataset by collecting and re-analyzing raw genomic data of 139 patients with CTCL from seven different sequencing studies of MF/SS (55). Consolidation of previous NGS cohorts improved statistical power and revealed insights to specific patterns of genetic aberrations. TP53 mutations were found to be mutually exclusive from NF- $\kappa$ B pathway gene mutations, indicating that tumor variants might arise from distinct genetic backgrounds. Moreover, mutual exclusivity was observed within the NF- $\kappa$ B pathway genes, suggesting that CTCL tumorigenesis may be triggered by one pathway alone. Cases that did not carry p53 or NF- $\kappa$ B mutational changes did not have any other significant abnormalities, indicating that other important changes in the transcriptome or epigenome may have a major role in tumorigenesis.

In addition to the NF-κB pathway, the JAK3/STAT3 signal transduction pathway is also well-characterized for its role in survival and proliferation of malignant T cells (60-62). Genomic studies have shown that gain of function point mutations and copy number gains in this pathway are frequent and correlate with gene expression of STAT3 and increased expression of proinflammatory cytokines IL17 and IL22, downstream targets of STAT3 activation that likely play a role in tumor progression (25, 63). In a mouse model of CTCL, transgenic STAT3 hyperactivation in T cells was linked to IL-17 and IL-22 expression and phenotypic features of CTCL (21, 64). Staphylococcal enterotoxin A (SEA) has been shown to drive IL-17 expression through a JAK3/STAT3-dependent pathway in malignant T cells when cocultured with non-malignant T cells, suggesting that SEA-driven cross talk between malignant and non-malignant T cells are needed for oncogenic activation of STAT3 (64).

Beyond somatic mutations characterized previously, genes may also be amplified or deleted due to somatic copy number variants (SCNVs). Compared to other cancers, CTCL is unique in that it harbors a disproportionately high number of SCNV compared to somatic mutations (24). In addition to 17p deletion involving TP53, other tumor suppressors such as RB1, PTEN and CDKN1B have been reported, along with amplification of STAT3 (17q) and MYC (8q) (23–25, 65–67).

# **Epigenetics**

Epigenetic abnormalities have been recognized for their role in altering gene expression of oncogenes and tumor suppressors and ultimately contributing to malignant cell transformation in cancer (68). Both hypo-methylation and hyper-methylation signatures have been observed in CTCL. DNMT3A, a gene encoding a methyltransferase, is often mutated or deleted in CTCL (55), signifying that genetic aberrations may underlie epigenetic dysregulation. The association between DNMT3A and mutated genes highlights the importance of integrating findings from sequencing studies and epigenetic findings. Histone deactylases (HDACs) remodel chromatin architecture by removing acetyl groups from histones and have been characterized as a therapeutic target in cancer (69). Vorinostat (70) and Romidepsin (71) inhibit HDACs which leads to gene expression of cell cycle regulators and promotes tumor cell apoptosis.

MiRNAs are non-coding RNA involved in epigenetic mechanisms that are implicated in essential cellular processes (72). The miRNA expression profile has been investigated through different CTCL populations. Increased expression of

miR-213, miR-486, and miR-21 were proposed to promote apoptotic resistance in CTCL cell lines (73). Notably, multiple groups have identified miR-155 for its role in pathogenesis of MF (74-76). Later, a causal link was established between JAK/STAT signaling and expression of miR-155 and its host gene BIC (B cell integration cluster), implying that STAT5/BIC/miR-155 can be targeted for therapy (77). MiR-155 inhibitors have been assessed in phase I-II clinical trials for their safety, tolerability and clinical activity with encouraging results (78, 79). MiRNAs may also play a role in predicting prognosis, with multiple groups demonstrating that a panel of miRNAs could be used to effectively stratify patients based on prognosis (80, 81). These types of prognostic markers must be validated in large multi-centered, ideally prospective cohort, studies. While many miRNAs have been implicated in CTCL, further research is needed to delineate the mechanisms in which miRNAs are deregulated and how it impacts disease progression.

Emerging technologies, such as transcript—index ATAC-seq allows researchers to interrogate epigenetic signatures indexed by TCR sequence-based T cell clonality, further refining single-cell resolution in dissecting tumor heterogeneity of CTCL (82).

## **Emerging Frontiers in CTCL**

Emerging technologies in NGS allows researchers to interrogate the DNA sequence and transcriptomes of tumors at the resolution of single cells and has provided an unprecedented view of cellular processes. These advances in technology will rapidly evolve our understanding of tumor transformation and progression.

Single cell RNA-sequencing provides an in-depth view of gene expression profile of each tumor cell as well as an insightful perspective of major cellular components in relation to the tumor microenvironment. The heterogeneity of tumors between patients with CTCL has been well-documented at a clinical and molecular level in the literature. This knowledge has been further cemented by striking distinct gene expression profiles seen in advanced CTCL patients (83). Nevertheless, Gaydosik et al. also identified a 17-gene expression signature that was common between highly proliferative tumor cells in all samples. Interestingly, these signatures overlap with expression of TOX, a previously reported marker for identifying malignant lymphocytes in CTCL (83). Others have utilized single-cell sequencing in conjunction with artificial intelligence (AI)-based learning to create a framework that broadens the clinical applicability of their results in CTCL (50).

Using a single-cell flow cytometry-based assay, Buss et al. isolated malignant cells from 8 treatment-naive patients with SS and assessed the expression of 240 surface antigens and single-cell RNA sequencing for 110 T-cell-relevant genes. Based on surface antigen expression, malignant T cells were divided into distinct subpopulations and exhibited different sensitivities to HDACi treatment (84). The presence of multiple subpopulations with variable sensitivity to a single agent lends further support for the need for combination treatments that are informed by the patient's unique malignant clonal characteristics. The synergistic epigenetic-modulatory effect of histone acetylation of DNA demethylation results in global CpG methylation alterations as well as reexpression of tumor suppressor genes that was not achieved by single agent treatments (85). Preclinical and clinical investigations have demonstrated the combinatorial use of different epigenetic modulators together or in combination with other treatments (85–90).

Mutual exclusivity in CTCL reported by Chang et al. (55) as well as single-cell RNA sequencing analysis may contribute to the basis for molecular subtyping of the disease similar to other hematologic malignancies such as systemic diffuse large B cell lymphoma (DLBCL) (91) or acute leukemia (92). Combination approaches in therapy targeting multiple signaling pathways and clonal subpopulations can lead to unprecedented improvements in survival and quality of life.

Emerging technologies are further refining single-cell resolution in dissecting tumor heterogeneity of CTCL. Applications of these technologies could enable novel therapies or treatment strategies that have previously been deemed unlikely in CTCL. One such example is chimeric antigen receptor (CAR) T cell therapy, which primes the patient's own T cells to activate upon recognition of a tumor-specific antigen (93). CAR T therapies targeting CD19 in B cell malignancies led to durable remissions for refractory B-ALL (94) and DLBCL (95) patients. In contrast, immunophenotypic methods yield high overlap in surface markers between malignant and normal T cells, which presents a major challenge in targeting cancerous cells. Future studies could reveal more subtle phenotypic differences in T cells as NGS technologies enables higher resolution of clonotypic T cells.

# CONCLUSION

We have yet to identify a major oncogenic driver or a constellation of genetic and epigenetic alterations that lead to malignant clonal T cell expansion seen in CTCL patients. However, recent NGS data including single cell sequencing have identified genetic aberrations in major signaling pathways and epigenetic components that play an important role in pathogenesis of CTCL. They have provided biomarker signatures that could be utilized in the future to identify early disease, predict disease progression, and tailor treatments to individual patients. It is important to ask the right type of research questions when performing such studies to extract relevant data and improve clinical outcomes. Further understanding of tumor biology in CTCL is imperative in developing patientspecific treatment with minimal side effects, a cornerstone of precision medicine. We are entering a new area of discovery that will optimize our management for this heterogeneous group of malignancies.

# **AUTHOR CONTRIBUTIONS**

ZP and SR performed literature search and wrote most parts of the manuscript. SS performed literature search and wrote some parts of the manuscript. SR conceived the framework of this review article, provided insights, and edited the manuscript.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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